PROFESSOR X: MANIPULATING EEG BCI WITH INVISIBLE AND ROBUST BACKDOOR ATTACK

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ABSTRACT

While electroencephalogram (EEG) based brain-computer interface (BCI) has been widely used for medical diagnosis, health care, and device control, the safety of EEG BCI has long been neglected. In this paper, we propose **Professor** X, an invisible and robust "mind-controller" that can arbitrarily manipulate the outputs of EEG BCI through backdoor attack, to alert the EEG community of the potential hazard. However, existing EEG attacks mainly focus on single-target class attacks, and they either require engaging the training stage of the target BCI, or fail to maintain high stealthiness. Addressing these limitations, Professor X exploits a three-stage clean label poisoning attack: 1) selecting one trigger for each class; 2) learning optimal injecting EEG electrodes and frequencies strategy with reinforcement learning for each trigger; 3) generating poisoned samples by injecting the corresponding trigger's frequencies into poisoned data for each class by linearly interpolating the spectral amplitude of both data according to previously learned strategies. Experiments on datasets of three common EEG tasks demonstrate the effectiveness and robustness of Professor X, which also easily bypasses existing backdoor defenses. Code will be released soon.

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Electroencephalogram (EEG) is a neuroimaging technology to record of the spontaneous electrical activity of the brain. EEG-based brain-computer interface (BCI) has been widely used in medical diagnosis (Ahmad et al., 2022), healthcare (Jafari et al., 2023), and device control (Lorach et al., 2023; Altaheri et al., 2023). While most EEG community researchers devote themselves to advancing the performance of EEG BCI, the safety of EEG BCI has long been neglected. Inspired by Professor X¹, a superhuman with the ability to control other's minds, we wonder whether a malicious adversary can arbitrarily manipulate the outputs of EEG BCI like him. It will be severely dangerous if so. Backdoor attack (BA), where an adversary injects a backdoor into a model to control its outputs for inference samples with a particular trigger, offers a feasible approach (Doan et al., 2022).

However, designing an effect and stealthy BA for EEG modality is not trivial for three difficulties, resulting in three questions. D1: Low signal-to-noise ratio (SNR) and heterogeneity in EEG format 040 (*i.e.*, the montage and sampling rate of EEG recordings) are major obstacles. Q1: How to develop a 041 generalizable BA for various EEG tasks (usually have different EEG formats)? D2: Previous studies 042 demonstrated for different EEG tasks, different critical EEG electrodes and frequencies strongly 043 related to the performance of EEG BCI (Parvez & Paul, 2014; Jana & Mukherjee, 2021; Baig et al., 044 2020; Herman et al., 2008), indicating that the trigger-injection strategy (*i.e.*, which electrodes and frequencies to inject triggers) inevitably affects the performance of BA. Q2: How to find the optimal 046 strategy for different EEG tasks? D3: Certain classes of EEG have specific morphology that can 047 easily be identified by human experts, *e.g.*, in epilepsy detection, the EEG during the ictal phase contains more spike/sharp waves than those during the normal state phase (Blume et al., 1984). **Q3**: 048 How to maintain the consistency of the label and the morphology? 049

The first BA for EEG modality is demonstrated in Fig 1 (a), where the narrow period pulse (NPP) signals are added as the trigger for single-target class attacks (Meng et al., 2023; Jiang et al., 2023b).
To generate invisible triggers, the adversarial loss is applied to learn a spatial filter as the trigger

¹https://en.wikipedia.org/wiki/Professor_X

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Figure 1: (a) The payloads of the existing backdoor attacks. (b) The payloads of Professor X, which can arbitrarily manipulate the outputs of EEG BCI models.(c)-(e) The framework of Professor X: (c) The trigger selection and EEG data distribution from the view of manifold learning. (d) Learning optimal electrodes and frequencies injection strategies. (e) The generation process.

077 function (Meng et al., 2024). Recently, some BA for time series (EEG signal is a kind of time series) 078 adopt generative adversarial net (GAN) to produce poisoned data (Ding et al., 2022; Jiang et al., 079 2023c). However, there is rich information in the frequency domain of EEG (Arroyo & Uematsu, 080 1992; Kostyunina & Kulikov, 1996; Salinsky et al., 1991; Muthukumaraswamy, 2013). No matter 081 whether these BA are stealthy or not, they all inject unnatural perturbation in the temporal domain, which will inevitably bring unnatural frequency into the real EEG frequency domain.

083 In this paper, we propose a novel backdoor attack framework **Professor X** to address Q1, which 084 injects triggers in the frequency domain and is generalizable to various EEG tasks. Specifically, 085 Professor X is a three-stage clean label poisoning attack demonstrated in Fig 1 (c-e): 1): selecting c triggers from c classes. Since these triggers is all real EEG, their frequency are all real, the poisoned EEG (injected with triggers' frequency) is real, as shown in Fig 2(b). 2): learning optimal 087 injecting strategy for each trigger with reinforcement learning to enhance the performance of EEG 880 BA, addressing Q2. 3): generating poisoned data by injecting each trigger's frequency into clean data 089 whose class is the same as the trigger's class, which does not introduce any unreal frequency from 090 other EEG types and maintains the consistency of the label and morphology, addressing Q3. 091

• We propose a novel backdoor attack for EEG BCI called **Professor X**, which can attack

• To the best of our knowledge, it is the first work that considers the efficacy of different EEG

 Extensive experiments on three EEG BCI datasets demonstrate the effectiveness of Professor X and the robustness against several common preprocessing and backdoor defenses.

arbitrary class while preserving stealthiness without engaging the training stage .

092 The main contributions of this paper are summarized below:

electrodes and frequencies in EEG backdoor attacks.

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- **RELATED WORK** 2
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2.1BACKDOOR ATTACKS

105 Backdoor attacks has been deeply investigated in image processing filed (Weber et al., 2023; Yu et al., 2023; Yuan et al., 2023). BadNets (Gu et al., 2019) is the first BA, where the adversary maliciously 106 control models to misclassify the input images contain suspicious patches to a target class. Other 107 non-stealthy attacks include blended (Chen et al., 2017) and sinusoidal strips based (Barni et al.,

108 2019). To achieve higher stealthiness, some data poisoning BA were developed, including shifting 109 color spaces (Jiang et al., 2023a), warping (Nguyen & Tran, 2020b), regularization (Li et al., 2020) 110 and frequency-based (Zeng et al., 2021; Wang et al., 2022; Hammoud & Ghanem, 2021; Hou et al., 111 2023; Feng et al., 2022; Gao et al., 2024). Other stealthy attacks (Nguyen & Tran, 2020a; Doan et al., 112 2021) generate invisible trigger patterns by adversarial loss, which requires the control of the model's training process. To attack multi-target class with high stealthiness, Marksman backdoor (Doan 113 et al., 2022) generates sample-specific triggers by co-training target model and trigger generation 114 model, needing fully control of the training stage. Moreover, generating trigger patterns with a neural 115 network for each sample is time-consuming and unable to use in real-time systems. 116

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117 2.2 BACKDOOR ATTACKS FOR EEG BCI

Recently, the EEG-based BCIs have shown to be vulnerable to
BA (Meng et al., 2023; Jiang et al., 2023b; Meng et al., 2024).
The NPP signals are added to clean EEG to generate non-stealthy
poisoned samples in (Meng et al., 2023; Jiang et al., 2023b), which
significantly modifies the spectral distribution (as shown in Fig 2 (a))
and results in low stealthiness. From the view of manifold learning



Figure 2: t-SNE visualization.

in Fig 1 (a), NPP-added EEG are fake data. To generate more stealthy poisoned data which stay
in Fig 1 (a), NPP-added EEG are fake data. To generate more stealthy poisoned data which stay
in the real data boundary. The adversarial loss has been applied backdoor EEG BCI (Meng et al., 2024) and time series (Ding et al., 2022; Jiang et al., 2023c). BackTime proposed to generate trigger
patterns for each input data using a bi-level optimization (Lin et al., 2024). But these methods can
only attack a single target class and require controlling the training process of the backdoor/surrogate
models, requiring knowledge of targe model. Meng *et.al.* tried to achieve multi-target attacks with
adding different types of signals to clean EEG, *i.e.*, NPP, sawtooth, sine, and chirp (Meng et al., 2023).
However, these signals are not stealthy in both the temporal and frequency domain.

Different from the EEG BA in the temporal domain, we firstly propose to attack in the frequency domain. Our attack is 1) more stealthy than NPP-based attack, 2) faster than other trigger generation attack, and 3) more practical as requiring no control of the target models. Compared to those frequency-based BAs for image, our attack introduces reinforcement learning to find the optimal injection strategy and design two novel rewards for enhancing the stealthiness and robustness.

It is worth noting that the adversarial attack (AA) (Zhang & Wu, 2019; Liu et al., 2021) is different from BA. AA tries to make the target model misclassify by adding invisible perturbation to input, which acts in the inference stage. BA tries to inject backdoor into target model in the training stage.

141 2.3 BACKDOOR DEFENSES

142 To cope with the security problems of backdoor attacks, several categories of defensive methods have 143 been developed. Neural Cleanse (Wang et al., 2019) is a trigger reconstruction based methods. If 144 the reconstructed trigger pattern is significantly small, the model is identified as a backdoor model. 145 Assuming the trigger is still effective when a triggered sample is combining with a clean sample, 146 STRIP (Gao et al., 2019) detects the backdoor model by feeding the combined samples into the 147 model to see if the predictions are still with low entropy. Spectral Signature (Tran et al., 2018) detects 148 the backdoor model based on the latent representations. Fine-Pruning (Liu et al., 2018a) erases the 149 backdoor by pruning the model.

Besides the above defenses designed for backdoor attacks, there are some common EEG pre processing methods, such as bandstop filtering and down-sampling, should be considered when
 designing a practical robust backdoor attack for EEG BCI in the real-world scene.

¹⁵⁴ 3 METHODOLOGY

156 3.1 EEG BCI BACKDOOR ATTACKS AND THREAT MODEL

Multi-target BA. The main notations in this paper are listed in Table 7. Under the supervised learning setting, a classifier f is learned using a labeled training set $S = \{(x_1, y_1), ..., (x_N, y_N)\}$ to map $f : \mathcal{X} \to \mathcal{C}$, where $x_i \in \mathcal{X}$ and $y_i \in \mathcal{C}$. The attacker in single target class backdoor attacks aims to learn a classifier f behaves as follows:

$$f(x_i) = y_i, \ f(\mathcal{T}(x_i)) = c_{tar}, \ c_{tar} \in \mathcal{C}, \ \forall (x_i, y_i) \in \mathcal{S},$$
(1)

where $\mathcal{T} : \mathcal{X} \to \mathcal{X}$ is the trigger function and c_{tar} is the target label. For multi-target class backdoor attacks, the trigger function has an extra parameter c_i , which manipulates the behavior of f flexibly:

$$f(x_i) = y_i, \ f(\mathcal{T}(c_i, x_i)) = c_i, \ \forall c_i \in \mathcal{C}, \forall (x_i, y_i) \in \mathcal{S}.$$
(2)

Threat Model. We consider a malicious data provider, who generates a small number of poisoned samples (labeled with the target class) and injects them into the original dataset. A victim developer collects this poisoned dataset and trains his model, which will be infected a backdoor.

3.2 REINFORCEMENT LEARNING FOR OPTIMAL TRIGGER-INJECTION STRATEGIES

The learning of the injecting electrodes set $\mathcal{M}_{e^{i}}^{c_{i}}$ and frequencies set $\mathcal{M}_{f}^{c_{i}}$ for each selected trigger in class c_{i} can be formulated as a non-convex optimization problem. Under this optimization framework, the strategy generator function learn the optimal $\mathcal{M}_{e^{i}}^{c_{i}}$ and $\mathcal{M}_{f}^{c_{i}}$ for each EEG trigger to implement Professor X on target EEG BCI f, which is supposed to have a high clean accuracy (CA) on the clean data and attack success rate (ASR) on the poisoned data:

$$\underset{\mathcal{M}_{e^{i}}^{e^{i}},\mathcal{M}_{e^{i}}^{c_{i}}}{\arg\min} \mathbb{E}_{(x_{i},y_{i})\sim\mathcal{D}}[\mathcal{L}(f(x_{i}),y_{i}) + \lambda\mathcal{L}(f(\mathcal{T}(x_{i},x_{c_{i}}^{t},\alpha,\mathcal{M}_{e}^{c_{i}},\mathcal{M}_{f}^{c_{i}})),c_{i})],$$
(3)

179 where λ is a hyper-parameter to balance CA 180 and ASR, and \mathcal{T} is the poisoned data generation 181 function. However, it is infeasible to find the optimal injecting strategy for each trigger in a 182 large searching space, e.g., if injecting half of 183 the 62 electrodes, there are $\binom{62}{31} \approx 4.65 \times 10^{17}$ cases for deciding $\mathcal{M}_{e}^{c_{i}}$. Reinforcement learning 185 (RL) is an appropriate method, whose objective 186 of RL is to find a sampler π to maximize the 187 expect of the reward function. The details are 188 presented in Algorithm 1. 100

 $\pi^* = \arg \max_{\pi} \mathbb{E}_{\tau \sim \pi(\tau)} [R(\tau)]$

 $= \arg \max_{\pi} \sum_{\tau} [R(\tau) \cdot p_{\pi}(\tau)]$

 $= \arg \max_{\pi} \sum_{\tau} [R(\tau) \cdot \rho_0(s_1) \cdot$

 $\prod_{t=1}^{T-1} \pi(a_t | s_t) \cdot \mathcal{P}(s_{t+1} | s_t, a_t)],$

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212 213 where $R(\tau)$ is reward function of a trajectory $\tau = (s_1, a_1, r_1, \dots, s_T)$, the s_i, a_i, r_i means the state, action, and reward at time *i*. The ρ_0 indicates the sampler of initial state. In our settings, the action (strategy) do not affect the state (trigger), which allows us to simplify Eq 4 by removing the states s_i :

$$\pi^* = \arg \max_{\pi} \sum_{\tau} [R(\tau) \cdot \prod_{t=1}^{T-1} \pi(a_t)].$$
(5)

Furthermore, since only a particular strategy of each trigger matters, we replace the $R(\tau)$ with $R(a_t)$ and select the a_t whose $R(a_t)$ is the

Algorithm 1 Professor X's Strategy Optimization **Input:** (1) dataset $S = \{\mathcal{D}_{train}, \mathcal{D}_{test}, \mathcal{D}_p\},\$ (2) trigger EEG x_c^t , policy network π_{θ}^c , (3) iterations K to update π_{θ}^c , (4) poisoning function \mathcal{T} (in section 3.3) **Output:** learned strategies \mathcal{M}_{e}^{c} and \mathcal{M}_{f}^{c} . 1: Initialize parameters θ , $j \leftarrow 0$, $R_{best} \leftarrow 0$ 2: repeat Sample two strategies: $\hat{\mathcal{M}}_{e}^{c}, \hat{\mathcal{M}}_{f}^{c} \leftarrow \pi_{\theta}(x_{c}^{t})$ 3: 4: Initialize poisoning set $S_p \leftarrow \{\}$ 5: for each $(x_i, y_i) \in \mathcal{D}_p$ do $\begin{aligned} \text{if } y_i &= c \text{ then} \\ x_i^p \leftarrow \mathcal{T}(x, x_c^t, \alpha, \hat{\mathcal{M}}_e^c, \hat{\mathcal{M}}_f^c) \\ \mathcal{S}_p \leftarrow \mathcal{S}_p + x_i^p \end{aligned}$ 6: 7: 8: 9: endi 10: end for 11: Train an EEG BCI on the set $\{\mathcal{D}_{train}, \mathcal{S}_p\}$ Calculate CA and ASR on \mathcal{D}_{test} 12: $R_t(\mathcal{M}_e^{c_i}, \mathcal{M}_f^{c_i}) \leftarrow \mathrm{CA} + \lambda \mathrm{ASR} +$ 13: $\mu \operatorname{dis}(\hat{\mathcal{M}}_{f}^{c_{i}}) + \nu \min(\hat{\mathcal{M}}_{f}^{c_{i}})$ $\hat{g} \leftarrow \mathbb{E}_t[R_t(a_t) \cdot \nabla_\theta \log \pi_\theta]$ 14: Update θ with gradient $\hat{g}: \theta \leftarrow \theta + \eta \hat{g}$ 15: 16: if $R_t(\mathcal{M}_e^{c_i}, \mathcal{M}_f^{c_i}) > R_{best}$ then $R_{best} \leftarrow R_t(\hat{\mathcal{M}}_e^{c_i}, \hat{\mathcal{M}}_f^{c_i})$ 17: $\mathcal{M}_{e}^{c} \leftarrow \hat{\mathcal{M}}_{e}^{c}, \mathcal{M}_{f}^{c} \leftarrow \hat{\mathcal{M}}_{f}^{c}$ 18: 19: end if 20: $j \leftarrow j + 1$ 21: **until** j = K22: return $\mathcal{M}_{e}^{c}, \mathcal{M}_{f}^{c}$

biggest as the optimal strategy. Here, an RL algorithm called policy gradient (Sutton et al., 1999) is adopted to learn an agent (*i.e.*, policy network $\pi_{\theta}^{c_i}$ with parameters θ) to find the optimal strategy for each trigger from class c_i . After removing the state s_t and replacing $R(\tau)$, the gradient estimator is:

(4)

$$\hat{g} = \nabla_{\theta} \mathbb{E}_{\tau \sim \pi_{\theta}(\tau)}[R(\tau)] = \sum_{\tau} [R(a_t) \cdot \nabla p_{\pi_{\theta}}(a_t)] = \mathbb{E}_t [R_t(a_t) \cdot \nabla_{\theta} \log \pi_{\theta}], \tag{6}$$

where a_t and R_t is the action and estimator of the reward function at timestep t. The expectation \mathbb{E}_t indicates the empirical average. Here, $a_t = \{\mathcal{M}_e^{c_i}, \mathcal{M}_f^{c_i}\}$. The parameters of $\pi_{\theta}^{c_i}$ are updated by $\theta_{t+1} = \theta_t + \eta \hat{g}, \eta$ is the learning rate. We run the RL for K steps and take the best a_t as the strategy. Specifically, the agent has two output vectors $v_1 \in \mathbb{R}^E$, $v_2 \in \mathbb{R}^F$, where E and F is the number of EEG electrodes and frequencies. The electrodes and frequencies are in $\mathcal{M}_e^{c_i}$ and $\mathcal{M}_f^{c_i}$ only if the corresponding positions in v_1 and v_2 have Top-k values, k is γE for electrodes and βF for frequencies, where $\gamma, \beta \in (0, 1]$ are hyperparameters.

Besides the CA and ASR, two other important concerns should be considered: C1: Robustness against common EEG preprocessig-based defenses. For instance, if a BA's trigger is injected into frequency band 50-60Hz, the BA will fail when EEG is filtered by a 50Hz low pass filter. Thus, scattering the injection positions in various frequency can effectively evade from specific frequency filter preprocessing. C2: Stealthiness against human perceptions. Since high frequency are related to environmental noise, injecting higher frequencies is more invisible (Gliske et al., 2016). Therefore, we design two novel loss functions to address C1 and C2, DIS for scattering injection positions and HF for injecting higher frequencies. The whole reward function R_t can be formulated follows:

$$R_t(a_t) = R_t(\mathcal{M}_e^{c_i}, \mathcal{M}_f^{c_i}) = CA + \lambda \operatorname{ASR} + \mu \operatorname{dis}(\mathcal{M}_f^{c_i}) + \nu \min(\mathcal{M}_f^{c_i}),$$
(7)

where the $\mathcal{M}_{f}^{c_{i}}$ indicates the set of all injecting frequency positions, and dis() calculates the minimal distance between each pair of positions. Thus, dis($\mathcal{M}_{f}^{c_{i}}$) is the discrete (DIS) loss, and min($\mathcal{M}_{f}^{c_{i}}$) is the high frequency (HF) loss, which can scatter the injection positions in various frequency bands and inject as high frequencies as possible. The $\lambda, \mu, \nu \in \mathbb{R}$ are hyperparameters.

3.3 POISONED DATA GENERATION IN THE FREQUENCY DOMAIN

236 After selecting the *C* triggers from each class 237 and learning the strategy for each trigger, the 238 poisoned data are generated by injecting these 239 triggers into clean data with the corresponding 240 strategies. As shown in Fig 1(c), given a clean 241 data $x_i \in \mathcal{D}_p$ with label c_i , and a trigger data 242 $x_{c_i}^t$, let \mathcal{F}^A and \mathcal{F}^P be the amplitude and phase 243 components of the fast Fourier transform (FFT) 244 result of a EEG signals, we denote the amplitude 245 and phase spectrum of x_i and $x_{c_i}^t$ as:

 $\mathcal{A}_{x_i} = \mathcal{F}^A(x_i), \mathcal{A}_{x_{c_i}^t} = \mathcal{F}^A(x_{c_i}^t),$

 $\mathcal{P}_{x_i} = \mathcal{F}^P(x_i), \mathcal{P}_{x_{c_i}^t} = \mathcal{F}^P(x_{c_i}^t).$

The new poisoned amplitude spectrum $\mathcal{A}_{x_i}^P$ is

produced by linearly interpolating \mathcal{A}_{x_i} and $\bar{\mathcal{A}}_{x_i^t}$.

Algorithm 2 Frequency Injection of Professor X: $\mathcal{T}(x, x_c^t, \alpha, \mathcal{M}_e^c, \mathcal{M}_f^c)$

Input: (1) clean EEG x, trigger EEG x^t_c from class c, interpolating ratio α,
(2) learned strategies M^c_e, M^c_f.

Output: the poisoned EEG x^p .

- 1: $\mathcal{M}^c \leftarrow$ a zero matrix with the shape of $E \times F$
- 2: for each $i \in \mathcal{M}_e^c$ do
- 3: for each $j \in \mathcal{M}_f^c$ do

4:
$$\mathcal{M}^{c}[i,j] \leftarrow$$

6: **end for**

7:
$$\mathcal{A}_x, \mathcal{P}_x, \mathcal{A}_{x_c^t} \leftarrow \mathcal{F}^n(x), \mathcal{F}^r(x), \mathcal{F}^n(x_c^r)$$

8: $\mathcal{A}_x^P \leftarrow [(1 - \alpha)\mathcal{A}_x + \alpha\mathcal{A}_{x_c^t}] \odot \mathcal{M}^c + \mathcal{A}_x \odot$
 $(1 - \mathcal{M}^c)$
9: $x^p \leftarrow \mathcal{F}^{-1}(\mathcal{A}_x^P, \mathcal{P}_x)$
10: return x^p

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In order to achieve this, we produce a binary mask $\mathcal{M}^{c_i} \in \mathbb{R}^{E \times F} = 1_{(j,k)}, j \in \mathcal{M}^{c_i}_e, k \in \mathcal{M}^{c_i}_e, k \in \mathcal{M}^{c_i}_e$, whose value is 1 for all positions corresponding to elements in both electrode and frequency strategies and 0 elsewhere. Denoting $\alpha \in (0, 1]$ as the linear interpolating ratio, the new poisoned amplitude spectrum can be computed as follows, where \odot indicates Hadamard product:

(8)

$$\mathcal{A}_{x_i}^P = [(1-\alpha)\mathcal{A}_{x_i} + \alpha \mathcal{A}_{x_{c_i}}] \odot \mathcal{M}^{c_i} + \mathcal{A}_{x_i} \odot (1-\mathcal{M}^{c_i}).$$
(9)

Finally, we adopt the injected poisoned amplitude spectrum $\mathcal{A}_{x_i}^P$ and the clean phase spectrum \mathcal{P}_{x_i} to get the poisoned data by inverse FFT \mathcal{F}^{-1} : $x_i^p = \mathcal{F}^{-1}(\mathcal{A}_{x_i}^P, \mathcal{P}_{x_i})$. The detailed procedure is written in Algorithm 2. By generating x_i^p through this frequency injection approach, we obtain a subset $\mathcal{S}_p = \{x_1^p, ..., x_M^p\}$, which will combine with \mathcal{D}_{train} to form the whole traing dataset \mathcal{S} . The EEG BCI model f is then trained with \mathcal{S} to obtain the ability of behvaing as equation 2.

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4 EXPERIMENT SETTINGS

4.1 DATASETS

269 We demonstrate the effectiveness and generalizability of the proposed Professor X backdoor through comprehensive experiments on three EEG datasets. Some meta information is displayed in Table 1,

where can be seen that these datasets vary significantly in tasks, electrode numbers, montages, and
sampling rates. More details about preprocessing are illustrated in Appendix E. Our goal is to
develope a task-agnostic and format-agnostic BA method for EEG BCI. Hence, these elaborately
chosen datasets can effectively validate the generalizability of each BA method.

Та	ble 1: Met	a informatio	n of the three of	latasets	
Dataset	# Class	# Subject	# Electrode	Sampling Rate	Montage
Emotion Recognition	3	15	62	200 Hz	unipolar
Motor Imagery	4	9	22	250 Hz	unipolar
Epilepsy Detection	4	23	23	256 Hz	bipolar

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Emotion Recognition (ER) Dataset. SEED (Zheng & Lu, 2015) is a discrete EEG emotion dataset studying three types of emotions: happy, neutral, and sad. SEED collected EEG from 15 subjects.

Motor Imagery (MI) Dataset. BCIC-IV-2a (Brunner et al., 2008) dataset recorded EEG from 9
 subjects while they were instructed to imagine four types of movements: left hand, right hand, feet, and tongue.

Epilepsy Detection (ED) Dataset. CHB-MIT (Shoeb & Guttag, 2010) is an epilepsy dataset required from 23 patients. We cropped and resampled the CHB-MIT dataset to build an ED dataset with four types of EEG: ictal, preictal, postictal, and interictal phase EEG.

4.2 **BASELINES**

293 Non-stealthy Baselines. As mentioned in previous sections, to the best of our knowledge, Professor 294 X is the first work that studies multi-trigger and multi-target class (MT) backdoor in EEG BCI. For 295 comparison, we design several baseline approaches which can be divided into two main groups: 296 non-stealthy and stealthy. Non-stealthy attacks contains PatchMT and PulseMT. For a benign EEG 297 segment $x \in \mathbb{R}^{E \times T}$. PatchMT is a multi-trigger and MT extension of BadNets (Gu et al., 2019) 298 where we fill the first βT timepoints of a EEG segments with a constant number, e.g., {0.1, 0.3, 0.5} 299 for three-class task. PulseMT is a multi-trigger and MT extension of NPP-based backdoor attacks 300 (Meng et al., 2023) where we use NPP signals with different amplitudes, e.g., {-0.8, -0.3, 0.3, 0.8} 301 for different target classes.

302 Stealthy Baselines. Previous works generate stealthy poisioned samples by controlling the training 303 stage and can only attack single target class (Meng et al., 2024; Ding et al., 2022; Jiang et al., 304 2023c). As they control the training of target model, it is unfair to directly compare their methods 305 with Professor X. There is no stealthy MT BA for EEG. Thus, we design two MT stealthy attacks 306 baselines: CompMT and AdverMT. CompMT generates poisoned samples for different target 307 classes by compressing the amplitude of EEG with different ratios, e.g., {-0.1, 0, 0.1} for three-class 308 task. AdverseMT is a multi-trigger and MT extension of adversarial filtering based attacks (Meng 309 et al., 2024), where we using a local model trained only on S_p to generate different spatial filters \mathbf{W}_i^* for different target classes, then we apply these spatial filters to generate poisoned samples. More 310 details are written in Appendix F. 311

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4.3 EXPERIMENTAL SETUP

314 We follow the poisoning attack setting as the previous works (Meng et al., 2023) and consider three 315 widely-used EEG BCIs for classifier f: EEGNet (Lawhern et al., 2018), DeepCNN (Schirrmeister 316 et al., 2017), and LSTM (Tsiouris et al., 2018). We use a cross-validation setting to evaluate all BAs, 317 each EEG dataset \mathcal{D} is divided into three parts: training set \mathcal{D}_{train} , poisoning set \mathcal{D}_p , and test set 318 \mathcal{D}_{test} . Specifically, for a dataset contains n subjects, we select one subject's data as \mathcal{D}_p one by one, 319 and the remaining n-1 subjects to perform leave-one-subject-out (LOSO) cross-validation, *i.e.*, one 320 of the subjects as \mathcal{D}_{test} , and the remaining n-2 subjects as \mathcal{D}_{train} (one of the subjects in \mathcal{D}_{train} is 321 chosen to be validation set). In summary, for a dataset contains n subjects, there are n(n-1) runs to validate each EEG BCI backdoor attack method. A poisoned subset S_p of M (M < N) examples 322 is generated based on \mathcal{D}_p . Then \mathcal{S}_p is combined with \mathcal{D}_{train} to acquire $\mathcal{S} = \{\mathcal{S}_p, \mathcal{D}_{train}\}$. The 323 poisoning ratio is defined as : $\rho = M/N$.

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Table 2: The clean accuraciy and attack success rate for each target class with 40% poisoning rate. The best results are in **bold** and the second best are underlined. (M1: TimesNet, M2: EEG-Conformer)

	Dataset		Emotic	on Reco	gnition	1		1	Motor 1	mager	y			El	pilepsy	Detectio	on	
	Method	Clean	ASR	0	1	2	Clean	ASR	0	1	2	3	Clean	ASR	0	1	2	3
EEGNet	No Attack PatchMT PulseMT CompMT AdverMT Professor X	0.477 0.492 0.463 0.443 0.443 0.457 0.535	0.333 0.382 0.778 0.385 0.385 0.334 0.857	0.577 0.844 0.099 0.276 <u>0.831</u>	0.232 0.509 0.377 0.330 0.791	0.337 0.981 0.678 0.396 <u>0.949</u>	0.327 0.283 0.270 0.269 0.257 0.323	0.250 0.824 0.825 <u>0.865</u> 0.243 1.000	0.866 0.947 0.530 0.316 0.999	0.880 0.656 <u>0.997</u> 0.192 1.000	0.787 0.758 <u>0.983</u> 0.230 1.000	0.762 0.938 <u>0.948</u> 0.235 0.999	0.508 0.460 0.439 0.437 0.413 0.477	0.250 0.549 <u>0.810</u> 0.547 0.250 0.944	0.532 0.853 0.261 0.326 0.930	0.430 0.745 0.280 0.264 0.954	0.388 0.729 0.714 0.200 0.921	0.845 0.913 <u>0.933</u> 0.210 0.970
DeepCNN	No Attack PatchMT PulseMT CompMT AdverMT Professor X	0.497 0.481 0.450 0.461 0.367 0.534	0.333 0.342 <u>0.596</u> 0.427 0.388 0.832	0.248 0.815 0.473 0.298 0.732	0.323 0.334 <u>0.473</u> 0.453 0.865	0.453 0.638 0.336 0.412 0.901	0.301 0.276 0.261 <u>0.286</u> 0.245 0.315	0.250 0.704 0.829 <u>0.887</u> 0.247 1.000	0.638 0.764 0.638 0.320 1.000	- 0.977 0.968 <u>0.982</u> 0.221 1.000	- 0.774 0.819 <u>0.946</u> 0.196 1.000	0.425 0.765 <u>0.980</u> 0.240 0.999	0.443 0.431 0.405 <u>0.446</u> 0.396 0.469	0.250 0.729 0.885 0.538 0.275 <u>0.828</u>	0.416 0.872 0.196 0.354 <u>0.725</u>	0.890 0.862 0.466 0.218 0.839	0.719 0.861 0.571 0.227 0.845	0.892 0.943 0.918 0.301 0.904
LSTM	No Attack PatchMT PulseMT CompMT AdverMT Professor X	0.506 0.509 0.511 0.484 0.367 0.519	0.333 0.368 <u>0.824</u> 0.490 0.415 0.954	0.311 0.883 0.272 0.472 0.998	0.392 0.645 0.269 0.453 0.868	0.401 0.943 0.929 0.321 0.996	0.264 0.261 0.265 0.260 0.239 <u>0.264</u>	0.250 0.429 0.533 <u>0.548</u> 0.271 0.966	0.395 0.787 0.219 0.308 0.987	0.296 0.327 <u>0.511</u> 0.215 0.988	0.386 0.282 <u>0.523</u> 0.247 0.901	0.639 0.737 <u>0.940</u> 0.312 0.986	0.462 0.450 <u>0.451</u> 0.455 0.432 0.444	0.250 0.513 <u>0.804</u> 0.435 0.268 0.865	0.500 0.845 0.194 0.367 <u>0.795</u>	0.437 <u>0.769</u> 0.217 0.232 0.833	0.417 0.709 0.490 0.198 0.857	0.700 0.895 0.840 0.275 0.975
M1 M2	Professor X Professor X	0.485 0.475	0.960 0.894	0.961 0.842	0.926 0.904	0.993 0.935	0.276 0.935	0.997 0.996	0.999 0.999	0.998 1.000	0.999 0.987	0.992 0.999	0.373	0.986 0.944	0.985 0.958	0.986 0.970	0.995 0.887	0.976 0.964

For all methods, we train the classifiers using the Adam optimizer with learning rate of 0.001. The batch size is 32 and the number of epochs is 100. For all datasets and baselines, the interpolating ratio $\alpha = 0.8$, the frequency poisoning ratio $\beta = 0.1$, the electrode poisoning ratio $\gamma = 0.5$. For the reinforcement learning, we train π_{θ} networks K = 250 epochs using the Adam optimizer with learning rate of 0.01. The hyperparameters in advantage function is set to $\lambda = 2$, $\mu = 0.3$, and $\nu = 0.005$. More details of the experimental setup can be found in Appendix F.

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5 EXPERIMENTAL RESULTS

353 5.1 Effectiveness of Professor X

This section presents the attack success rates of Professor X and baselines. To evaluate the performance in the multi-trigger multi-payload scenario, for each test sample $(x, y) \in \mathcal{D}_{test}$, we enumerate all possible target labels $c_i \in \mathcal{C}$ including the true label y and inject the trigger to activate the backdoor. The attack is successful only when the backdoor classifier f correctly predicts c_i for each poisoned input x with a target label c_i .

359 360 5.1.1 Attack Performance

361 The CA (Clean) and ASR (Attack) for each class of all attack methods on three EEG tasks with 362 three EEG BCI models are presented in Table 2. The AdverMT, designed for single-target attack, fails to attacks multiple target classes. While PulseMT achieves the second best on ER and ED 363 dataset, CompMT achieves the second best on the MI dataset, indicating that these baselines are less 364 generalizable. Our Professor X significantly outperforms baselines at almost all cases (p < 0.05) except attacking DeepCNN on the ED dataset, having ASRs above 0.8 on three datasets and even 366 achieving an ASR of 1.000 on the MI dataset. Moreover, our attack is also effective on the SOTA 367 time-reries classification model TimesNet (M1) (Wu et al., 2023) and Transformer-based model 368 EEG-Conformer (M2) (Song et al., 2022). These results demonstrate that our Professor X is effective 369 across different EEG tasks and EEG models, showcasing it's generalizability.

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5.1.2 PERFORMANCE OF THE REINFORCEMENT LEARNING: POLICY GRADIENT

Displaying in Table 3, the performance of the policy gradient was compared with other common optimazation algorithms, including genetic algorithm (GA) (Katoch et al., 2021) and random selection (The search space is too large for performing grid search as explained in Section 3.2). It can be observed that the policy gradient outperforms GA while only spending 16% training time of GA. We plot the learning curve of RL in Appendix H.3, which demonstrates that RL learns well strategies within 50 epochs, i.e., only trains 50 backdoor models and saves lots of time. It is worth mentioning

that the random algorithm achieves not bad results, proving that our methods can be applied without RL if some performance drop is acceptable.

Table 3: Clean and attack performance with with different trigger search optimization algorithms, the poisoning rate is set to 10%. The target model is EEGNet.

Dataset		Emotion	l	М	otor Imag	gery		Epilepsy	7
Method	Clean	Attack	Time ↓	Clean	Attack	Time ↓	Clean	Attack	Time \downarrow
Random	0.520	0.771	-	0.291	0.857	-	0.501	0.721	-
Genetic Algorithm	0.516	0.826	15.2h	0.302	1.000	10.0h	0.492	0.862	30.5h
Policy Gradient	0.535	0.857	2.5h	0.323	1.000	1.8h	0.477	0.944	5.2h

5.1.3 PERFORMANCE OF LEARNED MASK STRATEGIES ON OTHER TARGET MODELS

We demonstrate that the injecting strategies learned on a EEG classifier f can be used to attack other EEG classifiers f. In other words, Professor X can still be effective when the adversary has no knowledge of the target models f. To perform the experiments, we use the strategy learned with a classifier f, then generate poisoned samples to attack another classifier f whose network is different from f. Table 4 shows the performance difference, it can be observed that the difference is relatively small in most of the cases, demonstrating the transferability of the injecting strategy learned with reinforcement learning.

Table 4: Clean and attack performance on other models. Red values represent the decreasing performance in attacks with f is the same as f. Blue values mean increments or unchanged.

Models		f: EI	EGNet			f: De	epCNN		f: LSTM			
	\hat{f} : DeepCNN		\hat{f} : LSTM		$\hat{f}:E$	EGNet	$\hat{f}: L$	STM	$\hat{f}:El$	\hat{f} : EEGNet \hat{f} : DeepC		epCNN
Datasets	Clean	Attack	Clean	Attack	Clean	Attack	Clean	Attack	Clean	Attack	Clean	Attack
Emotion	0.458	0.781	0.485	0.938	0.516	0.813	0.490	0.936	0.516	0.863	0.497	0.779
	0.026	0.051	0.034	<mark>0.016</mark>	0.019	0.044	0.029	0.018	0.019	0.006	0.037	0.053
Motor	0.316	1.000	0.265	0.946	0.309	1.000	0.264	0.972	0.306	1.000	0.306	1.000
	0.001	0.000	0.001	0.020	0.014	0.000	0.000	0.006	0.017	0.000	0.009	0.000
Epilepsy	0.442	0.759	0.469	0.806	0.448	0.943	0.445	0.813	0.448	0.926	0.427	0.850
	0.027	<mark>0.069</mark>	0.025	0.059	0.029	0.001	0.001	0.052	0.029	0.018	0.042	0.022

5.1.4 ATTACK PERFORMANCE WITH DIFFERENT HYPERPARAMETERS

We investigate the influences of three different hyperparameters: poisoning rate ρ , frequency injection rate β , and electrode injection rate γ . The performance of attacking EEGNet on the ED dataset are displayed in Fig 3. It can be seen that the ASRs are positively correlated with poisoning rate. Note that it is non-trivial for multi-target class attack, thus the ASR is not high compared to the single class attack. Professor X outperforms other attacks in all cases and is robust to the change of β and γ .



Figure 3: Clean (/C) and attack (/B) performance with different poisoning or injection rates.

5.2 ROBUSTNESS OF PROFESSOR X

In this section, we evaluate the robustness of our Professor X against different EEG preprocessing method and various representative backdoor defenses.

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432 5.2.1 ROBUSTNESS AGAINST EEG PREPROCESSING METHODS

434 To develop an EEG BCI, it is very common to preprocess the raw EEG signals, *e.g.*, 1) band-stop filtering and 2) down-sampling. An EEG backdoor attack is impractical in real scenarios if it is no 435 longer effective when the target model is trained with the preprocessed poisoned EEG. Hence, we 436 must take the robustness against preprocessing methods into account, which is widely ignored in the 437 image backdoor attack field. The performance of each method facing different preprocessing methods 438 are presented in Table 5. It can be observed that our Professor X is robust in all cases. However, 439 when removing the DIS loss, the performance of Professor X decreases a lot after EEG preprocessing, 440 especially facing the 30 Hz high-stop filtering preprocessing due to the HF loss that encourages the 441 policy network learns to injecting high frequency. 442

Table 5: Clean and attack performance on three datasets after different EEG preprocessing methods. The target model is EEGNet. M w.o. DIS means removing the DIS loss in Professor X.

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	Preprocessing	No d	No defense		20 Hz low		z high	25%	Average	
	Method	Clean	Attack	Clean	Attack	Clean	Attack	Clean	Attack	ASR
ER	Professor X	0.535	0.857	0.512	0.829	0.463	0.892	0.518	0.908	0.876
	w/o DIS	0.506	0.859	0.492	0.816	0.466	0.333	0.498	0.807	0.652
IM	Professor X	0.323	1.000	0.285	1.000	0.329	1.000	0.321	1.000	1.000
	w/o DIS	0.298	1.000	0.264	1.000	0.322	0.250	0.284	0.990	0.746
ED	Professor X	0.497	0.944	0.492	0.914	0.494	0.856	0.516	0.818	0.920
	w/o DIS	0.515	0.250	0.477	0.864	0.508	0.250	0.510	0.249	0.454

5.2.2 ROBUSTNESS AGAINST NEURAL CLEANSE: TRIGGER INVERSION

Neural Cleanse (NC) (Wang et al., 2019) calculate a metric called Anomaly Index by reconstructing trigger pattern for each possible label. The Anomaly Index is positively correlated with the size of the reconstruction trigger. A model with Anomaly Index > 2 is considered to be backdoor-injected. We display the Anomaly Indexes of the clean models and the backdoorinjected model by Professor X in Fig 4. It can be seen that Professor X can easily bypass NC. The reconstructed trigger patterns on three datasets are presented in Appendix H.1.



Figure 4: Anomaly Index of three models on three datasets.

5.2.3 ROBUSTNESS AGAINST STRIP: INPUT PERTURBATION

We evaluate the robustness of Professor X against STRIP (Gao et al., 2019), which perturbs the input EEG and calculates the entropy of the predictions of these perturbed EEG data. Based on the assumption that the trigger is still effective after perturbation, the entropy of backdoor input tends to be lower than that of the clean one. The results are plotted in Fig 5, it can be seen that the entropy distributions of the backdoor and clean samples are similar.



Figure 5: Performance against STRIP on three datasets, the target model is EEGNet.

5.2.4 ROBUSTNESS AGAINST SPECTRAL SIGNATURE: LATENT SPACE CORRELATION

485 Spectral Signature (Tran et al., 2018) detects the backdoor samples by statistical analysis of clean data and backdoor data in the latent space. Following the same experimental settings in (Tran et al.,

2018), we randomly select 5,000 clean samples and 500 Professor X backdoor samples and plot the histograms of the correlation scores in Fig 6. There is no clear separation between these two sets of samples, showing the stealthiness of Professor X backdoor samples in the latent space.



Figure 6: Performance against Spectral Signature on three datasets, the target model is EEGNet.

5.2.5 ROBUSTNESS AGAINST FINE-PRUNING

To evade from human perception (C2 in Section

3.2), we design to obttin injecting strategies

with HF loss. It can be seen from the bottom

row of Fig 8 that Professor X (with HF loss) gen-

erates stealthy poisoned EEG, which is almost

the same as the clean EEG, demonstrating the

High Stealthiness. More visualization on three

5.4 STEALTHINESS AGAINST DETECTION

datasets are presented in Appendix H.2.

We evaluate the robustness of Professor X against Fine-Pruning (Liu et al., 2018a), a model analysis based defense which finds a classifier's low-activated neurons given a small clean dataset. Then it gradually prunes these low-activated neurons to mitigate the backdoor without affecting the CA. We can observe from Fig 7 that the ASR drops considerably small when pruning ratio is less than 0.7, suggesting that the Fine-Pruning is ineffective against Professor X.

VISUALIZATION OF BACKDOOR ATTACK SAMPLES



Figure 7: Performances of EEGNet against Fine-Pruning on three datasets.



Figure 8: The Clean EEG (Blue), Trigger-injected EEG (Orange) and the Residual (Red) of the ED dataset. (*x*-axis: timepoints, *y*-axis: amplitude.)

To verify that the trigger of Professor X are invisible, we employ anomaly detection methods, GDN (Deng & Hooi, 2021) and USAD (Audibert et al., 2020). Specifically, for each dataset, we train anomaly detection methods on the clean test set \mathcal{D}_{test} and then record the F1-score and the Area under the ROC Curve (ROC-AUC) on the set = $S_p \cup \mathcal{D}_p$.

Table 6: Results of anomaly detection.

Anomaly	E	R	N	41	F	D
Detection	F1	AUC	F1	AUC	F1	AUC
GDN	0.50	0.50	0.50	0.51	0.50	0.50
USAD	0.00	0.51	0.00	0.51	0.00	0.50

ods, GDN (Deng & Hooi, 2021) and USAD (Audibe dataset, we train anomaly detection methods on the the F1-score and the Area under the ROC Curve The experimental results are presented in Table 6. The ROC-AUC is around 0.5 and F1-score is either around 0.5 or near 0 across all datasets, indicating that the detection results are nearly random guess. These strongly

demonstrates the stealthiness of Professor X.

530 6 CONCLUSION

531 In this paper, we proposed Professor X, a novel EEG backdoor for manipulating EEG BCI, where 532 the adversary can arbitrarily control the output for any input samples. To the best of our knowledge, 533 Professor X is the first method that considers which EEG electrodes and frequencies to be injected 534 for different EEG tasks and formats. We specially design the reward function in RL to enhance the robustness and stealthiness. Experimental results showcase the effectiveness, robustness, and generalizability of Professor X. This work alerts the EEG community of the potential danger of the 537 vulnerability of EEG BCI against BA and calls for defensive studies for EEG modality. It is worth noting that Professor X can also be applied for protecting intellectual properties of EEG datasets and 538 BCI models, offering a concealed and harmless approach to add authors' watermark (backdoor can be regarded as watermark), indicating the real-world application of Professor X.

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756 A KEY SYMBOLS OF PROFESSOR X

In this section, we list all the key symbols used in our paper in Table 7.

762		Table 7: Key symbols.
763 764	Symbol	Definition
765	x_i	The input data
766	y_i	The input data's label
767	x_c^t	The randomly selected trigger from class c (with label c)
769	$x_i^{\breve{p}}$	The poisoned data of input data x_i
769	c_{tar}	The target class in the single target class backdoor attacks
770	E	The number of electrodes of an EEG segment
771	F	The number of frequency points of an EEG segment after FFT
772	T	The number of time points of an EEG segment
773	N	The number of the data points in the training subset
774	M	The number of the data points in the poisoning subset
775	α	The interpolating ratio of trigger and clean data
776	β	The ratio of injection time/frequency points to total time/frequency points
777	γ	The ratio of injection electrodes to total electrodes
778	λ	The hyperparameter to balance the ASR reward in reinforcement learning
770	μ	The hyperparameter to balance the DIS loss in reinforcement learning
700	u	The hyperparameter to balance the HF loss in reinforcement learning
700	ρ	The ratio of the size of the poisoning subset to that of the training set
782	$\pi_{\theta}^{c_i}$	The policy network for the selected trigger from class c_i with parameter θ)
702	θ	The parameter of the policy network
703	$ ho_0$	The sampler of initial state
784	s_i	The state at time point <i>i</i> in reinforcement learning
785	a_i	The action at time point <i>i</i> in reinforcement learning
786	r_i	The reward at time point <i>i</i> in reinforcement learning
787	au	The trajectory of the whole decision made by policy network
788	\hat{g}	The gradient estimator of a reward taken by a trajectory
789	η	The learning rate for training policy network
790	R	The reward function of a trajectory or a single action
791	K	The iteration numbers of reinforcement learning
792	X	The distribution of input data
793	С	The distribution of label/class
794	$\mathcal{M}_{e}^{c_{i}}$	The injecting electrodes set of the selected trigger from class c_i
795	$\mathcal{M}_{f}^{\breve{c}_{i}}$	The injecting frequencies set of the selected trigger from class c_i
796	\mathcal{M}^{c_i}	The binary mask of the selected trigger from class c_i
797	S	The set of labeled training data
798	$\tilde{\tau}$	The trigger-injection function
799	Ĺ	The loss function used for training a classifier
800	\mathcal{D}	The dataset used for training a classifier
801	\mathcal{D}_{train}	The subset of S used for training the target model
802	\mathcal{D}_{test}	The subset of S used for testing the target model
803	\mathcal{D}_n^{icar}	The subset of S used for poisoning the target model
804	\mathcal{S}_{n}^{F}	The set of generated poisoned data using the subset \mathcal{D}_n
805	\mathcal{F}^{r}	The fast Fourier transform
806	\mathcal{A}_{x}	The amplitude of data x after FFT
807	$\mathcal{P}_x^{"}$	The phase of data x after FFT
808	f	The target classifier model
809	\hat{f}	The target model in the trigger transfer experiments

810 В LIMITATIONS

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Our Professor X is a backdoor attack in the frequency domain, which requires to transform the EEG 813 signals into frequency domain through fast Fourier transform (FFT) and return to temporal domain 814 through inverse FFT (iFFT). The operation of FFT and iFFT in the trigger injection function are a 815 little more time-consuming compared to other backdoor attack directly in the temporal domain, like 816 PatchMT (Gu et al., 2019) and PulseMT (Meng et al., 2023). Future effort will be devoted into the 817 faster implementation of FFT and iFFT, for example, taking the advantage of modern GPUs.

818 It is a little more time-consuming for the reinforcement learning to acquire the optimal strategies for each trigger. However, we can obtain a general injecting strategy for each EEG BCI tasks, which can achieve a relatively good performance without reinforcement learning, as we can see from Table 4 that random injection strategy has an acceptable performance.

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С **BROADER IMPACTS**

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With the rapid development of techniques, EEG BCIs gain a wide range of applications from health 826 care to human-computer interaction. Some companies like Neuralink adopt the EEG BCI to assist 827 paralytic patients helping themselves in daily lives. However, if the EEG BCI is backdoor attacked 828 by Professor X, which allows the attacker to arbitrarily control BCI's outputs, the BCI users may 829 fall into tremendous fatal troubles. For instance, one paralytic patient controls his/her wheelchair by 830 EEG BCI, the attacker can manipulate the wheelchair to run down a steep staircase. For an epileptic 831 patient, the attacker can let all the output be Normal State, even when the patient is experiencing 832 an epileptic seizure. This paper reveals the severe danger faced by EEG BCIs, demonstrating the 833 possibility that someone can maliciously manipulate the outputs of EEG BCIs with arbitrary target 834 class.

835 Professor X can also be used for positive purposes, like protecting intellectual property of EEG 836 dataset and EEG models with watermarking. As our Professor X has a very small impact of the clean 837 accuracy, and the poisoning approach is clean label poisoning, Professor X is a fantastic method for 838 watermarking EEG dataset and models. 839

For a company that provides EEG dataset, it can select different EEG triggers for different customs 840 to generate poisoned data and inject into the dataset provided to customs who buy the dataset. As a 841 result, the company have the information of which trigger is corresponding to which customs, e.g., 842 trigger x is in the dataset provided to custom X, trigger y is in the dataset provided to custom Y. If an 843 EEG model from a company which didn't buy dataset is detected having this watermark (backdoor) 844 with trigger x, the company knows that the custom X leaked the dataset. Similarly, if an EEG model 845 is detected having this watermark (backdoor) with trigger y, the company knows that the custom Y846 leaked the dataset.

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DISCUSSION OF DEFENSIVE STUDY AGAINST PROFESSOR X D

Thanks to the reviewer JuBu, uEuL and kEdv in the ICLR conference, who asked many questions regarding the defensive study against Professor X. These insightful concerns deepen our understanding 852 of our attack and how to guard backdoor attack in EEG BCIs. Thus, we add a new section here to discuss our humble opinion on the defensive study against Professor X, which we hope will benefit 854 the future research.

856 Since backdoor attack is primarily studied in the image processing field, the defensive research is also conducted for protecting image model. However, EEG modality, a kind of multi-variate time series, is 857 far different from image modality. These difference may inherently cause failure of existing backdoor 858 defensive methods. Next, we would like to discuss the limitation of these defensive methods. 859

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- D.1 NEURAL CLEANSE
- Neural Cleanse (NC) (Wang et al., 2019) aims to reconstruct the trigger pattern in the backdoor 863 model. It is conducted based on the following assumption:

864	1) The trigger pattern is the same for different input, which is called input-agnostic.
865	2) The backdoor model learns a shortcut for the trigger pattern
866	2) The blockdoor model learns a <i>shoricul</i> for the trigger pattern.
867	3) The trigger pattern is relatively small compared to the whole input.
868	NC first initialize a random noise and a random noise as the trigger pattern, then optimize the noise
869	and mask to make the backdoor model outputs the target label for a input injected with the trigger,
870	and let the mask as small as possible. At last, NC calculate a anomaly index according to the size
07 I 872	of the mask. The smaller the mask, the higher the anomaly index. Empirically, the anomly index
873	threshold is set to 2. NC works well on detecting BA likes BadNets (Gu et al., 2019) and Trojan Backdoor (Livet al., 2018b), which are baciaelly consistent with the above accumptions
874	Backdoor (Liu et al., 2018b), which are basically consistent with the above assumptions.
875	However, the trigger patterns for EEG BCI are always not small, like NPP signals and our attack (the
876	trigger can be seen in Fig. 8, the red residual is the trigger). These trigger patterns are wide and cover
877	all time points of EEG signals. Thus, NC is not effective in detecting our attack. It can be seen from Fig. 9, 10 and 11 that the reconstructed trigger patterns of clean model and backdoor model are quite
878	similar. And the mask reconstructed for both model are all very wide and extend to most channels
879	and time points. In short, NC fails to detect our attack.
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881	D.2 STRIP
002	STRID (Cap at al. 2010) which porturbs the input EEC and calculates the enterony of the and disting
884	of these perturbed EEG data. STRIP detects the backdoor based on these assumptions:
885	of these perturbed EEG data. STRIF detects the backdoor based on thees assumptions.
886	1) backdoor trigger is input-agnostic;
887	2) backdoor trigger is strong and effective when performing input perturbation;
888	3) the backdoor models' outputs (softmax) of poisoned data has very low entropy.
889	· · · · · · · · · · · · · · · · · · ·
890	STRIP has several strengths:
891	1) Insensitive to trigger-size: STRIP is effective no matter the trigger is hig or small
892	2) Dive and Diver STDID is give and give and group at the in any module. We approved the
893	2) Flug and Play : STRIP is plug and play, and compatible in any models. We only need the inputs and outpus of the backdoor models (treated as a black box as we don't need any
895	intermediate outputs), then calculate the entropy of the outputs.
896	3) Backdoor model architecture-agnosite: STRIP only needs the inputs and outputs of
897	the backdoor model, so it is an architecture-agnosite method and is generalize to many
898	real-world application senarios.
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900	However, STRIP also has some weaknesses. Any trigger that may affect the above findings may
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902	1) the trigger is input-specific;
903	2) the trigger is not that strong, it fails when performing input perturbation;;
904	3) the trigger won't cause the backdoor model to predict with very low entropy
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907	So why STRIP fails in detecting Professor X? Firstly, our trigger is injected in the frequency
908	domain, leading to the input-specific pattern in the temporal domain, causing assumption 1 to be
909	information causing our trigger disapper leading to the assumption 2 to be invalid. Lastly as
910	EEG is a nonstationary modality, the outputs of EEG models are always with high entropy making
911	assumption 3 to be invalid. Thus, STRIP is not effective in detecting Professor X attack.
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913	D.3 SPECTRAL SIGNATURE
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915	spectral signature (1ran et al., 2018) detects the backdoor samples by statistical analysis of clean data and backdoor data in the latent space, which first find the top right singular vector of the covariance.

and backdoor data in the latent space, which first find the top-right singular vector of the covariance
 matrix of the latent vectors of a small subset of clean samples, then each sample is calculated a correlation score to this singular vector. It detects whether a sample is backdoor sample by the

correlation score, the difference the correlation score, the higher the possibility of being a backdoor sample. Spectral Signature aims to purify the datasets, it can remove all the possible backdoor sample. However, any clean sample can also be possibly removed by Spectral Signature.

The reason of the failure of Spectral Signature on EEG BCI might be that EEG signals are nonstationary, so the latent space of EEG model contains a lots of noises. These noises causes the similarity
between backdoor samples and clean samples.

926 D.4 FINE-PRUNING

Fine-Pruning (Liu et al., 2018a) assumes that the defender has a validation dataset \mathcal{D}_{valid} in which all data are clean. The defender feeds these clean data into the backdoor models, and recrods the average activation of each neuron. Afterwards, the defender iteratively prunes neurons from the DNN in increasing order of average activations. Thus, the low-activated neurons are those the average activation is low when feeding in clean data.

However, Fine-Pruning can inadvertently remove important features that are crucial for classification. Because the average activation is obtained from the small subset \mathcal{D}_{valid} , so the low-activated neurons determined by \mathcal{D}_{valid} may be high-activated neurons when feeding another clean validation dataset \mathcal{D}'_{valid} . That is, the important neurons for classifying clean sample $x \in \mathcal{D}'_{valid}$ may be low-activated neurons for all samples in \mathcal{D}_{valid} , resulting in the pruning of these important neurons.

As we discussed above, Fine-Pruning requires that the defender has a validation dataset As we
discussed above, Fine-Pruning requires that the defender has a validation dataset. The performance
of Fine-Pruning relies heavily on the quality of the validation dataset, since the low-activated neurons are determined by the validation dataset.

- So in the future, building a large, diverse, high quality, and absolutely clean validation dataset is
 the key for improving the Fine-Pruning's performance. The most important part is the diversity,
 which not only means the diversity of EEG tasks, but also means the diversity of EEG formats. Thus,
 improving the defenses against backdoor attacks is not an easy task and needs joint efforts of the
 medical and academic communities.
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D.5 ANOMALY DETECTION METHOD

Following the BackTime paper (Lin et al., 2024), we also conduct a same experiment. But for
Professor X, the trigger is input-specific, resulting in these anomaly detection models does not see
any trigger pattern before and thus cannot tell the whether a EEG data is a clean or backdoor sample.

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E DATASETS AND PREPROCESSING

In this section, we introduce the three datasets used in our experiments, and explain the preprocessing. We elaborately selected these three datasets because of three reasons: 1) They cover three different EEG tasks that are important and common in EEG BCI field; 2) The EEG formats of these datasets vary significantly; 3) The EEG tasks are all multi class classification tasks, that is, the number of categories is more than two. Experiments on these three datasets can validate the efficacy, manipulating performance, and generalizability of each BA methods as much as possible.

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E.1 EMOTION RECOGNITION (ER)

The SJTU Emotion EEG Dataset (SEED) was incoporated as the representative dataset of emotion recogniton tasks (Zheng & Lu, 2015). It consists of EEG recordings from 15 subjects watching
15 emotional video clips with three repeated session each on different days. Each video clip is supposed to evoke one of the three target emotions: positive, neutral, and negative. The EEG signals
were acquired by the 62-channel electrode cap at a sampling rate of 1000 Hz. We performed below preprocessing procedures for the 62-channel EEG signals: 1) Down-sampling from 1000 Hz to 200
Hz, 2) Band-pass filtering at 0.3-50 Hz, 3) Segmenting EEG signals into 1-second (200 timepoints), obtaining 3394 EEG segments in each session for each subject.

972 E.2 MOTOR IMAGERY (MI) 973

We employ the BCIC-IV-2a as a representative dataset of MI classification tasks (Brunner et al., 2008).
It contains EEG recordings in a four-class motor-imagery task from nine subjects with two repeated session each on different days. During the task, the subjects were instructed to imagine four types of movements (*i.e.*, right hand, left hand, feet, and tongue) for four seconds. Each session consists of a total of 288 trials with 72 trials for each type of the motor imagery. The EEG signals were recorded by 22 Ag/AgCl EEG electrodes in a sampling rate of 250 Hz. We segment the 22-channel EEG signals into 1-second segments, resulting in totally 1152 EEG data for each subject.

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E.3 EPILEPSY DETECTION (ED)

983 The CHB-MIT, one of the largest and most used public datasets for epilepsy, is adopted as a 984 representative dataset of ED tasks (Shoeb & Guttag, 2010). It recorded 877.39 hours of multi-channel 985 EEG in a sampling rate of 256 Hz from 23 pediatric patients with intractable seizures. However, as 986 the montages (*i.e.*, the number and the places of electrodes) of EEG signals vary significantly among 987 different subjects' recordings, we select to use only the EEG recordings with the same 23 channels 988 (see Appendix A) and discard other channels or the recordings don't have all these 23 channels. Due 989 to the purpose is to test whether the backdoor attack works on the ED task, not to study the epilepsy 990 EEG classification, we segment part of the CHB-MIT dataset to form a four-class ED dataset (i.e., 991 the preictal, ictal, postictal, and interictal phases). Specifically, for a ictal phase EEG recording of t_i seconds from $[s_i, e_i]$ timepoints, we segment the $[s_i - t_i, e_i]$ EEG as the preictal phase, the 992 $[e_i, e_i + t_i]$ EEG as the postictal phase, and another t_i seconds EEG recordings as the interictal phase 993 which satisfying there is no ictal phase within half an hour before or after. Then we segment the 994 23-channel EEG signals into 1-second segments, consequently, there are 41336 segments left in total 995 from all subjects, 10334 for each phase. As the imbalanced amount of data across different subjects, 996 we separate these 41336 segments into 10 groups and treat the ten groups as 10 subjects.

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F IMPLEMENTATION DETAILS

1001 F.1 EXPERIMENT COMPUTING RESOURCES 1002

We use two servers for conducting our experiments. A server with one Nvidia Tesla V100 GPU is
used for running reinforcement learning, the CUDA version is 12.3. Another server with four Nvidia
RTX 3090 GPUs is used for running the backdoor attacks, the CUDA version is 11.4.

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1007 F.2 DETAILS OF BASELINE METHODS

1009 In our Professor X backdoor attacks, for an EEG segment $x_i \in \mathbb{R}^{E \times T}$, we modify the βF frequency-1010 points and γE electrodes of a EEG segments with a constant number.

1011 There are four baseline methods in our study for multi-target backdoor attacks, two of them are 1012 non-stealthy attacks (**PatchMT** and **PulseMT**) and two are stealthy attacks (**CompressMT** and 1013 **AdverseMT**). In order to achieve a fair comparison, we modify only first γE electrodes for all 1014 baseline attack methods. For the non-stealthy attacks, which are all on the temporal domains, we 1015 modify βT timepoints of EEG signals. For the stealthy attacks, there is no constraint of the numbers 1016 of the modify timepoints as these attacks achieve stealthiness in another way.

For each baseline method, we try our best to find out the best performance, as demonstrated below.
We promise that we did not maliciously lower the performances of the baseline methods.

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F.2.1 PATCHMT

PatchMT is a multi-trigger and MT extension of BadNets (Gu et al., 2019) where we fill the first βT timepoints and γE electrodes of a EEG segments with a constant number. Specifically, for an EEG segment $x_i \in \mathbb{R}^{E \times T}$, we set the first γE electrodes and the first βT timepoints of the EEG segment to a constant number. We normalize the EEG segment $x_i \in \mathbb{R}^{E \times T}$ to let \mathbf{x}_i 's mean is 0 and std is 1. Then set the first γE electrodes and the first βT timepoints of \mathbf{x}_i to a different constant number 1026 for different class. The constant number for each class of $\{0, 1, 2, 3\}$ for four classes, and $\{-0.1, 0.0,$ 1027 1.0} for three classes. Finally, denormalize \mathbf{x}_i to original signal x_i 's scale to generate x_i^p . 1028

Although we try our best to find the best performance of PatchMT, and BadNets (Gu et al., 2019) 1029 is really efficient in image backdoor attacks, PatchMT cannot have satisfactory results in EEG BCI 1030 attack. 1031

1032 F.2.2 PULSEMT 1033

1034 For PulseMT, we met the same questions as the PatchMT: how to identify the amplitude of each NPP 1035 signal for each class? If the numbers are too large then normal EEG signals, it will be unfair. If the 1036 numbers are too small, the efficacy of PulseMT is too negative.

1037 We normalize the EEG segment $x_i \in \mathbb{R}^{E \times T}$ to let \mathbf{x}_i 's mean is 0 and std is 1. The constant amplitude 1038 for each class of $\{-0.8, -0.3, 0.3, 0.8\}$. Finally, denormalize \mathbf{x}_i to original signal x_i 's scale to 1039 generate x_i^p . 1040

1041 F.2.3 COMPRESSMT 1042

1043 Compressing the amplitude of EEG signals in the temporal domain will not change the morphology and the frequency distribution of EEG signals, thus obtaining stealthiness. For three-class Emotion 1044 datasets, the compress rate is {0.8, 0.6, 0.4}. For four-class Motor Imagery and Epilepsy datasets, the 1045 compress rate is {0.8, 0.6, 0.4, 0.2}. 1046

F.2.4 ADVERSEMT 1048

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1049 AdverseMT is another stealthy EEG backdoor attacks, which is the multi-trigger and multi-target 1050 extension of adversarial spatial filter attacks (Meng et al., 2024), in which, for EEG segment $x_i \in$ 1051 $\mathbb{R}^{E \times T}$, it learns an Spatial Filter $\mathbf{W} \in \mathbb{R}^{E \times E}$ by the adversarial loss to let the model f misclassify 1052 x_i :

$$\min_{\mathbf{W}} \mathbb{E}_{(x_i, y_i) \sim \mathcal{D}} [-\mathcal{L}_{CE}(\mathbf{W} x_i, y_i) + \alpha \mathcal{L}_{MSE}(\mathbf{W} x_i, x_i)],$$
(10)

1055 However, the original version of (Meng et al., 2024) requires the access to all training dataset D and 1056 the control of the training process of the model f. We modify the AdverseMT to only access to the 1057 training dataset \mathcal{D}_{train} . Note that the adversarial loss dose not have the special design for multi-target 1058 backdoor attacks, we only run the process c times for obtaining c spatial filters for different classes. 1059 So the poisoned subset are $S_p = \{(\mathbf{W}_0(x), 0), (\mathbf{W}_1(x), 1), (\mathbf{W}_2(x), 2), (\mathbf{W}_3(x), 3)\}.$ 1060

1061 **REINFORCEMENT LEARNING POLICY NETWORK ARCHITECTURE** F.3 1062

1063 Here, we design a concise but effective convolutional neural networks as the our policy network, 1064 which is defined as belows:

1066										
1067	Table 8: The A	Table 8: The Architecture of Policy Network								
1068	Layer	In	Out	Kernel	Stride					
1069	Conv2d	1	32	(1, 3)	(1, 1)					
1070	BatchNorm2d									
1071	ELU									
1072	AvgPool2d				(1,2)					
1073	Conv2d	32	64	(1, 3)	(1, 1)					
1074	BatchNorm2d									
1075	ELU									
1076	AvgPool2d				(1,2)					
1077	Adaptive AvgPool2d				(1 1)					
1078	Flatten				(1, 1)					
1079	Linear	64	256							

1080 TARGET EEG BCIS' NETWORK ARCHITECTURE F.4 1081

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1082 Three mostly-used EEG BCI models in real-world applications are investigated in our experiments, 1083 covering convolutional neural network (CNN) and recurrent neural network (RNN): 1) EEGNet (Lawhern et al., 2018), 2) DeepCNN (Schirrmeister et al., 2017), 3) LSTM (Tsiouris et al., 2018). 1084 Below we detail the architecture of each network. The EEGNet and DeepCNN are almost the same 1085 as the original paper (modified a little for cross-subject setting), LSTM comprises an embedding 1086 layer, a one-layer LSTM and a linear classifiers. 1087

1088 EEGNet is a compact and concise convolutional network for EEG BCI, having been proven to be effective in a variety of EEG fields with only 3 convolutional layers. DeepCNN is a little bit deeper 1089 than EEGNet, which comprises 4 blocks, 5 convolutional layers in total. The LSTM written by us, as 1090 demonstrated in Table 11, is a very shallow network. Our goal is to develop a model-agnostic BA 1091 method for EEG modality. 1092

1094	Table 9: The Architecture of EEGNet								
1095	Layer	Kernel	Input Size	Output Size					
1096 1097 1098 1099 1100	16 × Conv1d BatchNorm Transpose Dropout	(C, 1) 0.25	$\begin{array}{c} C \times T \\ 16 \times 1 \times T \\ 16 \times 1 \times T \\ 1 \times 16 \times T \end{array}$	$\begin{array}{c} 16\times1\times T\\ 16\times1\times T\\ 1\times16\times T\\ 1\times16\times T\\ 1\times16\times T \end{array}$					
1101 1102 1103 1104	4 × Conv2d BatchNorm Maxpool2D Dropout	(2×32) (2,4) 0.25	$\begin{array}{c} 1\times16\times T\\ 4\times16\times T\\ 4\times16\times T\\ 4\times8\times T/4 \end{array}$	$\begin{array}{c} 4\times16\times T\\ 4\times16\times T\\ 4\times8\times T/4\\ 4\times8\times T/4 \end{array}$					
1105 1106 1107 1108	4 × Conv2d BatchNorm Maxpool2D Dropout	(8 × 4) (2,4) 0.25	$\begin{array}{c} 4\times8\times T/4\\ 4\times8\times T/4\\ 4\times8\times T/4\\ 4\times4\times T/16 \end{array}$	$\begin{array}{c} 4\times8\times T/4\\ 4\times8\times T/4\\ 4\times4\times T/16\\ 4\times4\times T/16\end{array}$					
1109	Softmax Regression		$4\times 4\times T/16$	Class Number					

Table 10: The Architecture of DeepCNN

Layer	Kernel	Input Size	Output Size					
$F_1 \times \text{Conv1d}$	(1, 32)	$C \times T$	$F_1 \times C \times T$					
BatchNorm		$F_1 \times 1 \times T$	$F_1 \times 1 \times T$					
$F_1 \times \text{Conv1d}$	(C, 1)	$F_1 \times C \times T$	$F_1 \times 1 \times T$					
BatchNorm		$F_1 \times 1 \times T$	$F_1 \times 1 \times T$					
MaxPooling	(1,2)	$F_1 \times 1 \times T_1$	$F_1 \times 1 \times T/2$					
Dropout	0.25	$F_1 \times 1 \times T/2$	$F_1 \times 1 \times T/2$					
$F_2 \times \text{Conv2d}$	(1×10)	$F_1 \times 1 \times T/2$	$F_2 \times 1 \times T/2$					
BatchNorm		$F_2 \times 1 \times T/2$	$F_2 \times 1 \times T/2$					
Maxpool2D	(1,2)	$F_2 \times 1 \times T/2$	$F_2 \times 1 \times T/4$					
Dropout	0.25	$F_2 \times 1 \times T/4$	$F_2 \times 1 \times T/4$					
$F_3 \times \text{Conv2d}$	(1×10)	$F_2 \times 1 \times T/4$	$F_3 \times 1 \times T/4$					
BatchNorm		$F_3 \times 1 \times T/4$	$F_3 \times 1 \times T/4$					
Maxpool2D	(1,4)	$F_3 \times 1 \times T/4$	$F_3 \times 1 \times T/16$					
Dropout	0.25	$F_3 \times 1 \times T/16$	$F_3 \times 1 \times T/16$					
$F_4 \times \text{Conv2d}$	(1×4)	$F_3 \times 1 \times T/16$	$F_4 \times 1 \times T/16$					
BatchNorm		$F_4 \times 1 \times T/16$	$F_4 \times 1 \times T/16$					
Maxpool2D	(1,4)	$F_4 \times 1 \times T/16$	$F_4 \times 1 \times T/64$					
Dropout	0.25	$F_4 \times 1 \times T/64$	$F_4 \times 1 \times T/64$					
Softmax Regression		$F_4 \times 1 \times T/64$	Class Number					
	Layer $F_1 \times \text{Conv1d}$ BatchNorm $F_1 \times \text{Conv1d}$ BatchNormMaxPoolingDropout $F_2 \times \text{Conv2d}$ BatchNormMaxpool2DDropout $F_3 \times \text{Conv2d}$ BatchNormMaxpool2DDropout $F_4 \times \text{Conv2d}$ BatchNormMaxpool2DDropout $F_4 \times \text{Conv2d}$ BatchNormMaxpool2DDropoutSoftmax Regression	Layer Kernel $F_1 \times \text{Conv1d}$ (1, 32) BatchNorm (C, 1) BatchNorm (C, 1) BatchNorm (C, 1) BatchNorm (1, 2) Dropout 0.25 $F_2 \times \text{Conv2d}$ (1 × 10) BatchNorm (1, 2) Dropout 0.25 $F_3 \times \text{Conv2d}$ (1, 2) Dropout 0.25 $F_3 \times \text{Conv2d}$ (1 × 10) BatchNorm (1, 4) Dropout 0.25 $F_4 \times \text{Conv2d}$ (1, 4) BatchNorm (1, 4) BatchNorm (1, 4) Dropout 0.25 $F_4 \times \text{Conv2d}$ (1, 4) Dropout 0.25 Softmax Regression (1, 4)	LayerKernelInput Size $F_1 \times \text{Conv1d}$ $(1, 32)$ $C \times T$ BatchNorm $F_1 \times 1 \times T$ $F_1 \times \text{Conv1d}$ $(C, 1)$ $F_1 \times C \times T$ BatchNorm $F_1 \times 1 \times T$ MaxPooling $(1,2)$ $F_1 \times 1 \times T$ Dropout 0.25 $F_1 \times 1 \times T/2$ $F_2 \times \text{Conv2d}$ (1×10) $F_1 \times 1 \times T/2$ BatchNorm $F_2 \times 1 \times T/2$ Maxpool2D $(1,2)$ $F_2 \times 1 \times T/2$ Dropout 0.25 $F_2 \times 1 \times T/2$ Maxpool2D $(1,2)$ $F_2 \times 1 \times T/4$ F_3 $\times \text{Conv2d}$ (1×10) $F_2 \times 1 \times T/4$ BatchNorm $F_3 \times 1 \times T/4$ Dropout 0.25 $F_3 \times 1 \times T/4$ BatchNorm $F_3 \times 1 \times T/4$ Maxpool2D $(1,4)$ $F_3 \times 1 \times T/4$ Maxpool2D $(1,4)$ $F_3 \times 1 \times T/16$ BatchNorm $F_4 \times 1 \times T/16$ Dropout 0.25 $F_4 \times 1 \times T/16$ BatchNorm $F_4 \times 1 \times T/16$ Softmax Regression $F_4 \times 1 \times T/64$					

Table 11: The Architectu	re of LSTM, n is	the embedding size.
Layer	Input Size	Output Size
Linear ReLU	$C \times T$	$n \times T$
Linear	$n \times T$	$n \times T$
LSTM	$n \times T$	$n \times T$
Softmax Regression	$n \times T$	Class Number

G ATTACK PERFORMANCE OF PROFESSOR X

G.1 DIFFERENT POISONING RATES

We present the performance of each backdoor attacks' performance under different poisoning rates in Table 12. We can see that our Professor X outperforms other baseline at all poisoning rates, demonstrating the superiority of Professor X. Note that the performance of Professor X on the MI dataset is significantly robust to low poisoning rates, i.e., ASR of 1.000 when $\rho = 0.05$.

G.2 HYPERPARAMETER ANALYSIS: FREQUENCY AND ELECTRODES INJECTION RATIO

We present the performance of each backdoor attacks performance under different rates in Table 13 and Table 14. It can be observed with the increment of β and γ , the attack performance increases. Because the trigger is bigger in clean EEG data.

G.3 HYPERPARAMETER ANALYSIS IN REINFORCEMENT LEARNING

We applied the following reward function to acquire the optimal mask strategies for each triggers:

$$Q_t = CA + \lambda \operatorname{ASR} + \mu \operatorname{dis}(\mathcal{M}_f^{c_i}) + \nu \min(\mathcal{M}_f^{c_i}),$$
(11)

where the first part means the clean accuracy, the second part means the attack success rate, the third part is aiming to scatter the injection positions in various frequency bands, and the fourth part is aiming to inject as high frequencies in EEG signals as possible. Here, we give a simple example to demonstrate the reward function. For an 10 timepoints long EEG segment x_i , $\tilde{x}_i = \mathcal{F}(x_i)$. If the $\mathcal{M}_{f}^{c_{i}} = \{2, 3, 5, 7, 9\}$, because the minimal distance between each pair in $\mathcal{M}_{f}^{c_{i}}$ is |2-3| = 1, thus $\operatorname{dis}(\mathcal{M}_{f}^{c_{i}}) = 1$. The $\min(\mathcal{M}_{f}^{c_{i}})$ means the lowest position in $\mathcal{M}_{f}^{c_{i}}$, thus $\min(\mathcal{M}_{f}^{c_{i}}) = 2$.

The analysis of the λ are presented in Table 15. When λ increase, the Attack performance increases while the Clean performance declines slightly.

Table 15: Clean (/C) and attack (/B) performance with ASR's hyperparameter $\lambda, \mu = 0.3, \nu = 0.005$

1175		Dataset	Emotion		Motor Imagery		Epilepsy	
1176 1177		Method	Clean	Attack	Clean	Attack	Clean	Attack
1178	0.5	Professor X	$0.542{\pm}0.03$	$0.847{\scriptstyle\pm0.04}$	$0.327{\scriptstyle\pm0.02}$	1.000 ± 0.01	$0.500{\pm}0.04$	$0.922{\pm}0.04$
1179	1.0	Professor X	$0.537{\scriptstyle\pm0.02}$	$0.855{\scriptstyle \pm 0.03}$	$0.325{\scriptstyle\pm0.02}$	1.000 ± 0.01	$0.482{\scriptstyle\pm0.03}$	$0.935{\scriptstyle \pm 0.05}$
1180 1181	2	Professor X	$0.535{\scriptstyle\pm0.03}$	$0.857{\scriptstyle\pm0.02}$	$0.323{\scriptstyle\pm0.02}$	1.000 ± 0.01	$0.477{\scriptstyle\pm0.04}$	$0.944{\scriptstyle\pm0.02}$

Table 12: Clean (/C) and attack (/B) performance with different poisoning rates for Professor X and other baseline methods. The target model is EEGNet for all cases.

1199	ρ	Dataset	Eme	otion	Motor]	Imagery	Epilepsy		
1200 1201	Ρ	Method	Clean	Attack	Clean	Attack	Clean	Attack	
1202 1203 1204 1205	0.05	PatchMT PulseMT ComprsMT Professor X	0.390 0.488 0.448 0.491	0.333 0.337 0.313 0.566	0.281 0.275 0.269 0.321	0.791 0.788 0.754 1.000	$\begin{array}{c} 0.449 \\ 0.473 \\ 0.449 \\ 0.460 \end{array}$	0.365 0.397 0.329 0.667	
1206	0.10	PatchMT	0.443	0.334	0.279	0.785	0.452	0.400	
1207		PulseMT	0.445	0.394	0.281	0.796	0.486	0.591	
1208		ComprsMT	0.509	0.323	0.270	0.778	0.446	0.337	
1209		Professor X	0.541	0.718	0.320	1.000	0.452	0.734	
1210	0.15	PatchMT	0.455	0.335	0.285	0.805	0.439	0.414	
1211		PulseMT	0.438	0.514	0.280	0.787	0.447	0.669	
1212		ComprsMT	0.488	0.332	0.275	0.792	0.461	0.374	
1213		Professor X	0.528	0.805	0.322	1.000	0.460	0.781	
1214	0.20	PatchMT	0.481	0.334	0.277	0.816	0.461	0.451	
1215		PulseMT	0.447	0.555	0.285	0.810	0.451	0.692	
1216		ComprsMT	0.470	0.347	0.270	0.795	0.458	0.394	
1217		Professor X	0.538	0.773	0.321	1.000	0.447	0.799	
1218	0.25	PatchMT	0.487	0.335	0.281	0.820	0.444	0.483	
1219		PulseMT	0.466	0.701	0.275	0.815	0.431	0.684	
1220		ComprsMT	0.493	0.335	0.269	0.800	0.462	0.427	
1221		Professor X	0.551	0.836	0.325	1.000	0.447	0.834	
1222	0.30	PatchMT	0.459	0.343	0.280	0.809	0.440	0.496	
1223		PulseMT	0.486	0.810	0.272	0.816	0.451	0.716	
1224		ComprsMT	0.499	0.331	0.269	0.825	0.455	0.481	
1225		Professor X	0.526	0.829	0.320	1.000	0.451	0.756	
1226 1227 1228 1229	0.35	PatchMT PulseMT ComprsMT Professor X	0.437 0.437 0.473 0.489	0.341 0.767 0.347 0.763	0.285 0.275 0.265 0.321	0.805 0.837 0.851 1.000	$\begin{array}{c} 0.448 \\ 0.482 \\ 0.446 \\ 0.453 \end{array}$	0.510 0.757 0.517 0.910	
1230	0.40	PatchMT	0.490	0.345	0.283	0.824	0.460	0.549	
1231		PulseMT	0.454	0.771	0.270	0.825	0.439	0.443	
1232		ComprsMT	0.464	0.361	0.269	0.865	0.437	0.450	
1233		Professor X	0.528	0.849	0.323	1.000	0.477	0.944	

2	Method PatchMT	Clean	A 1				
5	PatchMT		Attack	Clean	Attack	Clean	Attack
	1 4000111/11	0.411	0.334	0.272	0.801	0.476	0.499
0.0	PulseMT	0.464	0.752	0.265	0.800	0.505	0.670
0	Professor X	0.522	0.744	0.319	0.999	0.482	0.923
0	PatchMT	0.431	0.363	0.283	0.824	0.482	0.540
).1	PulseMT	0.460	0.795	0.270	0.825	0.486	0.704
0	Professor X	0.522	0.813	0.323	1.000	0.500	0.944
5	PatchMT	0.413	0.371	0.275	0.821	0.464	0.587
).1	PulseMT	0.449	0.701	0.271	0.821	0.477	0.632
)	Professor X	0.532	0.848	0.322	0.998	0.477	0.947
0	PatchMT	0.390	0.377	0.271	0.829	0.479	0.644
0.2	PulseMT	0.434	0.769	0.270	0.819	0.484	0.606
0	Professor X	0.529	0.882	0.325	0.999	0.486	0.950
5	PatchMT	0.406	0.385	0.267	0.835	0.491	0.673
0.2	PulseMT	0.491	0.705	0.275	0.832	0.478	0.566
•	Professor X	0.519	0.865	0.328	0.999	0.486	0.941
0	PatchMT	0.417	0.382	0.269	0.831	0.464	0.706
0.3	PulseMT	0.425	0.708	0.273	0.844	0.488	0.592
•	Professor X	0.521	0.862	0.330	0.999	0.495	0.940
5	PatchMT	0.435	0.373	0.270	0.841	0.475	0.734
).3	PulseMT	0.423	0.621	0.276	0.839	0.479	0.589
•	Professor X	0.527	0.850	0.332	0.998	0.496	0.947
0	PatchMT	0.438	0.378	0.271	0.843	0.469	0.751
). 4	PulseMT	0.481	0.624	0.272	0.845	0.485	0.592
•	Professor X	0.521	0.893	0.330	0.999	0.501	0.951
Ś	PatchMT	0.460	0.385	0.266	0.844	0.481	0.742
0.4	PulseMT	0.429	0.633	0.277	0.856	0.499	0.601
-	Professor X	0.519	0.877	0.325	0.999	0.492	0.962
0	PatchMT	0.423	0.386	0.263	0.840	0.480	0.752
0.5	PulseMT	0.459	0.514	0.273	0.851	0.492	0.610
	Professor X	0.528	0.893	0.329	1.000	0.497	0.970

Table 12. Cl (\mathbf{n}) (\mathbf{D}) c ith fo :..: Q <u>_</u>

γ	Dataset	Eme	otion	Motor	Imagery	Epil	epsy
/	Method	Clean	Attack	Clean	Attack	Clean	Attack
0.10	PatchMT	0.431	0.334	0.268	0.795	0.470	0.529
	PulseMT	0.425	0.498	0.269	0.802	0.502	0.717
	ComprsMT	0.407	0.349	0.271	0.805	0.482	0.656
	Professor X	0.489	0.485	0.235	0.367	0.499	0.814
0.20	PatchMT	0.473	0.335	0.271	0.805	0.464	0.599
	PulseMT	0.469	0.707	0.270	0.816	0.502	0.737
	ComprsMT	0.465	0.363	0.268	0.812	0.514	0.704
	Professor X	0.481	0.709	0.235	0.367	0.486	0.860
0.30	PatchMT	0.423	0.343	0.272	0.803	0.486	0.613
	PulseMT	0.488	0.767	0.273	0.814	0.506	0.749
	ComprsMT	0.451	0.398	0.271	0.811	0.494	0.700
	Professor X	0.500	0.743	0.235	0.367	0.490	0.883
0.40	PatchMT	0.453	0.343	0.270	0.812	0.478	0.525
	PulseMT	0.467	0.786	0.271	0.816	0.498	0.688
	ComprsMT	0.443	0.361	0.270	0.820	0.506	0.634
	Professor X	0.491	0.767	0.235	0.367	0.478	0.912
0.50	PatchMT	0.431	0.363	0.270	0.813	0.472	0.552
	PulseMT	0.460	0.795	0.269	0.819	0.471	0.710
	ComprsMT	0.430	0.366	0.269	0.821	0.503	0.640
	Professor X	0.522	0.813	0.235	0.367	0.477	0.944
0.60	PatchMT	0.452	0.377	0.267	0.819	0.480	0.549
	PulseMT	0.460	0.808	0.269	0.823	0.490	0.672
	ComprsMT	0.459	0.368	0.271	0.826	0.499	0.534
	Professor X	0.488	0.828	0.235	0.367	0.495	0.950
0.70	PatchMT	0.443	0.368	0.272	0.812	0.497	0.525
	PulseMT	0.437	0.809	0.270	0.821	0.459	0.716
	ComprsMT	0.456	0.366	0.273	0.835	0.492	0.571
	Professor X	0.527	0.853	0.235	0.367	0.489	0.955
0.80	PatchMT	0.461	0.383	0.268	0.821	0.479	0.573
	PulseMT	0.456	0.771	0.267	0.829	0.488	0.699
	ComprsMT	0.431	0.383	0.270	0.833	0.488	0.475
	Professor X	0.539	0.865	0.235	0.367	0.489	0.960
06.0	PatchMT	0.439	0.400	0.271	0.817	0.478	0.540
	PulseMT	0.461	0.811	0.269	0.823	0.494	0.694
	ComprsMT	0.459	0.389	0.274	0.836	0.490	0.309
	Professor X	0.520	0.824	0.235	0.367	0.489	0.970
1.00	PatchMT	0.430	0.370	0.267	0.823	0.476	0.526
	PulseMT	0.456	0.794	0.271	0.829	0.482	0.716
	ComprsMT	0.453	0.376	0.269	0.830	0.490	0.334
	Professor X	0.532	0.846	0.235	0.367	0.491	0.978

Table 14: Clean (/C	C) and attack ((/B)	performance	with electrodes	injection rat	te γ ,	$\beta =$	0.1	_
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1350 MORE VISUALIZATION RESULTS Η 1351

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In this section, we plot the reconstructed triggers and masks on three datasets in Section H.1, then 1354 plot more visualizations of backdoor samples in Section H.2, and plot the learning curve of our reinforcement learning in Section H.3.

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NEURAL CLEANSE: RECONSTRUCTION TRIGGER PATTERNS H.1

1363 Here, we present more visualization in Figure 9, Figure 10, and Figure 11 of the reconstructed trigger 1364 patterns and mask patterns for each possible label on three dataset (*i.e.*, the CHB-MIT dataset, the 1365 BCIC-IV-2a dataset and the SEED dataset) the target model is EEGnet. It can be observed that the reconstructed trigger patterns and mask patterns of the clean models and Professor X backdoor-1366 injected models are very similar to each other. Thus, our Professor X backdoor attack can easily 1367 bypass the defense of Neural Cleanse. 1368







Figure 10: The reconstructed trigger patterns and mask patterns for each possible class in the MI dataset. The results in the left column are reconstructed based on the clean model, the results in the right column are reconstructed based on the backdoor model. The EEG segments in the MI dataset have 22 electrodes and 250 timepoints.



Figure 11: The reconstructed trigger patterns and mask patterns for each possible class in the ER dataset (i.e., SEED dataset). The results in the left column are reconstructed based on the clean model, the results in the right column are reconstructed based on the backdoor model. The EEG segments in the SEED dataset have 62 electrodes and 200 timepoints.

1512 H.2 VISUALIZATION OF BACKDOOR ATTACK SAMPLES

We present more visualization of the backdoor attack samples generated by our Professor X on three datasets in Fig 12, 13, and 14. The x-axis is the timepoints, the y-axis is the normalized amplitude.
Top row: w.o. HF loss; Bottom row: with HF loss. Each column indicates each possible class.



Figure 12: The Clean EEG (Blue), Trigger-injected EEG (Orange) and the Residual (Red) of the ER dataset.



Figure 13: The Clean EEG (Blue), Trigger-injected EEG (Orange) and the Residual (Red) of the MI dataset.



Figure 14: The Clean EEG (Blue), Trigger-injected EEG (Orange) and the Residual (Red) of the ED dataset.

1566 H.3 VISUALIZATION OF LEARNING CURVES OF REINFORCEMENT LEARNING

We present the visualization of the learning curves of the reinforcement learning of three dataset in Fig 15. We can see the effectiveness of our reinforcement, which converged within 50 epochs on the ER dataset, that is, only trained 50 backdoor models with different injection strategies. Our RL is more effective on the MI dataset and ED dataset, which finds a good strategy within less 10 epochs. Our RL is robust when learning strategies for different triggers as demonstrated in Fig 15(c) and (d), where the learning curves are quite similar when RL is performing on different triggers.



