Enhancing Data Privacy in Large Language Models through Private Association Editing

Anonymous ACL submission

Abstract

 Large Language Models (LLMs) are powerful tools with extensive applications, but their ten- dency to memorize private information raises significant concerns as private data leakage can easily happen. In this paper, we introduce Pri- vate Association Editing (PAE), a novel defense approach for private data leakage. PAE is de- signed to effectively remove Personally Identi- fiable Information (PII) without retraining the model. Our approach consists of a four-step procedure: detecting memorized PII, applying PAE cards to mitigate memorization of private data, verifying resilience to targeted data extrac- tion (TDE) attacks, and ensuring consistency in the post-edit LLMs. The versatility and ef- ficiency of PAE, which allows for batch modi- fications, significantly enhance data privacy in LLMs. Experimental results demonstrate the effectiveness of PAE in mitigating private data leakage. We believe PAE will serve as a critical tool in the ongoing effort to protect data privacy in LLMs, encouraging the development of safer models for real-world applications.

⁰²⁴ 1 Introduction

 A massive pretraining phase seems to be the key to obtaining versatility and accuracy in a large number of tasks: Large language models (LLMs) are indeed able to perform accurately many tasks by capturing information from their training data. Even in zero-shot scenarios, LLMs serve as alter- native sources of information [\(Hou et al.,](#page-8-0) [2024\)](#page-8-0), **perform translation tasks [\(Mu et al.,](#page-8-1) [2023\)](#page-8-1), trans-** [l](#page-9-0)ate natural language requests into code [\(Ranaldi](#page-9-0) [et al.,](#page-9-0) [2024\)](#page-9-0), and are definitely capable of captur- ing world knowledge [\(Petroni et al.,](#page-9-1) [2019,](#page-9-1) [2020\)](#page-8-2). The massive pretraining phase seems to be the key to obtaining versatility and accuracy in this large variety of tasks.

039 However, growing larger, training data for LLMs **040** have become uncontrollable and may inadvertently

contain some private personal information of un- **041** aware people. LLMs may potentially retain this **042** sensitive information [\(Carlini et al.,](#page-8-3) [2021,](#page-8-3) [2023;](#page-8-4) **043** [Huang et al.,](#page-8-5) [2022\)](#page-8-5). This is a potential threat in **044** privacy of unaware people. Indeed, by perform- **045** ing Training Data Extraction attacks, [\(Carlini et al.,](#page-8-3) **046** [2021\)](#page-8-3) showed that LLMs may verbatim generate **047** strings containing sensitive information observed **048** during training. Then, attackers may gain access to **049** private information. 050

Figure 1: Preserving privacy for LLMs by using Private Association Editing

Strategies to remove sensitive information from **051** LLMs are needed and mandatory, as preserving pri- **052** vacy is a must. Yet, the straight-forward technique **053** of remove-and-retrain is unfeasible as extremely **054** expensive. **055**

In this paper, we propose *Private Association* **056** *Editing* (PAE) to remove memorized private in- **057** formation adjusting parameters of LLMs without **058** re-training (see Fig. [1\)](#page-0-0). Stemming from MEMIT **059** [\(Meng et al.,](#page-8-6) [2023b\)](#page-8-6) formulation to edit factual **060** knowledge, we define PAE as a novel model- **061** editing defense strategy based on the idea of *break-* **062** *ing the association* between personal information **063** and the identity of the person to whom it belongs. **064** We anonymize the private information directly in 065⁶ the model, replacing the original information with **066**

 masked – but semantically equivalent – informa- [t](#page-9-2)ion. We experiment with GPT-J [\(Wang and Ko-](#page-9-2) [matsuzaki,](#page-9-2) [2021\)](#page-9-2) as it is an open-source model that contains documented private information. We per- form Training Data Extraction attacks [\(Huang et al.,](#page-8-5) [2022\)](#page-8-5) before and after our model-editing defenses and we show that our strategies are an efficient al- ternative to make a model more robust against the generation of private information while keeping constant its performance in generating texts.

077 2 **Background**

 Large Language Models (LLMs) are prone to emit private information. Indeed, attacking LLMs to ex- tract memorized private information is possible by using black-box access to language models. Train- ing Data Extraction (TDE) is a technique to extract this private information [\(Carlini et al.,](#page-8-3) [2021\)](#page-8-3). It consists of querying the target model to force it to produce its own training data. A textual training example is considered "extractable" if a specific prefix can be used to prompt the model to gen- erate the exact training example from its training set. [Carlini et al.](#page-8-3) [\(2021\)](#page-8-3) found that GPT-2 often retains and reveals personal information such as Twitter handles, email addresses, and Universal Unique Identifiers (UUIDs). The memorization of training examples explains the success of these attacks: when LLMs are prompted with a prefix encountered during training, they often complete the prompt with the remaining part of the training sequence [\(Carlini et al.,](#page-8-4) [2023\)](#page-8-4).

 Attacks may be particularly effective in open LLMs. [Huang et al.](#page-8-5) [\(2022\)](#page-8-5) demonstrated that con- ditioning a model with a prompt that is part of the training data can result in the leakage of per- sonal identifiable information (PII), such as email addresses. They also showed that this method is more effective than creating entirely new, unseen [p](#page-8-3)rompts. [Nasr et al.](#page-8-7) [\(2023\)](#page-8-7) revealed that [Carlini](#page-8-3) [et al.](#page-8-3) [\(2021\)](#page-8-3) method is even more effective than previously expected. By querying open-source models, they confirmed the success of the attack procedure using the training data solely for veri- fication purposes. They conducted these attacks on open models like GPT-Neo [\(Black et al.,](#page-8-8) [2022\)](#page-8-8) and Pythia [\(Biderman et al.,](#page-8-9) [2023\)](#page-8-9), starting with prompts sourced from Wikipedia.

114 Even closed LLMs may reveal private informa-**115** tion by using Training Data Extraction. Since these **116** attacks require only black-box access to the model, closed models like GPT-3.5 and GPT-4 can be at- **117** tacked. In fact, using the same prompts proposed **118** by [Huang et al.](#page-8-5) [\(2022\)](#page-8-5), [Wang et al.](#page-9-3) [\(2024\)](#page-9-3) demon- **119** strate that GPT-3.5 and GPT-4 can predict respec- **120** tively around 5% and 4% of the email addresses **121** accurately. **122**

As personal information leakage from LLMs is **123** a concrete possibility, model editing is a possible **124** solution as opposed to an expensive remove-and- **125** retrain strategy. 126

Model editing in LLMs refers to the process **127** of modifying specific aspects of a model's be- **128** havior or knowledge without retraining it from **129** scratch. This involves making targeted adjustments **130** to the model's parameters or responses to correct **131** errors, update information, or adapt to new re- **132** quirements. [Mitchell et al.](#page-8-10) [\(2022\)](#page-8-10) introduced a **133** semi-parametric editing methodology, employing **134** a retrieval-augmented counterfactual model, that **135** effectively modulates neural network predictions **136** over the SERAC dataset. [Cao et al.](#page-8-11) [\(2021\)](#page-8-11) pro- **137** posed KNOWLEDGEEDITOR that efficiently and **138** reliably edits factual knowledge within language **139** models, ensuring consistency across various for- **140** mulations of facts. Furthermore, [Yao et al.](#page-9-4) [\(2023\)](#page-9-4) **141** introduced MEND on various datasets, demonstrat- **142** ing its ability to rapidly and effectively edit large- **143** scale models' behaviors without extensive retrain- **144** ing. Since these methods can modify factual infor- **145** mation memorized in LLMs, our goal is to exploit **146** them to erase private information inadvertently in- **147** gested during training. **148**

Similarly to the method defined in our pa- **149** per, [Patil et al.](#page-8-12) [\(2023\)](#page-8-12) investigated model editing **150** techniques to modify the information memorized **151** in LLMs concluding that information cannot be **152** erased. In particular, they applied TDE attacks **153** against the GPT-J [\(Wang and Komatsuzaki,](#page-9-2) [2021\)](#page-9-2) **154** model and demonstrated that in black-box access– **155** performing attacks that also include paraphrases **156** of the original prompt– model editing cannot erase **157** factual information memorized in GPT-J. Our set- **158** ting is different: in fact, [Patil et al.](#page-8-12) [\(2023\)](#page-8-12) inves- **159** tigated the effectiveness of model editing only on **160** factual information from sentences derived from **161** Wikipedia, and not directly present in the training **162** data – the Pile [\(Gao et al.,](#page-8-13) [2020\)](#page-8-13). By definition, the **163** model under attack does not *verbatim* memorize **164** information that is not in training data: since the **165** examples used by [Patil et al.](#page-8-12) [\(2023\)](#page-8-12) are derived **166** from Wikipedia and not included in the Pile, while **167** the factual information they contain is memorized, **168**

 they cannot be verbatim memorized. In our ex- periments, we directly study the effectiveness of model editing to delete private information that is verbatim memorized with a focus on privacy rather than factual information.

 To the best of our knowledge, this is a novel approach to protect private LLMs from personal in- formation leakage that show the potential beneficial 177 effect on privacy preserving.

¹⁷⁸ 3 Attacking and Defending LLMs from **179 Private Data Leakage**

 Large Language Models (LLMs) have a tendency to memorize examples from their training data, and Training Data Extraction (TDE) attacks can be used to recover these memorized examples. When fed with the right prompt, LLMs emit verbatim memo- rized information. In fact, if a model is prompted with a prefix encountered during training, it often completes it with the rest of the training sequence [\(Carlini et al.,](#page-8-4) [2023;](#page-8-4) [Huang et al.,](#page-8-5) [2022\)](#page-8-5).

 In this scenario, we aim to deliver solutions to help people and owners of LLMs remove unde- sirably memorized Personally Identifiable Infor- mation from LLMs. The procedure we propose consists of four steps (see Fig. [1\)](#page-0-0):

- **194** detecting the presence of memorized Person-**195** ally Identifiable Information (PII) in *pre-edit* **196** LLMs performing black box TDE attacks **197** (Sec. [3.1\)](#page-2-0);
- **198** *Private Association Editing* (PAE) to remove **199** PII by editing parameters of LLMs obtaining **200** *post-edit* LLMs (Sec. [3.2\)](#page-3-0);
- **201** assessing that post-edit LLMs are more **202** resilient to attacks with TDE attacks (as **203** in Sec. [3.1\)](#page-2-0);
- **204** a final consistency check of *post-edit* LLMs **205** to assess that LLMs are not corrupted **206** after PAE behaving similarly to *pre-edit* **207** LLMs (Sec. [3.3\)](#page-3-1)

 This procedure is extremely more versatile than erase-and-retrain and can be used in small batches of modification of an LLM. The core of our pro- cedure is the method we propose called *Private Association Editing* (PAE).

3.1 Training Data Extraction Attacks to **213** recover Sensitive Information **214**

To detect the presence of memorized Personally **215** Identifiable Information LLMs, we follow the at- **216** [t](#page-8-5)ack pipeline and attack prompts defined by [Huang](#page-8-5) **217** [et al.](#page-8-5) [\(2022\)](#page-8-5). They defined two kinds of attacks **218** depending on how information is stored and re- **219** trieved: (1) a model *memorizes* personal informa- **220** tion if there exists a prompt from the training data **221** that leads the model to generate that information; **222** (2) in contrast, a model *associates* an individual to **223** its personal information if there exists a prompt **224** not seen during training but containing a refer- **225** ence to an individual that leads to the generation of **226** PII. [\(Huang et al.,](#page-8-5) [2022\)](#page-8-5) already demonstrated that **227** memorization is more common in LLM than asso- **228** ciation, showing that a model from the GPT-Neo $¹$ $¹$ $¹$ </sup> family can predict emails more accurately when **230** conditioned with prompts from the training data **231** rather than wthen analyzely unseen prompts. **232**

We then analyze two attacking schemes: the **233** Memorization attacks and the Association attacks. **234**

In a Memorization attack, a model is fed with **235** a prompt extracted from its pretraining data. This **236** prompt is the *context* that precedes the private PII **237** in the training data. For example, a *context* prompt **238** attack to recover the email address of *Jonh Brown* **239** would look like: "All the winter months might **240** settle 2.25. As such, the best thing to **241** be short is jan. —–Original Message—– **242** From: Jonh, Brown". In this attack, following **243** [Huang et al.](#page-8-5) [\(2022\)](#page-8-5), we simulate that the attacker **244** has more or less knowledge about the training data **245** by conditioning the generation of the model to *con-* **246** *text* prompts of different lengths in terms of tokens. **247**

In the Association Attack, the model is instead **248** fed with a prompt that contains an identifier of the **249** person whose information is to be extracted, but **250** that does not exactly match the training data. In par- **251** ticular, [Huang et al.](#page-8-5) [\(2022\)](#page-8-5) defined four *zero-shot* **252** attack prompts, identified by letters from a to d. **253** All *zero-shot* prompts contain the name of the per- **254** son that owns the email the attacker wish to obtain **255** and the model is asked to predict the email: for ex- **256** ample, the *zero-shot* prompt a to recover the email **257** adress of *John Brown* is "the email address of **258** John Brown is". The attack succeeds if, during **259** the generation of the subsequent tokens, the model **260** generates the target's private information, that is, **261** the correct email address. **262**

¹ <https://www.eleuther.ai/artifacts/gpt-neo>

 In both Memorization and Association attacks, the adversary in black-box access wants to force the model to generate some PII regarding a person. The analyzed framework encompasses a malicious attacker – or any individual aiming to detect unau- thorized use of their data – who has assumptions about the original text that was used during train- ing or who has no prior clues about the original data that contained the private information but who has some other knowledge about the identity of the individual whose sensitive information they wish to extract.

275 3.2 Private Association Editing as Efficient **276** Defense against Privacy Attacks

 To defend people from privacy attacks of LLMs, we propose *Private Association Editing* (PAE), which is the second step of our procedure. This editing technique involves disrupting the link be- tween an individual identity and their PII. The tech- nique proposed here is efficient since it allows the anonymization of private information directly into the model parameters. Moreover, our solution is also scalable since it can be used to protect the privacy of multiple users.

 A private association is an association be- tween the name of an individual and a PII that should not be revealed. This associa- tion is a triple <subject,predicate,PII-object>, as the following example: <John Smith, owns, john.smith@company.com>; in the example, the PII-object is the email address of the person.

 Our PAE employs model editing techniques [b](#page-8-14)ased on ROME (Rank-One Model Editing) [\(Meng](#page-8-14) [et al.,](#page-8-14) [2023a\)](#page-8-14) and MEMIT (Model Editing via Iter- ative Training) [\(Meng et al.,](#page-8-6) [2023b\)](#page-8-6) as a defensive strategy against attacks aimed at safeguarding the sensitive data used to train Large Language Mod- els (LLMs). Then, the scalability to editing dif- ferent facts in a batch is facilitated by the MEMIT framework, which allows us to incorporate as many elements in the form of modifications as desired. These modifications are seamlessly executed on the same model also avoiding degradation of the model's performance.

 In *private association editing*, once a user of the system has understood that their personal informa- tion has been inadvertently inserted into the train- ing data and consequently memorized, a model edit can be performed to mask the private information.

312 The procedure to edit a private association uses **313** PAE cards based on the MEMIT modification card.

Table 1: An example of Private Association Editing card for email addresses with an implicit prompt

The basic structure of a MEMIT modification card **314** is composed of a prompt, a ground truth, a **315** target, and a subject. Our PAE cards specialize **316** the MEMIT modification card on a particular PII. **317** We have defined two main types of PAE cards to **318** mask the private information of users. The first **319** type is called "explicit" because it directly iden- **320** tifies the connection between the person and the **321** private data and perfectly adheres to the MEMIT **322** implementation. For example, an explicit prompt **323** is "{name} has an email address that is". The sec- **324** ond type is "implicit" which features a prompt that **325** does not necessarily include the person's name as **326** the subject of the sentence, favoring a more precise **327** meaning of the sentence. An example of an implicit **328** prompt is "The mail address of {name} is". **329**

It is important to note that we used MEMIT in **330** "batch" mode because we are interested in fixing **331** the model and subjecting it to k modifications. In **332** this way, we are able to use MEMIT performing k 333 modifications at the same time, instead of perform- **334** ing single edits separately and recreating the model **335** based on the post-edited weights obtained from the **336** last edit every time. **337**

In a real-world scenario, recreating or retraining **338** a model for each requested modification is not fea- **339** sible. Instead, with our strategy called "one model, **340** n edits" we are able to make all requested changes **341** to a single model. By masking and anonymizing **342** the email address, we make it more challenging **343** for attackers to elicit specific private data from **344** the model in response to particular prompts. This **345** methodology effectively reduces the risk of sensi- **346** tive information being inadvertently disclosed by **347** the model. **348**

3.3 Evaluating Language Modeling **349** Performance 350

The final step of the procedure for preserving pri- **351** vacy with PAE is to investigate whether the LLM **352** maintains its behavior in text generation. In fact, **353** Model Editing techniques, in general, and PAE, in **354** particular, may perturb the language model capa- **355**

356 bilities due to the intervention on the model param-**357** eters.

 The LLM assessment procedure we describe in this Section aims to verify that the privacy- preserving language model is not a worse model than the original one. The main idea is that LLMs capabilities are not perturbed if people are not able to determine which of the two models is respon- sible for which generation, then it means that the edit procedure does not affect model performance: there is no one better than the other, a user of the system would be equally happy to use one or the **368** other.

 The description of the LLM assessment proce- dure in this section is twofold: (1) the *automatic assessment procedure* that should be used when PAE is used in real scenarios; (2) the *manual as- sessment procedure* that is used in this paper to determine if the *automatic assessment procedure* capture the main idea of non-perturbed LLM.

 The *automatic assessment procedure* is the oper- ational procedure to automatically compare a *pre- edit* version LLM and a *post-edit* version LLM. The idea is to simply collect generations for a given set of prompts for *pre-edit* LLM and *post-edit* LLM. Then, these generations are compared with string- based similarity metrics, in particular BLEU and METEOR metrics. With these measures, we can automatically assess if *pre-edit* LLM and *post-edit* LLM behave in a similar way.

 The *manual assessment procedure* is instead an experimental procedure to confirm that the auto- matic assessment procedure can be used to deter- mine if *pre-edit* LLM and *post-edit* LLM are simi- lar. In this procedure, we again collect generations for given prompts for *pre-edit* LLM and *post-edit* LLM. In this case, we ask annotators to choose which model generated each text in a sort of clas- sification task. We argue that a low accuracy in this classification task and a low agreement among annotators mean that the models are not distinguish- able and, in particular, that the privacy-preserving models are no worse than the original ones.

³⁹⁹ 4 Experiments

400 4.1 Experimental Setup

 In this section, we discuss the parameters of our ex- periment to allow replicability: the analized LLM and related datasets, the intricacies of MEMIT used in our PEA, and, finally, the set-up of the evaluation of the LLMs.

Analized LLM and related datasets In our ex- **406** [p](#page-9-2)eriments, we test the GPT-J model [\(Wang and](#page-9-2) **407** [Komatsuzaki,](#page-9-2) [2021\)](#page-9-2) that is designed to generate **408** human-like text continuations from prompts: it 409 is a large model, with 6 billion parameters. This **410** [m](#page-8-13)odel is trained on an open dataset, the Pile [\(Gao](#page-8-13) **411** [et al.,](#page-8-13) [2020\)](#page-8-13). The Pile is a diverse, large-scale text **412** corpus that aggregates various sources, including **413** books, articles, websites, and scientific papers. It **414** spans multiple languages and domains, making it **415** an ideal training resource for language models like **416** GPT-J. The Pile contains a rich variety of text, en- **417** abling the model to learn from a wide range of **418** contexts and topics. One of the constituent sub- **419** [d](#page-8-15)atasets within The Pile is the Enron Emails [\(Klimt](#page-8-15) **420** [and Yang,](#page-8-15) [2004\)](#page-8-15) corpus. This dataset contains text **421** from approximately 150 users, primarily senior **422** management of Enron, organized into folders. It **423** includes a total of about 0.5 million email mes- **424** sages. The Enron Emails dataset was originally **425** made public during the investigation into Enron's **426** accounting methods. Its inclusion in the Pile mimic **427** the inadvertently insertion into the training data of **428** private information, in particular of PII like email **429** adressess. For this reason, the Enron Email dataset **430** represents a natural starting point to test GPT-J **431** memorization of PII. 432

Intricacies of MEMIT There are two distinct **433** [w](#page-9-4)ays to apply model editing using MEMIT[\(Yao](#page-9-4) **434** [et al.,](#page-9-4) [2023\)](#page-9-4) given N elements to modify: batch and **435** sequential editing. Batch editing involves editing k **436** elements in an LLM simultaneously. Conversely, **437** sequential editing focuses on editing N elements 438 within an LLM in a sequential way, with each edit **439** on a subset of the N elements, performed on the **440** new model retaining previous edits. While batch **441** editing may be sufficient to preserve privacy, the **442** sequential editing approach is closer to the real- **443** world need to constantly update model parameters, **444** as more privacy leakages may be discovered over **445 time.** 446

In our research, we initially adopt the batch edit- **447** ing approach with $k = N$. This approach is the 448 safest – in principle – since the post-edited param- **449** eters are directly the pretrained ones. Then, we **450** investigate the effect of sequential editing with **451** $k < N$, simulating the real-world scenario in 452 which multiple edits are necessary over time. For 453 PAE to be applicable, in both scenario our method 454 should lead to a comparable decrease in privacy **455** leaks. **456**

			Pre-edit			Post-edit			
		Implicit				Explicit			
			Leaked emails	Number of predicted emails	Attack Accuracy	Leaked emails	Attack Accuracy	Leaked emails	Attack Accuracy
Memorization Attacks		$context\overline{50}$	353	2827	0.125	203	0.072	218	0.077
		context 100	476	2932	0.162	301	0.103	317	0.108
		context 200	537	2951	0.182	368	0.125	396	0.134
		context 50	346	2689	0.129	244	0.091	248	0.092
		context 100	476	2809	0.169	339	0.121	339	0.121
		context 200	515	2863	0.180	394	0.138	405	0.141
Association Attacks		zero-shot a		3130	0.002		0.000		0.000
		zero-shot b		3229	0.001	$\mathbf{0}$	0.000	$\mathbf{0}$	0.000
		zero-shot c	26	3234	0.008	13	0.004	11	0.003
		zero-shot d	68	3237	0.021	48	0.015	42	0.013
		zero-shot a	6	3178	0.002	3	0.001		0.002
		zero-shot b		3178	0.000	$\mathbf{0}$	0.000	$\mathbf{0}$	0.000
		zero-shot c	28	3232	0.009	20	0.006	11	0.003
		zero-shot d	73	3234	0.023	50	0.015	37	0.011

Table 2: Results of the attacks against the pretrained model (*Pre-edit*) and after the application of *PAE*. The training data extraction attacks that exploit the memorization of PII after PAE tends to lose their efficacy in retrieving private information from the model.

 Evaluation of post-edited LLM For the *auto- matic assessment procedure*, we measure the dif- ference in generations for the pre-trained GPT-J model and the post-edited version by generating a small paragraph starting from 45 prompts extracted from the Book3 [\(Rae et al.,](#page-9-5) [2022\)](#page-9-5) dataset, included in the Pile . We prompted the post-edited models and the pretrained one with 20 tokens of the 45 randomly selected examples and we evaluate how similar the generations are measuring their overlap. he higher the similarity, the higher the likelihood that the PAE does not influence the overall perfor- mance of the model. Evaluation measures are the ROUGE and the METEOR scores.

 For the *manual assessment procedure*, we gener- ate with post-edited models and with the pretrained one a short paragraph from 10 different prompts (a complete list can be found in the Appendix [6.1\)](#page-9-6). We collect the generations for the pre-edit model and the model post-edited according to each of the editing strategies. Hence, in total, we collect 30 generations. Then, five annotators are asked to choose which of the models generated each of the paragraphs. Three sample generations of each model were provided, and the annotators were in- formed that two out of three models had been post- edited, but none of them were informed which of the three systems had been post-edited. Evaluation measures are the classification accuracy of each an- notator and the Fleiss' K inter-annotator agreement: a low score on both can confirm that the models are indistinguishable.

489 4.2 Results and Discussion

 LLMs leak Private Information Since LLMs tend to leak training data, we aim to quantify the amount of private information that can be retrieved from the pre-trained GPT-J. Unfortunately, GPT-J

[m](#page-8-5)akes no exception to the trend noticed by [Huang](#page-8-5) 494 [et al.](#page-8-5) [\(2022\)](#page-8-5) for the GPT-Neo models. In fact, also **495** this model tends to generate PII. **496**

In Table [2,](#page-5-0) it is possible to observe that Training **497** Data Extraction Attacks that are based on Memo- **498** rization are particularly effective against the GPT-J **499** model: on average, the model tends to accurately **500** predict the mail observed during training the 16% 501 of the times. **502**

It is worth noting the scale of the leakage: the **503** model is originally prompted with 3238 examples. 504 The column *Generated emails* reports the number **505** of times during generation that the model answers **506** with an email address, while *Leaked emails* reports 507 the number of times the generation is correct, mean- **508** ing that the generated email corresponds to the one **509** observed in the training data. On average, 450.5 **510** emails are correctly generated by those attacks: the **511** privacy of a large number of people is threatened. **512**

Moreover, as the attacker gets more information, **513** the accuracy of the attacks gets higher: the accuracy **514** of the attacks strongly depends on the length of the **515** prompt. In fact, the lower accuracy – the number **516** of correctly leaked email addresses over the total **517** email adresses generated– that can be registered in **518** Memorization Attacks is 12% : the model in that 519 case is fed with a *context* prompt that is 50 tokens **520** long. However, when the *context* prompt given to **521** the model is composed of 200 tokens, the accuracy **522** of the attack peaks at 18.2% with greedy decoding **523** and 18% using beam search decoding. **524**

The accuracy of the Association Attacks is much **525** more modest. The results of those attacks against 526 GPT-J model exhibit similar patterns to the one **527** observed by [Huang et al.](#page-8-5) [\(2022\)](#page-8-5) against the GPT- **528** Neo models. The larger number of email addresses **529** leaked by those kind of attacks is 68, a modest **530** number compared to the accuracy obtained in the **531**

 Memorization Attacks. However, in an adversar- ial scenario even low accuracy may cause harm to people. Hence, in the next Section, we will demon- strate the efficacy of PAE against both types of **536** attacks.

 PAE in batch editing Preserves Privacy In Ta- ble [2,](#page-5-0) it is possible to observe the reduced effective- ness of Memorization and Association attacks after the GPT-J model has undergone an editing process (Post-edit columns), with Post-edit results further divided into Implicit and Explicit categories. This Section investigates the impact of these edits, focus- ing on their efficacy against more or less informed attacks. We argue that PAE edits are effective if they can reduce the leakage of private information, regardless of the nature of the attack.

 Post-edit results show, in fact, a significant re- duction in the effectiveness of Association attacks. This reduction is particularly notable in scenarios where the number of leaked emails drops close to zero. For example, under the Implicit strategy, *zero-shot b* result in 0 leaked emails and 0 Attack accuracy, indicating a complete mitigation of the attack. However, some prompts still cause leak- age; for instance, in prompt *zero-shot b* the edit reduces the number of email addresses leaked sig- nificantly but not completely (from 68 pre-edit to 48 post-edit in the Implicit). Crucially, while not perfect, the PAE edits – both Implicit and Explicit – always cause an increase in privacy protection, since reduce the number of email correctly leaked by Association Attacks.

 However, it is crucial to consider the originally leaked emails when interpreting post-edit results. While a reduction to near-zero leakage is impres- sive, the impact is more pronounced when start- ing from a higher number of pre-edit leaks. For this reason, we focus on the discussion around the Memorization Attacks, that cause a larger number of private email addresses to be generated.

 PAE is an effective solution against Memoriza- tion Attacks. In particular, the accuracy of the attacks steady decreases in each configuration. The average drop in accuracy after an Implicit edit is 5% and 4.5% after Explicit edit: this means that PAE is able to modify model parameter so that, on average, the 32% of the previously predicted email addresses are no more verbatim generated by the model using Implicit defense strategy, 29% with the explicit one. Against attacks with *context* prompt of 50 tokens, PAE effectiveness peaks, with 42.5% of the email addresses anonymized. As ex- **583** pected, more informed *context* prompts are more **584** challenging: however, also with *context* prompts **585** of 200 tokens, PAE make the accuracy attack drop **586** from 0.18 to 0.12 in Greedy decoding and to 0.138 **587** using Beam Search in the Implicit edit, and from **588** 0.18 to 0.134 in greedy decoding and to 0.141 us- **589** ing Beam Search in the Explicit edit. In general, **590** studying the effect of the decoding algorithm on **591** the attacks accuracy we can state that this factor **592** does not influence much the results: under Memo- **593** rization Attacks only a slight difference in term of **594** accuracy can be registered From this analysis can **595** conclude that PAE can help in protecting privacy. **596**

Finally, it is possible to notice that there is a 597 consistent difference between Implicit and Explicit **598** post-edit results. Explicit edits generally result in a **599** slightly higher number of leaked emails and attack 600 accuracy compared to Implicit edits, expecially un- **601** der Memorization Attacks. For example, in the **602** case of a *context* prompt of 200, the Explicit edit **603** cause a larger number of emails to be correctly **604** generated (396 in greedy deconding, 405 in beam **605** search decoding) than the corresponding Implicit **606** edit (368 email addresses leaked in greedy decod- **607** ing, 394 in beam search decoding) **608**

In summary, post-edit measures, particularly im- **609** plicit edits, demonstrate a strong capability to safe- **610** guard email data from various attack strategies, **611** significantly lowering both the number of leaked 612 emails and the attack accuracy across different con- **613** figurations. For our experiments, we adopted the **614** "one model, n edits" philosophy. This approach **615** is based on the practical scenario where an LLM **616** producing private data needs to be edited for a large **617** number of potentially threatened individuals: with **618** PAE, the model owner can perform a single edit to **619** the model parameters to reduce privacy risks. Our **620** investigation on the emails that the model generates **621** when subjected to Memorization and Association **622** attacks confirm that the Memorization Attacks are **623** able to recover a larger number of private informa- **624** tion also after the editing. However, the evaluation **625** confirms the effectiveness of our model editing **626** techniques in preventing the disclosure of private **627** data since also informed attacks –like the Memo- **628** rization Attacks – are less effective on edited mod- **629** els. This setup is particularly challenging because **630** it requires analyzing the impact of n modifications **631** at the same time. In the next Section, we also **632** demonstrate that the original language model is not **633** negatively influenced by PAE. **634**

		E_1 -O	$0.808(\pm 0.198)$	
	BLEU	E_2 -O	$\overline{0.793(\pm 0.199)}$	
Automatic		E_1-E_2	$0.790(\pm 0.203)$	
Evaluation	METEOR	E_1 -O	$0.841(\pm 0.173)$	
		E_2 -O	$0.826(\pm 0.172)$	
		E_1-E_2	$\overline{0.824(\pm 0.183)}$	
Manual	Accuracy	$0.35(\pm 0.07)$		
Revision	Fleiss' K		0.002	

Table 3: PAE preserves language model performances. In the Manual revision of the generation, annotators are not able to detect which model generated each paragraph. Moreover, on a larger scale of examples, the Automatic Evaluation reveals that the generation of *postedit* LLMs E_1 and E_2 are both similar to one another (E_1-E_2) and with respect to the *pre-edit* LLM O (E_1-O) and E_2 -O)

 PAE preserves the Language Modelling Capa- bilities PAE preserves privacy of people while not affecting the Language Modeling performances. Results of the evaluation can be found in the Table [3.](#page-7-0) The results of the *automatic assessment proce- dure* can quantitatively give us insight that models generations are, in fact, similar. Both according to BLEU metric and to METEOR, the systems gen- erate (in greedy decoding) very similar paragraph when prompted with the same tokens. In particular, 645 the post-edited models E_1 and E_2 – post-edited with implicit and explicit PAE – are similar to the original, pre-edited model O and are also similar with respet to each other. Finally, the *manual as- sessment procedure* suggest that the models are indistinguishable from one another. In fact, the an- notators asked to detect wich model is responsible for a generation among E_1 , E_2 , and O can only randomly guess, with an average accuracy on this classification task (0.35(±0.07)) close to random choice. Also the very low agreement suggest that tge three systems are indistinguishable.

 This evaluation procedure can attest that the EAP is applicable because it not only helps to preserve user privacy, but also leaves the capabilities of the systems language model intact.

 PAE is applicable with sequential editing Fi- nally, in Table is it possible to notice that the se- quential update is definetely applicable with PAE. In this experiments, we perform sequential edit of the GPT-J model, varying the number of email ad- dresses anonymized per edit, varying from 5 to 300. We indicate the number of anonymized emails per 668 edit as batch size k: with $k < N$ we mimic the real world scenario of updating a model each time a privacy leak is detected. To understand whether

Figure 2: Memorization Attack against sequentially post-edited models. The smaller the batch size k , the larger the number of sequential updates are necessary to edit all the private emails addressess leaked by the original model. After the Sequential edits, the stronger Memorization Attack ($|p_M| = 200$) achieve similar performances at all the configurations.

sequential editing has a negative impact on effec- **671** tiveness of the edit, we evaluate the effectiveness **672** of PAE for each of the batch sizes in the Memoriza- **673** tion Attack with the more effective of the prompts **674** $(|p_M| = 200)$ $(|p_M| = 200)$ $(|p_M| = 200)$. The results in Table 2 refers to a 675 model post-edited with "implicit" PAE. As can be **676** observed in Table [2,](#page-7-1) the accuracy of the edit is **677** rather stable and similar to the results obtained in **678** the batch editing scenario. Those results confirm **679** the applicability of PAE also in sequential editing. **680**

5 Conclusion **⁶⁸¹**

In this paper, we address the critical issue of private **682** data leakage in Large Language Models (LLMs) **683** due to their tendency to memorize training data. We **684** propose Private Association Editing (PAE), a novel **685** defense mechanism that effectively removes Per- **686** sonally Identifiable Information (PII) from LLMs **687** without requiring retraining. 688

Our methodology involves a four-step procedure: **689** detecting memorized PII, applying PAE cards, ver- **690** ifying resilience to targeted data extraction (TDE) **691** attacks, and ensuring consistency in the post-edit **692** LLMs. The PAE method stands out for its versatil- **693** ity and efficiency, allowing for small batch modifi- **694** cations and significantly enhancing the privacy of **695** LLMs. **696**

Our experiments demonstrate that the PAE ap- **697** proach is both effective and efficient in mitigat- **698** ing the risk of private data leakage. We believe **699** PAE will be a valuable tool in the ongoing effort **700** to protect data privacy in LLMs and encourage its **701** adoption to prevent potential privacy violations as **702** these models continue to be deployed in real-world **703** applications. **704**

⁷⁰⁵ Limitations

706 We outline some limitations and possible directions **707** for future research in enhancing data privacy in **708** Large Language Models (LLMs).

 As the landscape of LLMs evolves, it may be useful to extend the Private Association Editing (PAE) mechanism to accommodate new types of models and data. Currently, we apply our proposed PAE method on a limited set of LLMs. A pos- sible extension could involve testing and refining PAE across a broader spectrum of LLM architec- tures and training datasets. Our approach focuses on removing Personally Identifiable Information (PII) from LLMs without retraining. However, this method might not address all types of sensitive data. Future research could explore additional techniques to enhance the comprehensiveness of PII removal. While PAE shows promise in its current form, its real-world applicability and scalability need thor- ough validation. By addressing these limitations, future research can further solidify the role of PAE in safeguarding data privacy in LLMs and ensure its robustness and adaptability in various contexts.

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[Problems, methods, and opportunities.](https://arxiv.org/abs/2305.13172) *Preprint*, **871** arXiv:2305.13172. **872** 6 Appendix **⁸⁷³** 6.1 All the prompts of the manual assessment **874** procedure **875** • "My name is"; 876 • "The weather today is"; **877** • "Ever since I was a child, I've always liked"; **878** • "My dear friend Mary"; **879** • "Swimmers are usually"; **880** • "Modern art is"; 881 • "The Industrial Revolution"; **882** • "Follow those steps to cook"; **883** • "It is forbidden to"; **884** • "It is very likely". **885**