Principled Learning-to-Communicate in Cooperative MARL: An Information-Structure Perspective

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Abstract

Learning-to-communicate (LTC) in partially observable environments has gained increasing attention in deep multi-agent reinforcement learning, where the control and communication strategies are jointly learned. On the other hand, the impact of communication has been extensively studied in control theory, through the lens of information structures (ISs). In this paper, we seek to formalize and better understand LTC by bridging these two lines of work. To this end, we formalize LTC in decentralized partially observable Markov decision processes (Dec-POMDPs), and classify LTCs based on the ISs. We first show that non-classical LTCs are computationally intractable, and thus focus on quasi-classical (QC) LTCs. We then propose a series of conditions for QC LTCs, violating which can cause computational hardness in general. Further, we develop provable planning and learning algorithms for QC LTCs, and show that examples of OC LTCs satisfying the above conditions can be solved without computationally intractable oracles. Along the way, we also establish some relationship between (strictly) OC IS and the condition of having strategy-independent CIB beliefs (SI-CIB), as well as solving general Dec-POMDPs beyond those with SI-CIB, the only known condition that enables planning/learning in Dec-POMDPs without computationally intractable oracles, which may be of independent interest.

1 Introduction

- 19 Learning-to-communicate (LTC) has emerged and gained traction in the area of (deep) multi-agent
- 20 reinforcement learning (MARL) (Foerster et al., 2016; Sukhbaatar et al., 2016; Jiang & Lu, 2018).
- 21 Unlike classical MARL, which aims to learn a control strategy that minimizes the expected accumu-
- 22 lated costs, LTC seeks to *jointly* minimize over both the *control* and the *communication* strategies
- 23 of all the agents, as a way to mitigate the challenges due to the agents' partial observability of the
- environment. Despite the promising empirical successes, theoretical understandings of LTC remain
- 25 largely underexplored.
- 26 On the other hand, in control theory, a rich literature has investigated the role of communication
- 27 in decentralized/networked control (Tatikonda & Mitter, 2004; Nair et al., 2007; Xiao et al., 2005;
- 28 Yüksel, 2013), inspiring us to examine LTCs from such a principled and rigorous perspective. Most
- 29 of these studies, however, focused on linear systems, and did not explore the computational or
- 30 sample complexity guarantees when the system knowledge is not (fully) known. A few recent
- studies (Sudhakara et al., 2021; Kartik et al., 2022) started to explore the settings with general
- 32 discrete spaces, with special communication protocols and state transition dynamics.
- 33 More broadly, (the design of) communication strategy dictates the information structure (IS) of the
- 34 control system, which characterizes who knows what and when (Witsenhausen, 1971). IS and its
- 35 impact on the optimization tractability, especially for linear systems, have been extensively studied
- 36 in decentralized control, see (Yüksel & Başar, 2023) for comprehensive overviews. In this work,
- 37 we seek a more principled understanding of LTCs through the lens of information structures, with a
- focus on the computational and sample complexities of the problem.

Specifically, we formalize LTCs in the general framework of decentralized partially observable 39 40 Markov decision processes (Dec-POMDPs) (Bernstein et al., 2002), as in the empirical works (Foerster et al., 2016; Sukhbaatar et al., 2016; Jiang & Lu, 2018). We detail our contributions as follows. 41 42 Contributions. (i) We formalize learning-to-communicate in Dec-POMDPs under the common-43 information-based framework (Nayyar et al., 2013b;a; Liu & Zhang, 2023), allowing historical in-44 formation sharing. (ii) We classify LTCs through the lens of *information structure*, according to the 45 ISs before additional information sharing. We then show that LTCs with non-classical (Mahajan 46 et al., 2012) baseline IS is computationally intractable. (iii) Given the hardness, we thus focus on 47 quasi-classical (QC) LTCs, and propose a series of conditions under which LTCs preserve the QC 48 IS after sharing, while violating which can cause computational hardness in general. (iv) We pro-49 pose both planning and learning algorithms for QC LTCs, by reformulating them as Dec-POMDPs with strategy-independent (SI) common-information-based beliefs (SI-CIB) (Nayyar et al., 2013a; 50 51 Liu & Zhang, 2023), with quasi-polynomial time and sample complexities. Along the way, we also establish some relationship between (strictly) quasi-classical ((s)QC) ISs and the SI-CIB condition 52 53 in the framework of (Nayyar et al., 2013a), as well as solving general Dec-POMDPs beyond those 54 with SI-CIBs, the only known condition that enables planning/learning in Dec-POMDPs without computationally intractable, which may be of independent interest.

2 Preliminaries

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2.1 Learning-to-Communicate

- For n>1 agents, a *Learning-to-Communicate* problem can be depicted by a tuple $\mathcal{L}=59$ $\langle H, \mathcal{S}, \{\mathcal{A}_{i,h}\}_{i\in[n],h\in[H]}, \{\mathcal{O}_{i,h}\}_{i\in[n],h\in[H]}, \{\mathcal{M}_{i,h}\}_{i\in[n],h\in[H]}, \mathbb{T}, \mathbb{O}, \mu_1, \{\mathcal{R}_h\}_{h\in[H]}, \{\mathcal{K}_h\}_{h\in[H]} \rangle$, where H denotes the length of each episode, and other components are introduced as follows.
- **Decision-making components** We use S to denote the state space, and $A_{i,h}$ to denote the *control* 61 action space of agent i at timestep $h \in [H]$. We denote by $s_h \in \mathcal{S}$ the state and by $a_{i,h}$ the 62 control action of agent i at timestep h. We use $a_h:=(a_{1,h},\cdots,a_{n,h})\in\mathcal{A}_h:=\prod_{i\in[n]}\mathcal{A}_{i,h}$ to 63 denote the joint control action for all the n agents at timestep h. We denote by $\mathbb{T} = \{\mathbb{T}_h\}_{h \in [H]}$ the 64 collection of state transition kernels, where $s_{h+1} \sim \mathbb{T}_h(\cdot \mid s_h, a_h) \in \Delta(\mathcal{S})$ at timestep h. We use 65 $\mu_1 \in \Delta(\mathcal{S})$ to denote the initial state distribution. We denote by $\mathcal{O}_{i,h}$ the observation space and by 66 $o_{i,h} \in \mathcal{O}_{i,h}$ the observation of agent i at timestep h. We use $o_h := (o_{1,h}, o_{2,h}, \cdots, o_{n,h}) \in \mathcal{O}_h := (o_{1,h}, o_{2,h}, \cdots, o_{n,h}) \in \mathcal{O}_h$ 67 68 $\mathcal{O}_{1,h} \times \mathcal{O}_{2,h} \times \cdots \mathcal{O}_{n,h}$ to denote the joint observation of all the n agents at timestep h. We use 69 $\mathbb{O}=\{\mathbb{O}_h\}_{h\in[H]}$ to denote the collection of emission functions, where $o_h\sim\mathbb{O}_h(\cdot\,|\,s_h)\in\Delta(\mathcal{O}_h)$ at timestep h and state $s_h \in \mathcal{S}$. Also, we denote by $\mathbb{O}_{i,h}(\cdot | s_h)$ the emission for agent i, the marginal 70 distribution of $o_{i,h}$ given $\mathbb{O}_h(\cdot \mid s_h)$ for all $s_h \in \mathcal{S}$. At each timestep h, agents will receive a common 71 72 reward $r_h = \mathcal{R}_h(s_h, a_h)$, where $\mathcal{R}_h : \mathcal{S} \times \mathcal{A}_h \to [0, 1]$ denotes the reward function.
- Communication components In addition to reward-driven decision-making, agents also need to 73 74 decide and learn (what) to communicate with others. At timestep h, agents share part of their information $z_h \in \mathcal{Z}_h$ with other agents, where \mathcal{Z}_h denotes the collection of all possible shared informa-75 76 tion at timestep h. Here we consider a general setting where the shared information z_h may con-77 tain two parts, the baseline-sharing part z_h^b that comes from some existing sharing protocol among agents, and the additional-sharing part $z_{i,h}^a$ for each agent i that comes from explicit communication 79 to be decided/learned, with the joint additional-sharing information $z_h^a := \bigcup_{i=1}^n z_{i,h}^a$. This general 80 setting covers those considered in most empirical works on LTC (Foerster et al., 2016; Sukhbaatar 81 et al., 2016; Jiang & Lu, 2018), with a void baseline sharing part. We kept the baseline sharing since our focus is on the finite-time and sample tractability of LTC, for which a certain amount of 82 information sharing is known to be necessary (Liu & Zhang, 2023). Note that $z_h = z_h^b \cup z_h^a$ and 83 $z_h^b \cap z_h^a = \emptyset$. The shared information is part of the historical observations and (both *control* and *communication*) actions. We denote by $\mathcal{Z}_h^b, \mathcal{Z}_h^a$, and $\mathcal{Z}_{i,h}^a$ the collections of all z_h^b, z_h^a , and $z_{i,h}^a$. 84 85
- At timestep h, the *common information* among all the agents is thus defined as the union of all the shared information so far: $c_{h^-} = \bigcup_{t=1}^{h-1} z_t \cup z_h^b$, and $c_{h^+} = \bigcup_{t=1}^{h} z_t$, where c_{h^-} and c_{h^+} denote the

- (accumulated) common information before and after additional sharing, respectively. Hence, the 88
- 89 private information of agent i at time h before and after additional sharing is defined accordingly as
- $p_{i,h^-} = \{o_{i,1}, a_{i,1}, \cdots, a_{i,h-1}, o_{i,h}\} \setminus c_{h^-}, p_{i,h^+} = \{o_{i,1}, a_{i,1}, \cdots, a_{i,h-1}, o_{i,h}\} \setminus c_{h^+}, \text{ respectively.}$ 90
- 91 We denote by $p_{h^-} := (p_{1,h^-}, \cdots, p_{n,h^-})$ the joint private information before additional sharing, by
- $p_{h^+} := (p_{1,h^+}, \cdots, p_{n,h^+})$ the joint private information after additional sharing, at timestep h. We 92
- then denote by $au_{i,h^-}=p_{i,h^-}\cup c_{h^-}, au_{i,h^+}=p_{i,h^+}\cup c_{h^+}$ the information available to agent i at
- timestep h, before and after additional sharing, respectively, with $\tau_{h^-}=p_{h^-}\cup c_{h^-}, \tau_{h^+}=p_{h^+}\cup c_{h^+}$ 94
- 95 denoting the associated joint information. We use $C_{h^-}, C_{h^+}, \mathcal{P}_{i,h^-}, \mathcal{P}_{i,h^+}, \mathcal{P}_{h^-}$,
- $\mathcal{P}_{h^+}, \mathcal{T}_{i,h^-}, \mathcal{T}_{i,h^+}, \mathcal{T}_{h^-}, \mathcal{T}_{h^+}$ to denote, respectively, the corresponding collections of all possible 96
- 97 $c_{h-}, c_{h+}, p_{i,h-}, p_{i,h+}, p_{h-}, p_{h+}, \tau_{i,h-}, \tau_{i,h+}, \tau_{h-}, \tau_{h+}.$
- We use $m_{i,h}$ to denote the *communication action* of agent i at timestep h, and it will determine what 98
- information $z_{i,h}^a$ she will share, through the way specified later. We denote by $\mathcal{M}_{i,h}$ the space of 99
- 100 $m_{i,h}$, and by $m_h := (m_{1,h}, \cdots, m_{n,h}) \in \mathcal{M}_h := \mathcal{M}_{1,h} \times \cdots \mathcal{M}_{n,h}$ the joint communication action
- of all the agents. $\mathcal{K}_h: \mathcal{Z}_h^a \to [0,1]$ denotes the *communication cost* function, and $\kappa_h = \mathcal{K}_h(z_h^a)$ 101
- 102 denotes the incurred communication cost at timestep h, due to additional sharing.
- **System evolution** The system's evolution alternates between the communication and control steps. 103
- **Communication step:** At each timestep h, each agent i observes $o_{i,h}$ and may share part of her pri-104
- 105 vate information via baseline sharing, receives the baseline sharing of information from others, and
- forms p_{i,h^-} and c_{h^-} . Then, each agent i chooses her communication action, which determines the 106
- 107 additional sharing of information, receives the additional-sharing of information from others, forms
- 108 p_{i,h^+} and c_{h^+} , and incurs some communication cost κ_h . Formally, the evolution of the information
- is formalized as follows, which, unless otherwise noted, will be assumed throughout the paper. 109
- 110 **Assumption 2.1** (*Information evolution*). For each $h \in [H]$,
- (a) (Baseline sharing). $z_{h+1}^b = \chi_{h+1}(p_{h+}, a_h, o_{h+1})$ for some fixed transformation χ_{h+1} ; 111
- 112
- (b) (Additional sharing). For each agent $i \in [n], z_{i,h}^a = \phi_{i,h}(p_{i,h^-}, m_{i,h})$ for some function $\phi_{i,h}$, given communication action $m_{i,h}$, and $m_{i,h} \in z_{i,h}^a$; and the joint sharing $z_h^a := \bigcup_{i \in [n]} z_{i,h}^a$ is 113
- thus generated by $z_h^a = \phi_h(p_{h^-}, m_h)$, for some function ϕ_h ; 114
- (c) (Private information before sharing). 115 For each agent $i \in [n]$, $p_{i,(h+1)^-} =$
- $\xi_{i,h+1}(p_{i,h+},a_{i,h},o_{i,h+1})$ for some fixed transformation $\xi_{i,h+1}$, and the joint private informa-116
- 117 tion thus evolves as $p_{(h+1)^-} = \xi_{h+1}(p_{h^+}, a_h, o_{h+1})$ for some fixed transformation ξ_{h+1} ;
- (d) (Private information after sharing). For each agent $i \in [n]$, $p_{i,h^+} = p_{i,h^-} \setminus z_{i,h}^a$; 118
- (e) (Full memory). For each agent $i \in [n]$, $\tau_{i,h^-} \subseteq \tau_{i,h^+} \subseteq \tau_{i,(h+1)^-}$, and $o_{i,h} \in \tau_{i,h^-}$. 119
- Note that as fixed transformations (e.g., χ_h and $\xi_{i,h}$ above), they are not affected by the realized 120
- values of the random variables, but dictate some pre-defined transformation of the input random 121
- 122 variables. See (Nayyar et al., 2013b;a) and §B in (Liu & Zhang, 2023) for common examples of
- 123 baseline sharing that admit such fixed transformations when there is no additional sharing, and
- 124 examples in §A on how they are extended in the LTC setting. It should not be confused with
- some general function (e.g., $\phi_{i,h}$ above), which may depend on the realized values of the input 125
- random variables. (a) and (c) on baseline sharing follow from those in (Nayyar et al., 2013a; Liu 126
- 127 & Zhang, 2023); (b) and (d) on additional sharing dictate how the communication action affects
- the sharing based on private information. For example, a common choice of $(\mathcal{M}_{i,h}, \phi_{i,h})$ is that 128
- $\mathcal{M}_{i,h}=\{0,1\}^{|p_{i,h^-}|}$, for any $p_{i,h^-}\in\mathcal{P}_{i,h^-}$ and $m_{i,h}\in\mathcal{M}_{i,h}$, $\phi_{i,h}(p_{i,h^-},m_{i,h})$ consists of the 129
- k-th element $(k \in [|p_{i,h^-}|])$ of p_{i,h^-} if and only if the k-th element of $m_{i,h}$ is 1. As $m_{i,h}$ (depicting 130
- what to share) will be known given $z_{i,h}^a$ (what has been shared), $m_{i,h}$ is thus also modeled as being 131 shared, i.e., $m_{i,h} \in z_{i,h}^a$. This is also consistent with the models in (Sudhakara et al., 2021; Kartik 132
- 133 et al., 2022) on control/communication joint optimization. (e) means that the agent has full memory
- 134 of the information she has in the past and at present. We emphasize that this is closely related,
- 135 but different from the common notion of perfect recall (Kuhn, 1953), where the agent has to recall
- 136 all her own past actions. Condition (e), in contrast, relaxes the memorization of the actions, but

- includes the instantaneous observation $o_{i,h}$. This condition is satisfied by the models and examples 137
- 138 in (Mahajan et al., 2012; Nayyar et al., 2013b;a; Liu & Zhang, 2023). See also §A for more examples
- 139 that satisfy this assumption.
- 140 **Decision-making step:** After the communication, each agent i chooses her control action $a_{i,h}$, re-
- ceives a reward r_h , and the joint action a_h drives the state to $s_{h+1} \sim \mathbb{T}_h(\cdot \mid s_h, a_h)$. 141
- 142 **Strategies and solution concept** At timestep h, each agent i has two strategies, a *control* strat-
- egy and a communication strategy. We define a control strategy as $g_{i,h}^a:\mathcal{T}_{i,h^+}\to\mathcal{A}_{i,h}$ and a 143
- communication strategy as $g_{i,h}^m:\mathcal{T}_{i,h^-}\to\mathcal{M}_{i,h}$. We denote by $g_h^a=(g_{1,h}^a,\cdots,g_{n,h}^a)$ the joint control strategy and by $g_h^m=(g_{1,h}^m,\cdots,g_{n,h}^m)$ the joint communication strategy. We denote by $\mathcal{G}_{i,h}^a,\mathcal{G}_{i,h}^m,\mathcal{G}_{h}^a,\mathcal{G}_{h}^m$ the corresponding spaces of $g_{i,h}^a,g_{i,h}^m,g_{h}^a,g_{h}^m$, respectively. 144

- 147 The objective of the agents in the LTC problem is to maximize the expected accumulated sum of
- the reward and the negative communication cost from timestep h=1 to H: $J_{\mathcal{L}}(g_{1:H}^a,g_{1:H}^m):=$ 148
- $\mathbb{E}_{\mathcal{L}}\left[\sum_{h=1}^{H}(r_h-\kappa_h)\left|g_{1:H}^a,g_{1:H}^m\right|\right]$, where the expectation $\mathbb{E}_{\mathcal{L}}$ is taken over all the randomness in 149
- the system evolution, given the strategies $(g_{1:H}^a, g_{1:H}^m)$. With this objective, for any $\epsilon \geq 0$, we can 150
- 151 define the solution concept of ϵ -team optimum for \mathcal{L} as follows
- **Definition 2.2** (ϵ -team optimum). We call a joint strategy $(g_{1:H}^a,g_{1:H}^m)$ an ϵ -team optimal strategy 152
- of the LTC \mathcal{L} if $\max_{\widetilde{g}_{1:H}^a \in \mathcal{G}_{1:H}^a, \widetilde{g}_{1:H}^m \in \mathcal{G}_{1:H}^m} J_{\mathcal{L}}(\widetilde{g}_{1:H}^a, \widetilde{g}_{1:H}^m) J_{\mathcal{L}}(g_{1:H}^a, g_{1:H}^m) \leq \epsilon$. 153

154 2.2 Information Structures of LTC

- In decentralized stochastic control, the notion of information structure (Witsenhausen, 1975; Maha-155
- 156 jan et al., 2012) captures who knows what and when as the system evolves. In LTC, as the additional
- 157 sharing via communication will also affect the IS and is not determined beforehand, when we dis-
- cuss the IS of an LTC problem, we will refer to that of the problem with only baseline sharing. In 158
- 159 particular, an LTC \mathcal{L} without additional sharing is essentially a Dec-POMDP (with potential base-
- line information sharing), as defined in §E for completeness. We call a Dec-POMDP induced by \mathcal{L} 160
- 161 as the problem without additional sharing, (as defined in F.3).
- 162 (Strictly) quasi-classical ISs are important subclasses of ISs, which were first introduced for decen-
- 163 tralized stochastic control (Witsenhausen, 1975; Mahajan & Yüksel, 2010; Yüksel & Başar, 2023)
- (see the instantiation for Dec-POMDPs in §F.2). An IS that is not QC is non-classical (Mahajan 164
- 165 et al., 2012; Yüksel & Başar, 2023). We extend such a categorization to LTC problems as follows.
- **Definition 2.3** ((Strictly) quasi-classical LTC). We call an LTC \mathcal{L} (strictly) quasi-classical if the 166
- 167 Dec-POMDP induced by \mathcal{L} (cf. Definition F.3) is (strictly) quasi-classical. Namely, each agent in
- the intrinsic model of $\overline{\mathcal{D}}_{\mathcal{L}}$ knows the information (and the actions) of the agents who influence her, 168
- either directly or indirectly. 169

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- 170 Note that intrinsic model (defined in F.3) is oftentimes used for discussing information structure,
- 171 where each agent only acts once throughout the problem evolution, and the same agent in the state-
- space model at different timesteps is now treated as different agents. 172

3 **Structural Assumptions and Hardness**

- It is known that computing an (approximate) team-optimum in Dec-POMDPs, which are LTCs with-174
- 175 out information-sharing, is NEXP-hard (Bernstein et al., 2002). The hardness cannot be fully cir-
- 176 cumvented even when agents are allowed to share information: even if agents share all the informa-
- 177 tion, the LTC problem becomes a Partially Observable Markov Decision Process (POMDP), which
- 178 is known to be PSPACE-hard (Papadimitriou & Tsitsiklis, 1987; Lusena et al., 2001). Hence,
- 179 additional assumptions are necessary to make LTCs computationally tractable. We introduce several
- 180 such assumptions and their justifications below, whose proofs can be found in §B.

- 181 Recently, (Golowich et al., 2023) showed that observable POMDPs, a class of POMDPs with rela-
- 182 tively informative observations, allow quasi-polynomial time algorithms to solve. Such a condition
- 183 was then generalized to the joint emission function of Dec-POMDPs in (Liu & Zhang, 2023). As
- 184 solving LTCs is at least as hard as solving the Dec-POMDPs considered in (Liu & Zhang, 2023),
- we first also make such an observability assumption, to avoid computationally intractable oracles. 185
- **Assumption 3.1** (γ -observability (Golowich et al., 2023)). There exists a $\gamma > 0$ such that $\forall h \in [H]$, 186
- 187 the emission \mathbb{O}_h satisfies that $\forall b_1, b_2 \in \Delta(\mathcal{S}), \|\mathbb{O}_h^\top b_1 - \mathbb{O}_h^\top b_2\|_1 \ge \gamma \|b_1 - b_2\|_1$.
- However, Assumption 3.1 is not enough when it comes to LTC, if the baseline sharing IS is not 188
- 189 favorable, in particular, non-classical (Mahajan et al., 2012). The hardness persists even under a
- 190 few additional assumptions to be introduced later (as shown in Lemma B.3).
- 191 Hence, we will focus on the *quasi-classical* LTCs hereafter. Indeed, OC is also known to be critical
- 192 for efficiently solving *continuous-space* and *linear* decentralized control (Ho et al., 1972; Lamperski
- & Lessard, 2015). However, in our discrete setting, even QC LTCs may not be computationally 193
- 194 tractable: the additional sharing may break the QC IS, and introduce computational hardness. We
- 195 formalize this intuition with the following discussions on when QC may break, and computational
- 196 hardness results to justify the associated assumptions.
- 197 Firstly, QC may break by additional sharing, if an agent influences others (only) via such sharing,
- 198 while others cannot fully access the information used for determining the *communication action*.
- 199 Indeed, the general communication-strategy space in §2.1 allows the dependence on agents' private
- 200 *information*, making this case possible. We show that this causes computational hardness in general.
- 201 To avoid this hardness, we thus focus on communication strategies that only condition on the *com*-
- 202 mon information. Intuitively, this assumption is not unreasonable, as it means that which historical
- 203 information to share is determined by what has been shared (in the common information). Note that,
- 204 this does not lose the generality in the sense that the private information $p_{i,h}$ can still be shared.
- It only means that the communication action is not determined based on p_{i,h^-} , and the additional 205
- 206 sharing is still dictated by $z_{i,h}^a = \phi_{i,h}(p_{i,h^-}, m_{i,h})$ (cf. Assumption 2.1), depending on p_{i,h^-} .
- Assumption 3.2 (Common-information-based communication strategy). The communication 207
- 208 strategies take *common information* as input, with the following form:

$$\forall i \in [n], h \in [H], \quad g_{i,h}^m : \mathcal{C}_{h^-} \to \mathcal{M}_{i,h}. \tag{3.1}$$

- Secondly, QC may break by additional sharing if it makes an agent influence others(' available 209
- information) by sharing her control actions, while these other agents were not influenced by the 210
- 211 agent in the baseline sharing, and thus did not have to access the available information that the agent
- 212 decided her control actions upon. We make the following two assumptions to avoid the related
- 213 pessimistic cases, followed by the hardness results when they are missing. The common idea behind
- 214 the hardness results in both Lemmas B.5 and B.6 exactly follows from this insight.
- 215 Specifically, in some special cases, the action of some agents may not influence the state transition.
- 216 Such actions are thus useless in terms of decision-making, when there is no information sharing.
- 217 However, if they were deemed *non-influential*, but shared via additional sharing, then QC may break
- 218 for the LTC problem. We thus make the following assumption, followed by a justification result.
- 219 **Assumption 3.3** (Control-useless action is not used). For each $i \in [n], h \in [H]$, if agent i's ac-
- tion $a_{i,h}$ does not influence the state s_{h+1} , namely, $\forall s_h \in \mathcal{S}, a_h \in \mathcal{A}_h, a'_{i,h} \in \mathcal{A}_{i,h}, a'_{i,h} \neq a_{i,h}, \mathbb{T}_h(\cdot \mid s_h, a_h) = \mathbb{T}_h(\cdot \mid s_h, (a'_{i,h}, a_{-i,h}))$. Then, $\forall h' > h, a_{i,h} \notin \tau_{h'}$ and $a_{i,h} \notin \tau_{h'}$. 220
- 221
- 222 Note that other than the justification above based on computational hardness, Assumption 3.3 has
- 223 been *implicitly* made in the IS examples in the literature when there are *uncontrolled* state dynamics,
- 224 see e.g., (Nayyar et al., 2013a; Liu & Zhang, 2023). Moreover, we emphasize that for common cases
- 225 where actions do affect the state transition, this assumption becomes not necessary.
- 226 Other than not influencing state transition, an action may also be non-influential if the emission
- 227 functions of other agents are *degenerate*: they cannot *sense* the influence from previous agents'
- 228 actions. We thus make the following assumption on the emissions, followed by a justification result.

- **Assumption 3.4** (Other agents' emissions are non-degenerate). For $\forall h \in [H], i \in [n], \mathbb{O}_{-i,h}$ satis-229
- fies $\forall b_1, b_2 \in \Delta(\mathcal{S}), b_1 \neq b_2, \ \mathbb{O}_{-i,h}^{\top} b_1 \neq \mathbb{O}_{-i,h}^{\top} b_2.$ 230
- 231 Finally, for both the baseline and additional sharing protocols, we follow the convention in the
- 232 series of works on partial history/information sharing (Nayyar et al., 2013b;a; Liu & Zhang, 2023;
- 233 Sudhakara et al., 2021; Kartik et al., 2022) that, if an agent shares, she will share the information
- 234 with all other agents. We make it more formally as follows.
- **Assumption 3.5.** $\forall i_1, i_2 \in [n], h_1, h_2 \in [H], i_1 \neq i_2, h_1 < h_2, \text{ if } \sigma(o_{i_1,h_1}) \subseteq \sigma(\tau_{i_2,h_2^-}), \text{ then } \sigma(o_{i_1,h_2}) \subseteq \sigma(\sigma(o_{i_1,h_2}))$ 235
- $\sigma(o_{i_1,h_1}) \subseteq \sigma(c_{h_2^-}), \text{ and if } \sigma(a_{i_1,h_1}) \subseteq \sigma(\tau_{i_2,h_2^-}), \text{ then } \sigma(a_{i_1,h_1}) \subseteq \sigma(c_{h_2^-}); \text{ if } \sigma(o_{i_1,h_1}) \subseteq \sigma(\tau_{i_2,h_2^+}), \text{ then } \sigma(o_{i_1,h_1}) \subseteq \sigma(c_{h_2^+}), \text{ and if } \sigma(a_{i_1,h_1}) \subseteq \sigma(\tau_{i_2,h_2^+}), \text{ then } \sigma(a_{i_1,h_1}) \subseteq \sigma(c_{h_2^+}).$ 236
- 237
- 238 As will be shown later (cf. Theorem 4.1), LTCs under Assumptions 3.2, 3.3, 3.4, and 3.5 can
- 239 indeed preserve the QC/sQC information structure after additional sharing, making it possible for
- 240 the overall LTC to be computationally tractable, as we will show next. Some more examples that
- satisfy these assumptions can also be found in §A. 241

Solving LTC Problems Provably 242

- 243 We now study how to solve LTC provably, via either planning (with model knowledge) or learning
- 244 (without model knowledge). Proofs of the results can be found in §C.

4.1 An Equivalent Dec-POMDP 245

- 246 Given any H-steps LTC \mathcal{L} , we can reformulate it as an 2H-steps Dec-POMDP $\mathcal{D}_{\mathcal{L}}$ such that \mathcal{L} and
- $\mathcal{D}_{\mathcal{L}}$ are equivalent. The elements in the odd timestep 2h-1 of $\mathcal{D}_{\mathcal{L}}$ is constructed from elements of 247
- 248 communication step (h^-) in \mathcal{L} , and the elements in the even timestep 2h of $\mathcal{D}_{\mathcal{L}}$ is constructed from
- 249 decision-making step (h^+) in \mathcal{L} . We defer the formal reformulation in §C.1. The Dec-POMDP $\mathcal{D}_{\mathcal{L}}$
- inherits the QC IS from \mathcal{L} , formally stated as follows. 250
- 251 **Theorem 4.1** (Preserving (s)QC). If \mathcal{L} is (s)QC and satisfies Assumptions 3.2, 3.3, 3.4, and 3.5,
- 252 then the reformulated Dec-POMDP $\mathcal{D}_{\mathcal{L}}$ is also (s)QC.

253 **4.2** Strict Expansion of $\mathcal{D}_{\mathcal{L}}$

- 254 Despite being QC/sQC, it is not clear if one can solve $\mathcal{D}_{\mathcal{L}}$ without computationally intractable ora-
- 255 cles. Note that, to the best of our knowledge, the only known finite-time computational complexity
- 256 results for planning in such decentralized control models were in (Liu & Zhang, 2023), which were
- 257 established under the strategy independence assumption (Nayyar et al., 2013a) on the common-
- 258 information-based beliefs (Nayyar et al., 2013b;a). This SI assumption was shown critical for *com-*
- 259 putation (Liu & Zhang, 2023) – it eliminates the need to enumerate the past strategies in dynamic
- 260 programming, which would otherwise be prohibitively large. Thus, we need to connect QC/sQC to
- 261 SI-CIB for tractable computation.
- 262 Interestingly, under certain conditions, one can connect QC with SI-CIB for the reformulated Dec-
- 263 POMDP $\mathcal{D}_{\mathcal{L}}$. As the first step, we will *expand* the QC $\mathcal{D}_{\mathcal{L}}$ by adding the *actions* of the agents who
- 264 influence the later agents in the intrinsic model of $\mathcal{D}_{\mathcal{L}}$ to the shared information. We denote the
- strictly expanded Dec-POMDP as $\mathcal{D}_{\mathcal{L}}^{\dagger}$. We replace the notation in $\mathcal{D}_{\mathcal{L}}$ by the notation in $\mathcal{D}_{\mathcal{L}}^{\dagger}$. The 265
- horizon, states, actions, observations, transitions, and reward functions remain the same, but the sets 266
- 267 of information $\breve{p}_h, \breve{c}_h, \breve{\tau}_h, \breve{p}_{i,h}, \breve{\tau}_{i,h}$ are different: for any $h \in [H], i \in [n]$

$$\breve{c}_h = \widetilde{c}_h \cup \{\widetilde{a}_{j,t} \mid j \in [n], t < h, \sigma(\widetilde{\tau}_{j,t}) \subseteq \sigma(\widetilde{c}_h)\}, \ \breve{p}_{i,h} = \widetilde{p}_{i,h} \setminus \{\widetilde{a}_{i,t} \mid t < h, \sigma(\widetilde{\tau}_{i,t}) \subseteq \sigma(\widetilde{c}_h)\}.$$

- It is not hard to verify that $\mathcal{D}_{\mathcal{L}}^{\dagger}$ is sQC (as shown in Lemma C.3). Also, as shown below, a benefit 268
- of obtaining an $sQC \mathcal{D}_{\mathcal{L}}^{\dagger}$ is that, it is SI-CIB (as shown in Theorem C.5), making it possible to be 269
- solved without computationally intractable oracles as in (Liu & Zhang, 2023). Furthermore, we can 270
- get the solution of $\mathcal{D}_{\mathcal{L}}$ by solving $\mathcal{D}_{\mathcal{L}}^{\dagger}$ (as shown in Theorem C.4). 271

4.3 Refinement of $\mathcal{D}_{\mathcal{L}}^{\dagger}$ 272

- Despite of being SI, $\mathcal{D}_{\mathcal{L}}^{\dagger}$ is still not eligible for applying the results in (Liu & Zhang, 2023): the 273
- information evolution rules of $\mathcal{D}_{\mathcal{L}}^{\dagger}$ break those in (Nayyar et al., 2013a; Liu & Zhang, 2023). To 274
- address this issue, we propose to further *refine* the $\mathcal{D}_{\mathcal{L}}^{\dagger}$ to obtain a Dec-POMDP $\mathcal{D}_{\mathcal{L}}'$, which satisfies 275
- the information evolution rules. We replace the $\ \$ notation in $\mathcal{D}_{\mathcal{L}}^{\dagger}$ by the $\ \$ notation in $\mathcal{D}_{\mathcal{L}}^{\prime}$. The 276
- elements in $\mathcal{D}'_{\mathcal{L}}$ remain the same as those in $\mathcal{D}^{\dagger}_{\mathcal{L}}$, except that the private information at odd steps is now refined as $\overline{p}_{i,2t-1} = p_{i,t^-} \setminus \widecheck{c}_{2t-1}$. 277
- 278
- The new Dec-POMDP $\mathcal{D}'_{\mathcal{L}}$ is not equivalent to $\mathcal{D}^{\dagger}_{\mathcal{L}}$ in general, since it enlarges the strategy space 279
- at the odd timesteps. However, if we define new strategy spaces in $\mathcal{D}'_{\mathcal{L}}$ as $\overline{\mathcal{G}}_{i,2t-1}:\overline{\overline{\mathcal{C}}_{2t-1}}\to \overline{\mathcal{A}}_{i,2t-1},\overline{\mathcal{G}}_{i,2t}:\overline{\mathcal{T}}_{i,2t}\to \overline{\mathcal{A}}_{i,2t}$ for each $t\in[H], i\in[n]$, and thus define $\overline{\mathcal{G}}_h$ to be the associated joint 280
- 281
- space, then solving $\mathcal{D}_{\mathcal{L}}^{\dagger}$ is equivalent to finding a best-in-class team-optimal strategy of $\mathcal{D}_{\mathcal{L}}'$ within 282
- space $\overline{\mathcal{G}}_{1\cdot\overline{H}}$, as shown below. 283
- 284 **Theorem 4.2.** Let $\mathcal{D}_{\mathcal{L}}^{\dagger}$ be an sQC Dec-POMDP generated from \mathcal{L} after reformulation and strict
- 285
- expansion, and $\mathcal{D}'_{\mathcal{L}}$ be the refinement of $\mathcal{D}^{\dagger}_{\mathcal{L}}$ as above. Then, finding the optimal strategy in $\mathcal{D}^{\dagger}_{\mathcal{L}}$ is equivalent to finding the optimal strategy of $\mathcal{D}'_{\mathcal{L}}$ in the space $\overline{\mathcal{G}}_{1:\overline{H}}$, and $\mathcal{D}'_{\mathcal{L}}$ satisfies the information evolution rule. Furthermore, $\mathcal{D}'_{\mathcal{L}}$ has SI-CIB with respect to the strategy spaces $\overline{\mathcal{G}}_{1:\overline{H}}$, i.e., for any $h \in [\overline{H}], \overline{s}_h \in \overline{\mathcal{S}}, \overline{p}_h \in \overline{\mathcal{P}}_h, \overline{c}_h \in \overline{\mathcal{C}}_h, \overline{g}_{1:h-1}, \overline{g}'_{1:h-1} \in \overline{\mathcal{G}}_{1:h-1}$, it holds that 286
- 287
- 288

$$\mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}_{1:h-1}) = \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}_{1:h-1}'). \tag{4.2}$$

289 290 4.4 Planning in QC LTC with Quasi-polynomial Time

- Now we focus on how to solve the SI-CIB Dec-POMDP $\mathcal{D}'_{\mathcal{L}}$ computationally tractably, which has 291
- 292 been studied in (Liu & Zhang, 2023). Given any such a Dec-POMDP $\mathcal{D}'_{\mathcal{L}}$, (Liu & Zhang, 2023) pro-
- 293 posed to construct an (ϵ_r, ϵ_z) -expected-approximate common information model \mathcal{M} through finite
- 294 memory (as defined in §C.6), when $\mathcal{D}'_{\mathcal{L}}$ is γ -observable. ϵ_r and ϵ_z here denote the approximation
- errors for rewards and transitions, respectively, for which we defer a detailed introduction to §C.6). 295
- 296 Hence, we can leverage the approaches in (Liu & Zhang, 2023) to find the optimal strategy $\overline{g}_{1:\overline{H}}^*$ by finding an optimal prescriptions $\gamma_{1:\overline{H}}^*$ under each possible $\widehat{c}_{1:\overline{H}}$ with backward induction over the
- 297
- timesteps $h = \overline{H}, \dots, 1$. Meanwhile, it is worth mentioning that at each step $h \in [\overline{H}]$, it requires 298
- maximizing the Q-value functions (as defined in §C.6) as follows 299

$$\left(\widehat{g}_{1,h}^{*}(\cdot \mid \widehat{c}_{h}, \cdot), \cdots, \widehat{g}_{n,h}^{*}(\cdot \mid \widehat{c}_{h}, \cdot)\right) \leftarrow \operatorname*{argmax}_{\gamma_{h}} Q_{h}^{*,\mathcal{M}}(\widehat{c}_{h}, \gamma_{h}). \tag{4.3}$$

- 300 Note that solving Eq. (4.3) is NP-hard in general (Tsitsiklis & Athans, 1985). Hence, the guarantee
- 301 for the algorithms in (Liu & Zhang, 2023) also relies on the tractability of the *one-step* team-decision
- 302 problem (Tsitsiklis & Athans, 1985). Note that this assumption is minimal for the computational
- tractability of finding a team-optimum in Dec-POMDPs/LTCs, since otherwise, even the $\overline{H}=1$ case 303
- 304 is intractable (Tsitsiklis & Athans, 1985). That said, the structural results so far still hold without
- 305 this assumption, and the hardness results in §3 still hold even with this assumption.
- **Assumption 4.3** (One-step tractability). Eq. (4.3) can be solved in polynomial time. 306
- 307 Assumption 4.3 is satisfied for several classes of Dec-POMDPs with information sharing (Liu &
- Zhang, 2023), which could result from structures of either the decision-making components of the 308
- 309 model, or the information structures. We also include several such structural conditions in §G for
- 310 completeness. With this assumption, we can obtain a planning algorithm with quasi-polynomial
- 311 time complexity (cf. §C.7), and also shown in the Fig. 6 in §J.

4.5 LTC with Quasi-polynomial Time and Samples

- 313 Based on the previous results on planning, we are ready to solve the *learning* problem without
- model knowledge with both time and sample complexity guarantees. Now, one can only sample

- from \mathcal{L} , making it difficult to obtain an SI $\mathcal{D}'_{\mathcal{L}}$ from \mathcal{L} as before. Fortunately, the *reformulation* step (§4.1) does not change the system dynamics, but only maps the information to different random variables; the *expansion* step (§4.2) only requires agents to share more actions with each other, without changing the input and output of the environment; the *refinement* step (§4.3) only recovers the private information the agents had in the original \mathcal{L} . Therefore, we can treat the samples from \mathcal{L} as the samples from $\mathcal{D}'_{\mathcal{L}}$. This way, we can utilize similar algorithmic ideas in (Liu & Zhang, 2023) to develop the learning algorithm for LTC problems.
- Specifically, we construct an (ϵ_r, ϵ_z) -expected approximate common information model that de-322 pends on some given a strategy $\overline{g}^{1:\overline{H}}$ that generates the data for such a construction, which we 323 denote by $\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})$, and thus denote (ϵ_r, ϵ_z) as $(\epsilon_r(\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})), \epsilon_z(\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})))$. For such a model, 324 one could *simulate* and *sample* by running the strategy $\overline{g}^{1:\overline{H}}$ in the true model $\mathcal{D}'_{\mathcal{L}}$. The choice of 325 $\overline{g}^{1:\overline{H}}$ will be carefully specified to ensure $\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})$ to be a good approximation of $\mathcal{D}'_{\mathcal{L}}$. Then one can learn an empirical estimator $\widehat{\mathcal{M}}(\overline{g}^{1:\overline{H}})$ of $\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})$ by sampling under $\overline{g}^{1:\overline{H}}$ and solving the 326 327 planning problem in $\widehat{\mathcal{M}}(\overline{g}^{1:\overline{H}})$. Meanwhile, the sample complexity analysis of such an algorithm 328 will depend on the notion of *length* for the approximate common information, denoted as \widehat{L} . We de-329 fer the formal introduction for $\widetilde{\mathcal{M}}(\overline{q}^{1:\overline{H}})$, \widehat{L} , and corresponding algorithm to §C. Finally, we present 330 our main results for learning in the LTC problem. 331
- 332 **Theorem 4.4.** Given any QC LTC problem \mathcal{L} satisfying Assumptions 3.1, 3.2, 3.3, and 3.4, 333 we can construct an SI-CIB Dec-POMDP problem $\mathcal{D}'_{\mathcal{L}}$ such that the following holds. Given a strategy $\overline{g}^{1:\overline{H}}$, $\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})$ satisfying Assumption 4.3, and \widehat{L} , where each \overline{g}^h is a complete strategy with $\overline{g}_{h-\widehat{L}:h}^h = \mathrm{Unif}(\overline{\mathcal{A}})$ for $h \in [\overline{H}]$, we define the statistical error for estimat-334 335 ing $\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})$ as $\epsilon_{apx}(\overline{g}^{1:\overline{H}},\widehat{L})$. Then, there exists an algorithm that can learn an ϵ -team-336 optimal strategy for \mathcal{L} with probability at least $1 - \delta_1$, using a sample complexity $N_0 =$ 337 $\operatorname{poly}(\max_{h \in [\overline{H}]} |\mathcal{P}_h|, \max_{h \in [\overline{H}]} |\widehat{\mathcal{C}}_h|, H, \max_{h \in [\overline{H}]} |\mathcal{A}_h|, \max_{h \in [\overline{H}]} |\mathcal{O}_h|) \log(1/\delta_1), \text{ where } \epsilon := 0$ 338 $\operatorname{poly}(\epsilon_{apx}, \epsilon_r(\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})), \epsilon_z(\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}}))$. Specifically, if \mathcal{L} has the baseline sharing protocols as in 339 $\S A$, there exists an algorithm that learns an ϵ -team optimal strategy for $\mathcal L$ with both quasi-polynomial 340 time and sample complexities. 341

5 Solving General QC Dec-POMDPs

- 343 In §4, we developed a pipeline for solving a special class of QC Dec-POMDPs generated by LTCs, 344 without computationally intractable oracles. In fact, the pipeline can be extended to solving general QC Dec-POMDPs, which thus advances the results in (Liu & Zhang, 2023) that can only address 345 346 SI-CIB Dec-POMDPs, a result of independent interest. Without much confusion given the context, 347 we will adapt the notation of LTC to studying general Dec-POMDPs: we set $h^+ = h^- = h$ and 348 void the additional sharing protocol. We extend the results to general QC Dec-POMDPs as follows. 349 **Theorem 5.1.** Consider a Dec-POMDP \mathcal{D} that satisfies Assumptions 2.1 (e). If \mathcal{D} is sQC and 350 satisfies Assumptions 3.3, 3.4, and 3.5, then it has SI-CIB. Meanwhile, if \mathcal{D} has SI-CIB and perfect 351 recall, then it is sQC (up to null sets).
- 352 Perfect recall here (Kuhn, 1953) means that the agents will never forget their own past information 353 and actions (as formally defined in §D). Note that Assumption 2.1 (e) is similar but different from perfect recall: it is implied by the latter with $o_{i,h} \in \tau_{i,h}$. Also, Assumptions 3.3, 3.4, and 3.5 were 354 made for LTCs, and here we meant to impose them for Dec-POMDPs with $h^+ = h^- = h$. Finally, 355 356 by sQC up to null sets, we meant that if agent (i_1, h_1) influences agent (i_2, h_2) in the intrinsic 357 model of the Dec-POMDP, then under any strategy $\overline{g}_{1:\overline{H}}$, $\sigma(\overline{\tau}_{i_1,h_1}) \subseteq \sigma(\overline{\tau}_{i_2,h_2})$ except the null sets generated by $\overline{g}_{1:\overline{H}}$, where we add \bar{g} for all the notation in the Dec-POMDP. Given Theorem 5.1 358 359 and the results in §4, we illustrate the relationship between LTCs and Dec-POMDPs with different assumptions and ISs in Fig. 1 in §H, which may be of independent interest. 360

A Examples of QC LTC

- 362 In this section, we introduce 8 examples of QC LTC problems, and 4 of them are extended from
- 363 the information structures of the baseline sharing protocol considered in the literature (Nayyar et al.,
- 2013a; Liu & Zhang, 2023). It can be shown that LTC with any of these 8 examples as baseline sharing is QC.
- Example 1: One-step delayed information sharing: At timestep $h \in [H]$, agents will share all the action-observation history in the private information until timestep h-1. Namely, for any $h \in [H], i \in [n], c_{h-} = c_{(h-1)^+} \cup \{o_{h-1}, a_{h-1}\}$ and $p_{i,h-} = \{o_{i,h}\}$.
- Example 2: State controlled by one controller with asymmetric delayed information shar-369 370 ing: The state dynamics and reward are controlled by only one agent (without loss of gener-371 ality, agent 1), i.e., $\mathbb{T}_h(\cdot | s_h = S_h, a_{1,h} = A_{1,h}, a_{-1,h} = A_{1,h}) = \mathbb{T}_h(\cdot | s_h = S_h, a_{1,h} = A_{1,h})$ $A_{1,h}, a_{-1,h} = A'_{-1,h}, \mathcal{R}_h(\cdot \mid s_h = S_h, a_{1,h} = A_{1,h}, a_{-1,h} = A_{-1,h}) = \mathcal{R}_h(\cdot \mid s_h = S_h, a_{1,h} = A_{1,h}, a_{-1,h} = A_{1,h})$ 372 $A_{1,h}, a_{-1,h} = A_{-1,h}'$ for all $S_h \in \mathcal{S}, A_{1,h} \in \mathcal{A}_{1,h}, A_{-1,h} \in \mathcal{A}_{-1,h}, A_{-1,h}' \in \mathcal{A}_{-1,h}$ 373 Agent 1 will share all of her information immediately, while others will share their informa-374 375 tion with a delay of $d \ge 1$ timesteps in the baseline sharing. Namely, for any $h \in [H], i \ne 1$, 376 $c_{h^-} = c_{(h-1)^+} \cup \{a_{1,h-1}, o_{1,h}, o_{-1,h-d}\}, p_{1,h^-} = \emptyset, p_{i,h^-} = p_{i,(h-1)^+} \cup \{o_{i,h}\} \setminus \{o_{i,h-d}\}.$
- Example 3: Information sharing with one-directional-one-step-delay: For convenience, we assume there are 2 agents, and this example can be readily generalized to the multi-agent case. In this case, agent 1 will share the information immediately, while agent 2 will share information with one-step delay. Namely, $c_{1-} = \{o_{1,1}\}, p_{1,1-} = \emptyset, p_{2,1-} = \{o_{2,1}\};$ for any $h \geq 2, i \in [n], c_{h-} = c_{(h-1)^+} \cup \{o_{1,h}, o_{2,h-1}, a_h\}, p_{1,h-} = \emptyset, p_{2,h-} = \{o_{2,h}\}.$
- Example 4: Uncontrolled state process: The state transition does not depend on the action of agents, i.e., $\mathbb{T}_h(\cdot \mid s_h = S_h, a_h = A_h) = \mathbb{T}_h(\cdot \mid s_h = S_h, a_h = A_h')$ for any $s_h \in \mathcal{S}, a_h', a_h \in \mathcal{A}_h$. All agents will share their information with a delay of $d \geq 1$. For any $h \in [H], i \in [n], c_{h^-} = c_{(h-1)^+} \cup \{o_{h-d}\}, p_{i,h^-} = p_{i,(h-1)^+} \cup \{o_{i,h}\} \setminus \{o_{i,h-d}\}$.
- Example 5: One-step delayed observation sharing: At timestep $h, h \in [H]$, each agent has access to observations of all agents until timestep h-1 and her present observation. Namely, for any $h \in [H], i \in [n], c_{h^-} = c_{(h-1)^+} \cup \{o_{h-1}\}$ and $p_{i,h^-} = \{o_{i,h}\}$.
- Example 6: One-step delayed observation and two-step delayed control sharing: At timestep $h, h \in [H]$, each agent will share the observations history until timestep h-1 and actions history until timestep h-2 from the private information. Namely, for any $h \in [H], i \in [n], c_{h^-} = c_{(h-1)^+} \cup \{o_{h-1}, a_{h-2}\}, p_{i,h^-} = \{o_{i,h}, a_{i,h-1}\}.$
- Example 7: State controlled by one controller with asymmetric delayed observation sharing: The state dynamics and reward are controlled by only one agent (i.e., system dynamics are the same as Example 2). Agent 1 will share all of her observations immediately, while others will share their observations with a delay of $d \ge 1$ timesteps in baseline sharing. Namely, for any $h \in [H], i \ne 1, c_{h^-} = c_{(h-1)^+} \cup \{o_{1,h}, o_{-1,h-d}\}, p_{1,h^-} = \emptyset, p_{i,h^-} = p_{i,(h-1)^+} \cup \{o_{i,h}\} \setminus \{o_{i,h-d}\}.$
- Example 8: State controlled by one controller with asymmetric delayed observation and two-step delayed action sharing: The state dynamics and reward are controlled by only one agent (i.e., system dynamics are the same as Example 2). At timestep $h, h \in [H]$, agent 1 will share all of her observations immediately and her actions history until timestep h-2, while others will share their observations with a delay of $d \ge 1$. Namely, for any $h \in [H], i \ne 1, c_h = c_{(h-1)^+} \cup \{o_{1,h}, a_{1,h-2}, o_{-1,h-d}\}, p_{1,h^-} = \{a_{1,h-1}\}, p_{i,h^-} = p_{i,(h-1)^+} \cup \{o_{i,h}\} \setminus \{o_{i,h-d}\}.$
- In fact, the first 4 examples are all sQC LTC problems, while the other 4 examples are QC but not sQC problems, as shown in the following lemma.
- **Lemma A.1.** Given an LTC problem \mathcal{L} . If the baseline sharing of \mathcal{L} is one of the first 4 examples
- 407 above, then $\mathcal L$ is sQC. If the baseline sharing of $\mathcal L$ is one of the last 4 examples above, then $\mathcal L$ is QC
- 408 but not sQC.

- *Proof.* Let $\overline{\mathcal{D}}_{\mathcal{L}}$ denote the Dec-POMDP induced by \mathcal{L} (cf. F.3). We prove this lemma case by case. 409
- 410 For convenience, we use $\dot{}$ in the notation for the elements in $\mathcal{D}_{\mathcal{L}}$.
- Example 1: The information in $\overline{\mathcal{D}}_{\mathcal{L}}$ evolves as $\forall h \in [H], i \in [n], \dot{c}_h = \{\dot{o}_{1:h-1}, \dot{a}_{1:h-1}\}$ 411
- and $\dot{p}_{i,h} = \{\dot{o}_{i,h}\}$. Then, for any $i_1, i_2 \in [n], h_1, h_2 \in [H], h_1 < h_2, \dot{\tau}_{i_1,h_1} =$ 412
- 413 $\{\dot{o}_{1:h_1-1}, \dot{a}_{1:h_1-1}, \dot{o}_{i_1,h_1}\} \subseteq \dot{c}_{h_1+1} \subseteq \dot{c}_{h_2} \subseteq \dot{\tau}_{i_2,h_2}, \text{ and } \dot{a}_{i_1,h_1} \subseteq \dot{c}_{h_1+1} \subseteq \dot{c}_{h_2} \subseteq \dot{\tau}_{i_2,h_2}.$ There-
- fore, we have $\sigma(\dot{\tau}_{i_1,h_1})\subseteq\sigma(\dot{\tau}_{i_2,h_2})$, and thus $\mathcal L$ is sQC. 414
- The information in $\overline{\mathcal{D}}_{\mathcal{L}}$ evolves as $\forall h \in [H], i \neq 1, \dot{c}_h$ 415 • Example 2:
- $\{\dot{a}_{1,1:h-1},\dot{o}_{1,1:h-1},\dot{o}_{-1,1:h-d}\},\dot{p}_{1,h}\ =\ \emptyset,\dot{p}_{i,h}\ =\ \{o_{i,h-d+1:h}\}. \quad \text{Then, for any } i_1,i_2\ \in\ \{\dot{a}_{1,1:h-1},\dot{o}_{1,1:h-1},\dot{o}_{-1,1:h-d}\},$ 416
- $[n], h_1, h_2 \in [H], h_1 < h_2.$ If $i_1 \neq 1$, then agent (i_1, h_1) will not influence agent (i_2, h_2) . 417
- 418 If $i_1=1$, then $\dot{\tau}_{i_1,h_1}=\{\dot{o}_{1,1:h_1},\dot{a}_{1,1:h_1-1},\dot{o}_{-1,1:h_1-d}\}\subseteq\dot{c}_{h_1+1}\subseteq\dot{c}_{h_2}\subseteq\dot{\tau}_{i_2,h_2}$, and
- $\dot{a}_{i_1,h_1}\subseteq \dot{c}_{h_1+1}\subseteq \dot{c}_{h_2}\subseteq \dot{\tau}_{i_2,h_2}$. Therefore, we have $\sigma(\dot{\tau}_{i_1,h_1})\subseteq \sigma(\dot{\tau}_{i_2,h_2})$ if agent (i_1,h_1) influences agent (i_2,h_2) , and thus $\mathcal L$ is sQC. 419
- 420
- Example 3: The information in $\overline{\mathcal{D}}_{\mathcal{L}}$ evolves as $\forall h \in [H], \dot{c}_h = \{\dot{o}_{1:h-1}, \dot{a}_{1:h-1}, \dot{o}_{1,h}\}$ and $\dot{p}_{1,h} = \{\dot{o}_{1:h-1}, \dot{a}_{1:h-1}, \dot{o}_{1,h}\}$ 421
- $\emptyset, \dot{p}_{2,h} = \{\dot{o}_{i,h}\}.$ Then, for any $i_1, i_2 \in [n], h_1, h_2 \in [H], h_1 < h_2, \dot{a}_{i_1,h_1} \subseteq \dot{c}_{h_1+1} \subseteq \dot{c}_{h_2} \subseteq h_2$ 422
- 423 $\dot{\tau}_{i_2,h_2}$. If $i_1=1$, then $\dot{\tau}_{i_1,h_1}=\{\dot{o}_{1:h_1-1},\dot{a}_{1:h_1-1},\dot{o}_{1,h_1}\}\subseteq \dot{c}_{h_1+1}\subseteq \dot{c}_{h_2}\subseteq \dot{\tau}_{i_2,h_2}$. If $i_1=2$, then
- 424 $\dot{\tau}_{i_1,h_1} = \{\dot{o}_{1:h_1}, \dot{a}_{1:h_1-1}\} \subseteq \dot{c}_{h_1+1} \subseteq \dot{c}_{h_2} \subseteq \dot{\tau}_{i_2,h_2}.$ Therefore, we have $\sigma(\dot{\tau}_{i_1,h_1}) \subseteq \sigma(\dot{\tau}_{i_2,h_2}),$
- 425 and thus \mathcal{L} is sQC.
- Example 4: Since in $\overline{\mathcal{D}}_{\mathcal{L}}$, for any $i_1, i_2 \in [n], h_1, h_2 \in [H]$, agent (i_1, h_1) does not influence 426 agent (i_2, h_2) , then \mathcal{L} is sQC. 427
- Example 5: The information in $\overline{\mathcal{D}}_{\mathcal{L}}$ evolves as $\forall h \in [H], i \in [n], \dot{c}_h = \{\dot{o}_{1:h-1}\}$ and $\dot{p}_{i,h} = \{\dot{o}_{1:h-1}\}$ 428
- 429 $\{\dot{o}_{i,h}\}$. Then, for any $i_1, i_2 \in [n], h_1, h_2 \in [H], h_1 < h_2, \dot{\tau}_{i_1,h_1} = \{\dot{o}_{1:h_1-1}, \dot{o}_{i_1,h_1}\} \subseteq \dot{c}_{h_1+1} \subseteq \dot{c}_{h_1+1}$
- $\dot{c}_{h_2} \subseteq \dot{\tau}_{i_2,h_2}$. However, agent (1,1) may influence agent (1,2) but $\sigma(\dot{a}_{1,1}) \nsubseteq \sigma(\dot{\tau}_{1,2})$. Hence, \mathcal{L} 430
- is QC but not sQC. 431
- Example 6: The information in $\overline{\mathcal{D}}_{\mathcal{L}}$ evolves as $\forall h \in [H], i \in [n], \dot{c}_h = \{\dot{o}_{1:h-1}, \dot{a}_{1:h-2}\}$ 432
- and $\dot{p}_{i,h} = \{\dot{o}_{i,h}, \dot{a}_{i,h-1}\}$. Then, for any $i_1, i_2 \in [n], h_1, h_2 \in [H], h_1 < h_2, \ \dot{\tau}_{i_1,h_1} = h_2, \ \dot{\tau}_{i_1,h_2} = h$ 433
- 434
- $\begin{aligned} &\{\dot{o}_{1:h_1-1},\dot{a}_{1:h_1-2},\dot{o}_{i_1,h_1},\dot{a}_{i_1,h_1-1}\}\subseteq \dot{c}_{h_1+1}\subseteq \dot{c}_{h_2}\subseteq \dot{\tau}_{i_2,h_2}, \text{ and } \dot{a}_{i_1,h_1}\subseteq \dot{c}_{h_1+1}\subseteq \dot{c}_{h_2}\subseteq \dot{\tau}_{i_2,h_2}. \\ &\text{However, agent } (1,1) \text{ may influence agent } (2,2) \text{ but } \sigma(\dot{a}_{1,1})\not\subseteq \sigma(\dot{\tau}_{2,2}). \text{ Hence, } \mathcal{L} \text{ is QC but not } \end{aligned}$ 435
- 436 sQC.
- The information in $\overline{\mathcal{D}}_{\mathcal{L}}$ evolves as $\forall h \in [H], i \neq 1, \dot{c}_h$ 437 $\{\dot{o}_{1,1:h-1},\dot{o}_{-1,1:h-d}\},\dot{p}_{1,h}=\emptyset,\dot{p}_{i,h}=\{o_{i,h-d+1:h}\}.$ Then, for any $i_1,i_2\in[n],h_1,h_2\in\{0,1,1:h-1\}$ 438
- $[H], h_1 < h_2$. If $i_1 \neq 1$, then agent (i_1, h_1) will not influence agent (i_2, h_2) . If $i_1 = 1$, then 439
- 440 $\dot{\tau}_{i_1,h_1} = \{\dot{o}_{1,1:h_1}, \dot{o}_{-1,1:h_1-d}\} \subseteq \dot{c}_{h_1+1} \subseteq \dot{c}_{h_2} \subseteq \dot{\tau}_{i_2,h_2}.$ Therefore, we have $\sigma(\dot{\tau}_{i_1,h_1}) \subseteq \dot{c}_{h_2}$ $\sigma(\dot{\tau}_{i_2,h_2})$ if agent (i_1,h_1) influences agent (i_2,h_2) . However, agent (1,1) may influence agent 441
- 442 (1,2) but $\sigma(\dot{a}_{1,1}) \not\subseteq \sigma(\dot{\tau}_{1,2})$. Hence, \mathcal{L} is QC but not sQC.
- The information in $\overline{\mathcal{D}}_{\mathcal{L}}$ evolves as $\forall h \in [H], i \neq 1, \dot{c}_h$ 443
- 444 $\{\dot{o}_{1,1:h-1},\dot{a}_{1,1:h-2},\dot{o}_{-1,1:h-d}\},\dot{p}_{1,h}=\{\dot{a}_{1,h-1}\},\dot{p}_{i,h}=\{o_{i,h-d+1:h}\}.$ Then, for any $i_1,i_2\in\{\dot{o}_{1,1:h-1},\dot{a}_{1,1:h-2},\dot{o}_{-1,1:h-d}\}$
- $[n], h_1, h_2 \in [H], h_1 < h_2$. If $i_1 \neq 1$, then agent (i_1, h_1) will not influence agent (i_2, h_2) . If 445
- $i_1=1$, then $\dot{ au}_{i_1,h_1}=\{\dot{o}_{1,1:h_1},\dot{a}_{1,h_1-1},\dot{o}_{-1,1:h_1-d}\}\subseteq\dot{c}_{h_1+1}\subseteq\dot{c}_{h_2}\subseteq\dot{ au}_{i_2,h_2}.$ Therefore, we 446
- have $\sigma(\dot{\tau}_{i_1,h_1}) \subseteq \sigma(\dot{\tau}_{i_2,h_2})$ if agent (i_1,h_1) influences agent (i_2,h_2) . However, agent (1,1) may 447

- 448 influence agent (2,2) but $\sigma(\dot{a}_{1,1}) \not\subseteq \sigma(\dot{\tau}_{2,2})$. Hence, \mathcal{L} is QC but not sQC.
- 449 This completes the proof.

450 В Deferred Details of §3

- **Remark B.1.** In the following proofs, for clarity, we use $O, A, M, C, P, \mathcal{T}$ to denote the realiza-451
- 452 tions of random variables o, a, m, c, p, τ with the same subscripts.
- 453 As a preliminary, we first have the following lemma.
- **Lemma B.2.** Given any QC LTC \mathcal{L} , its induced Dec-POMDP $\overline{\mathcal{D}}_{\mathcal{L}}$ and any $i_1, i_2 \in [n], h_1, h_2 \in$ 454
- [H]. If agent (i_1, h_1) influences agent (i_2, h_2) in the intrinsic model of $\overline{\mathcal{D}}_{\mathcal{L}}$, then for the random 455

- variables $\tau_{i_1,h_1^-}, \tau_{i_2,h_2^-}$ in \mathcal{L} , we have $\sigma(\tau_{i_1,h_1^-}) \subseteq \sigma(\tau_{i_2,h_2^-})$. Moreover, if \mathcal{L} is sQC, then for random variables $a_{i_1,h_1}, \tau_{i_2,h_2^-}$ in \mathcal{L} , we have $\sigma(a_{i_1,h_1}) \subseteq \sigma(\tau_{i_2,h_2^-})$. 456
- 457
- *Proof.* We denote by $\dot{\tau}_{i_1,h_1},\dot{\tau}_{i_2,h_2}$ the information of agent $(i_1,h_1),(i_2,h_2)$ in the problem $\overline{\mathcal{D}}_{\mathcal{L}}$. 458
- From the definition of $\overline{\mathcal{D}}_{\mathcal{L}}$ being QC, if agent (i_1, h_1) influences agent (i_2, h_2) , then $\sigma(\dot{\tau}_{i_1, h_1}) \subseteq$ 459
- 460
- 461
- 462
- From the definition of $\mathcal{D}_{\mathcal{L}}$ being $\mathbb{Q}\mathbb{Q}$, if agent (t_1,h_1) indicates agent (t_2,h_2) , then $\sigma(\tau_{i_1,h_1})\subseteq \sigma(\dot{\tau}_{i_2,h_2})$. Since for any $h\in[H], i\in[n], \dot{\tau}_{i,h}$ is the information of agent (i,h) without additional sharing, then we know that $\tau_{i,h^-}\backslash\dot{\tau}_{i,h}\subseteq\cup_{t=1}^{h-1}z_t^a, \tau_{i,h^+}\backslash\dot{\tau}_{i,h}\subseteq\cup_{t=1}^{h}z_t^a$. Therefore, we know that $\sigma(\tau_{i_1,h_1^-}\backslash\dot{\tau}_{i_1,h_1})\subseteq\sigma(\cup_{t=1}^{h-1}z_t^a)\subseteq\sigma(c_{h_1^-})\subseteq\sigma(c_{h_2^-})\subseteq\sigma(\tau_{i_2,h_2^-})$. Also, we know $\sigma(\dot{\tau}_{i_1,h_1})\subseteq\sigma(\dot{\tau}_{i_2,h_2})\subseteq\sigma(\dot{\tau}_{i_2,h_2})$. Thus, we can conclude that $\sigma(\tau_{i_1,h_1^-})\subseteq\sigma(\tau_{i_2,h_2^-})$. Moreover, if \mathcal{L} is sQC, 463
- then from the the definition of $\overline{\mathcal{D}}_{\mathcal{L}}$ being sQC and agent (i_1, h_1) influences agent (i_2, h_2) in $\overline{\mathcal{D}}_{\mathcal{L}}$, it 464
- holds that $\sigma(a_{i_1,h_1}) \subseteq \sigma(\dot{\tau}_{i_2,h_2}) \subseteq \sigma(\tau_{i_2,h_2})$. 465

466 **B.1** Hardness results

- Lemma B.3 (Non-classical LTCs are hard). For non-classical LTCs under Assumption 3.1, 3.2, 3.3, 467
- 468 3.4, and 4.3, finding an $\frac{\epsilon}{H}$ -team optimum is PSPACE-hard.
- 469 Lemma B.4 (QC LTCs with full-history-dependent communication strategies are hard). For QC
- 470 LTCs under Assumption 3.1, together with Assumptions 3.3, 3.4, and 4.3, computing a team-
- 471 optimum in the general space of $(\mathcal{G}_{1:H}^a, \mathcal{G}_{1:H}^m)$ with $\mathcal{G}_{i,h}^a := \{g_{i,h}^m : \mathcal{T}_{i,h^-} \to \mathcal{M}_{i,h}\}$ is NP-hard.
- Lemma B.5 (QC LTCs without Assumption 3.3 are hard). For QC LTCs under Assumptions 3.1, 472
- 473 3.2, 3.4 and 4.3, finding a team-optimum is still NP-hard.
- 474 **Lemma B.6** (QC LTCs without Assumption 3.4 are hard). For QC LTCs under Assumption 3.1,
- 475 3.2, 3.3, and 4.3, finding an ϵ/H -team optimum is still PSPACE-hard.

476 B.2 Proof of Lemma B.3

- *Proof.* We first have the following proposition on the hardness of solving POMDPs. 477
- 478 **Proposition B.7.** There exists an $\epsilon > 0$, such that computing an ϵ -additive optimal strategy in
- 479 POMDPs is PSPACE-hard.
- 480 One can adapt the proof of (Lusena et al., 2001, Theorem 4.11), which proved the
- PSPACE-hardness of computing an ϵ -relative optimal strategy in POMDPs, to obtain such a 481
- 482 result for an ϵ -additive one. In particular, any ϵ -additive optimal strategy in the POMDP constructed
- 483 in the proof of Theorem 4.11 therein is also an ϵ -relative optimal strategy.
- Now we proceed with the proof of Lemma B.3 based on the Proposition B.7. Given any POMDP 484
- $\mathcal{P} = (\mathcal{S}^{\mathcal{P}}, \mathcal{A}^{\mathcal{P}}, \mathcal{O}^{\mathcal{P}}, \{\mathbb{O}_{h}^{\mathcal{P}}\}_{h \in [H^{\mathcal{P}}]}, \{\mathbb{T}_{h}^{\mathcal{P}}\}_{h \in [H^{\mathcal{P}}]}, \{\mathcal{R}_{h}^{\mathcal{P}}\}_{h \in [H^{\mathcal{P}}]}, \mu_{1}^{\mathcal{P}})$, we can construct an LTC \mathcal{L} as 485
- 486

- Number of agents: n=3; length of episode: $H=2H^{\mathcal{P}}$. 487
- Underlying state space: $S = S^{\mathcal{P}} \times [2]$. For any $s \in S$, we can split $s = (s^1, s^2)$, where $s^1 \in S^{\mathcal{P}}, s^2 \in [2]$. Intial state distribution: $\forall s \in S, \mu_1(s) = \mu_1^{\mathcal{P}}(s^1)/2$. 488 489
- Control action space: For any $h \in [H]$, $\mathcal{A}_{1,h} = \mathcal{A}^{\mathcal{P}}$, $\mathcal{A}_{2,h} = [2]$, $\mathcal{A}_{3,h} = \{\emptyset\}$.
- Transition functions: For any $h \in [H-1], s_h, s_{h+1} \in \mathcal{S}, a_h \in \mathcal{A}_h$, if h = 2t-1491
- with $t \in [H^{\mathcal{P}}]$, $\mathbb{T}_h(s_{h+1} | s_h, a_h) = \mathbb{T}_t^{\mathcal{P}}(s_{h+1}^1 | s_h^1, a_{1,h})\mathbb{I}[s_{h+1}^2 = s_h^2]$; if h = 2t with $t \in [H^{\mathcal{P}} 1]$, $\mathbb{T}_h(s_{h+1} | s_h, a_h) = \mathbb{I}[s_{h+1}^1 = s_h^1, s_{h+1}^2 = a_{2,h}]$. 492
- 493
- Observation space: For any $h \in [H]$, if h = 2t 1 with $t \in [H^{\mathcal{P}}]$, $\mathcal{O}_{1,h} = \mathcal{O}_t^{\mathcal{P}}$, $\mathcal{O}_{2,h} = \mathcal{O}_{3,h} = \mathcal{S}$; if h = 2t with $t \in [H^{\mathcal{P}}]$, $\mathcal{O}_{1,h} = [2]$, $\mathcal{O}_{2,h} = \mathcal{O}_{3,h} = \mathcal{S}$. 494
- 495
- Emission matrix: For any $h \in [H]$, if h = 2t 1 with $t \in [H^{\mathcal{P}}], \forall o_h \in \mathcal{O}_h, s_h \in \mathcal{S}, \mathbb{O}_h(o_h \mid s_h) = 0$ 496
- $\mathbb{O}_h^{\mathcal{P}}(o_{1,h} \mid s_h^1) \mathbb{1}[o_{2,h} = o_{3,h} = s_h]; \text{ if } h = 2t \text{ with } t \in [H^{\mathcal{P}}], \forall o_h \in \mathcal{O}_h, s_h \in \mathcal{S}, \mathbb{O}_h(o_h \mid s_h) = 0$ 497
- $\mathbb{1}[o_{1,h} = s_h^1, o_{2,h} = o_{3,h} = s_h].$ 498

- 499 • The baseline sharing: null.
- The communication action space: For any $h \in [H], \mathcal{M}_{1,h} = \mathcal{M}_{2,h} = \{0,1\}^{2h-1}, \mathcal{M}_{3,h} = \{0,1\}^{2h-1}, \mathcal{M}_{3,h$ 500
- 501 $\{0,1\}^h$. For any $i \in [2], p_{i,h^-} \in \mathcal{P}_{i,h^-}, \phi_{i,h}(p_{i,h^-}, m_{i,h}) = \{o_{i,k} \mid k \leq h, (2k-1)\}^h$
- 502
- 1)-th digit of p_{i,h^-} is 1 and $o_{i,k} \in p_{i,h^-}\} \cup \{a_{i,k} \mid k \leq h, 2k$ -th digit of p_{i,h^-} is 1 and $a_{i,k} \in p_{i,h^-}\} \cup \{m_{i,h}\}$. For agent $3, p_{3,h^-} \in \mathcal{P}_{3,h^-}, \phi_{3,h}(p_{3,h^-}, m_{3,h}) = \{o_{3,k} \mid k \leq h, k$ -th digit of p_{3,h^-} is 1 and $o_{3,k} \in p_{3,h^-}\} \cup \{m_{3,h}\}$. 503
- 504
- Reward function: For any $h \in [H], i \in [3], s_h \in \mathcal{S}, a_h \in \mathcal{A}_h$, if h = 2t 1 with $t \in [H^{\mathcal{P}}], \mathcal{R}_h(s_h, a_h) = \mathcal{R}_t^{\mathcal{P}}(s_h^1, a_{1,h})/H$; if h = 2t with $t \in [H^{\mathcal{P}}], \mathcal{R}_h(s_h, a_h) = \mathbb{1}[a_{2,h} = 1]$. 505 506
- Communication cost function: For any $h \in [H], z_h^a \in \mathcal{Z}_h^a, \mathcal{K}_h(z_h^a) = \mathbb{1}[z_h^a \neq \{m_h\}]$. It means 507 that the communication cost is 1 unless there is no additional sharing. 508
- We restrict the communication strategy only to use c_h as input. And for any $t \in [H-1]$, we 509 remove $a_{3,t}$ in τ_h for any h > t. 510
- We first verify that such a construction satisfies Assumptions 3.1, 3.2, 3.3, 3.4, and 4.3. 511
- \mathcal{L} satisfies Assumption 3.1, 3.4 because both agent 2 and agent 3 have individual γ -observability. 512 513 That is, for any $b_1, b_2 \in \Delta(\mathcal{S}), i = 2, 3$, we have

$$||\mathbb{O}_{i,h}^{\top}(b_1 - b_2)||_1 = \sum_{o_{i,h} \in \mathcal{O}_h} |\sum_{s_h \in \mathcal{S}} (b_1(s_h) - b_2(s_h)) \mathbb{O}_{i,h}(o_{i,h} | s_h)|$$

$$= \sum_{o_{i,h} \in \mathcal{O}_h} |\sum_{s_h \in \mathcal{S}} (b_1(s_h) - b_2(s_h)) \mathbb{1}[o_{i,h} = s_h]|$$

$$= \sum_{o_{i,h} \in \mathcal{O}_h} |b_1(o_{i,h}) - b_2(o_{i,h})| = ||b_1 - b_2||_1.$$

- \mathcal{L} satisfies Assumption 3.2 because we restrict communication strategy can only use c_h as input.
- \mathcal{L} satisfies Assumption 3.3 since only $a_{3,t}, t \in [H-1]$ do not influence underlying state, and we 516 remove $a_{3,t}$ from τ_h for any h > t.
- \mathcal{L} satisfies Assumption 4.3 since it satisfies the Turn-based structures condition in §G, with 517
- ct(2t-1) = 1, ct(2t) = 2 for any $t \in [H^{\mathcal{P}}].$ 518
- 519 In this LTC problem \mathcal{L} , agent 2 will always choose $a_{i,2t} = 1$ at even steps to obtain $r_{2h} = 1$.
- And there will be no additional sharing since any additional sharing at timestep h will incur a com-520
- munication cost $\kappa_h = 1 > \max \sum_{t=1}^{H^{\mathcal{P}}} \mathcal{R}_{2t-1}(s_{2t-1}, a_{2t-1})$, and thus it cannot achieve optimum. Therefore, state $s_h^2, h \in [H]$ are dummy states, and agents 2, 3 are dummy agents. Then, any 521
- 522
- $(g_{1:H}^{a,*},g_{1:H}^{m,*})$ being an $\frac{\epsilon}{H}$ -team optimal strategy of $\mathcal L$ will directly give an ϵ -team-optimal strategy of 523
- 524 \mathcal{P} as $\{g_{1,2t-1}^{a,*}\}_{h\in[H^{\mathcal{P}}]}$. From Proposition B.7, we can complete the proof.

B.3 Proof of Lemma B.4 525

- *Proof.* We prove this result by showing a reduction from the Team Decision problem (Tsitsiklis & 526
- 527 Athans, 1985).
- 528 **Definition B.8** (Team decision problem (TDP)). Given finite sets Y_1, Y_2, U_1, U_2 , a rational proba-
- bility mass function $p: Y_1 \times Y_2 \to \mathbb{Q}$, and an integer cost function $c: Y_1 \times Y_2 \times U_1 \times U_2 \to \mathbb{N}$, 529
- find decision rules $\gamma_i: Y_i \to U_i, i=1,2$ that minimize the expected cost 530

$$J(\gamma_1, \gamma_2) = \sum_{y_1 \in Y_1, y_2 \in Y_2} c(y_1, y_2, \gamma_1(y_1), \gamma_2(y_2)) p(y_1, y_2).$$
(B.1)

- 531 We show the NP-hardness of solving LTC from the problem TDP. Given any TDP \mathcal{TD} =
- $(\widetilde{Y}_1, \widetilde{Y}_2, \widetilde{U}_1, \widetilde{U}_2, \widetilde{c}, \widetilde{p}, \widetilde{J})$ with $|\widetilde{U}_1| = |\widetilde{U}_2| = 2$, let $\widetilde{U}_1 = \{1, 2\}, \widetilde{U}_2 = \{1, 2\}$, then we can con-
- struct an H=4 and 2-agent LTC \mathcal{L} with two parameters $n_1\in\mathbb{N}, \alpha_1\in\mathbb{R}, \alpha_2\in(0,1)$ (to be 533
- specified later) such that: 534

- Number of agents: n=2; length of episode: H=4. 535
- Underlying state: $S = [2]^4$. For each $s_1 \in S$, we can split s_1 into 4 parts as $s_1 = (s_1^1, s_1^2, s_1^3, s_1^4)$, 536 where $s_1^1, s_1^2, s_1^3, s_1^4 \in [2]$. Similarly, $s_2, s_3, s_4 \in \mathcal{S}$ can be split in the same way. 537
- Initial state distribution: $\forall s_1 \in \mathcal{S}, \mu_1(s_1) = \frac{1}{16}$. 538
- Control action space: For the first 2 timesteps, $\forall i = 1, 2, A_{i,1} = A_{i,2} = \{\emptyset\}$; for $h = 3, A_{1,3} = \{\emptyset\}$ 539 $[2], \mathcal{A}_{2,3} = \{\emptyset\}; \text{ for } h = 4, \mathcal{A}_{2,4} = [2], \mathcal{A}_{1,4} = \{\emptyset\}.$ 540
- Transition: $\forall s \in \mathcal{S}, a_1 \in \mathcal{A}_1, a_2 \in \mathcal{A}_2, a_3 \in \mathcal{A}_3, \mathbb{T}_1(s \mid s, a_1) = \mathbb{T}_2(s \mid s, a_2) = \mathbb{T}_3(s \mid s, a_3) = \mathbb{$ 541 542 1. Note that under the transition dynamics above, $s_1 = s_2 = s_3 = s_4$ always holds, for any 543 $s_1 \in \mathcal{S}$.
- Observation space: $\mathcal{O}_{1,1} = \mathcal{O}_{2,1} = \mathcal{O}_{1,2} = \mathcal{O}_{2,2} = [2] \times \mathcal{S}, \mathcal{O}_{1,3} = \widetilde{Y_1} \times \mathcal{S}, \mathcal{O}_{2,3} = \widetilde{Y_2} \times \mathcal{S}, \mathcal{O}_{1,4} = \mathcal{O}_{2,4} = \mathcal{S};$ For each $i \in [2], h \in [2], o_{i,h} \in \mathcal{O}_{i,h}$, we can split $o_{i,h}$ into 2 parts as $o_{i,h} = (o_{i,h}^1, o_{i,h}^2)$, 544 545 where $o_{i,h}^1 \in [2], o_{i,h}^2 \in \mathcal{S}$. For each $i \in [n], o_{i,3} \in \mathcal{O}_{i,3}$, similarly, we can split $o_{i,3}$ into 2 parts as 546
- $o_{i,3} = (o_{i,3}^1, o_{i,3}^2), \text{ where } o_{i,3}^1 \in \widetilde{Y}_i, o_{i,3}^2 \in \mathcal{S}.$ 547
- The baseline sharing is null. 548
- Communication action space: For $i \in [2], h \in \{1, 2, 4\}, \mathcal{M}_{i,h} = \{0, 1\}^h, \mathcal{M}_{i,3} = \{1, 2\};$ 549
- For each $i \in [2], \phi_{i,h}$ is defined as $\forall h \in \{1,2,4\}, \phi_{i,h}(p_{i,h^-},m_{i,h}) = \{o_{i,k} \mid k \leq 1\}$ 550
- 551 h, k-th digit of $m_{i,h}$ is 1 and $o_{i,k} \in p_{i,h-}$; For h = 3, if $m_{i,3} = 1$, then $\phi_{i,h}(p_{i,3-}, m_{i,3}) = 1$
- $\{o_{i,1}, o_{i,3}, m_{i,3}\}; \text{ if } m_{i,3} = 2, \text{ then } \phi_{i,h}(p_{i,h^-}, m_{i,3}) = \{o_{i,2}, o_{i,3}, m_{i,3}\}.$ 552
- Emission matrix: For any $i \in [2], h \in [2], s_h \in \mathcal{S}, o_{i,h} \in \mathcal{O}_{i,h}, \mathbb{O}_h(o_h \mid s_h) = \prod_{i=1}^2 \mathbb{O}_{i,h}(o_{i,h} \mid s_h)$ 553 554 and $\mathbb{O}_{i,h}(o_{i,h} \mid s_h)$ is defined as:

$$\mathbb{O}_{i,h}(o_{i,h} \mid s_h) = \begin{cases} \frac{1-\alpha_2}{16} & o_{i,h}^1 = s_h^{i+2h-2}, o_{i,h}^2 \neq s_h \\ \frac{1-\alpha_2}{16} + \alpha_2 & o_{i,h}^1 = s_h^{i+2h-2}, o_{i,h}^2 = s_h \\ 0 & \text{o.w.} \end{cases}$$

For $i \in [2], s_3 \in \mathcal{S}, o_3 \in \mathcal{O}_3, \mathbb{O}_3(o_3 \,|\, s_3) = \mathbb{O}_3^1(o_3^1 \,|\, s_3) \mathbb{O}_3^2(o_3^2 \,|\, s_3), \mathbb{O}_3^2 = \Pi_{i=1}^2 \mathbb{O}_{i,3}^2(o_{i,3}^2 \,|\, s_3)$ is 555 defined as: 556

$$\mathbb{O}_{3}^{1}(o_{3}^{1} \mid s_{3}) = \widetilde{p}(o_{1,3}^{1}, o_{2,3}^{1})$$

$$\mathbb{O}_{i,3}^{2}(o_{3}^{2} \mid s_{3}) = \begin{cases} \frac{1-\alpha_{2}}{16} & o_{i,3}^{2} \neq s_{3} \\ \frac{1-\alpha_{2}}{16} + \alpha_{2} & o_{i,3}^{2} = s_{3} \end{cases}.$$

557 And for $i \in [2], s_4 \in \mathcal{S}, o_{i,4} \in \mathcal{O}_{i,4}, \mathbb{O}_4(o_4 \mid s_h) = \prod_{i=1}^2 \mathbb{O}_{i,4}(o_{i,4} \mid s_4)$ and $\mathbb{O}_{i,4}(o_{i,4} \mid s_4)$ is 558 defined as:

$$\mathbb{O}_{i,4}(o_{i,4} \mid s_4) = \begin{cases} \frac{1-\alpha_2}{16} & o_{i,4} \neq s_4\\ \frac{1-\alpha_2}{16} + \alpha_2 & o_{i,4} = s_4 \end{cases}.$$

- Such an emission matrix means that for each $h \in [2]$ and $i \in [2]$, agent i will accurately observe part of the underlying state s_h^{i+2h-2} and vaguely observe the whole underlying state s_h . For h = 1559
- 560
- 561 $4, i \in [2]$, agent i can only vaguely observe the whole underlying state s_h . Such design is to make
- 562 the problem satisfying Assumption 3.1. The reward functions are defined as:

$$\mathcal{R}_1(s_1, a_1) = \mathcal{R}_2(s_2, a_2) = 0, \quad \forall s_1, s_2 \in \mathcal{S}, a_1 \in \mathcal{A}_1, a_2 \in \mathcal{A}_2;$$

$$\mathcal{R}_3(s_3, a_3) = \begin{cases} 1 & \text{if } a_{1,3} = s_3^2 \text{ or } a_{1,3} = s_3^4 \\ 0 & \text{o.w.} \end{cases};$$

$$\mathcal{R}_4(s_4, a_4) = \begin{cases} 1 & \text{if } a_{2,4} = s_4^1 \text{ or } a_{2,4} = s_4^3 \\ 0 & \text{o.w.} \end{cases}.$$

563 The communication cost functions are defined as:

$$\begin{split} \forall h \in \{1,2,4\}, z_h^a \in \mathcal{Z}_h^a, \mathcal{K}_h(z_h^a) &= 1 \text{ if } z_h^a \neq \{m_{1,h}, m_{2,h}\} \text{ else } 0; \\ \mathcal{K}_3(z_3^a) &= \begin{cases} \widetilde{c}(o_{1,3}^1, o_{2,3}^1, 1, 1)/\alpha_1 & \text{if } \{o_{1,1}, o_{2,1}\} \subseteq z_3^a \text{ and } \{o_{1,2}, o_{2,2}\} \cap z_3^a = \emptyset \\ \widetilde{c}(o_{1,3}^1, o_{2,3}^1, 2, 1)/\alpha_1 & \text{if } \{o_{1,2}, o_{2,1}\} \subseteq z_3^a \text{ and } \{o_{1,1}, o_{2,2}\} \cap z_3^a = \emptyset \\ \widetilde{c}(o_{1,3}^1, o_{2,3}^1, 1, 2)/\alpha_1 & \text{if } \{o_{1,1}, o_{2,2}\} \subseteq z_3^a \text{ and } \{o_{1,2}, o_{2,1}\} \cap z_3^a = \emptyset \\ \widetilde{c}(o_{1,3}^1, o_{2,3}^1, 2, 2)/\alpha_1 & \text{if } \{o_{1,2}, o_{2,2}\} \subseteq z_3^a \text{ and } \{o_{1,1}, o_{2,1}\} \cap z_3^a = \emptyset \end{cases} \end{split}$$

- Let $\alpha_0 = \max_{y_1, y_2, u_1, u_2} \widetilde{c}(y_1, y_2, u_1, u_2)$, and set $\alpha_1 = 2\alpha_0$. Under such a construction, \mathcal{L} satisfies the following conditions: 565
- Problem \mathcal{L} is QC: For $\forall i_1, i_2 \in [2], h_1, h_2 \in [4]$, agent (i_1, h_1) does not influence (i_2, h_2) because 566 agent (i_1, h_1) cannot influence the observation of agent (i_2, h_2) , and baseline sharing is null. 567
- Problem \mathcal{L} satisfies Assumptions 3.1 and 3.4: We prove this by showing that each agent $i \in [2]$ 568 569 satisfies γ -observability. For $\forall i \in [2], h \in [2], b_1, b_2 \in \Delta(\mathcal{S})$, let

$$\begin{split} ||\mathbb{O}_{i,h}^{\top}(b_1-b_2)||_1 &= \sum_{o_{i,h}^1 \in [2]} \sum_{o_{i,h}^2 \in \mathcal{S}} |\sum_{s_h \in \mathcal{S}} (b_1(s_h) - b_2(s_h)) \mathbb{O}_{i,h}((o_{i,h}^1, o_{i,h}^2) \, | \, s_h)| \\ &\geq \sum_{o_{i,h}^2 \in \mathcal{S}} |\sum_{o_{i,h}^1 \in [2]} \sum_{s_h \in \mathcal{S}} (b_1(s_h) - b_2(s_h)) \mathbb{O}_{i,h}((o_{i,h}^1, o_{i,h}^2) \, | \, s_h)| \\ &= \sum_{o_{i,h}^2 \in \mathcal{S}} |\sum_{s_h \in \mathcal{S}} \sum_{o_{i,h}^1 \in [2]} (b_1(s_h) - b_2(s_h)) \mathbb{1}[o_{i,h}^1 = s_h^{i+2h-2}] (\frac{1-\alpha_2}{16} + \alpha_2 \mathbb{1}[o_{i,h}^2 = s_h])| \\ &= \sum_{o_{i,h}^2 \in \mathcal{S}} |\sum_{s_h \in \mathcal{S}} (b_1(s_h) - b_2(s_h)) (\frac{1-\alpha_2}{16} + \alpha_2 \mathbb{1}[o_{i,h}^2 = s_h])| \\ &= \sum_{o_{i,h}^2 \in \mathcal{S}} |\frac{1-\alpha_2}{16} (\sum_{s_h \in \mathcal{S}} (b_1(s_h) - b_2(s_h))) + \alpha_2(b_1(o_{i,h}^2) - b_2(o_{i,h}^2))| \\ &= \sum_{o_{i,h}^2 \in \mathcal{S}} |a_2|b_1(o_{i,h}^2) - b_2(o_{i,h}^2)| = \alpha_2 ||b_1 - b_2||_1. \end{split}$$

- For $\forall i \in [2], h = 3, 4$, the proof is similar, by replacing $o_{i,h}^1 \in [2]$ with $o_{i,h}^1 \in \widetilde{Y}_i$ for h = 3 and 570 replacing the space $o_{i,h}^1 \in [2]$ with \emptyset for h = 4. 571
- 572 Problem \mathcal{L} satisfies Assumption 3.3, because control actions $a_{1:4}$ does not influence underlying 573 states and we restrict the communication and control strategies do not use them as input.
- Problem L satisfies Assumption 4.3 since it satisfies the **Turn-based structures** condition in §G, 574 with ct(1) = ct(2) = ct(3) = 1, ct(4) = 2.575
- We will show as follows that computing a team-optimal strategy can give us a team-optimal strategy 576
- in TD. Given $(g_{1:4}^{a,*}, g_{1:4}^{m,*})$ to be a team optimal strategy of \mathcal{L} , firstly it will have no additional shar-577
- ing at timesteps h=1,2,4, namely, for $h=1,2,4, \mathbb{P}(z_h^a \neq \{m_{1,h},m_{2,h}\} \,|\, g_{1:4}^{a,*},g_{1:4}^{m,*}) \,=\, 1,$ 578
- since any additional sharing at timesteps h = 1, 2, 4 will incur a cost as high as 1, and can-579
- not achieve the optimum. Also, for the additional sharing at timestep h=3, agent i will 580

definitely share
$$o_{i,3}$$
 and choose to share $o_{i,1}$ or $o_{i,2}$. Then $\forall \tau_{1,3^+} \in \mathcal{T}_{1,3^+}, g_{1,3}^{a,*}(\tau_{1,3^+}) = \begin{cases} o_{2,1} & \text{if } o_{2,1} \in \tau_{1,3^+} \\ o_{2,2} & \text{if } o_{2,2} \in \tau_{1,3^+} \end{cases}$ and $\forall \tau_{2,4^+} \in \mathcal{T}_{2,4^+}, g_{2,4}^{a,*}(\tau_{2,4^+}) = \begin{cases} o_{1,1} & \text{if } o_{1,1} \in \tau_{2,4^+} \\ o_{1,2} & \text{if } o_{1,2} \in \tau_{2,4^+} \end{cases}$, since such ac-

- tion can achieve the optimal reward $r_3=r_4=1$. Therefore, $J_{\mathcal{L}}(g_{1:H}^{a,*},g_{1:H}^{m,*})=\mathbb{E}[\sum_{h=1}^4 r_h-\kappa_h\,|\,g_{1:H}^{a,*},g_{1:H}^{m,*}]=2-\mathbb{E}[\kappa_3\,|\,g_{1:H}^{a,*},g_{1:H}^{m,*}]=2-\mathbb{E}[\widetilde{c}(o_{1,3}^1,o_{1,3}^1,m_{1,3},m_{2,3})],$ where $m_{1,3}=g_{1,3}^{m,*}(\{o_{1,1},o_{1,2},o_{1,3}\})$. Since κ_3 is independent of $o_{1,1},o_{1,2},o_{1,3}^1$, $o_{1,1},o_{1,2},o_{1,3}^1$ are useless in-584
- 585
- formation for agent 1 to choose $m_{1,3}$ and minimize the κ . Therefore, not using them in $g_{1,3}^{m,*}$ 586
- does not lose any optimality. Hence, we can consider the $g_{1,3}^{m,*}$ that only has $o_{1,3}^1$ as input. 587

- In the same way, we consider the $g_{2,3}^{m,*}$ that has $o_{2,3}^1$ as input. Therefore, $J_{\mathcal{L}}(g_{1:H}^{a,*},g_{1:H}^{m,*})=$ 588
- $\frac{\widetilde{c}(o_{1,3}^1,o_{2,3}^1,m_{1,3},m_{2,3}}{\alpha_1}g_{1,3}^{m,*}(m_{1,3}\mid o_{1,3}^1)g_{2,3}^{m,*}(m_{2,3}\mid o_{2,3}^1)\widetilde{p}(o_{1,3}^1,o_{2,3}^1). \text{ Then we}$ $2 - \sum_{o^1_{1,3}, o^2_{1,3}, m_{1,3}, m_{2,3}}$ 589
- can construct $\gamma_1=g_{1,3}^{m,*}, \gamma_2=g_{2,3}^{m,*}$, which minimize \widetilde{J} . Therefore, we can conclude that computing 590
- a team optimal strategy of \mathcal{L} can give us a team optimal strategy of \mathcal{TD} . From the NP-hardness 591
- 592 of the TDP problem (Tsitsiklis & Athans, 1985), we complete our proof.

B.4 Proof of Lemma B.5 594

- *Proof of Lemma B.5.* We prove this result by showing a reduction from the Team Decision problem. 595
- Given any TDP $\mathcal{TD} = (Y_1, Y_2, U_1, U_2, \widetilde{c}, \widetilde{p}, J)$ with $|U_1| = |U_2| = 2$, let $U_1 = \{1, 2\}, U_2 = \{1, 2\}$, 596
- then we can construct an H=5 and 2 agents LTC $\mathcal L$ as follows: 597
- Underlying state: $S = [2]^4$. For each $s_1 \in S$, we can split s_1 into 4 parts as $s_1 = (s_1^1, s_1^2, s_1^3, s_1^4)$, 598 where $s_1^1, s_1^2, s_1^3, s_1^4 \in [2]$. Similarly, $s_2, s_3, s_4, s_5 \in \mathcal{S}$ can be split in the same way. 599
- Initial state distribution: $\forall s_1 \in \mathcal{S}, \mu_1(s_1) = \frac{1}{16}$. 600
- Control action space: For $\forall i=1,2,$ for h=1,2, $\mathcal{A}_{i,1}=\mathcal{A}_{i,2}=\{\emptyset\};$ For h=3, $\mathcal{A}_{i,3}=\{(0,x),(x,0)\,|\,x\in[2]\};$ We can write $a_{i,3}=(a_{i,3}^1,a_{i,3}^2),a_{i,3}^1,a_{i,3}^2\in\{0,1,2\}.$ For $h=4,\mathcal{A}_{1,4}=[2],\mathcal{A}_{2,4}=\{\emptyset\};$ For $h=5,\mathcal{A}_{2,5}=[2],\mathcal{A}_{1,5}=\{\emptyset\}.$ 601 602
- 603
- Transition: $\forall s \in \mathcal{S}, a_1 \in \mathcal{A}_1, a_2 \in \mathcal{A}_2, a_3 \in \mathcal{A}_3, a_4 \in \mathcal{A}_4, \mathbb{T}_1(s \mid s, a_1) = \mathbb{T}_2(s \mid s, a_2) = \mathbb{T}_2(s \mid s, a_2)$ 604
- 605 $\mathbb{T}_3(s \mid s, a_3) = \mathbb{T}_4(s \mid s, a_4) = 1$. Note that under the transition dynamics above, $s_1 = s_2 = s_3 = 1$
- $s_4 = s_5$ always holds, for any $s_1 \in \mathcal{S}$. 606
- Observation space: $\mathcal{O}_{1,1} = \mathcal{O}_{2,1} = \mathcal{O}_{1,2} = \mathcal{O}_{2,2} = [2] \times \mathcal{S}, \mathcal{O}_{1,3} = Y_1 \times \mathcal{S}, \mathcal{O}_{2,3} = Y_2 \times \mathcal{S}, \mathcal{O}_{1,4} = Y_1 \times \mathcal{S}, \mathcal{O}_{2,3} = Y_2 \times \mathcal{S}, \mathcal{O}_{2,4} = Y_2 \times \mathcal{S}, \mathcal{O}_{2,4$ 607
- $\mathcal{O}_{2,4} = \mathcal{O}_{1,5} = \mathcal{O}_{2,5} = \mathcal{S}$; For each $i \in [2], h \in [2], o_{i,h} \in \mathcal{O}_{i,h}$, we can split $o_{i,h}$ into 2 parts as 608
- $o_{i,h}=(o_{i,h}^1,o_{i,h}^2)$, where $o_{i,h}^1\in[2],o_{i,h}^2\in\mathcal{S}$. For each $i\in[2],o_{i,3}\in\mathcal{O}_{i,3}$, similarly, we can split 609
- $o_{i,3}$ into 2 parts as $o_{i,3} = (o_{i,3}^1, o_{i,3}^2)$, where $o_{i,3}^1 \in \widetilde{Y}_i, o_{i,3}^2 \in \mathcal{S}$. 610
- The baseline sharing is null. 611
- Communication action space: For $i \in [2], h \in \{1, 2, 3, 5\}, \mathcal{M}_{i,h} = \{0, 1\}^{2h-1}$ and $\phi_{i,h}$ is 612
- defined as $\phi_{i,h}(p_{i,h^-}, m_{i,h}) = \{o_{i,k} \in p_{i,h^-} | k \leq h, (2k-1)^{\text{th}} \text{ digit of } m_{i,h} \text{ is } 1\} \cup \{a_{i,k} \in p_{i,h^-} | k \leq h-1, 2k^{\text{th}} \text{ digit of } m_{i,h} \text{ is } 1\} \cup \{m_{i,h}\}; \text{ For } h=4, \mathcal{M}_{i,4}=\{1,2\}, \phi_{i,h}(p_{i,h^-},1)=\{0,1,2,1,3,3,4\}; \text{ for } h=4, \mathcal{M}_{i,4}=\{1,2\}, \phi_{i,h}(p_{i,h^-},1)=\{0,1,2,3,4\}; \text{ for } h=4, \mathcal{M}_{i,4}=\{1,2\}, \phi_{i,h}(p_{i,h^-},1)=\{0,1,2,3,4\}; \text{ for } h=4, \mathcal{M}_{i,4}=\{1,2\}, \phi_{i,h}(p_{i,h^-},1)=\{0,1,2,3,4\}; \text{ for } h=4, \mathcal{M}_{i,4}=\{1,2\}, \phi_{i,h}(p_{i,h^-},1)=\{0,1,2,4\}; \text{ for } h=4, \mathcal{M}_{i,4}=\{1,2\}, \mathcal{M}_{i,$ 613
- 614
- $\{o_{i,3}, m_{i,h}\}, \phi_{i,h}(p_{i,h^-}, 2) = \{o_{i,3}, a_{i,3}, m_{i,h}\}.$ 615
- Emission matrix: For any $i \in [2], h \in [2], s_h \in \mathcal{S}, o_{i,h} \in \mathcal{O}_{i,h}, \mathbb{O}_h(o_h \mid s_h) = \prod_{i=1}^2 \mathbb{O}_{i,h}(o_{i,h} \mid s_h)$ 616
- and $\mathbb{O}_{i,h}(o_{i,h} \mid s_h)$ is defined as: 617

$$\mathbb{O}_{i,h}(o_{i,h} \mid s_h) = \begin{cases} \frac{1-\alpha_2}{16} & o_{i,h}^1 = s_h^{i+2h-2}, o_{i,h}^2 \neq s_h \\ \frac{1-\alpha_2}{16} + \alpha_2 & o_{i,h}^1 = s_h^{i+2h-2}, o_{i,h}^2 = s_h \\ 0 & \text{o.w.} \end{cases}$$

- For $i \in [2], s_3 \in \mathcal{S}, o_3 \in \mathcal{O}_3, \mathbb{O}_3(o_3 \mid s_3) = \mathbb{O}_3^1(o_3^1 \mid s_3) \mathbb{O}_3^2(o_3^2 \mid s_3), \mathbb{O}_3^2 = \Pi_{i=1}^2 \mathbb{O}_{i,3}^2(o_{i,3}^2 \mid s_3)$ is 618
- defined as: 619

$$\mathbb{O}_{3}^{1}(o_{3}^{1} \mid s_{3}) = \widetilde{p}(o_{1,3}^{1}, o_{2,3}^{1})$$

$$\mathbb{O}_{i,3}^{2}(o_{3}^{2} \mid s_{3}) = \begin{cases} \frac{1-\alpha_{2}}{16} & o_{i,3}^{2} \neq s_{3} \\ \frac{1-\alpha_{2}}{16} + \alpha_{2} & o_{i,3}^{2} = s_{3} \end{cases}.$$

- And for $i \in [2], h = 4 \text{ or } 5, s_h \in \mathcal{S}, o_{i,h} \in \mathcal{O}_{i,h}, \mathbb{O}_h(o_h \, | \, s_h) = \prod_{i=1}^2 \mathbb{O}_{i,h}(o_{i,h} \, | \, s_h)$ and 620
- $\mathbb{O}_{i,h}(o_{i,h} \mid s_h)$ is defined as: 621

$$\mathbb{O}_{i,h}(o_{i,h} \mid s_h) = \begin{cases} \frac{1-\alpha_2}{16} & o_{i,h} \neq s_h \\ \frac{1-\alpha_2}{16} + \alpha_2 & o_{i,h} = s_h \end{cases}.$$

622 • Reward functions:

$$\mathcal{R}_1(s_1, a_1) = \mathcal{R}_2(s_2, a_2) = \mathcal{R}_3(s_3, a_3) = 0, \quad \forall s_1, s_2, s_3 \in \mathcal{S}, a_1 \in \mathcal{A}_1, a_2 \in \mathcal{A}_2, a_3 \in \mathcal{A}_3;$$

$$\mathcal{R}_4(s_4, a_4) = \begin{cases} 1 & \text{if } a_{1,4} = s_4^2 \text{ or } a_{1,4} = s_4^4 \\ 0 & \text{o.w.} \end{cases};$$

$$\mathcal{R}_5(s_5, a_5) = \begin{cases} 1 & \text{if } a_{2,5} = s_5^1 \text{ or } a_{2,5} = s_5^3 \\ 0 & \text{o.w.} \end{cases}.$$

• Communication cost functions:

$$\forall h \in \{1,2,3,5\}, z_h^a \in \mathcal{Z}_h^a, \mathcal{K}_h(z_h^a) = 1 \text{ if } z_h^a \neq \{m_{1,h}, m_{2,h}\} \text{ else } 0;$$

$$\mathcal{K}_4(z_4^a) = \begin{cases} \widetilde{c}(o_{1,3}^1, o_{2,3}^1, 1)/\alpha_1 & \text{if } a_{1,3}, a_{2,3} \in z_3^a, a_{1,3}^1 = 0, a_{2,3}^1 = 0 \\ \widetilde{c}(o_{1,3}^1, o_{2,3}^1, 2)/\alpha_1 & \text{if } a_{1,3}, a_{2,3} \in z_3^a, a_{1,3}^2 = 0, a_{2,3}^1 = 0 \\ \widetilde{c}(o_{1,3}^1, o_{2,3}^1, 1, 2)/\alpha_1 & \text{if } a_{1,3}, a_{2,3} \in z_3^a, a_{1,3}^1 = 0, a_{2,3}^2 = 0; \\ \widetilde{c}(o_{1,3}^1, o_{2,3}^1, 2, 2)/\alpha_1 & \text{if } a_{1,3}, a_{2,3} \in z_3^a, a_{1,3}^2 = 0, a_{2,3}^2 = 0 \\ 1 & \text{o.w.} \end{cases}$$

- 624 Let $\alpha_0 = \max_{y_1, y_2, u_1, u_2} \widetilde{c}(y_1, y_2, u_1, u_2)$, set $\alpha_1 = 2\alpha_0$, and restrict agents to decide their commu-
- 625 nication strategy only based on their common information. Under such a construction, \mathcal{L} satisfies
- 626 the following conditions:
- Problem \mathcal{L} is QC: For $\forall i_1, i_2 \in [2], h_1, h_2 \in [4]$, agent (i_1, h_1) does not influence (i_2, h_2) because agent (i_1, h_1) cannot influence the observation of agent (i_2, h_2) , and the baseline sharing is null.
- Problem \mathcal{L} satisfies Assumptions 3.1 and 3.4: We prove this by showing that each agent $i \in [2]$ satisfies γ -observability. For $\forall i \in [2], h \in [2], b_1, b_2 \in \Delta(\mathcal{S})$, let

$$\begin{split} ||\mathbb{O}_{i,h}^{\top}(b_{1}-b_{2})||_{1} &= \sum_{o_{i,h}^{1} \in [2]} \sum_{o_{i,h}^{2} \in \mathcal{S}} |\sum_{s_{h} \in \mathcal{S}} (b_{1}(s_{h})-b_{2}(s_{h})) \mathbb{O}_{i,h}((o_{i,h}^{1},o_{i,h}^{2}) |s_{h})| \\ &\geq \sum_{o_{i,h}^{2} \in \mathcal{S}} |\sum_{o_{i,h}^{1} \in [2]} \sum_{s_{h} \in \mathcal{S}} (b_{1}(s_{h})-b_{2}(s_{h})) \mathbb{O}_{i,h}((o_{i,h}^{1},o_{i,h}^{2}) |s_{h})| \\ &= \sum_{o_{i,h}^{2} \in \mathcal{S}} |\sum_{s_{h} \in \mathcal{S}} \sum_{o_{i,h}^{1} \in [2]} (b_{1}(s_{h})-b_{2}(s_{h})) \mathbb{I}[o_{i,h}^{1} = s_{h}^{i+2h-2}] (\frac{1-\alpha_{2}}{16}+\alpha_{2}\mathbb{I}[o_{i,h}^{2} = s_{h}])| \\ &= \sum_{o_{i,h}^{2} \in \mathcal{S}} |\sum_{s_{h} \in \mathcal{S}} (b_{1}(s_{h})-b_{2}(s_{h})) (\frac{1-\alpha_{2}}{16}+\alpha_{2}\mathbb{I}[o_{i,h}^{2} = s_{h}])| \\ &= \sum_{o_{i,h}^{2} \in \mathcal{S}} |\frac{1-\alpha_{2}}{16} (\sum_{s_{h} \in \mathcal{S}} (b_{1}(s_{h})-b_{2}(s_{h}))) + \alpha_{2}(b_{1}(o_{i,h}^{2})-b_{2}(o_{i,h}^{2}))| \\ &= \sum_{o_{i,h}^{2} \in \mathcal{S}} |\alpha_{2}|b_{1}(o_{i,h}^{2})-b_{2}(o_{i,h}^{2})| = \alpha_{2}||b_{1}-b_{2}||_{1}. \end{split}$$

- For $\forall i \in [2], h = 3, 4$, the proof is similar, by replacing $o_{i,h}^1 \in [2]$ with $o_{i,h}^1 \in \widetilde{Y}_i$ for h = 3 and replacing the space $o_{i,h}^1 \in [2]$ with $\{\emptyset\}$ for h = 4, 5.
- Problem \mathcal{L} satisfies Assumption 3.2 since we restrict agents to decide their communication strategies only based on common information.
- Problem \mathcal{L} satisfies Assumption 4.3 since it satisfies the **Turn-based structures** condition in §G, with ct(1) = ct(2) = ct(3) = ct(4) = 1, ct(5) = 2.
- Now, we show that any team optimal strategy of \mathcal{L} will give us the decision rules γ_1, γ_2 solving \mathcal{TD} .
- 638 Let $(g_{1:5}^{a,*}, g_{1:5}^{m,*})$ be a team optimal strategy. First, $\forall \tau_{i,4^-} \in \mathcal{T}_{i,4^-}, g_{i,4}^{m,*}(\tau_{i,4^-}) = 2$, otherwise it will

have communication cost $\kappa_{i,3}=1$, and can cannot achieve the team optimum. Define $\bar{g}_{1:5}^a, \bar{g}_{1:5}^m$ as 639

$$\begin{split} \forall \tau_{i,3^+} \in \mathcal{T}_{i,3^+}, \overline{g}_{i,3^+}^a(\tau_{i,3^+}) &= \begin{cases} (o_{i,1}^1, 0) & \text{if } a_{i,3} = g_{i,3^+}^{a,*}(\tau_{i,3^+}), a_{i,3}^1 = 0 \\ (0, o_{i,2}^1) & \text{o.w.} \end{cases} \\ \forall \tau_{1,4^+} \in \mathcal{T}_{1,4^+}, \overline{g}_{1,4^+}^a(\tau_{1,4^+}) &= \begin{cases} a_{2,4}^1 & \text{if } a_{2,4}^1 \neq 0 \\ a_{2,4}^2 & \text{o.w.} \end{cases} \\ \overline{g}_{1:5}^m &= g_{1:5}^{m,*}, \overline{g}_{1:2}^a = g_{1:2}^{a,*}, \overline{g}_{4:5}^a = g_{4:5}^{a,*}. \end{split}$$

- Then, $J_{\mathcal{L}}(\overline{g}_{1:5}^a, \overline{g}_{1:5}^m) J_{\mathcal{L}}(g_{1:5}^{a,*}, g_{1:5}^{m,*}) \geq 0$. Hence $(\overline{g}_{1:5}^a, \overline{g}_{1:5}^m)$ is a team optimal strategy. Then, $J_{\mathcal{L}}(\overline{g}_{1:5}^a, \overline{g}_{1:5}^m) = 2 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{1:5}^a, \overline{g}_{1:5}^m) = 2 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where \overline{g}_3^a minimizes κ_4 . Note that $\tau_{i,3^+} = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$, where $\overline{g}_3^a = 1 \mathbb{E}[\kappa_4 \, | \, \overline{g}_{3}^a]$
- 641
- $\{o_{i,1}, o_{i,2}, o_{i,3}\}$. Since κ_4 is independent of $o_{i,1}, o_{i,2}, o_{i,3}^2$, they are useless information for agent 642
- i to choose $a_{i,3}$ and minimize κ_4 . Therefore, only using $o_{i,3}^1$ to determine $a_{i,3}$ does not lose any 643
- optimality, and we can consider $g_{1,3}^{a,*}$ that has only $o_{i,3}^1$ as input. In the same way, we consider $g_{2,3}^{a,*}$ 644
- that has only $o_{i,3}^1$ as input. Then, we can construct $\gamma_1=g_{1,3}^{a,*}, \gamma_2=g_{2,3}^{a,*}$ as decision rules that 645
- minimize \tilde{J} . Therefore, we can conclude that computing a team optimal strategy of \mathcal{L} can give us a 646
- 647 team optimal strategy of TD. From the NP-hardness of the TDP problem (Tsitsiklis & Athans,
- 648 1985), we complete our proof.

649 B.5 Proof of Lemma B.6

- *Proof.* We prove this by showing a reduction from the hardness of finding an ϵ -optimal strategy in 650
- POMDP. Given any POMDP $\mathcal{P} = (\mathcal{S}^{\mathcal{P}}, \mathcal{A}^{\mathcal{P}}, \mathcal{O}^{\mathcal{P}}, \{\mathbb{O}_{h}^{\mathcal{P}}\}_{h \in [H^{\mathcal{P}}]}, \{\mathbb{T}_{h}^{\mathcal{P}}\}_{h \in [H^{\mathcal{P}}]}, \{\mathcal{R}_{h}^{\mathcal{P}}\}_{h \in [H^{\mathcal{P}}]}, \mu_{1}^{\mathcal{P}}),$
- we can construct a LTC \mathcal{L} with 2 agents as follows: 652
- Number of agents: n=2; length of episode: $H=H^{\mathcal{P}}$. 653
- $\mathcal{S} = \mathcal{S}^{\mathcal{P}}, \forall s \in \mathcal{S}.$ 654
- Initial state distribution: $\forall s_1 \in \mathcal{S}, \mu_1(s_1) = \mu_1^{\mathcal{P}}(s_1).$ 655
- Control action space: $\forall h \in [H], A_{1,h} = A_h^{\mathcal{P}}, A_{2,h} = \{\emptyset\}.$ 656
- Transition: $\forall s_h, s_{h+1} \in \mathcal{S}, a_h \in \mathcal{A}_h, \mathbb{T}_h(s_{h+1} \mid s_h, a_h) = \mathbb{T}_h^{\mathcal{P}}(s_{h+1} \mid s_h, a_{1,h}).$ 657
- Observation space: $\forall h \in [H], \mathcal{O}_{1,h} = \mathcal{O}^{\mathcal{P}}, \mathcal{O}_{2,h} = \mathcal{S}.$ 658
- Emission matrix: For any $h \in [H], \forall o_h \in \mathcal{O}_h, s_h \in \mathcal{S}, \mathbb{O}_h(o_h \mid s_h) = \mathbb{O}_h^{\mathcal{P}}(o_{1,h} \mid s_h)\mathbb{1}[o_{2,h} = s_h].$ 659
- Reward functions: For any $h \in [H], i \in [2], s_h \in \mathcal{S}, a_h \in \mathcal{A}_h, \mathcal{R}_h(s_h, a_h) = \mathcal{R}^{\mathcal{P}}(s_h, a_{1.h})/H$. 660
- The baseline sharing: For any $h \in [H], z_h^b = \{o_{1,h}, a_{1,h-1}\}.$ 661
- Communication action space: For any $h \in [H], \mathcal{M}_{1,h} = \{\emptyset\}, \mathcal{M}_{2,h} = \{0,1\}^h$. For any 662
- $\begin{array}{l} p_{1,h^-} \in \mathcal{P}_{1,h^-}, p_{2,h^-} \in \mathcal{P}_{2,h^-}, m_h \in \mathcal{M}_h, \phi_{1,h}(p_{1,h^-}, m_{1,h}) = \{m_{1,h}\}, \phi_{2,h}(p_{2,h^-}, m_{2,h}) = \{o_{2,k} \mid k\text{-th digit of } p_{2,h^-} \text{ is } 1 \text{ and } o_{2,k} \in p_{i,h^-}\} \cup \{m_{2,h}\}. \end{array}$ 663
- 664
- Communication cost functions: For any $h \in [H], z_h^a \in \mathcal{Z}_h^a, \mathcal{K}_h(z_h^a) = \mathbb{1}[z_h^a \neq \{m_h\}]$. It means 665 666 the communication cost is 1 unless there is no additional sharing.
- 667 • We restrict that the communication strategy can only use c_h as input, and remove $a_{2,t}$ in τ_h for 668 any h > t.
- We first verify that \mathcal{L} is QC and satisfies Assumptions 3.1, 3.2, 3.3, and 4.3. 669
- \mathcal{L} is QC: For any $\forall h_1 < h_2 \leq H$, agent $(2, h_1)$ does not influence agent $(1, h_2)$ under baseline 670
- sharing since agent $(2, h_1)$ does not influence $s_h^1, \forall h \in [H]$, then does not influence $o_{1,h}, \forall h \in [H]$ 671
- [H], and thus not influencing agent $(1, h_1)$. For any $\forall h_1 < h_2 \leq H$, under baseline sharing, 672
- 673 $p_{1,h^-}=\emptyset$. Then $\sigma(\tau_{1,h_1^-})\subseteq\sigma(c_{h_1^-})\subseteq\sigma(c_{h_2^-})\subseteq\sigma(\tau_{2,h_2^-})$.

• \mathcal{L} satisfies Assumption 3.1: For any $h \in [H], b_1, b_2 \in \Delta(\mathcal{S}), \mathbb{O}_h$ satisfies

$$\begin{split} ||\mathbb{O}_{h}^{\top}(b_{1}-b_{2})||_{1} &= \sum_{o_{1,h} \in \mathcal{O}^{\mathcal{P}}} \sum_{o_{2,h} \in \mathcal{S}} |\sum_{s_{h} \in \mathcal{S}} (b_{1}(s_{h})-b_{2}(s_{h})) \mathbb{O}_{h}((o_{1,h},o_{2,h}) | s_{h})| \\ &\geq \sum_{o_{2,h} \in \mathcal{S}} |\sum_{o_{1,h} \in \mathcal{O}^{\mathcal{P}}} \sum_{s_{h} \in \mathcal{S}} (b_{1}(s_{h})-b_{2}(s_{h})) \mathbb{O}_{1,h}(o_{1,h} | s_{h}) \mathbb{O}_{2,h}(o_{2,h} | s_{h})| \\ &= \sum_{o_{2,h} \in \mathcal{S}} |\sum_{s_{h} \in \mathcal{S}} (b_{1}(s_{h})-b_{2}(s_{h})) \mathbb{O}_{2,h}(o_{2,h} | s_{h}) \sum_{o_{1,h} \in \mathcal{O}^{\mathcal{P}}} \mathbb{O}_{1,h}(o_{1,h} | s_{h})| \\ &= \sum_{o_{2,h} \in \mathcal{S}} |\sum_{s_{h} \in \mathcal{S}} (b_{1}(s_{h})-b_{2}(s_{h})) \mathbb{1}[o_{2,h} = s_{h}] \\ &= \sum_{o_{2,h} \in \mathcal{S}} |b_{1}(o_{2,h})-b_{2}(o_{2,h})| = ||b_{1}-b_{2}||_{1}. \end{split}$$

- \mathcal{L} satisfies Assumption 3.2: For any $h \in [H]$, we restrict that each agent decides $m_{i,h}$ based on 675 676
- 677 • \mathcal{L} satisfies Assumption 3.3: For any $h \in [H]$, $a_{2,h}$ does not influence s_{h+1} , and it is removed from 678
- 679 • L satisfies Assumption 4.3 since it satisfies the **Turn-based structures** condition in §G, with ct(h) = 1 for any $h \in [H]$. 680
- Agent 2 will share nothing through additional sharing, otherwise it will suffer the communication 681
- 682
- cost $\kappa_h = 1 > \max \sum_{h=1}^{H} \mathcal{R}_h(s_h, a_h)$ and cannot achieve optimum. Hence, Agent 2 is the dummy player. Therefore, any $(g_{1:H}^{a,*}, g_{1:H}^{m,*})$ be an ϵ/H -team optimal strategy of $\mathcal L$ will directly gives the 683
- ϵ -optimal of \mathcal{P} as $\{g_{1,1:H}^{a,*}\}_{h\in[H]}$. From Proposition B.7, we can complete our proof. 684

Deferred Details of §4 \mathbf{C} 685

- 686 C.1 Reformulation of \mathcal{L}
- Given an LTC problem \mathcal{L} , we can reformulate it as a Dec-POMDP $\mathcal{D}_{\mathcal{L}}$ defined as $\langle \widetilde{H}, \widetilde{\mathcal{S}}, \{\widetilde{\mathcal{A}}_{i,h}\}_{i \in [n], h \in [\widetilde{H}]}, \{\widetilde{\mathcal{O}}_{i,h}\}_{i \in [n], h \in [\widetilde{H}]}, \widetilde{\mathbb{T}}, \widetilde{\mathbb{O}}, \widetilde{\mu}_1, \{\widetilde{\mathcal{R}}_h\}_{h \in [\widetilde{H}]} \rangle$ as follows

$$\begin{split} \widetilde{H} &= 2H, \ \ \widetilde{S} = \mathcal{S}, \ \ \widetilde{s}_{2h-1} = \widetilde{s}_{2h} = s_h, \ \ \widetilde{\mathcal{A}}_{i,2h-1} = \mathcal{M}_{i,h}, \ \ \widetilde{\mathcal{A}}_{i,2h} = \mathcal{A}_{i,h}, \ \ \widetilde{a}_{i,2h-1} = m_{i,h}, \\ \widetilde{a}_{i,2h} &= a_{i,h}, \ \ \widetilde{\mathcal{O}}_{i,2h-1} = \mathcal{O}_{i,h}, \ \ \widetilde{\mathcal{O}}_{i,2h} = \left\{\emptyset\right\}, \ \ \widetilde{o}_{i,2h-1} = o_{i,h}, \ \ \widetilde{o}_{i,2h} = \emptyset, \\ \widetilde{\mathbb{T}}_{2h-1}(\widetilde{s}_{2h} \mid \widetilde{s}_{2h-1}, \widetilde{a}_{2h-1}) = \mathbb{1}\left[\widetilde{s}_{2h} = \widetilde{s}_{2h-1}\right], \ \ \widetilde{\mathbb{T}}_{2h}(\widetilde{s}_{2h+1} \mid \widetilde{s}_{2h}, \widetilde{a}_{2h}) = \mathbb{T}_h(\widetilde{s}_{2h+1} \mid \widetilde{s}_{2h}, \widetilde{a}_{2h}), \\ \widetilde{\mu}_1 &= \mu_1, \ \ \widetilde{\mathcal{R}}_{2h-1} = -\mathcal{K}_h, \ \ \widetilde{\mathcal{R}}_{2h} = \mathcal{R}_h, \ \ \widetilde{p}_{i,2h-1} = p_{i,h^-}, \ \ \widetilde{p}_{i,2h} = p_{i,h^+}, \ \ \widetilde{c}_{2h-1} = c_{h^-}, \\ \widetilde{c}_{2h} &= c_{h^+}, \ \ \widetilde{c}_{2h-1} = z_h^b, \ \ \widetilde{c}_{2h} = z_h^a, \ \ \widetilde{\tau}_{i,2h-1} = c_{h^-}, \ \ \widetilde{\tau}_{i,2h} = \tau_{i,h^+}, \end{split}$$

$$(C.1)$$

- Note that, at the odd timestep 2h-1, we set $\tilde{\tau}_{i,2h-1}=c_{h-1}$ under Assumption 3.2, i.e., in $\mathcal{D}_{\mathcal{L}}$, each 689
- 690 agent only uses the common information so far for decision-making at timestep 2h-1. Correspond-
- ingly, for any $h \in [H], i \in [n]$, we denote by $\widetilde{g}_{i,h}, \widetilde{g}_h$ the (joint) strategy and by $\mathcal{G}_{i,h}, \mathcal{G}_h$ the (joint) 691
- strategy spaces. Similarly, the objective of $\mathcal{D}_{\mathcal{L}}$ is defined as $J_{\mathcal{D}_{\mathcal{L}}}(\widetilde{g}_{1:\widetilde{H}}) = \mathbb{E}_{\mathcal{D}_{\mathcal{L}}}[\sum_{h=1}^{\widetilde{H}} \widetilde{r}_h \, | \, \widetilde{g}_{1:\widetilde{H}}].$ 692
- Essentially, this reformulation splits the H-step decision-making and communication procedure into 693
- 694 a 2H-step one. A similar splitting of the timesteps was also used in Sudhakara et al. (2021); Kartik
- 695 et al. (2022). In comparison, we consider a more general setting, where the state is not decoupled,
- 696 and agents are allowed to share the observations and actions at the *previous* timesteps, due to the
- generality of our LTC formulation. The equivalence between \mathcal{L} and $\mathcal{D}_{\mathcal{L}}$ is more formally stated as 697
- 698 follows.
- **Proposition C.1** (Equivalence between \mathcal{L} and $\mathcal{D}_{\mathcal{L}}$). Let $\mathcal{D}_{\mathcal{L}}$ be the reformulated Dec-POMDP from 699
- \mathcal{L} , then the solutions of the two problems are equivalent, in the sense that $\forall g_{1:H}^m \in \mathcal{G}_{1:H}^m, g_{1:H}^a \in \mathcal{G}_{1:H}^m$

- $\mathcal{G}_{1:H}^a, i \in [n], \text{ let } \widetilde{g}_{1:\widetilde{H}} = (g_1^m, g_1^a, \cdots, g_H^m, g_H^a), \text{ then } J_{\mathcal{D}_{\mathcal{L}}}(\widetilde{g}_{1:\widetilde{H}}) = J_{\mathcal{L}}(g_{1:H}^m, g_{1:H}^a). \text{ Also, } \forall \widetilde{g}_{1:\widetilde{H}} \in \mathcal{G}_{1:H}^a, \mathcal{G}_{1:H}^a, \mathcal{G}_{1:H}^a$
- $\widetilde{\mathcal{G}}_{1:\widetilde{H}}, i \, \in \, [n], \text{ let } g^m_{1:H} \, = \, (\widetilde{g}_1, \widetilde{g}_3, \cdots, \widetilde{g}_{\widetilde{H}-1}), g^a_{1:H} \, = \, (\widetilde{g}_2, \widetilde{g}_4, \cdots, \widetilde{g}_{\widetilde{H}}), \text{ then } J_{\mathcal{L}}(g^m_{1:H}, g^a_{1:H}) \, = \, (\widetilde{g}_1, \widetilde{g}_2, \cdots, \widetilde{g}_{\widetilde{H}}), g^a_{1:H} \, = \, (\widetilde{g}$
- 703 $J_{\mathcal{D}_{\mathcal{L}}}(\widetilde{g}_{1\cdot\widetilde{H}}).$

C.2 Proof of Theorem 4.1 704

- *Proof.* We prove the following lemma first. 705
- 706 **Lemma C.2.** Let the \mathcal{L} be the QC LTC problem satisfying Assumptions 3.3, 3.4, and 3.5, and $\mathcal{D}_{\mathcal{L}}$ be
- the reformulated Dec-POMDP. Then for $i_1, i_2 \in [n], t_1, t_2 \in [H]$, if agent $(i_1, 2t_1)$ influences agent 707
- $(i_2,2t_2) \text{ in } \mathcal{D}_{\mathcal{L}}, \text{ then } \sigma(\tau_{i_1,t_1^-}) \subseteq \sigma(\tau_{i_2,t_2^-}) \text{ in } \mathcal{L}. \text{ Moreover, if } \mathcal{L} \text{ is sQC, then } \sigma(a_{i_1,t_1}) \subseteq \sigma(\tau_{i_2,t_2^-}).$ 708
- 709 *Proof.* We prove this by cases.
- If a_{i_1,t_1} influences the underlying state s_{t_1+1} , then from Assumption 3.4, agent (i_1,t_1) influences 710
- 711 o_{-i_1,t_1+1} , so there must exist $i_3 \neq i_1$, such that agent (i_1,t_1) influences o_{i_3,t_1+1} . From part (e) of
- 712 Assumption 2.1 and $t_1 < t_2$, we know $o_{i_3,t_1+1} \in \tau_{i_3,(t_1+1)^-} \subseteq \tau_{i_3,t_2^-}$ even under no additional
- sharing, and then we get agent (i_1, t_1) influences agent (i_3, t_2) in $\overline{\mathcal{D}}_{\mathcal{L}}$ (the Dec-POMDP induced 713
- 714
- by \mathcal{L}). From Lemma B.2, it holds that $\sigma(\tau_{i_1,t_1^-}) \subseteq \sigma(\tau_{i_3,t_2^-})$. From Assumption 3.5 and $i_3 \neq i_1$, we know $\sigma(\tau_{i_1,t_1^-}) \subseteq \sigma(c_{t_2^-}) \subseteq \sigma(\tau_{i_2,t_2^-})$. (Similarly, if \mathcal{L} is sQC, we have $\sigma(a_{i_1,t_1}) \subseteq \sigma(\tau_{i_3,t_2^-})$ 715
- from Assumption 3.5, and $\sigma(a_{i_1,t_1})\subseteq \sigma(c_{t_2^-})\subseteq \sigma(\tau_{i_2,t_2^-})$ from Assumption 3.5). 716
- If a_{i_1,t_1} does not influence s_{t_1+1} , from Assumption 3.3, $\forall t > t_1, a_{i_1,t_1} \notin \tau_{t^-}$ and $a_{i_1,t_1} \notin \tau_{t^+}$. 717
- 718 Then in $\mathcal{D}_{\mathcal{L}}$, agent $(i_1, 2t_1)$ does not influence $\widetilde{\tau}_{i, 2t_1+1}, \forall i \in [n]$, hence it does not influence
- 719 $\widetilde{a}_{i,2t_1+1}, \forall i \in [n]$. Then it does not influence \widetilde{z}_{2t_1+1} , and further does not influence $\widetilde{\tau}_{i,2t_1+2}$ and
- 720 $\widetilde{a}_{i,2t_1+2}, \forall i \in [n]$. From induction, we know agent $(i_1, 2t_1)$ does not influence agent $(i_2, 2t_2)$,

- 721 which leads to a contradiction.
- 722 This completes the proof of this lemma.
- 723 We now go back to prove the theorem. Firstly, we prove the QC cases. To show $\mathcal{D}_{\mathcal{L}}$ is QC, we need
- to prove $\forall i_1, i_2 \in [n], h_1, h_2 \in [H]$, if agent (i_1, h_1) influences agent (i_2, h_2) with $h_1 < h_2$, then 724
- 725 $\sigma(\widetilde{\tau}_{i_1,h_1}) \subseteq \sigma(\widetilde{\tau}_{i_2,h_2})$, where we use $\widetilde{\tau}_{i,h}$ to denote the available information of agent (i,h) in $\mathcal{D}_{\mathcal{L}}$.
- We prove this by considering the following cases: 726
- 727 1. If $h_1 = 2t_1 - 1$ with $t_1 \in [H]$, by the construction of $\mathcal{D}_{\mathcal{L}}$ and Assumption 3.2, we have $\widetilde{\tau}_{i_1,h_1} =$
- 728 $\widetilde{c}_{h_1}=c_{t_1^-}\subseteq \widetilde{\tau}_{i_2,h_2}$, since common information accumulates over time by definition, and will
- always be included in the available information $\widetilde{\tau}_{i,h}$ in later steps. Thus, $\sigma(\widetilde{\tau}_{i_1,h_1}) \subseteq \sigma(\widetilde{\tau}_{i_2,h_2})$. 729
- 2. If $h_1=2t_1, h_2=2t_2$ with $t_1, t_2\in [H]$, then $\widetilde{\tau}_{i_1,h_1}=\tau_{i_1,t_1^+}=\tau_{i_1,t_1^-}\cup z_{t_1}^a$ by definition. 730
- Consider agent (i_1,t_1) and (i_2,t_2) in \mathcal{L} . From Lemma C.2, we know $\sigma(\tau_{i_1,t_1^-})\subseteq\sigma(\tau_{i_2,t_2^-})\subseteq\sigma(\tau_{i_2,t_2^-})$ 731
- $\sigma(au_{i_2,t_2^+})$. Also, $z_{t_1}^a\subseteq c_{t_1^+}\subseteq c_{t_2^+}\subseteq au_{i_2,t_2^+}=\widetilde{ au}_{i_2,h_2}$ by the accumulation of c_{h^+} over time. Thus, 732
- we have $\sigma(\widetilde{\tau}_{i_1,h_1}) \subseteq \sigma(\widetilde{\tau}_{i_2,h_2})$. 733
- 734 3. If $h_1=2t_1, h_2=2t_2-1, t_1, t_2\in [H]$, then $\widetilde{\tau}_{i_2,h_2}=\widetilde{c}_{h_2}$, then $\exists i_3\in [n], i_3\neq i_1, \widetilde{\tau}_{i_2,h_2}\subseteq I$
- $\widetilde{c}_{h_2+1}\subseteq \widetilde{\tau}_{i_3,h_2+1}$. From agent (i_1,h_1) influences (i_2,h_2) , we know agent (i_1,h_1) also influences 735
- 736 agent $(i_3, h_2 + 1)$ in $\mathcal{D}_{\mathcal{L}}$, hence agent (i_1, t_1) influences agent (i_2, t_2) in \mathcal{L} . Since \mathcal{L} is QC,
- 737 we know $\sigma(\tau_{i_1,t_1^-}) \subseteq \sigma(\tau_{i_3,t_2^-})$. From Assumption 3.5 and $i_1 \neq i_3$, we know $\sigma(\widetilde{\tau}_{i_1,h_1}) =$
- $\sigma(\tau_{i_1,t_1^-}) \subseteq \sigma(c_{t_2^-}) = \sigma(\widetilde{\tau}_{i_2,h_2}).$ 738
- Second, we prove the sQC case. In $\mathcal{D}_{\mathcal{L}}$, for $\forall i_1, i_2 \in [n], h_1, h_2 \in [\widetilde{H}]$, agent (i_1, h_1) influences 739
- (i_2,h_2) . From the proof above, we know $\sigma(\widetilde{\tau}_{i_1,h_1})\subseteq\sigma(\widetilde{\tau}_{i_2,h_2})$. We only need to prove $\sigma(\widetilde{a}_{i_1,h_1})\subseteq\sigma(\widetilde{a}_{i_1,h_2})$.
- 741
- 1. If $h_1 = 2t_1 1$ with $t_1 \in [H]$, then we know $\widetilde{a}_{i_1,h_1} = m_{i_1,t}$. From Assumption 2.1, we know 742
- that $m_{i_1,t} \subseteq z_{i_1,t}^a$. Then we get $\sigma(\widetilde{a}_{i_1,h_1}) \subseteq \sigma(\widetilde{z}_{i_1,h_1+1}) \subseteq \sigma(\widetilde{c}_{h_2}) \subseteq \sigma(\widetilde{c}_{i_2,h_2})$. 743

- 744 2. If $h_1 = 2t_1, h_2 = 2t_2$ with $t_1, t_2 \in [H]$, then from Lemma C.2, we know that $\sigma(\widetilde{a}_{i_1,h_1}) \subseteq \sigma(\widetilde{\tau}_{i_2,h_2})$.
- 746 3. If $h_1 = 2t_1, h_2 = 2t_2 1, t_1, t_2 \in [H]$, then $\widetilde{\tau}_{i_2, h_2} = \widetilde{c}_{h_2}$, then $\exists i_3 \in [n], i_3 \neq i_1, \widetilde{\tau}_{i_2, h_2} \subseteq \widetilde{c}_{h_2}$
- 747 $\widetilde{c}_{h_2+1} \subseteq \widetilde{\tau}_{i_3,h_2+1}$. From agent (i_1,h_1) influences (i_2,h_2) , we know agent (i_1,h_1) also influences
- agent $(i_3, h_2 + 1)$ in $\mathcal{D}_{\mathcal{L}}$, hence agent (i_1, t_1) influences agent (i_2, t_2) in \mathcal{L} . Since \mathcal{L} is sQC,
- 749 we know $\sigma(a_{i_1,t_1^-}) \subseteq \sigma(\tau_{i_3,t_2^-})$. From Assumption 3.5 and $i_1 \neq i_3$, we know $\sigma(\widetilde{a}_{i_1,h_1}) =$

- 750 $\sigma(a_{i_1,t_1}) \subseteq \sigma(c_{t_2}) = \sigma(\widetilde{\tau}_{i_2,h_2}).$
- 751 This completes the proof.
- 752 **Lemma C.3.** If $\mathcal{D}_{\mathcal{L}}$ is QC, then $\mathcal{D}_{\mathcal{L}}^{\dagger}$ is sQC.

753 C.3 Proof of Lemma C.3

- 754 *Proof.* From the construction of $\mathcal{D}_{\mathcal{L}}^{\dagger}$, since $\mathcal{D}_{\mathcal{L}}^{\dagger}$ requires agent to share more than $\mathcal{D}_{\mathcal{L}}$, it is easy to
- observe the fact that $\forall h \in [\widetilde{H}], i \in [n], \widetilde{c}_h \subseteq \widecheck{c}_h, \widetilde{\tau}_{i,h} \subseteq \widecheck{\tau}_{i,h}$.
- 756 Let $i_1, i_2 \in [n], h_1, h_2 \in [\widetilde{H}], h_1 < h_2$, and agent (i_1, h_1) influences agent (i_2, h_2) in $\mathcal{D}_{\mathcal{L}}^{\dagger}$.
- 757 If $h_1 = 2t_1 1$ with $t_1 \in [H]$, then h_1 is communication step. So $\breve{\tau}_{i_1,h_1} = \breve{c}_{h_1} \subseteq \breve{c}_{h_2}$, and
- 758 $\widetilde{a}_{i_1,h_1} = m_{i_1,t_1} \subseteq \check{c}_{h_1+1} \subseteq \check{c}_{h_2}$ from Assumption 2.1. Therefore, we have $\sigma(\check{\tau}_{i_1,h_1}) \cup \sigma(\check{a}_{i_1,h_1}) \subseteq \sigma(\check{c}_{h_1}) \subseteq \sigma(\check{c}_{h_2})$
- 759 $\sigma(\breve{c}_{h_1}) \subseteq \sigma(\breve{\tau}_{i_2,h_2}).$
- 760 If $h_1 = 2t_1, h_2 = 2t_2 1$ with $t_1, t_2 \in [H]$, then $\breve{\tau}_{i_2, h_2} = \breve{c}_{h_2}$. If agent (i_1, h_1) does not
- influence (i_2, h_2) in $\mathcal{D}_{\mathcal{L}}$, but agent (i_1, h_1) influences (i_2, h_2) in $\mathcal{D}_{\mathcal{L}}^{\dagger}$, then it means $\check{a}_{i_1, h_1} \in \check{\tau}_{i_2, h_2}$
- but $\widetilde{a}_{i_1,h_1} \notin \widetilde{\tau}_{i_2,h_2}$. This can only happen when $\sigma(\widetilde{\tau}_{i_1,h_1}) \subseteq \sigma(\widetilde{c}_{h_2}) \subseteq \sigma(\check{c}_{h_2})$, and $\widetilde{a}_{i_1,h_1} \subseteq \sigma(\widetilde{c}_{h_2})$
- 763 \breve{c}_{h_2} . Also, from the construction of $\mathcal{D}_{\mathcal{L}}^{\dagger}$, we know that $\breve{\tau}_{i_1,h_1} \setminus \widetilde{\tau}_{i_1,h_1} \subseteq \breve{c}_{h_1}$. Therefore, we have
- 764 $\sigma(\breve{\tau}_{i_1,h_1}) \cup \sigma(\widetilde{a}_{i_1,h_1}) \subseteq \sigma(\breve{c}_{h_2}) \subseteq \sigma(\breve{\tau}_{i_2,h_2}).$
- If agent (i_1, h_1) influences (i_2, h_2) in $\mathcal{D}_{\mathcal{L}}$, then from QC of $\mathcal{D}_{\mathcal{L}}$, we know that $\sigma(\widetilde{\tau}_{i_1, h_1}) \subseteq \sigma(\widetilde{c}_{h_2})$,
- then from the construction of $\mathcal{D}_{\mathcal{L}}^{\dagger}$, we have $\widetilde{a}_{i_1,h_1} \in \breve{c}_{h_2}$. Still, we have $\breve{\tau}_{i_1,h_1} \setminus \widetilde{\tau}_{i_1,h_1} \subseteq \breve{c}_{h_1}$.
- 767 Therefore, $\sigma(\breve{\tau}_{i_1,h_1}) \cup \sigma(\widetilde{a}_{i_1,h_1}) \subseteq \sigma(\breve{\tau}_{i_2,h_2})$.
- 768 If $h_1=2t_1,h_2=2t_2$ with $t_1,t_2\in[H]$. If agent (i_1,h_1) does not influence (i_2,h_2) in $\mathcal{D}_{\mathcal{L}}$,
- then it means sharing \widetilde{a}_{i_1,h_1} leads to the influence. Then, $\sigma(\widetilde{\tau}_{i_1,h_1}) \subseteq \sigma(\widetilde{c}_{h_2}) \subseteq \sigma(\widetilde{c}_{h_2})$, and
- 770 $\widetilde{a}_{i_1,h_1} \subseteq \check{c}_{h_2}$. We can conclude $\sigma(\check{\tau}_{i_1,h_1}) \cup \sigma(\widetilde{a}_{i_1,h_1}) \subseteq \sigma(\check{c}_{h_2}) \subseteq \sigma(\check{\tau}_{i_2,h_2})$.
- Now we consider the case that agent (i_1, h_1) influences (i_2, h_2) in $\mathcal{D}_{\mathcal{L}}$. If $i_1 \neq i_2$, then we have
- 772 $\widetilde{\tau}_{i_1,h_1} \subseteq \widetilde{\tau}_{i_2,h_2}$. From Assumption 3.5, and $i_1 \neq i_2$, we know $\widetilde{\tau}_{i_1,h_1} \subseteq \widetilde{c}_{h_2}$. Then, from the
- construction of $\mathcal{D}_{\mathcal{L}}^{\dagger}$, we have $\widetilde{a}_{i_1,h_1} \subseteq \widecheck{c}_{h_2}$. Finally, we have $\sigma(\widecheck{\tau}_{i_1,h_1}) \cup \sigma(\widetilde{a}_{i_1,h_1}) \subseteq \sigma(\widecheck{\tau}_{i_2,h_2})$.
- If $i_1 = i_2$, then from the perfect recall of \mathcal{L} , we know that $\widetilde{\tau}_{i_1,h_1} \cup \widetilde{a}_{i_1,h_1} \subseteq \widetilde{\tau}_{i_2,h_2}$. From
- 775 $\breve{\tau}_{i_1,h_1} \setminus \widetilde{\tau}_{i_1,h_1} \subseteq \breve{c}_{h_1}$, we conclude $\sigma(\breve{\tau}_{i_1,h_1}) \cup \sigma(\widetilde{a}_{i_1,h_1}) \subseteq \sigma(\breve{\tau}_{i_2,h_2})$.
- 776 This completes the proof.
- Theorem C.4. Let $\mathcal{D}_{\mathcal{L}}$ be the QC Dec-POMDP reformulated from a QC LTC \mathcal{L} , and $\mathcal{D}_{\mathcal{L}}^{\dagger}$ be the
- 778 sQC expansion of $\mathcal{D}_{\mathcal{L}}$. Then, for any ε-team-optimal strategy $\check{g}_{1.\check{H}}^*$ of $\mathcal{D}_{\mathcal{L}}^{\dagger}$, there exists a function φ
- such that $\widetilde{g}_{1:\widetilde{H}}^* = \varphi(\widecheck{g}_{1:\widecheck{H}}^*, \mathcal{D}_{\mathcal{L}})$ is an ϵ -team-optimal strategy of $\widetilde{\mathcal{D}_{\mathcal{L}}}$, with $J_{\mathcal{D}_{\mathcal{L}}}(\widetilde{g}_{1:\widetilde{H}}^*) = J_{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\widecheck{g}_{1:\widecheck{H}}^*)$.

780 C.4 Proof of Theorem C.4

- 781 *Proof.* We firstly prove that given any strategy $\check{g}_{1:H}$ and $\widetilde{g}_{1:H} = \varphi(\check{g}_{1:H}, \mathcal{D}_{\mathcal{L}}), \ J_{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\check{g}_{1:H}) =$
- 782 $J_{\mathcal{D}_{\mathcal{L}}}(\widetilde{g}_{1:H})$, where the function φ is shown in Algorithm 3. Since $\mathcal{D}_{\mathcal{L}}^{\dagger}$ only changes what to
- 783 share, $\widetilde{\tau}_h = \widecheck{\tau}_h$ always hold. Then, for any $i \in [n], h \in [\widetilde{H}], \widetilde{\tau}_h \in \widetilde{\mathcal{T}}_h$, let $\widetilde{\tau}_{i,h}, \widecheck{\tau}_{i,h}$ be the
- corresponding information of agent i in $\mathcal{D}_{\mathcal{L}}, \mathcal{D}_{\mathcal{L}}^{\dagger}$, respectively. From Algorithm 3, we know that
- 785 $\widetilde{g}_{i,h}(\widetilde{\tau}_{i,h}) = \widecheck{g}_{i,h}(\widecheck{\tau}_{i,h})$. This is because, for any $\widetilde{a}_{j,t} \in \widecheck{\tau}_{i,h} \setminus \widetilde{\tau}_{i,h}, j \in [n], t < h$, there must holds
- that $\sigma(\tilde{\tau}_{j,t}) \subseteq \sigma(\tilde{c}_{i,h})$. Therefore, we can always recover $\tilde{a}_{j,t}$ from $\tilde{\tau}_{i,h}$ and $\tilde{g}_{i,h}$. As a result, we can

- have $J_{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\breve{g}_{1:H}) = J_{\mathcal{D}_{\mathcal{L}}}(\widetilde{g}_{1:H}).$ 787
- 788
- Since $\mathcal{D}_{\mathcal{L}}^{\widetilde{\dagger}}$ has larger strategy spaces, i.e., $\max_{\widetilde{g}_{1:\widetilde{H}} \in \widetilde{G}_{1:\widetilde{H}}} J_{\mathcal{D}_{\mathcal{L}}}(\widetilde{g}_{1:\widetilde{H}}) \leq \max_{\widetilde{g}_{1:\widetilde{H}} \in \widecheck{G}_{1:\widetilde{H}}} J_{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\widecheck{g}_{1:\widetilde{H}})$. Let $\widecheck{g}_{1:\widetilde{H}}^*$ be the strategy satisfying $J_{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\widecheck{g}_{1:\widetilde{H}}^*) \geq \max_{\widecheck{g}_{1:\widetilde{H}} \in \widecheck{G}_{1:\widetilde{H}}} J_{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\widecheck{g}_{1:\widetilde{H}}) \epsilon$. Then, we have 789
- $J_{\mathcal{D}_{\mathcal{L}}}(\varphi(\check{g}_{1:\check{H}}^*,\mathcal{D}_{\mathcal{L}})) = J_{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\check{g}_{1:\check{H}}^*) \geq \max_{\check{g}_{1:\check{H}} \in \check{G}_{1:\check{H}}} J_{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\check{g}_{1:\check{H}}^*) \epsilon \geq \max_{\check{g}_{1:\widetilde{H}} \in \check{G}_{1:\widetilde{H}}} J_{\mathcal{D}_{\mathcal{L}}}(\check{g}_{1:\check{H}}) \epsilon.$ 790
- Then $\varphi(\check{g}_{1\cdot\check{H}}^*, \mathcal{D}_{\mathcal{L}})$ is an ϵ -team optimal strategy of $\mathcal{D}_{\mathcal{L}}$. 791
- **Theorem C.5.** Let $\mathcal{D}_{\mathcal{L}}^{\dagger}$ be an sQC Dec-POMDP generated from \mathcal{L} after reformulation and strict 792
- 793 expansion, then $\mathcal{D}_{\mathcal{L}}^{\dagger}$ has strategy-independent common-information-based beliefs (Nayyar et al.,
- 2013a; Liu & Zhang, 2023). More formally, for any $h \in [H]$, any two different joint strategies 794
- 795 $\check{g}_{1:h-1}$ and $\check{g}'_{1:h-1}$, and any common information \check{c}_h that can be reached under strategy $\check{g}_{1:h-1}$, for
- any joint private information $\breve{p}_h \in \breve{\mathcal{P}}_h$ and state $\breve{s}_h \in \breve{\mathcal{S}}$, 796

$$\mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\breve{s}_{h}, \breve{p}_{h} \mid \breve{c}_{h}, \breve{g}_{1:h-1}) = \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}^{\dagger}}(\breve{s}_{h}, \breve{p}_{h} \mid \breve{c}_{h}, \breve{g}'_{1:h-1}). \tag{C.2}$$

797 C.5 Proof of Theorem C.5

- *Proof.* To prove that $\mathcal{D}_{\mathcal{L}}^{\dagger}$ has SI-CIB, it is sufficient to prove that for any $h=2,\cdots,\check{H}$, fix 798
- any $h_1 \in [h-1], i_1 \in [n]$, and for any $\breve{g}_{1:h-1} \in \breve{\mathcal{G}}_{1:h-1}, \breve{g}'_{i_1,h_1} \in \breve{\mathcal{G}}_{i_1,h_1}$, let $\breve{g}'_{h_1} := (\breve{g}_{1,h_1},\cdots,\breve{g}_{i_1,h_1},\cdots,\breve{g}_{n,h_1})$ and $\breve{g}'_{1:h-1} := (\breve{g}_1,\cdots,\breve{g}'_{h_1},\cdots,\breve{g}_{h-1})$, the following holds 799
- 800

$$\mathbb{P}(\breve{s}_h, \breve{p}_h \mid \breve{c}_h, \breve{g}_{1:h-1}) = \mathbb{P}(\breve{s}_h, \breve{p}_h \mid \breve{c}_h, \breve{g}'_{1:h-1}). \tag{C.3}$$

- We prove this case-by-case as follows: 801
- 1. If there exists some $i_3 \neq i_1$ such that $\sigma(\breve{\tau}_{i_1,h_1}) \subseteq \sigma(\breve{\tau}_{i_3,h}), \sigma(\breve{a}_{i_1,h_1}) \subseteq \sigma(\breve{\tau}_{i_3,h})$, then from 802
- 803 Assumption 3.5, we know that $\sigma(\check{\tau}_{i_1,h_1}) \subseteq \sigma(\check{c}_h), \sigma(\check{a}_{i_1,h_1}) \subseteq \sigma(\check{c}_h)$. Therefore, there exist
- 804 deterministic functions α_1, α_2 such that $\check{\tau}_{i_1,h_1} = \alpha_1(\check{c}_h), \check{a}_{i_1,h_1} = \alpha_2(\check{c}_h)$, and further it holds
- 805

$$\mathbb{P}(\breve{s}_{h}, \breve{p}_{h} \mid \breve{c}_{h}, \breve{g}_{1:h-1}) = \mathbb{P}(\breve{s}_{h}, \breve{p}_{h} \mid \alpha_{1}(\breve{c}_{h}), \alpha_{2}(\breve{c}_{h}), \breve{c}_{h}, \breve{g}_{1:h-1}) \\
= \mathbb{P}(\breve{s}_{h}, \breve{p}_{h} \mid \breve{\tau}_{i_{1},h_{1}}, \breve{a}_{i_{1},h_{1}}, \breve{c}_{h}, \breve{g}_{1:h-1}) = \mathbb{P}(\breve{s}_{h}, \breve{p}_{h} \mid \breve{\tau}_{i_{1},h_{1}}, \breve{a}_{i_{1},h_{1}}, \breve{c}_{h}, \breve{g}'_{1:h-1}).$$

- The last equality is due to the fact that the input and output of \check{g}_{i_1,h_1} are $\check{\tau}'_{i_1,h_1}$ and \check{a}'_{i_1,h_1} , respec-806 807
- 2. If there does not exist any $i_2 \neq i_1$ such that $\sigma(\breve{\tau}_{i_1,h_1}) \nsubseteq \sigma(\breve{\tau}_{i_2,h})$ or $\sigma(\breve{a}_{i_1,h_1}) \nsubseteq \sigma(\breve{\tau}_{i_2,h})$, then 808
- agent (i_1, h_1) does not influence agent (i_2, h) for any $i_2 \neq i_1$ in $\mathcal{D}_{\mathcal{L}}^{\dagger}$ because $\mathcal{D}_{\mathcal{L}}^{\dagger}$ is sQC, and 809
- $h_1 = 2k_1$ with $k_1 \in [n]$. (If h_1 is odd, then $\breve{\tau}_{i_1,h_1} = \breve{c}_{h_1} \subseteq \breve{c}_h \subseteq \breve{\tau}_{i_2,h}$, and $\breve{a}_{i_1,h_1} = m_{i_1,\frac{h_1+1}{2}} \in$ 810
- $z_{\frac{h_1+1}{2}}^a = \breve{z}_{h_1+1} \subseteq \breve{c}_h$ based on Assumption 2.1(b), which leads to a contradiction.) Now, we 811
- 812 claim that agent (i_1, h_1) does not influence state \check{s}_h , and does not influences $\check{\tau}_{i_1,h}$, and prove this
- case-by-case as below: 813
- (a) If $h = 2k-1, k \in [n]$, then $\breve{p}_h = \emptyset$. If agent (i_1, h_1) influences \breve{s}_h in $\mathcal{D}_{\mathcal{L}}^{\dagger}$, then agent (i_1, h_1) 814
- 815 influences \widetilde{s}_h in $\mathcal{D}_{\mathcal{L}}$ (because strict expansion does not change system dynamics). From
- Assumption 3.4, we know that she also influences $\tilde{o}_{-i_1,h}$. Then there exists $i_3 \neq i_1$ such 816
- 817 that agent (i_1, h_1) influences $\widetilde{o}_{i_3,h}$ in $\mathcal{D}_{\mathcal{L}}$. From Assumption 2.1 (e), it holds $\widetilde{o}_{i_3,h} \in \widetilde{\tau}_{i_3,h+1}$.
- Therefore, agent (i_1, h_1) influences agent $(i_3, h+1)$ in the problem $\mathcal{D}_{\mathcal{L}}$. From Lemma C.2, 818
- we know $\sigma(\tau_{i_1,k_1^-})\subseteq\sigma(\tau_{i_3,k^-})$ in \mathcal{L} . Furthermore, from Assumption 3.5 and $i_3\neq i_1$, 819
- it holds $\sigma(\tau_{i_1,k_1^-})\subseteq \sigma(c_{k^-})$. Also, from the reformulation, it holds $\widetilde{\tau}_{i_1,h_1}=\tau_{i_1,k_1^+}=\tau_{i_1,k_1^+}$ 820
- $\tau_{i_1,k_1^-} \cup z_{k_1}^a$ and $z_{k_1}^a = \widetilde{z}_{h_1} \subseteq \widetilde{c}_h$. Then, we have $\sigma(\widetilde{\tau}_{i_1,h_1}) \subseteq \sigma(\widetilde{c}_h) = \sigma(\widetilde{\tau}_{i_3,h})$. Based 821
- on the strict expansion from $\mathcal{D}_{\mathcal{L}}$ to $\mathcal{D}_{\mathcal{L}}^{\dagger}$, we can get $\check{\tau}_{i_1,h_1} \setminus \widetilde{\tau}_{i_1,h_1} \subseteq \check{c}_{i_1,h_1} \subseteq \check{\tau}_{i_3,h}$, and 822
- 823 $\breve{a}_{i_1,h_1} \in \breve{c}_h$. Then, it holds that $\sigma(\breve{\tau}_{i_1,h_1}) \subseteq \sigma(\breve{\tau}_{i_3,h}), \sigma(\breve{a}_{i_1,h_1}) \subseteq \sigma(\breve{\tau}_{i_3,h})$, which leads
- to contradition of $\sigma(\breve{\tau}_{i_1,h_1}) \nsubseteq \sigma(\breve{\tau}_{i_2,h})$ or $\sigma(\breve{a}_{i_1,h_1}) \nsubseteq \sigma(\breve{\tau}_{i_2,h})$. Hence, we know agent 824
- (i_1, h_1) does not influence state \check{s}_h . Additionally, for any $i_2 \neq i_1$, since agent (i_1, h_1) does 825

- not influences agent (i_2, h) , and $\check{\tau}_{i_1, h} = \check{c}_h = \check{\tau}_{i_2, h}$, then we know that agent (i_1, h_1) does not influence $\check{\tau}_{i_1, h}$.
- 828 (b) If $h = 2k, k \in [n]$. If agent (i_1, h_1) influences \check{s}_{h_1+1} , then from Assumption 3.4, agent 829 (i_1, h_1) influences $oldsymbol{o}_{-i_1, h_1+1}$, and then there exists $i_3 \neq i_1$ such that agent (i_1, h_1) influence 830 \breve{o}_{i_3,h_1+1} . Howver, from Assumption 2.1 (e), we know that $\breve{o}_{i_3,h_1+1} \in \breve{\tau}_{i_3,h}$, which means 831 agent (i_1, h_1) influences agent (i_3, h) and leads to a contradiction. Therefore, we know that 832 agent (i_1, h_1) does not influence \breve{s}_{h_1+1} , and further does not influence \breve{s}_h . Also, from the Assumption 3.3, $\check{a}_{i_1,h_1} \notin \check{\tau}_{i_1,h'}, \forall h' > h_1$, and agent (i_1,h_1) does not influence \check{s}_{h_1+1} . 833 834 This means she does not influence any element in $\check{\tau}_{i_1,h_1+1}$. Therefore, agent (i_1,h_1) does 835 not influence $\breve{\tau}_{i_1,h_1+1}$, and hence does not influence \breve{a}_{i_1,h_1+1} . In the same way, we know that agent (i_1, h_1) does not $\check{\tau}_{i_1,h'}$ and $\check{a}_{i_1,h'}$ for any $h' > h_1$. Finally, we conclude that agent 836 837 (i_1, h_1) does not influence $\breve{\tau}_{i_1,h}$
- Therefore, we know agent (i_1, h_1) does not influence \check{s}_h , and does not influence $\check{\tau}_{i,h}, \forall i \in [n]$.

$$\begin{split} & \mathbb{P}(\breve{s}_{h}, \breve{p}_{h} \,|\: \breve{c}_{h}, \breve{g}_{1:h-1}) = \mathbb{P}(\breve{s}_{h}, \breve{p}_{h}, \breve{c}_{h} \,|\: \breve{c}_{h}, \breve{g}_{1:h-1}) = \mathbb{P}(\breve{s}_{h}, \breve{\tau}_{h} \,|\: \breve{c}_{h}, \breve{g}_{1:h-1}) \\ & = \mathbb{P}(\breve{s}_{h}, \{\breve{\tau}_{i,h}\}_{i \in [n]} \,|\: \breve{c}_{h}, \breve{g}_{1:h-1}) = \mathbb{P}(\breve{s}_{h}, \{\breve{\tau}_{i,h}\}_{i \in [n]} \,|\: \breve{c}_{h}, \breve{g}'_{1:H}) = \mathbb{P}(\breve{s}_{h}, \breve{p}_{h} \,|\: \breve{c}_{h}, \breve{g}'_{1:h-1}). \end{split}$$

This completes the proof.

840

841 C.6 Proof of Theorem 4.2

- 842 *Proof.* Firstly, from the construction of $\mathcal{D}'_{\mathcal{L}}$ and strategy space $\overline{\mathcal{G}}_{1:\overline{H}}$, we know that for any $h \in$
- 843 $[H], i \in [n], \overline{\mathcal{C}}_{2h-1} = \breve{\mathcal{C}}_{2h-1}, \overline{\mathcal{A}}_{i,2h-1} = \breve{\mathcal{A}}_{i,2h-1}, \overline{\mathcal{T}}_{i,2h} = \breve{\mathcal{T}}_{i,2h}, \overline{\mathcal{A}}_{i,2h} = \breve{\mathcal{A}}_{i,2h}$. Therefore,
- 844 $\overline{\mathcal{G}}_{1:\overline{H}} = \mathcal{\breve{G}}_{1:\overline{H}}$, and finding a team optimal strategy of $\mathcal{D}'_{\mathcal{L}}$ in the strategy space $\overline{\mathcal{G}}_{1:\overline{H}}$ is equivalent to
- finding a team-optimum of $\mathcal{D}_{\mathcal{L}}^{\dagger}$ in the strategy space $\breve{\mathcal{G}}_{1:\breve{H}}$.
- 846 Secondly, we will prove that the Dec-POMDP $\mathcal{D}'_{\mathcal{L}}$ satisfies the information evolution rules in the
- 847 theorem. For each $t \in [H]$, we define the random variable $\hat{p}_{i,2t-1} = p_{i,t-1}, \hat{p}_{2t-1} = p_{t-1}$. Recall
- that in the reformulation, $\widetilde{p}_{i,2t-1}=\emptyset$ rather than $p_{i,t-}$. Then, from the 2H-reformulation and
- Assumption 2.1, it holds that, for any $i \in [n], h \in [\overline{H}]$, if h = 2t 1 with $t \in [2:H]$

$$\widetilde{z}_h = \chi_t(\widetilde{p}_{h-1}, \widetilde{a}_{h-1}, \widetilde{o}_h), \qquad \widehat{p}_{i,h} = \xi_{i,t}(\widetilde{p}_{i,h-1}, \widetilde{a}_{i,h-1}, \widetilde{o}_{i,h});$$

850 if h = 2t with $t \in [H]$, then

$$\widetilde{z}_h = \phi_t(\widehat{p}_{h-1}, \widetilde{a}_{h-1}), \qquad \widetilde{p}_{i,h} = \widehat{p}_{i,h-1} \setminus \phi_{i,t}(\widehat{p}_{i,h-1}, \widetilde{a}_{i,h-1}),$$

- where $\chi_t, \xi_{i,t}$ are fixed transformations and $\phi_h, \phi_{i,h}$ are additional-sharing functions. Then, we can construct the $\{\overline{\chi}_{h+1}\}_{h\in[\overline{H}]}, \{\overline{\xi}_{i,h+1}\}_{i\in[n],h\in[\overline{H}]}$ accordingly as follows:
- If h=2t-1 with $t\in [H]$, for any $\overline{p}_{h-1},\overline{a}_{h-1},\overline{o}_h$, since $\overline{p}_{h-1}=\widecheck{p}_{h-1}$ from construction of $\mathcal{D}'_{\mathcal{L}}$, we can select a \widetilde{p}_{h-1} that \widecheck{p}_{h-1} can be generated from \widetilde{p}_{h-1} through expansion (such \widetilde{p}_{h-1} might not be unique). Then, define $\overline{\chi}_h(\overline{p}_{h-1},\overline{a}_{h-1},\overline{o}_h)=\chi_t(\widetilde{p}_{h-1},\overline{a}_{h-1},\overline{o}_h)$
- 856 $\{\overline{a}_{j,h_1} \mid j \in [n], h_1 < h, \overline{a}_{j,h_1} \in \overline{p}_{h-1}, \sigma(\widetilde{\tau}_{j,h_1}) \subseteq \sigma(\widetilde{c}_h)\} \setminus (\widetilde{p}_{h-1} \setminus \overline{p}_{h-1}).$ Since χ_t is a
- fixed transformation and we remove the $\widetilde{p}_{h-1} \setminus \overline{p}_{h-1}$ part from \overline{z}_h , the value $\overline{\chi}_h(\overline{p}_{h-1}, \overline{a}_{h-1}, \overline{o}_h)$ is the same no matter what \widetilde{p}_{h-1} we select, and thus such $\overline{\chi}_h$ is well-defined. Similarly
- is the same no matter what \widetilde{p}_{h-1} we select, and thus such $\overline{\chi}_h$ is well-defined. Similarly, we can define $\overline{\xi}_{i,h}(\overline{p}_{i,h-1},\overline{a}_{i,h-1},\overline{o}_{i,h-1}) = \xi_{i,t}(\widetilde{p}_{i,h-1},\overline{a}_{i,h-1},\overline{o}_{i,h}) \setminus \{\overline{a}_{i,h_1} \mid h_1 < h, \overline{a}_{i,h_1} \in \mathbb{R} \}$
- 860 $\overline{p}_{i,h-1}, \sigma(\widetilde{\tau}_{i,h_1}) \subseteq \sigma(\widetilde{c}_h) \} \setminus (\widetilde{p}_{i,h-1} \setminus \overline{p}_{i,h-1}).$
- If h = 2t with $t \in [H]$, for any \overline{p}_{h-1} , \overline{a}_{h-1} , from the construction of $\mathcal{D}'_{\mathcal{L}}$, we can select a \widehat{p}_{h-1} that \overline{p}_{h-1} can be generated from $\widehat{p}_{h-1} = p_{t-1}$ through expansion (such \widehat{p}_{h-1} might not be unique).
- that \overline{p}_{h-1} can be generated from $\widehat{p}_{h-1} = p_{t-}$ through expansion (such \widehat{p}_{h-1} might not be unique). Also, it holds that $\overline{o}_h = \emptyset$, then define $\overline{\chi}_h(\overline{p}_{h-1}, \overline{a}_{h-1}, \overline{o}_h) = \phi_t(\widehat{p}_{h-1}, \overline{a}_{h-1}) \cup \{\overline{a}_{j,h_1} \mid j \in \mathbb{R}\}$
- 864 $[n], h_1 < h, \overline{a}_{j,h_1} \in \overline{p}_{h-1}, \sigma(\widetilde{\tau}_{j,h_1}) \subseteq \sigma(\widetilde{c}_h) \setminus (\widehat{p}_{h-1} \setminus \overline{p}_{h-1})$. Still, since ϕ_t is the addition-
- sharing function, which part of \hat{p}_{h-1} to share only depends on \overline{a}_{h-1} , and not depends on the
- value of \widehat{p}_{h-1} , and we remove the $\widehat{p}_{h-1} \setminus \overline{p}_{h-1}$ part from \overline{z}_h , the value of $\overline{\chi}_h(\overline{p}_{h-1}, \overline{a}_{h-1}, \overline{o}_h)$

- is the same no matter what \widehat{p}_{h-1} we select, and thus such $\overline{\chi}_h$ is well-defined. Similarly, we 867
- 868 $\text{can define } \xi_{i,h}(\overline{p}_{i,h-1},\overline{a}_{i,h-1},\overline{o}_{i,h-1}) \ = \ \overline{p}_{i,h-1} \setminus \{\overline{a}_{i,h_1} \mid h_1 \ < \ h,\overline{a}_{i,h_1} \ \in \ \overline{p}_{i,h-1},\sigma(\widetilde{\tau}_{i,h_1}) \ \subseteq \ \overline{p}_{i,h-1},\sigma(\widetilde{\tau}_{i,$
- 869 $\sigma(\widetilde{c}_h)\}\setminus\phi_{i,t}(\widehat{p}_{i,h-1},\overline{a}_{i,h-1}).$
- Therefore, the common and private information of $\mathcal{D}'_{\mathcal{L}}$ satisfies that 870

$$\overline{c}_{h+1} = \overline{c}_h \cup \overline{z}_{h+1}, \overline{z}_{h+1} = \overline{\chi}_{h+1}(\overline{p}_h, \overline{a}_h, \overline{o}_{h+1})$$
 for each $i \in [n], \overline{p}_{i,h+1} = \overline{\xi}_{i,h+1}(\overline{p}_{i,h}, \overline{a}_{i,h}, \overline{o}_{i,h+1}),$

- with some functions $\{\overline{\chi}_{h+1}\}_{h\in[\overline{H}]}, \{\overline{\xi}_{i,h+1}\}_{i\in[n],h\in[\overline{H}]}.$ 871
- Thirdly, we prove that such a Dec-POMDP $\mathcal{D}'_{\mathcal{L}}$ is SI with respect to the strategy space $\overline{\mathcal{G}}_{1:\overline{H}}$. This is equivalent to that for any $h \in [2:\overline{H}], \overline{s}_h \in \overline{\mathcal{S}}, \overline{p}_h \in \overline{\mathcal{P}}_h, \overline{c}_h \in \overline{\mathcal{C}}_h, i_1 \in [n], h_1 < h, \overline{g}_{1:h-1}, \overline{g}'_{i_1,h_1} \in \overline{\mathcal{C}}_h$ 872
- $\overline{\mathcal{G}}_{i_1:h_1}$, let $\overline{g}'_{1:h-1}=(\overline{g}_{1,1},\cdots,\overline{g}_{i_1-1,h_1},\overline{g}'_{i_1,h_1},\cdots,\overline{g}_{n,h-1})$, it holds that 874

$$\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}_{1:h-1}) = \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}'_{1:h-1}). \tag{C.4}$$

- We prove this case by case. If h=2t with $t\in[H]$, then from the result of Theorem C.5, it holds 875
- 876 that

$$\begin{split} & \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}_{1:h-1}) = \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}_{1:h-1}) \\ & = \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}'_{1:h-1}) = \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}'_{1:h-1}). \end{split}$$

- If h=2t-1 with $t\in [H]$, and $h_1=2t_1-1$ with $t_1\in [H]$, which means that \overline{a}_{h_1} corresponds 877
- to the communication action in previously \mathcal{L} . Then it holds that $\overline{c}_{h_1} \subseteq \overline{c}_h, \overline{a}_{i_1,h_1} = m_{i_1,\frac{h_1+1}{2}} \in \overline{c}_h$, 878
- 879 then

$$\begin{split} \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}_{1:h-1}) &= \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h_{1}}, \overline{a}_{i_{1},h_{1}}, \overline{c}_{h}, \overline{g}_{1:h-1}) \\ &= \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h_{1}}, \overline{a}_{i_{1},h_{1}}, \overline{c}_{h}, \overline{g}_{1:h-1} \backslash \overline{g}_{i_{1},h_{1}}) = \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}'_{1:h-1}), \end{split}$$

- where the second equality is because the input and output of \overline{g}_{i_1,h_1} are \overline{c}_{h_1} and \overline{a}_{i_1,h_1} . 880
- If h = 2t 1 with $t \in [H]$, and $h_1 = 2t_1$ with $t_1 \in [H]$, which means that h_1 is in the control 881
- timestep, then if agent (i_1, h_1) influences the underlying state \overline{s}_{h_1+1} , then from Assumption 3.4, we 882
- 883 know that there exists $i_2 \neq i_1$ that, agent (i_1, t_1) influences $o_{i_2,t}$, and thus influences agent (i_2,t)
- in problem $\mathcal L$ even there is no additional sharing. From QC of $\mathcal L$ and Assumption 3.5, we know that 884
- $\sigma(\tau_{i_1,t_1^-})\subseteq\sigma(\tau_{i_2,t^-})\subseteq\sigma(c_t). \text{ Also, from } \tau_{i_1,t^-}\setminus\tau_{i_1,t_1^+}\subseteq c_{t^+}, \text{ we get } \sigma(\tau_{i_1,t_1^+})\subseteq\sigma(c_t). \text{ After } \sigma(\tau_{i_1,t_1^+})\subseteq\sigma(c_t).$ 885
- 886 reformulation, we have $\sigma(\widetilde{\tau}_{i_1,h_1}) \subseteq \sigma(\widetilde{c}_h)$. From the definition of strict expansion in Eq. (4.1), we
- have $\overline{a}_{i_1,h_1} \in \overline{c}_h$, and $\sigma(\overline{\tau}_{i_1,h_1}) \subseteq \sigma(\overline{c}_h)$. Then, we conclude 887

$$\begin{split} \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}_{1:h-1}) &= \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{\tau}_{i_{1},h_{1}}, \overline{a}_{i_{1},h_{1}}, \overline{c}_{h}, \overline{g}_{1:h-1}) \\ &= \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{\tau}_{i_{1},h_{1}}, \overline{a}_{i_{1},h_{1}}, \overline{c}_{h}, \overline{g}_{1:h-1} \backslash \overline{g}_{i_{1},h_{1}}) = \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}'_{1:h-1}), \end{split}$$

- where the second equal sign is because the input and output of \overline{g}_{i_1,h_1} are $\overline{\tau}_{i_1,h_1}$ and \overline{a}_{i_1,h_1} . 888
- 889 If agent (i_1, h_1) does not influence the underlying state \overline{s}_{h_1+1} , then from Assumption 3.3, $\overline{a}_{i_1,h_1} \notin$
- 890 $\overline{\tau}_{h_2}$ for any $h_2 > h_1$. Then, agent (i_1, h_1) will not influence \overline{s}_h and \overline{p}_h . Then, it directly holds that

$$\mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}_{1:h-1}) = \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}, \overline{g}'_{1:h-1}),$$

which completes the proof. 891

892 C.7 Important Definitions of SI Dec-POMDP

- 893 Given a Dec-POMDP SI $\mathcal{D}'_{\mathcal{L}}$ obtained from \mathcal{L} after reformulation, strict expansion and refinement.
- 894 In this part, we only need to discuss how to solve this $\mathcal{D}'_{\mathcal{L}}$. Recall that we use $\bar{}$ for the notation of
- 895 the elements and quantities in $\mathcal{D}'_{\mathcal{L}}$.

896

897 First, we define the following quantities.

Definition C.6 (Value function). For each $i \in [n]$ and $h \in [\overline{H}]$, given common information \overline{c}_h and 898 899 strategy $\overline{g}_{1:H}$, the value function conditioned on the common information is defined as:

$$V_{h}^{\overline{g},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_{h}) := \mathbb{E}_{\overline{g}}^{\mathcal{D}'_{\mathcal{L}}}\left[\sum_{h'=h}^{\overline{H}} \overline{\mathcal{R}}_{h'}(\overline{s}_{h'}, \overline{a}_{h'}, \overline{p}_{h'}) \,|\, \overline{c}_{h}\right],\tag{C.5}$$

- where $\overline{\mathcal{R}}_{h'}$ takes $\overline{s}_{h'}, \overline{a}_{h'}, \overline{p}_{h'}$ as input, since after reformulation, the reward may come from com-900
- 901 munication cost, which is a function of $\overline{p}_{h'}$ and $\overline{a}_{h'}$.
- Definition C.7 (Prescription and Q-Value function). Prescription is an important concept in the 902
- common-information-based framework (Nayyar et al., 2013b;a). The prescription of agent i at 903
- the timestep h is defined as $\gamma_{i,h}: \overline{\mathcal{P}}_{i,h} \to \overline{\mathcal{A}}_{i,h}$. We use γ_h to denote the joint prescription and $\Gamma_{i,h}, \Gamma_h$ to denote the prescription space. The prescriptions are the marginalization of strategy \overline{g}_h , 904
- 905
- i.e., $\gamma_{i,h}(\cdot | \overline{p}_{i,h}) = \overline{g}_{i,h}(\cdot | \overline{c}_h, \overline{p}_{i,h})$. Then we can define the Q-value function as 906

$$Q_h^{\overline{g}, \mathcal{D}'_{\mathcal{L}}}(\overline{c}_h, \gamma_h) := \mathbb{E}_{\overline{g}}^{\mathcal{D}'_{\mathcal{L}}} \left[\sum_{h'=h}^{\overline{H}} \overline{\mathcal{R}}_{h'}(\overline{s}'_h, \overline{a}'_h, \overline{p}'_h) \,|\, \overline{c}_h, \gamma_h \right]. \tag{C.6}$$

- **Remark C.8.** In this paper, for any Dec-POMDP $\mathcal{D}'_{\mathcal{L}}$ generated by an \mathcal{L} after reformulation, strict
- expansion, and refinement, we only consider the strategy spaces at odd timesteps as $\overline{\mathcal{G}}_{i,2t-1}$: 908
- $\overline{\mathcal{C}}_{2t-1} o \overline{\mathcal{A}}_{i,2t-1}$ and aim to find the optimal strategy in these classes. Therefore, we define the
- 910 prescription spaces at odd timesteps as $\forall h \in [H], i \in [n], \Gamma_{i,2h-1} = \overline{\mathcal{A}}_{i,2h-1}, \Gamma_{2h-1} = \overline{\mathcal{A}}_{2h-1}$.
- **Definition C.9** (Expected approximate common information model). We define an expected ap-911
- 912 proximate common information model of $\mathcal{D}'_{\mathcal{L}}$ as

$$\mathcal{M} := \left(\{ \widehat{\mathcal{C}}_h \}_{h \in [\overline{H}]}, \{ \widehat{\phi}_h \}_{h \in [\overline{H}]}, \{ \mathbb{P}_h^{\mathcal{M}, z} \}_{h \in [\overline{H}]}, \Gamma, \{ \widehat{\mathcal{R}}_h^{\mathcal{M}} \}_{h \in [\overline{H}]} \right), \tag{C.7}$$

- where Γ is the joint prescription space, $\widehat{\mathcal{C}}_h$ is the space of approximate common information at step h. $\mathbb{P}_h^{\mathcal{M},z}:\widehat{\mathcal{C}}_h\times\Gamma_h\to\Delta(\overline{Z}_{h+1})$ gives the probability of \overline{z}_{h+1} under \widehat{c}_h and γ_h . $\widehat{\mathcal{R}}_h^{\mathcal{M}}:\widehat{\mathcal{R}}_h^{\mathcal{M}}$
- $\widehat{\mathcal{C}}_h imes \Gamma_h o [0,1]$ gives the reward at timestep h given \widehat{c}_h and γ_h . Then, we call that $\mathcal M$ is an
- $(\epsilon_r(\mathcal{M}), \epsilon_z(\mathcal{M}))$ -expected-approximate common information model of $\mathcal{D}'_{\mathcal{L}}$ with some compression
- function Compress_h such that $\widehat{c}_h = \text{Compress}_h(\overline{c}_h)$ satisfies the following: 917
- There exists a transformation function $\widehat{\phi}_h$ such that

$$\widehat{c}_h = \widehat{\phi}_h(\widehat{c}_{h-1}, \overline{z}_h), \tag{C.8}$$

- 919 where $\overline{z}_h = \overline{c}_h \backslash \overline{c}_{h-1}$ in $\mathcal{D}'_{\mathcal{L}}$.
- For any $\overline{g}_{1:h-1}$ and any prescription $\gamma_h \in \Gamma_h$, it holds that

$$\mathbb{E}_{\overline{a}_{1:h-1},\overline{o}_{1:h}\sim\overline{g}_{1:h-1}}^{\mathcal{D}_{\mathcal{L}}'}|\mathbb{E}^{\mathcal{D}_{\mathcal{L}}'}[\overline{\mathcal{R}}_{h}(\overline{s}_{h},\overline{a}_{h},\overline{p}_{h})|\overline{c}_{h},\gamma_{h}] - \widehat{\mathcal{R}}_{h}^{\mathcal{M}}(\widehat{c}_{h},\gamma_{h})| \leq \epsilon_{r}(\mathcal{M}). \tag{C.9}$$

• For any $\overline{g}_{1:h-1}$ and any prescription $\gamma_h \in \Gamma_h$, it holds that 921

$$\mathbb{E}_{\overline{a}_{1:h-1},\overline{o}_{1:h}\sim\overline{g}_{1:h-1}}^{\mathcal{D}_{\mathcal{L}}'}||\mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\cdot\,|\,\overline{c}_{h},\gamma_{h}) - \mathbb{P}_{h}^{\mathcal{M},z}(\cdot\,|\,\widehat{c}_{h},\gamma_{h})||_{1} \le \epsilon_{z}(\mathcal{M}). \tag{C.10}$$

- **Definition C.10** (Value function under \mathcal{M}). Given an Dec-POMDP $\mathcal{D}'_{\mathcal{L}}$ and its expected approxi-922
- mate common information model \mathcal{M} . For any strategy $\overline{g}_{1:\overline{H}} \in \overline{\mathcal{G}}_{1:\overline{H}}$, $h \in [H]$, we define the value 923
- 924 function as

$$V_{h}^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_{h}) = \widehat{\mathcal{R}}_{h}^{\mathcal{M}}(\mathsf{Compress}_{h}(\overline{c}_{h}), \{\overline{g}_{j,h}(\cdot \mid \overline{c}_{h}, \cdot)\}_{j \in [n]}) + \mathbb{E}^{\mathcal{M}}[V_{h}^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_{h+1}) \mid \mathsf{Compress}_{h}(\overline{c}_{h}), \{\overline{g}_{j,h}(\cdot \mid \overline{c}_{h}, \cdot)\}_{j \in [n]}].$$
(C.11)

- **Definition C.11** (Model-belief consistency). We say the expected approximate common information model $\mathcal M$ is *consistent with* some belief $\{\mathbb P_h^{\mathcal M,c}(\overline s_h,\overline p_h\,|\,\widehat c_h)\}_{h\in[H]}$ if it satisfies the following 925
- 926
- for all $i \in [n], h \in [H]$: 927

$$\mathbb{P}_{h}^{\mathcal{M},z}(\overline{z}_{h+1} \mid \widehat{c}_{h}, \gamma_{h}) = \sum_{\substack{\overline{s}_{h}, \overline{p}_{h}, \overline{a}_{h}, \overline{o}_{h+1}:\\ \chi_{h+1}(\overline{p}_{h}, \overline{a}_{h}, \overline{o}_{h+1}) = \overline{z}_{h+1}}$$

$$\left(\mathbb{P}_{h}^{\mathcal{M},c}(\overline{s}_{h}, \overline{p}_{h} \mid \widehat{c}_{h})\mathbb{1}[\overline{a}_{h} = \gamma_{h}(\overline{p}_{h})] \sum_{s_{h+1}} \overline{\mathbb{T}}_{h}(\overline{s}_{h+1} \mid \overline{s}_{h}, \overline{a}_{h})] \overline{\mathbb{O}}_{h+1}(\overline{o}_{h+1} \mid \overline{s}_{h+1})\right), \qquad (C.13)$$

$$\widehat{\mathcal{R}}_{h}^{\mathcal{M}}(\widehat{c}_{h}, \gamma_{h}) = \sum_{\overline{s}_{h}, \overline{p}_{h}, \overline{a}_{h}} \mathbb{P}_{h}^{\mathcal{M},c}(\overline{s}_{h}, \overline{p}_{h} \mid \widehat{c}_{h})\mathbb{1}[\overline{a}_{h} = \gamma_{h}(\overline{p}_{h})] \overline{\mathcal{R}}_{h}(\overline{s}_{h}, \overline{a}_{h}). \qquad (C.14)$$

$$\left(\mathbb{P}_{h}^{\mathcal{M},c}(\overline{s}_{h},\overline{p}_{h}\,|\,\widehat{c}_{h})\mathbb{1}[\overline{a}_{h}=\gamma_{h}(\overline{p}_{h})]\sum_{s_{h+1}}\overline{\mathbb{T}}_{h}(\overline{s}_{h+1}\,|\,\overline{s}_{h},\overline{a}_{h})]\overline{\mathbb{O}}_{h+1}(\overline{o}_{h+1}\,|\,\overline{s}_{h+1})\right),\tag{C.13}$$

$$\widehat{\mathcal{R}}_{h}^{\mathcal{M}}(\widehat{c}_{h}, \gamma_{h}) = \sum_{\overline{s}_{h}, \overline{p}_{h}, \overline{a}_{h}} \mathbb{P}_{h}^{\mathcal{M}, c}(\overline{s}_{h}, \overline{p}_{h} \mid \widehat{c}_{h}) \mathbb{1}[\overline{a}_{h} = \gamma_{h}(\overline{p}_{h})] \overline{\mathcal{R}}_{h}(\overline{s}_{h}, \overline{a}_{h}). \tag{C.14}$$

- **Definition C.12** (Strategy-dependent approximate common information model). Given a model $\widetilde{\mathcal{M}}$ 928
- (as in Definition C.9) and H joint strategies $g^{1:H}$, where each $g^h \in \overline{\mathcal{G}}_{1:H}$ for $h \in [H]$, we say $\widetilde{\mathcal{M}}$ 929
- is a strategy-dependent expected approximate common information model, denoted as $\widetilde{\mathcal{M}}(\pi^{1:H})$, if 930
- it is consistent with the *strategy-dependent* belief $\{\mathbb{P}_h^{\pi^h,\mathcal{D}'_{\mathcal{L}}}(s_h,p_h\,|\,\widehat{c}_h)\}_{h\in[H]}$ (as per C.11). we say 931
- $\widetilde{\mathcal{M}}$ is a strategy-dependent expected approximate common information model, denoted as $\widetilde{\mathcal{M}}(g^{1:H})$, 932
- if it is consistent with the *strategy-dependent* belief $\{\mathbb{P}_{h}^{g^{h},\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h},\overline{p}_{h}\mid\widehat{c}_{h})\}_{h\in[H]}$ (as per C.11). 933
- Definition C.13 (Length of approximate common information). Given the compression func-934
- tions $\{\operatorname{Compress}_h\}_{h\in[H+1]}$, we define the integer $\widehat{L}>0$ as the minimum length such that 935
- there exists a mapping $\widehat{f}_h: \overline{\mathcal{A}}_{\max\{1,h-\widehat{L}\}:h-1} \times \overline{\mathcal{O}}_{\max\{1,h-\widehat{L}+1\},h} \to \widehat{\mathcal{C}}_h$ such that for each $h \in [H+1]$ and joint history $\{\overline{o}_{1:h},\overline{a}_{1:h-1}\}$, we have $\widehat{f}_h(x_h) = \widehat{c}_h$, where $x_h = \widehat{c}_h$ 936
- 937
- $\{\overline{a}_{\max\{h-\widehat{L},1\}},\overline{o}_{\max\{h-\widehat{L},1\}+1},\cdots,\overline{a}_{h-1},\overline{o}_h\}.$ 938

939 C.8 Main Results for Planning in QC LTC

- 940 Finally, we provide the formal guarantees for planning in QC LTC.
- **Theorem C.14.** Given any QC LTC problem \mathcal{L} satisfying Assumptions 3.1, 3.2, 3.3, 3.4, and 4.3, 941
- 942 we can construct an SI Dec-POMDP problem $\mathcal{D}'_{\mathcal{L}}$ such that for any $\epsilon > 0$, solving an ϵ -team op-
- timal strategy in $\mathcal{D}'_{\mathcal{L}}$ can give us an ϵ -team optimal strategy of \mathcal{L} , and the following holds. Fix $\epsilon_r, \epsilon_z > 0$ and given any (ϵ_r, ϵ_z) -expected-approximate common information model \mathcal{M} for $\mathcal{D}'_{\mathcal{L}}$ that is consistent with some given approximate belief $\{\mathbb{P}_h^{\mathcal{M},c}(\overline{s}_h, \overline{p}_h \mid \widehat{c}_h)\}_{h \in [\overline{H}]}$, Algorithm 1 can com-943
- 944
- 945
- pute a $(2\overline{H}\epsilon_r + \overline{H}^2\epsilon_z)$ -team optimal strategy for the original LTC problem $\mathcal L$ with time complexity 946
- $\max_{h\in[\overline{H}]} |\widehat{\mathcal{C}}_h| \cdot \text{poly}(|\mathcal{S}|, |\mathcal{A}_h|, |\mathcal{P}_h|, \overline{H})$. In particular, for fixed $\epsilon > 0$, if \mathcal{L} has any one of base-947
- line sharing protocols as in §A, one can construct a \mathcal{M} and apply Algorithm 1 to compute an ϵ -team 948
- optimal strategy for \mathcal{L} in quasi-polynomial time. 949
- 950 *Proof.* We divide the proof into the following three **Parts**.
- 952 **Part I:** Given any QC LTC problem \mathcal{L} satisfying Assumptions 3.1, 3.2, 3.3, and 3.4, we can
- 953 construct an SI Dec-POMDP problem $\mathcal{D}'_{\mathcal{L}}$ such that finding an ϵ -team optimal strategy can give us
- an ϵ -team optimal strategy of \mathcal{L} , as shown in Algorithm 1. 954
- 955
- We can construct a Dec-POMDP $\mathcal{D}'_{\mathcal{L}}$ from \mathcal{L} through Algorithm 1. From Proposition C.1 and Theorems C.4, C.5. We know that $\mathcal{D}'_{\mathcal{L}}$ is SI and an ϵ -team-optimal strategy of $\mathcal{D}'_{\mathcal{L}}$ can give us an 956
- 957 ϵ -team optimal strategy of \mathcal{L} .

951

- 959 **Part II:** Given any ϵ -expected-approximate common information model \mathcal{M} of the Dec-POMDP
- $\mathcal{D}'_{\mathcal{L}}$, there exists an algorithm, Algorithm 6, that can output an ϵ -team optimal strategy of $\mathcal{D}'_{\mathcal{L}}$.
- First, we need to prove that solving \mathcal{M} can get the ϵ -team optimal strategy of $\mathcal{D}'_{\mathcal{L}}$. We prove the 961
- 962 following 2 lemmas first.

Lemma C.15. For any strategy $\overline{g}_{1:\overline{H}}$, and $h \in [\overline{H}]$, we have 963

$$\mathbb{E}_{\overline{g}_{1:\overline{H}}}^{\mathcal{D}'_{\mathcal{L}}}[|V_{h}^{\overline{g}_{1:\overline{H}},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_{h}) - V_{h}^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_{h})|] \leq (\overline{H} - h + 1)\epsilon_{r} + \frac{(\overline{H} - h + 1)(\overline{H} - h)}{2}\epsilon_{z}. \quad (C.15)$$

- $\textit{Proof.} \ \ \text{We prove} \ \underline{\underline{\text{it}}} \ \text{by induction. For} \ h = \overline{H} + 1, \ \text{we have} \ V_h^{\overline{g}_{1:\overline{H}},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_h) = V_h^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_h) = 0.$
- For the step $h \leq \overline{H}$, we have 965

$$\begin{split} & \mathbb{E}^{\mathcal{D}'_{\mathcal{Z}}}_{\overline{g}_{1:\overline{H}}}[|V_h^{\overline{g}_{1:\overline{H}},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_h) - V_h^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_h)|] \\ \leq & \mathbb{E}^{\mathcal{D}'_{\mathcal{L}}}_{\overline{g}_{1:\overline{H}}}\left[|\mathbb{E}^{\mathcal{D}_{\mathcal{L}}}[\overline{\mathcal{R}}_h(\overline{s}_h,\overline{a}_h,\overline{p}_h) \,|\, \overline{c}_h, \{\overline{g}_{j,h}(\cdot \,|\, \overline{c}_h,\cdot)\}_{j\in[n]}] - \widehat{\mathcal{R}}_h^{\mathcal{M}}(\widehat{c}_h, \{\overline{g}_{j,h}(\cdot \,|\, \overline{c}_h,\cdot)\}_{j\in[n]})|\right] \\ & + \mathbb{E}^{\mathcal{D}'_{\mathcal{L}}}_{\overline{g}_{1:\overline{H}}}\left[|\mathbb{E}_{\overline{z}_{h+1} \sim \mathbb{P}_h^{\mathcal{D}'_{\mathcal{L}}}(\cdot \,|\, \overline{c}_h, \{\overline{g}_{j,h}(\cdot \,|\, \overline{c}_h,\cdot)\}_{j\in[n]})}[V_h^{\overline{g}_{1:\overline{H}},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_h \cup \overline{z}_{h+1})] \\ & - \mathbb{E}_{\overline{z}_{h+1} \sim \mathbb{P}_h^{\mathcal{M},z}(\cdot \,|\, \widehat{c}_h, \{\overline{g}_{j,h}(\cdot \,|\, \overline{c}_h,\cdot)\}_{j\in[n]})}[V_h^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_h \cup \overline{z}_{h+1})]|\right] \\ \leq & \epsilon_r + (\overline{H} - h)\mathbb{E}^{\mathcal{D}'_{\mathcal{L}}}_{\overline{a}_{1:h-1},\overline{o}_{1:h} \sim \overline{g}_{1:h-1}}||\mathbb{P}^{\mathcal{D}'_{\mathcal{L}}}_h(\cdot \,|\, \overline{c}_h,\gamma_h) - \mathbb{P}^{\mathcal{M},z}_h(\cdot \,|\, \widehat{c}_h,\gamma_h)||_1 \\ & + \mathbb{E}^{\mathcal{D}'_{\mathcal{L}}}_{\overline{a}_{1:h-1},\overline{o}_{1:h} \sim \overline{g}_{1:h-1}}\left[|V_{h+1}^{\overline{g}_{1:\overline{H}},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_{h+1}) - V_{h+1}^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_{h+1})|\right] \\ \leq & \epsilon_r + (\overline{H} - h)\epsilon_z + (\overline{H} - h)\epsilon_r + \frac{(\overline{H} - h)(\overline{H} - h - 1)}{2}\epsilon_z \\ \leq & (\overline{H} - h + 1)\epsilon_r + \frac{(\overline{H} - h)(\overline{H} - h + 1)}{2}\epsilon_z. \end{split}$$

- The proof mainly follows from the proof of Lemma 2 in (Liu & Zhang, 2023). But the dif-
- ference is that $\mathcal{D}'_{\mathcal{L}}$ may not satisfy Assumption 2.1. In the third line of this proof, we had
- $\overline{z}_{h+1} \sim \mathbb{P}_h^{\mathcal{D}'_{\mathcal{L}}}(\cdot | \overline{c}_h, \{\overline{g}_{j,h}(\cdot | \overline{c}_h, \cdot)\}_{j \in [n]})$, where \overline{z}_{h+1} is generated as

$$\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{z}_{h+1} \mid \overline{c}_{h}, \gamma_{h}) = \sum_{\overline{s}_{h} \in \overline{\mathcal{S}}, \overline{p}_{h} \in \overline{\mathcal{P}}_{h}} \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}) \\
\sum_{\overline{s}_{h+1} \in \overline{\mathcal{S}}, \overline{o}_{h+1} \in \overline{\mathcal{O}}_{h+1}} \overline{\mathbb{T}}_{h+1}(\overline{s}_{h+1} \mid \overline{s}_{h}, \gamma_{h}(\overline{p}_{h})) \overline{\mathbb{O}}_{h+1}(\overline{o}_{h+1} \mid \overline{s}_{h+1}) \mathbb{1}[\overline{\chi}_{h+1}(\overline{p}_{h}, \gamma_{h}(\overline{p}_{h}), \overline{o}_{h+1})],$$

969 with
$$\gamma_h = \{\overline{g}_{i,h}(\cdot | \overline{c}_h, \cdot)\}_{j \in [n]}$$
.

Lemma C.16. Let $\widehat{g}_{1:\overline{H}}^*$ be the strategy output by Algorithm 6, then for any $h \in [\overline{H}], \overline{c}_h \in$

 $\overline{\mathcal{C}}_h,\overline{g}_{1:\overline{H}}\in\overline{\mathcal{G}}_{1:\overline{H}},$ it holds that

$$V_h^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_h) \le V_h^{\widehat{g}_{1:\overline{H}}^*,\mathcal{M}}(\overline{c}_h). \tag{C.16}$$

- *Proof.* We prove it by induction. For $h = \overline{H} + 1$, we have $V_h^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_h) = V_h^{\widehat{g}_{1:\overline{H}}^*,\mathcal{M}}(\overline{c}_h) = 0$.
- For the timestep $h \leq H$, we have

$$\begin{split} V_{h}^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_{h}) &= \mathbb{E}^{\mathcal{M}}[\widehat{r}_{h}^{\mathcal{M}}(\widehat{c}_{h}) + V_{h+1}^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_{h+1}) \, | \, \widehat{c}_{h}, \overline{g}_{1:\overline{H}}] \\ &\leq \mathbb{E}^{\mathcal{M}}[\widehat{r}_{h}^{\mathcal{M}}(\widehat{c}_{h}) + V_{h+1}^{\widehat{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_{h+1}) \, | \, \widehat{c}_{h}, \overline{g}_{1:\overline{H}}] \\ &= Q_{h}^{\widehat{g}_{1:\overline{H},\mathcal{M}}}(\overline{c}_{h}, \{\overline{g}_{j,h}(\cdot \, | \, \overline{c}_{h})\}_{j \in [n]}) \\ &\leq Q_{h}^{\widehat{g}_{1:\overline{H},\mathcal{M}}}(\overline{c}_{h}, \{\overline{g}_{j,h}(\cdot \, | \, \overline{c}_{h})\}_{j \in [n]}) \\ &= V_{h}^{\widehat{g}_{1:\overline{H}}^{*},\mathcal{M}}(\overline{c}_{h}). \end{split}$$

- For the first inequality, we use the induction hypothesis. For the second inequality sign, we use the property of argmax in algorithm and $V_h^{\widehat{g}_{1:\overline{H}}^*,\mathcal{M}}(\overline{c}_h) = V_h^{\widehat{g}_{1:\overline{H}}^*,\mathcal{M}}(\widehat{c}_h)$. By induction, we complete the
- 975
- 976 proof.

- We now go back to the proof of the theorem. Let $\hat{g}_{1:\overline{H}}^*$ be the solution output by Algorithm 6, then
- 978 for any $\overline{g}_{1} \cdot \overline{H} \in \overline{\mathcal{G}}_{1} \cdot \overline{H}$, $h \in [\overline{H}]$, $\overline{c}_h \in \overline{\mathcal{C}}_h$, we have

$$\begin{split} &\mathbb{E}_{\overline{g}_{1:\overline{H}}}^{\mathcal{D}'_{\mathcal{L}}} \left[V_{h}^{\overline{g}_{1:\overline{H}},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_{h}) - V_{h}^{\widehat{g}_{1:\overline{H}}^{*},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_{h}) \right] \\ &= \mathbb{E}_{\overline{g}_{1:\overline{H}}}^{\mathcal{D}'_{\mathcal{L}}} \left[\left(V_{h}^{\overline{g}_{1:\overline{H}},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_{h}) - V_{h}^{\widehat{g}_{1:\overline{H}}^{*},\mathcal{M}}(\overline{c}_{h}) \right) + \left(V_{h}^{\widehat{g}_{1:\overline{H}}^{*},\mathcal{M}}(\overline{c}_{h}) - V_{h}^{\widehat{g}_{1:\overline{H}}^{*},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_{h}) \right) \right] \\ &\leq \mathbb{E}_{\overline{g}_{1:\overline{H}}}^{\mathcal{D}'_{\mathcal{L}}} \left[\left(V_{h}^{\overline{g}_{1:\overline{H}},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_{h}) - V_{h}^{\overline{g}_{1:\overline{H}},\mathcal{M}}(\overline{c}_{h}) \right) + \left(V_{h}^{\widehat{g}_{1:\overline{H}}^{*},\mathcal{M}}(\overline{c}_{h}) - V_{h}^{\widehat{g}_{1:\overline{H}}^{*},\mathcal{D}'_{\mathcal{L}}}(\overline{c}_{h}) \right) \right] \\ &\leq (\overline{H} - h + 1)\epsilon_{r} + \frac{(\overline{H} - h)(\overline{H} - h + 1)}{2}\epsilon_{z} + (\overline{H} - h + 1)\epsilon_{r} + \frac{(\overline{H} - h)(\overline{H} - h + 1)}{2}\epsilon_{z} \\ &= 2(\overline{H} - h + 1)\epsilon_{r} + (\overline{H} - h)(\overline{H} - h + 1)\epsilon_{z}. \end{split}$$

- (C.17)
- 979 For the first inequality, we use Lemma C.16. For the second inequality sign, we use Lemma C.15.
- Then apply h=1, we have $J_{\mathcal{D}'_{\mathcal{L}}}(\overline{g}_{1:\overline{H}}) \leq J_{\mathcal{D}'_{\mathcal{L}}}(\widehat{g}_{1:\overline{H}}^*) + 2\overline{H}\epsilon_r + \overline{H}^2\epsilon_z$. This completes the proof of
- 981 **Part II**.
- 982
- 983 **Part III:** If the baseline sharing of \mathcal{L} is one of the 4 cases in §A, we can construct an expected-
- 984 approximate common information model of $\mathcal{D}'_{\mathcal{L}}$.
- 3kkk We first prove following lemmas: We aim to bound (ϵ_r, ϵ_z) using the following lemma.
- 986 **Lemma C.17.** Given any belief $\{\mathbb{P}_h^{\mathcal{M},c}(\overline{s}_h,\overline{p}_h)\}_{h\in[\overline{H}]}$ consistent with the expected-approximate-
- ommon-information model \mathcal{M} , it holds that for any $h \in [\overline{H}], \overline{\mathcal{C}}_h, \gamma_h \in \Gamma_h$:

$$\begin{aligned} ||\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\cdot | \, \overline{c}_{h}, \gamma_{h}) - \mathbb{P}_{h}^{\mathcal{M}, z}(\cdot | \, \widehat{c}_{h}, \gamma_{h})||_{1} &\leq ||\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\cdot, \cdot | \, \overline{c}_{h}) - \mathbb{P}_{h}^{\mathcal{M}, c}(\cdot, \cdot | \, \widehat{c}_{h})||_{1}, \\ |\mathbb{E}^{\mathcal{D}'_{\mathcal{L}}}[\mathcal{R}_{h}(\overline{s}_{h}, \overline{a}_{h}, \overline{p}_{h}) | \, \overline{c}_{h}, \gamma_{h}] - \widehat{\mathcal{R}}_{h}^{\mathcal{M}}(\widehat{c}_{h}, \gamma_{h})| &\leq ||\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\cdot, \cdot | \, \overline{c}_{h}) - \mathbb{P}_{h}^{\mathcal{M}, c}(\cdot, \cdot | \, \widehat{c}_{h})||_{1}, \end{aligned}$$

- 988 where $\hat{c}_h = \text{Compress}_h(\bar{c}_h)$.
- 989 Proof. Adapted from Lemma 3 in (Liu & Zhang, 2023) by changing the reward function of
- 990 $r_{i,h}(s_h, a_h)$ to $\mathcal{R}_h(\overline{s}_h, \overline{q}_h, \overline{p}_h)$. Note that the latter can still be evaluated given the common-
- 991 information-based belief, $\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h})$.
- 992 Then we define the belief states following the notation in (Golowich et al., 2023; Liu & Zhang,
- 993 2023) as $\bar{b}_1(\emptyset) = \mu_1$, $\bar{b}_h(\bar{a}_{1:h-1}, \bar{o}_{1:h}) = \mathbb{P}(\bar{s}_h = \cdot | \bar{o}_{1:h}, \bar{a}_{1:h-1}), \bar{b}_h(\bar{a}_{1:h-1}, \bar{o}_{1:h-1}) = \mathbb{P}(\bar{s}_h = \cdot | \bar{o}_{1:h}, \bar{a}_{1:h-1})$
- 994 $|\bar{o}_{1:h-1}, \bar{a}_{1:h-1})$, where $\bar{b} \in \Delta(S)$. Also, we define the approximate belief state using the most
- 995 recent L-step history, that

$$\overline{\boldsymbol{b}}_h'(\overline{a}_{h-L:h-1}, \overline{o}_{h-L+1:h-1}) = \mathbb{P}(\overline{s}_h = \cdot \mid \overline{s}_{h-L} \sim \text{Unif}(\mathcal{S}), \overline{a}_{h-L:h-1}, \overline{o}_{h-L+1:h})$$

$$\overline{\boldsymbol{b}}_h'(\overline{a}_{h-L:h-1}, \overline{o}_{h-L+1:h-1}) = \mathbb{P}(\overline{s}_h = \cdot \mid \overline{s}_{h-L} \sim \text{Unif}(\mathcal{S}), \overline{a}_{h-L:h-1}, \overline{o}_{h-L+1:h}).$$

- Also, for any set $N \subseteq [n]$, we define $\overline{a}_{N,h} = {\overline{a}_{i,h}}_{i \in N}$, and the same for $\overline{o}_{N,h}$. We can also define
- 997 the belief of states given historical observations and actions as follows: for any $N \subseteq [n]$,

$$\begin{split} \overline{\boldsymbol{b}}_h(\overline{a}_{1:h-1},\overline{o}_{1:h-1},\overline{o}_{N,h}) &= \mathbb{P}(\overline{s}_h = \cdot \mid \overline{a}_{1:h-1},\overline{o}_{1:h-1},\overline{o}_{N,h}) \\ \overline{\boldsymbol{b}}_h'(\overline{a}_{h-L:h-1},\overline{o}_{h-L+1:h-1},\overline{o}_{N,h}) &= \mathbb{P}_h(\overline{s}_h = \cdot \mid \overline{s}_{h-L} \sim \mathrm{Unif}(\mathcal{S}),\overline{a}_{h-L:h-1},\overline{o}_{h-L+1:h-1},\overline{o}_{N,h}). \end{split}$$

- 998 Then, we have the following lemma.
- 999 **Lemma C.18.** There is a constant $C \ge 1$ such that the following holds. Given any LTC problem \mathcal{L}
- satisfying Assumption 3.1, and let $\mathcal{D}'_{\mathcal{L}}$ be the Dec-POMDP after reformulation, strict expansion and
- refinement. Let $\epsilon \geq 0$, fix a strategy $\overline{g}_{1:\overline{H}}$ and indices $1 \leq h-L < h-1 \leq \overline{H}$. If $L \geq C\gamma^{-4}\log(\frac{S}{\epsilon})$,

1002 then the following set of inequalities hold

$$\mathbb{E}_{\overline{a}_{1:h-1},\overline{o}_{1:h}\sim\overline{g}_{1:\overline{H}}}||\overline{b}_{h}(\overline{a}_{1:h-1},\overline{o}_{1:h}) - \overline{b}'_{h}(\overline{a}_{h-L:h-1},\overline{o}_{h-L+1:h})||_{1} \le \epsilon \quad (C.18)$$

$$\mathbb{E}_{\overline{a}_{1:h-1},\overline{o}_{1:h}\sim\overline{g}_{1:\overline{H}}}||\overline{b}_{h}(\overline{a}_{1:h-1},\overline{o}_{1:h-1}) - \overline{b}'_{h}(\overline{a}_{h-L:h-1},\overline{o}_{h-L+1:h-1})||_{1} \leq \epsilon \quad (C.19)$$

$$\mathbb{E}_{\overline{a}_{1:h-1},\overline{o}_{1:h} \sim \overline{g}_{1},\overline{H}} || \overline{b}_{h}(\overline{a}_{1:h-1},\overline{o}_{1:h-1},\overline{o}_{N,h}) - \overline{b}'_{h}(\overline{a}_{h-L:h-1},\overline{o}_{h-L+1:h-1},\overline{o}_{N,h}) ||_{1} \le \epsilon. \quad (C.20)$$

- 1003 *Proof.* Given any LTC problem \mathcal{L} , we can construct a Dec-POMDP \mathcal{D} that the transition and obser-
- vation functions of $\check{\mathcal{D}}$ are the same as \mathcal{L} . And the information of $\check{\mathcal{D}}$ is fully sharing, which means it
- shares all the $o_{1:h-1}$, $a_{1:h}$ as common information at timestep h. Since $\mathcal{D}'_{\mathcal{L}}$ is reformulated from \mathcal{L} ,
- 1006 we have

$$\begin{split} \overline{\boldsymbol{b}}_h(\overline{a}_{1:h-1},\overline{o}_{1:h}) &= \boldsymbol{b}_{\left\lfloor\frac{h+1}{2}\right\rfloor}(a_{1:\left\lfloor\frac{h-1}{2}\right\rfloor},o_{1:\left\lfloor\frac{h+1}{2}\right\rfloor}) = \widecheck{\boldsymbol{b}}_{\left\lfloor\frac{h+1}{2}\right\rfloor}(\widecheck{a}_{1:\left\lfloor\frac{h-1}{2}\right\rfloor},\widecheck{o}_{1:\left\lfloor\frac{h+1}{2}\right\rfloor}) \\ \overline{\boldsymbol{b}}_h(\overline{a}_{1:h-1},\overline{o}_{1:h-1}) &= \boldsymbol{b}_{\left\lfloor\frac{h+1}{2}\right\rfloor}(a_{1:\left\lfloor\frac{h-1}{2}\right\rfloor},o_{1:\left\lfloor\frac{h}{2}\right\rfloor}) = \widecheck{\boldsymbol{b}}_{\left\lfloor\frac{h+1}{2}\right\rfloor}(\widecheck{a}_{1:\left\lfloor\frac{h-1}{2}\right\rfloor},\widecheck{o}_{1:\left\lfloor\frac{h}{2}\right\rfloor}). \end{split}$$

1007 And for the approximate belief state, we have

$$\begin{split} & \overline{b}'_{h+1}(\overline{a}_{h-L:h}, \overline{o}_{h-L+1:h}) = \boldsymbol{b}'_{\lfloor \frac{h+2}{2} \rfloor}(a_{\lfloor \frac{h-L}{2} \rfloor : \lfloor \frac{h}{2} \rfloor}, o_{\lfloor \frac{h-L+2}{2} \rfloor : \lfloor \frac{h+1}{2} \rfloor}) \\ & = \widecheck{\boldsymbol{b}}'_{\lfloor \frac{h+2}{2} \rfloor}(\widecheck{a}_{\lfloor \frac{h-L}{2} \rfloor : \lfloor \frac{h}{2} \rfloor}, \widecheck{o}_{\lfloor \frac{h-L+2}{2} \rfloor : \lfloor \frac{h+1}{2} \rfloor}) \\ & \overline{b}'_{h}(\overline{a}_{h-L:h-1}, \overline{o}_{h-L+1:h}) \\ & = \boldsymbol{b}'_{\lfloor \frac{h+1}{2} \rfloor}(a_{\lfloor \frac{h-L}{2} \rfloor : \lfloor \frac{h-1}{2} \rfloor}, o_{\lfloor \frac{h-L+2}{2} \rfloor : \lfloor \frac{h+1}{2} \rfloor}) = \widecheck{\boldsymbol{b}}'_{\lfloor \frac{h+1}{2} \rfloor}(\widecheck{a}_{\lfloor \frac{h-L}{2} \rfloor : \lfloor \frac{h-1}{2} \rfloor}, \widecheck{o}_{\lfloor \frac{h-L+2}{2} \rfloor : \lfloor \frac{h}{2} \rfloor}). \end{split}$$

- 1008 Also, since for any $t \in [H], \overline{a}_{2t-1}$ are communication actions, $\overline{o}_{2t} = \emptyset$ is null, and $\overline{s}_{2t-1} = \overline{s}_{2t}$
- always holds. Then we can write Eq. (C.18) and Eq. (C.19) as

$$\mathbb{E}_{\{\overline{a}_{2t}\}_{t=1}^{\lfloor \frac{h-1}{2} \rfloor}, \{\overline{o}_{2t-1}\}_{t=1}^{\lfloor \frac{h+1}{2} \rfloor} \sim \overline{g}_{1}, \overline{H}} || \overline{b}_{h}(\overline{a}_{1:h-1}, \overline{o}_{1:h}) - \overline{b}'_{h}(\overline{a}_{h-L:h-1}, \overline{o}_{h-L+1:h}) ||_{1} \le \epsilon \quad (C.21)$$

$$\mathbb{E}_{\{\overline{a}_{2t}\}_{t=1}^{\lfloor \frac{h-1}{2} \rfloor}, \{\overline{o}_{2t-1}\}_{t=1}^{\lfloor \frac{h+1}{2} \rfloor} \sim \overline{g}_{1:\overline{H}}} ||\overline{\boldsymbol{b}}_{h}(\overline{a}_{1:h-1}, \overline{o}_{1:h-1}) - \overline{\boldsymbol{b}}'_{h}(\overline{a}_{h-L:h-1}, \overline{o}_{h-L+1:h-1})||_{1} \leq \epsilon. \quad (C.22)$$

- 1010 Since $\check{\mathcal{D}}$ has a fully-sharing IS, for any $i \in [n], h \in [\overline{H}]$ and information $\overline{\tau}_{i,h}, \overline{\tau}_{i,2h}$, we have
- 1011 $\sigma(\overline{\tau}_{i,h}) \subseteq \sigma(\check{\tau}_{i,\lfloor\frac{h+1}{2}\rfloor})$. Therefore, given any strategy $\overline{g}_{1:\overline{H}}$, we can construct a strategy $\check{g}_{1:H}$ such
- 1012 that, for any $\overline{a}_{1:h-1}$, $\overline{o}_{1:h}$

$$\mathbb{P}(\{\overline{a}_{2t}\}_{t=1}^{\lfloor\frac{h-1}{2}\rfloor},\{\overline{o}_{2t-1}\}_{t=1}^{\lfloor\frac{h+1}{2}\rfloor}\,|\,\overline{g}_{1:\overline{H}})=\mathbb{P}(\check{a}_{1:\lfloor\frac{h-1}{2}\rfloor},\check{o}_{1:\lfloor\frac{h+1}{2}\rfloor}\,|\,\check{g}_{1:H}).$$

- 1013 Since $\check{\mathcal{D}}$ satisfies Assumption 3.1, we can apply the Theorem 10 in (Liu & Zhang, 2023) with $\check{g}_{1:H}$
- 1014 to get the result that there is a constant $C_0 \ge 1$ such that if $L' \ge C_0 \gamma^{-4} \log(\frac{S}{\epsilon})$, the following holds

$$\mathbb{E}_{\check{a}_{1:|\frac{h-1}{2}|},\check{\delta}_{1:|\frac{h+1}{2}|} \sim \check{g}_{1:H}} \tag{C.23}$$

$$||\check{\boldsymbol{b}}_{\lfloor\frac{h+1}{2}\rfloor}(\check{a}_{1:\lfloor\frac{h-1}{2}\rfloor},\check{o}_{1:\lfloor\frac{h+1}{2}\rfloor}) - \check{\boldsymbol{b}}'_{\lfloor\frac{h+1}{2}\rfloor}(\check{a}_{\lfloor\frac{h}{2}\rfloor-L':\lfloor\frac{h-1}{2}\rfloor},\check{o}_{\lfloor\frac{h+1}{2}\rfloor-L'+1:\lfloor\frac{h+1}{2}\rfloor})||_{1} \leq \epsilon \qquad (C.24)$$

$$\mathbb{E}_{\check{a}_{1:\lfloor\frac{h-1}{2}\rfloor},\check{o}_{1:\lfloor\frac{h+1}{2}\rfloor}\sim\check{g}_{1:H}}$$
 (C.25)

$$||\check{\boldsymbol{b}}_{\lfloor \frac{h+1}{2} \rfloor}(\check{\boldsymbol{a}}_{1:\lfloor \frac{h-1}{2} \rfloor}, \check{\boldsymbol{o}}_{1:\lfloor \frac{h}{2} \rfloor}) - \check{\boldsymbol{b}}'_{\lfloor \frac{h+1}{2} \rfloor}(\check{\boldsymbol{a}}_{\lfloor \frac{h}{2} \rfloor - L':\lfloor \frac{h-1}{2} \rfloor}, \check{\boldsymbol{o}}_{\lfloor \frac{h+1}{2} \rfloor - L' + 1:\lfloor \frac{h}{2} \rfloor})||_{1} \le \epsilon.$$
 (C.26)

- 1015 We choose $C = 3C_0, L = 2L' + 1$. If $L \ge C\gamma^{-4}\log(\frac{S}{\epsilon})$, there must have $L' \ge C_0\gamma^{-4}\log(\frac{S}{\epsilon})$.
- 1016 Therefore, we directly get Eq. (C.21) and Eq. (C.22).
- 1017 For Eq. (C.20), we cannot directly apply Theorem 10 in (Liu & Zhang, 2023), but we can slightly
- 1018 change the Eq. (E.11) of Theorem 10 in (Liu & Zhang, 2023) as

$$\mathbb{E}_{a_{1:h-1},o_{1:h}\sim g_{1:\overline{H}}}^{\mathcal{D}_{\mathcal{L}}'}||\overline{\boldsymbol{b}}_{h}(a_{1:h-1},o_{1:h-1},o_{N,h}) - \overline{\boldsymbol{b}}_{h}'(a_{h-L:h-1},o_{h-L+1:h-1},o_{N,h})||_{1} \le \epsilon. \quad (C.27)$$

- 1019 It still holds if the posterior update $F^q(P:o_{1,h})$ is changed to $F^q(P:o_{N,h})$, when applying Lemma
- 1020 9 in the proof of Theorem 10 of (Liu & Zhang, 2023). Therefore, we can use the same arguments to
- prove Eq. (C.20) from Eq. (C.27) as above, and this completes the proof.

- 1022 Then we can compress the common information using a finite-memory truncation. Here, we discuss
- 1023 case-by-case how to compress it for the 8 examples of QC LTC given in §A. Note that after refor-
- 1024 mulation, strict expansion, and refinement, Examples 5 and 6 will be the same as Example 1, and
- 1025 **Examples 7** and **8** will be the same as **Example 2**. Therefore, we can categorize the examples in §A
- 1026 into 4 types.
- **Type 1:** Baseline sharing of \mathcal{L} is one of **Examples 1, 5, 6** in §A. Then, common information should 1027
- be that for any $t \in [H], \overline{c}_{2t-1} = \{\overline{o}_{1:2t-2}, \overline{a}_{1:2t-2}\}, \overline{c}_{2t} = \{\overline{o}_{1:2t-2}, \overline{a}_{1:2t-1}, \overline{o}_{N,2t-1}\}, N \subseteq [n],$ 1028
- 1029 where N is the set of agents choose to share their observations through additional shar-
- ing, and N can be inferred from \overline{c}_{2t} . Then we have that $\mathbb{P}_{2t-1}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{2t-1},\overline{p}_{2t-1}|\overline{c}_{2t-1}) =$ 1030
- $\overline{b}_{2t-1}(\overline{a}_{1:2t-2}, \overline{o}_{1:2t-2})(\overline{s}_{2t-1})\overline{\mathbb{O}}_{2t-1}(\overline{o}_{2t-1} | \overline{s}_{2t-1}). \quad \text{Fix compress length } L > 0, \text{ we define the approximate common information as } \widehat{c}_{2t-1} = \{\overline{a}_{2t-1-L:2t-2}, \overline{o}_{2t-L:2t-2}\}, \text{ and the common information conditioned belief as } \mathbb{P}^{\mathcal{M},c}_{2t-1}(\overline{s}_{2t-1}, \overline{p}_{2t-1} | \widehat{c}_{2t-1}) = 0.$ 1031
- 1032
- 1033
- 1034 $\overline{b}_{2t-1}(\overline{a}_{2t-1-L:2t-2},\overline{o}_{2t-L:2t-2})(\overline{s}_{2t-1})\overline{\mathbb{O}}_{2t-1}(\overline{o}_{2t-1}\,|\,\overline{s}_{2t-1}).$
- 1035
- $\begin{array}{lcl} \mathbb{P}_{2t}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{2t},\overline{p}_{2t}\,|\,\overline{c}_{2t}) & = & \overline{\boldsymbol{b}}_{2t-1}(\overline{a}_{1:2t-1},\overline{o}_{1:2t-2},\overline{o}_{N,2t-1})(\overline{s}_{2t-1})\mathbb{P}_{2t-1}(\overline{o}_{-N,2t-1}\,|\,\overline{s}_{2t-1},\overline{o}_{N,2t-1}). \\ \text{Fix compress length } L & > & 0, \text{ we define the approximate complete} \end{array}$ 1036
- $\{\overline{a}_{2t-1-L:2t-2}, \overline{o}_{2t-L:2t-2}, \overline{o}_{N,2t-1}\},\$ information a \hat{c}_{2t} 1037 mon and
- common information conditioned belief as $\mathbb{P}_{2t}^{\mathcal{M},c}(\overline{s}_{2t},\overline{p}_{2t}\,|\,\widehat{c}_{2t})$ 1038 =
- 1039 where
- $\overline{\mathbf{b}}'_{2t-1}(\overline{a}_{2t-1-L:2t-2}, \overline{o}_{2t-L:2t-2}, \overline{o}_{N,2t-1})(\overline{s}_{2t-1})\mathbb{P}_{2t-1}(\overline{o}_{-N,2t-1} \mid \overline{s}_{2t-1}, \overline{o}_{N,2t-1}), \\
 \mathbb{P}_{2t-1}(\overline{o}_{-N,2t-1} \mid \overline{s}_{2t-1}, \overline{o}_{N,2t-1}) = \frac{\overline{\mathbb{O}}_{2t-1}(\overline{o}_{N,2t-1}, \overline{o}_{-N,2t-1} \mid \overline{s}_{2t-1})}{\overline{\mathbb{O}}_{2t-1}(\overline{o}_{N,2t-1}, \overline{o}'_{-N,2t-1} \mid \overline{s}_{2t-1})}. \quad \mathbf{N}_{2t-1}(\overline{o}_{N,2t-1}, \overline{o}'_{-N,2t-1} \mid \overline{s}_{2t-1})}$ 1040 Now, we need
- to verify that Definition C.9 is satisfied. 1041
- The $\{\widehat{c}_h\}_{h\in[\overline{H}]}$ satisfied the Eq. (C.8) since for any $h\in[H]$, $\widehat{c}_{h+1}\subseteq\widehat{c}_h\cup\overline{z}_h$. 1042
- Note that for any \bar{c}_{2t-1} and the corresponding \hat{c}_{2t-1} constructed above:

$$\begin{split} ||\mathbb{P}_{2t-1}^{\mathcal{D}'_{\mathcal{L}}}(\cdot,\cdot\,|\,\overline{c}_{h}) - \mathbb{P}_{2t-1}^{\mathcal{M},c}(\cdot,\cdot\,|\,\widehat{c}_{h})||_{1} \\ &= \sum_{\overline{s}_{2t-1},\overline{o}_{2t-1}} |\overline{b}_{2t-1}(\overline{a}_{1:2t-2},\overline{o}_{1:2t-2})(\overline{s}_{2t-1})\overline{\mathbb{O}}_{2t-1}(\overline{o}_{2t-1}\,|\,\overline{s}_{2t-1}) \\ &- \overline{b}'_{2t-1}(\overline{a}_{2t-1-L:2t-2},\overline{o}_{2t-L:2t-1})(\overline{s}_{2t-1})\overline{\mathbb{O}}_{2t-1}(\overline{o}_{2t-1}\,|\,\overline{s}_{2t-1})| \\ &= ||\overline{b}_{2t-1}(\overline{a}_{1:2t-2},\overline{o}_{1:2t-2}) - \overline{b}'_{2t-1}(\overline{a}_{2t-1-L:2t-2},\overline{o}_{2t-L:2t-1})||_{1}. \end{split}$$

For any \overline{c}_{2t} and the corresponding \widehat{c}_{2t} constructed above: 1044

$$\begin{split} ||\mathbb{P}_{2t}^{\mathcal{D}'_{\mathcal{L}}}(\cdot,\cdot\,|\,\bar{c}_{h}) - \mathbb{P}_{2t}^{\mathcal{M},c}(\cdot,\cdot\,|\,\hat{c}_{h})|| \\ &= \sum_{\overline{s}_{2t-1},\overline{o}_{-N,2t-1}} |\overline{b}_{2t-1}(\overline{a}_{1:2t-1},\overline{o}_{1:2t-2},\overline{o}_{N,2t-1})(\overline{s}_{2t-1})\mathbb{P}_{2t-1}(\overline{o}_{-N,2t-1}\,|\,\overline{s}_{2t-1},\overline{o}_{N,2t-1}) \\ &\quad - \overline{b}'_{2t-1}(\overline{a}_{2t-1-L:2t-2},\overline{o}_{2t-L:2t-2},\overline{o}_{N,2t-1})(\overline{s}_{2t-1})\mathbb{P}_{2t-1}(\overline{o}_{-N,2t-1}\,|\,\overline{s}_{2t-1},\overline{o}_{N,2t-1})| \\ &= ||\overline{b}_{2t-1}(\overline{a}_{1:2t-1},\overline{o}_{1:2t-2},\overline{o}_{N,2t-1}) - \overline{b}'_{2t-1}(\overline{a}_{2t-1-L:2t-2},\overline{o}_{2t-L:2t-2},\overline{o}_{N,2t-1})||_{1}. \end{split}$$

If we choose $L \geq C\gamma^{-4}\log(\frac{S}{\epsilon})$, then we have that for any $h \in [\overline{H}]$ 1045

$$\mathbb{E}_{\overline{a}_{1:h-1},o_{1:h} \sim \overline{g}_{1:\overline{H}}} || \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\cdot,\cdot \mid \overline{c}_{h}) - \mathbb{P}_{h}^{\mathcal{M},c}(\cdot,\cdot \mid \widehat{c}_{h}) ||_{1} \leq \epsilon.$$

- 1046 Therefore, such a model is an ϵ -expected-approximate common information model. 1047
- Type 2: Baseline sharing of \mathcal{L} is **Example 3** in §A. Then, common information com-1048 1049 mon information should be that for any $t \in [H], \overline{c}_{2t-1} = \{\overline{c}_{1:2t-2}, \overline{c}_{1:2t-2}, \overline{c}_{1:2t-1}\}, \overline{c}_{2t} =$
- $\{\overline{o}_{1:2t-2},\overline{a}_{1:2t-1},\overline{o}_{N,2t-1}\},N \subseteq [n],1 \in N. \quad \text{Here N is the same as defined in } N.$ 1050
- case 1, but it must satisfy that $1 \in N$. Then we similarly as case 1, we con-1051
- struct $\widehat{c}_{2t-1} = \{\overline{o}_{2t-L:2t-2}, \overline{a}_{2t-L-1:2t-2}, \overline{o}_{1:2t-1}\}, \widehat{c}_{2t} = \{\overline{a}_{2t-1-L:2t-2}, \overline{o}_{2t-L:2t-2}, \overline{o}_{N,2t-1}\},$ 1052

- and approximate common information conditioned belief as $\mathbb{P}_{2t-1}^{\mathcal{M},c}(\overline{s}_{2t-1},\overline{p}_{2t-1}\,|\,\widehat{c}_{2t-1}) =$ 1053
- $\overline{b}_{2t-1}(\overline{a}_{2t-1-L:2t-2}, \overline{o}_{2t-L:2t-2}, \overline{o}_{1,2t-1})(\overline{s}_{2t-1})\mathbb{P}_{2t-1}(\overline{o}_{-1,2t-1} \,|\, \overline{s}_{2t-1}, \overline{o}_{1,2t-1}), \mathbb{P}_{2t}^{\mathcal{M}, c}(\overline{s}_{2t}, \overline{o}_{1,2t-1}), \mathbb{P}_{2t}^{\mathcal{M}, c}(\overline{s}_{2$ 1054
- $\begin{array}{lll} \overline{p}_{2t} \mid \widehat{c}_{2t}) & = & \overline{b}'_{2t-1}(\overline{a}_{2t-1-L:2t-2}, \overline{o}_{2t-L:2t-2}, \overline{o}_{N,2t-1})(\overline{s}_{2t-1}) \mathbb{P}_{2t-1}(\overline{o}_{-N,2t-1} \mid \overline{s}_{2t-1}, \overline{o}_{N,2t-1}). \\ \text{Now, we need to verify Definition C.9 is satisfied.} \end{array}$
- 1056
- The $\{\widehat{c}_h\}_{h\in[\overline{H}]}$ satisfies the Eq. (C.8) since for any $h\in[H]$, $\widehat{c}_{h+1}\subseteq\widehat{c}_h\cup\overline{z}_h$. 1057
- Note that for any \bar{c}_{2t-1} and the corresponding \hat{c}_{2t-1} constructed above:

$$\begin{split} ||\mathbb{P}_{2t-1}^{\mathcal{D}'_{\mathcal{L}}}(\cdot,\cdot|\,\overline{c}_{h}) - \mathbb{P}_{2t-1}^{\mathcal{M},c}(\cdot,\cdot|\,\widehat{c}_{h})||_{1} \\ &= \sum_{\overline{s}_{2t-1},\overline{o}_{-1,2t-1}} |\overline{b}_{2t-1}(\overline{a}_{1:2t-1},\overline{o}_{1:2t-2},\overline{o}_{1,2t-1})(\overline{s}_{2t-1})\mathbb{P}_{2t-1}(\overline{o}_{-1,2t-1}\,|\,\overline{s}_{2t-1},\overline{o}_{1,2t-1}) \\ &\quad - \overline{b}'_{2t-1}(\overline{a}_{2t-1-L:2t-2},\overline{o}_{2t-L:2t-2},\overline{o}_{1,2t-1})(\overline{s}_{2t-1})\mathbb{P}_{2t-1}(\overline{o}_{-1,2t-1}\,|\,\overline{s}_{2t-1},\overline{o}_{1,2t-1})| \\ &= ||\overline{b}_{2t-1}(\overline{a}_{1:2t-1},\overline{o}_{1:2t-2},\overline{o}_{1,2t-1}) - \overline{b}'_{2t-1}(\overline{a}_{2t-1-L:2t-2},\overline{o}_{2t-L:2t-2},\overline{o}_{1,2t-1})||_{1}. \end{split}$$

For any \bar{c}_{2t} and the corresponding \hat{c}_{2t} constructed above: 1059

1062

$$\begin{split} ||\mathbb{P}_{2t}^{\mathcal{D}'_{\mathcal{L}}}(\cdot,\cdot\mid\bar{c}_{h}) - \mathbb{P}_{2t}^{\mathcal{M},c}(\cdot,\cdot\mid\bar{c}_{h})||_{1} \\ &= \sum_{\bar{s}_{2t-1},\bar{o}_{-N,2t-1}} |\bar{b}_{2t-1}(\bar{a}_{1:2t-1},\bar{o}_{1:2t-2},\bar{o}_{N,2t-1})(\bar{s}_{2t-1})\mathbb{P}_{2t-1}(\bar{o}_{-N,2t-1}\mid\bar{s}_{2t-1},\bar{o}_{N,2t-1}) \\ &- \bar{b}'_{2t-1}(\bar{a}_{2t-1-L:2t-2},\bar{o}_{2t-L:2t-2},\bar{o}_{N,2t-1})(\bar{s}_{2t-1})\mathbb{P}_{2t-1}(\bar{o}_{-N,2t-1}\mid\bar{s}_{2t-1},\bar{o}_{N,2t-1})| \\ &= ||\bar{b}_{2t-1}(\bar{a}_{1:2t-1},\bar{o}_{1:2t-2},\bar{o}_{N,2t-1}) - \bar{b}'_{2t-1}(\bar{a}_{2t-1-L:2t-2},\bar{o}_{2t-L:2t-2},\bar{o}_{N,2t-1})||_{1}. \end{split}$$

If we choose $L \geq C\gamma^{-4}\log(\frac{S}{\epsilon})$, then from Lemma C.18 we have, for any $h \in [\overline{H}]$ 1060

$$\mathbb{E}_{\overline{a}_{1:h-1},o_{1:h} \sim \overline{g}_{1:\overline{H}}} || \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\cdot,\cdot \mid \overline{c}_{h}) - \mathbb{P}_{h}^{\mathcal{M},c}(\cdot,\cdot \mid \widehat{c}_{h}) ||_{1} \leq \epsilon.$$

- 1061 Therefore, such a model is an ϵ -expected-approximate common information model.
- Type 3: Baseline sharing of \mathcal{L} is one of **Examples 2, 7, 8** in §A. Then the common information 1063
- should be that, for any $h \in [\overline{H}]$, $\overline{c}_h = \{\overline{o}_{1:h-2d}, \overline{a}_{1,1:h-1}, \{\overline{a}_{-1,2t-1}\}_{t=\lfloor\frac{h-2d+1}{2}\rfloor}^{\lfloor\frac{h}{2}\rfloor}, \overline{o}_{1,h-2d+1:h}, \overline{o}_M\}$, 1064
- where $M \subset \{(i,t) \mid 1 < i \leq n, h-2d+1 \leq t \leq h\}$ and $\overline{o}_M = \{o_{i,t} \mid (i,t) \in M\}$, and corresponding $\overline{p}_h = \{\overline{o}_{i,t} \mid 1 < i \leq n, h-2d < t \leq h, (i,t) \notin M\}$. Actually, \overline{o}_M are the observations shared 1065
- 1066
- by the additional sharing in \mathcal{L} . Denote $f_{\tau,h-2d} = \{\overline{a}_{1:h-2d-1}, \overline{o}_{h-2d}, \{\overline{a}_{-1,2t-1}\}_{t=\lfloor \frac{h-2d+1}{2} \rfloor}^{\lfloor \frac{h}{2} \rfloor}\}, f_a = 0$ 1067
- $\{\overline{a}_{1,h-2d:h-1}\}, f_o = \{\overline{o}_{1,h-2d+1:h}, \overline{o}_M\}.$ We can compute the common-information-based belief as 1068

$$\begin{split} \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h},\overline{p}_{h}\,|\,\overline{c}_{h}) &= \sum_{\overline{s}_{h-2d}} \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h},\overline{p}_{h}\,|\,\overline{s}_{h-2d},f_{a},f_{o}) \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h-2d}\,|\,f_{\tau,h-2d},f_{a},f_{o}) \\ &= \sum_{\overline{s}_{h-2d}} \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h},\overline{p}_{h}\,|\,\overline{s}_{h-2d},f_{a},f_{o}) \frac{\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h-2d},f_{a},f_{o}\,|\,f_{\tau,h-2d})}{\sum_{\overline{s}'_{h-2d}} \mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}'_{h-2d},f_{a},f_{o}\,|\,f_{\tau,h-2d})}. \end{split}$$

- Denote the probability $P_h(f_o \,|\, \overline{s}_{h-2d}, f_a) := \Pi_{t=1}^{2d} \mathbb{P}_h^{\mathcal{D}_{\mathcal{L}}'}(\overline{o}_{1,h-2d+t}, \overline{o}_{M_{h-2d+t}} \,|\, \overline{s}_{h-2d}, \overline{a}_{1,h-2d:h-2d+t}),$ where $M_{h-2d+t} = \{(i,h-2d+t) \,|\, (i,h-2d+t) \in M\}$ denotes the set of observations at
- 1071 timestep h-2d+t and shared through additional sharing. With such notation, we have

$$\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h-2d} \mid f_{\tau,h-2d}, f_{a}, f_{o}) = \frac{\overline{\boldsymbol{b}}_{h-2d}(\overline{a}_{1:h-2d-1}, \overline{o}_{1:h-2d})(\overline{s}_{h-2d})P_{h}(f_{o} \mid \overline{s}_{h-2d}, f_{a})}{\sum_{\overline{s}'_{h-2d}} \overline{\boldsymbol{b}}_{h-2d}(\overline{a}_{1:h-2d-1}, \overline{o}_{1:h-2d})(\overline{s}'_{h-2d})P_{h}(f_{o} \mid \overline{s}'_{h-2d}, f_{a})}$$

$$= F^{P_{h}(\cdot \mid \cdot, f_{a})}(\overline{\boldsymbol{b}}_{h-2d}(\overline{a}_{1:h-2d-1}, \overline{o}_{1:h-2d}); f_{o})(\overline{s}_{h-2d}),$$

- where $F^{P_h(\cdot | \cdot, f_a)}(\cdot; f_o) : \Delta(\mathcal{S}) \to \Delta(\mathcal{S})$ is the posterior belief update function. The formal 1072
- 1073 definition is shown in Lemma 9 in (Liu & Zhang, 2023).
- 1074 define the approximate common information
- 1075 $\{\overline{o}_{1,h-2d-L+1:h}, \overline{a}_{1,h-2d-L:h-1}, \overline{o}_M\}$ and corresponding approximate common information
- 1076 conditioned belief as

$$\mathbb{P}_h^{\mathcal{M},c}(\overline{s}_h,\overline{p}_h\,|\,\widehat{c}_h) = \sum_{\overline{s}_{h-2d}}$$

$$\mathbb{P}_{b}^{\mathcal{D}'_{\mathcal{L}}}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{s}_{h-2d}, f_{a}, f_{o})F^{P_{h}(\cdot \mid \cdot, f_{a})}(\overline{\boldsymbol{b}}'_{h-2d}(\overline{a}_{h-2d-L:h-2d-1}, \overline{o}_{h-2d-L+1:h-2d}); f_{o})(\overline{s}_{h-2d}).$$

- Now we verify that Definition C.9 is satisfied.
- Obviously, the $\{\widehat{c}_h\}_{h\in [\overline{H}]}$ satisfies Eq. (C.8).
- For any \overline{c}_h and the corresponding \widehat{c}_h constructed above:

$$||\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\cdot,\cdot|\,\overline{c}_{h}) - \mathbb{P}_{h}^{\mathcal{M},c}(\cdot,\cdot|\,\widehat{c}_{h})||_{1} \leq ||F^{P(\cdot\,|\,\cdot,f_{a})}(\overline{\boldsymbol{b}}_{h-2d}(\overline{a}_{1:h-2d-1},\overline{o}_{1:h-2d});f_{o}) - F^{P(\cdot\,|\,\cdot,f_{a})}(\overline{\boldsymbol{b}}'_{h-2d}(\overline{a}_{h-2d-L:h-2d-1},\overline{o}_{h-2d-L+1:h-2d});f_{o})||_{1}.$$

- If we choose $L \geq C\gamma^{-4}\log(\frac{S}{\epsilon})$, then for any strategy $\overline{g}_{1:\overline{H}}$, by taking expectations over $f_{\tau,h-2d},f_a,f_o$, from Lemma C.18 and Lemma 9 in (Liu & Zhang, 2023), we have, for any 1080
- 1081
- 1082

$$\mathbb{E}_{\overline{a}_{1:h-1},o_{1:h} \sim \overline{g}_{1:\overline{H}}} || \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\cdot,\cdot \mid \overline{c}_{h}) - \mathbb{P}_{h}^{\mathcal{M},c}(\cdot,\cdot \mid \widehat{c}_{h}) ||_{1} \leq \epsilon.$$

- 1083 Therefore, such a model is an ϵ -expected-approximate common information model.
- **Type 4:** Baseline sharing of \mathcal{L} is **Example 4** in §A. Then, for any $h \in [H]$, the common information 1085
- should be $\widehat{c}_h = \{\overline{o}_{1:h-2d}, \{\overline{a}_{2t-1}\}_{t=1}^{\lfloor \frac{h}{2} \rfloor}, \overline{o}_M\}$, where $M = \{(i,t) \mid i \in [n], h-2d+1 \le t \le h\}$. 1086
- Then, still we denote $f_{\tau,h-2d} = \{\overline{o}_{1:h-2d}, \{\overline{a}_{2t-1}\}_{t=1}^{\lfloor \frac{h}{2} \rfloor}\}, f_o = \{\overline{o}_M\}$. We can compute the common 1087
- 1088 information-based belief as

$$\begin{split} \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{c}_{h}) &= \sum_{\overline{s}_{h-2d}} \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{s}_{h-2d}, f_{o}) \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h-2d} \mid f_{\tau,h-2d}, f_{o}) \\ &= \sum_{\overline{s}_{h-2d}} \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h}, \overline{p}_{h} \mid \overline{s}_{h-2d}, f_{o}) \frac{\mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h-2d}, f_{o} \mid f_{\tau,h-2d})}{\sum_{\overline{s}_{h-2d}'} \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h-2d}, f_{o} \mid f_{\tau,h-2d})}. \end{split}$$

- Denote the probability $P_h(f_o | \overline{s}_{h-2d}) := \prod_{t=1}^{2d} \mathbb{P}_h^{\mathcal{D}_{\mathcal{L}}'}(\overline{o}_{1,h-2d+t}, \overline{o}_{M_{h-2d+t}} | \overline{s}_{h-2d})$, where $M_{h-2d+t} = \{(i,h-2d+t) | (i,h-2d+t) \in M\}$ denotes the set of observations at timestep 1089
- h-2d+t and shared through additional sharing. Since the actions do not influence underlying
- states, here we use the belief notation $\overline{b}_k(\overline{o}_{1:k}), \overline{b}_k(\overline{o}_{k-L:k}), \forall k \in [\overline{H}], L < k$. With such notation, 1092
- 1093 we have

$$\mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h-2d} | f_{\tau,h-2d}, f_{o}) = \frac{\overline{b}_{h-2d}(\overline{o}_{1:h-2d})(\overline{s}_{h-2d})P_{h}(f_{o} | \overline{s}_{h-2d})}{\sum_{\overline{s}'_{h-2d}} \overline{b}_{h-2d}(\overline{o}_{1:h-2d})(\overline{s}'_{h-2d})P_{h}(f_{o} | \overline{s}'_{h-2d})} = F^{P_{h}(\cdot | \cdot)}(\overline{b}_{h-2d}(\overline{o}_{1:h-2d}); f_{o})(\overline{s}_{h-2d}),$$

- where $F^{P_h(\cdot\,|\,\cdot)}(\cdot;f_o):\Delta(\mathcal{S})\to\Delta(\mathcal{S})$ is the posterior belief update function, the same as men-1094
- 1095
- Then, we define the approximate common information as $\widehat{c}_h := \{\overline{o}_{h-2d-L+1:h}, \overline{o}_M\}$ and corre-1096
- sponding approximate common information conditioned belief as 1097

$$\mathbb{P}_{h}^{\mathcal{M},c}(\overline{s}_{h},\overline{p}_{h}\,|\,\widehat{c}_{h}) = \sum_{\overline{s}_{h-2d}} \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\overline{s}_{h},\overline{p}_{h}\,|\,\overline{s}_{h-2d},f_{o}) F^{P_{h}(\cdot\,|\,\cdot)}(\overline{\boldsymbol{b}}_{h-2d}'(\overline{o}_{h-2d-L+1:h-2d});f_{o})(\overline{s}_{h-2d}).$$

Now we verify that Definition C.9 is satisfied.

- Obviously, the $\{\widehat{c}_h\}_{h\in [\overline{H}]}$ satisfies Eq.(C.8).
- For any \bar{c}_h and corresponding \hat{c}_h constructed above: 1100

$$||\mathbb{P}_{h}^{\mathcal{D}'_{\mathcal{L}}}(\cdot,\cdot|\,\overline{c}_{h}) - \mathbb{P}_{h}^{\mathcal{M},c}(\cdot,\cdot|\,\widehat{c}_{h})||_{1} \\ \leq ||F^{P(\cdot\,|\,\cdot)}(\overline{\boldsymbol{b}}_{h-2d}(\overline{c}_{1:h-2d});f_{o}) - F^{P(\cdot\,|\,\cdot)}(\overline{\boldsymbol{b}}'_{h-2d}(\overline{a}_{h-2d-L:h-2d-1},\overline{c}_{h-2d-L+1:h-2d});f_{o})||_{1}.$$

- If we choose $L \geq C\gamma^{-4}\log(\frac{S}{\epsilon})$, then for any strategy $\overline{g}_{1:\overline{H}}$, by taking expectations over 1101
- $f_{\tau,h-2d}, f_o$, from Lemma C.18 and Lemma 9 in (Liu & Zhang, 2023), we have, for any $h \in [\overline{H}]$ 1102

$$\mathbb{E}_{\overline{a}_{1:h-1},o_{1:h} \sim \overline{g}_{1},\overline{u}} || \mathbb{P}_{h}^{\mathcal{D}_{\mathcal{L}}'}(\cdot,\cdot \mid \overline{c}_{h}) - \mathbb{P}_{h}^{\mathcal{M},c}(\cdot,\cdot \mid \widehat{c}_{h}) ||_{1} \leq \epsilon.$$

- Therefore, such a model is an ϵ -expected-approximate common information model. 1103
- Combining Parts I, II, III, we complete the proof. 1105
- **Remark C.19.** Let \mathcal{L} be an LTC problem satisfying Assumptions 3.1, 3.2, 3.3, and 3.4, and $\mathcal{D}'_{\mathcal{L}}$ 1106
- be the Dec-POMDP after reformulation, strict expansion and refinement. Then, if $\mathcal L$ has any one 1107
- of baseline sharing protocols as in Appendix A, and \mathcal{L} satisfies the conditions as follows, then $\mathcal{D}'_{\mathcal{L}}$ 1108
- 1109 satisfies Assumption 4.3.
- 1110 • If \mathcal{L} has baseline sharing protocol as one of **Examples 1, 5, 6** in A, \mathcal{L} needs to satisfy the part (1) of Factorized structure in G. 1111
- If \mathcal{L} has baseline sharing protocol as one of Examples 2, 7, 8 in A, \mathcal{L} needs to sat-1112
- 1113 isfy $\mathcal{R}_h(\cdot | s_h, a_{1,h}, a_{-1,h}) = \mathcal{R}_h(\cdot | s_h, a_{1,h}, a_{-1,h}')$ for any $h \in [H], s_h \in \mathcal{S}, a_{1,h} \in \mathcal{S}$
- $A_{1,h}, a_{-1,h}, a'_{-1,h} \in A_{-1,h}.$ 1114
- If L has baseline sharing protocol as one of **Examples 3, 4** in A, it does not need additional 1115
- 1116 condition.

1104

- 1117 Actually, such condition is also considered in (Liu & Zhang, 2023). For \mathcal{L} with baseline sharing
- 1118 protocols as one of examples in A and satisfying the conditions as above, we can construct expected
- 1119 common information model \mathcal{M} of $\mathcal{D}'_{\mathcal{L}}$ as mentioned in the proof of Theorem C.14. If the baseline
- sharing protocol of \mathcal{L} is one of **Examples 1, 5, 6**, then $\mathcal{D}'_{\mathcal{L}}$ and \mathcal{M} satisfy **Factorized structures** 1120
- condition in G; If the baseline sharing protocol of \mathcal{L} is one of **Examples 2, 7, 8**, then $\mathcal{D}'_{\mathcal{L}}$ and 1121
- ${\mathcal M}$ satisfy Turn-based structures condition in G; If the baseline sharing protocol of ${\mathcal L}$ is one of 1122
- 1123 **Examples 3, 4**, then $\mathcal{D}'_{\mathcal{L}}$ and \mathcal{M} satisfy **Nested private information** condition in G. From Lemma
- 1124 G.1, we can conclude that Assumption 4.3 holds.

1125 C.9 Main Results for Learning in OC LTC

- 1126 Here we provide a full version of Theorem 4.4 as follows.
- 1127 **Theorem C.20.** Given any QC LTC problem \mathcal{L} satisfying Assumptions 3.1, 3.2, 3.3, 3.4, and 4.3,
- we can construct an SI-CIB Dec-POMDP problem $\mathcal{D}'_{\mathcal{L}}$ such that the following holds. Given a 1128
- strategy $\overline{g}^{1:\overline{H}}$, $\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})$, and \widehat{L} , where each \overline{g}^h is a complete strategy with $\overline{g}_{h-\widehat{L}:h}^h = \mathrm{Unif}(\mathcal{A})$ for 1129
- $h \in [\overline{H}]$, we define the statistical error for estimating $\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})$ as $\epsilon_{apx}(\overline{g}^{1:\overline{H}}, \overline{\widehat{L}}, \zeta_1, \zeta_2, \theta_1, \theta_2, \phi)$ 1130
- for some parameters $\delta_1, \zeta_1, \zeta_2, \theta_1, \theta_2, \phi > 0$. Then, there exists an algorithm that can learn an 1131
- ϵ -team-optimal strategy for $\mathcal L$ with probability at least $1-\delta_1$, using a sample complexity $N_0=$
- 1133
- $\begin{aligned} & \operatorname{poly}(\max_{h \in [\overline{H}]} |\mathcal{P}_h|, \max_{h \in [\overline{H}]} |\widehat{\mathcal{C}}_h|, H, \max_{h \in [\overline{H}]} |\mathcal{A}_h|, \max_{h \in [\overline{H}]} |\mathcal{O}_h|, 1/\zeta_1, 1/\zeta_2, 1/\theta_1, 1/\theta_2) \cdot \\ & \log(1/\delta_1), \quad \text{where} \quad \epsilon \quad := \quad \overline{H} \epsilon_r(\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})) \quad + \quad \overline{H}^2 \epsilon_z(\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})) \quad + \quad (\overline{H}^2 \quad + \quad \overline{H}^2) \cdot (\overline{H}^2 \overline{H}^$ 1134
- \overline{H}) $\epsilon_{anx}(\overline{g}^{1:\overline{H}},\widehat{L},\zeta_1,\zeta_2,\theta_1,\theta_2,\phi)$. Specifically, if \mathcal{L} has the baseline sharing protocols as in §A, 1135
- there exists an algorithm that learns an ϵ -team optimal strategy for $\mathcal L$ with both quasi-polynomial 1136
- 1137 time and sample complexities.

- *Proof.* Firstly, given any LTC problem \mathcal{L} , we can apply Algorithm 2 to solve such problem. From 1138
- the proof of C.14, we know that Algorithm 6 can output the team optimal strategy of $\widehat{\mathcal{M}}(\overline{g}^{1:\overline{H},j})$ for 1139
- each $j \in [K]$. Then, from Theorem 4 in (Liu & Zhang, 2023), it can guarantee that $\overline{g}_{1:\overline{H}}^*$ is an ϵ -team 1140
- optimum of $\mathcal{D}'_{\mathcal{L}}$ with probability at least $1 \delta_1$, where $\epsilon = \overline{H}\epsilon_r(\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})) + \overline{H}^2\epsilon_z(\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}})) + \overline{H}^2\epsilon_z(\widetilde{\mathcal{M}}(\overline{g}^{1:\overline{H}}))$ 1141
- $(\overline{H}^2 + \overline{H})\epsilon_{apx}(\overline{g}^{1:\overline{H}}, \widehat{L}, \zeta_1, \zeta_2, \theta_1, \theta_2, \phi) + \overline{H}\epsilon_e$. Then, from the proof of Theorem C.14, we have 1142
- that $(g_{1:H}^{m,*},g_{1:H}^{\tilde{a},*})$ is an ϵ -team optimal strategy of \mathcal{L} is $\overline{g}_{1:H}^*$ is an ϵ -team optimal strategy of $\mathcal{D}'_{\mathcal{L}}$. 1143
- Therefore, we complete the proof. 1144

1145 Deferred Details of §5

- 1146 In the following, we will use $\bar{}$ to denote the elements and random variables in the Dec-POMDP \mathcal{D} .
- 1147 We first introduce the notion of *perfect recall* (Kuhn, 1953):
- **Definition D.1** (Perfect recall). We say that agent i has perfect recall if $\forall h \in 2, \dots, \overline{H}$, it holds that 1148
- $\tau_{i,h-1} \cup \{a_{i,h-1}\} \subseteq \tau_{i,h}$. If for any $i \in [n]$, agent i has perfect recall, we call that the Dec-POMDP 1149
- 1150 has a perfect recall property.

1151 D.0.1 Proof of Theorem 5.1

- 1152 *Proof.* $sQC \Rightarrow SI-CIB$:
- Let \mathcal{D} be the Dec-POMDP with an sQC information structure, and \mathcal{D} satisfy Assumptions 3.3, 1153
- 3.4, and 3.5. To prove that \mathcal{D} has SI-CIB, it is sufficient to prove that for any $h=2,\cdots,\overline{H}$, 1154
- fix any $h_1 \in [h-1], i_1 \in [n]$, and for any $\overline{g}_{1:h-1} \in \overline{\mathcal{G}}_{1:h-1}, \overline{g}'_{i_1,h_1} \in \overline{\mathcal{G}}_{i_1,h_1}$, let $\overline{g}'_{h_1} := (\overline{g}_{1,h_1},\cdots,\overline{g}'_{i_1,h_1},\cdots,\overline{g}_{n,h_1})$ and $\overline{g}'_{1:h-1} := (\overline{g}_1,\cdots,\overline{g}'_{h_1},\cdots,\overline{g}_{h-1})$, the following holds 1155
- 1156

$$\mathbb{P}(\overline{s}_h, \overline{p}_h \mid \overline{c}_h, \overline{g}_{1:h-1}) = \mathbb{P}(\overline{s}_h, \overline{p}_h \mid \overline{c}_h, \overline{g}'_{1:h-1}). \tag{D.1}$$

- We prove this case-by-case as follows: 1157
- 1. If there exists some $i_3 \neq i_1$ such that $\sigma(\overline{\tau}_{i_1,h_1}) \cup \sigma(\overline{a}_{i_1,h_1}) \subseteq \sigma(\overline{\tau}_{i_3,h})$, then from Assumption 1158
- 3.5, we know that $\sigma(\overline{\tau}_{i_1,h_1}) \cup \sigma(\overline{a}_{i_1,h_1}) \subseteq \sigma(\overline{c}_h)$. Therefore, there exist deterministic functions 1159
- 1160 β_1, β_2 such that $\overline{\tau}_{i_1,h_1} = \beta_1(\overline{c}_h), \overline{a}_{i_1,h_1} = \beta_2(\overline{c}_h)$, and further it holds that

$$\begin{split} \mathbb{P}(\overline{s}_h, \overline{p}_h \,|\, \overline{c}_h, \overline{g}_{1:h-1}) &= \mathbb{P}(\overline{s}_h, \overline{p}_h \,|\, \beta_1(\overline{c}_h), \beta_2(\overline{c}_h), \overline{c}_h, \overline{g}_{1:h-1}) \\ &= \mathbb{P}(\overline{s}_h, \overline{p}_h \,|\, \overline{\tau}_{i_1,h_1}, \overline{a}_{i_1,h_1}, \overline{c}_h, \overline{g}_{1:h-1}) = \mathbb{P}(\overline{s}_h, \overline{p}_h \,|\, \overline{\tau}_{i_1,h_1}, \overline{a}_{i_1,h_1}, \overline{c}_h, \overline{g}'_{1:h-1}). \end{split}$$

- 1161 The last equality is due to the fact that the input and output of \overline{g}_{i_1,h_1} are $\overline{\tau}_{i_1,h_1}$ and \overline{a}_{i_1,h_1} , 1162 respectively.
- 1163 2. If there does not exist any $i_2 \neq i_1$ such that $\sigma(\overline{\tau}_{i_1,h_1}) \cup \sigma(\overline{a}_{i_1,h_1}) \subseteq \sigma(\overline{\tau}_{i_2,h})$, i.e., for all $i_2 \neq i_1$,
- either $\sigma(\overline{\tau}_{i_1,h_1}) \nsubseteq \sigma(\overline{\tau}_{i_2,h})$ or $\sigma(\overline{a}_{i_1,h_1}) \nsubseteq \sigma(\overline{\tau}_{i_2,h})$, then agent (i_1,h_1) does not influence agent 1164
- 1165 (i_2,h) for any $i_2 \neq i_1$, since \mathcal{D} is sQC. Now, we first claim that agent (i_1,h_1) does not influence
- \overline{s}_{h_1+1} : since if it influences, from Assumption 3.4, there exists some $i_3 \neq i_1$ such that agent 1166
- (i_1,h_1) influences \overline{o}_{i_3,h_1+1} ; however, from Assumption 2.1 (e), we know $\overline{o}_{i_3,h_1+1} \in \overline{\tau}_{i_3,h_1+1} \subseteq$ 1167
- 1168 $\overline{\tau}_{i_3,h}$; therefore, agent (i_1,h_1) influences agent (i_3,h) , contradicting the argument above that the
- 1169 former does not influence (i_2, h) for any $i_2 \neq i_1$. Hence, we further have that agent (i_1, h_1) does
- not influence \bar{s}_{h_2} for any $h_2 > h_1$. Therefore, by Assumption 3.3, for any $h_2 > h_1$, $\bar{a}_{i_1,h_1} \notin \bar{\tau}_{h_2}$. 1170
- Second, we claim that agent (i_1, h_1) does not influence $\overline{\tau}_{i_4, h_2}$, for any $i_4 \in [n]$ and $h_2 > h_1$. 1171
- This is because of the fact that agent (i_1, h_1) does not influence \overline{s}_{h_1+1} and thus not $\overline{o}_{i_4, h_1+1}$ for 1172
- any $i_4 \in [n]$, together with the fact proved above that $\overline{a}_{i_1,h_1} \notin \overline{\tau}_{h_1+1}$, implies that agent (i_1,h_1) 1173
- does not influence any element in $\overline{\tau}_{i_4,h_1+1}$ for any $i_4 \in [n]$, either directly or indirectly. Since 1174
- 1175 $\overline{\tau}_{i_4,h_1+1}$ is the input of agent i_4 's strategy at timestep h_1+1 to decide \overline{a}_{i_4,h_1+1} , agent (i_1,h_1) thus
- 1176 does not influence \overline{a}_{i_4,h_1+1} for any $i_4 \in [n]$, either, which, together with the fact that it does not
- 1177 influence \bar{s}_{h_1+2} and thus not \bar{o}_{i_4,h_1+2} for any $i_4 \in [n]$, further implies that it does not influence
- any element in $\overline{\tau}_{i_4,h_1+2}$ for any $i_4 \in [n]$. By recursion, agent (i_1,h_1) does not influence $\overline{\tau}_{i_4,h_2}$ 1178
- 1179 for any $i_4 \in [n]$ and $h_2 > h_1$.

- Therefore, agent (i_1, h_1) does not influence $\overline{c}_h = \bigcap_{i_1=1}^n \overline{\tau}_{i_1,h}$ nor $\overline{p}_h = \overline{\tau}_h \setminus \overline{c}_h$, which thus leads 1180
- 1181

$$\mathbb{P}(\overline{s}_h, \overline{p}_h \,|\, \overline{c}_h, \overline{g}_{1:h-1}) = \mathbb{P}(\overline{s}_h, \overline{p}_h \,|\, \overline{c}_h, \overline{g}'_{1:h-1}).$$

- 1182 $SI-CIB \Rightarrow sOC$:
- Since \mathcal{D} has perfect recall and has SI-CIB, i.e., $\forall i \in [n], h \in [\overline{H}], \forall \overline{g}_{1:h-1}, \overline{g}'_{1:h-1} \in \overline{\mathcal{G}}_{1:h-1}, \overline{c}_h \in \overline{\mathcal{G}}_{1:h-1}$ 1183
- $\overline{\mathcal{C}}_h, \overline{s}_h \in \overline{\mathcal{S}}, \overline{p}_h \in \overline{\mathcal{P}}_h$, the following holds 1184

$$\mathbb{P}(\overline{s}_h, \overline{p}_h \,|\, \overline{c}_h, \overline{g}_{1:h-1}) = \mathbb{P}(\overline{s}_h, \overline{p}_h \,|\, \overline{c}_h, \overline{g}'_{1:h-1}).$$

- Our goal is to prove that \mathcal{D} is sQC (up to null sets). In particular, we meant to prove that if agent 1185
- 1186 (i_1, h_1) influences agent (i_2, h_2) in the intrinsic model of the Dec-POMDP (cf. §F), then under any
- 1187 strategy $\overline{g}_{1:\overline{H}} \in \mathcal{G}_{1:\overline{H}}$, $\sigma(\overline{\tau}_{i_1,h_1}) \subseteq \sigma(\overline{\tau}_{i_2,h_2})$ except the null sets generated by $\overline{g}_{1:\overline{H}}$.
- We prove this by contradiction. If this is not true, then there exists some strategy $\overline{g}_{1:\overline{H}}$ 1188
- and $i_1, i_2 \in [n], h_1, h_2 \in [\overline{H}]$, such that agent (i_1, h_1) influences agent (i_2, h_2) , but either 1189
- 1190 $\sigma(\overline{\tau}_{i_1,h_1}) \nsubseteq \sigma(\overline{\tau}_{i_2,h_2})$ or $\sigma(\overline{a}_{i_1,h_1}) \nsubseteq \sigma(\overline{\tau}_{i_2,h_2})$ (up to the null sets generated by $\overline{g}_{1:\overline{H}}$). First, we
- can assume $i_2 \neq i_1$, since otherwise it always holds that $\overline{\tau}_{i_1,h_1} \subseteq \overline{\tau}_{i_1,h_2}$ and $\overline{a}_{i_1,h_1} \in \overline{\tau}_{i_1,h_2}$, due to 1191
- the assumption that the agents in \mathcal{D} have perfect recall. 1192
- 1194 Then, we discuss the following different cases. Note that in the following discussion, when it comes
- 1195 to σ -algebra inclusion, we meant it up to the null sets generated by \overline{g}_{1} . \overline{H} .
- 1. If $\sigma(\overline{a}_{i_1,h_1}) \nsubseteq \sigma(\overline{\tau}_{i_2,h_2})$, then it implies that $\sigma(\overline{a}_{i_1,h_1}) \nsubseteq \sigma(\overline{c}_{h_2})$ because $\overline{c}_{h_2} \subseteq \overline{\tau}_{i_2,h_2}$. This also implies that $\overline{a}_{i_1,h_1} \notin \overline{c}_{h_2}$, and thus $\overline{a}_{i_1,h_1} \in \overline{p}_{i_1,h_2}$ due to perfect recall. Note that there must 1196
- 1197
- exist some realizations $\overline{c}_{h_2} \in \overline{\mathcal{C}}_{h_2}, \overline{p}_{h_2} \in \overline{\mathcal{P}}_{h_2}, \overline{s}_{h_2} \in \overline{\mathcal{S}}$ such that \overline{c}_{h_2} has non-zero probability 1198
- under $\overline{g}_{1:h_2-1}$, and $\mathbb{P}(\overline{s}_{h_2}, \overline{p}_{h_2} \mid \overline{c}_{h_2}, \overline{g}_{1:h_2-1}) \neq 0$. Meanwhile, there must exist another different 1199
- action realization \overline{a}'_{i_1,h_1} such that 1200

$$\mathbb{P}(\overline{s}_{h_2}, \overline{p}_{h_2} \setminus \{\overline{a}_{i_1, h_1}\} \cup \{\overline{a}'_{i_1, h_1}\} \mid \overline{c}_{h_2}, \overline{g}_{1:h_2 - 1}) \neq 0, \tag{D.2}$$

- 1201 since otherwise it holds that $\sigma(\overline{a}_{i_1,h_1}) \subseteq \sigma(\overline{c}_{h_2})$. Actually, this means that there are some non-
- 1202 zero probability trajectories containing \overline{a}_{i_1,h_1} and \overline{c}_{h_2} , and some non-zero probability trajectories
- containing \overline{a}'_{i_1,h_1} and \overline{c}_{h_2} . Then, we define another strategy \overline{g}'_{i_1,h_1} as: 1203

$$\forall \overline{\tau}_{i_1,h_1} \in \overline{\mathcal{T}}_{i_1,h_1}, \quad \overline{g}'_{i_1,h_1}(\overline{\tau}_{i_1,h_1}) = \overline{a}'_{i_1,h_1},$$
 (D.3)

- and we let $\overline{g}'_{h_1}:=(\overline{g}_{1,h_1},\cdots,\overline{g}'_{i_1,h_1},\cdots,\overline{g}_{n,h_1})$ and $\overline{g}'_{1:h_2-1}:=(\overline{g}_1,\cdots,\overline{g}'_{h_1},\cdots,\overline{g}_{h_2-1}).$ Now we claim that \overline{c}_{h_2} has non-zero probability under $\overline{g}'_{1:h_2-1}$. From that \overline{c}_{h_2} has non-zero probability under $\overline{g}_{1:h_2-1}$, and $\mathbb{P}(\overline{s}_{h_2},\overline{p}_{h_2}\setminus\{\overline{a}_{i_1,h_1}\}\cup\{\overline{a}'_{i_1,h_1}\}\mid\overline{c}_{h_2},\overline{g}_{1:h_2-1})\neq 0$, we can get $\mathbb{P}(\overline{a}'_{i_1,h_1},\overline{c}_{h_2}\mid\overline{g}_{1:h_2-1})>0$. Since $\overline{g}'_{1:h_2-1}$ only differs from $\overline{g}_{1:h_2-1}$ in the strategy of agent (i_1,h_1) , and \overline{g}'_{i_1,h_1} always chooses \overline{a}'_{i_1,h_1} , then we get $\mathbb{P}(\overline{a}'_{i_1,h_1},\overline{c}_{h_2}\mid\overline{g}'_{1:h_2-1})\geq \mathbb{P}(\overline{a}'_{i_1,h_1},\overline{c}_{h_2}\mid\overline{g}_{1:h_2-1})>0$ because $\overline{g}_{1:h_2-1}$ and $\overline{g}'_{1:h_2-1}$ are the same in those trajectories containing \overline{a}' , and \overline{c}_{i_1} and thus $\mathbb{P}(\overline{c}_{i_1}\mid\overline{a}'_{i_1,h_1})>0$. Hence, we prove our claim 1204
- 1205
- 1206
- 1207
- 1208
- 1209
- 1210 taining \overline{a}'_{i_1,h_1} and \overline{c}_{h_2} , and thus $\mathbb{P}(\overline{c}_{h_2} \mid \overline{g}'_{1:h_2-1}) > 0$. Hence, we prove our claim.
- Meanwhile, due to (D.3), notice that 1211

$$\mathbb{P}(\bar{s}_{h_2}, \bar{p}_{h_2} | \bar{c}_{h_2}, \bar{g}'_{1:h_2-1}) = 0 \neq \mathbb{P}(\bar{s}_{h_2}, \bar{p}_{h_2} | \bar{c}_{h_2}, \bar{g}_{1:h_2-1}), \tag{D.4}$$

- which leads to a contradiction to the fact that $\mathcal D$ has SI-CIB. 1212
- 2. If $\sigma(\overline{a}_{i_1,h_1}) \subseteq \sigma(\overline{\tau}_{i_2,h_2})$, then it implies that $\sigma(\overline{\tau}_{i_1,h_1}) \nsubseteq \sigma(\overline{\tau}_{i_2,h_2})$, and further implies 1213
- that $\sigma(\overline{\tau}_{i_1,h_1}) \nsubseteq \sigma(\overline{c}_{h_2})$ since $\overline{c}_{h_2} \subseteq \overline{\tau}_{i_2,h_2}$. Note that there must exist some realizations 1214
- $\overline{c}_{h_2} \in \overline{\mathcal{C}}_{h_2}, \overline{ au}_{i_2,h_2} \in \overline{\mathcal{T}}_{i_2,h_2}$ such that $\overline{ au}_{i_2,h_2}$ has non-zero probability under $\overline{g}_{1:h_2-1}$ and $\overline{c}_{h_2} \subseteq \overline{\mathcal{C}}_{h_2}$ 1215
- 1216
- $\overline{ au}_{i_2,h_2}$, and there exist two realizations $\overline{ au}_{i_1,h_1},\overline{ au}'_{i_1,h_1}\in\overline{\mathcal{T}}_{i_1,h_1}$ such that $\mathbb{P}(\overline{ au}_{i_1,h_1}|\overline{ au}_{i_2,h_2})>0$, since otherwise, it holds that $\sigma(\overline{ au}_{i_1,h_1})\subseteq\sigma(\overline{c}_{h_2})$. Furthermore, 1217

- 1218
- we know that there exist $\overline{s}_{h_2} \in \overline{\mathcal{S}}, \overline{p}_{h_2} \in \overline{\mathcal{P}}_{h_2}$ such that $\mathbb{P}(\overline{s}_{h_2}, \overline{p}_{h_2} \mid \overline{c}_{h_2}, \overline{g}_{1:h_2-1}) > 0$ and $\overline{\tau}'_{i_2,h_2} \subseteq \overline{c}_{h_2} \cup \overline{p}_{h_2}$. Since $\sigma(\overline{a}_{i_1,h_1}) \subseteq \sigma(\overline{\tau}_{i_2,h_2})$, we know that there exists \overline{a}_{i_1,h_1} that $\mathbb{P}(\overline{a}_{i_1,h_1} \mid \overline{\tau}_{i_2,h_2}) = 1$. Let $\tau := \overline{\tau}_{i_1,h_1} \setminus \overline{c}_{h_2}$ and $\tau' := \overline{\tau}'_{i_1,h_1} \setminus \overline{c}_{h_2}$. and consider another action $\overline{a}'_{i_1,h_1} \neq \overline{a}_{i_1,h_1}$ and strategy \overline{g}'_{i_1,h_1} defined such that 1219
- 1220
- 1221

$$\overline{g}'_{i_1,h_1}(\overline{\tau}_{i_1,h_1}) = \overline{a}'_{i_1,h_1}, \quad \overline{g}'_{i_1,h_1}(\overline{\tau}'_{i_1,h_1}) = \overline{a}_{i_1,h_1}, \tag{D.5}$$

- and keeps $g'_{i_1,h_1}(\overline{\tau}''_{i_1,h_1})$ the same as $g_{i_1,h_1}(\overline{\tau}''_{i_1,h_1})$ for any other $\overline{\tau}''_{i_1,h_1}$. We denote $\overline{g}'_{h_1}:=(\overline{g}_{1,h_1},\cdots,\overline{g}'_{i_1,h_1},\cdots,\overline{g}_{n,h_1})$ and $\overline{g}'_{1:h_2-1}:=(\overline{g}_1,\cdots,\overline{g}'_{h_1},\cdots,\overline{g}_{h_2-1})$. Since $(\overline{\tau}'_{i_1,h_1},\overline{\tau}_{i_2,h_2})$ has non-zero probability under $\overline{g}_{1:h_2-1}$ and $\mathbb{P}(\overline{a}_{i_1,h_1}|\overline{\tau}_{i_2,h_2})$, then we know $(\overline{\tau}'_{i_1,h_1},\overline{\tau}_{i_2,h_2})$ has 1222
- 1223
- 1224
- non-zero probability under $\overline{g}_{;1:h_2-1}$. Hence, we know that \overline{c}_{h_2} has non-zero probability under 1225
- 1226 \overline{g} ;_{1:h2-1}. Meanwhile, it holds that

$$\begin{split} & \mathbb{P}(\overline{s}_{h_{2}}, \overline{p}_{h_{2}} \,|\, \overline{c}_{h_{2}}, \overline{g}'_{1:h_{2}-1}) = \frac{\mathbb{P}(\overline{s}_{h_{2}}, \overline{p}_{h_{2}}, \overline{c}_{h_{2}} \,|\, \overline{g}'_{1:h_{2}-1})}{\mathbb{P}(\overline{c}_{h_{2}} \,|\, \overline{g}'_{1:h_{2}-1})} \\ & = \frac{\mathbb{P}(\overline{s}_{h_{2}}, \overline{\tau}_{h_{2}} \,|\, \overline{g}'_{1:h_{2}-1})}{\mathbb{P}(\overline{c}_{h_{2}} \,|\, \overline{g}'_{1:h_{2}-1})} = 0 \neq \mathbb{P}(\overline{s}_{h_{2}}, \overline{p}_{h_{2}} \,|\, \overline{c}_{h_{2}}, \overline{g}_{1:h_{2}-1}), \end{split}$$
(D.6)

- where the third equal sign is because $\overline{a}_{i_1,h_1}\in\overline{\tau}_{h_2},\overline{\tau}_{i_1,h_1}\subseteq\overline{\tau}_{h_2}$ from perfect recall, and 1227
- 1228 $\overline{a}_{i_1,h_1},\overline{\tau}_{i_1,h_1}$ can never happen together under $\overline{g}'_{1:h_2-1}$ due to (D.5). Therefore, (D.6) leads to
- a contradiction to the fact that \mathcal{D} has SI-CIB and thus completes the proof. 1229

Collection of Algorithm Pseudocodes ${f E}$ 1231

1232 Here we collect both our planning and learning algorithms as pseudocodes in Algorithms 1, 2, 3, 4, 5, and 6.

Algorithm 1 Planning in QC LTC Problems

```
Require: LTC \mathcal{L}, accuracy levels \epsilon_r, \epsilon_z > 0
```

Reformulate \mathcal{L} to $\mathcal{D}_{\mathcal{L}}$ based on Eq. (C.1).

Expand $\mathcal{D}_{\mathcal{L}}$ to $\mathcal{D}_{\mathcal{L}}^{\dagger}$ based on Eq. (4.1).

Refine $\mathcal{D}_{\mathcal{L}}^{\dagger}$ to $\mathcal{D}_{\mathcal{L}}^{\prime}$ based on \mathcal{L} .

Construct expected Approximate Common-information Model \mathcal{M} from $\mathcal{D}'_{\mathcal{L}}$ with error ϵ_r, ϵ_z .

 $\overline{g}_{1:\widetilde{H}}^* \leftarrow \text{Algorithm } \mathbf{6}(\mathcal{M})$

 $\begin{array}{l} g_{1:H}^{3:H} \leftarrow \varphi(\overline{g}_{1:\widetilde{H}}^*, \mathcal{D}_{\mathcal{L}}) \\ g_{1:H}^{m,*} \leftarrow \{\widetilde{g}_{1}^*, \widetilde{g}_{3}^*, \cdots, \widetilde{g}_{2H-1}^*\} \\ g_{1:H}^{a,*} \leftarrow \{\widetilde{g}_{2}, \widetilde{g}_{4}, \cdots, \widetilde{g}_{2H}\} \\ \mathbf{Return} \ (g_{1:H}^{m,*}, g_{1:H}^{a,*}) \end{array}$

1233

Decentralized POMDPs (with Information Sharing) \mathbf{F} 1234

1235 A Dec-POMDP with n agents and potential information sharing can be characterized by a tuple

$$\mathcal{D} = \langle H, \mathcal{S}, \{\mathcal{A}_{i,h}\}_{i \in [n], h \in [H]}, \{\mathcal{O}_{i,h}\}_{i \in [n], h \in [H]}, \{\mathbb{T}_h\}_{h \in [H]}, \{\mathbb{O}_h\}_{h \in [H]}, \mu_1, \{\mathcal{R}_h\}_{h \in [H]}\rangle, \mathcal{C}_h \}_{h \in [H]}$$

- where H denotes the length of each episode, S denotes state space, and $A_{i,h}$ denotes the control 1236
- 1237 action spaces of agent i at timestep h. We denote by $s_h \in \mathcal{S}$ the state and by $a_{i,h}$ the control action
- 1238 of agent i at timestep h. We use $a_h := (a_{1,h}, \cdots, a_{n,h}) \in \mathcal{A}_h := \mathcal{A}_{1,h} \times \mathcal{A}_{2,h} \times \cdots \mathcal{A}_{n,h}$ to
- denote the joint control action for all the n agents at timestep h, with A_h denoting the joint control 1239
- 1240 action space at timestep h. We denote $\mathbb{T} = {\mathbb{T}_h}_{h \in [H]}$ the collection of transition functions, where

Algorithm 2 Learning in QC LTC Problems

```
Require: Underlying environment LTC \mathcal{L}, iteration number K. Reformulate \mathcal{L} to \mathcal{D}_{\mathcal{L}} based on Eq. (C.1). Refine \mathcal{D}_{\mathcal{L}} to \mathcal{D}'_{\mathcal{L}} based on Eq. (4.1). Obtain \{\overline{g}^{1:\overline{H},j}\}_{j=1}^K by calling Algorithm 3 of (Golowich et al., 2022). for j=1 to K do Construct \widehat{\mathcal{M}}(\overline{g}^{1:\overline{H},j}) by calling Algorithm 5 of (Liu & Zhang, 2023) with the underlying environment \mathcal{D}'_{\mathcal{L}} and \overline{g}^{1:\overline{H},j}. \overline{g}^{j,*}_{1:\overline{H}} \leftarrow \text{Algorithm } 6(\widehat{\mathcal{M}}(\overline{g}^{1:\overline{H},j})) end for \overline{g}^*_{1:\overline{H}} \leftarrow \text{Algorithm } 8(\{\overline{g}^{j,*}_{1:\overline{H}}\}_{j=1}^K) of (Liu & Zhang, 2023). \widehat{g}^*_{1:\overline{H}} \leftarrow \varphi(\overline{g}^*_{1:\overline{H}}, \mathcal{D}_{\mathcal{L}}) g^{m,*}_{1:\overline{H}} \leftarrow \{\widetilde{g}^*_{1}, \widetilde{g}^*_{3}, \cdots, \widetilde{g}^*_{2H-1}\} g^{m,*}_{1:\overline{H}} \leftarrow \{\widetilde{g}^*_{1}, \widetilde{g}^*_{3}, \cdots, \widetilde{g}^*_{2H-1}\} g^{m,*}_{1:\overline{H}} \leftarrow \{\widetilde{g}^*_{1}, \widetilde{g}^*_{3}, \cdots, \widetilde{g}^*_{2H-1}\} Return (g^{m,*}_{1:H}, g^{m,*}_{1:H})
```

Algorithm 3 Vanilla Realization of $\varphi(\breve{y}_{1:\breve{H}}, \mathcal{D}_{\mathcal{L}})$

```
\begin{aligned} & \text{Require: Strategy } \ \breve{g}_{1:\breve{H}} \leftarrow \emptyset \\ & \text{ for } h_2 = 1 \text{ to } \breve{H}, i_2 = 1 \text{ to } n, \ \widetilde{\tau}_{i_2,h_2} \in \widetilde{\mathcal{T}}_{i_2,h_2} \text{ do } \\ & \ \breve{\tau}_{i_2,h_2} \leftarrow \widetilde{\tau}_{i_2,h_2} \\ & \text{ for } h_1 = 1 \text{ to } h_2 - 1, i_1 = 1 \text{ to } n \text{ do } \\ & \text{ if } \sigma(\widetilde{\tau}_{i_1,h_1}) \subseteq \sigma(\widetilde{\tau}_{i_2,h_2}) \text{ in } \mathcal{D}_{\mathcal{L}} \text{ then } \\ & \ \widetilde{a}_{i_1,h_1} \leftarrow \widetilde{g}_{i_1,h_1}(\widetilde{\tau}_{i_1,h_1}) \\ & \ \breve{\tau}_{i_2,h_2} \leftarrow \breve{\tau}_{i_2,h_2} \cup \{\widetilde{a}_{i_1,h_1}\} \\ & \text{ end if } \\ & \text{ end for } \\ & \ \widetilde{g}_{i_2,h_2}(\widetilde{\tau}_{i_2,h_2}) \leftarrow \breve{g}_{i_2,h_2}(\breve{\tau}_{i_2,h_2}) \\ & \text{ end for } \\ & \ \text{Return } \widetilde{g}_{1.\widetilde{H}} \end{aligned}
```

Algorithm 4 Efficient Implementation of $\varphi(reve{g}_{1:reve{H}},\mathcal{D}_{\mathcal{L}})$

Algorithm 5 Recover $\breve{\tau}_{i,h}$ from $\widetilde{\tau}_{i,h}$

```
Require: Information \widetilde{\tau}_{i,h}, Strategy \widecheck{g}_{1:h-1}, QC Dec-POMDP \mathcal{D}_{\mathcal{L}}
\widecheck{\tau}_{i,h} \leftarrow \widetilde{\tau}_{i,h}
for j=1 to n, \, h'=1 to h-1 do
\mathbf{if} \ \sigma(\widetilde{\tau}_{j,h'}) \subseteq \sigma(\widetilde{c}_h) \ \text{in} \ \mathcal{D}_{\mathcal{L}} \ \text{and} \ \widetilde{a}_{j,h'} \notin \widecheck{\tau}_{i,h} \ \mathbf{then}
\widecheck{\tau}_{j,h'} \leftarrow \operatorname{Recover}(\widetilde{\tau}_{j,h'},\widecheck{g}_{1:h'-1},\mathcal{D}_{\mathcal{L}})
\widecheck{a}_{j,h'} \leftarrow \widecheck{g}_{j,h'}(\widecheck{\tau}_{j,h})
\widecheck{\tau}_{i,h} \leftarrow \widecheck{\tau}_{j,h} \cup \{\widetilde{a}_{j,h'}\}
end if
end for
Return \widecheck{\tau}_{i,h}
```

Algorithm 6 Planning in Dec-POMDP with expected Approximate Common-information Model

```
 \begin{aligned} & \textbf{Require:} \  \, \text{Expected Approximate Common-information Model } \mathcal{M}. \\ & \textbf{for } i \in [n] \ \text{and } \widehat{c}_{\overline{H}+1} \in \widehat{\mathcal{C}}_{\overline{H}+1} \ \textbf{do} \\ & V_{i,\overline{H}+1}^{*,\mathcal{M}}(\widehat{c}_{\overline{H}+1}) \leftarrow 0 \\ & \textbf{end for} \\ & \textbf{for } \widehat{c}_h \in \widehat{\mathcal{C}}_h \ \textbf{do} \\ & \text{Define } Q_h^{*,\mathcal{M}}(\widehat{c}_h,\gamma_{1,h},\cdots,\gamma_{n,h}) := \widehat{\mathcal{R}}_h^{\mathcal{M}}(\widehat{c}_h,\gamma_h) + \mathbb{E}^{\mathcal{M}} \left[ V_{h+1}^{*,\mathcal{M}}(\widehat{c}_{h+1}) \, | \, \widehat{c}_h,\gamma_h \right] \\ & \left( \widehat{g}_{1,h}^*(\cdot | \, \widehat{c}_h, \cdot), \cdots, \widehat{g}_{n,h}^*(\cdot | \, \widehat{c}_h, \cdot) \right) \leftarrow \underset{\gamma_{1:n,h} \in \Gamma_h}{\operatorname{argmax}} \, Q_h^{*,\mathcal{M}}(\widehat{c}_h,\gamma_{1,h},\cdots,\gamma_{n,h}) \\ & \textbf{end for} \\ & V_h^{*,\mathcal{M}}(\widehat{c}_h) \leftarrow \max_{\gamma_{1:n,h}} Q_h^{*,\mathcal{M}}(\widehat{c}_h,\gamma_{1,h},\cdots,\gamma_{n,h}) \\ & \textbf{end for} \\ & \textbf{Return } \widehat{g}_{1.\overline{D}}^* \end{aligned}
```

- 1241 $\mathbb{T}_h(\cdot | s_h, a_h) \in \Delta(\mathcal{S})$ gives the transition probability to the next state s_{h+1} when taking the joint
- 1242 control action a_h at state s_h . We use $\mu_1 \in \Delta(\mathcal{S})$ to denote the distribution of the initial state s_1 . We
- 1243 denote by $\mathcal{O}_{i,h}$ the observation space and by $o_{i,h} \in \mathcal{O}_{i,h}$ the observation of agent i at timestep h. We
- use $o_h := (o_{1,h}, o_{2,h}, \cdots, o_{n,h}) \in \mathcal{O}_h := \mathcal{O}_{1,h} \times \mathcal{O}_{2,h} \times \cdots \mathcal{O}_{n,h}$ to denote the joint observation 1244
- 1245 of all the n agents at timestep h, with \mathcal{O}_h denoting the joint observation space at timestep h. We
- 1246 use $\{\mathbb{O}_h\}_{h\in[H]}$ to denote the collection of emission matrices, where $o_h\sim\mathbb{O}_h(\cdot\,|\,s_h)\in\Delta(\mathcal{O}_h)$ at
- timestep h under state $s_h \in \mathcal{S}$. For notational convenience, we adopt the matrix convention, where 1247
- 1248 \mathbb{O}_h is a matrix with each row $\mathbb{O}_h(\cdot | s_h)$ for all $s_h \in \mathcal{S}$. Also, we denote by $\mathbb{O}_{i,h}$ the marginalized
- 1249 emission for agent i at timestep h. Finally, $\{\mathcal{R}_h\}_{h\in[H]}$ is a collection of reward functions among all
- 1250 agents, where $\mathcal{R}_h: \mathcal{S} \times \mathcal{A}_h \to [0, 1]$.
- At timestep h, each agent i in the Dec-POMDP has access to some information $\tau_{i,h}$, a subset of his-1251
- 1252 torical joint observations and actions, namely, $\tau_{i,h} \subseteq \{o_1, a_1, o_2, \cdots, a_{h-1}, o_h\}$, and the collection
- 1253 of all possible such available information is denoted by $\mathcal{T}_{i,h}$. We use τ_h to denote the *joint* available
- 1254 information at timestep h. Meanwhile, agents may share part of the history with each other. The
- 1255 common information $c_h = \bigcup_{t=1}^h z_t$ at timestep h is thus a subset of the joint history τ_h , where z_h
- 1256 is the information shared at timestep h. We use C_h to denote the collection of all possible c_h at 1257
- timestep h, and use $\mathcal{T}_{i,h}$ to denote the collection of all possible $\tau_{i,h}$ of agent i at timestep h. Besides 1258
- the common information c_h , each agent also has her private information $p_{i,h} = \tau_{i,h} \setminus c_h$, where the
- 1259 collection of $p_{i,h}$ is denoted by $\mathcal{P}_{i,h}$. We also denote by p_h the *joint* private information, and by \mathcal{P}_h
- 1260 the collection of all possible p_h at timestep h. We refer to the above the state-space model of the
- 1261 Dec-POMDP (with information sharing).
- Each agent i at timestep h chooses the control action $a_{i,h}$ based on some strategy $g_{i,h}: \mathcal{T}_{i,h} \to \mathcal{A}_{i,h}$. 1262
- 1263 We denote by $g_h := (g_{1,h}, g_{2,h}, \dots, g_{n,h})$ the joint control strategy of all the agents, and by $g_{1:h} :=$
- 1264 $(g_1,g_2,\cdots,g_h), \forall h\in[H]$ the sequence of joint strategies from timestep 1 to h. We use $\mathcal{G}_{i,h}$ to
- 1265 denote the strategy space of $g_{i,h}$, and use \mathcal{G}_h , $\mathcal{G}_{1:h}$ to denote joint strategy spaces, correspondingly.
- 1266 Next, we introduce some background on the intrinsic model and information structure of Dec-
- 1267 POMDPs.

1283

F.1 Intrinsic Model

- 1269 In an intrinsic model (Witsenhausen, 1975), we regard the agent i at different timesteps as dif-
- 1270 ferent agents, and each agent only acts once throughout. Any Dec-POMDP \mathcal{D} with n agents
- can be formulated within the intrinsic-model framework, and can be characterized by a tuple
- $\langle (\Omega, \mathscr{F}), N, \{(\mathbb{U}_l, \mathscr{U}_l)\}_{l=1}^N, \{(\mathbb{I}_l, \mathscr{I}_l)\}_{l=1}^N \rangle$ (Mahajan et al., 2012), where (Ω, \mathcal{F}) is a measurable 1272
- space of the environment, $N = n \times H$ is the number of agents in the intrinsic model. By a slight 1273
- 1274 abuse of notation, we write $[N] := [n] \times [H]$, and write $l := (i, h) \in [N]$ for notational convenience.
- 1275 This way, any agent $l \in [N]$ corresponds to an agent $i \in [n]$ at timestep $h \in [H]$ in the state-space
- 1276 model. We denote by \mathbb{U}_l the measurable action space of agent l and by \mathscr{U}_l the σ -algebra over \mathbb{U}_l . For
- 1277 $A\subseteq [N]$, let $\mathbb{H}_A:=\Omega\times\prod_{l\in A}\mathbb{U}_l$ and $\mathbb{H}:=\mathbb{H}_{[N]}$. For any σ -algebra $\mathscr C$ over \mathbb{H}_A , let $\langle\mathscr C\rangle$ denote
- the cylindrical extension of $\mathscr C$ on $\mathbb H$. Let $\mathscr H_A:=\langle\mathscr F\otimes(\otimes_{l\in A}\mathscr U_l)\rangle$ and $\mathscr H=\mathscr H_{[N]}$. We denote 1278
- by \mathbb{I}_l the space of information available to agent l, and by \mathscr{I}_l the σ -algebra over \mathbb{H} . For $l \in [N]$, 1279
- 1280 we denote by I_l the information of agent l, and U_l the action of agent l. The spaces and random
- 1281 variables of agent l = (i, h) in the intrinsic model are related to those in the state-space model as
- 1282 follows: $\forall l = (i, h) \in [N], \mathbb{U}_l = \mathcal{A}_{i,h}, \mathbb{I}_l = \mathcal{T}_{i,h}, U_l = a_{i,h}, I_l = \tau_{i,h}.$

F.2 Information Structures of Dec-POMDPs

- 1284 An important class of IS is the *quasi-classical* one, which is defined as follows (Witsenhausen, 1975;
- 1285 Mahajan et al., 2012; Yüksel & Başar, 2023).
- **Definition F.1** (Quasi-classical Dec-POMDPs). We call a Dec-POMDP problem QC if each agent 1286
- in the intrinsic model knows the information available to the agents who influence her, directly or 1287

- indirectly, i.e. $\forall l_1, l_2 \in [N], l_1 = (i_1, h_1), l_2 = (i_2, h_2), i_1, i_2 \in [n], h_1, h_2 \in [H],$ if agent l_1 1288
- 1289 influences agent l_2 , then $\mathscr{I}_{l_1} \subseteq \mathscr{I}_{l_2}$.
- 1290 Furthermore, strictly quasi-classical IS (Witsenhausen, 1975; Mahajan & Yüksel, 2010), as a sub-
- 1291 class of QC IS, is defined as follows.
- 1292 **Definition F.2** (Strictly quasi-classical Dec-POMDPs). We call a Dec-POMDP problem sOC if each
- 1293 agent in the intrinsic model knows the information and actions available to the agents who influence
- her, directly or indirectly. That is, $\forall l_1, l_2 \in [N], l_1 = (i_1, h_1), l_2 = (i_2, h_2), i_1, i_2 \in [n], h_1, h_2 \in [n]$ 1294
- 1295 [H], if agent l_1 influences agent l_2 , then $\mathscr{I}_{l_1} \cup \langle \mathscr{U}_{l_1} \rangle \subseteq \mathscr{I}_{l_2}$.

1296 F.3 Intrinsic Model of LTC Problems

- 1297 Firstly, we formally define the Dec-POMDP induced by LTC as follows
- **Definition F.3** (Dec-POMDP (with information sharing) induced by LTC). For an LTC \mathcal{L} , we call 1298
- 1299 a Dec-POMDP (with information sharing) $\overline{\mathcal{D}}_{\mathcal{L}}$ the Dec-POMDP (with information sharing) induced
- by \mathcal{L} if the agents share information only following the baseline sharing protocol of \mathcal{L} , i.e., without 1300
- 1301 additional sharing. We may refer to it as the Dec-POMDP induced by LTC or the induced Dec-
- 1302 *POMDP* for short.

1320

- 1303 Given any LTC \mathcal{L} of the state-space-model form defined in §2.1, we define the intrinsic model of \mathcal{L}
- 1304
- as a tuple $\langle (\Omega, \mathscr{F}), N, \{(\mathbb{U}_l, \mathscr{U}_l)\}_{l=1}^N, \{(\mathbb{M}_l, \mathscr{M}_l)\}_{l=1}^N, \{(\mathbb{I}_{l^-}, \mathscr{I}_{l^-})\}_{l=1}^N, \{(\mathbb{I}_{l^+}, \mathscr{I}_{l^+})\}_{l=1}^N, \text{ where } (\Omega, \mathscr{F}) \text{ is the measure space representing all the uncertainty in the system;}$ 1305
- 1306 $N = n \times H$ is the number of agents in the intrinsic model. By a slight abuse of notation, we write
- 1307 $[N] := [n] \times [H]$, and write $l := (i, h) \in [N]$ for convenience. This way, any agent $l \in [N]$
- 1308 corresponds to an agent $i \in [n]$ at timestep $h \in [H]$ in the state-space model, and we thus define
- $l^- := (i, h^-)$ and $l^+ := (i, h^+)$ accordingly. We denote by \mathbb{U}_l and \mathbb{M}_l the measurable control and 1309
- 1310 communication action spaces of agent l, and by \mathcal{U}_l and \mathcal{M}_l the σ -algebra over \mathbb{U}_l and \mathbb{M}_l , respec-
- tively. For any $A\subseteq [N]$, let $\mathbb{H}_A:=\Omega\times\prod_{l\in A}(\mathbb{U}_l\times\mathbb{M}_l)$ and $\mathbb{H}:=\mathbb{H}_{[N]}$. For any σ -algebra $\mathscr C$ over 1311
- \mathbb{H}_A , let $\langle \mathscr{C} \rangle$ denote the cylindrical extension of \mathscr{C} on \mathbb{H} . Let $\mathscr{H}_A := \langle \mathscr{F} \otimes (\otimes_{l \in A} \mathscr{U}_l) \otimes (\otimes_{l \in A} \mathscr{M}_l) \rangle$, 1312
- 1313 $\mathscr{H}=\mathscr{H}_{[N]}$. We denote by \mathbb{I}_{l^-} and \mathbb{I}_{l^+} the spaces of information available to agent l before and
- 1314 after additional sharing, respectively, and by $\mathcal{I}_{l^-} \subseteq \mathcal{H}$ and $\mathcal{I}_{l^+} \subseteq \mathcal{H}$ the associated σ -algebra.
- The spaces and random variables of agent l = (i, h) in the intrinsic model are related to those in 1315
- the state-space model as follows: $\forall l=(i,h)\in[N], \mathbb{U}_l=\mathcal{A}_{i,h}, \mathbb{M}_l=\mathcal{M}_{i,h}, \mathbb{I}_{l^-}=\mathcal{T}_{i,h^-}, \mathbb{I}_{l^+}=\mathcal{M}_{i,h}$ 1316
- $\mathcal{T}_{i,h^+}, U_l = a_{i,h}, M_l = m_{i,h}, I_{l^-} = au_{i,h^-}, I_{l^+} = au_{i,h^+}$. For notational convenience, for any random 1317
- variable B in LTC and the σ -algebra \mathcal{B} generated by B, we overload $\sigma(B)$ to denote the cylindrical 1318
- 1319 extension of \mathscr{B} on \mathbb{H} , i.e., $\sigma(B) = \langle \mathscr{B} \rangle$.

Conditions Leading to Assumption 4.3

- As a minimal requirement for computational tractability (for both Dec-POMDPs and LTCs), As-1321
- 1322 sumption 4.3 is needed for the one-step tractability of the team-decision problem involved in the
- value iteration in Algorithm 6. We now adapt several such structural conditions from (Liu & Zhang, 1323
- 1324 2023) to the LTC setting, which lead to this assumption and have been studied in the literature. Note
- 1325 that since we need to do planning in the approximate model \mathcal{M} , which is oftentimes constructed
- based on the original problem \mathcal{L} and approximate belief $\{\mathbb{P}_h^{\mathcal{M},c}(\overline{s}_h,\overline{p}_h\,|\,\widehat{c}_h)\}_{h\in[\overline{H}]}$, we necessarily 1326
- need assumptions on these two models \mathcal{L} and \mathcal{M} , for which we refer to as the **Part** (1) and **Part** (2) 1327
- 1328 of the conditions below, respectively.
- 1329 • Turn-based structures. Part (1): At each timestep $h \in [H]$, there is only one agent, denoted
- as $ct(h) \in [n]$, that can affect the state transition. More concretely, the transition dynamics take 1330
- the forms of $\mathbb{T}_h: \mathcal{S} \times \mathcal{A}_{ct(h)} \to \Delta(\mathcal{S})$. Additionally, we assume the reward function admits an 1331
- additive structure such that $\mathcal{R}_h(s_h, a_h) = \sum_{i \in [n]} \mathcal{R}_{i,h}(s_h, a_{i,h})$ for some functions $\{\mathcal{R}_{i,h}\}_{i \in [n]}$. 1332
- Meanwhile, since only agent ct(h) takes the action, we assume the increment of the common 1333
- information $z_{h+1}^b = \chi_{h+1}(p_{h+}, a_{ct(h),h}, o_{h+1})$. Part (2): No additional requirement. Such a 1334

- structure has been commonly studied in (fully observable) stochastic games and multi-agent RL 1335 1336 (Filar & Vrieze, 2012; Bai & Jin, 2020).
- 1337 Nested private information. Part (1): No additional requirement. Part (2): At each timestep 1338 $h \in [H]$, all the agents form a hierarchy according to the private information after $a_{i,h}$ they possess, in the sense that $\forall i,j \in [n], j < i, \overline{p}_{j,h} = Y_h^{i,j}(\overline{p}_{i,h})$ for some function $Y_h^{i,j}$. More formally, the approximate belief satisfies that $\mathbb{P}_h^{\mathcal{M},c}(\overline{p}_{j,h} = Y_h^{i,j}(\overline{p}_{i,h}) \mid \overline{p}_{i,h}, \widehat{c}_h) = 1$. Such a structure has been investigated in (Peralez et al., 2024) with heuristic search, and in (Liu & Zhang, 1339 1340
- 1341 1342 2023) with finite-time complexity analysis.
- 1343 • Factorized structures. Part (1): At each timestep $h \in [H]$, the state s_h can be partitioned into
- n local states, i.e., $s_h = (s_{1,h}, s_{2,h}, \dots, s_{n,h})$. Meanwhile, the transition kernel takes the product 1344
- form of $\mathbb{T}_h(s_{h+1} \mid s_h, a_h) = \prod_{i=1}^n \mathbb{T}_{i,h}(s_{i,h+1} \mid s_{i,h}, a_{i,h})$, the emission also takes the product form of $\mathbb{O}_h(o_h \mid s_h) = \prod_{i=1}^n \mathbb{O}_{i,h}(o_{i,h} \mid s_{i,h})$, and the reward function can be decoupled into n1345
- 1346
- terms such that $\mathcal{R}_h(s_h, a_h) = \sum_{i,h} \mathcal{R}_h(s_{i,h}, a_{i,h})$. Part (2): At each even timestep $h \in [\overline{H}]$, the approximate common information is also factorized so that $\widehat{c}_h = (\widehat{c}_{1,h}, \widehat{c}_{2,h}, \cdots, \widehat{c}_{n,h})$ and its 1347
- 1348
- evolution satisfies that $\widehat{c}_{i,h+1} = \widehat{\phi}_{i,h+1}(\widehat{c}_{i,h},\overline{z}_{i,h})$ for some function $\widehat{\phi}_{i,h+1}$. Correspondingly, the approximate belief need to satisfy that $\mathbb{P}_h^{\mathcal{M},c}(\overline{s}_h,\overline{p}_h\,|\,\widehat{c}_h) = \prod_{i=1}^n \mathbb{P}_{i,h}^{\mathcal{M},c}(\overline{s}_{i,h},\overline{p}_{i,h}\,|\,\widehat{c}_{i,h})$ for some 1349
- 1350
- 1351
- functions $\{\mathbb{P}_{i,h}^{\mathcal{M},c}\}_{i\in[n],h\in[\overline{H}]}$ Such a structure, under general information sharing protocols, can lead to non-classical IS. In this case, it can be viewed an example of non-classical ISs where the 1352
- agents have no incentive for signaling (Yüksel & Başar, 2023, §3.8.3). 1353
- 1354 **Lemma G.1.** Given any LTC problem \mathcal{L} and $\mathcal{D}'_{\mathcal{L}}$ is the Dec-POMDP after reformulation and ex-
- pansion. For any $\mathcal M$ to be the approximate model of $\mathcal D_{\mathcal L}$ and $\{\mathbb P_h^{\mathcal M,c}\}_{h\in[\overline H]}$ to be the approximate belief, if they satisfy any of the 3 conditions above, then Eq. (E.1) in Algorithm 6 can be solved in 1355
- 1356
- 1357 polynomial time, i.e., Assumption 4.3 holds.
- *Proof.* We prove the result case by case: 1358
- Turn-based structures: For any $h=2t, t\in [H], \gamma_{ct(h),h}\in \Gamma_{ct(h)}, \gamma_{-ct(h),h}, \gamma'_{-ct(h),h}\in$ 1359 $\Gamma_{-ct(h),h}$, where ct(h) is the controller, it holds for any \widehat{c}_h that 1360

$$\begin{split} &Q_{h}^{*,\mathcal{M}}(\widehat{c}_{h},\gamma_{ct(h),h},\gamma_{-ct(h),h})\\ &=\sum_{\overline{s}_{h},\overline{p}_{h},\overline{s}_{h+1},\overline{o}_{h+1}} \mathbb{P}_{h}^{\mathcal{M},c}(\overline{s}_{h},\overline{p}_{h} \mid \widehat{c}_{h})\overline{\mathbb{T}}_{h}(\overline{s}_{h+1} \mid \overline{s}_{h},\gamma_{ct(h),h}(\overline{p}_{ct(h),h})\gamma_{-ct(h),h}(\overline{p}_{-ct(h),h}))\\ &\overline{\mathbb{O}}_{h+1}(\overline{o}_{h+1} \mid \overline{s}_{h+1})[\overline{\mathcal{R}}_{h}(\overline{s}_{h},\gamma_{ct(h),h}(\overline{p}_{ct(h),h})) + V_{h+1}^{*,\mathcal{M}}(\widehat{c}_{h+1})]\\ &=\sum_{\overline{s}_{h},\overline{p}_{h},\overline{s}_{h+1},\overline{o}_{h+1}} \mathbb{P}_{h}^{\mathcal{M},c}(\overline{s}_{h},\overline{p}_{h} \mid \widehat{c}_{h})\overline{\mathbb{T}}_{h}(\overline{s}_{h+1} \mid \overline{s}_{h},\gamma_{ct(h),h}(\overline{p}_{ct(h),h})\\ &\overline{\mathbb{O}}_{h+1}(\overline{o}_{h+1} \mid \overline{s}_{h+1})[\overline{\mathcal{R}}_{h}(\overline{s}_{h},\gamma_{ct(h),h}(\overline{p}_{ct(h),h})) + V_{h+1}^{*,\mathcal{M}}(\widehat{c}_{h+1})], \end{split}$$

- where the last step is due to the fact that $\widehat{c}_{h+1} = \widehat{\phi}_{h+1}(\widehat{c}_h, \overline{z}_{h+1})$. And $\overline{z}_{h+1} = z_{\frac{h}{h}+1}^b = z_{\frac{h}{h}+1}^b$ 1361
- $\chi_{\frac{h}{2}+1}(\overline{p}_h, \overline{a}_{ct(h),h}, \overline{o}_{h+1})$. Therefore, right-hand side does no depend on $\gamma_{-ct(h),h}$. Therefore, 1362
- Eq. (E.1) with complexity poly(\overline{S} , $\overline{P}_{ct(h)}$, $\overline{A}_{ct(h)}$). 1363
- Nested private information: For any $i \in [n], h = 2t, t \in [H]$, we first define the $u_{i,h} \in \mathcal{U}_{i,h} := \{(\times_{j=1}^{i}\mathcal{P}_{j,h}) \times (\times_{j=1}^{i-1}\mathcal{A}_{j,h}) \to \mathcal{A}_{i,h}\}$ and slightly abuse the notation for $Q_h^{*,\mathcal{M}}$ as follows 1364 1365

$$\begin{aligned} &Q_h^{*,\mathcal{M}}(\widehat{c}_h,u_{1,h},\cdots,u_{n,h})\\ &:= \sum_{\overline{s}_h,\overline{p}_h,\overline{a}_h,\overline{s}_{h+1},\overline{o}_{h+1}} \mathbb{P}_h^{\mathcal{M},c}(\overline{s}_h,\overline{p}_h\,|\,\widehat{c}_h)\Pi_{i=1}^n\mathbb{1}[\overline{a}_{i,h} = u_{i,h}(\overline{p}_{1:i,h},\overline{a}_{1:i-1,h})]\overline{\mathbb{T}}_h(\overline{s}_{h+1}\,|\,\overline{s}_h,\overline{a}_h)\\ &\overline{\mathbb{O}}_{h+1}(\overline{o}_{h+1}\,|\,\overline{s}_{h+1})[\overline{\mathcal{R}}_h(\overline{s}_h,\overline{a}_h) + V_{h+1}^{*,\mathcal{M}}(\widehat{c}_{h+1})] \end{aligned}$$

- Since the space of $\mathcal{U}_{i,h}$ covers the space $\Gamma_{i,h}$, then for the $u_{1:n,h}^*$ be an optimal one that maximize
- 1367 the $Q_h^{*,\mathcal{M}}$, we have

$$\begin{aligned} & Q_h^{*,\mathcal{M}}(\widehat{c}_h, u_{1,h}^*, \cdots, u_{n,h}^*) \\ &= \max_{\{u_{i,h} \in \mathcal{U}_{i,h}\}_{i \in [n]}} Q_h^{*,\mathcal{M}}(\widehat{c}_h, u_{1,h}, \cdots, u_{n,h}) \geq \max_{\{\gamma_{i,h} \in \Gamma_{i,h}\}_{i \in [n]}} Q_h^{*,\mathcal{M}}(\widehat{c}_h, \gamma_{1,h}, \cdots, \gamma_{n,h}). \end{aligned}$$

- Meanwhile, due to the nested private information condition, for any $\overline{p}_h \in \overline{P}_h$, there must exists
- 1369 $\gamma'_{1:n,h}$ such that $\gamma'_{1:n,h}$ output the same actions as $u^*_{1:n,h}$ under \overline{p}_h . Therefore, we can conclude
- 1370 that

$$\max_{\{u_{i,h} \in \mathcal{U}_{i,h}\}_{i \in [n]}} Q_h^{*,\mathcal{M}}(\widehat{c}_h, u_{1,h}, \cdots, u_{n,h}) = \max_{\{\gamma_{i,h} \in \Gamma_{i,h}\}_{i \in [n]}} Q_h^{*,\mathcal{M}}(\widehat{c}_h, \gamma_{1,h}, \cdots, \gamma_{n,h})$$

- Therefore, we can solve Eq. (E.1) and compute $\gamma_{1:n,h}^*$ from computing $u_{1:n,h}^*$, which can be solved
- 1372 with complexity poly($\overline{\mathcal{P}}_h, \overline{\mathcal{A}}_h, \overline{\mathcal{S}}$).
- Factorized structures: For any $h \in [\overline{H}], t \in [H]$, for any $\widehat{c}_h \in \widehat{\mathcal{C}}_h, \gamma_h \in \Gamma_h$ we use backward induction to prove that, there exist n functions $\{F_{i,h}\}_{i \in [n]}$ such that

$$Q_h^{*,\mathcal{M}}(\widehat{c}_h,\gamma_h) = \sum_{i=1}^n F_{i,h}(\widehat{c}_{i,h},\gamma_{i,h})$$

1375 It holds for $h = \overline{H} + 1$ obviously. For any $h \leq \overline{H}$, it holds that

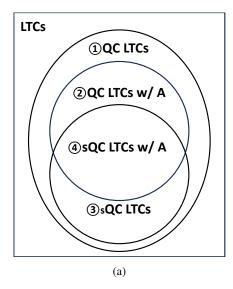
$$\begin{aligned} Q_{h}^{*,\mathcal{M}}(\widehat{c}_{h},\gamma_{h}) &= \sum_{\overline{s}_{h},\overline{p}_{h},\overline{s}_{h+1},\overline{o}_{h+1}} \mathbb{P}_{h}^{\mathcal{M},c}(\overline{s}_{h},\overline{p}_{h} \mid \widehat{c}_{h}) \overline{\mathbb{T}}_{h}(\overline{s}_{h+1} \mid \overline{s}_{h},\gamma_{h}(\overline{p}_{h})) \overline{\mathbb{O}}_{h+1}(\overline{o}_{h+1} \mid \overline{s}_{h+1}) \\ &= \sum_{i=1}^{n} \overline{\mathcal{R}}_{i,h}(\overline{s}_{i,h},\gamma_{i,h}(\overline{p}_{i,h}) + F_{i,h+1}(\widehat{c}_{i,h+1},\widehat{g}_{i,h+1}^{*}(\widehat{c}_{i,h+1}))] \\ &= \sum_{i=1}^{n} \sum_{\overline{s}_{i,h},\overline{p}_{i,h},\overline{s}_{i,h+1},\overline{o}_{i,h+1}} \mathbb{P}_{i,h}^{\mathcal{M},c}(\overline{s}_{i,h},\overline{p}_{i,h} \mid \widehat{c}_{i,h}) \overline{\mathbb{T}}_{h}(\overline{s}_{i,h+1} \mid \overline{s}_{i,h},\gamma_{i,h}(\overline{p}_{i,h})) \\ &= \overline{\mathbb{O}}_{i,h+1}(\overline{o}_{i,h+1} \mid \overline{s}_{i,h+1}) [\overline{\mathcal{R}}_{i,h}(\overline{s}_{i,h},\gamma_{i,h}(\overline{p}_{i,h}) + F_{i,h+1}(\widehat{c}_{i,h+1},\widehat{g}_{i,h+1}^{*}(\widehat{c}_{i,h+1}))] \\ &=: \sum_{i=1}^{n} F_{i,h}(\widehat{c}_{i,h},\gamma_{i,h}). \end{aligned}$$

- Then, by induction, we know that it holds for any $h \in [\overline{H}]$. We can define
- 1377 $\widehat{g}_{i,h}^*(\widehat{c}_h) \in \operatorname{argmax}_{\gamma_{i,h} \in \Gamma_{i,h}} F_{i,h+1}(\widehat{c}_{i,h+1}, \gamma_{i,h})$, and thus solve Eq.(E.1) with complexity $\sum_{i=1}^n$

- 1378 $\operatorname{poly}(\overline{\mathcal{S}}_i, \overline{\mathcal{A}}_{i,h}, \overline{\mathcal{P}}_{i,h}).$
- 1379 This completes the proof.

1380 H Venn Diagrams of LTCs and General POSGs

- Here, we show some examples of the areas ①-⑤ in the Venn diagram in Fig. 1b.
- ①: Multi-agent MDP (Boutilier, 1999) with historical states. The Dec-POMDPs satisfying that for any $h \in [H], i \in [n], \mathcal{O}_{i,h} = \mathcal{S}, \mathbb{O}_{i,h}(s \mid s) = 1, c_h = s_{1:h}, p_h = \emptyset$ lie in the area ①.
- ②: Uncontrolled state process without any historical information. The Dec-POMDPs satisfy-
- 1385 ing that for any $h \in [H], i \in [n], s_h, a_h, a_h', \mathbb{T}_h(\cdot \mid s_h, a_h) = \mathbb{T}_h(\cdot \mid s_h, a_h'), c_h = \emptyset, p_{i,h} = \{o_{i,h}\}$
- lie in the area ②.
- 3: Dec-POMDPs with sQC information structure and perfect recall, and satisfying Assump-
- tions 3.3 and 3.4. This class is what we mainly considered in §5.



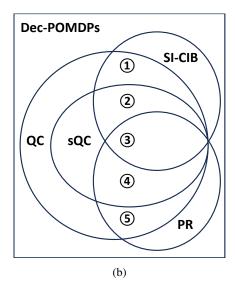


Figure 1: (a) Venn diagram of LTCs with different ISs: ① QC LTCs. ② QC LTCs satisfying Assumptions 3.2, 3.3, and 3.4. ③ sQC LTCs. ④ sQC LTCs satisfying Assumptions 3.2, 3.3, and 3.4, whose reformulated Dec-POMDPs have SI-CIB; (b) Venn diagram of general Dec-POMDPs with different ISs. PR denotes perfect recall. ③ denotes the Dec-POMDPs we mainly consider, e.g., the examples in (Nayyar et al., 2013a; Liu & Zhang, 2023).

- **@: State controlled by one controller with no sharing and only observability of controller.** We consider a Dec-POMDP \mathcal{D} . The state dynamics are controller by only one agent (, for convenience, agent 1), and only agent 1 has observability, i.e. $\mathbb{T}_h(\cdot | s_h, a_{1,h}, a_{-1,h}) = \mathbb{T}_h(\cdot | s_h, a_{1,h}, a_{-1,h})$ for all $s_h, a_{1,h}, a_{-1,h}, a_{-1,h}$, and $\mathcal{O}_{-1,h} = \emptyset$. There is no information sharing, i.e. $c_h = \emptyset, p_{1,h} = \{o_{1:h}, a_{1:h-1}\}, p_{j,h} = \{a_{j,1:h-1}\}, \forall j \neq 1$. Then $\forall j \neq 1, h_1 < h_2 \in [H]$, agent $(1, h_1)$ does not influence (j, h_2) , since $\tau_{j,h_2} = \{a_{j,1:h_2-1}\}$ is not influenced by agent $(1, h_1)$. Therefore, \mathcal{D} is sQC and has perfect recall, \mathcal{D} is not SI (underlying state s_h influenced by $g_{1,1:h-1}$). This is because \mathcal{D} does not satisfy Assumption 3.4. Then \mathcal{D} lies in the area **@**.
- ⑤: One-step delayed observation sharing and two-step delayed action sharing. The Dec-1398 POMDPs satisfying that for any $h \in [H], i \in [n], c_h = \{o_{1:h-1}, a_{1:h-2}\}, p_{i,h} = \{a_{i,h-1}, o_{i,h}\}$ lie in the area ⑤.

I Experimental Results

- For the experiments, we validate both the implementability and performance of our LTC algorithms, and conduct ablation studies for LTCs with different communication costs and horizons.
 - **Experimental setup** We conduct our experiments on two popular and modest-scale partially observable benchmarks, Dectiger (Nair et al., 2003) and Grid3x3 (Amato et al., 2009). We train the agents in each LTC problem in the two environments with 20 different random seeds and different communication cost functions, and execute them in problems with horizons [4,6,8,10]. To fit the setting of LTC in our paper. We regularize the reward between [0,1] and set the base information structure as one-step-delay. As for the communication cost function, we set $\mathcal{K}_h(Z_h^a) = \alpha |Z_h^a|$, and set $\alpha \in [0.01, 0.05, 0.1]$ for the purpose of ablation study. Also, we study 2 baselines under the same environment with information structure of one-step delay and fully-sharing, respectively. The one-step-delay baseline can be regarded as an LTC problem with extremely high communication cost, thus no additional sharing. On the other hand, the fully-sharing baseline is the LTC problem with no communication cost.

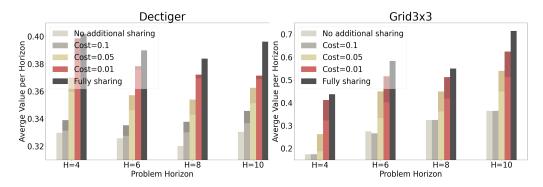


Figure 2: The average-values achieved under different communication costs and horizons. Each full bar, the dark part, and the light part denote the values associated with the reward, the communication cost, and the overall objective (reward minus cost) of the agents, respectively. Note that, as baselines, there is no communication cost in the *no additional sharing* and *fully sharing* cases.

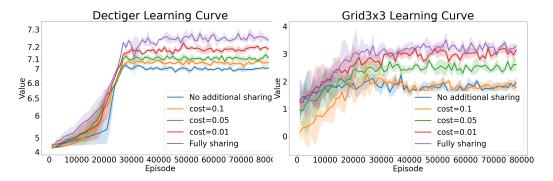


Figure 3: Learning curves with different communication costs.

Horizon/Cost	No Sharing	Cost=0.1 Cost=0.05 Cost=0.01 Fully Sharing
H=4 w/ cost	1.32±0.025	1.33±0.044 1.44±0.034 1.54±0.013 1.57±0.004
H=4 w/o cost	-	1.36±0.032 1.48±0.034 1.59±0.002 -
H=6 w/ cost	1.95±0.009	1.97±0.07 2.08±0.068 2.26±0.012 2.29±0.002
H=6 w/o cost	-	2.01±0.047 2.14±0.072 2.27±0.011 -
H=8 w/ cost	2.56±0.041	2.64±0.078 2.74±0.118 2.96±0.021 3.0±0.002
H=8 w/o cost	-	2.7±0.044 2.83±0.117 2.98±0.02 -
H=10 w/ cost	3.31±0.024	3.37±0.135 3.51±0.153 3.69±0.029 3.87±0.007
H=10 w/o cost	-	3.46±0.069 3.63±0.152 3.71±0.026 -

Table 1: Experimental results for Dectiger.

Results and analysis The attained average-values are presented in Fig. 2, and the learning curves are shown in Fig. 3. Additionally, the results of different horizons and communications costs over 20 random seeds are shown in Tables 1 and 2. The results show that communication is beneficial for agents to obtain higher values with better sample efficiency. Also, cheaper communication costs can encourage agents to share more information, and jointly achieve a better strategy.

J Additional Figures

We provide a few figures to better illustrate the paradigms and algorithmic ideas of this paper. Fig. 4 and Fig. 5 illustrate the paradigm and the timeline of the LTC problems considered in this paper, and Fig. 6 illustrates how Algorithm 1 solves the LTC problems, including the subroutines presented in §4.

Horizon/Cost	No Sharing	Cost=0.1	Cost=0.05	Cost=0.01	Fully Sharing
H=4 w/ cost	0.14±0.003	0.14±0.019	0.15±0.002	0.26±0.028	-0.48±0.023
H=4 w/o cost	-	0.14±0.019	0.21±0.007	0.33±0.023	-
H=6 w/ cost	0.33±0.02	0.32±0.025	0.4±0.009	0.48±0.059	-0.38 ± 0.075
H=6 w/o cost	-	0.32±0.025	0.54±0.02	0.62±0.075	-
H=8 w/ cost	0.52±0.084	0.52±0.051	0.58±0.072	0.67±0.031	-0.4 ± 0.022
H=8 w/o cost	-	0.52±0.051	0.72±0.035	0.82±0.074	-
H=10 w/ cost	0.73±0.02	0.73±0.037	0.9±0.169	1.03±0.019	-0.15±0.188
H=10 w/o cost	-	0.73±0.037	1.08±0.14	1.25±0.062	-

Table 2: Experimental results for Grid3x3.

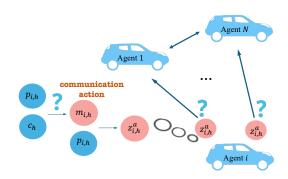


Figure 4: Illustrating the paradigm of the Learning-to-Communicate problem considered in this paper.

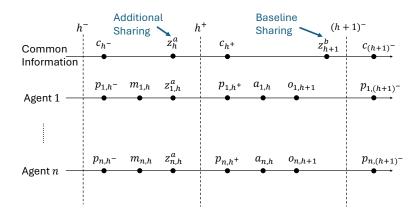


Figure 5: Timeline of the information sharing and evolution protocols in the Learning-to-Communicate problem considered in this paper.

K Related Work

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Communication-control joint optimization. The joint design of control and communication strategies has been studied in the control literature (Xiao et al., 2005; Yüksel, 2013; Sudhakara et al., 2021; Kartik et al., 2022). However, even with model knowledge, the computational complexity (and associated necessary conditions) of solving these models remains elusive, let alone the sample complexity when it comes to learning. Moreover, these models mostly have more special structures,

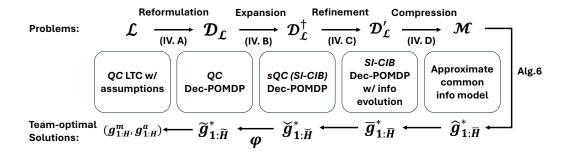


Figure 6: Illustrating the subroutines in §4 for solving the LTC problems.

- e.g., with linear systems (Xiao et al., 2005; Yüksel, 2013), or allowing to share only instantaneous observations (Sudhakara et al., 2021; Kartik et al., 2022).
- 1432 **Information sharing and information structures.** Information structure has been extensively studied to characterize *who knows what and when* in decentralized control (Mahajan et al., 2012; Yüksel 434 & Başar, 2023). Our paper aims to formally understand LTC through the lens of information structure.
- 1435 tures. The common-information-based approaches to formalize information sharing in (Nayyar
- et al., 2013b;a) serve as the basis of our work. In comparison, these results focused on the *structural*
- 1437 *results*, without concrete computational (and sample) complexity analysis.
- 1438 Partially observable MARL theory. Planning and learning in partially observable MARL are
- known to be hard (Papadimitriou & Tsitsiklis, 1987; Lusena et al., 2001; Jin et al., 2020; Bernstein
- 1440 et al., 2002). Recently, (Liu et al., 2022; Altabaa & Yang, 2024) developed polynomial-sample com-
- 1441 plexity algorithms for partially observable stochastic games, but with computationally intractable
- oracles; (Liu & Zhang, 2023) developed quasi-polynomial-time and sample algorithms for such
- models, leveraging information sharing. In contrast, our paper focuses on optimizing/learning to
- 1444 *share*, together with control strategy optimization/learning.

L Concluding Remarks

- 1446 We formalized the learning-to-communicate problem under the Dec-POMDP framework, and pro-
- 1447 posed a few structural assumptions for LTCs with quasi-classical information structures, violating
- which can cause computational hardness in general. We then developed provable planning and
- 1449 learning algorithms for QC LTCs. Along the way, we also established some relationship between
- 1450 the strictly quasi-classical information structure and the condition of having strategy-independent
- common-information-based beliefs, as well as solving general Dec-POMDPs without computa-
- 1452 tionally intractable oracles beyond those with the SI-CIB condition. Our work has opened up
- many future directions, including the formulation, together with the development of provable plan-
- 1454 ning/learning algorithms, of LTC in non-cooperative (game-theoretic) settings, and the relaxation of
- 1455 (some of) the structural assumptions when it comes to equilibrium computation.

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