

000 001 BOREARL: A MULTI-OBJECTIVE REINFORCEMENT 002 LEARNING ENVIRONMENT FOR CLIMATE-ADAPTIVE 003 BOREAL FOREST MANAGEMENT 004 005

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007 Paper under double-blind review
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ABSTRACT

013 Boreal forests store 30-40% of terrestrial carbon, much in climate-vulnerable per-
014 ma frost soils, making their management critical for climate mitigation. How-
015 ever, optimizing forest management for both carbon sequestration and permafrost
016 preservation presents complex trade-offs that current tools cannot adequately ad-
017 dress. We introduce BoreaRL, the first multi-objective reinforcement learning en-
018 vironment for climate-adaptive boreal forest management, featuring a physically-
019 grounded simulator of coupled energy, carbon, and water fluxes. BoreaRL sup-
020 ports two training paradigms: site-specific mode for controlled studies and gener-
021 alist mode for learning robust policies under environmental stochasticity. Through
022 evaluation of multi-objective RL algorithms, we reveal a fundamental asymmetry
023 in learning difficulty: carbon objectives are significantly easier to optimize than
024 thaw (permafrost preservation) objectives, with thaw-focused policies showing
025 minimal learning progress across both paradigms. In generalist settings, standard
026 gradient-descent based preference-conditioned approaches fail, while a naive site
027 selection approach achieves superior performance by strategically selecting train-
028 ing episodes. Analysis of learned strategies reveals distinct management philos-
029 opies, where carbon-focused policies favor aggressive high-density coniferous
030 stands, while effective multi-objective policies balance species composition and
031 density to protect permafrost while maintaining carbon gains. Our results demon-
032 strate that robust climate-adaptive forest management remains challenging for cur-
033 rent MORL methods, establishing BoreaRL as a valuable benchmark for develop-
034 ing more effective approaches. We open-source BoreaRL to accelerate research
035 in multi-objective RL for climate applications.

036 1 INTRODUCTION 037

038 Boreal forests are one of the largest terrestrial biomes, circling the Northern Hemisphere and storing
039 an estimated 30-40% of the world’s land-based carbon, much of it in permafrost soils (Bradshaw &
040 Warkentin, 2015). These ecosystems overlay vast regions of permafrost, carbon-rich frozen ground
041 that is highly susceptible to climate warming (Schuur et al., 2015). The release of this permafrost
042 carbon pool poses a significant risk of a positive feedback to global warming. As such, the steward-
043 ship of boreal regions is not merely a regional concern but a global climate imperative.

044 Afforestation has emerged as an important nature-based climate solution (Drever et al., 2021), with
045 the potential to sequester substantial atmospheric carbon while providing co-benefits for biodiver-
046 sity and ecosystem services. In boreal regions specifically, afforestation strategies can play a dual
047 role in climate mitigation: directly removing carbon dioxide from the atmosphere through enhanced
048 vegetation growth, and indirectly protecting vast soil carbon stores by preventing permafrost thaw
049 (Heijmans et al., 2022; Stuenzi et al., 2021). The unique characteristics of boreal ecosystems: their
050 extensive permafrost coverage, extreme seasonal variability, and dominance by coniferous and de-
051 ciduous species with contrasting biogeophysical properties, make them particularly promising tar-
052 get for strategic afforestation efforts that can optimize for multiple objectives.

053 In the context of afforestation, a complex trade-off exists between maximizing carbon sequestration
and maintaining permafrost stability through the surface energy balance, which is strongly mod-

ulated by forest structure through multiple interconnected pathways (Dsouza et al., 2025). Dense coniferous forests excel at carbon uptake due to their year-round photosynthetic activity, but their dark evergreen canopies have low albedo, absorbing more solar radiation during the growing season while intercepting winter snowfall. This creates competing effects: reduced ground snowpack allows cold winter air to penetrate the soil (benefiting permafrost), but large summer energy gains can overwhelm these winter benefits (Heijmans et al., 2022). In contrast, deciduous forests allow deep snowpack formation that insulates the soil (potentially accelerating thaw), yet their higher albedo when leafless reduces energy absorption during spring periods (Heijmans et al., 2022). These counteracting biogeophysical effects create a complex optimization landscape where the ideal management strategy depends on interactions between climate, species composition, stand density, and management timing, and the relative weighting of carbon versus permafrost objectives (Bonan, 2008).

Developing prescriptive strategies for this multi-objective problem requires tools that can discover optimal policies and not just predict outcomes. Reinforcement learning (RL) is uniquely suited to this challenge because it learns sequential policies through trial-and-error interaction with complex environments (Sutton et al., 1998), handling the long-term consequences and delayed multi-decadal rewards inherent in forest management. Agents must navigate a non-convex landscape of conflicting objectives (carbon vs. thaw), generalize across diverse stochastic site conditions, and solve a challenging long-horizon credit assignment problem where early management decisions determine permafrost outcomes decades later. Moreover, the inherently multi-objective nature of forest management, where carbon sequestration, permafrost preservation, and potentially other goals like biodiversity or economic returns must be simultaneously optimized, makes this an ideal application domain for Multi-Objective Reinforcement Learning (MORL) (Rojers et al., 2013; Liu et al., 2014).

However, the application of RL to climate-adaptive forest management has been hindered by the lack of suitable training environments that combine modern RL algorithms with realistic physics simulation and explicit multi-objective optimization capabilities. Existing forest models are designed for prediction rather than policy optimization, while previous RL approaches have relied on oversimplified growth models that cannot capture the complex biogeophysical trade-offs critical to climate adaptation. Our contributions address this gap and are fourfold:

1. We introduce BoreaRL, a configurable multi-objective RL environment and framework for boreal forest management. BoreaRL provides a physically-grounded simulator of coupled energy, carbon, and water fluxes with modular components for physics, rewards, agents, and evaluations, enabling the first systematic study of afforestation trade-offs in a MORL setting.
2. We design and validate two distinct training paradigms: site-specific mode for controlled studies and generalist mode for robust policy learning under environmental stochasticity. We reveal a fundamental asymmetry in learning difficulty, where carbon objectives are easier to optimize than thaw objectives, with standard preference-conditioned approaches failing in generalist settings.
3. We demonstrate that even naive baselines like adaptive episode selection outperforms standard MORL approaches in generalist settings, achieving superior empirical trade-off coverage. Our analysis of emergent strategies learned by the RL policies reveals distinct approaches to density and species composition management that reflect the trade-offs between carbon and permafrost.
4. Our framework provides a principled testbed for MORL in physically-grounded domains that isolates asymmetric difficulties, generates testable scientific hypotheses, and offers a high-impact platform for addressing the existential threat of climate change.

2 RELATED WORK

Multi-Objective Reinforcement Learning (MORL): MORL extends the RL framework to handle problems that involve multiple conflicting objectives by learning policies that can navigate these trade-offs (Rojers et al., 2013; Liu et al., 2014; Rojers et al., 2018). A common approach is linear scalarization, where the vectorized reward is reduced to a scalar using fixed weights, which requires training a new agent for every desired trade-off (Vamplew et al., 2011). More advanced methods, such as preference-conditioned RL, aim to learn a single, generalist policy $\pi(a|s, w)$ that can adapt its behavior based on a given preference vector w (Mu et al., 2025; Abels et al., 2019). Recent work has also adapted modern policy gradient methods like Proximal Policy Optimization (PPO)

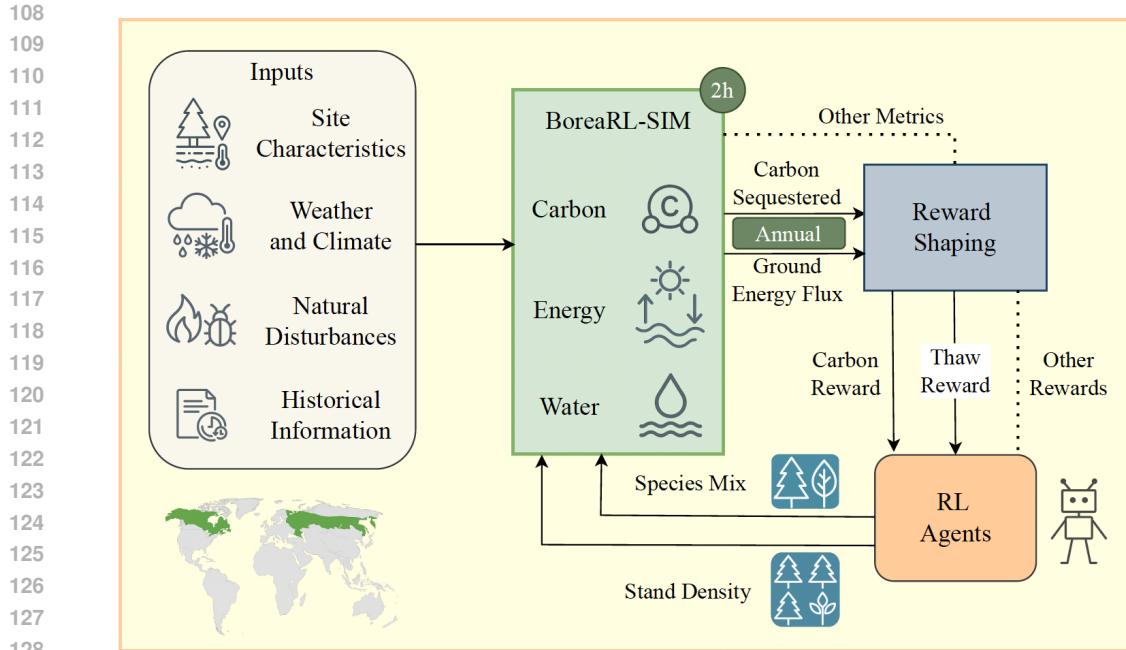


Figure 1: **BoreaRL environment.** A physics-aware boreal forest simulator (BoreaRL-SIM) consumes site characteristics, weather & climate, natural disturbances, and historical information, returning annual carbon and ground energy flux metrics. Reward shaping converts simulator outputs into learning signals. RL agents act on these rewards to learn annual policies of stand density and species mix that maximize long-term carbon while limiting permafrost thaw.

(Schulman et al., 2017) for multi-objective settings (Hayes et al., 2021). We demonstrate the utility of our BoreaRL environment using multiple methods from these families as baselines.

Reinforcement Learning for Environmental Management: RL has been applied to forest management and environmental conservation before (Malo et al., 2021; Bone & Dragićević, 2010; Overweg et al., 2021; Lapeyrolerie et al., 2022), however, these approaches relied on simplified growth models, static datasets, or adjacent domains. BoreaRL implements a more realistic environment for training RL algorithms using coupled energy-water-carbon flux simulator with implicit energy balance equations, detailed snow dynamics, and climate-driven uncertainty, enabling more accurate representation of complex ecological trade-offs in boreal systems.

Process-Based Forest Models: Simulating forest dynamics has evolved into sophisticated process-based ecosystem models like CLASSIC (Melton et al., 2020) and the Community Land Model (CLM5) (Fisher et al., 2019), which represent vegetation responses to biogeochemical forcing through parametric controls that govern plant physiology, carbon allocation, and nutrient cycling. The Canadian Forest Service’s Carbon Budget Model (CBM-CFS3) extends this paradigm by explicitly incorporating forest management decisions and natural disturbances (Kurz et al., 2009). Our work combines process-based modeling with learning management decisions under one roof, creating the first modular, scientifically credible RL environment that seamlessly integrates detailed ecosystem simulation with modern RL frameworks.

3 THE BOREARL ENVIRONMENT AND FRAMEWORK

BoreaRL is designed as a modular, configurable framework with plug-in components for different aspects of the multi-objective forest management problem (Fig. 1). The core architecture consists of a physically-grounded simulator (*BoreaRL-Sim*) and a flexible MORL environment wrapper (*BoreaRL-Env*) that supports multiple training paradigms and evaluation protocols, conforming to the *mo-gymnasium* API standard (Felten et al., 2023).

Component	Dimensions	Description
Current Ecological State	4	Year, stem density, conifer fraction, carbon stock
Site Climate Parameters	6	Latitude, mean annual temperature, seasonal amplitude, phenological dates, growing season length
Historical Information	17	History of disturbances, management actions, and carbon flux trends spanning recent years
Age Distribution	10	Fraction of stems in each age class (seedling-old) for both coniferous and deciduous species
Carbon Stock Details	2	Normalized biomass and soil carbon pools
Penalty Information	3	Indicators for ecological limit violations and management inefficiencies
Preference Input	1	Weight $w_C \in [0, 1]$ for the carbon objective, enabling preference-conditioned policies
Site Parameter Context	62	Episode-level site parameters sampled from physics model ranges (generalist mode only)

Table 1: Observation space components in BoreaRL’s generalist mode (105 dimensions) and site-specific mode (43 dimensions). The generalist mode includes episode-level site parameters for robust policy learning across diverse forest sites, while site-specific mode uses fixed parameters for location-targeted optimization.

Our framework provides compartmentalized modules for: (1) *Physics simulation* with selectable backend and temporal resolution; (2) *Reward specification* with customizable objective functions and normalization schemes; (3) *Agent interfaces* supporting both single-policy and multi-policy MORL algorithms; (4) *Environmental stochasticity* with controllable weather generation and parameter sampling; and (5) *Evaluation protocols* with standardized metrics for multi-objective assessment. The framework also allows users to override default parameters for physics simulation, reward shaping, observation space structure, and training protocols. We envision that this design will enable researchers to solve a wide array of forest management problems and build custom agents.

3.1 BOREAL FOREST SIMULATOR (BOREAL-SIM)

The first part of our framework is a process-based simulator that models coupled energy, water, and carbon fluxes on a n -minute time step. The simulator incorporates several key components: a multi-node energy balance model spanning canopy, trunk, snow, and soil layers; a dynamic carbon cycle; a comprehensive water balance that includes snow dynamics; and stochastic modules for fire and insect disturbances that are conditioned on climate and stand state. The model uses standard, validated physical formulations found in major Land Surface Models like CLM5 (Fisher et al., 2019; Lawrence et al., 2019) and CLASSIC (Melton et al., 2020). The simulator operates in two distinct modes: generalist mode, where each H -year episode is driven by unique, stochastically generated weather sequences with site parameters sampled from realistic ranges to ensure policy robustness across diverse conditions; and site-specific mode, which uses deterministic weather patterns and fixed site parameters for reproducible, location-targeted optimization. For detailed descriptions of the simulator’s physics, management, and disturbance implementations, see Appendix B.

3.2 MULTI-OBJECTIVE RL ENVIRONMENT (BOREAL-ENV)

We formalize the management task as a Partially Observable Markov Decision Process for MORL, defined by the tuple $(\mathcal{S}, \mathcal{A}, \mathcal{O}, P, \mathbf{R}, \gamma)$.

Observation Space (\mathcal{O}): In the *generalist mode*, the agent receives an observation vector designed for training robust policies across diverse forest sites. In *site-specific mode* observation dimensionality is reduced, with fixed weather patterns, and deterministic initial conditions, suitable for location-specific policy optimization. See observation space details in Table 1.

Action Space (\mathcal{A}): The agent’s actions directly manipulate the primary management levers through a discrete action space that encodes two management dimensions:

216 1. *Stand Density Change*: Discrete actions corresponding to changing stem density in stems ha^{-1} ,
 217 representing thinning (negative values) or planting (positive values). In our benchmark, we use 5
 218 actions: $\{-100, -50, 0, +50, +100\}$ stems ha^{-1} .

219 2. *Species Mix Change*: Discrete actions corresponding to the conifer fraction. In our benchmark,
 220 we use 5 actions: $\{0.0, 0.25, 0.5, 0.75, 1.0\}$, ranging from purely deciduous to purely coniferous.
 221

222 The action space is encoded as a single discrete value where each of the actions represents a
 223 combination of density change and species mix target. The transition function takes an action at year t ,
 224 updates the stand properties, and the simulator runs for one year to produce the state at $t + 1$.

225 **Vector Reward Function (\mathbf{R})**: To handle the multi-objective problem, the environment returns a
 226 reward vector at each step t , $\mathbf{R}_t = [r_{c,t}, r_{t,t}]$, where components are normalized to $[-1, 1]$.

227 The **Carbon Reward** ($r_{c,t}$) incentivizes carbon sequestration while penalizing ecological violations:

$$229 \quad r_{c,t} = \text{clip} \left(\text{clip} \left(\frac{\Delta C_t}{2.0}, -1, 1 \right) + s_b + h_b - p_{\text{limit}} - p_{\text{density}} - p_{\text{ineff}}, -1, 1 \right) \quad (1)$$

231 where ΔC_t is the net ecosystem carbon change ($\text{kg C m}^{-2} \text{ yr}^{-1}$). s_b and h_b are bonuses for total
 232 stock and HWP storage. Penalties include p_{limit} for exceeding realistic biomass ($> 15 \text{ kg C m}^{-2}$)
 233 or soil carbon ($> 20 \text{ kg C m}^{-2}$) pools, p_{density} for exceeding maximum stand density (> 2000
 234 stems/ha), and p_{ineff} for ineffective actions (e.g., thinning empty stands).

235 The **Thaw Reward** ($r_{t,t}$) is designed to protect permafrost by minimizing deep soil warming. It is
 236 calculated as an asymmetric function of the conductive heat flux to the deep soil layer:

$$238 \quad r_{t,t} = \text{clip} \left(\frac{f_{\text{cool}} - \alpha \cdot f_{\text{warm}}}{40.0}, -1, 1 \right) \quad (2)$$

240 where f_{cool} and f_{warm} are the cumulative annual cooling and warming heat fluxes (MJ m^{-2}) across
 241 the permafrost boundary. The factor $\alpha = 2.5$ penalizes warming, reflecting the precautionary
 242 principle that permafrost degradation is often irreversible. We deliberately chose this physically-
 243 grounded reward based on soil heat flux rather than simpler proxies (like air temperature) to cap-
 244 ture the complex, often delayed thermal inertia of permafrost soils. Both carbon and thaw rewards
 245 are constructed using existing common knowledge from literature about how these fluxes operate.
 246 Preference-conditioned training is supported through a preference weight input $w_C \in [0, 1]$ in the
 247 observation space. For more details on construction of the RL environment, see Appendix C.

248 3.3 TRAINING PARADIGMS AND MULTI-OBJECTIVE FORMULATION

250 **Site-specific vs. generalist settings**: Let $\phi \in \Phi$ denote a vector of episode-level site parame-
 251 ters that parameterize the transition kernel $P_\phi(s_{t+1} | s_t, a_t)$ and the vector reward $\mathbf{R}_\phi(s_t, a_t) =$
 252 $[R_{\text{carbon}}, R_{\text{thaw}}]^\top$. In the *site-specific* setting we fix $\phi = \phi_*$, so the agent optimizes a single
 253 MDP/POMDP. In the *generalist* setting we sample $\phi \sim \mathcal{D}_{\text{site}}$ at the start of each episode from a
 254 known distribution over sites and climates; optionally, a context vector $\psi(\phi)$ is appended to the
 255 observation (Table 1). The resulting objective is a mixture-of-MDPs:

$$256 \quad J(\pi) = \mathbb{E}_{\phi \sim \mathcal{D}_{\text{site}}} \mathbb{E}_{\tau \sim P_\pi^\phi} \left[\sum_{t \geq 0} \gamma^t \mathbf{R}_\phi(s_t, a_t) \right],$$

259 where P_π^ϕ is the trajectory measure induced by π and P_ϕ .

260 We write the user preference as $\lambda = (w_C, w_P) \in \Delta^1$ with $w_C \in [0, 1]$ and $w_P = 1 - w_C$. Linear
 261 scalarization produces a scalar reward

$$263 \quad r_t^\lambda = \lambda^\top \mathbf{R}_\phi(s_t, a_t) = w_C R_{\text{carbon}, t} + (1 - w_C) R_{\text{thaw}, t}.$$

264 Two regimes are useful here: (i) *fixed weight* $\lambda \equiv \bar{\lambda}$ (constant across training and evaluation), and
 265 (ii) *sampled weight* where λ is provided as input to the policy.

267 3.4 MULTI-OBJECTIVE RL BASELINE ALGORITHMS

268 As a starting point, we implement and evaluate some simple multi-objective RL approaches that
 269 handle carbon-thaw trade-off differently.

270 **Fixed Lambda EUPG (Expected Utility Policy Gradient):** Fixed Lambda EUPG trains a single
 271 policy $\pi_\theta(a | o)$ on a scalarized reward using a fixed preference weight λ throughout training. The
 272 scalarized reward is $r_t^\lambda = \lambda \cdot R_{carbon,t} + (1 - \lambda) \cdot R_{thaw,t}$. Following EUPG (Rojers et al., 2018),
 273 the policy input is augmented with the per-objective accrued return vector, enabling Expected Utility
 274 of the Returns (ESR)-consistent credit assignment. The objective is:

$$275 \quad J_{EUPG}(\theta; \lambda) = \mathbb{E}_{\phi \sim \mathcal{D}_{site}} \mathbb{E}_{\tau \sim P_{\pi_\theta}^\phi} \left[\sum_{t \geq 0} \gamma^t r_t^\lambda \right].$$

$$276$$

$$277$$

$$278$$

279 **Variable Lambda EUPG (Adaptive Preference Learning):** Variable Lambda EUPG trains a
 280 single policy $\pi_\theta(a | o)$ that learns to adapt to weights by sampling $\lambda \sim \mathcal{D}_\Lambda$ for each episode:

$$281$$

$$282 \quad J_{VarEUPG}(\theta) = \mathbb{E}_{\lambda \sim \mathcal{D}_\Lambda} \mathbb{E}_{\phi \sim \mathcal{D}_{site}} \mathbb{E}_{\tau \sim P_{\pi_\theta}^\phi} \left[\sum_{t \geq 0} \gamma^t r_t^\lambda \right].$$

$$283$$

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$$285$$

286 The policy receives the preference weight as part of its observation space (Table 1) and learns to
 287 adjust its behavior accordingly, making it preference-conditioned. This approach enables a single
 288 policy to handle multiple trade-offs without retraining.

289 **PPO Gated (Proximal Policy Optimization with Action Masking):** PPO Gated implements a
 290 standard PPO algorithm with a policy $\pi_\theta(a | o)$ and a gated architecture that separates planting
 291 actions (positive density changes) from non-planting actions (thinning or no change), with separate
 292 neural network heads for each action type. The gating mechanism ensures that only valid actions are
 293 considered based on the current forest state, such as preventing planting when at maximum density
 294 or thinning when no old trees are available. The objective follows standard PPO.

$$295$$

296 4 EXPERIMENTS

$$297$$

298 4.1 EXPERIMENTAL DESIGN

$$299$$

300 For site-specific mode, we train 5 agents with different random site seeds. For generalist mode, a
 301 single agent is trained, and each episode utilizes a unique random seed to sample diverse weather
 302 conditions and site parameters, ensuring robustness. Reported results for generalist mode are averages
 303 over these 100 evaluation episodes. We vary the number of training steps depending on whether
 304 the experiment is site-specific or generalist, and whether its fixed-weight or sampled-weight. We
 305 compare against heuristic baselines (zero density change, +100 density increase with 0.5 conifer
 306 fraction, target density of 1000 stems/ha, and conifer restoration of 100% conifer) and evaluate
 307 performance using learning curves, reward metrics, and learned strategies of the RL agents.

$$308$$

309 4.2 ASYMMETRIC LEARNING DIFFICULTY IN CARBON VS. THAW OBJECTIVES

$$310$$

311 We find that there is an asymmetry in learning difficulty between the two objectives across both site-
 312 specific and generalist settings. Fixed-weight agents demonstrate that carbon objectives are easier
 313 to learn than thaw objectives, with thaw-preferred policies showing minimal or no learning progress
 314 in many cases. This asymmetric learning landscape emerges from the underlying physics: carbon
 315 rewards provide clear, immediate feedback through biomass accumulation, while thaw rewards de-
 316 pend on complex seasonal energy balance dynamics with delayed and noisy signals. This is exacer-
 317 bated by the necessary risk-averse formulation of the thaw objective, which reflects that permafrost
 318 degradation is often irreversible and more damaging than equivalent cooling is beneficial.

$$319$$

320 Fig. 2 shows the scalarized reward learning curves for both generalist and site-specific settings,
 321 demonstrating this asymmetric learning pattern. In the generalist setting (Fig. 2a), carbon-focused
 322 policies ($\lambda = (1.0, 0.0)$) achieve rapid learning, while thaw-focused policies ($\lambda = (0.0, 1.0)$) show
 323 minimal improvement, remaining near baseline performance. The site-specific setting (Fig. 2b)
 324 exhibits similar patterns. Nonetheless, distinct forest management strategies emerge from these ex-
 325 periments. Stem density evolution over training episodes (Fig. 2c) shows carbon-focused policies
 326 aggressively increase density to 1280 stems/ha, while thaw-focused policies maintain conservative

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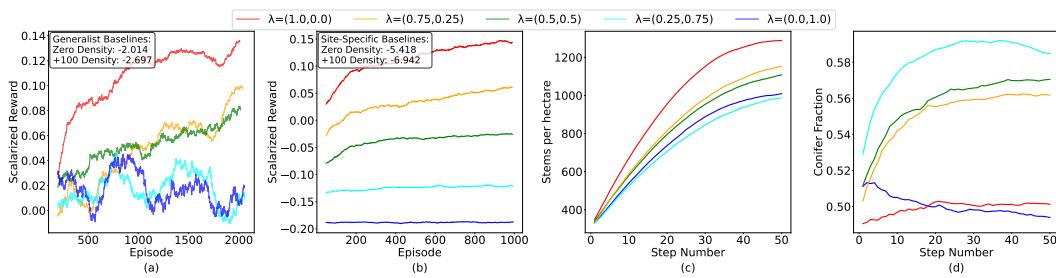


Figure 2: Asymmetric learning difficulty between carbon and thaw objectives. (a,b) Carbon-focused policies ($\lambda = (1.0, 0.0)$) achieve rapid learning while thaw-focused policies ($\lambda = (0.0, 1.0)$) show minimal improvement in both generalist and site-specific settings. (c) Carbon strategies favor aggressive density increases (1280 stems/ha) while thaw strategies remain conservative (1000-1020 stems/ha). (d) Species composition shows complex patterns: carbon policies maintain status quo, mixed policies achieve highest conifer fractions, and thaw policies promote deciduous dominance.

densities around 1000-1020 stems/ha. Species composition strategies on the other hand do not follow a simple carbon-thaw dichotomy (Fig. 2d). While purely carbon-focused policies show minimal conifer fraction change, purely thaw-focused policies promote deciduous dominance. Though the emergence of these qualitatively distinct strategies suggests that agents develop preference-specific management approaches, no strong conclusion can be drawn about the thaw policies as they may be a conservative result of lack of learning. Nevertheless, we will later show that well balanced policies are a hallmark of agents that do learn as well.

4.3 CURRICULUM SITE SELECTION AND PERFORMANCE IN GENERALIST MODE

Given that thaw rewards are harder to learn (Section 4.2) and are majorly influenced by chosen sites (see Appendix D.1; Fig. 5), we wanted to check the impact simple site selection. To do so, we implement adaptive episode selection. Our Curriculum baseline allows the agent to ignore these destabilizing sites, and consolidate its policy on a “safer” subset (see Table 12).

Curriculum PPO (Adaptive Episode Selection): Curriculum PPO implements a two-level decision process that combines episode selection with action selection. Like the other baselines, Curriculum PPO is also preference-conditioned (the preference weight λ is included in the observation space), but differs in its training mechanism through adaptive episode selection. The agent first decides whether to train on a given episode using a curriculum selection network $f_\phi(o_{site}) \rightarrow [0, 1]$ that evaluates the episode’s potential learning value based on site characteristics. Episodes are selected based on an adaptive threshold, and then they proceed with standard PPO action selection. The combined objective is:

$$J_{\text{Curriculum}}(\theta, \phi) = \mathbb{E}_{\lambda \sim \mathcal{D}_\Lambda} \mathbb{E}_{\phi \sim \mathcal{D}_{\text{site}}} \mathbb{E}_{\text{select} \sim f_\phi} \left[\mathbb{E}_{\tau \sim P_{\pi_\theta}^\phi} \left[\sum_{t \geq 0} \gamma^t r_t^\lambda \right] \mid \text{select} = 1 \right],$$

where the expectation is taken only over selected episodes. The curriculum selection network f_ϕ is an untrained random projection that provides a consistent ordering of the site space, while the selection threshold is adaptively adjusted based on performance to expand or contract the training distribution (see Appendix C.5 for details on the mechanism).

We consider preference-conditioned generalist mode to be our benchmark setting, and evaluate three preference-conditioned algorithms (Variable λ EUPG, PPO Gated, and Curriculum PPO) in generalist mode to assess their ability to learn policies under environmental stochasticity. For detailed runtime analysis, see Appendix C.9. Curriculum PPO baseline outperforms other methods across training (Fig. 3a) and evaluation (Fig. 3b) metrics (scalarized rewards). Moreover, Curriculum PPO produces the most comprehensive trade-off coverage (Fig. 3c,d), while others show poor performance across the preference space with higher λ -monotonicity violations (defined as the failure of an objective’s return to increase with its preference weight; see Appendix D.2).

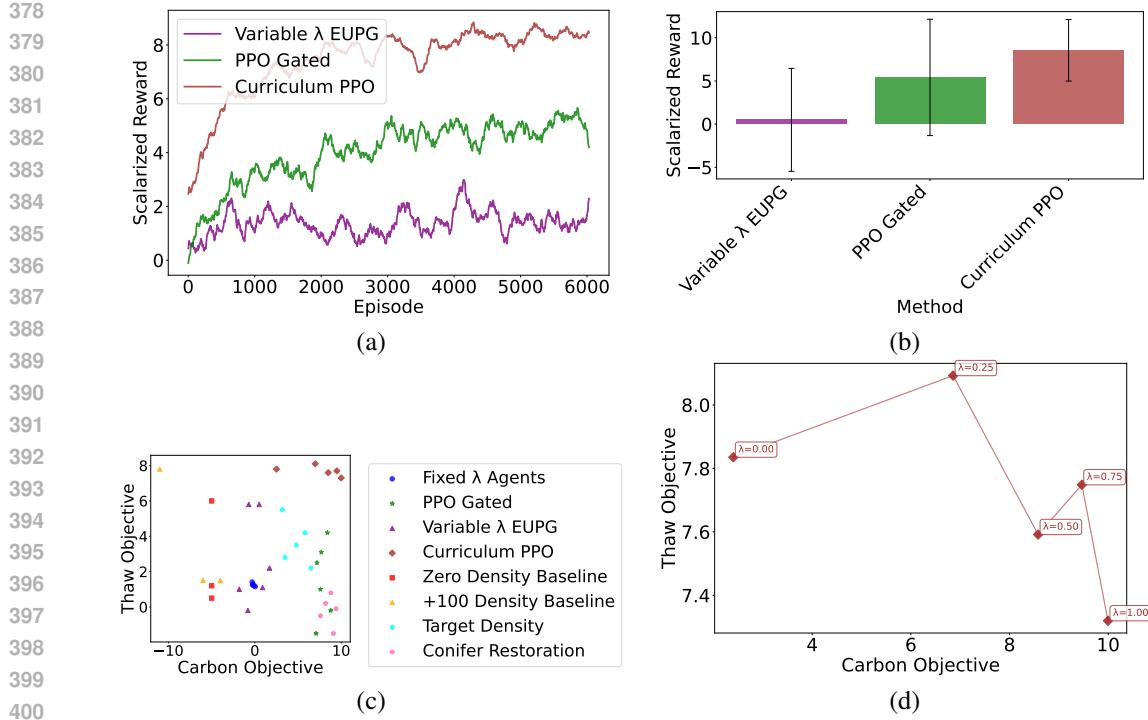


Figure 3: Algorithm performance comparison in generalist mode. (a) Learning curves reveal Curriculum PPO’s rapid convergence and stable performance versus others. (b) Scalarized evaluation reward demonstrates Curriculum PPO’s dominance, whereas Variable λ EUPG has near-zero performance. Error bars represent the standard deviation over 100 evaluation episodes (per preference weight), each with a unique random seed. (c) Trade-off analysis shows the relationship between evaluation carbon and thaw objectives for different methods versus baselines. (d) Curriculum PPO empirical trade-off coverage achieves superior spread with lesser λ -monotonicity violations compared to other methods. Shows mean over 100 evaluation episodes with unique random seeds. See Appendix D.2 for fronts of other methods. All rewards and objectives are summed over 50 steps.

Table 2: Main performance comparison of multi-objective RL algorithms and baselines. Rewards are averaged and other metrics are computed over 100 evaluation episodes per preference weight. Scalarized reward is the primary training objective. Hypervolume (reference point: $[-2, -2]$) and Sparsity measure the quality and uniformity of the trade-off front.

Method	Scalarized Reward	Hypervolume (\uparrow)	Sparsity (\downarrow)
<i>RL Algorithms (Generalist Mode)</i>			
Curriculum PPO	8.5 ± 3.0	84.3	0.12
PPO Gated	4.7 ± 6.0	23.6	0.09
Variable λ EUPG	1.7 ± 5.0	14.2	0.07
<i>Heuristic Baselines</i>			
Target Density (1000 stems/ha)	4.3 ± 3.4	20.6	N/A
Conifer Restoration (100% Conifer)	4.1 ± 2.9	21.4	N/A
Zero Density Change	-2.5 ± 2.4	11.3	N/A
+100 Density Change	-3.2 ± 6.1	18.5	N/A

Table 2 compares our RL agents against heuristic baselines. While these fixed strategies achieve moderate scalarized rewards, they fail to capture the multi-objective front. We use hypervolume and sparsity as key metrics to quantify the quality and uniformity of the learned trade-off fronts, where Curriculum PPO demonstrates superior coverage (Hypervolume: 84.3). We also experiment with

432
 433 Table 3: Impact of thaw reward formulation on PPO Gated performance. We compare the default
 434 Asymmetric Thaw (risk-averse) against Contrast Thaw (symmetric) and Raw Degree Days (linear).
 435 All three formulations are hard to optimize with a naive baseline, pointing to the difficulty of mas-
 436 tering the thaw regime. Asymmetric Thaw is the hardest, but also ecologically safer.

437 Formulation	438 Scalarized	439 Carbon	440 Thaw	441 Hypervol. (\uparrow)	442 Sparsity (\downarrow)
438 Asymmetric (Default)	4.7 \pm 6.0	7.8 \pm 2.5	1.5 \pm 1.2	23.6	0.09
438 Contrast	4.9 \pm 3.2	7.6 \pm 2.6	2.1 \pm 2.4	25.4	0.08
438 Raw Degree Days	5.2 \pm 3.7	7.9 \pm 2.4	2.4 \pm 2.3	26.1	0.08

443
 444 altenate thaw reward formulations. Table 3 reveals that while the Asymmetric Thaw formulation is
 445 the most challenging to optimize due to its risk-averse nature, Contrast Thaw and Raw Degree Days
 446 yield only marginally better scalarized rewards and lack the necessary penalty for irreversible per-
 447 ma frost degradation. Moreover, the Asymmetric formulation forces strong avoidance of warming,
 448 whereas symmetric formulations allow small warming trade-offs (see Table 10). All three formula-
 449 tions create conflicts with the carbon objective, as the physical mechanisms that benefit carbon often
 450 harm permafrost (see Appendix D.3, Table 11 for some mechanistic evidence). comparison of Thaw
 451 Reward Formulations and Agent Behavior. For a detailed breakdown of carbon and thaw objectives
 452 with error estimates across all RL baselines, see Table 13 in Appendix D.4.

453 Curriculum PPO’s success highlights that the *existence* of a curriculum (selective exposure) already
 454 helps; while an *optimal ordering* of that curriculum may further improve performance, our results
 455 show that even a simple adaptive threshold provides significant benefits. This serves as a naive
 456 baseline to demonstrate that effective episode and site selection is one way to succeed in preference-
 457 conditioned learning in our BoreaRL environment. It also points to the fact that, certain sites and
 458 settings are inherently bad for planting when multiple objectives are important, no matter what the
 459 management decision are, and that smart site selection is crucial. That said, we believe that this
 460 benchmark is far from saturated and various other properties of the physics, objectives, simulation,
 461 and real-world can be exploited to train better RL management agents.

462 4.4 COMPARATIVE ANALYSIS OF MANAGEMENT STRATEGIES

463 To understand the learned behavior of different algorithms, we analyze their strategies (Fig. 4).
 464 Three distinct philosophies emerge from our analysis. PPO Gated develops an aggressive carbon-
 465 maximizing approach, achieving the highest conifer fractions when averaged across all evaluation
 466 episodes and weights (Fig. 4a) through rapid early planting followed by natural thinning (Fig. 4b).
 467 This strategy concentrates forest outcomes in high-density, high-conifer regions (Fig. 4c). In con-
 468 trast, Curriculum PPO learns a balanced approach, showing steady species optimization while main-
 469 taining moderate density strategies. Variable λ EUPG adopts the most conservative strategy, main-
 470 taining baseline species composition and growth, suggesting limited learning (Fig. 4a,b,c).

471 The environmental implications of these management strategies are revealed through the correlation
 472 between growing season length and thaw rewards (Fig. 4d). The difference in actions reflects a
 473 fundamental difference in the quality of the local optima found by each algorithm (see Table 9). PPO
 474 Gated falls into a local optimum of aggressive carbon farming (high density/conifer), which boosts
 475 carbon but fails to protect permafrost. Curriculum PPO maintains moderate densities and mixed
 476 species, allowing for longer growing seasons (see Fig. 4d), which enhances canopy shading and
 477 transpiration, thereby reducing soil heating and preventing thaw. We observe a strong correlation
 478 between growing season length and thaw protection ($r = +0.65$, see Table 8). Mechanistically,
 479 longer growing seasons maintain high Leaf Area Index (LAI) for more days, increasing transpiration
 480 cooling ($r = +0.82$) and canopy shading ($r = -0.75$). Therefore, strategically managed forests
 481 can serve as buffers against climate-induced permafrost degradation.

482 Moreover, these distinct physical strategies are driven by specific algorithmic failure modes. PPO
 483 Gated suffers from consistent gradient from the Carbon objective overpowering the noisy, sparse
 484 Thaw signal, leading the agent to ignore the latter. Variable λ EUPG sees conflicting gradients
 485 from changing preference weights, leading to risk-averse inaction (low density, minimal changes).

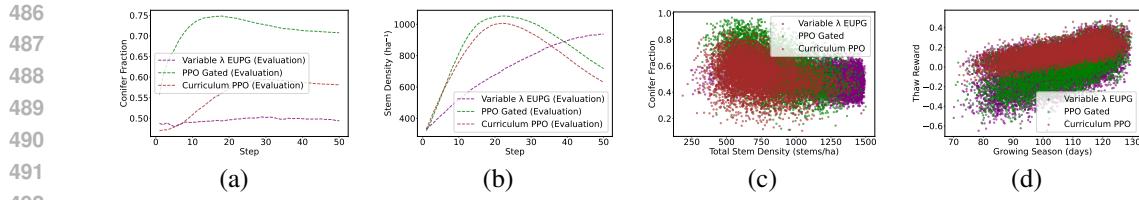


Figure 4: Comparative analysis of management strategies. Averaged across all evaluation episodes and weights. (a) Species composition evolution shows PPO Gated achieving highest conifer fractions, Curriculum PPO demonstrating steady improvement, and Variable λ EUPG being conservative. (b) Density evolution reveals rapid early growth for PPO Gated and Curriculum PPO versus linear growth for Variable λ EUPG. (c) Forest composition shows PPO Gated favoring high-density coniferous stands, Curriculum PPO achieving balanced mid-range strategies, and Variable λ EUPG not converging to any approach. (d) Growing season vs. thaw reward correlation shows how longer growing seasons can enhance permafrost protection. Mechanisms like increased shading and evapotranspiration may play a role, see Table 8 for more.

Curriculum PPO overcomes these issues through gradient filtering: by adaptively selecting sites where it is making progress, it avoids “trap” sites for thaw, allowing it to learn cooling strategies that standard methods miss. See Table 9 for more information on these mechanisms.

5 DISCUSSION AND FUTURE WORK

We have introduced BoreaRL, a configurable multi-objective reinforcement learning environment and framework for climate-adaptive boreal forest management. Our contributions include: (1) a physically-grounded simulator that captures complex biogeophysical trade-offs between carbon sequestration and permafrost preservation through coupled energy, water, and carbon flux modeling; (2) a modular MORL framework supporting site-specific and generalist training paradigms with standardized evaluation protocols; (3) benchmarking revealing that adaptive episode selection allows agents to avoid “trap sites” where conflicting gradients destabilize learning; and (4) novel ecological insights showing that appropriate forest management can enhance permafrost protection through biogeophysical mechanisms. Overall, BoreaRL provides the research community with a principled testbed for multi-objective RL in physically-grounded domains. It isolates the specific challenge of “Asymmetric Multi-Objective” optimization, where objectives have vastly different signal-to-noise ratios and timescales. Finally, it offers a high-impact platform for managing boreal carbon sinks, addressing the existential threat of climate change.

Despite these advances, several limitations constrain the current framework’s scope. BoreaRL is designed as a *physically-grounded simulator* to enable controlled experimentation and generate *testable scientific hypotheses* (e.g., density-thaw relationships), not as a deployment-ready decision support system. Real-world deployment would require rigorous calibration against field data, expert validation, and integration with existing planning tools. Additionally, the long time horizons (50 years) and delayed rewards inherent in this domain create a challenging credit assignment problem that our benchmark isolates for study. While site selection demonstrates improved learning, MORL agents still struggle with the full complexity of environmental stochasticity, indicating that novel approaches are needed to fully realize the potential of RL-based climate-adaptive forest management.

Several concrete directions for future research emerge from our work (see Appendix E for more):

Multi-objective Extensions: Extend the reward formulation to include economic objectives (timber revenues, management costs) and biodiversity metrics (species richness, habitat quality).

Advanced MORL Algorithms: Benchmark policies that can handle environmental stochasticity through techniques such as meta-learning. Explore non-linear scalarization methods and continuous preference space optimization to capture complex ecological trade-offs and asymmetric objectives.

Real-world Validation and Deployment: Establish validation protocols using historical forest management data, develop spatially explicit grid-wise environments for large geographic regions, and create frameworks for real-time forest management decision support systems.

540 **6 REPRODUCIBILITY STATEMENT**

541

542 We provide all components needed to replicate our results. The main paper specifies the environment
 543 and simulator design (Table 1), the multi-objective formulation and baselines (Sections 3.2–3.4), and
 544 the experimental protocol with evaluation metrics used across figures. The Appendix documents the
 545 physics and implementation details of the simulator (Appendix B), the environment API and training
 546 paradigms (Appendix C), and the parameter sampling ranges and other configuration choices needed
 547 to recreate experiments; Source code associated with this project is attached as an anonymous sup-
 548 plementary zip, which includes the complete environment and simulator, training/evaluation scripts,
 549 configuration for both site-specific and generalist settings, and seeds for all reported runs. Figures
 550 in the paper reference the exact methods and settings compared so results can be matched to the
 551 corresponding configs and scripts.

552

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648 **A SUBMISSION DETAILS**
649650 **A.1 SOURCE CODE**
651652 Source code associated with this project is attached as a supplementary zip file.
653654 **A.2 USE OF LARGE LANGUAGE MODELS**
655656 We used large language models (LLMs) in the following scoped, human-supervised ways: (i) Writing
657 polish. Draft sections were refined for clarity, structure, and tone; all technical claims, numbers,
658 and citations were authored and verified by us, and every LLM-suggested edit was line-reviewed to
659 avoid introducing errors or unsupported statements. (ii) Retrieval discovery. We used LLMs to craft
660 and refine search queries to find related work and background resources; candidate papers were then
661 screened manually, with citations checked against the original sources to prevent hallucinations. (iii)
662 Research ideation. We used brainstorming prompts to surface alternative baselines, ablation angles,
663 and failure modes; only ideas that survived feasibility checks and pilot experiments were adopted.
664 (iv) Coding assistance (via Cursor). We used Cursor’s inline completions and chat for boilerplate
665 generation (tests, docstrings, refactors); all code was reviewed and benchmarked before inclusion.
666 Across all uses, we ensured that LLM outputs never replaced human analysis, reproducibility arti-
667 facts, or empirical validation.
668669 **B FOREST SIMULATOR**
670671 **B.1 SIMULATOR PRINCIPLES AND FLOW**
672673 The BoreaRL benchmark is built upon a process-based simulator, *BoreaRL-Sim*, which is designed
674 to be scientifically credible while remaining computationally efficient for reinforcement learning.
675 The design adheres to several key principles:
676

- 677 **• Time-Scale Separation:** There is a clear separation between the agent’s decision-making
678 timescale and the physical simulation timescale. The RL agent performs a management action
679 once per year. In response, *BoreaRL-Sim* resolves the energy, water, and carbon fluxes at a n -
680 minute time-step (tunable) for the entire 365-day period, capturing the fine-grained diurnal and
681 seasonal dynamics that govern the ecosystem.
- 682 **• Stochasticity and Robustness:** To ensure that learned policies are robust and not overfit to a
683 single deterministic future, each H -year episode is driven by a unique, stochastically generated
684 climate. At the start of an episode, a site latitude is sampled, which parametrically determines the
685 mean climate characteristics (e.g., annual temperature, seasonality, growing season length). Then,
686 daily weather (temperature, precipitation) is generated with stochastic noise, forcing the agent to
687 learn strategies that are adaptive to climate variability.
- 688 **• Multi-Objective Core:** The simulator is fundamentally multi-objective. It tracks the two primary
689 reward components: a carbon component that includes normalized net carbon change with stock
690 bonuses and limit penalties, and an asymmetric thaw component derived from conductive heat flux
691 to deep soil (permafrost proxy). These are returned as a reward vector $\mathbf{R}_t = [R_{carbon,t}, R_{thaw,t}]$
692 where $R_{thaw,t}$ penalizes warming more than it rewards cooling. This allows for the training of
693 both specialist and generalist multi-objective agents.
- 694 **• Management-Physics Coupling:** The agent’s actions (changing stand density and species com-
695 position) directly modulate the core physical parameters of the simulation, such as the Leaf Area
696 Index (LAI), canopy albedo (α_{can}), snow interception efficiency, and aerodynamic roughness.
697 This creates a tight feedback loop where management decisions have physically-grounded conse-
698 quences on the surface energy balance.

699
700 The simulation flow for a single RL step (one year) is orchestrated by the *ForestEnv* environment,
701 which wraps the *BoreaRL-Sim* instance. The sequence is detailed in Appendix B.5.

702 B.2 CORE PHYSICAL MODEL: ENERGY BALANCE
703

704 The core of *BoreaRL-Sim* is a multi-node thermodynamic model that solves the energy balance for
705 the key components of the forest stand. The model uses standard, validated physical formulations
706 found in major Land Surface Models like CLM5 (Fisher et al., 2019; Lawrence et al., 2019) and
707 CLASSIC (Melton et al., 2020). For example, the state of the system is defined by the temperatures
708 of five primary nodes: Canopy (T_{can}), Trunk (T_{trunk}), Snowpack (T_{snow}), Surface Soil ($T_{soil, surf}$),
709 and Deep Soil ($T_{soil, deep}$). The temperature evolution of each node i is governed by the net energy
710 flux, following the principle:

$$711 C_i \frac{dT_i}{dt} = \sum F_{in,i} - \sum F_{out,i}$$

713 where C_i is the heat capacity of the node and F represents an energy flux in Watts per square meter
714 (Wm^{-2}). The main flux equations for each node are detailed below.

716 B.2.1 CANOPY NODE (T_{can})

717 The canopy energy balance is the most complex, as it involves radiative, turbulent, and physiological
718 fluxes. Unlike the soil and snow nodes which have significant thermal mass, the canopy has a
719 relatively low heat capacity and equilibrates rapidly with the atmosphere. Therefore, we treat it as
720 a diagnostic variable that reaches steady-state equilibrium at each timestep, rather than a prognostic
721 variable with memory. Its temperature is solved iteratively to satisfy the condition where the net flux
722 is zero:

$$723 0 = R_{net,can} - H_{can} - LE_{can} - G_{photo} - \Phi_{melt,can} - \Phi_{c,trunk}$$

724 The components are:

726 **$R_{net,can}$** : Net radiation, defined as the balance of incoming shortwave (Q_{abs}) and longwave (L_{in})
727 radiation against emitted longwave radiation (L_{out}). We use the Beer-Lambert Law for radiation
728 extinction (Swinehart, 1962):

$$729 R_{net,can} = Q_{solar}(1 - \alpha_{can}) + \epsilon_{can}(L_{down,atm} + L_{up,ground}) - 2\epsilon_{can}\sigma T_{can}^4$$

731 **H_{can}** : Sensible heat flux, representing convective heat exchange with the air, governed by an aero-
732 dynamic conductance h_{can} :

$$733 H_{can} = h_{can}(T_{can} - T_{air})$$

734 **LE_{can}** : Latent heat flux from transpiration, modeled using the Priestley-Taylor formulation (Priest-
735 ley & Taylor, 1972) modified by environmental stress factors for Vapor Pressure Deficit (f_{VPD}) and
736 soil water content (f_{SWC}):

$$737 LE_{can} = \alpha_{PT} \frac{\Delta}{\Delta + \gamma} R_{net,can} \cdot f_{VPD} \cdot f_{SWC}$$

740 where α_{PT} is the Priestley-Taylor coefficient, Δ is the slope of the saturation vapor pressure curve,
741 and γ is the psychrometric constant.

742 **G_{photo}** : The energy sink used for photosynthesis. This is directly coupled to the carbon model
743 via a light-use efficiency parameter (LUE), ensuring energy and carbon are conserved: $G_{photo} =$
744 $GPP \cdot J_{per_gC}$, where J_{per_gC} is the energy cost to fix one gram of carbon.

745 **$\Phi_{melt,can}$** : Energy sink for melting intercepted snow on the canopy, active only when $T_{can} \geq$
746 273.15K and canopy snow exists.

748 **$\Phi_{c,trunk}$** : Conductive heat flux between the canopy and the tree trunks.

750 B.2.2 TRUNK, SNOW, AND SOIL NODES

751 The energy balances for the ground-level nodes are primarily driven by their own radiation balance,
752 turbulent exchange with the sub-canopy air, and conduction between adjacent nodes.

754 **Trunk Node (T_{trunk}):**

$$755 C_{trunk} \frac{dT_{trunk}}{dt} = H_{trunk} + \Phi_{c,can} + \Phi_{c,ground}$$

756 where H_{trunk} is the sensible heat flux to the air, and $\Phi_{c,ground}$ represents conduction to either the
 757 snowpack or the surface soil, depending on snow cover.
 758

759 **Snowpack Node (T_{snow}):**

$$760 \quad 761 \quad C_{snow} \frac{dT_{snow}}{dt} = R_{net,snow} - H_{snow} - \Phi_{melt,snow} + \Phi_{c,soil} + \Phi_{c,trunk}$$

762 Here, $R_{net,snow}$ is the net radiation at the snow surface, which is high in albedo ($\alpha_{snow} \approx 0.8$).
 763 $\Phi_{melt,snow}$ is the energy sink for melting, active when the net energy flux is positive and $T_{snow} =$
 764 $273.15K$. The thaw-degree-day reward component ($R_{thaw,t}$) is derived from the energy flux out of
 765 the deep soil layer into the permafrost boundary, providing a physically-based metric of permafrost
 766 degradation.

767 **Surface Soil Node ($T_{soil,surf}$):**

$$768 \quad 769 \quad C_{soil,surf} \frac{dT_{soil,surf}}{dt} = R_{net,soil} - H_{soil} - LE_{soil} - \Phi_{c,deep} + \Phi_{c,snow} + \Phi_{c,trunk}$$

770 This balance is active when no snow is present. It includes latent heat of evaporation from the soil
 771 (LE_{soil}) and conductive flux to the deep soil layer ($\Phi_{c,deep}$).
 772

773 **Deep Soil Node ($T_{soil,deep}$):**

$$774 \quad 775 \quad C_{soil,deep} \frac{dT_{soil,deep}}{dt} = \Phi_{c,surf} - \Phi_{c,boundary}$$

776 The deep soil node integrates heat from the surface layer and loses heat to a fixed-temperature deep
 777 boundary, representing the top of the permafrost table. The permafrost thaw objective, $R_{thaw,t}$, is
 778 calculated as an asymmetric function of the cumulative annual positive and negative energy fluxes
 779 across this boundary, penalizing warming more than it rewards cooling.
 780

781 B.3 KEY SIMULATOR SUB-MODELS

782 Layered on top of the core energy balance are modules for the water balance, carbon cycle, and stand
 783 dynamics. The simulator’s realism is achieved through several key subsystems that work together to
 784 capture the complex dynamics of boreal forest ecosystems.
 785

786 B.3.1 AGE-STRUCTURED DEMOGRAPHY

787 The simulator implements an age-structured population model with five age classes: seedling (0-
 788 5 years), sapling (6-20 years), young (21-40 years), mature (41-100 years), and old (101+ years).
 789 Each age class has distinct canopy factors and light-use efficiency scaling, with natural transitions
 790 occurring annually. Thinning operations are preferentially applied to old trees to simulate realistic
 791 harvesting practices, while planting adds seedlings with the specified species mix. This age struc-
 792 ture enables realistic representation of forest development trajectories and management constraints,
 793 as younger trees have different physiological properties and respond differently to environmental
 794 conditions.
 795

796 B.3.2 ADVANCED WEATHER GENERATION

797 The weather module generates latitude-dependent climate parameters including temperature-
 798 precipitation relationships that vary by season. Summer precipitation is positively correlated with
 799 temperature (reflecting convective rainfall patterns), while winter precipitation shows complex tem-
 800 perature dependencies (snow vs. rain thresholds). The system also models rain-induced suppres-
 801 sion of diurnal temperature amplitude, where precipitation events dampen daily temperature swings
 802 through increased cloud cover and latent heat effects. This creates realistic weather patterns that in-
 803 fluence forest dynamics through both direct physiological effects and indirect impacts on the energy
 804 balance.
 805

806 B.3.3 DISTURBANCE MODELING

807 The simulator includes stochastic models for fire and insect outbreaks. Fire probability is condi-
 808 tioned on drought index (accumulated temperature and precipitation deficit), temperature thresholds
 809

(fires more likely during hot periods), and species flammability (conifers more susceptible than deciduous). Insect outbreaks depend on winter temperature (warmer winters increase overwintering survival) and stand density (denser stands facilitate spread), with coniferous species being more susceptible to infestations. Both disturbances cause fractional mortality and route carbon appropriately: fire combusts biomass (releasing to atmosphere), while insect kill transfers dead biomass to soil carbon pools.

816

817 B.3.4 HARVESTED WOOD PRODUCTS (HWP) ACCOUNTING

818

819 When thinning occurs, the simulator tracks carbon sequestration in harvested wood products rather
 820 than treating all removed biomass as immediate emissions. By default, most of the removed biomass
 821 carbon (typically 70-80%) is stored as HWP (contributing positively to the carbon objective), while
 822 a smaller percentage is lost during harvest operations (representing processing waste and immediate
 823 emissions). This creates an additional management incentive for sustainable harvesting practices
 824 and reflects the reality that forest products can provide long-term carbon storage.

825

826 B.3.5 WATER BALANCE

827

828 The simulator tracks water in three main reservoirs: canopy-intercepted water, the snowpack on the
 829 ground, and soil water content (SWC).

830

831 Snowpack (SWE): Snow Water Equivalent on the ground (SWE_{ground}) and on the canopy
 832 (SWE_{can}) are tracked. The change in the ground snowpack is given by:

833

$$\Delta SWE_{ground} = P_{snow,throughfall} - M_{ground}$$

834

835 where $P_{snow,throughfall}$ is snow that passes through the canopy and M_{ground} is melt, calculated
 836 from the energy balance. Canopy snow interception is a function of LAI and species type (conifers
 837 intercept more).

838

839 Soil Water Content (SWC): The soil is treated as a single bucket model. Its water content changes
 840 according to:

841

$$\Delta SWC = P_{rain,throughfall} + M_{can} + M_{ground} - ET - R_{off}$$

842

843 where inputs are rain and meltwater, and outputs are evapotranspiration (ET , coupled to the energy
 844 balance) and runoff (R_{off}), which occurs when SWC exceeds its maximum capacity.

845

846 B.3.6 CARBON CYCLE

847

848 The simulator tracks two primary carbon pools: living biomass ($C_{biomass}$) and soil organic carbon
 849 (C_{soil}). The net change in total carbon is a key reward component.

850

851 Gross Primary Production (GPP): Carbon uptake is calculated at each n -minute time-step using
 852 the Light Use Efficiency (LUE) model (Monteith, 1972), where GPP is proportional to absorbed
 853 photosynthetic radiation (PAR_{abs}) and is down-regulated by environmental stressors:

854

$$GPP = PAR_{abs} \cdot LUE \cdot f(VPD) \cdot f(SWC)$$

855

856 Respiration (R): Carbon losses occur via respiration from both biomass (autotrophic, R_a) and soil
 857 (heterotrophic, R_h). Both are modeled as a function of temperature using the standard Q10 response
 858 curve (Van't Hoff & Lehfeldt, 1900):

859

$$R = R_{base} \cdot Q_{10}^{((T-T_{ref})/10)}$$

860

861 where R_{base} is a base respiration rate at a reference temperature T_{ref} .

862

863 Pool Dynamics: The biomass and soil carbon pools are updated annually based on the integrated
 864 fluxes. The net change in biomass is Net Primary Production ($NPP = GPP - R_a$) minus losses to
 865 litterfall and mortality. The net change in soil carbon is the sum of inputs from litterfall and mortality
 866 minus losses from heterotrophic respiration.

867

$$\Delta C_{biomass} = NPP - L_{fall} - M_{fire} - M_{insect} - M_{nat}$$

868

$$\Delta C_{soil} = L_{fall} + M_{deadwood} - R_h$$

864 B.3.7 STAND DYNAMICS AND DISTURBANCES
865866 The simulator includes modules for natural population changes and stochastic disturbances that
867 impact forest structure and carbon.868 **Natural Demography:** At the end of each year, the model calculates background mortality as a
869 function of stand density (self-thinning) and a base stochastic rate. It also calculates natural recruit-
870 ment (new seedlings), which is limited by available space.871 **Fire Module:** A stochastic fire event can occur during the summer. The probability is conditioned
872 on the stand's species composition (conifers are more flammable) and a running drought index. If a
873 fire occurs, it causes fractional mortality and combusts a portion of the biomass carbon, releasing it
874 from the system.875 **Insect Module:** An annual check for an insect outbreak is performed. The probability is conditioned
876 on the mean winter temperature (warmer winters increase survival) and stand density. An outbreak
877 causes fractional mortality, primarily targeting coniferous species, with the dead biomass being
878 transferred to the soil carbon pool.880 B.4 PERFORMANCE OPTIMIZATIONS
881882 The simulator includes several performance optimizations for efficient computation:
883884 **JIT Compilation:** The canopy energy balance solver uses Numba JIT compilation for significant
885 speedup of computationally intensive components.886 **Memory Management:** The environment implements automatic memory management for history
887 tracking, preventing memory leaks during long training runs.888 **Configurable Physics Backend:** The simulator supports two physics backends: a pure Python
889 implementation for compatibility and a Numba JIT-compiled backend for improved performance
890 during training.892 B.5 ANNUAL SIMULATION TIMELINE
893894 The *BoreaRL-Sim* instance evolves over a one-year RL time step following a precise sequence of
895 events. This ensures that management actions and natural processes occur in a logical order.
896897 1. **Management Implementation:** At the beginning of the year ($t=0$), the agent's action is imme-
898 diately implemented. Stems are added (planting) or removed (thinning) according to the action's
899 density and species mix specifications. If thinning occurs, the corresponding carbon is removed
900 from the $C_{biomass}$ pool, representing harvested timber. The age distribution of the forest is up-
901 dated accordingly.902 2. **Physical Parameter Update:** Based on the new stand structure (density, species mix, age),
903 all physical parameters are recalculated. This includes LAI, canopy area, albedo, roughness
904 length, and interception efficiencies. This step ensures the subsequent physical simulation uses
905 properties that reflect the management action.906 3. **Sub-Annual Physics Loop:** The simulator runs for 365 days, with time-steps per day depending
907 on step resolution (n). In each time-step:908

- 909 • The weather forcing (temperature, radiation, precipitation) is updated based on the daily and
910 diurnal cycles, with added stochastic noise.
- 911 • The full energy, water, and carbon balance equations (see Appendix B.2 and B.3) are solved
912 for the current state.
- 913 • The temperatures of all nodes, snow water equivalent (SWE), and soil water content (SWC)
914 are updated.
- 915 • A check for a stochastic fire event is performed if conditions are met (summer, high drought
916 index).
- 917 • The dynamic carbon pools ($C_{biomass}$, C_{soil}) are updated based on the GPP and respiration
fluxes of the time-step.

918
 919 4. **End-of-Year Bookkeeping:** After the 365-day loop completes, several annual processes are
 920 resolved:

921 • A stochastic check for an insect outbreak is performed.
 922 • Natural mortality (background and density-dependent) and recruitment are calculated and
 923 applied to the stand’s age distribution.
 924 • All trees in the age distribution are aged by one year.
 925 • Final carbon pool values and the final stem density are calculated.

926 5. **Return Metrics:** The simulator calculates the final annual metrics needed for the RL re-
 927 ward. This includes the net change in total ecosystem carbon, positive and negative energy
 928 fluxes across the permafrost boundary, and various carbon pool states. These raw metrics are
 929 returned to the *ForestEnv* wrapper, which processes them into the normalized reward vector
 930 $R_t = [R_{carbon,t}, R_{thaw,t}]$.

931 **B.6 PARAMETERIZATION**

932 The *BoreaRL-Sim* is parameterized with a comprehensive set of physics-based parameters to ensure
 933 that trained agents are robust to environmental uncertainty and can generalize across diverse boreal
 934 forest conditions. In generalist mode, key parameters are sampled from uniform distributions at the
 935 start of each episode, while site-specific mode uses fixed parameter values. The parameterization
 936 spans climate forcing, soil properties, vegetation characteristics, and disturbance regimes, enabling
 937 realistic representation of boreal ecosystem variability.

938 The parameterization strategy ensures that trained agents encounter realistic environmental vari-
 939 ability while maintaining physical consistency. Climate parameters are sampled from ranges rep-
 940 resentative of boreal forest latitudes, with temperature and precipitation patterns that capture the
 941 seasonal dynamics of northern ecosystems. Soil properties vary within ranges typical of boreal
 942 soils, including thermal conductivity and water-holding capacity. Vegetation parameters reflect the
 943 contrasting characteristics of coniferous and deciduous species, with different maximum leaf area
 944 indices and albedo values. Carbon cycle parameters are sampled from literature-based ranges for
 945 boreal ecosystems, ensuring realistic carbon fluxes and turnover rates. Disturbance parameters cap-
 946 ture the stochastic nature of fire and insect outbreaks, with probabilities and impacts calibrated to
 947 boreal forest conditions. This comprehensive parameterization enables the environment to serve as
 948 a robust testbed for multi-objective forest management under climate uncertainty.

950 5. Table 4: Comprehensive parameter sampling ranges for the *BoreaRL-*
 951 *Sim*.

953 Parameter	954 Description	955 Sampled Range
<i>Climate Forcing</i>		
956 Latitude	957 Site latitude ($^{\circ}$ N)	[56.0, 65.0]
958 Mean Ann. Temp. Offset	959 Climate warming/cooling offset ($^{\circ}$ C)	[-10.0, -5.0]
960 Seasonal Amplitude	961 Seasonal temperature swing ($^{\circ}$ C)	[20.0, 25.0]
962 Diurnal Amplitude	963 Daily temperature variation ($^{\circ}$ C)	[4.0, 8.0]
964 Peak Diurnal Hour	965 Hour of maximum daily temperature	[3.0, 5.0]
966 Daily Noise Std	967 Temperature stochasticity ($^{\circ}$ C)	[1.0, 2.0]
968 Relative Humidity	969 Mean atmospheric humidity	[0.6, 0.8]
<i>Precipitation Patterns</i>		
970 Summer Rain Prob	971 Daily summer precipitation probability	[0.10, 0.20]
972 Summer Rain Amount	973 Summer rainfall (mm/day)	[10.0, 20.0]
974 Winter Snow Prob	975 Daily winter snowfall probability	[0.15, 0.30]

972 **Table 4 – continued from previous page**

973 Parameter	974 Description	975 Sampled Range
976 Winter Snow Amount	977 Winter snowfall (mm/day)	978 [3.0, 8.0]
<i>979 Soil Properties</i>		
980 Soil Conductivity	981 Thermal conductivity (W/m/K)	982 [0.8, 1.6]
983 Max Water Content	984 Soil water capacity (mm)	985 [100.0, 200.0]
986 Stress Threshold	987 Water stress threshold (fraction)	988 [0.3, 0.6]
989 Deep Boundary Temp	990 Permafrost boundary temperature (K)	991 [268.0, 272.0]
<i>992 Vegetation Characteristics</i>		
993 Max LAI Conifer	994 Maximum leaf area index (conifers)	995 [3.0, 5.0]
996 Max LAI Deciduous	997 Maximum leaf area index (deciduous)	998 [4.0, 6.0]
999 Base Albedo Conifer	1000 Canopy albedo (conifers)	1001 [0.07, 0.11]
1002 Base Albedo Deciduous	1003 Canopy albedo (deciduous)	1004 [0.15, 0.20]
<i>1005 Carbon Cycle</i>		
1006 Base Respiration	1007 Biomass respiration rate (kgC/m ² /yr)	1008 [0.30, 0.40]
1009 Soil Respiration	1010 Soil respiration rate (kgC/m ² /yr)	1011 [0.4, 0.6]
1012 Q10 Factor	1013 Temperature sensitivity of respiration	1014 [1.8, 2.3]
1015 Litterfall Fraction	1016 Annual biomass turnover rate	1017 [0.03, 0.04]
<i>1018 Demography</i>		
1019 Natural Mortality	1020 Annual mortality rate	1021 [0.02, 0.03]
1022 Recruitment Rate	1023 Annual recruitment rate	1024 [0.005, 0.015]
1025 Max Natural Density	1026 Maximum stand density (stems/ha)	1027 [1500, 2000]
<i>1028 Disturbances</i>		
1029 Fire Drought Threshold	1030 Drought index for fire ignition	1031 [20, 40]
1032 Fire Base Probability	1033 Annual fire probability	1034 [0.0001, 0.0005]
1035 Insect Base Probability	1036 Annual insect outbreak probability	1037 [0.02, 0.05]
1038 Insect Mortality Rate	1039 Mortality rate during outbreaks	1040 [0.02, 0.05]
<i>1041 Phenology</i>		
1042 Growth Start Day	1043 Day of year for growth onset	1044 [130, 150]
1045 Fall Start Day	1046 Day of year for senescence onset	1047 [260, 280]
1048 Growth Rate	1049 Spring phenology rate	1050 [0.08, 0.15]
1051 Fall Rate	1052 Autumn phenology rate	1053 [0.08, 0.15]

1020 C MULTI-OBJECTIVE RL ENVIRONMENT

1021
 1022 The BoreaRL environment implements a multi-objective reinforcement learning framework that
 1023 wraps the physics simulator with standardized interfaces for training and evaluation. The environment
 1024 conforms to the *mo-gymnasium* API standard and supports both site-specific and generalist
 1025 training paradigms.

1026 C.1 ENVIRONMENT ARCHITECTURE
1027

1028 The environment consists of several key components:

1029 **Observation Space:** The environment provides a rich observation vector that captures the current
1030 ecological state, historical information, and environmental context. The observation space varies
1031 between operational modes: generalist mode includes episode-level site parameters for robust pol-
1032 icy learning, while site-specific mode uses a reduced observation space with fixed parameters for
1033 location-targeted optimization.1034 **Action Space:** The environment implements a discrete action space that encodes two management
1035 dimensions: stand density changes (thinning or planting) and species composition targets. Ac-
1036 tions are encoded as single discrete values representing unique combinations of density change and
1037 conifer fraction targets, enabling efficient policy learning while maintaining interpretable manage-
1038 ment decisions.1039 **Reward Function:** The environment returns a 2-dimensional reward vector $[R_{carbon,t}, R_{thaw,t}]$ at
1040 each step. Both reward components are normalized to the range $[-1, 1]$ per step to ensure compara-
1041 ble scales for optimization.1042

- **Carbon Reward** ($R_{carbon,t}$): Normalized by a factor of $2.0 \text{ kg C m}^{-2} \text{ yr}^{-1}$. It includes the
1043 net carbon change plus stock bonuses, with penalties for exceeding realistic carbon pools
1044 ($> 15 \text{ kg C m}^{-2}$ biomass, $> 20 \text{ kg C m}^{-2}$ soil).
- **Thaw Reward** ($R_{thaw,t}$): Normalized by a factor of $40.0 \text{ degree-days yr}^{-1}$. It is calculated
1045 as an asymmetric function of conductive heat flux to deep soil: $R_{thaw} \propto (\text{cooling flux}) -$
1046 $\alpha \times (\text{warming flux})$, where $\alpha = 2.5$ is a penalty factor that heavily penalizes warming.

1047 Despite the comparable numerical ranges $[-1, 1]$, the thaw objective is significantly harder to opti-
1048 mize due to this asymmetric penalty α and the conflicting physics of the domain (e.g., snow insula-
1049 tion vs. albedo effects), rather than a difference in reward magnitude. A theoretical optimal return
1050 for both objectives over a 50-year episode is estimated at approximately 50.0.1051 **Episode Structure:** Each episode consists of 50 annual management decisions, with each decision
1052 followed by a full 365-day physical simulation.1053 C.2 TRAINING PARADIGMS
1054

1055 The environment supports two distinct training paradigms:

1056 **Site-Specific Mode:** Designed for controlled studies and location-targeted optimization, this mode
1057 uses deterministic weather patterns, fixed site parameters, and reduced observation dimension-
1058 ality. The environment uses a fixed weather seed, zero temperature noise, and deterministic initial
1059 conditions, providing reproducible results for systematic ablation studies.1060 **Generalist Mode:** Designed for robust policy learning under environmental stochasticity, this mode
1061 samples unique weather sequences and site parameters for each episode. The environment includes
1062 episode-level site parameters in the observation space, enabling policies to adapt to diverse forest
1063 conditions and climate variability.1064 C.3 PREFERENCE CONDITIONING
10651066 The environment supports preference-conditioned training through a preference weight input in the
1067 observation space. This enables training single policies that can adapt to different objective weight-
1068 ings without retraining. Both fixed preference training (for controlled studies) and randomized pref-
1069 erence sampling (for robust generalist policies) are supported.1070 C.4 RL HYPERPARAMETERS
10711072 We evaluate three multi-objective RL algorithms across different training paradigms. The agents
1073 were trained using the hyperparameters listed in Table 5.

1080

1081

Table 5: Hyperparameters for Multi-Objective RL Agent Training

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1083

Hyperparameter	Variable	λ EUPG	PPO Gated	Curriculum PPO
Framework	morl-baselines	Custom PyTorch	Custom PyTorch	
Learning Rate	1×10^{-3}	3×10^{-4}	3×10^{-4}	
Discount Factor (γ)	1.0	0.99	0.99	
Network Architecture	[128, 64]	[64, 64]	[64, 64]	
GAE Lambda	N/A	0.95	0.95	
Clip Coefficient	N/A	0.2	0.2	
Rollout Steps	N/A	2048	2048	
Batch Size	N/A	64	64	
Update Epochs	N/A	10	10	
Curriculum Threshold	N/A	N/A	0.5	
Plant Gate	N/A	Enabled	Enabled	
Total Timesteps (Generalist)	3×10^5	3×10^5	3×10^5	
Total Timesteps (Site-specific)	1×10^5	1×10^5	1×10^5	

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1097

C.5 CURRICULUM PPO MECHANISM

1099

The Curriculum PPO agent employs an adaptive episode selection mechanism to stabilize learning in the generalist setting. The mechanism consists of two components:

1102

1. **Episode Selector Network:** A fixed, randomly initialized neural network $f_\phi : \mathcal{O}_{site} \rightarrow [0, 1]$ that projects site features to a scalar score. This network is not trained via gradient descent but provides a consistent hashing of the site space. We employ a fixed projection to establish a minimal baseline for curriculum efficacy, demonstrating that the adaptive thresholding mechanism itself is sufficient for stabilization without the added complexity of learning a site-value function. While an optimal ordering of the curriculum could potentially yield further improvements, our results show that even this random ordering provides significant benefits.
2. **Adaptive Threshold:** A dynamic threshold τ that determines whether to accept an episode for training ($f_\phi(s) > \tau$). The threshold is updated based on the relative performance of selected versus skipped episodes. If the agent performs better on selected episodes (indicating mastery of the current subset), the threshold is decreased ($\tau \leftarrow \tau \times 0.999$) to *expand* the training distribution. If performance on selected episodes is worse than skipped ones, the threshold is increased ($\tau \leftarrow \tau \times 1.001$) to *contract* the curriculum to a smaller, more manageable subset.

1117

1118

This approach creates an automatic “breathing” curriculum that expands and contracts the effective training distribution based on the agent’s current competence.

1119

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C.6 OBSERVATION SPACE DETAILS

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1126

The observation space structure varies between operational modes. In generalist mode, the observation vector contains 105 dimensions as detailed in Table 6, while site-specific mode uses a reduced observation space of 43 dimensions that excludes variable site parameters. The observation vector is designed to provide information about the current ecological state, historical trends, and environmental context to enable effective policy learning.

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Table 6: Detailed breakdown of the observation space structure (generalist mode).

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1131

1132

1133

Index	Description	Normalization
<i>Category 1: Preference Input (1 dimension)</i>		

Table 6 – continued from previous page

Index	Description	Normalization
0	Carbon Preference Weight (w_C)	[0, 1] (no change)
<i>Category 2: Current Ecological State (4 dimensions)</i>		
1	Year	$year/50$
2	Stem Density (stems ha^{-1})	$density/1500$
3	Conifer Fraction	[0, 1] (no change)
4	Total Carbon Stock ($kgC m^{-2}$)	$(biomass_C + soil_C)/50$
<i>Category 3: Site Climate Parameters (6 dimensions)</i>		
5	Latitude ($^{\circ}N$)	$(lat - 50)/20$
6	Mean Annual Temperature ($^{\circ}C$)	$(T_{mean} + 10)/20$
7	Seasonal Temperature Amplitude ($^{\circ}C$)	$T_{amp}/30$
8	Growth Start Day (DOY)	$growth_day/365$
9	Fall Start Day (DOY)	$fall_day/365$
10	Growing Season Length (days)	$(fall_day - growth_day)/200$
<i>Category 4: Disturbance History (6 dimensions)</i>		
11-12	Fire Mortality Fraction (last 2yr)	[0, 1] (fraction)
13-14	Insect Mortality Fraction (last 2yr)	[0, 1] (fraction)
15-16	Drought Index (last 2yr)	$index/100$
<i>Category 5: Carbon Cycle Details (7 dimensions)</i>		
17	Recent Biomass C Change	$(change + 0.5)/1.0$
18	Recent Soil C Change	$(change + 0.2)/0.4$
19	Recent Total C Change	$(change + 0.7)/1.4$
20	Recent Natural Mortality	$mortality/0.5$
21	Recent Litterfall	$litterfall/2.0$
22	Recent Thinning Loss	$(loss + 0.5)/1.0$
23	Recent HWP Stored	$hwp/0.5$
<i>Category 6: Management History (4 dimensions)</i>		
24	Recent Density Action Index	$action/4$
25	Recent Mix Action Index	$action/4$
26	Recent Density Change	$(change + 100)/200$
27	Recent Mix Change	$change$ (no change)
<i>Category 7: Age Distribution (10 dimensions)</i>		
28-32	Conifer Age Fractions (5 classes)	[0, 1] (fraction)
33-37	Deciduous Age Fractions (5 classes)	[0, 1] (fraction)
<i>Category 8: Carbon Stocks (2 dimensions)</i>		
38	Normalized Biomass Stock	$biomass/50$
39	Normalized Soil Stock	$soil/50$
<i>Category 9: Penalty Information (3 dimensions)</i>		
40	Biomass Limit Penalty	$penalty/0.5$
41	Soil Limit Penalty	$penalty/0.5$
42	Max Density Penalty	$penalty/1.0$
<i>Category 10: Site Parameter Context (62 dimensions, generalist only)</i>		
43-104	Site-specific physics parameters	Normalized to [0, 1] ranges

The normalization strategy ensures that all components are scaled to approximately [0, 1] ranges for stable learning, while preserving the relative magnitudes and relationships between different ecological variables. The preference input enables preference-conditioned policies to adapt their behavior based on the desired objective weighting.

1188 C.7 ACTION SPACE ENCODING DETAILS
1189

1190 The environment uses a discrete action space with 25 unique actions (5 density actions \times 5 conifer
1191 fractions) as detailed in Table 7. Actions are encoded as single integer values where the density
1192 action index and conifer fraction index are combined using integer division and modulo operations.

1194 Table 7: Action Space Encoding for Forest Management
1195

Action Index	Density Change (stems/ha)	Conifer Fraction
0-4	-100	0.0, 0.25, 0.5, 0.75, 1.0
5-9	-50	0.0, 0.25, 0.5, 0.75, 1.0
10-14	0	0.0, 0.25, 0.5, 0.75, 1.0
15-19	+50	0.0, 0.25, 0.5, 0.75, 1.0
20-24	+100	0.0, 0.25, 0.5, 0.75, 1.0

1203 Management constraints include thinning restrictions to maintain a minimum density of 150
1204 stems/ha, with thinning operations removing oldest trees first (101+ years) when available. Planting
1205 operations add seedlings (0-5 years) to the stand, with a maximum density of 2000 stems/ha
1206 that cannot be exceeded. If planting is attempted at maximum density, a penalty is applied. Species
1207 composition is controlled by the conifer fraction parameter, allowing for mixed-species management
1208 strategies.

1209 **Carbon Accounting:** Net carbon change calculations include biomass, soil, and HWP carbon
1210 components. Carbon limits are enforced with penalties rather than hard caps, with biomass carbon
1211 limited to 15.0 kg C/m² and soil carbon limited to 20.0 kg C/m². Excess carbon beyond these limits
1212 incurs proportional penalties to discourage unrealistic carbon accumulation.

1213 **Age Distribution Management:** The system tracks five age classes: seedling (0-5), sapling (6-
1214 20), young (21-50), mature (51-100), and old (101+ years). Natural mortality and recruitment
1215 occur annually, while management actions modify the age distribution based on species preferences.
1216 Age-weighted canopy factors affect light use efficiency and growth rates, creating realistic stand
1217 dynamics.

1220 C.8 REWARD FUNCTION MATHEMATICAL FORMULATION
1221

1222 The reward function returns a two-dimensional vector $[r_{carbon}, r_{thaw}]$ with the following compo-
1223 nents:

1225 C.8.1 CARBON REWARD (r_c)
1226

$$r_c = \text{clip}(c_n + s_b + h_b - p_l - p_d - p_i, -1.0, 1.0)$$

1228 Where:

$$c_n = \text{clip}\left(\frac{\Delta C}{2.0}, -1.0, 1.0\right)$$

$$s_b = 0.0 \times \text{clip}\left(\frac{C_t}{50.0}, 0.0, 1.0\right)$$

$$h_b = 0.0 \times \text{clip}\left(\frac{h}{1.0}, 0.0, 1.0\right)$$

$$p_l = p_b + p_s$$

$$p_b = \frac{e_b}{15.0} \times 0.5$$

$$p_s = \frac{e_s}{20.0} \times 0.5$$

$$p_d = \begin{cases} 1.0 & \text{if } d \geq 2000 \\ 0.0 & \text{otherwise} \end{cases}$$

1242 C.8.2 THAW REWARD (r_t)
1243

1244
$$r_t = \text{clip}\left(\frac{a_t}{40.0}, -1.0, 1.0\right)$$

1245

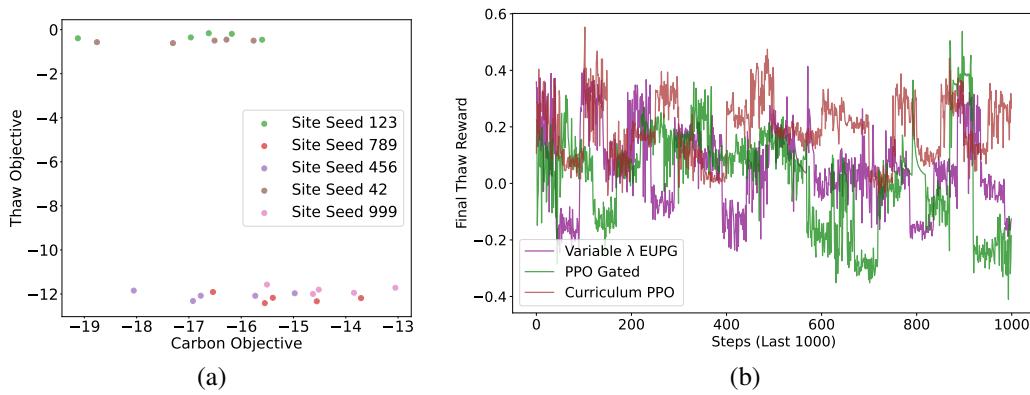
1246 Where:
1247

1248
$$a_t = f_n - 2.5 \times f_p$$

1249

1250 The carbon reward r_c consists of normalized net carbon change c_n (where ΔC is the net carbon
1251 change including HWP in kg C/m²/yr), stock bonus s_b is based on total carbon stock C_t , and HWP
1252 sales bonus h_b is based on HWP carbon stored h . Penalties include total limit penalties p_l (sum of
1253 biomass penalty p_b and soil penalty p_s , where e_b and e_s are carbon excesses beyond limits), density
1254 penalty p_d (applied when stem density d exceeds 2000 stems/ha), and ineffective action penalties
1255 p_i . The thaw reward r_t is based on asymmetric thaw a_t (degree-days/yr), which combines positive
1256 heat flux f_p and negative heat flux f_n to deep soil (permafrost proxy) with a 2.5:1 penalty ratio for
1257 warming versus cooling.
12581259 Regarding validation, both carbon and thaw rewards are constructed using existing common knowl-
1260 edge from literature about how these fluxes operate. Growth of carbon stock, carbon capacity of
1261 forest and soil, overplanting, excessive thinning, etc are common ways to think about the health of a
1262 forest in forest management. Thaw degree days and fluxes into and out of the soil are common ways
1263 to calculate permafrost thaw. Apart from this existing base, more components can be added to these
1264 depending on user preference and is subjective. Therefore, in some sense, these reward formulations
1265 are already validated from existing literature.
12661267 C.9 COMPUTATIONAL COMPLEXITY AND RUNTIME ANALYSIS
12681269 Computational cost per episode is \sim 12-15 seconds per 50-year episode on a standard CPU (Intel
1270 i7/i9 or AMD Ryzen, single core). With Numba JIT it takes about \sim 5-7 seconds. The variance de-
1271 pends on the number of disturbances (fire/insect events trigger additional computation) and whether
1272 the canopy energy balance solver converges quickly (dependent on weather conditions).
12731274 In *Generalist Mode*, the total timesteps is 300,000 (6,000 episodes \times 50 steps/episode) and training
1275 time is \sim 8-12 hours on a standard CPU workstation. In *Site-Specific Mode*, the total timesteps is
1276 100,000 (2,000 episodes \times 50 steps/episode) and training time is \sim 3-4 hours on a standard CPU
1277 workstation. These estimates include forward simulation (physics + reward computation), PPO
1278 policy/value network updates, Logging and checkpointing.
12791280 The primary computational cost is the *sub-daily physics loop*. For each of the 50 annual timesteps,
1281 the simulator runs 365 days \times (1440 minutes / 30-minute resolution) = 17,520 physics steps. The
1282 canopy energy balance solver (iterative Newton-Raphson) accounts for \sim 60-70% of this cost. Train-
1283 ing throughput scales well with CPU cores. With 16 parallel workers, generalist training can be
1284 completed in \sim 1-2 hours.
12851286 Per-environment memory footprint is \sim 50-100 MB. Training a single PPO agent (network param-
1287 eters, replay buffer) is \sim 200-500 MB. Total for 16 parallel envs + agent is \sim 2-3 GB RAM, easily
1288 feasible on modern workstations.
12891290 In absolute terms, BoreaRL is trainable on commodity hardware (laptop or workstation). A full train-
1291 ing run costs \$1 in cloud compute (AWS EC2 c5.4xlarge). Relative to other physically-grounded
1292 simulators, BoreaRL is efficient, achieving a balance between physical realism and RL tractability.
1293 We will be exploring JAX/GPU acceleration in future versions.
12941295 D ADDITIONAL RESULTS
12961297 This section provides additional analysis supporting the claims in the main paper.
12981299 D.1 SITE INFLUENCE ON THAW PERFORMANCE
13001301 Site characteristics strongly influence thaw performance and learning stability. Certain sites enable
1302 much higher thaw objective values than others, demonstrating that site selection fundamentally de-
1303 termines achievable performance regardless of management decisions. The high volatility in thaw
1304

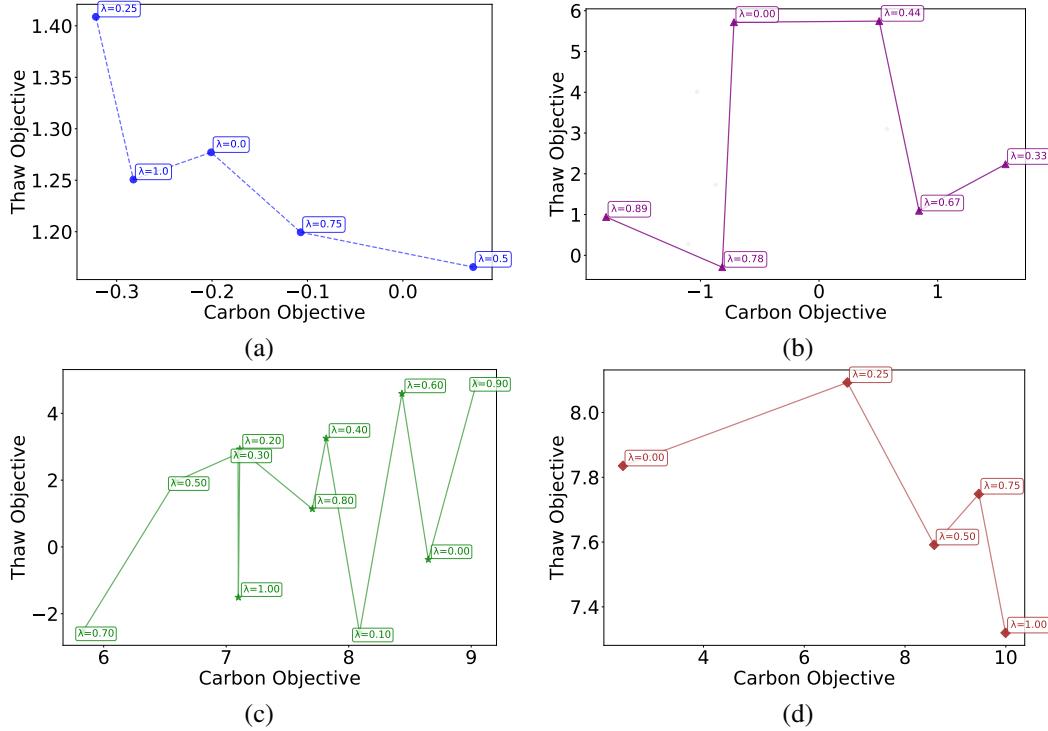
1296 reward learning across algorithms supports the importance of curriculum-based approaches for sta-
 1297 ble learning.
 1298



1312
 1313 Figure 5: Site influence on thaw performance. (a) Thaw objective clustering by site characteristics.
 1314 (b) Training volatility in thaw reward learning across algorithms.
 1315

1316 D.2 EMPIRICAL TRADE-OFF COVERAGE OF METHODS

1317 Figure 6 shows the carbon-thaw trade-offs achieved by different algorithms.
 1318



1344 Figure 6: Empirical trade-off coverage for different algorithms. We plot for all weights (averaged
 1345 across 100 episodes each; summed over 50 steps) to visualize the density and range of learned
 1346 policies. The rewards are (a) Fixed-Weight Composition (b) Variable λ EUPG (c) PPO Gated (d)
 1347 Curriculum PPO.
 1348

Lambda Monotonicity Analysis: Curriculum PPO achieves the best preference control with 50% monotonicity violations, while other methods show poor preference adherence: Fixed-Weight

(75%), Variable λ EUPG (66.7%), and PPO Gated (100% violations). Curriculum PPO provides the most reliable trade-off behavior for practical applications.

D.3 MECHANISTIC EVIDENCE AND ANALYSIS

This section provides detailed mechanistic evidence supporting the claims made in the main text, linking agent behaviors to physical processes.

Table 8: Correlation between Growing Season Length and Thaw Protection Mechanisms. Longer growing seasons are strongly correlated with better thaw protection, driven by increased transpiration cooling and reduced ground radiation.

Variable	Correlation (r)	Interpretation
Thaw Reward	+0.65	Longer season \rightarrow Better Thaw protection
Latent Heat (LE)	+0.82	Longer season \rightarrow More transpiration cooling
Ground Radiation	-0.75	Longer season \rightarrow Less solar heat on soil

Table 9: Causal Chain of Learned Strategies. Different algorithms converge to distinct local optima with specific physical mechanisms, outcomes, and algorithmic causes.

Agent	Action Strategy	Physical Mechanism	Outcome	Algorithmic Cause & Evidence
PPO Gated	Carbon Farming (High Density, High Conifer)	High Biomass: Maximizes Carbon. Low Albedo: Conifers absorb heat.	High Carbon (≈ 9.0) High Warming (≈ -5.0)	Gradient Dominance: Dense Carbon signal overpowers noisy Thaw signal. <i>Evid:</i> 100% λ -violations; Thaw ≈ -5.0 .
Variable λ	Thaw Avoidance (Low Density, Deciduous)	High Albedo: Reflects sunlight. Low Biomass: Minimizes Carbon.	Low Carbon (≈ 1.0) Max Cooling (≈ 8.0)	Policy Collapse: Conflicting gradients lead to risk-averse “inaction”. <i>Evid:</i> Lowest Reward (1.7); Hypervol 14.2.
Curriculum	Balanced / Cooling (Mod. Density, Mixed)	High Transpiration: Cools air. Mod. Albedo: Mixed reflection.	Good Carbon (≈ 8.0) Good Cooling (≈ 6.0)	Gradient Filtering: Removes “trap” sites, enabling complex learning. <i>Evid:</i> High Hypervol (84.3); Sparsity 0.12.

Table 10: Comparison of Thaw Reward Formulations and Agent Behavior. The Asymmetric formulation forces strong avoidance of warming, whereas symmetric formulations allow small warming trade-offs.

Formulation	Warming Penalty	Agent Behavior	Resulting Warming Flux
Raw DD Contrast	Symmetric (1.0) Ratio-based	Accepts small warming Accepts warming if Cooling high	≈ 5.0 MJ ≈ 2.0 MJ
Asymmetric	Strong (2.5x)	Avoids Strongly	≈ 0.1 MJ

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Table 11: Physical Conflicts between Carbon and Permafrost Objectives.

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Table 12: Curriculum Selection Statistics: Characteristics of Accepted vs. Rejected Sites. The curriculum filters out sites with high warming potential.

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D.4 DETAILED OBJECTIVE ANALYSIS

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Table 13 provides a detailed breakdown of the Carbon and Thaw objectives achieved by RL baselines. Curriculum PPO baseline consistently achieves high values in both objectives, demonstrating superior trade-off management. In contrast, PPO Gated achieves high Carbon scores but suffers significant penalties in the Thaw objective, often resulting in negative values due to the asymmetric warming penalty. Variable λ EUPG fails to learn effective strategies for either objective, clustering near zero.

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Table 13: Detailed breakdown of Carbon and Thaw objectives for RL baselines. Values correspond to means and errors represent standard deviation over 100 evaluation episodes.

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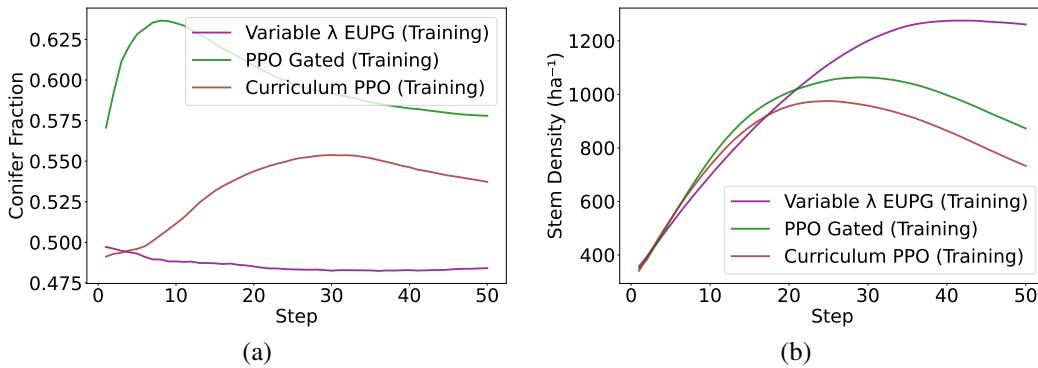
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Method & Preference (λ)	Carbon Objective	Thaw Objective
Curriculum PPO		
$\lambda = 0.00$	2.5 ± 1.5	7.8 ± 1.2
$\lambda = 0.25$	7.0 ± 1.8	8.1 ± 1.4
$\lambda = 0.50$	8.5 ± 2.0	7.6 ± 1.5
$\lambda = 0.75$	9.5 ± 1.5	7.7 ± 1.3
$\lambda = 1.00$	10.0 ± 1.0	7.3 ± 1.6
PPO Gated		
$\lambda = 0.00$	8.8 ± 2.0	-0.2 ± 2.5
$\lambda = 0.20$	7.2 ± 2.0	2.5 ± 2.5
$\lambda = 0.40$	7.7 ± 1.5	3.1 ± 2.0
$\lambda = 0.60$	8.4 ± 1.5	4.2 ± 2.0
$\lambda = 0.80$	7.6 ± 1.5	1.0 ± 2.0
$\lambda = 1.00$	7.1 ± 1.0	-1.5 ± 1.5
Variable λ EUPG		
$\lambda = 0.00$	-0.7 ± 1.5	5.8 ± 2.0
$\lambda = 0.33$	1.7 ± 1.5	2.2 ± 2.0
$\lambda = 0.44$	0.5 ± 1.5	5.8 ± 2.0
$\lambda = 0.67$	0.9 ± 1.5	1.1 ± 1.5
$\lambda = 0.78$	-0.8 ± 1.5	-0.2 ± 1.5
$\lambda = 0.89$	-1.8 ± 1.5	1.0 ± 1.5

1458 D.5 TRAINING DYNAMICS AND STRATEGY EVOLUTION
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1460 Figure 7 shows training dynamics for conifer fraction and stem density, revealing distinct algo-
1461 rithmic learning patterns. PPO Gated pursues aggressive early carbon strategies with high conifer
1462 fractions and rapid density growth followed by decline. Curriculum PPO shows steady learning
1463 with moderate improvements in both metrics. Variable λ EUPG maintains conservative species
1464 composition but exhibits sustained density growth throughout training. PPO Gated shows strong
1465 generalization from training to evaluation (see Fig. 4a,b), while Variable λ EUPG exhibits potential
1466 overfitting with poor generalization in density management. Curriculum PPO demonstrates stable
1467 learning across both phases.



1481 Figure 7: Training dynamics of algorithm strategies. (a) Conifer fraction evolution during training.
1482 (b) Stem density evolution during training.

1484 D.6 MULTI-OBJECTIVE TRADE-OFFS AND CLIMATE ADAPTATION STRATEGIES
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1486 Figure 8 shows algorithm performance under varying environmental conditions and objective trade-
1487 offs. Curriculum PPO achieves superior multi-objective performance with high rewards in both
1488 carbon and thaw objectives. PPO Gated prioritizes carbon performance, while Variable λ EUPG
1489 shows inconsistent exploration.

1491 D.7 INDIVIDUAL OBJECTIVE PERFORMANCE ANALYSIS
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1493 Figure 9 shows individual carbon and thaw objective performance for each algorithm. PPO Gated
1494 and Curriculum PPO achieve superior carbon performance, while Curriculum PPO dominates thaw
1495 optimization. Variable λ EUPG shows poor performance in both objectives. Curriculum PPO’s
1496 balanced multi-objective approach explains its superior scalarized performance.

1498 D.8 FOREST DEMOGRAPHICS AND COMPOSITIONAL DYNAMICS
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1500 This section provides an analysis of forest demographic trajectories and compositional preferences,
1501 offering detailed insights into how different algorithms manage forest structure and species com-
1502 position over time. Figure 10 presents two complementary analyses: age-class specific stem density
1503 trajectories and conifer fraction distributions during training and evaluation.

1504 **Age-Class Trajectory Analysis:** The age-class trajectories (Panels a-e) reveal distinct manage-
1505 ment strategies across different forest developmental stages. For younger age classes (seedling,
1506 sapling, young), all algorithms show initial high stem densities followed by rapid decline, reflect-
1507 ing natural mortality and competition processes. However, the recovery patterns differ: Variable λ
1508 EUPG demonstrates sustained high densities in mature and old age classes, particularly for decidu-
1509 ous trees, suggesting a strategy focused on long-term forest productivity and carbon storage. PPO
1510 Gated shows strong early growth in conifer age classes but experiences more pronounced declines,
1511 indicating a strategy that prioritizes rapid establishment but may sacrifice long-term stability. Cur-
riculum PPO exhibits intermediate patterns, balancing growth and sustainability across age classes.

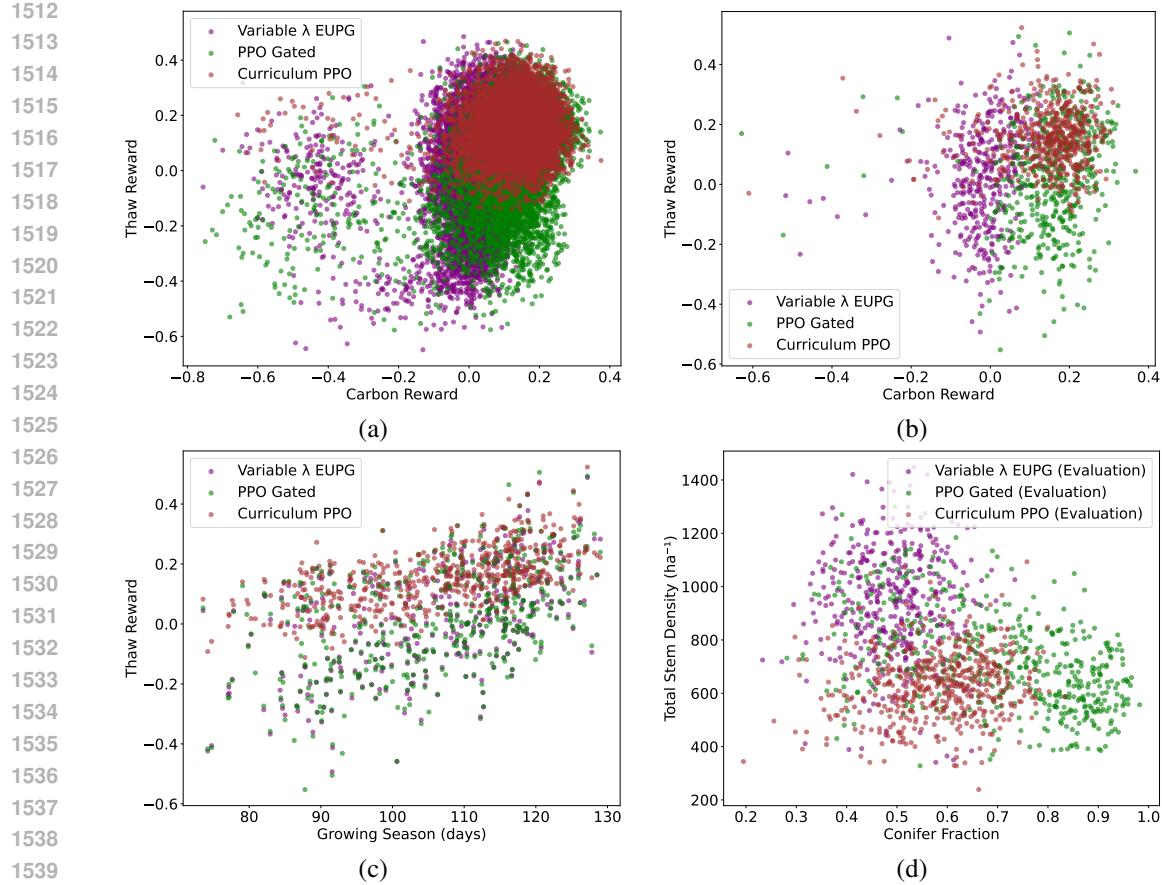


Figure 8: Algorithm performance analysis. (a) Carbon vs. thaw rewards during training. (b) Carbon vs. thaw rewards during evaluation. (c) Growing season vs. thaw reward during evaluation. (d) Forest demographics during evaluation.

These trajectory differences explain the observed carbon and thaw performance variations, as mature and old forests contribute significantly to both carbon sequestration and permafrost protection through their insulating effects.

Compositional Strategy Analysis: The conifer fraction distributions (Panels f-g) reveal fundamental differences in species composition preferences. During training (Panel f), Variable λ EUPG shows a narrow, peaked distribution around 0.45-0.5 conifer fraction, indicating a lack of specialized strategy. PPO Gated exhibits a broader distribution with preference for higher conifer fractions (0.6-0.9), suggesting a strategy that promotes conifer dominance. Curriculum PPO shows intermediate preferences, with a broader distribution centered around 0.55-0.6, indicating adaptive compositional management. The evaluation distributions (Panel g) confirm these training preferences, with Variable λ EUPG maintaining moderate conifer fractions (0.35-0.65), PPO Gated strongly favoring high conifer fractions (0.75-0.95), and Curriculum PPO showing balanced preferences around 0.6-0.65. These compositional strategies directly impact both carbon and thaw objectives: higher conifer fractions generally support carbon sequestration through increased biomass, while balanced compositions may better support permafrost protection through modified energy and water fluxes.

E EXTENDED FUTURE WORK

Additional Multi-Objective Approaches: Future work will incorporate evolutionary algorithms (e.g., NSGA-II, MOEA/D) as population-based alternatives, hypervolume-based methods (e.g.,

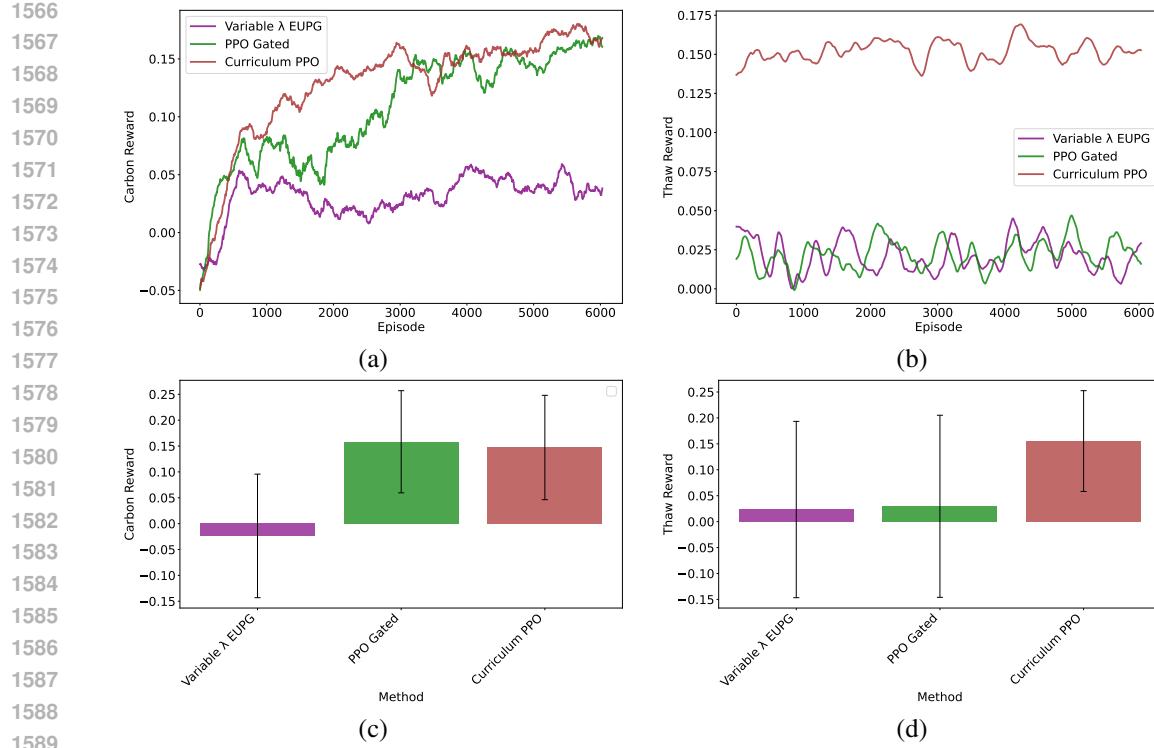


Figure 9: Individual objective performance analysis. (a) Carbon learning curves during training. (b) Thaw learning curves during training. (c) Carbon performance during evaluation. (d) Thaw performance during evaluation.

HSPG) to directly optimize trade-off quality, and model-based MORL to improve efficiency in long-horizon permafrost dynamics.

Spatial and Temporal Scaling: Develop hierarchical action spaces for landscape-scale management, incorporating spatial interactions between stands, temporal coordination of management activities, and integration with regional climate models for improved environmental forecasting.

Computational Acceleration: Enable massive parallelization on GPUs/TPUs with JAX/PyTorch. This would allow for end-to-end vectorization, significantly faster training times, and the ability to scale to much larger populations of agents or more complex environmental simulations.

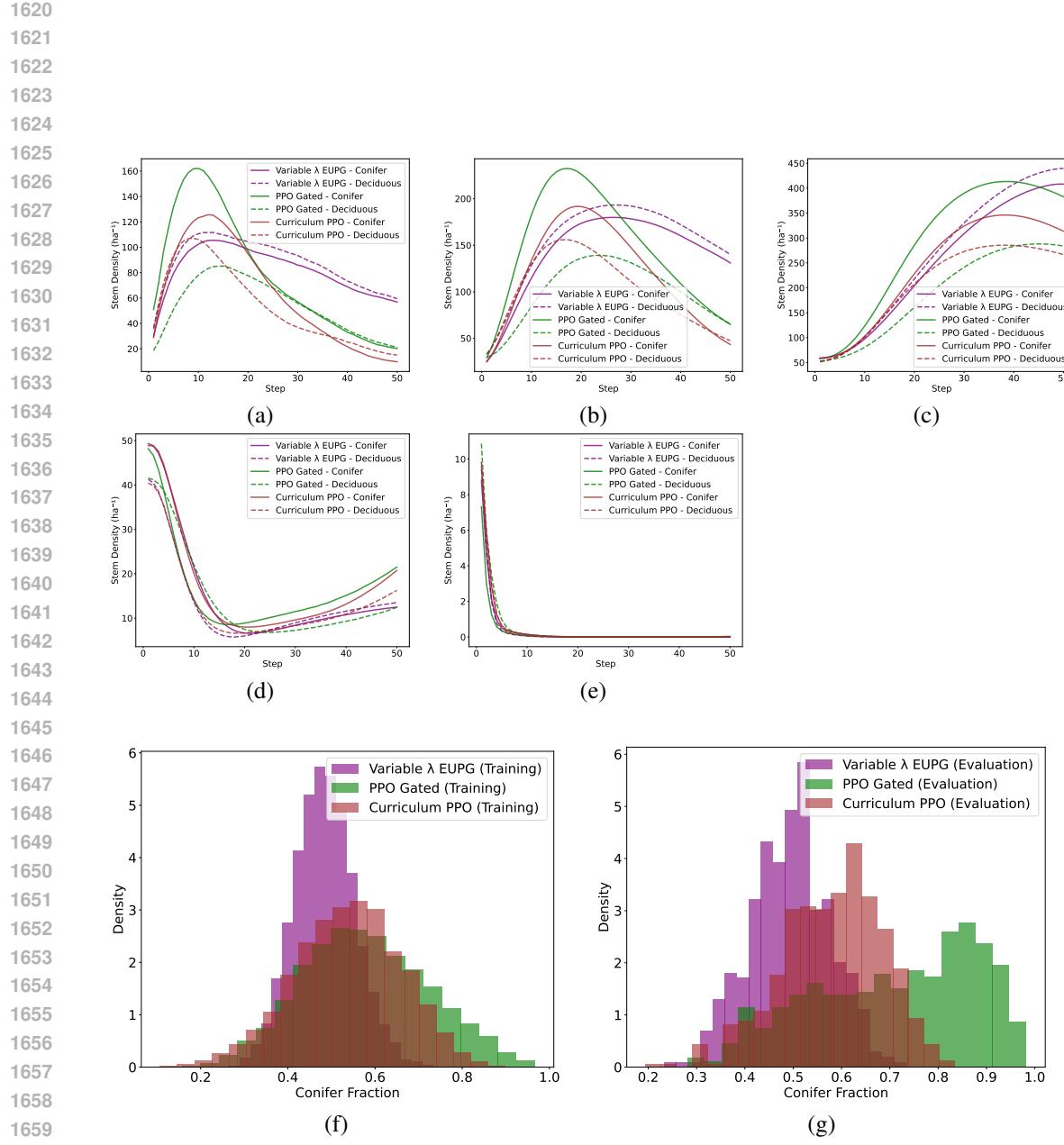


Figure 10: Detailed forest demographics and compositional analysis. (a) Seedling trajectory showing stem density evolution over 50 simulation steps. (b) Sapling trajectory illustrating early forest development patterns. (c) Young trajectory demonstrating intermediate growth dynamics. (d) Mature trajectory revealing long-term forest structure management. (e) Old trajectory showing late-stage forest dynamics. (f) Conifer fraction distribution during training phase, revealing compositional exploration strategies. (g) Conifer fraction distribution during evaluation phase, showing learned compositional preferences. All panels illustrate how different algorithms (Variable λ EUPG in purple, PPO Gated in green, Curriculum PPO in brown) manage forest structure and species composition across developmental stages.