PERSONALIZED FEDERATED LEARNING VIA TAILORED LORENTZ SPACE

Anonymous authors

Paper under double-blind review

Abstract

Personalized Federated Learning (PFL) has gained attention for privacy-preserving training on heterogeneous data. However, existing methods fail to capture the unique inherent geometric properties across diverse datasets by assuming a unified Euclidean space for all data distributions. Drawing on hyperbolic geometry's ability to fit complex data properties, we present FlatLand¹, a novel personalized Federated learning method that embeds different clients' data in tailored Lorentz space. FlatLand is able to directly tackle the challenge of heterogeneity through the personalized curvatures of their respective Lorentz model of hyperbolic geometry, which is manifested by the time-like dimension. Leveraging the Lorentz model properties, we further design a parameter decoupling strategy that enables direct server aggregation of common client information, with reduced heterogeneity interference and without the need for client-wise similarity estimation. To the best of our knowledge, this is the first attempt to incorporate hyperbolic geometry into personalized federated learning. Empirical results on various federated graph learning tasks demonstrate that FlatLand achieves superior performance, particularly in low-dimensional settings.

025 026 027

028

004

010 011

012

013

014

015

016

017

018

019

021

1 INTRODUCTION

Federated learning (FL) trains machine learning models across multiple clients while ensuring data privacy. Traditional FL struggles with data heterogeneity, as one model cannot satisfy diverse local requirements. Personalized federated learning (PFL) resolves this by sharing common model knowledge and allowing for client-specific adaptations. PFL approaches mainly address heterogeneity through three strategies during aggregation: (1) splitting models into shared and personalized components (McMahan et al., 2017; Tan et al., 2023); (2) analyzing weights/gradients to evaluate client similarities (Xie et al., 2021); or (3) incorporating additional modules to enable client-specific customization (Baek et al., 2023). All these methods are conducted in Euclidean space.

037 Recent studies in various domains, including text (Tifrea et al., 2018; Dhingra et al., 2018), im-038 ages (Atigh et al., 2022; Khrulkov et al., 2020), and graphs (Chami et al., 2019; Tan et al., 2023; Yang et al., 2022b;a), have shown that real-world data exhibit non-Euclidean properties, such as scale-free structures and implicit hierarchical relationships (Albert & Barabási, 2002; Khrulkov et al., 2020). 040 Euclidean space, being inherently "flat", fails to adequately represent these characteristics, leading to 041 structural distortions and reduced performance (Chami et al., 2019). For example, the CiteSeer graph 042 dataset partitioned into 10 clients, shows varying degree distributions with long-tail characteristics 043 which are poorly captured by Euclidean geometry, as illustrated in Figure 1(a). Besides, we calculate 044 the Ricci curvature values of multiple real-world graph datasets after splitting them into 10 clients each and observe that they all exhibit negative Ricci curvature with significantly varying values, as 046 shown in Figure 6. Higher absolute values indicate more pronounced non-Euclidean properties. 047

Moreover, embedding data from various clients into a fixed Euclidean space complicates inter pretability of model parameters. All parameters play the same role during training, obscuring which
 encapsulates client heterogeneity versus shared information. This makes it difficult to segment the
 model into meaningful components and assess client similarity. Additionally, incorporating extra
 modules to aid this process escalates complexity and reduces flexibility.

¹Our method is named after Edwin Abbott's book "*Flatland: A Romance of Many Dimensions*", highlighting our insights of exploring an extra dimension that maps various data distributions onto different Lorentz surfaces.

054The aforementioned problems inspire us to ask055whether there is a space where we can design056a tailored model for each client, in which we057can *effectively* represent the inherent prop-058erties of local data and *succinctly* reflect the059heterogeneity without any extra calculations?

060 We propose to leverage **Lorentz Space**. With 061 negative curvature, Lorentz space has the advan-062 tage of modeling complex data, particularly hi-063 erarchical, tree-like, and power-law distributed 064 data (Lensink et al., 2022; Dhingra et al., 2018; Sun et al., 2022). By adjusting its curvature, 065 it offers personalized and precise data repre-066 sentations for each client, leveraging its unique 067 time-like dimension to capture diversity. This 068 inspires us to design a framework that embeds 069



Figure 1: Toy example: (a) KDE of degree distributions from three CiteSeer clients (Davis et al., 2011), and (b) their respective 2D Lorentz Spaces with different curvatures K.

each client's data into a suitable Lorentz space. This will bridge the gap between the fields of
 hyperbolic geometry and personalized federated learning.

Furthermore, the representations in Lorentz space and the operations of Lorentz neural networks (Chen 072 et al., 2021) have stronger interpretability. Take Figure 1(b) as an example². Informally speaking, the 073 diversity of the distribution can be more prominently represented by the "height" of the additional 074 *time-like* dimension ($x_t \in \mathbb{R}$) while maintaining the relatively similar properties in the "Flatland" 075 (space-like dimensions $\mathbf{x}_s \in \mathbb{R}^d$). In this work, we focus on federated graph learning (FGL) 076 as hyperbolic encoders have achieved state-of-the-art results in many benchmarks (Atigh et al., 077 2022; Peng et al., 2021; Lensink et al., 2022). And there is a theoretical guarantee connecting the heterogeneity of graph data with hyperbolic curvature (Krioukov et al., 2010). This method is 079 generalizable to other datasets and settings.

Although the Lorentz space has demonstrated significant potential in various tasks (Peng et al., 2021; Atigh et al., 2022), applying it to personalized federated learning (PFL) scenarios is still non-trivial. The challenge is **how to mitigate the influence of parameters related to heterogeneous information**, and aggregate the parameters that represent common features in the "*Flatland*" without accessing client data?

Motivated by the above insights, we propose an exploratory personalized $\underline{\mathbf{F}}$ ederated $\underline{\mathbf{lea}}$ rning method that embeds different clients' data in $\underline{\mathbf{T}}$ ailored $\underline{\mathbf{L}}$ orentz space, called FlatLand. To address the challenge, we formulate a **novel parameter decoupling strategy** that can directly aggregate shared parameters without any extra similarity calculations.

To the best of our knowledge, FlatLand is the first work to incorporate Lorentz geometry into personalized federated learning. It is **succinct**, **effective**, and **easily interpretable**. Experimental results demonstrate that FlatLand achieves superior performance than its Euclidean counterpart, particularly in low-dimensional representations.

094 095

096

2 RELATED WORK

Personalized Federated Learning With statistical heterogeneity (Kairouz et al., 2021), conventional FL frameworks like FedAvg (McMahan et al., 2017) can hardly obtain a single global model 098 that generalizes well to every client (the basic framework is shown in Appendix A.4). Motivated by this, researchers have proposed personalized FL (PFL) to train customized local models. Generally 100 speaking, existing PFL techniques can be categorized into the following three groups: (1) techniques 101 that personalize client models via local fine-tuning (Fallah et al., 2020; Jiang et al., 2019; Wang 102 et al., 2019), (2) techniques that personalize client models via customized model aggregation (Huang 103 et al., 2021; Li et al., 2021b; Luo & Wu, 2022; Sun et al., 2021; Zhang et al., 2023b; 2021b), and (3) 104 techniques that personalize client models via creating localized models/layers (Arivazhagan et al., 105 2019; Chen & Chao, 2022; Collins et al., 2021; Deng et al., 2020; Dinh et al., 2020; Hanzely &

²For convenience, all origins of Lorentz spaces in the figure are shown as the same, but actually, their origins are not in the same location.

Richtárik, 2020; Li et al., 2021a; Mansour et al., 2020). However, these PFL methods typically operate in Euclidean spaces to encode data samples, which can hardly capture the scale-free property and implicit hierarchical structure embedded within client data.

111

112 **Personalized Federated Graph Learning** When applied to graph data, personalized federated 113 graph learning (PFGL) can intuitively exhibit the problem mentioned above. For example, Xie 114 et al. (2021) clusters clients based on gradients to aggregate models with similar data distributions. Another method (Tan et al., 2023) introduces additional personalized models to capture client-115 specific knowledge of graph structure. Baek et al. (2023) calculates client-client similarities to 116 apply personalized model aggregation with local weight masking. All these methods learn node 117 representations in Euclidean spaces, which cannot model the power-law degree distributions that 118 widely exist in real-world graph data (Albert & Barabási, 2002; Krioukov et al., 2010). Additionally, 119 the client clustering procedure and additional model components introduce computational overhead 120 that may not be feasible in real-world scenarios with strict privacy constraints or limited resources. 121

122 **Hyperbolic Federated Learning** Very few research works have considered incorporating hy-123 perbolic spaces into federated settings. An et al. (2024) leverages hyperbolic distances to distill 124 knowledge from the global model to the local model, to mitigate model inconsistency caused by data 125 heterogeneity. Liao et al. (2023) applies hyperbolic prototype learning to capture the hierarchical 126 structure among data samples. As the work most similar to our FlatLand, FedHGCN (Du et al., 127 2024) is a simple combination of FedAvg and hyperbolic graph neural networks along with a node selection process. Although these methods can benefit from the hyperbolic space to capture the 128 hierarchical structure in the data, they do not have the personalization capability to adaptively model 129 client data spaces with different curvatures. This may lead to suboptimal results when there is severe 130 data heterogeneity. Therefore, our goal is to design a novel FL framework that can encode client data 131 in hyperbolic spaces with adaptive curvatures using personalization techniques. 132

133 134

135

153

154

155

3 PRELIMINARIES

Lorentz Manifold Given a *d*-dimensional Lorentz manifold \mathcal{L}_{K}^{d} with a constant negative curvature -1/K(K > 0), suppose a point / vector $\mathbf{x} \in \mathcal{L}_{K}^{d}$, which has the form $\mathbf{x} = \begin{bmatrix} x_{t} \\ \mathbf{x}_{s} \end{bmatrix} \in \mathbb{R}^{d+1}$, where the first dimension $x_{t} \in \mathbb{R}$ is called *time-like* dimension and others $\mathbf{x}_{s} \in \mathbb{R}^{d}$ are *space-like* dimensions. It satisfies the following conditions: $\langle \mathbf{x}, \mathbf{x} \rangle_{\mathcal{L}} = -K$ and $x_{t} > 0$, where $\langle \mathbf{x}, \mathbf{y} \rangle_{\mathcal{L}} = -x_{t}y_{t} + \mathbf{x}_{s}^{\top}\mathbf{y}_{s}$ is the Lorentzian inner product. Note that the larger the *K*, the more the intrinsic structure of the data deviates from the flatness of Euclidean space. Formal definitions are shown in Appendix A.1.

Typically, inputs reside in Euclidean space and need to be mapped into hyperbolic space. The way of projecting the data $\mathbf{v}^E \in \mathbb{R}^d$ in Euclidean to Lorentz space $\mathbf{x} \in \mathcal{L}^d_K$ can be simplified as ³

$$\mathbf{x}^{K} = \exp_{\mathbf{o}}^{K} \left(\mathbf{v}^{E} \right) = \exp_{\mathbf{o}}^{K} \left(\left[0, \mathbf{v}^{E} \right] \right) = \left(\underbrace{\cosh\left(\frac{\|\mathbf{v}^{E}\|_{2}}{\sqrt{K}}\right)}_{\text{time-like dimension } x_{t}}, \underbrace{\sqrt{K} \sinh\left(\frac{\|\mathbf{v}^{E}\|_{2}}{\sqrt{K}}\right) \frac{\mathbf{v}^{E}}{\|\mathbf{v}^{E}\|_{2}}}_{\text{space-like dimensions } \mathbf{x}_{s}} \right).$$
(1)

Fully Lorentz Neural Networks Fully Lorentz networks (Chen et al., 2021) are proved to be ideal for PFL due to their reduced need for space projections, enhancing computational efficiency. These networks also incorporate Lorentz transformations (boosts and rotations), improving data heterogeneity handling and parameter interpretability (Appendix A.3).

Given an input vector $\mathbf{x} \in \mathcal{L}_{K}^{n}$, and a linear layer matrix $\hat{\mathbf{M}} \in \mathbb{R}^{(m+1)\times(n+1)}$ to optimize, $\forall \mathbf{x} \in \mathcal{L}_{K}^{n}$, $\hat{\mathbf{M}}\mathbf{x} \in \mathcal{L}_{K}^{m}$. Let $\hat{\mathbf{M}} = \begin{bmatrix} \mathbf{v}^{T} \\ \mathbf{W} \end{bmatrix}$, $\mathbf{v} \in \mathbb{R}^{(n+1)}$, $\mathbf{W} \in \mathbb{R}^{m \times (n+1)}$. The fully Lorentz linear layer can be denoted as LT in a general form as follows:

¹⁶¹

³For clarity, all Lorentz space embeddings are denoted by \cdot^{H} . Specifically, if the curvature of the space is known as K, it is denoted by \cdot^{K} . In contrast, Euclidean space embeddings are denoted by \cdot^{E} .

$$LT(\mathbf{x}; f; \mathbf{W}) := \left(\sqrt{\|f(\mathbf{W}\mathbf{x}, \mathbf{v})\|^2 + K}, f(\mathbf{W}\mathbf{x}, \mathbf{v})\right)^T.$$
(2)

It involves a function f that operates on vectors $\mathbf{v} \in \mathbb{R}^{n+1}$ and $\mathbf{W} \in \mathbb{R}^{m \times (n+1)}$. Depending on the type of function, it can perform different operations. For instance, for dropout, the operation function is $f(\mathbf{W}\mathbf{x}, \mathbf{v}) = \mathbf{W}$ dropout (**x**). For normalization with learned scale, $f(\mathbf{W}\mathbf{x}, \mathbf{v}) = \frac{\sigma(\mathbf{v}^T\mathbf{x})}{\|\mathbf{W}\mathbf{x}\|}\mathbf{W}\mathbf{x}$.

4 MOTIVATION AND INSIGHTS

This paper focuses on graph data for its clear distribution and simpler models, facilitating the validation of our approach using Lorentz neural networks to address heterogeneity in personalized federated learning. Our method is also applicable to other datasets and tasks.

PROBLEM STATEMENT

Given clients $\mathcal{C} = 1, 2, \ldots, C$, each with a dataset $\mathcal{D}_c = (\mathbf{x}_i^c, y_i^c)_{i=1}^{N_c}$ and distribution $p_c(\mathbf{x}, y)$, 178 Personalized Federated Learning (PFL) encounters distributional heterogeneity if $p_i(\mathbf{x}, y) \neq p_j(\mathbf{x}, y)$ for any clients $i \neq j$. This heterogeneity can degrade performance. In PFL, the goal is to optimize 180 personalized models $f_c(\cdot; \theta_c, \theta_s)$ for each client using specific and shared parameters θ_c, θ_s .

$$\min_{\boldsymbol{\theta}_c|_{c=1}^C, \boldsymbol{\theta}_s} \sum_{c=1}^C \mathbb{E}_{(\mathbf{x}, y) \sim p_c(\mathbf{x}, y)} [\mathcal{L}_c(f(\mathbf{x}; \boldsymbol{\theta}_c, \boldsymbol{\theta}_s), y)] + \lambda \Omega(\boldsymbol{\theta}_c|_{c=1}^C, \boldsymbol{\theta}_s)$$
(3)

This function merges local loss \mathcal{L}_c with regularization Ω , balanced by hyperparameter λ .

Our goals are

- (1) to *effectively* represent the inherent properties of each local client data;
- (2) to succinctly reflect heterogeneity among client data and facilitate the communication of shared information without requiring additional computations.

INSIGHTS: INTRODUCE A HIGHER DIMENSION (time axes) TO "Flatland".

In "Flatland", a two-dimensional flat plane, the same shapes may represent the projections of various three-dimensional objects. For instance, a circle could be the projection of either a cylinder or a sphere from a higher dimension.

In the above case, "Flatland" captures the common feature of a cylinder or a sphere, while a higher dimension (the third dimension) highlights the differences between the objects. Analogous to our setting, informally speaking, by introducing an additional *time-like* dimension, we can imagine each client's data residing in a unique Lorentz space (a curved world in a higher-dimensional space), where the curvature reflects the distinct distributions (objects). "Flatland", \mathbb{R}^d (flat), serves as a metaphor for a platform where common information (circle) is exchanged and integrated.

207 MOTIVATION: WHY LORENTZ SPACE? 208

(2) Strong correlation between heterogeneity and curvature. Figure 1(a) shows that distribution 215 curves exhibit long-tailed characteristic with varying skewness, supporting the findings from previous

166

167

168 169 170

171 172

173

174

175 176

177

179

181 182 183

185 186

187 188

189

190

192 193 194

196

197

199 200

201

202

203

204

205

206

⁽¹⁾ Prevalent Non-Euclidean properties of real-world data. Forman-Ricci curvature \overline{Ric} measures 209 deviations from flat (Euclidean) geometry in data structures (Sandhu et al., 2016; Forman, 2003). A 210 more negative Ric indicates a structure more suited for hyperbolic space representation (Sun et al., 211 2024). Figure 2 shows varying Ric values across 10 clients from the CiteSeer dataset, highlighting the 212 common non-Euclidean nature of real-world data. Thus, employing Lorentz space with client-specific 213 curvature can better capture intrinsic data structures, supporting our goal (1). 214



Figure 2: The FlatLand framework.

231 studies (Xie et al., 2021). In particular, Client 1's distribution is steeper and less Euclidean, suggesting 232 a need for embedding in a Lorentz space with a larger curvature (a smaller K), depicted in Figure 1(b). 233 This space accommodates more tail nodes (black stars) than Clients 2 and 3, requiring a "roomier" 234 embedding environment to ensure separability and enhance performance. A larger curvature facili-235 tates this by allowing embeddings to occupy a "higher" position (larger x_t) in the space, where the volume expands exponentially. 236

The observations align with our goal (2) because heterogeneous properties like "how significant is 238 the imbalance between tail nodes and head nodes?" can be naturally distinguished through their 239 corresponding Lorentz space with different curvature (differed by the *time-like* axes x_t). Meanwhile, 240 when the star nodes are mapped back to the Euclidean space, the common information, e.g., "the star is the tail node in their client", is preserved in space-like dimensions \mathbf{x}_s as the same node \mathbf{v} . 242

5 THE FlatLand FRAMEWORK

We propose a personalized federated learning framework, FlatLand, using tailored Lorentz spaces for each client. The main steps are outlined in Figure 2 and Algorithm 2.

S1 Initialization. At the initial communication round r = 0, the parameters that need to be initialized can be divided into three parts:

(1) Curvature parameters of C clients $\{K_1, K_2,, K_C\}$;	(Section 5.1)
(2) Personalized parameters of C clients $\{\theta_1, \theta_2,, \theta_C\}$;	(Section 5.2)
(3) Shared parameters $\overline{\theta}_s$ of central server.	
All the parameters of client i at round 0 can be written as $\pmb{\Theta}_i^{(0)} =$	$\left(K_i; \pmb{\theta}_i^{(0)}; \overline{\pmb{\theta}}_s^{(0)} ight)$ and
server parameters as $\overline{\boldsymbol{\theta}}_{s}^{(0)}$.	

S2 Local updates. Given learning rate η , for round r, each local client model performs training on the data \mathcal{D}_i to minimize the task loss $\mathcal{L}(\mathcal{D}_i; \Theta_i^{(r)})$ and then updating the parameters as $\boldsymbol{\Theta}_{i}^{(r+1)} \leftarrow \boldsymbol{\Theta}_{i}^{(r)} - \eta \nabla \mathcal{L}.$ (Section 5.3)

S3 Server updates. After local training, only shared parameters $\theta s_c^{(r+1)}$ are updated to the server for each client c. These are then aggregated using FedAvg: $\overline{\theta}_s^{(r+1)} \leftarrow$ $\frac{N_c}{N}\sum_{c=1}^{C} \theta_{s_c}^{(r+1)}$, where $N = \sum_c N_c$. The aggregated parameters are subsequently distributed to clients for the next round.

265 266 267

268

264

229 230

237

241

243

244 245

246

247 248

249

250

257

258

259

260

261

262

5.1 CURVATURE ESTIMATION

To embed the dataset \mathcal{D}_c of client $c \in \mathcal{C}$ into its tailored Lorentz space $\mathcal{L}_{K_c}^d$, a suitable curvature K_c 269 should be first explored.

There are many comprehensive ways can assist in estimating the suitable curvature for various types of data (Gao et al., 2021). Here, given a weighted graph $G_c = (V, E, w)$ in client c, we adopt Forman-Ricci curvature (Appendix A.2) and the overall curvature of the graph can be calculated as follows $\overline{\operatorname{Ric}}(G) = \frac{1}{|E|} \sum_{(x,y) \in E} \operatorname{Ric}(x, y)$, where V represents graph nodes and |E| the number of edges, specifically, (x, y) means the edge between node x to node y. Additionally, the curvature can be a learnable parameter or calculated using a simple Multi-Layer Perceptron (MLP) neural network. Here, we initialize K_c with $\overline{\operatorname{Ric}}(G_c)$ as learnable.

277 278

279

291 292 293

299 300

301

302 303

305 306

312 313 314

315

5.2 PARAMETER DECOUPLING STRATEGY

This section details the fully Lorentz model's parameters (excluding K), divided into shared θ_s for space-like dimensions and personalized θ_c for *time-like* dimension. The model has layers of fully Lorentz neural networks that transform data within Lorentz space (Section 3).

First, without loss of generality, we decouple the function of Lorentz linear layer in Equation (2) without the functions f of activation, dropout, bias, and so on.

Given input $\mathbf{x}^{(l)} = \begin{bmatrix} x_t^{(l)} \\ \mathbf{x}_s^{(l)} \end{bmatrix} \in \mathcal{L}_K^n, x_t^{(l)} \in \mathbb{R}, \mathbf{x}_s^{(l)} \in \mathbb{R}^n$ in layer *l*. We rewrite the learnable matrix $\hat{\mathbf{M}}^{(l)}$ in Section 3 as $\begin{bmatrix} v^{(l)} & \mathbf{v}^{T(l)} \\ m^{(l)} & \mathbf{M}^{(l)} \end{bmatrix} \in \mathbb{R}^{(m+1)\times(n+1)}, v^{(l)} \in \mathbb{R}, \mathbf{v}^{(l)} \in \mathbb{R}^n, m^{(l)} \in \mathbb{R}^m, \mathbf{M}^{(l)} \in \mathbb{R}^{m \times n}$, the output $\mathbf{x}^{(l+1)}$ of the Lorentz linear layer could be reformulated as

$$\mathbf{x}^{(l+1)} = \mathrm{LT}(\mathbf{x}^{(l)}; \hat{\mathbf{M}}^{(l)}) = \left(\underbrace{\sqrt{\|mx_t + \mathbf{M}\mathbf{x}_s\|^2 + K}}_{\text{time-like dimension } x_t^{(l+1)}}, \underbrace{mx_t + \mathbf{M}\mathbf{x}_s}_{\text{space-like dimensions } \mathbf{x}_s^{(l+1)}}\right)^T.$$
(4)

Then, we decouple the parameters as follows under the deviation from Appendix B.3:

Suppose the model \mathcal{M} consists of L layers of neural networks,

• The personalized parameter set θ_c for all layers is formulated as

$$\boldsymbol{\theta}_{c} = \bigcup_{l=1}^{L} \{ v^{(l)}, \mathbf{v}^{T(l)}, m^{(l)} \}$$

• The shared parameter set θ_s across all layers is formulated as

$$\boldsymbol{\theta}_{s} = \bigcup_{l=1}^{L} \{ \boldsymbol{M}^{(l)} \};$$

where $\bigcup_{l=1}^{L}$ indicates the union of parameter sets from each layer l from 1 to L.

5.3 LOCAL TRAINING PROCEDURE

Obtained the curvature $K_c^{(r)}$ at round r, we directly project the client input $\mathbf{x}_i^E \in \mathcal{D}_c$ into its corresponding Lorentz space via the exponential map $\mathbf{x}^{K_c} = \exp_{\mathbf{o}}^{K_c}(\mathbf{x}^E)$, as shown in Equation (1). Note that to simplify the notation, all vectors \mathbf{x} , if not superscripted, are assumed to represent being in the Lorentz space.

Afterwards, the training data are fed into the Lorentz model \mathcal{M} , the output is $f((\mathbf{x}^{K_c}; \boldsymbol{\theta}_c, \boldsymbol{\theta}_s), y)$. In the graph model, in addition to the Lorentz linear layer, there is also an aggregation operation (Zhang et al., 2021c), which does not involve any parameters, so it has no impact on our results.

At client c, the objective function is

 $\min_{\boldsymbol{\theta}_c|_{c=1}^{C}, \boldsymbol{\theta}_s} \mathcal{L}_c(f(\mathbf{x}^{K_c}; \boldsymbol{\theta}_c, \boldsymbol{\theta}_s), y) + \lambda \|\boldsymbol{\theta}_{s_c} - \overline{\boldsymbol{\theta}}_s\|_2^2,$ (5)

where λ is a hyperparameter, $\|\boldsymbol{\theta}_{s_c} - \overline{\boldsymbol{\theta}}_s\|_2^2$ is the regularize term that prevent locally updated model $\boldsymbol{\theta}_{s_c}$ deviates too far from the server shared parameters $\overline{\boldsymbol{\theta}}_s$.

6 ANALYSIS

324

326 327

328

330 331

332 333

334

335

336

337

338

351

352 353

354

355

In this section, we provide further analysis to demonstrate the effectiveness and interpretability of our method as described in Section 5.2. Specifically, we first verify the **correctness** that federated learning does not cause the data in each client to deviate from its original space during the process of parameter communication (server updates). Furthermore, we expound on the rationale behind our proposed method from the perspectives of debiasing and Lorentz transformation.

Proposition 1. $\forall \mathbf{x} \in \mathcal{L}_{K}^{n}, \forall \mathbf{M} \in \mathbb{R}^{(m+1) \times (n+1)}$, we have $LT(\mathbf{x}; \mathbf{M}) \in \mathcal{L}_{K}^{m}$.

Proof.
$$\forall \mathbf{x} \in \mathcal{L}_K^n$$
, we have $\langle \operatorname{LT}(\mathbf{x}; \mathbf{M}), \operatorname{LT}(\mathbf{x}; \mathbf{M}) \rangle_{\mathcal{L}} = -K$. Therefore, $\operatorname{LT}(\mathbf{x}; \mathbf{M}) \in \mathcal{L}_K^m$. \Box

Corollary 1. Let $\hat{\mathbf{M}} = \begin{bmatrix} v & \mathbf{v}^T \\ m & \mathbf{M} \end{bmatrix}$, where $\hat{\mathbf{M}} \in \mathbb{R}^{(m+1)\times(n+1)}$ and $\Phi\left(\hat{\mathbf{M}}, \mathbf{N}\right) = \begin{bmatrix} v & \mathbf{v}^T \\ m & \mathbf{N} \end{bmatrix}$. $\forall \mathbf{x} \in \mathcal{L}_K^n, \forall \hat{\mathbf{M}} \in \mathbb{R}^{(m+1)\times(n+1)}, \forall \mathbf{N} \in \mathbb{R}^{n\times n}$, we have $\operatorname{LT}\left(\mathbf{x}; \Phi\left(\hat{\mathbf{M}}, \mathbf{N}\right)\right) \in \mathcal{L}_K^m$.

This corollary (refer to the proof in the Appendix B.4) implies that even after the aggregation of shared parameters in the server, the transformation of any client vector $\mathbf{x} \in \mathcal{L}_K^n$ by this updated matrix will still yield results in the Lorentz space \mathcal{L}_K^m with the same curvature, indicating that the client's representation remains unaffected.

PERSPECTIVES ON DEBIASING

Remark 1 (Feature Debiasing). *During the local and server updates in* FlatLand, *the debiasing process is inherently integrated via the gradient of shared parameters* M.

According to the derivations in Appendix B.3, it can be observed that the gradient of the shared parameters M is highly correlated with \mathbf{x}_s , where \mathbf{x}_s is derived from the raw input \mathbf{x}^E using the exponential map in Equation (1). Therefore, given the same input \mathbf{x}^E for different clients tailored to different Lorentz manifolds, the gradient of M for client *c* is inherently weighted by $\sqrt{K_c} \sinh\left(\frac{\|\mathbf{x}^E\|_2}{\sqrt{K_c}}\right) \frac{1}{\|\mathbf{x}^E\|_2}$, where K_c can be intuitively interpreted as the parameter that reflects the overall distribution of the dataset specific to client *c*, which differs from other clients. This can play a role in debiasing during the parameter aggregation process compared to Euclidean methods.

- 364
- PERSPECTIVES ON LORENTZ TRANSFORMATIONS
 365

Lorentz Boosts and Lorentz Rotations (Appendix A.3) are interpreted as being covered by LT $(\mathbf{x}; \hat{\mathbf{M}})$ when the dimension is unchanged (Chen et al., 2021). We can easily prove that the Lorentz transformations are still covered by LT $(\cdot; \Phi(\hat{\mathbf{M}}, \mathbf{N}))$, where $\hat{\mathbf{M}} \in \mathbb{R}^{(n+1)\times(n+1)}$, $\mathbf{N} \in \mathbb{R}^{n\times n}$.

For any data point $\mathbf{x} \in \mathcal{D}_c$, transformations $LT(\mathbf{x}; \hat{\mathbf{M}})$ and $LT(\mathbf{x}; \Phi(\hat{\mathbf{M}}, \mathbf{N}))$ map \mathbf{x} to a new spacetime position, maintaining the spacetime interval invariant (Corollary 1), thus preserving the physical and geometric relationships within the same client, in line with special relativity. However, clients with varying spacetime curvatures maintain **distinct spacetime intervals**, reflecting differing underlying data distributions.

Moreover, according to the definition of Lorentz Rotation in Equation (9), the server updates only the
 M, leaving the time-like dimension unchanged. This operation is a relaxation of the Lorentz rotation, consistent with our "Flatland" assumption that aggregates only spatial dimension information.

378 379 380

381

Table 2: Comparison of node classification performance across real-world datasets with varying numbers of clients. The results, presented as mean and standard deviation, are based on five separate trials. Performances that are statistically significant (p < 0.05) are highlighted in bold.

		Co	ora	Cite	Seer	ogbn	arxiv	Ph	oto
	# clients	10	20	10	20	10	20	10	20
_	Local (E) Local (L)	$\begin{array}{c} 79.94 \pm 0.24 \\ 78.35 \pm 0.05 \end{array}$	$\begin{array}{c} 80.30 \pm 0.25 \\ 80.46 \pm 0.18 \end{array}$	$\begin{array}{c} 67.82 \pm 0.13 \\ 72.30 \pm 0.04 \end{array}$	$\begin{array}{c} 65.98 \pm 0.17 \\ 69.52 \pm 0.25 \end{array}$	$\begin{array}{c} 64.92 \pm 0.09 \\ 65.85 \pm 0.09 \end{array}$	$\begin{array}{c} 65.06 \pm 0.05 \\ 66.75 \pm 0.05 \end{array}$	$\begin{array}{c} 91.80 \pm 0.02 \\ 91.76 \pm 0.10 \end{array}$	$\begin{array}{c} 90.47 \pm 0.15 \\ 90.12 \pm 0.20 \end{array}$
	FedAvg FedPer	69.19 ± 0.67 79.35 ± 0.04	69.50 ± 3.58 78.01 ± 0.32	63.61 ± 3.59 70.53 ± 0.28	64.68 ± 1.83 66.64 ± 0.27	64.44 ± 0.10 64.99 ± 0.18	63.24 ± 0.13 64.66 ± 0.11	83.15 ± 3.71 91.76 ± 0.23	81.35 ± 1.04 90.59 ± 0.06
	FedProx	60.18 ± 7.04	48.22 ± 6.81	63.33 ± 3.25	64.85 ± 1.35	64.37 ± 0.18	63.03 ± 0.04	80.92 ± 4.64	82.32 ± 0.29
	FedGNN FedSage+	70.12 ± 0.99 69.05 ± 1.59	70.10 ± 3.52 57.97 ± 12.6	55.52 ± 3.17 65.63 ± 3.10	52.23 ± 6.00 65.46 ± 0.74	64.21 ± 0.32 64.52 ± 0.14	63.80 ± 0.05 63.31 ± 0.20	87.12 ± 2.01 76.81 ± 8.24	81.00 ± 4.48 80.58 ± 1.15
_	GCFL	78.66 ± 0.27	79.21 ± 0.70	69.01 ± 0.12	66.33 ± 0.05	65.09 ± 0.08	65.08 ± 0.04	92.06 ± 0.25	90.79 ± 0.17
	FedHGCN FlatLand (Ours)	$\begin{array}{c} 72.09 \pm 0.16 \\ \textbf{80.46} \pm \textbf{0.28} \end{array}$	74.67 ± 1.50 82.49 \pm 0.25	66.98 ± 0.56 73.90 \pm 0.23	64.28 ± 0.62 72.24 \pm 0.24	$\begin{array}{c} \text{OOM} \\ \textbf{67.52} \pm \textbf{0.16} \end{array}$	$\begin{array}{c} \text{OOM} \\ \textbf{67.64} \pm \textbf{0.04} \end{array}$	79.26 ± 0.56 92.49 ± 0.19	79.57 ± 0.10 91.06 ± 0.15

391 392 393

394

396

397

398

7 **EXPERIMENTS**

In this section, we validate the effectiveness of FlatLand by conducting experiments for node classification and graph classification on a series of benchmark datasets. The experiments are designed to address the following research questions. **RQ1.** Can FlatLand outperform personalized and hyperbolic FL baselines? **RQ2.** Can FlatLand still perform well in low-dimensional settings? **RQ3.** Are the proposed novel components really beneficial?

7.1 EXPERIMENTAL SETUP

403 **Datasets and Baselines** The details about datasets are listed in Appendix C.1. Implementation details are shown in Appendix C.2. More detailed information can be found in our anonymous 404 repository. To assess FlatLand and demonstrate its superiority, we compare it with the following 405 baselines: (1) Local: clients train their models locally without any communication, Local (E) refers 406 to self-training in the Euclidean model, while Local (L) refers to training in the Lorentz model.; (2) 407 FedAvg (McMahan et al., 2017) and (3) FedProx (Li et al., 2020a): the most popular FL baselines; (4) 408 FedPer (Arivazhagan et al., 2019): a PFL baseline with personalized model layers; (5) FedGNN (Wu 409 et al., 2021) and (6) FedSage (Zhang et al., 2021a): two FGL baselines; (7) GCFL (Xie et al., 2021): 410 a PFGL baseline with client clustering and cluster-wise model aggregation; (8) FedHGCN (Du et al., 411 2024): a hyperbolic FGL baseline that fails considering the heterogeneity among clients.

412 413 414

7.2 MAIN EXPERIMENTAL RESULTS (RQ1)

415 Node Classification We tackle node classifica-416 tion on highly heterogeneous datasets, with non-417 overlapping node partitions for each client, which most previous work fail to address. This challenge 418 highlights our method's ability to handle heterogene-419 ity that previous approaches could not address. Ta-420 ble 2 shows that our proposed FlatLand outperforms 421 all baselines with statistical significance (p < 0.05). 422 (1) Local (L) often surpasses Local (E), suggest-423 ing that hyperbolic space can better represent most 424 datasets, though the gap is sometimes marginal. 425 (2) Euclidean FL methods like FedAvg, FedProx, 426 FedGNN, and FedSage+ significantly underperform 427 self-training. GCFL is generally the best among Eu-428 clidean methods, but cannot consistently beat Local 429 (E). FedPer sometimes exceeds Local (E) with small

Table 1: Performance on graph classification tasks. The results, presented as mean and standard deviation, are based on five separate trials. Performances that are statistically significant (p < 0.05) are highlighted in bold.

	CHEM (1)	BIO-CHEM-SN (3)
# datasets	7	13
Local (E) Local (L)	75.54 ± 1.73 75.72 ± 2.41	$\begin{array}{c} 67.17 \pm 1.76 \\ 65.31 \pm 2.13 \end{array}$
FedAvg FedProx FedPer GCFL	$\begin{array}{c} 75.88 \pm 2.17 \\ 76.05 \pm 1.92 \\ 75.81 \pm 2.17 \\ 76.49 \pm 1.23 \end{array}$	$\begin{array}{c} 66.91 \pm 1.94 \\ 66.34 \pm 2.26 \\ 66.27 \pm 2.09 \\ 67.21 \pm 2.39 \end{array}$
FedHGCN FlatLand (Ours)	$\begin{array}{c} 75.06 \pm 1.81 \\ \textbf{76.55} \pm \textbf{2.28} \end{array}$	$\begin{array}{c} ext{OOM} \\ extbf{67.31} \pm 2.58 \end{array}$

gains, highlighting challenges with heterogeneous data. (3) FedHGCN, despite operating in hy-430 perbolic space, underperforms on heterogeneous datasets by not accounting for data heterogeneity, 431 akin to FedAvg vs Local (E) in Euclidean space. Besides, due to the quadratic time and space



Figure 3: Performance of CiteSeer (20 clients) with varying dimensions for node classification scenario.

Figure 4: Ablation study of FlatLand on the Cora dataset.

complexity of FedHGCN's node selection module. Therefore, it can easily encounter out-of-memory (OOM) issues with large datasets, like ogbn-arxiv. In conclusion, experiments show that FlatLand can mitigate the heterogeneity, and with larger gains on highly heterogeneous datasets like CiteSeer.

Graph Classification Table 3 shows the results of the graph classification task, which is conducted with multiple datasets from one or more domains owned by different clients in each task/setting. In the single-dataset CHEM setting, Local (L) outperforms Local (E) due to inherent hyperbolic characteristics better captured by hyperbolic geometry. However, in multiple-dataset settings like BIO-CHEM-SN, Local (L) fails to surpass Local (E), potentially because not all datasets exhibit prominent hyperbolic features. With our proposed federated graph learning approach, FlatLand can significantly enhance the performance of the Lorentzian model, outperforming the Euclidean baselines, and demonstrating the effectiveness of our proposed method.

460 Convergence Curves The convergence curves for node classification tasks are shown in Figure 7
 461 in Appendix C.5. As the figures demonstrate, our proposed method has great convergence speed, highlighting the superiority of our proposed approach.

7.3 VARYING EMBEDDING DIMENSIONS (RQ2)

Lower embedding and hidden dimensions reduce the parameter transmission cost in federated learning, as fewer parameters are communicated between the server and clients during training. Considering the representational power of hyperbolic spaces in lower dimensions (Chami et al., 2019), we reduced the embedding dimension from 64 to 4 to evaluate FlatLand's ability to mitigate data heterogeneity using compact representations. Figure 3 shows the results on CiteSeer (20 clients), with similar trends observed across datasets. Dimensionality reduction from 64 to 4 had a relatively small impact on the hyperbolic methods (FlatLand and FedHGCN) compared to their Euclidean counterparts. Notably, while FedHGCN underperformed Euclidean methods at higher dimensions, it outperformed them when the dimension was reduced to 16. FlatLand consistently outperformed all other methods in different embedding dimensions, and its performance advantage over the baselines became increasingly significant as the dimensionality was reduced.

7.4 ABLATION STUDY (RQ3)

To analyze the contribution of each component, we conduct ablation studies. Figure 4. Through ablation studies, we analyze the contribution of each component to the model's performance.

The benefits of adaptive curvature The "w/o TS" (without tailed space) refers to setting a constant curvature of 1 for all clients instead of employing tailored curvature settings. It indicates that using a fixed hyperbolic space with constant curvature yields inferior performance compared to utilizing tailored curvatures. Furthermore, the results obtained with tailored curvatures closely approximate those of the local (*L*) setting, demonstrating the inherent effectiveness of the hyperbolic space itself.



Figure 5: Performance comparison of FlatLand on Cora and CitSeer across local 10 clients.

The benefits of time-like parameters decoupling. The "w/o DS" refers to no **parameter decoupling strategy**, which exhibits significant fluctuations across rounds because the aggregation process incorporates heterogeneous information, adversely affecting the results. This highlights the effectiveness of our proposed decoupling strategy and validates that the time-like dimension can effectively capture heterogeneous information. Moreover, we analyze the benefits of DS for each client's performance. As shown in Figure 5, with client IDs on the x-axis, Flatland outperforms the local method for the vast majority clients, notably improving performance for clients with inherently poorer results, like c_8 in the CiteSeer dataset. This underscores *the necessity of federated settings for hyperbolic models*. Without our proposed DS, performance deteriorates significantly (e.g., c_7 in CiteSeer), further *validating our hypothesis that the time-like parameter encapsulates crucial heterogeneity information*.

The necessity of Lorentz space We conducted experiments to further evaluate the necessity of using Lorentz space. Table 3 presents the results of an ablation study on the Lorentz transformation.
FlatLand (*E*) represents our proposed method with parameter decoupling strategy implemented using an Euclidean backbone. Without Lorentz geometry, FlatLand (*E*) underperforms because the time-like parameter loses its geometric meaning. It even falls short of FedPer in most cases, which uses the classifier layer for personalization. These results validate our hypothesis and underscore the importance of hyperbolic representation for our proposed decoupling strategy in our method.

519 520

521 522

523

524

526

527

528

529

530

531

532

533

534

486

487

488

489

490

491

492

493

494

495

496

497

498

499 500 501

502

504

505

506

507

508

509

510

511

8 CONCLUSION AND LIMITATIONS

Table 3: Ablation study results about the necessity of using Lorentz space to do parameter decoupling.

	Cora (10)	Cora (20)	CiteSeer (10)	CiteSeer (20
# datasets	10	20	10	20
FedAvg	69.19 ± 0.67	69.50 ± 3.58	63.61 ± 3.59	64.68 ± 1.8
FedPer	79.35 ± 0.04	78.01 ± 0.32	70.53 ± 0.28	66.64 ± 0.2
FlatLand(E)	78.53 ± 0.73	76.23 ± 0.43	70.68 ± 0.52	66.29 ± 0.3
FlatLand (ours)	80.46 ± 0.28	82.49 ± 0.25	73.90 ± 0.23	72.24 ± 0.2

Conclusions In this paper, we introduce FlatLand, an exploratory personalized federated learning approach leveraging hyperbolic geometry to succinctly capture heterogeneity across clients' data distributions embedded in tailored Lorentz spaces. We propose a novel parameter decoupling

strategy, which enables server-side aggregation of common information while mitigating heterogeneity interference, without client similarity estimation. This is a previously unexplored approach not only in FL but also in hyperbolic geometry. As the first work incorporating hyperbolic geometry into PFL, FlatLand demonstrates superior performance over Euclidean counterparts, especially in low dimensions, showcasing strong potential as an effective solution to the heterogeneity challenge.

Future work While evaluated on graph data, FlatLand is not limited to graphs and can be extended
 to other data types. Note that hyperbolic space is not universally optimal for all data distributions
 — some exhibit positive curvature — highlighting the need to model complex data structures in
 mixed-curvature spaces. Moreover, more complex Lorentz neural networks can be explored for
 federated learning of sophisticated models beyond the simple encoder used currently. Therefore, our
 next step is to extend and evaluate FlatLand to more complex backbones and tasks.

540 REFERENCES

549

561

567

542	Réka Albert and Albert-László Barabási.	Statistical mechanics of complex networks.	Reviews of
543	modern physics, 74(1):47, 2002.		

- Xuming An, Li Shen, Han Hu, and Yong Luo. Federated learning with manifold regularization and normalized update reaggregation. *Advances in Neural Information Processing Systems*, 36, 2024.
- Manoj Ghuhan Arivazhagan, Vinay Aggarwal, Aaditya Kumar Singh, and Sunav Choudhary. Feder ated learning with personalization layers. *CoRR*, abs/1912.00818, 2019.
- Mina Ghadimi Atigh, Julian Schoep, Erman Acar, Nanne Van Noord, and Pascal Mettes. Hyperbolic
 image segmentation. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, pp. 4453–4462, 2022.
- Jinheon Baek, Wonyong Jeong, Jiongdao Jin, Jaehong Yoon, and Sung Ju Hwang. Personalized
 subgraph federated learning. In *International Conference on Machine Learning*, pp. 1396–1415.
 PMLR, 2023.
- Ines Chami, Zhitao Ying, Christopher Ré, and Jure Leskovec. Hyperbolic graph convolutional neural networks. *Advances in neural information processing systems*, 32, 2019.
- Hong-You Chen and Wei-Lun Chao. On bridging generic and personalized federated learning for
 image classification. In *ICLR*. OpenReview.net, 2022.
- Weize Chen, Xu Han, Yankai Lin, Hexu Zhao, Zhiyuan Liu, Peng Li, Maosong Sun, and Jie Zhou.
 Fully hyperbolic neural networks. *arXiv preprint arXiv:2105.14686*, 2021.
- Liam Collins, Hamed Hassani, Aryan Mokhtari, and Sanjay Shakkottai. Exploiting shared representations for personalized federated learning. In *ICML*, volume 139 of *Proceedings of Machine Learning Research*, pp. 2089–2099. PMLR, 2021.
- Richard A Davis, Keh-Shin Lii, and Dimitris N Politis. Remarks on some nonparametric estimates of a density function. *Selected Works of Murray Rosenblatt*, pp. 95–100, 2011.
- Yuyang Deng, Mohammad Mahdi Kamani, and Mehrdad Mahdavi. Adaptive personalized federated learning. *CoRR*, abs/2003.13461, 2020.
- Bhuwan Dhingra, Christopher J Shallue, Mohammad Norouzi, Andrew M Dai, and George E Dahl.
 Embedding text in hyperbolic spaces. *arXiv preprint arXiv:1806.04313*, 2018.
- Canh T. Dinh, Nguyen Hoang Tran, and Tuan Dung Nguyen. Personalized federated learning with moreau envelopes. In *NeurIPS*, 2020.
- Haizhou Du, Conghao Liu, Haotian Liu, Xiaoyu Ding, and Huan Huo. An efficient federated learning
 framework for graph learning in hyperbolic space. *Knowledge-Based Systems*, 289:111438, 2024.
- Alireza Fallah, Aryan Mokhtari, and Asuman E. Ozdaglar. Personalized federated learning with theoretical guarantees: A model-agnostic meta-learning approach. In *NeurIPS*, 2020.
- Forman. Bochner's method for cell complexes and combinatorial ricci curvature. *Discrete & Computational Geometry*, 29:323–374, 2003.
- Zhi Gao, Yuwei Wu, Yunde Jia, and Mehrtash Harandi. Curvature generation in curved spaces for
 few-shot learning. In *Proceedings of the IEEE/CVF international conference on computer vision*,
 pp. 8691–8700, 2021.
- Filip Hanzely and Peter Richtárik. Federated learning of a mixture of global and local models. *CoRR*, abs/2002.05516, 2020.
- Weihua Hu, Matthias Fey, Marinka Zitnik, Yuxiao Dong, Hongyu Ren, Bowen Liu, Michele Catasta, and Jure Leskovec. Open graph benchmark: Datasets for machine learning on graphs. In *NeurIPS*, 2020.

- Yutao Huang, Lingyang Chu, Zirui Zhou, Lanjun Wang, Jiangchuan Liu, Jian Pei, and Yong Zhang.
 Personalized cross-silo federated learning on non-iid data. In *AAAI*, pp. 7865–7873. AAAI Press, 2021.
- Yihan Jiang, Jakub Konečný, Keith Rush, and Sreeram Kannan. Improving federated learning personalization via model agnostic meta learning. *CoRR*, abs/1909.12488, 2019.
- 600 Peter Kairouz, H. Brendan McMahan, Brendan Avent, Aurélien Bellet, Mehdi Bennis, Arjun Nitin 601 Bhagoji, Kallista A. Bonawitz, Zachary Charles, Graham Cormode, Rachel Cummings, Rafael 602 G. L. D'Oliveira, Hubert Eichner, Salim El Rouayheb, David Evans, Josh Gardner, Zachary Garrett, 603 Adrià Gascón, Badih Ghazi, Phillip B. Gibbons, Marco Gruteser, Zaïd Harchaoui, Chaoyang He, Lie He, Zhouyuan Huo, Ben Hutchinson, Justin Hsu, Martin Jaggi, Tara Javidi, Gauri Joshi, 604 Mikhail Khodak, Jakub Konečný, Aleksandra Korolova, Farinaz Koushanfar, Sanmi Koyejo, 605 Tancrède Lepoint, Yang Liu, Prateek Mittal, Mehryar Mohri, Richard Nock, Ayfer Özgür, Rasmus Pagh, Hang Qi, Daniel Ramage, Ramesh Raskar, Mariana Raykova, Dawn Song, Weikang Song, 607 Sebastian U. Stich, Ziteng Sun, Ananda Theertha Suresh, Florian Tramèr, Praneeth Vepakomma, 608 Jianyu Wang, Li Xiong, Zheng Xu, Qiang Yang, Felix X. Yu, Han Yu, and Sen Zhao. Advances 609 and open problems in federated learning. Found. Trends Mach. Learn., 14(1-2):1-210, 2021. 610
- George Karypis and Vipin Kumar. Metis unstructured graph partitioning and sparse matrix ordering
 system, version 2.0, 01 1995.
- Valentin Khrulkov, Leyla Mirvakhabova, Evgeniya Ustinova, Ivan Oseledets, and Victor Lempitsky.
 Hyperbolic image embeddings. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, pp. 6418–6428, 2020.
- Thomas N. Kipf and Max Welling. Semi-supervised classification with graph convolutional networks.
 In *ICLR (Poster)*. OpenReview.net, 2017.
- Dmitri Krioukov, Fragkiskos Papadopoulos, Maksim Kitsak, Amin Vahdat, and Marián Boguná. Hyperbolic geometry of complex networks. *Physical Review E*, 82(3):036106, 2010.
- John M Lee. Introduction to Riemannian manifolds, volume 2. Springer, 2018.

623

- Keegan Lensink, Bas Peters, and Eldad Haber. Fully hyperbolic convolutional neural networks.
 Research in the Mathematical Sciences, 9(4):60, 2022.
- Tian Li, Anit Kumar Sahu, Manzil Zaheer, Maziar Sanjabi, Ameet Talwalkar, and Virginia Smith.
 Federated optimization in heterogeneous networks. In *MLSys.* mlsys.org, 2020a.
- Tian Li, Shengyuan Hu, Ahmad Beirami, and Virginia Smith. Ditto: Fair and robust federated learning through personalization. In *ICML*, volume 139 of *Proceedings of Machine Learning Research*, pp. 6357–6368. PMLR, 2021a.
- Kiang Li, Kaixuan Huang, Wenhao Yang, Shusen Wang, and Zhihua Zhang. On the convergence of fedavg on non-iid data. In 8th International Conference on Learning Representations, ICLR 2020, Addis Ababa, Ethiopia, April 26-30, 2020. OpenReview.net, 2020b. URL https://openreview.net/forum?id=HJxNAnVtDS.
- Kin-Chun Li, De-Chuan Zhan, Yunfeng Shao, Bingshuai Li, and Shaoming Song. Fedphp: Federated personalization with inherited private models. In *ECML/PKDD (1)*, volume 12975 of *Lecture Notes in Computer Science*, pp. 587–602. Springer, 2021b.
- Kinting Liao, Weiming Liu, Chaochao Chen, Pengyang Zhou, Huabin Zhu, Yanchao Tan, Jun Wang, and Yue Qi. Hyperfed: hyperbolic prototypes exploration with consistent aggregation for non-iid data in federated learning. In *Proceedings of the Thirty-Second International Joint Conference on Artificial Intelligence*, pp. 3957–3965, 2023.
- Jun Luo and Shandong Wu. Adapt to adaptation: Learning personalization for cross-silo federated learning. In *IJCAI*, pp. 2166–2173. ijcai.org, 2022.
- 647 Yishay Mansour, Mehryar Mohri, Jae Ro, and Ananda Theertha Suresh. Three approaches for personalization with applications to federated learning. *CoRR*, abs/2002.10619, 2020.

648 Brendan McMahan, Eider Moore, Daniel Ramage, Seth Hampson, and Blaise Aguera y Arcas. 649 Communication-efficient learning of deep networks from decentralized data. In Artificial intelli-650 gence and statistics, pp. 1273–1282. PMLR, 2017. 651 Valter Moretti. The interplay of the polar decomposition theorem and the lorentz group. arXiv 652 preprint math-ph/0211047, 2002. 653 654 Christopher Morris, Nils M. Kriege, Franka Bause, Kristian Kersting, Petra Mutzel, and Marion 655 Neumann. Tudataset: A collection of benchmark datasets for learning with graphs. CoRR, abs/2007.08663, 2020. 656 657 Maximillian Nickel and Douwe Kiela. Learning continuous hierarchies in the lorentz model of 658 hyperbolic geometry. In International conference on machine learning, pp. 3779–3788. PMLR, 659 2018. 660 Yann Ollivier. Ricci curvature of markov chains on metric spaces. Journal of Functional Analysis, 661 256(3):810-864, 2009. 662 663 Wei Peng, Tuomas Varanka, Abdelrahman Mostafa, Henglin Shi, and Guoying Zhao. Hyperbolic 664 deep neural networks: A survey. IEEE Transactions on pattern analysis and machine intelligence, 665 44(12):10023-10044, 2021. 666 Sashank Reddi, Zachary Charles, Manzil Zaheer, Zachary Garrett, Keith Rush, Jakub Konečný, 667 Sanjiv Kumar, and H Brendan McMahan. Adaptive federated optimization. arXiv preprint 668 arXiv:2003.00295, 2020. 669 670 Romeil S Sandhu, Tryphon T Georgiou, and Allen R Tannenbaum. Ricci curvature: An economic indicator for market fragility and systemic risk. Science advances, 2(5):e1501495, 2016. 671 672 Prithviraj Sen, Galileo Namata, Mustafa Bilgic, Lise Getoor, Brian Gallagher, and Tina Eliassi-Rad. 673 Collective classification in network data. AI Mag., 29(3):93–106, 2008. 674 675 Oleksandr Shchur, Maximilian Mumme, Aleksandar Bojchevski, and Stephan Günnemann. Pitfalls 676 of graph neural network evaluation. *CoRR*, abs/1811.05868, 2018. 677 Joshua Southern, Jeremy Wayland, Michael Bronstein, and Bastian Rieck. Curvature filtrations for 678 graph generative model evaluation. Advances in Neural Information Processing Systems, 36, 2024. 679 Benyuan Sun, Hongxing Huo, Yi Yang, and Bo Bai. Partialfed: Cross-domain personalized federated 680 learning via partial initialization. In NeurIPS, pp. 23309–23320, 2021. 681 682 Li Sun, Zhongbao Zhang, Junda Ye, Hao Peng, Jiawei Zhang, Sen Su, and Philip S. Yu. A self-683 supervised mixed-curvature graph neural network. In AAAI, pp. 4146–4155. AAAI Press, 2022. 684 Li Sun, Junda Ye, Jiawei Zhang, Yong Yang, Mingsheng Liu, Feiyang Wang, and Philip S Yu. Con-685 trastive sequential interaction network learning on co-evolving riemannian spaces. International 686 Journal of Machine Learning and Cybernetics, 15(4):1397–1413, 2024. 687 688 Yue Tan, Yixin Liu, Guodong Long, Jing Jiang, Qinghua Lu, and Chengqi Zhang. Federated learning 689 on non-iid graphs via structural knowledge sharing. In AAAI, pp. 9953–9961. AAAI Press, 2023. 690 Alexandru Tifrea, Gary Bécigneul, and Octavian-Eugen Ganea. Poincar\'e glove: Hyperbolic word 691 embeddings. arXiv preprint arXiv:1810.06546, 2018. 692 693 Kangkang Wang, Rajiv Mathews, Chloé Kiddon, Hubert Eichner, Françoise Beaufays, and Daniel 694 Ramage. Federated evaluation of on-device personalization. CoRR, abs/1910.10252, 2019. Chuhan Wu, Fangzhao Wu, Yang Cao, Yongfeng Huang, and Xing Xie. Fedgnn: Federated graph 696 neural network for privacy-preserving recommendation. CoRR, abs/2102.04925, 2021. 697 Xinghao Wu, Xuefeng Liu, Jianwei Niu, Guogang Zhu, and Shaojie Tang. Bold but cautious: Unlocking the potential of personalized federated learning through cautiously aggressive collabo-699 ration. In IEEE/CVF International Conference on Computer Vision, ICCV 2023, Paris, France, 700 October 1-6, 2023, pp. 19318–19327. IEEE, 2023. doi: 10.1109/ICCV51070.2023.01775. URL https://doi.org/10.1109/ICCV51070.2023.01775.

702 703 704	Han Xie, Jing Ma, Li Xiong, and Carl Yang. Federated graph classification over non-iid graphs. <i>Advances in neural information processing systems</i> , 34:18839–18852, 2021.
704 705 706	Keyulu Xu, Weihua Hu, Jure Leskovec, and Stefanie Jegelka. How powerful are graph neural networks? <i>arXiv preprint arXiv:1810.00826</i> , 2018.
707 708 709 710	Menglin Yang, Zhihao Li, Min Zhou, Jiahong Liu, and Irwin King. Hicf: Hyperbolic informative collaborative filtering. In <i>Proceedings of the 28th ACM SIGKDD Conference on Knowledge Discovery and Data Mining</i> , pp. 2212–2221, 2022a.
711 712 713	Menglin Yang, Min Zhou, Jiahong Liu, Defu Lian, and Irwin King. Hrcf: Enhancing collaborative filtering via hyperbolic geometric regularization. In <i>Proceedings of the ACM Web Conference</i> 2022, pp. 2462–2471, 2022b.
714 715	Ze Ye, Kin Sum Liu, Tengfei Ma, Jie Gao, and Chao Chen. Curvature graph network. In <i>International conference on learning representations</i> , 2019.
716 717 718 719 720 721	Jianqing Zhang, Yang Hua, Hao Wang, Tao Song, Zhengui Xue, Ruhui Ma, Jian Cao, and Haibing Guan. GPFL: simultaneously learning global and personalized feature information for personalized federated learning. In <i>IEEE/CVF International Conference on Computer Vision, ICCV 2023, Paris, France, October 1-6, 2023</i> , pp. 5018–5028. IEEE, 2023a. doi: 10.1109/ICCV51070.2023.00465. URL https://doi.org/10.1109/ICCV51070.2023.00465.
722 723 724	Jianqing Zhang, Yang Hua, Hao Wang, Tao Song, Zhengui Xue, Ruhui Ma, and Haibing Guan. Fedala: Adaptive local aggregation for personalized federated learning. In <i>AAAI</i> , pp. 11237–11244. AAAI Press, 2023b.
725 726 727	Jianqing Zhang, Yang Liu, Yang Hua, Hao Wang, Tao Song, Zhengui Xue, Ruhui Ma, and Jian Cao. Pfilib: Personalized federated learning algorithm library. <i>arXiv preprint arXiv:2312.04992</i> , 2023c.
728 729	Ke Zhang, Carl Yang, Xiaoxiao Li, Lichao Sun, and Siu-Ming Yiu. Subgraph federated learning with missing neighbor generation. In <i>NeurIPS</i> , pp. 6671–6682, 2021a.
730 731 732	Michael Zhang, Karan Sapra, Sanja Fidler, Serena Yeung, and José M. Álvarez. Personalized federated learning with first order model optimization. In <i>ICLR</i> . OpenReview.net, 2021b.
733 734 735 736 737 738	Yiding Zhang, Xiao Wang, Chuan Shi, Nian Liu, and Guojie Song. Lorentzian graph convolutional networks. In <i>Proceedings of the Web Conference 2021</i> , pp. 1249–1261, 2021c.
739 740 741	
742 743 744	
745 746 747	
748 749 750	
751 752 753	
754 755	

756 757	С	Contents	
758	1	Introduction	1
759	-		-
760	2	Related Work	2
761	2	Destination	`
762	3	Preliminaries	3
764	4	Motivation and Insights	4
765	5	The FlatLand Framework	5
766	e	5.1 Curvature Estimation	5
767		5.2 Parameter Decoupling Strategy	6
768		5.3 Local Training Procedure	5
769	(-
770	0	Analysis	/
771	7	Experiments	8
772		7.1 Experimental Setup	8
773		7.2 Main Experimental Results (RQ1)	8
774		7.3 Varying Embedding Dimensions (RQ2)	9
775		7.4 Ablation Study (RQ3)	9
776	0	Conclusion and Limitations 10	n
777	0		J
778			
779	A	ppendix 15	5
780			
701	Ap	ppendix / supplemental material 10	6
702		A Preliminaries 10	6
703		A.1 Lorentz Manifold: Formal Definitions	6
795		A.2 Forman-Ricci Curvature	э 7
786		A 4 The FedAya Algorithm 12	8
787		B Methodology and Analysis	8
788		B.1 Statistics of Forman-Ricci Curvature in Other Datasets	8
789		B.2 The FlatLand Algorithm	8
790		B.3 Derivation of Parameters Disentanglement	8
791		B.4 Proof of Corollary 1	1
792		B.5 Convergence Analysis	1
793		B.o Time and Space Complexity Compared with FedAvg	> ∕
794		C 1 Datasets 24	+ 4
795		C.2 Implementation Details	4
796		C.3 Experiments on Image Datasets	5
797		C.4 Parial Participation Rate	6
798		C.5 Convergence Curves	5
799		C.6 Broader Impacts 20	5
800			
801			
802			
803			
804			
805			
806			
807			
808			
809			

810 APPENDIX / SUPPLEMENTAL MATERIAL 811

812 A PRELIMINARIES

815

824

825

826

831 832 833

838

846 847

848

858 859

860

814 A.1 LORENTZ MANIFOLD: FORMAL DEFINITIONS

Hyperbolic space is non-Euclidean geometry with a constant negative curvature. The curvature of hyperbolic space is a measure of how the geometry of the space deviates from the flatness of Euclidean space. The Lorentz manifold, also known as the hyperboloid model, is one of the most commonly used mathematical representations of hyperbolic space. Its greater stability for numerical optimization makes it a popular choice for hyperbolic geometry methods Nickel & Kiela (2018).

Definition 1 (Lorentz Manifold). A d-dimensional Lorentz manifold \mathcal{L}_{K}^{d} with a negative curvature of -1/K(K > 0) can be defined as the Riemannian manifold $(\mathbb{H}_{K}^{d}, g_{\ell})$, where $g_{\ell} =$ diag([-K, 1, ..., 1]) and $\mathbb{H}_{K}^{d} = \{\mathbf{x} \in \mathbb{R}^{d+1} : \langle \mathbf{x}, \mathbf{x} \rangle_{\mathcal{L}} = -K, x_{0} > 0\}.$

Definition 2 (Lorentzian Inner Product). The inner product $\langle \mathbf{x}, \mathbf{y} \rangle_{\mathcal{L}}$ for $\mathbf{x}, \mathbf{y} \in \mathbb{R}^{d+1}$ can be defined as let $\langle \mathbf{x}, \mathbf{y} \rangle_{\mathcal{L}} = -x_0 y_0 + \sum_{i=1}^d x_d y_d$.

Based on the constraint $\langle \mathbf{x}, \mathbf{x} \rangle_{\mathcal{L}} = -K$, it holds for any point $\mathbf{x} = (x_0, \mathbf{x}') \in \mathbb{R}^{d+1}$ that $\mathbf{x} \in \mathcal{L}_K^d \Leftrightarrow x_0 = \sqrt{\|\mathbf{x}'\| + K}$. The larger the value of K, the greater the extent to which the hyperbolic surface deviates from the Euclidean plane, as it is influenced by the larger value of x_0 .

Next, the corresponding Lorentzian distance function for two points $\mathbf{x}, \mathbf{y} \in \mathcal{L}_K^d$ is provided as

$$d_{\mathcal{L}}^{K}(\mathbf{x}, \mathbf{y}) = \sqrt{K} \operatorname{arcosh}(-\langle \mathbf{x}, \mathbf{y} \rangle_{\mathcal{L}} / K).$$
(6)

Big Definition 3 (Tangent Space). For a point $\mathbf{x} \in \mathcal{L}_K^d$, the tangent space $\mathcal{T}_{\mathbf{x}} \mathcal{L}_K^d$ consists of all vectors orthogonal to \mathbf{x} , where orthogonality is defined with respect to the Lorentzian inner product Definition 2). Hence, $\mathcal{T}_{\mathbf{x}} \mathcal{L}_K^d = {\mathbf{v} : \langle \mathbf{x}, \mathbf{v} \rangle_{\mathcal{L}} = 0}$.

Definition 4 (Exponential and Logarithmic Maps). Let $\mathbf{v} \in \mathcal{T}_x \mathcal{L}_K^d$. The exponential map $\exp_{\mathbf{x}}^K : \mathcal{T}_{\mathbf{x}} \mathcal{L}_K^d \to \mathcal{L}_K^d$ and logarithmic map $\log_{\mathbf{x}}^K : \mathcal{L}_K^d \to \mathcal{T}_{\mathbf{x}} \mathcal{L}_K^d$ are defined as

$$\exp_{\mathbf{x}}^{K}(\mathbf{v}) = \cosh\left(\frac{\|\mathbf{v}\|_{\mathcal{L}}}{\sqrt{K}}\right)\mathbf{x} + \sqrt{K}\sinh\left(\frac{\|\mathbf{v}\|_{\mathcal{L}}}{\sqrt{K}}\right)\frac{\mathbf{v}}{\|\mathbf{v}\|_{\mathcal{L}}},$$

$$\log_{\mathbf{x}}^{K}(\mathbf{y}) = d_{\mathcal{L}}^{K}(\mathbf{x}, \mathbf{y}) \frac{\mathbf{y} + \frac{1}{K} \langle \mathbf{x}, \mathbf{y} \rangle_{\mathcal{L}} \mathbf{x}}{\left\| \mathbf{y} + \frac{1}{K} \langle \mathbf{x}, \mathbf{y} \rangle_{\mathcal{L}} \mathbf{x} \right\|_{\mathcal{L}}},$$

where $\|\mathbf{v}\|_{\mathcal{L}} = \sqrt{\langle \mathbf{v}, \mathbf{v} \rangle_{\mathcal{L}}}$ denotes the norm of \mathbf{v} in $\mathcal{T}_{\mathbf{x}} \mathcal{L}_{K}^{d}$.

Particularly, for the sake of calculation, the origin of Lorentz manifold $\mathbf{o} = (\sqrt{K}, 0, 0, ..., 0) \in \mathcal{L}_K^d$ is chosen as the reference point for the exponential and logarithmic maps, which can be simplified as

$$\exp_{\mathbf{o}}^{K}(\mathbf{v}) = \exp_{\mathbf{o}}^{K}\left(\left[0, \mathbf{v}^{E}\right]\right)$$
$$= \left(\underbrace{\cosh\left(\frac{\|\mathbf{v}^{E}\|_{2}}{\sqrt{K}}\right)}_{\text{time-like dimension}}, \underbrace{\sqrt{K}\sinh\left(\frac{\|\mathbf{v}^{E}\|_{2}}{\sqrt{K}}\right)\frac{\mathbf{v}^{E}}{\|\mathbf{v}^{E}\|_{2}}}_{\text{space-like dimension}}\right),$$
(7)

where the (,) denotes concatenation and the \cdot^{E} denotes the embedding in Euclidean space.

A.2 FORMAN-RICCI CURVATURE

Curvature is a metric used in Riemannian geometry that expresses how far a curved line deviates
from a straight line, or how much a surface deviates from planarity. In this context, knowledge of the
local and global geometrical features depends on an understanding of sectional curvature and Ricci
curvature, respectively Sun et al. (2024); Ye et al. (2019).

Sectional Curvature. This type of curvature is determined at any given point on a manifold by
 examining all possible two-dimensional subspaces that intersect at that point. It provides a more
 straightforward representation than the Riemann curvature tensor Lee (2018). Recent studies Chen
 et al. (2021) often treat sectional curvature uniformly across the manifold, simplifying it to a singular
 constant value.

Ricci Curvature. Ricci curvature averages the sectional curvatures at a specific point. In graph theory, various discrete versions of Ricci curvature have been developed, such as Ollivier-Ricci curvature Ollivier (2009) and Forman-Ricci curvature Forman (2003). The Ricci curvature on graphs is intended to assess how the local structure around a graph edge deviates from that of a grid graph. Notably, the Ollivier approach provides a rougher estimate of Ricci curvature, whereas the Forman method is more combinatorial and computationally efficient.

For a weighted graph G = (V, E, w), the overall Forman-Ricci curvature $\overline{\text{Ric}}(G)$ can be calculated as follows:

$$\overline{\operatorname{Ric}}(G) = \frac{1}{|E|} \sum_{(i,j) \in E} \operatorname{Ric}(i,j)$$

where |E| represents the cardinality of the edge set E (i.e., the total number of edges), and $\operatorname{Ric}(i, j)$ is the Forman-Ricci curvature of the edge (i, j), computed as Southern et al. (2024)

$$\operatorname{Ric}(i,j) := : w_e \left(\frac{w_i}{w_e} + \frac{w_j}{w_e} - \sum_{e_l \sim i} \frac{w_i}{\sqrt{w_e w_{e_l}}} - \sum_{e_l \sim j} \frac{w_j}{\sqrt{w_e w_{e_l}}} \right)$$

where w_e denotes the weight of the edge e, i.e, (x, y), w_i and w_j are the weights of vertices i and j, respectively. The sums over $e_l \sim k$ run over all edges e_l incident on the vertex k excluding e. Specifically, the curvature with vertex and edge weights set to 1, is

 $\operatorname{Ric}(i,j) := 4 - d_i - d_j + 3|\#\Delta|,$

where d_i is the degree of node *i* and $|\#\Delta|$ is the number of 3-cycles (i.e. triangles) containing the adjacent nodes.

Therefore, the overall Forman-Ricci curvature of the graph is the weighted average of the curvature values of all edges.

A.3 LORENTZ TRANSFORMATIONS

In special relativity, Lorentz transformations are a family of linear transformations that describe the relationship between two coordinate frames in spacetime moving at a constant velocity relative to each other. They can be decomposed into a combination of a Lorentz Boost and a Lorentz Rotation Moretti (2002). The Lorentz boost, given a velocity $v \in \mathbb{R}^n$ with ||v|| < 1, is represented by the matrix B, which encodes the relative motion with constant velocity without rotation of the spatial axes. The Lorentz rotation matrix R represents the rotation of spatial coordinates and is a special orthogonal matrix, i.e., $R^{\top}R = I$ and det(R) = 1.

Definition 5 (Lorentz Boost). A Lorentz boost represents a change in velocity between two coordinate frames without rotation of the spatial axes. Given a velocity $\mathbf{v} \in \mathbb{R}^n$ (relative to the speed of light) with $\|\mathbf{v}\| < 1$, and the Lorentz factor $\gamma = \frac{1}{\sqrt{1-\|\mathbf{v}\|^2}}$, the Lorentz boost matrix is defined as:

$$\mathbf{B} = \begin{bmatrix} \gamma & -\gamma \mathbf{v}^{\mathsf{T}} \\ -\gamma \mathbf{v} & \mathbf{I} + \frac{\gamma^2}{1+\gamma} \mathbf{v} \mathbf{v}^{\mathsf{T}} \end{bmatrix}$$
(8)

915 where **I** is the $n \times n$ identity matrix.

878 879 880

882

889

890

891 892

893

899

900

911 912

- 916
 917 A Lorentz boost describes the geometric transformation between two inertial reference frames moving
 - at a constant relative velocity, which involves a hyperbolic rotation in the space-time plane.

Definition 6 (Lorentz Rotation). A Lorentz rotation describes a rotation of the spatial coordinates. The Lorentz rotation matrix is defined as:

$$\mathbf{R} = \begin{bmatrix} 1 & \mathbf{0}^{\top} \\ \mathbf{0} & \tilde{\mathbf{R}} \end{bmatrix}$$
(9)

 \mathcal{C}

where $\mathbf{\tilde{R}} \in SO(n)$ is a special orthogonal matrix satisfying $\mathbf{\tilde{R}}^{\top}\mathbf{\tilde{R}} = \mathbf{I}$ and $\det(\mathbf{\tilde{R}}) = 1$.

A Lorentz rotation represents a geometric rotation or change of orientation in the spatial dimensions of the space-time manifold, while leaving the time dimension unchanged.

Both the Lorentz boost and the Lorentz rotation are linear transformations defined directly in the Lorentz model. For any point $\mathbf{x} \in \mathcal{L}_{K}^{n}$, we have $\mathbf{B}\mathbf{x} \in \mathcal{L}_{K}^{n}$ and $\mathbf{R}\mathbf{x} \in \mathcal{L}_{K}^{n}$.

A.4 THE FEDAVG ALGORITHM

Federated Learning (FL) is a distributed learning approach that enables the training of machine learning models using data residing on local devices. A cornerstone algorithm within the FL paradigm is the FedAvg algorithm McMahan et al. (2017). FedAvg is particularly effective for scenarios where data is decentralized and not identically distributed across participants.

Algorithm 1: FedAvg

937		
938	I	Input : Model parameters θ , learning rate η , and client dataset \mathcal{D}_c for each client $c \in$
000	(Output : Aggregated model parameters θ
939	1	Initialize model parameters $\theta^{(0)}$;
940	2 1	for each communication round r do
941	3	for each client c in C do
942	4	Client c receives global model parameters $\theta^{(r)}$;
943	5	for local epochs e do
944	6	Compute gradients $\nabla \mathcal{L} = \nabla_{\boldsymbol{\theta}^{(r)}} \sum_{(\mathbf{x}, \mathbf{y}) \in \mathcal{D}_c} \mathcal{L}_c(f(\mathbf{x}; \boldsymbol{\theta}^{(r)}), y);$
945	7	end
946	8	Update local model $\boldsymbol{\theta}^{(r+1)} \leftarrow \boldsymbol{\theta}^{(r)} - \eta \nabla \mathcal{L};$
947	9	Send $\boldsymbol{\theta}^{(r+1)}$ to the server;
948	10	end
949	11	$N = \sum_{c \in \mathcal{C}} \mathcal{D}_c ;$
950	12	Server aggregates models $\boldsymbol{\theta}^{(r+1)} \leftarrow \frac{ \mathcal{D}_c }{N} \sum_{c \in \mathcal{C}} \boldsymbol{\theta}_c^{(r+1)}$;
951	13	end

B METHODOLOGY AND ANALYSIS

STATISTICS OF FORMAN-RICCI CURVATURE IN OTHER DATASETS **B**.1

We have calculated the Forman-Ricci curvature (Appendix A.2) for each client in the Cora, Photo, and ogbn-arxiv datasets, which have 10 clients each. The statistics for CiteSeer dataset are shown in Figure 2 Initialization.

B.2 THE FlatLand ALGORITHM

This section introduces the pseudocode of our FlatLand, as shown in Algorithm 2.

B.3 DERIVATION OF PARAMETERS DISENTANGLEMENT

The reformulated Lorentz neural network in layer l is shown as

$$\mathbf{x}^{(l+1)} = \mathrm{LT}(\mathbf{x}^{(l)}; \hat{\mathbf{M}}^{(l)}) = \left(\underbrace{\sqrt{\|mx_t + \mathbf{M}\mathbf{x}_s\|^2 + K}}_{\text{time-like dimensions } \mathbf{x}_t^{(l+1)}}, \underbrace{mx_t + \mathbf{M}\mathbf{x}_s}_{\text{space-like dimensions } \mathbf{x}_s^{(l+1)}}\right)^T.$$
(10)

The loss $\mathcal{L}_c(f(\mathbf{x}; \boldsymbol{\theta}_c, \boldsymbol{\theta}_s), y)$ of client c, the partial derivatives can be calculated as follows:



1026 TIME-LIKE DIMENSION $x_t^{(l+1)}$

First, we compute the partial derivative of $x_t^{(l+1)}$ with respect to the matrix $\mathbf{M}^{(l)}$ and $m^{(l)}$. Using the chain rule:

$$\frac{\partial x_t^{(l+1)}}{\partial \mathbf{M}^{(l)}} = \frac{\partial}{\partial \mathbf{M}} \sqrt{\|m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)}\|^2 + K};$$

$$\frac{\partial x_t^{(l+1)}}{\partial m^{(l)}} = \frac{\partial}{\partial m} \sqrt{\|m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)}\|^2 + K}.$$

1036 Applying the chain rule, we get:

$$\frac{\partial x_t^{(l+1)}}{\partial \mathbf{M}^{(l)}} = \frac{1}{2} \left(\| m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)} \|^2 + K \right)^{-\frac{1}{2}} \cdot 2(m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)}) \cdot \frac{\partial (\mathbf{M}^{(l)} \mathbf{x}_s^{(l)})}{\partial \mathbf{M}^{(l)}}
= \frac{m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)}}{\sqrt{\| m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)} \|^2 + K}} \cdot \frac{\partial (\mathbf{M}^{(l)} \mathbf{x}_s^{(l)})}{\partial \mathbf{M}^{(l)}} \tag{11}$$

$$\frac{\partial x_t^{(l+1)}}{\partial m^{(l)}} = \frac{1}{2} \left(\|m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)}\|^2 + K \right)^{-\frac{1}{2}} \cdot 2(m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)}) \cdot \frac{\partial (m^{(l)} \mathbf{x}_t^{(l)})}{\partial \mathbf{M}^{(l)}}
= \frac{(m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)})}{\sqrt{\|m^{(l)} x_t^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_s^{(l)}\|^2 + K}} \cdot x_t^{(l)}$$
(12)

1052 SPACE-LIKE DIMENSION $\mathbf{x}_{s}^{(l+1)}$

Assume that the update rule for the space-like vector $\mathbf{x}_s^{(l+1)}$ is given by the following formula:

$$\mathbf{x}_{s}^{(l+1)} = m^{(l)} x_{t}^{(l)} + \mathbf{M}^{(l)} \mathbf{x}_{s}^{(l)}$$

1058 Similarly, we have

 $\frac{\partial \mathbf{x}_{s}^{(l+1)}}{\partial \mathbf{M}^{(l)}} = \frac{\partial \left(\mathbf{M}^{(l)} \mathbf{x}_{s}^{(l)}\right)}{\partial \mathbf{M}^{(l)}}, \quad \frac{\partial \mathbf{x}_{s}^{(l+1)}}{\partial m^{(l)}} = \frac{\partial \left(m^{(l)} \mathbf{x}_{t}^{(l)}\right)}{\partial m^{(l)}}.$ (13)

1064 "Flatland" is the space of dimension 1: n, serving as a metaphor for a platform where common 1065 information is exchanged and integrated. The same space-like dimension transformation $\mathbf{x}_s^{(l)} \rightarrow \mathbf{x}_s^{(l+1)}$, i.e., $\mathbf{x}_s^{(l)} \rightarrow (\mathbf{M}^{(l)}\mathbf{x}_s^{(l)} + m^{(l)}\mathbf{x}_s^{(l)})$ in different client with different curvatures, it is easy to 1068 know that the gradient of the parameter m is only related to x_t .

For better illustration, here, we let $\mathbf{x}^{(l)} \in \mathcal{L}_K^n$, $\mathbf{x}^{(l+1)} \in \mathcal{L}_K^n$, and $\hat{\mathbf{M}}^{(l)} \in \mathbb{R}^{(n+1)\times(n+1)}$. The introduced "*Flatland*" \mathbb{R}^n is defined as a manifold spanning dimensions 1 to *n*. This construct serves as a metaphorical platform for the exchange and integration of common information, and x_t serves as the heterogeneous information. Consider the same transformation of a space-like vector $\mathbf{x}_s^{(l)}$ to $\mathbf{x}_s^{(l+1)}$ in different clients, formulated as

1075
$$\mathbf{x}_{s}^{(l)} \rightarrow \left(\mathbf{M}^{(l)}\mathbf{x}_{s}^{(l)} + m^{(l)}\mathbf{x}_{s}^{(l)}\right)$$
1076

it is easy to recognize that the gradient of the parameter $m^{(l)}$ depends solely on x_t (Equation (12) and Equation (13)). Therefore, the update of parameter $m^{(l)}$ is only related to heterogeneous information and transmitted to the server side for aggregation may lead to performance degradation.

B.4 PROOF OF COROLLARY 1 B.4 PROOF OF COROLLARY 1 Proof. Let $\mathbf{x} = \begin{bmatrix} x_t \\ \mathbf{x}_s \end{bmatrix} \in \mathcal{L}_K^n$, where $x_t \in \mathbb{R}, \mathbf{x}_s \in \mathbb{R}^n$. According to Equation (4), we have: LT $(\mathbf{x}; \Phi(\hat{\mathbf{M}}, \mathbf{N})) = \begin{bmatrix} \sqrt{\|mx_t + \mathbf{N}\mathbf{x}_s\|^2 + K} \\ mx_t + \mathbf{N}\mathbf{x}_s \end{bmatrix}$ We need to prove that $LT(\mathbf{x}; \Phi(\hat{\mathbf{M}}, \mathbf{N})) \in \mathcal{L}_K^m$, i.e., to prove that it satisfies the definition of

We need to prove that $LT(\mathbf{x}; \Phi(\mathbf{\hat{M}}, \mathbf{N})) \in \mathcal{L}_{K}^{m}$, i.e., to prove that it satisfies the definition condition of the Lorentz manifold $\langle \cdot, \cdot \rangle_{\mathcal{L}} = -K$:

$$\left\langle \operatorname{LT}\left(\mathbf{x}; \Phi(\hat{\mathbf{M}}, \mathbf{N})\right), \operatorname{LT}\left(\mathbf{x}; \Phi(\hat{\mathbf{M}}, \mathbf{N})\right) \right\rangle_{\mathcal{L}}$$

$$= \left\langle \left[\sqrt{\|mx_t + \mathbf{N}\mathbf{x}_s\|^2 + K} \\ mx_t + \mathbf{N}\mathbf{x}_s \end{bmatrix}, \left[\sqrt{\|mx_t + \mathbf{N}\mathbf{x}_s\|^2 + K} \\ mx_t + \mathbf{N}\mathbf{x}_s \end{bmatrix} \right] \right\rangle_{\mathcal{L}}$$
 (Definition 2)
$$= -\left(\sqrt{\|mx_t + \mathbf{N}\mathbf{x}_s\|^2 + K} \right)^2 + \|mx_t + \mathbf{N}\mathbf{x}_s\|^2$$

$$= -K$$

1098 1099

1080

1089 1090

1093

1095

Therefore, we have proved that $\mathrm{LT}\left(\mathbf{x};\Phi(\hat{\mathbf{M}},\mathbf{N})
ight)\in\mathcal{L}_{K}^{m}.$

1100 1101

1102 1103 B.5 CONVERGENCE ANALYSIS

FedAvg converges to the global optimum at a rate of $O(\frac{1}{T})$ for strongly convex and smooth functions and non-iid data. When the learning rate is sufficiently small, the effect of *E* steps of local updates is similar to a step update with a larger learning rate (Li et al., 2020b).

In this section, we demonstrate that FlatLand achieves a convergence rate of $O(\frac{1}{T})$ without regularization, which is consistent with FedAvg. Furthermore, when incorporating regularization similar to FedProx (Li et al., 2020a), the convergence rate can be bounded by a constant that reflects the degree of data heterogeneity, analogous to FedProx's theoretical guarantees. This analysis confirms that our special geometric enhanced decoupling strategy maintains the overall convergence properties while addressing the challenges of heterogeneous data distribution.

To simplify the analysis, we consider each client conducts full batch gradient descent with one step. At client c, the objective function can be generally written as

1116

$$\min_{\boldsymbol{\theta}_c|_{c=1}^C, \boldsymbol{\theta}_s} \mathcal{L}_c(f(\mathbf{x}^{K_c}; \boldsymbol{\theta}_c, \boldsymbol{\theta}_s), y) + \lambda \|\boldsymbol{\theta}_{s_c} - \overline{\boldsymbol{\theta}}_s\|_2^2,$$
(14)

1117 1118

1128 1129

1119 1120 where λ is a hyperparameter, $y \in \mathcal{Y}$, $\|\boldsymbol{\theta}_{s_c} - \overline{\boldsymbol{\theta}}_s\|_2^2$ is the regularization term that prevents the locally 1121 updated model $\boldsymbol{\theta}_{s_c}$ from deviating too far from the server shared parameters $\overline{\boldsymbol{\theta}}_s$.

1122 Let $\ell_c = \mathcal{L}_c(f(\mathbf{x}^{K_c}; \boldsymbol{\theta}_c, \boldsymbol{\theta}_s), y)$, then the global loss is taken as an average of the loss of each client: 1123 $\ell = \sum_{c \in \mathcal{C}} p_c \ell_c$, where $p_c \ge 0$ and $\sum_c p_c = 1$.

The local update is performed using vanilla gradient descent with a local learning rate η in each client, and $\Theta_c(r) \in \mathcal{E}$ represents the weight parameters of the client c in the round r. Then, for global round r, r, r, r,

$$\Delta \Theta_c^{(r)} = \Theta_c^{(r+1)} - \Theta_c^{(r)} = -\eta \left(\nabla \ell_c(\Theta^{(r)}) + 2\lambda \left(\theta_{s_c} - \hat{\theta}_s \right) \right)$$

1130 To better calculate the difference between personalized parameters and shared parameters, we let

1131 1132 $\Theta_{a}^{(r)} = \theta_{a}^{(r)} + \theta_{a}^{(r)}$

1133 , where, $\theta_c^{(r)} = [m^{(r)} \ \mathbf{o}], \theta_s^{(r)} = [\mathbf{o} \ \mathbf{M}^{(r)}].$

Specifically, the global aggregation procedure is conducted by taking the average of local updates of shared parameters θ_s of all |C| clients. According to

$$\boldsymbol{\theta}_{s}^{(r+1)} = \bar{\boldsymbol{\theta}}_{s}^{(r)} = \sum_{c \in \mathcal{C}} \frac{|\mathcal{D}_{c}|}{N} \boldsymbol{\theta}_{s_{c}}^{(r)} = \sum_{c \in \mathcal{C}} p_{c} \boldsymbol{\theta}_{s_{c}}^{(r)}$$

We make the following standard Assumption commonly used in non-convex optimization (Li et al., 2020b; Reddi et al., 2020).

Assumption 1 (L-smoothness). $\forall_{c \in \mathcal{C}} \ell_c$ are L-smooth: for all $\Theta_1 \in \mathbb{E}$ and $\Theta_2 \in \mathbb{E}$,

$$\ell_c(\boldsymbol{\Theta}_1) \leq \ell_c(\boldsymbol{\Theta}_2) + (\boldsymbol{\Theta}_1 - \boldsymbol{\Theta}_2)^T \nabla \ell_c(\boldsymbol{\Theta}_2) + \frac{L}{2} \|\boldsymbol{\Theta}_1 - \boldsymbol{\Theta}_2\|_2^2$$

Assumption 2 (Bounded Gradients). *The function* $\ell_c(\Theta)$ *have G-bounded gradients, i.e., for any* $c \in C, \Theta \in \mathbb{R}^d$ we have $\|\nabla \ell_c(\Theta)\| \leq G$.

Lemma 1 (Smooth Decent Lemma). Let $\ell : \mathcal{E} \to \mathbb{R}$ be an L-smooth function. Then for any $\Theta^{(r)}, \Theta^{(r+1)} \in \mathbb{E}$, the following inequality holds:

1150
$$\ell(\Theta^{(r+1)}) \le \ell(\Theta^{(r)}) + \langle \nabla \ell(\Theta^{(r)}), \Delta \Theta^{(r)} \rangle + \frac{L}{2} \| \Delta \Theta^{(r)} \|^2.$$
1151

1153 Let $\delta^{(r)} = 2\lambda \sum_{c \in \mathcal{C}} \frac{|\mathcal{D}_c|}{N} \left(\boldsymbol{\theta}_{s_c} - \bar{\boldsymbol{\theta}}_{\boldsymbol{s}} \right)$. Based on Lemma 1, we have

 $\ell(\Theta^{(r+1)}) \le \ell(\Theta^{(r)}) + \langle \nabla \ell(\Theta^{(r)}), \Delta \Theta^{(r)} \rangle + \frac{L}{2} \| \Delta \Theta^{(r)} \|^2$ $= \ell(\boldsymbol{\Theta}^{(r)}) + \left\langle \nabla \ell(\boldsymbol{\Theta}^{(r)}), -\eta \left(\nabla \ell(\boldsymbol{\Theta}^{(r)}) + \delta^{(r)} \right) \right\rangle + \frac{L\eta^2}{2} \|\nabla \ell(\boldsymbol{\Theta}^{(r)}) + \delta^{(r)}\|^2$ $= \ell(\boldsymbol{\Theta}^{(r)}) - \eta \left\langle \nabla \ell(\boldsymbol{\Theta}^{(r)}), \nabla \ell(\boldsymbol{\Theta}^{(r)}) + \delta^{(r)} \right\rangle + \frac{L\eta^2}{2} \|\nabla \ell(\boldsymbol{\Theta}^{(r)}) + \delta^{(r)}\|^2$ $= \ell(\Theta^{(r)}) - \eta \|\nabla \ell(\Theta^{(r)})\|^2 - \eta \left\langle \nabla \ell(\Theta^{(r)}), \delta^{(r)} \right\rangle + \frac{L\eta^2}{2} \|\nabla \ell(\Theta^{(r)})\|^2 + L\eta^2 \langle \nabla \ell(\Theta^{(r)}, \delta^{(r)}) \rangle + \frac{L\eta^2}{2} \|\delta^{(r)}\|^2$ $= \ell(\Theta^{(r)}) + (\frac{L\eta^2}{2} - \eta) \|\nabla\ell(\Theta^{(r)})\|^2 + \frac{L\eta^2}{2} \|\delta^{(r)}\|^2 + (L\eta^2 - \eta) \left\langle \nabla\ell(\Theta^{(r)}), \delta^{(r)} \right\rangle$ $= \ell(\Theta^{(r)}) + (\frac{L\eta^2}{2} - \eta) \|\nabla \ell(\Theta^{(r)})\|^2 + \frac{L\eta^2}{2} \|\delta^{(r)}\|^2 + \frac{L\eta^2 - \eta}{2} \left(\|\nabla \ell(\Theta^{(r)})\|^2 + \|\delta^{(r)}\|^2 - \|\nabla \ell(\Theta^{(r)}) + \delta^{(r)}\|^2 \right)$ $=\ell(\Theta^{(r)}) + (L\eta^2 - \frac{3\eta}{2})\|\nabla\ell(\Theta^{(r)})\|^2 + (L\eta^2 - \frac{\eta}{2})\|\delta^{(r)}\|^2 - \frac{L\eta^2 - \eta}{2}\|\nabla\ell(\Theta^{(r)}) + \delta^{(r)}\|^2$ (15)We select $\eta = \frac{1}{L}$, so we we have

$$q(\mathbf{O}(r+1)) < q(\mathbf{O}(r)) = \frac{1}{2} \|\nabla q(\mathbf{O}(r))\|^2$$

$$\ell(\Theta^{(r+1)}) \le \ell(\Theta^{(r)}) - \frac{1}{2L} \|\nabla \ell(\Theta^{(r)})\|^2 + \frac{1}{2L} \|\delta^{(r)}\|^2$$
(16)

1176 Rearrange the above inequality and we have

$$\|\nabla \ell(\Theta^{(r)})\|^{2} \leq 2L \left(\ell(\Theta^{(r+1)}) - \ell(\Theta^{(r)})\right) + \|\delta^{(r)}\|^{2}$$
(17)

1180 Then, sum r from 1 to T, we have

$$\min_{r \in [T]} \|\nabla \ell(\mathbf{\Theta}^{(r)})\| \le \frac{2L\left(\ell(\mathbf{\Theta}^{(r+1)}) - \ell(\mathbf{\Theta}^{(r)})\right)}{T} + \frac{1}{T} \sum_{r \in [T]} \|\delta^{(r)}\|^2 \tag{18}$$

Definition 7 (*B*-local dissimilarity). The local functions ℓ_c are *B*-locally dissimilar at Θ if

$$\mathbb{E}_{c}[\|\nabla \ell_{c}(\boldsymbol{\Theta})\|^{2}] \leq \|\nabla \ell(\boldsymbol{\Theta})\|^{2}B^{2}.$$

We further define $B(\Theta) = \sqrt{\frac{\mathbb{E}_{c}[\|\nabla \ell_{c}(\Theta)\|^{2}]}{\|\nabla \ell(\Theta)\|^{2}}}$ for $\|\nabla \ell(\Theta)\| \neq 0$.

1188 Definition 8 (γ -inexact solution). For a function $h(w; w_0) = F(w) + \lambda ||w - w_0||^2$, and $\gamma \in [0, 1]$, we say w^* is a γ -inexact solution of $\min_w h(w; w_0)$ if $||\nabla h(w^*; w_0)|| \le \gamma ||\nabla h(w_0; w_0)||$, where $\nabla h(w; w_0) = \nabla F(w) + \mu(w - w_0)$, where, $\mu = 2\lambda$. Note that smaller γ corresponds to higher accuracy.

1193 Using the notion of γ -inexactness for each local client, we can define $e_c^{(r)}$ such that

$$\nabla \ell_c \left(\boldsymbol{\Theta}_c^{(r+1)} \right) + \mu \left(\hat{\boldsymbol{\theta}}_s^{(r)} - \boldsymbol{\theta}_{s_c}^{(r)} \right) + \mu \left(\boldsymbol{\theta}_c^{(r+1)} - \boldsymbol{\theta}_c^{(r)} \right) - e_c^{(r)} = 0,$$

$$\| \boldsymbol{e}_c^{(r)} \| \le \gamma \| \nabla \ell_c \left(\boldsymbol{\Theta}_c^{(r)} \right) \|.$$
(19)

1198 Then we have 1199

1194 1195

1196 1197

1200 1201

1204 1205

$$\boldsymbol{\theta}_{s}^{(r+1)} - \boldsymbol{\theta}_{s}^{(r)} = \frac{-1}{\mu} \mathbb{E}_{c} \left[\nabla \ell_{c} \left(\boldsymbol{\Theta}_{c}^{(r)} \right) \right] + \frac{1}{\mu} \mathbb{E}_{c} [e_{c}^{(r)}] - \mathbb{E}_{c} \left[\Delta \boldsymbol{\theta}_{c}^{(r)} \right], \tag{20}$$

According to (Li et al., 2020a) and triangle inequality, when a regularization is incorporated, $(\lambda > 0)$, we have

$$\begin{aligned} \frac{1}{4\lambda^2} \|\delta^{(r)}\|^2 &\leq \left(\mathbb{E}_c \left[\|\boldsymbol{\theta}_s^{(r+1)} - \boldsymbol{\theta}_{s_c}^{(r)}\|\right]\right)^2 \leq \left(\frac{1+\gamma}{\bar{\mu}}\right)^2 \left(\mathbb{E}_c \left[\|\nabla \ell_c \left(\boldsymbol{\Theta}_c^{(r)}\right) - \Delta \boldsymbol{\theta}_c^{(r)}\|\right]\right)^2 \\ &\leq \left(\frac{1+\gamma}{\bar{\mu}}\right)^2 \left(\mathbb{E}_c \left[\|\nabla \ell_c \left(\boldsymbol{\Theta}_c^{(r)}\right) - \Delta \boldsymbol{\theta}_c^{(r)}\|^2\right]\right) \\ &\leq \frac{B^2(1+\gamma)^2}{\bar{\mu}^2} \mathbb{E} \left[\|\nabla \ell_c \left(\boldsymbol{\Theta}_c^{(r)}\right)\|^2\right] + C, \end{aligned}$$

Based on the assumption of the bounded gradients (Assumption 2), we find that the $\delta^{(r)}$ is also bounded. Specifically, $C = \left(\frac{1+\gamma}{\bar{\mu}}\right)^2 \mathbb{E}_c[\|\Delta \theta_c\|^2] \approx \left(\frac{1+\gamma}{\bar{\mu}}\right)^2 \mathbb{E}[\|\Delta M_c\|^2]$. $\|\delta^{(r)}\|^2$ measures the degree of data heterogeneity.

1216 Overall, when $\lambda = 0$, the term $\delta^{(r)} = 0$, eliminating the impact of data heterogeneity and resulting 1217 in a convergence rate of $O\left(\frac{1}{T}\right)$, consistent with FedAvg. And when incorporating regularization 1218 $(\lambda > 0)$, we establish that $\|\delta^{(r)}\|^2$ is bounded, analogous to the theoretical guarantees provided by 1220 FedProx (Li et al., 2020a)..

1221 B.6 TIME AND SPACE COMPLEXITY COMPARED WITH FEDAVG

We analyze the computational complexity of FlatLand compared to FedAvg, which gives insight forthe scalability.

- Local Update The additional operations in FlatLand's local update phase compared with FedAvg -1226 curvature estimation (Section 5.1), exponential map (line 4 in Algorithm 2, Equation 7). Notably, 1227 the curvature estimation can be *pre-computed* since each client's data distribution corresponds to a 1228 constant curvature value. For exponential map, the transformation only requires a single non-linear 1229 mapping operation based on the norm of input samples with the time complexity of O(1). These 1230 norms can also be *pre-computed and cached*. Therefore, while FlatLand introduces these additional 1231 steps compared to FedAvg, their practical computational overhead is limited due to pre-computation 1232 opportunities and constant-time operations. 1233
- 1234 Aggregation FlatLand and FedAvg have the same aggregation time complexity when the hidden 1235 embedding dimension is the same. Though FlatLand introduces extra time-like space parameters, 1236 it only aggregates shared parameters θ_s while maintaining personalized parameters. The overhead 1237 of the shared parameters is the same. Moreover, FlatLand can perform better in low dimensionality 1238 (Section 7.3), which potentially reduces practical communication costs.
- 1239

1225

Space Requirements and Storage FlatLand requires extra O(d + 1) storage per client compared to FedAvg due to the additional time-like dimension and curvature parameter, where d is the hidden dimension. Since typically d is small, the increase in storage is small. Moreover, FlatLand 1242 Table 4: Statistics of node classification datasets. We report the (average) number of nodes, edges, 1243 classes, clustering coefficient, and heterogeneity for different numbers of clients.

Dataset		Cora			Citeseer		0	gbn-arxiv		Ama	zon-Phot	0
# Clients	1	10	20	1	10	20	1	10	20	1	10	20
# Classes		7			6			40			8	
Avg. # Nodes	2,485	249	124	2,120	212	106	169,343	16,934	8,467	7,487	749	374
Avg. # Edges	10,138	891	422	7,358	675	326	2,315,598	182,226	86,755	238,086	19,322	8,547
Avg. Clustering Coefficient	0.238	0.259	0.263	0.170	0.178	0.180	0.226	0.259	0.269	0.410	0.457	0.477
Heterogeneity	N/A	0.606	0.665	N/A	0.541	0.568	N/A	0.615	0.637	N/A	0.681	0.751

1251 Table 5: Statistics of graph classification datasets. We report the (average) number of graphs, nodes, 1252 edges, classes, and node features of each dataset.

1253														
1254	Dataset				CHEM					BIO			SN	
1055		MUTAG	BZR	COX2	DHFR	PTC_MR	AIDS	NCI1	ENZYMES	DD	PROTEINS	COLLAB	IMDB-BINARY	IMDB-MULTI
1255	# Graphs	188	405	467	467	344	2000	4110	600	1178	1113	5000	1000	1500
1256	Avg. # Nodes	17.93	35.75	41.22	42.43	14.29	15.69	29.87	32.63	284.32	39.06	74.49	19.77	13.00
1230	Avg. # Edges	19.79	38.36	43.45	44.54	14.69	16.20	32.30	62.14	715.66	72.82	2457.78	96.53	65.94
1057	# Classes	2	2	2	2	2	2	2	6	2	2	3	2	3
1237	Node Features	original	degree	degree	degree									

demonstrates superior performance even in low-dimensional settings compared with the Euclidean 1260 counterparts, which further limits the practical storage overhead. 1261

1262 This analysis suggests that FlatLand can balance the trade-off between computational overhead and 1263 model effectiveness, showing the scalability for the increase in clients. While it introduces additional 1264 operations in local computations, these overheads are limited and offer significant optimization 1265 opportunities through pre-computation and caching strategies. The method compensates for these minimal costs through reduced communication overhead and enhanced representation capabilities 1266 in the Lorentz space, making it a practical and efficient choice for personalized federated learning 1267 applications. 1268

1269

1259

С EXPERIMENTAL SUPPLEMENTARY 1270

1271 C.1 DATASETS 1272

1273 For federated node classification, we adopt four benchmark datasets constructed by Baek et al. (2023): 1274 Cora, CiteSeer, ogbn-arxiv, and Photo Sen et al. (2008); Hu et al. (2020); Shchur et al. (2018). Cora, 1275 CiteSeer, and ogbn-arxiv are citation graphs. Photo is a product graph. Each graph dataset is divided 1276 into a certain number of disjoint subgraphs using the METIS graph partitioning algorithm Karypis & Kumar (1995), where each subgraph belongs to an FL client. Statistics of datasets are summarized in 1277 Table 4. 1278

1279 For federated graph classification, we consider the non-IID settings proposed by Xie et al. (2021). 1280 In total, there are 13 graph classification datasets from three different domains, including small molecules (MUTAG, BZR, COX2, DHFR, PTC_MR, AIDS, NCI1) denoted as CHEM, bioinformatics 1281 (ENZYMES, DD, PROTEINS) denoted as BIO, and social networks (COLLAB, IMDB-BINARY, 1282 IMDB-MULTI) Morris et al. (2020) denoted as SN. To simulate data heterogeneity, three non-IID 1283 settings are constructed: (1) a cross-dataset setting based on the small molecule datasets (CHEM), 1284 (2) a cross-domain setting based on all datasets (BIO-CHEM-SN). In each setting, one dataset 1285 corresponds to one FL client. Statistics of datasets are summarized in Table 5. 1286

1287 1288

C.2 IMPLEMENTATION DETAILS

1289 **Implementation of learnable curvature.** K is a learnable scalar parameter. To ensure the curvature 1290 remains negative (as required for hyperbolic space), we implement it as sigmoid(K) + 0.5. This 1291 design also keeps curvature -K within an effective range of [0.5, 1.5], which prior work has shown to be ideal for hyperbolic models (Chen et al., 2021). Additionally, this approach maintains numerical 1292 stability while satisfying the need for a heterogeneous space. 1293

- 1294
- **Implementation of node classification / graph classification task.** For the node classification task, 1295 we employ 2-layer GCN Kipf & Welling (2017) for Euclidean models, 2-layer LGCN Chen et al.

# clients (β)	MNIST (Acc%) 20(0.1)	MNIST (AUC%) 20(0.1)	MNIST (Acc%) 100(0.1)	MNIST (AUC%) 100(0.1)
FedAvg	87.86 ± 0.0816	97.77 ± 0.0149	86.14 ± 0.2066	96.57 ± 0.0508
FedProx	87.53 ± 0.0771	98.81 ± 0.0110	84.50 ± 0.1658	98.22 ± 0.0442
Ditto	97.85 ± 0.0191	$\underline{99.92}\pm0.0012$	96.45 ± 0.0415	$\underline{99.78} \pm 0.0047$
GPFL	92.90 ± 0.0724	99.48 ± 0.0110	96.52 ± 0.0462	99.70 ± 0.0136
FedRep	$\underline{98.14} \pm 0.0196$	99.85 ± 0.0196	96.54 ± 0.0750	99.67 ± 0.0190
FedCAC	97.85 ± 0.0189	$\underline{99.92}\pm0.0012$	$\underline{96.59} \pm 0.0505$	99.81 ± 0.0080
FlatLand	98.35 ± 0.0136	99.93 ± 0.0011	96.64 ± 0.0495	99.70 ± 0.0116

Table 6: Performance comparison on MNIST dataset.



Figure 7: The convergence curves of our proposed methods and the strong baselines.

1319 (2021) for FlatLand, and HGCN with node selection for FedHGCN Du et al. (2024). LGCN serves 1320 as the backbone for our graph learning framework, combining Lorentz linear layers (Equation 2) with 1321 graph aggregation operations, similar to how Euclidean counterparts like GCN and GIN integrate 1322 linear layers with graph aggregation. Each layer applies a Lorentz transformation followed by 1323 neighbor aggregation using the adjacency matrix to get the node representations. We conduct 100 1324 rounds for Cora/CiteSeer and 200 rounds for larger datasets like Photo/ogbn-arxiv, with 1-3 local epochs, use 128-dim hidden layers. For graph classification, we use 3-layer GIN Xu et al. (2018) as 1325 the Euclidean encoder, and the same 3-layer hyperbolic encoders as node classification for hyperbolic 1326 models, with 1 local epoch and 200 rounds. The learning rate is chosen from $\{0.01, 0.001\}$, and 1327 weight decay uses 1e - 5. We optimize with Adam, and calculate node-level / graph-level accuracy 1328 averaged across clients. All experiments are implemented in Python3.10, PyTorch, and run on an 1329 RTX A6000 GPU, 40G storage. Each client is allocated a worker with one round of around 1 second 1330 for one epoch in the node classification task. 1331

1332

1334

1307

1316

1317 1318

1333 C.3 EXPERIMENTS ON IMAGE DATASETS

In this section, we evaluate the effectiveness of our proposed method, FlatLand, on the MNIST
dataset to demonstrate its performance on image data. We compare our method with several baseline
algorithms in the context of personalized federated learning (PFL). The experiments are designed to
assess the performance under different numbers of clients and to emphasize data heterogeneity.

1339 We conducted experiments on the MNIST dataset to validate the effectiveness of our proposed method, 1340 FlatLand, on image data. The dataset was partitioned among clients using a Dirichlet distribution 1341 with a concentration parameter $\beta = 0.1$, introducing high data heterogeneity to simulate non-i.i.d. 1342 scenarios common in federated learning. We compared FlatLand against several baseline methods — 1343 FedAvg (McMahan et al., 2017), FedProx (Li et al., 2020a), Ditto Li et al. (2021a), GPFL (Zhang 1344 et al., 2023a), FedRep (Collins et al., 2021), and FedCAC (Wu et al., 2023) — under two settings 1345 with 20 and 100 clients. All experiments were implemented using PFLib (Zhang et al., 2023c).

These results demonstrate that FlatLand performs competitively on image data. This indicates
that FlatLand effectively handles high data heterogeneity and scales well with different numbers
of clients. Besides, the significant performance gap between FlatLand and traditional federated
learning methods like FedAvg and FedProx highlights the effectiveness of our approach in highly heterogeneous settings.

1350 C.4 PARIAL PARTICIPATION RATE

1351

We conducted extensive experiments with an increased number of clients (50 clients) in the Cora dataset, which represents a large client pool configuration in graph federated learning scenarios (Du et al., 2024). The results demonstrate that our method maintains its effectiveness even with an expanded client base. Furthermore, we investigated the impact of partial client participation, where only a fraction of clients participate in each aggregation round. Figure 8 illustrates the performance comparison between FedAvg and FlatLand under different participation rates on the Cora dataset with 50 clients.

1358 The experimental results show that FlatLand ex-1359 hibits remarkable robustness across various partic-1360 ipation rates. Even with only 10% client partici-1361 pation (5 clients), FlatLand achieves an accuracy 1362 of 81.82%, while FedAvg only reaches 18.14%. 1363 As the participation rate increases, FlatLand main-1364 tains consistently high performance. In contrast, 1365 FedAvg shows performance fluctuations.

1366 These findings confirm that FlatLand can main-1367 tain high performance even under low client par-1368 ticipation scenarios, demonstrating its practical 1369 value for real-world federated learning applica-1370 tions where full client participation may not al-1371 ways be feasible. The robust performance under 1372 partial participation is particularly important for 1373 federated learning systems, where coordinating all 1374 clients simultaneously can be challenging.



Figure 8: Performance comparison between FedAvg and FlatLand under different client participation rates on Cora dataset with 50 clients.

1375 1376 C.5 Convergence Curves

The convergence curves are shown in Figure 7. As the figures demonstrate, our proposed method can achieve better convergence speed, highlighting the superiority of our proposed approach.

1380 C.6 BROADER IMPACTS

Our personalized federated learning method is a major advancement for privacy-preserving, trustworthy AI. Enabling collaborative training of highly personalized models without compromising data privacy enhances user privacy protection and fosters broader adoption of ethical personalized AI technologies. Crucially, it improves personalized user experiences through accurate, tailored services while actively building transparent, user-centric personalized AI systems to boost public trust. Potential risks can be mitigated through robust safeguards, vigilance, and stakeholder collaboration.

- 1396
- 1397
- 1398
- 1399
- 1400
- 1401
- 1402 1403