Off-the-Grid MARL: Datasets with Baselines for Offline Multi-Agent Reinforcement Learning

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Abstract

Being able to harness the power of large datasets for developing cooperative multi-1 agent controllers promises to unlock enormous value for real-world applications. 2 Many important industrial systems are multi-agent in nature and are difficult to 3 4 model using bespoke simulators. However, in industry, distributed processes can often be recorded during operation, and large quantities of demonstrative data 5 stored. Offline multi-agent reinforcement learning (MARL) provides a promis-6 7 ing paradigm for building effective decentralised controllers from such datasets. However, offline MARL is still in its infancy and therefore lacks standardised 8 benchmark datasets and baselines typically found in more mature subfields of 9 reinforcement learning (RL). These deficiencies make it difficult for the community 10 to sensibly measure progress. In this work, we aim to fill this gap by releasing 11 off-the-grid MARL (OG-MARL): a growing repository of high-quality datasets with 12 baselines for cooperative offline MARL research. Our datasets provide settings that 13 are characteristic of real-world systems, including complex environment dynamics, 14 heterogeneous agents, non-stationarity, many agents, partial observability, subopti-15 mality, sparse rewards and demonstrated coordination. For each setting, we provide 16 a range of different dataset types (e.g. Good, Medium, Poor, and Replay) and 17 18 profile the composition of experiences for each dataset. We hope that OG-MARL will serve the community as a reliable source of datasets and help drive progress, 19 while also providing an accessible entry point for researchers new to the field. 20

21 **1 Introduction**

RL algorithms typically require extensive online interactions with an environment to be able to learn robust policies (Yu, 2018). This limits the extent to which previously-recorded experience may be leveraged for RL applications, forcing practitioners to instead rely heavily on optimised environment simulators that are able to run quickly and in parallel on modern compute hardware.

In a simulation, it is not atypical to be able to generate years of operating behaviour of a specific system (Berner et al., 2019; Vinyals et al., 2019). However, achieving this level of online data generation throughput in real-world systems, where a realistic simulator is not readily available, can be challenging or near impossible. More recently, the field of offline RL has offered a solution to this challenge by bridging the gap between RL and supervised learning. In offline RL, the aim is to develop algorithms that are able to leverage large existing datasets of sequential decision-making to learn optimal control strategies that can be deployed online (Levine et al., 2020). Many researchers

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Figure 1: **Top**: an illustration of offline MARL. Behaviour policies collect experiences and store them in an offline dataset. New policies are trained from the offline data without any online environment interactions. At the end of training, the policies are deployed in the environment. **Right**: a code snippet demonstrating how to record new datasets, as well as load existing ones, using OG-MARL.



believe that offline RL could help unlock the full potential of RL when applied to the real world,
where success has been limited (Dulac-Arnold et al., 2021).

35 Although the field of offline RL has experienced a surge in research interest in recent years (Prudencio et al., 2023), the focus on offline approaches specific to the multi-agent setting has remained relatively 36 neglected, despite the fact that many real-world problems are naturally formulated as multi-agent 37 systems (e.g. traffic management (Zhang et al., 2019), a fleet of ride-sharing vehicles (Sykora et al., 38 2020), a network of trains (Mohanty et al., 2020) or electricity grid management (Khattar and Jin, 39 2022)). Moreover, systems that require multiple agents (programmed and/or human) to execute 40 coordinated strategies to perform optimally, arguably have a higher barrier to entry when it comes to 41 creating bespoke simulators to model their online operating behaviour. 42 Offline RL research in the single agent setting has benefited greatly from publicly available datasets 43

and benchmarks such as D4RL (Fu et al., 2020) and RL Unplugged (Gulcehre et al., 2020). Without
 such offerings in the multi-agent setting to help standardise research efforts and evaluation, it remains
 challenging to gauge the state of the field and reproduce results from previous work. Ultimately, to
 develop new ideas that drive the field forward, standardised sets of tasks and baselines are required.

In this paper, we present OG-MARL, a rich set of datasets specifically curated for cooperative offline MARL. We generated diverse datasets on a range of popular cooperative MARL environments. For each environment, we provide different types of behaviour resulting in *Good*, *Medium* and *Poor* datasets as well as *Replay* datasets (a mixture of the previous three). We developed and applied a quality assurance methodology to validate our datasets to ensure that they contain a diverse spread of experiences. Together with our datasets, we provide initial baseline results using state-of-the-art offline MARL algorithms.

The OG-MARL code and datasets are publicly available through our website.¹ Additionally, we invite the community to contribute their own datasets to the growing repository on OG-MARL and use our website as a platform for storing and distributing datasets for the benefit of the research community. We hope the lessons contained in our methodology for generating and validating datasets help future researchers to produce high-quality offline MARL datasets and help drive progress.

60 2 Related Work

Datasets. In the single-agent RL setting, D4RL (Fu et al., 2020) and RL Unplugged (Gulcehre et al., 2020) have been important contributions, providing a comprehensive set of offline datasets for benchmarking offline RL algorithms. While not originally included, D4RL was later extended by Lu et al. (2022) to incorporate datasets with pixel-based observations, which they highlight as a notable

¹https://sites.google.com/view/og-marl

65 deficiency of other datasets. The ease of access to high-quality datasets provided by D4RL and RL

⁶⁶ Unplugged has enabled the field of offline RL to make rapid progress over the past years (Kostrikov

et al., 2021; Ghasemipour et al., 2022; Nakamoto et al., 2023). However, these repositories lack datasets for MARL, which we believe, alongside additional technical difficulties such as large joint

⁶⁹ action spaces (Yang et al., 2021), has resulted in slower progress in the field.

Offline Multi-Agent Reinforcement Learning. To date, there has been a limited number of papers 70 published on cooperative offline MARL, resulting in benchmarks, datasets and algorithms that do 71 not adhere to any unified standard, making comparisons between works difficult. In brief, Zhang 72 et al. (2021) carried out an in-depth theoretical analysis of finite-sample offline MARL. Jiang and 73 Lu (2021) proposed a decentralised multi-agent version of the popular offline RL algorithm BCQ 74 (Fujimoto et al., 2019) and evaluated it on their own datasets of a multi-agent version of MuJoCo 75 76 (MAMuJoCo) (Peng et al., 2021). Yang et al. (2021) highlighted how extrapolation error accumulates rapidly in the number of agents and propose a new method they call Implicit Constraint Q-Learning 77 78 (ICQ) to address this. The authors evaluate their method on their own datasets collected using the popular StarCraft Mulit-Agent Challenge (SMAC) (Samvelyan et al., 2019). Pan et al. (2022) showed 79 that Conservative Q-Learning (CQL) (Kumar et al., 2020), a very successful offline RL method, 80 does not transfer well to the multi-agent setting since the multi-agent policy gradients are prone to 81 uncoordinated local optima. To overcome this, the authors proposed a zeroth-order optimization 82 method to better optimize the conservative value functions, and evaluate their method on their own 83 datasets of a handful of SMAC scenarios, the two agent HalfCheetah scenario from MAMuJoCo and 84 some simple Multi Particle Environments (MPE) (Lowe et al., 2017). Meng et al. (2021) propose a 85 multi-agent decision transformer (MADT) architecture, which builds on the decision transformer 86 (DT) (Chen et al., 2021), and demonstrated how it can be used for offline pre-training and online 87 fine-tuning in MARL by evaluating their method on their own SMAC datasets. Barde et al. (2023) 88 explored a model-based approach for offline MARL and evaluated their method on MAMuJoCo. 89

Datasets and baselines for Offline MARL. In all of the aforementioned works, the authors generate their own datasets for their experiments and provide only a limited amount of information about the composition of their datasets (e.g. spread of episode returns and/or visualisations of the behaviour policy). Furthermore, each paper proposes a novel algorithm and typically compares their proposal to a set of baselines specifically implemented for their work. The lack of commonly shared benchmark datasets and baselines among previous papers has made it difficult to compare the relative strengths and weaknesses of these contributions and is one of the key motivations for our work.

Finally, we note works that have already made use of the pre-release version of OG-MARL. Formanek
et al. (2023) investigated selective "reincarnation" in the multi-agent setting and Zhu et al. (2023)
explored using diffusion models to learn policies in offline MARL. Both these works made use of
OG-MARL datasets for their experiments, which allows for easier reproducibility and more sound
comparison with future work using OG-MARL.

102 3 Preliminaries

Multi-Agent Reinforcement Learning. There are three main formulations of MARL tasks: com-103 petitive, cooperative and mixed. The focus of this work is on the cooperative setting. Cooperative 104 MARL can be formulated as a decentralised partially observable Markov decision process (Dec-105 POMDP) (Bernstein et al., 2002). A Dec-POMDP consists of a tuple $\mathcal{M} = (\mathcal{N}, \mathcal{S}, \{\mathcal{A}^i\}, \{\mathcal{O}^i\}, P, \mathcal{O}^i\})$ 106 E, ρ_0, r, γ , where $\mathcal{N} \equiv \{1, \dots, n\}$ is the set of n agents in the system and $s \in \mathcal{S}$ describes the full 107 state of the system. The initial state distribution is given by ρ_0 . Each agent $i \in \mathcal{N}$ receives only partial 108 information from the environment in the form of a local observation o_t^i , given according to an emission 109 function $E(o_t|s_t, i)$. At each timestep t, each agent chooses an action $a_t^i \in \mathcal{A}^i$ to form a joint action 110 $\mathbf{a}_t \in \mathcal{A} \equiv \prod_i^N \mathcal{A}^i$. Due to partial observability, each agent typically maintains an observation history 111 $o_{0:t}^{i} = (o_{0}^{i}, \dots, o_{t}^{i})$, or implicit memory, on which it conditions its policy $\mu^{i}(a_{t}^{i}|o_{0:t}^{i})$, when choosing 112 an action. The environment then transitions to a new state in response to the joint action selected in 113 the current state, according to the state transition function $P(s_{t+1}|s_t, \mathbf{a}_t)$ and provides a shared scalar 114

reward to each agent according to a reward function $r(s, a) : S \times A \to \mathbb{R}$. We define an agent's return 115 as its discounted cumulative rewards over the T episode timesteps, $G = \sum_{t=0}^{T} \gamma^t r_t$, where $\gamma \in (0, 1]$ is the discount factor. The goal of MARL in a Dec-POMDP is to find a joint policy $(\pi^1, \ldots, \pi^n) \equiv \pi$ 116 117 such that the return of each agent i, following π^i , is maximised with respect to the other agents 118 policies, $\pi^{-i} \equiv (\pi \setminus \pi^i)$. That is, we aim to find π such that $\forall i : \pi^i \in \arg \max_{\hat{\pi}^i} \mathbb{E} \left[G \mid \hat{\pi}^i, \pi^{-i} \right]$ 119 Offline Reinforcement Learning. An offline RL algorithm is trained on a static, previously collected 120 dataset \mathcal{D}_{β} of transitions (o_t, a_t, r_t, o_{t+1}) from some (potentially unknown) behaviour policy π_{β} , 121 without any further online interactions. There are several well-known challenges in the offline RL 122 setting which have been explored, predominantly in the single-agent literature. The primary issues 123

are related to different manifestations of data distribution mismatch between the offline data and the induced online data. Levine et al. (2020) provide a detailed survey of the problems and solutions in offline RL.

Offline Multi-Agent Reinforcement Learning. In the multi-agent setting, offline MARL algorithms are designed to learn an optimal *joint* policy $(\pi^1, \ldots, \pi^n) \equiv \pi$, from a static dataset $\mathcal{D}_{\beta}^{\mathcal{N}}$ of previously collected multi-agent transitions $(\{o_t^1, \ldots, o_t^n\}, \{a_t^1, \ldots, a_t^n\}, \{r_t^1, \ldots, r_t^n\}, \{o_{t+1}^1, \ldots, o_{t+1}^n\})$, generated by a set of interacting behaviour policies $(\pi_{\beta}^1, \ldots, \pi_{\beta}^n) \equiv \pi_{\beta}$.

131 4 Task Properties

In order to design an offline MARL benchmark which is maximally useful to the community, we 132 carefully considered the properties that the environments and datasets in our benchmark should 133 satisfy. A major drawback in most prior work has been the limited diversity in the tasks that the 134 algorithms were evaluated on. Meng et al. (2021) for example only evaluated their algorithm on 135 SMAC datasets and Jiang and Lu (2021) only evaluated on MAMuJoCo datasets. This makes it 136 difficult to draw strong conclusions about the generalisability of offline MARL algorithms. Moreover, 137 these environments fail to test the algorithms along dimensions which may be important for real-world 138 applications. In this section, we outline the properties we believe are important for evaluating offline 139 MARL algorithms. 140

Centralised and Independent Training. The environments supported in OG-MARL are designed 141 to test algorithms that use decentralised execution, i.e. at execution time, agents need to choose 142 actions based on their local observation histories only. However, during training, centralisation (i.e. 143 sharing information between agents) is permissible, although not required. Centralised training 144 with decentralised execution (CTDE) (Kraemer and Banerjee, 2016) is one of the most popular 145 MARL paradigms and is well-suited for many real-world applications. Being able to test both 146 centralised and independent training algorithms is important because it has been shown that neither 147 paradigm is consistently better than the other (Lyu et al., 2021). As such, both types of algorithms 148 can be evaluated using OG-MARL datasets and we also provide baselines for both centralised and 149 independent training. 150

Different types of Behaviour Policies. We generated datasets with several different types of behaviour policies including policies trained using online MARL with fully independent learners (e.g. independent DQN and independent TD3), as well as CTDE algorithms (e.g. QMIX and MATD3). Furthermore, some datasets generated with CTDE algorithms used a state-based critic while others used a joint-observation critic. It was important for us to consider both of these critic setups as they are known to result in qualitatively different policies (Lyu et al., 2022). More specific details of which algorithms were used to generate which datasets can be found in Table B.1 in the appendix.

Partial Information. It is common for agents to receive only local information about their environment, especially in real-world systems that rely on decentralised components. Thus, some of the environments in OG-MARL test an algorithm's ability to leverage agents' *memory* in order to choose optimal actions based only on partial information from local observations. This is in contrast to settings such as MAMuJoCo where prior methods (Jiang and Lu, 2021; Pan et al., 2022) achieved reasonable results without instilling agents with any form of memory. **Different Observation Modalities.** In the real world, agent observations come in many different forms. For example, observations may be in the form of a feature vector or a matrix representing a pixel-based visual observation. Lu et al. (2022) highlighted that prior single-agent offline RL datasets failed to test algorithms on high-dimensional pixel-based observations. OG-MARL tests algorithms on a diverse set of observation modalities, including feature vectors and pixel matrices of different sizes.

Continuous and Discrete Action Spaces. The actions an agent is expected to take can be either discrete or continuous across a diverse range of applications. Moreover, continuous action spaces can often be more challenging for offline MARL algorithms as the larger action spaces make them more prone to extrapolation errors, due to out-of-distribution actions. OG-MARL supports a range of environments with both discrete and continuous actions.

Homogeneous and Heterogeneous Agents. Real-world systems can either be homogeneous or heterogeneous in terms of the types of agents that comprise the system. In a homogeneous system, it may be significantly simpler to train a single policy and copy it to all agents in the system. On the other hand, in a heterogeneous system, where agents may have significantly different roles and responsibilities, this approach is unlikely to succeed. OG-MARL provides datasets from environments that represent both homogeneous and heterogeneous systems.

Number of Agents. Practical MARL systems may have a large number of agents. Most prior works
to date have evaluated their algorithms on environments with typically fewer than 8 agents (Pan et al.,
2022; Yang et al., 2021; Jiang and Lu, 2021). In OG-MARL, we provide datasets with between 2 and
27 agents, to better evaluate *large-scale* offline MARL (see Table B.1).

Sparse Rewards. Sparse rewards are challenging in the single-agent setting, but in the multi-agent setting, it can be even more challenging due to the multi-agent credit assignment problem (Zhou et al., 2020). Prior works focused exclusively on dense reward settings (Pan et al., 2022; Yang et al., 2021). To overcome this, OG-MARL also provides datasets with sparse rewards.

Team and Individual Rewards. Some environments have team rewards while others can have an additional local reward component. Team rewards exacerbate the multi-agent credit assignment problem, and having a local reward component can help mitigate this. However, local rewards may result in sub-optimality, where agents behave too greedily with respect to their local reward and as a result jeopardize achieving the overall team objective. OG-MARL includes tasks to test algorithms along both of these dimensions.

Procedurally Generated and Stochastic Environments. Some popular MARL benchmark environments are known to be highly deterministic (Ellis et al., 2022). This limits the extent to which the generalisation capabilities of algorithms can be evaluated. Procedurally generated environments have proved to be a useful tool for evaluating generalisation in single-agent RL (Cobbe et al., 2020). In order to better evaluate generalisation in offline MARL, OG-MARL includes stochastic tasks that make use of procedural generation.

Realistic Multi-Agent Domains. Almost all prior offline MARL works have evaluated their al-201 gorithms exclusively on game-like environments such as StarCraft (Yang et al., 2021) and particle 202 simulators (Pan et al., 2022). Although a large subset of open research questions may still be readily 203 investigated in such simulated environments, we argue that in order for offline MARL to become 204 more practically relevant, benchmarks in the research community should begin to closer reflect real-205 world problems of interest. Therefore, in addition to common game-like benchmark environments, 206 OG-MARL also supports environments which simulate more real-world like problems including 207 energy management and control (Vazquez-Canteli et al., 2020; Wang et al., 2021). While there 208 remains a large gap between these environments and truly real-world settings, it is a step in the right 209 direction to keep pushing the field forward and enable useful contributions in the development of new 210 algorithms and improving our understanding of key difficulties and failure modes. 211



Figure 2: MARL environments for which we provide datasets in OG-MARL.

212 **5 Environments**

SMAC v1 (hetero- and homogeneous agents, local observations). SMAC is the most popular cooperative offline MARL environment used in the literature(Gorsane et al., 2022). SMAC focuses on the micromanagement challenge in StarCraft 2 where each unit is controlled by an independent agent that must learn to cooperate and coordinate based on local (partial) observations. SMAC played an important role in moving the MARL research community beyond grid-world problems and has also been very popular in the offline MARL literature (Yang et al., 2021; Meng et al., 2021; Pan et al., 2022). Thus, it was important for OG-MARL to support a range of SMAC scenarios.

SMAC v2 (procedural generation, local observations). Recently some deficiencies in SMAC have been brought to light. Most importantly, SMAC is highly deterministic, and agents can therefore learn to *memorise* the best policy by conditioning on the environment timestep only. To address this, SMACv2 (Ellis et al., 2022) was recently released and includes non-deterministic scenarios, thus providing a more challenging benchmark for MARL algorithms. In OG-MARL, we publicly release the first set of SMACv2 datasets.

MAMuJoCo (hetero- and homogeneous agents, continuous actions). The MuJoCo environment 226 (Todorov et al., 2012) has been an important benchmark that helped drive research in continuous con-227 trol. More recently, MuJoCo has been adapted for the multi-agent setting by introducing independent 228 agents that control different subsets of the whole MuJoCo robot (MAMuJoCo) (Peng et al., 2021). 229 MAMuJoCo is an important benchmark because there are a limited number of continuous action 230 space environments available to the MARL research community. MAMuJoCo has also been widely 231 adopted in the offline MARL literature (Jiang and Lu, 2021; Pan et al., 2022). Thus, in OG-MARL 232 we provide the largest openly available collection of offline datasets on scenarios in MAMuJoCo 233 (Pan et al. (2022), for example, only provided a single dataset on 2-Agent HalfCheetah). 234

PettingZoo (pixel observations, discrete and continuous actions). OpenAI's Gym (Brockman 235 et al., 2016) has been widely used as a benchmark for single agent RL. PettingZoo is a gym-like 236 environment-suite for MARL (Terry et al., 2021) and provides a diverse collection of environments. 237 In OG-MARL, we provide a general-purpose environment wrapper which can be used to generate 238 new datasets for any PettingZoo environment. Additionally, we provide initial datasets on three 239 PettingZoo environments including *PistonBall*, *Co-op Pong* and *Pursuit* (Gupta et al., 2017). We 240 chose these environments because they have visual (pixel-based) observations of varying sizes; an 241 important dimension along which prior works have failed to evaluate their algorithms. 242

Flatland (*real-world problem, procedural generation, sparse local rewards*). The train scheduling problem is a real-world challenge with significant practical relevance. Flatland (Mohanty et al., 2020) is a simplified 2D simulation of the train scheduling problem that is an appealing benchmark for



Figure 3: Violin plots of the probability distribution of episode returns for selected datasets in OG-MARL. In blue the Poor datasets, in orange the Medium datasets and in green the Good datasets. Wider sections of the violin plot represent a higher probability of sampling a trajectory with a given episode return, while the thinner sections correspond to a lower probability. The violin plots also include the median, interquartile range and min/max episode return for the datasets.

cooperative MARL for several reasons. Firstly, it randomly generates a new train track layout and timetable at the start of each episode, thus testing the generalisation capabilities of MARL algorithms to a greater degree than many other environments. Secondly, Flatland has a very sparse and noisy reward signal, as agents only receive a reward on the final timestep of the episode. Finally, agents have access to a local reward component. These properties make the Flatland environment a novel, challenging and realistic benchmark for offline MARL.

Voltage Control and CityLearn (real-world problem, continuous actions). Energy management (Yu 252 et al., 2021) is another appealing real-world application for MARL, especially given the large potential 253 efficiency gains and corresponding positive effects on climate change that could be had (Rolnick 254 255 et al., 2022). As such, we provide datasets for two challenging MARL environments related to energy management. Firstly, we provide datasets for the Active Voltage Control on Power Distribution Net-256 works environment (Wang et al., 2021). Secondly, we provide datasets for the CityLearn environment 257 (Vazquez-Canteli et al., 2020) where the goal is to develop agents for distributed energy resource 258 management and demand response between a network of buildings with batteries and photovoltaics. 259

260 6 Datasets

To generate the transitions in the datasets, we recorded environment interactions of partially trained online algorithms, as has been common in prior works for both single-agent (Gulcehre et al., 2020) and multi-agent settings (Yang et al., 2021; Pan et al., 2022). For discrete action environments, we used QMIX (Rashid et al., 2018) and independent DQN and for continuous action environments, we used independent TD3 (Fujimoto et al., 2018) and MATD3 (Lowe et al., 2017; Ackermann et al., 2019). Additional details about how each dataset was generated are included in Appendix C.

Diverse Data Distributions. It is well known from the single-agent offline RL literature that the 267 quality of experience in offline datasets can play a large role in the final performance of offline RL 268 algorithms (Fu et al., 2020). In OG-MARL, we include a range of dataset distributions including 269 Good, Medium, Poor and Replay datasets in order to benchmark offline MARL algorithms on a 270 range of different dataset qualities. The dataset types are characterised by the quality of the joint 271 policy that generated the trajectories in the dataset, which is the same approach taken in previous 272 works (Meng et al., 2021; Yang et al., 2021; Pan et al., 2022). To ensure that all of our datasets have 273 sufficient coverage of the state and action spaces, while also containing minimal repetition i.e. not 274 being too narrowly focused around a single strategy, we used 3 independently trained joint policies 275 to generate each dataset, and additionally added a small amount of exploration noise to the policies. 276 The boundaries for the different categories were assigned independently for each environment and 277 were related to the maximum attainable return in the environment. Additional details about how the 278 different datasets were curated can be found in Appendix C. 279

Table	1:]	Result	s on	the	Pursuit	and	Co-op	Pong	datasets.	The	mean	episod	e return	with	one	stand	lard
devia	tion	acros	ss all	see	ds is gi	ven.	In each	n row	the best i	mear	i episc	de retu	rn is in	bold.			

Scenario	Dataset	BC	QMIX	QMIX+BCQ	QMIX+CQL	MAICQ	
	Good	31.2 ± 3.5	0.6 ± 3.5	$1.9{\pm}1.1$	90.0±4.7	75.4 ± 3.9	
Co-op Pong	Medium	21.6 ± 4.8	10.6 ± 17.6	20.3 ± 12.2	64.9±15.0	84.6±0.9	
	Poor	$1.0{\pm}0.9$	$14.4{\pm}16.0$	$30.2{\pm}20.7$	52.7 ± 8.5	$74.8{\pm}7.8$	
	Good	78.3 ± 1.8	6.7±19.0	66.9±14.0	54.4 ± 6.3	92.7±3.7	
Pursuit	Medium	$15.0{\pm}1.6$	$-24.4{\pm}20.2$	16.6 ± 10.7	20.6 ± 10.3	35.3±3.0	
	Poor	-18.5 ± 1.6	-43.7 ± 5.6	-0.7±4.0	-19.6 ± 3.3	-4.1 ± 0.7	

Statistical characterisation of datasets. It is common in both the single-agent and multi-agent 280 offline RL literature for researchers to curate offline datasets by unrolling episodes using an RL policy 281 that was trained to a desired *mean* episode return. However, authors seldom report the distribution 282 of episode returns induced by the policy. Reporting only the mean episode return of the behaviour 283 policy can be misleading (Agarwal et al., 2021). To address this, we provide violin plots to visualise 284 the distribution of expected episode returns. A violin plot is a powerful tool for visualising numerical 285 distributions as they visualise the density of the distribution as well as several summary statistics 286 such as the minimum, maximum and interquartile range of the data. These properties make the violin 287 plot very useful for understanding the distribution of episode returns in the offline datasets, assisting 288 with interpreting offline MARL results. Figure 3 provides a sample of the violin plots for different 289 scenarios (the remainder of the plots can be found in the appendix). In each figure, the difference 290 in shape and position of the three violins (blue, orange and green) illustrates the difference in the 291 datasets with respect to the expected episode return. Additionally, we provide a table with the mean 292 293 and standard deviation of the episode returns for each of the datasets in Table C.1, similar to Meng et al. (2021). 294

295 7 Baselines

In this section, we present the initial baselines that we provide with OG-MARL. This serves two purposes: *i*) to validate the quality of our datasets and *ii*) to enable the community to use these initial results for development and performance comparisons in future work. In the main text, we present results on two PettingZoo environments (*Pursuit* and *Co-op Pong*), since these environments and their corresponding datasets are a novel benchmark for offline MARL. Furthermore, it is the first set of environments with pixel-based observations to be used to evaluate offline MARL algorithms. We include all additional baseline results in Appendix D (Table D.4 and Table D.5).

Baseline Algorithms. State-of-the-art algorithms were implemented from seminal offline MARL 303 work. For discrete action environments we implemented Behaviour Cloning (BC), QMIX (Rashid 304 et al., 2018), QMIX with Batch Constrained Q-Learning (Fujimoto et al., 2019) (QMIX+BCQ), 305 OMIX with Conservative O-Learning (Kumar et al., 2020) (OMIX+COL) and MAICO (Yang et al., 306 2021). For continuous action environments, Behaviour Cloning (BC), Independent TD3 (ITD3), ITD3 307 with Behaviour Cloning regularisation (Fujimoto and Gu, 2021) (ITD3+BC), ITD3 with Conservative 308 Q-Learning (ITD3+CQL) and OMAR (Pan et al., 2022) were implemented. Appendix D provides 309 additional implementation details on the baseline algorithms. 310

Experimental Setup. On *Pursuit* and *Co-op Pong*, all of the algorithms were trained offline for 50000 311 training steps with a fixed batch size of 32. At the end of training, we evaluated the performance of 312 the algorithms by unrolling the final joint policy in the environment for 100 episodes and recording 313 the mean episode return over the episodes. We repeated this procedure for 10 independent seeds as 314 per the recommendation by Gorsane et al. (2022). We kept the online evaluation budget (Kurenkov 315 316 and Kolesnikov, 2022) fixed for all algorithms by only tuning hyper-parameters on Co-op Pong and keeping them fixed for *Pursuit*. Controlling for the online evaluation budget is important when 317 comparing offline algorithms because online evaluation may be expensive, slow or dangerous in 318



Figure 4: Performance profiles (Agarwal et al., 2021) aggregated across all seeds on *Pursuit* and *Co-op Pong*. Shaded regions show pointwise 95% confidence bands based on percentile bootstrap with stratified sampling.

real-world problems, making online hyper-parameter fine-tuning infeasible. See Appendix D for a further discussion on hyper-parameter tuning in OG-MARL.

Results. In Table 1 we provide the unnormalised mean episode returns for each of the discrete action algorithms on the different datasets for *Pursuit* and *Co-op Pong*.

Aggregated Results. In addition to the tabulated results we also provide aggregated results as per 323 the recommendation by Gorsane et al. (2022). In Figure 4 we plot the performance profiles (Agarwal 324 et al., 2021) of the discrete action algorithms by aggregating across all seeds and the two environments, 325 *Pursuit* and *Co-op Pong*. To facilitate aggregation across environments, where the possible episode 326 returns can be very different, we adopt the normalisation procedure from Fu et al. (2020). On the 327 Good datasets, we found that MAICQ and QMIX+CQL both outperformed behaviour cloning (BC). 328 QMIX+BCQ did not outperform BC and vanilla QMIX performed very poorly. On the Medium 329 datasets, MAICQ and QMIX+CQL once again performed the best, significantly outperforming BC. 330 QMIX+BCQ marginally outperformed BC and vanilla QMIX failed. Finally, on the Poor datasets, 331 MAICQ, QMIX+CQL and QMIX+BCQ all outperformed BC but MAICQ was the best by some 332 margin. These results on PettingZoo environments, with pixel observations, further substantiate that 333 MAICQ is the current state-of-the-art offline MARL algorithm in discrete action settings. 334

335 8 Discussion

Limitations and future work. The primary limitation of this work is that it focuses on the cooperative setting. Additionally, the datasets used in OG-MARL were exclusively generated by online MARL policies. Future work could explore the inclusion of datasets from alternate sources, such as handdesigned or human controllers, which may exhibit distinct properties (Fu et al., 2020). Moreover, an exciting research direction considers the offline RL problem as a sequence modeling task (Chen et al., 2021; Meng et al., 2021), and we aim to incorporate such models as additional baselines in OG-MARL in future iterations.

Potential Negative Societal Impacts. While the potential positive impacts of efficient decentralized controllers powered by offline MARL are promising, it is essential to acknowledge and address the potential negative societal impacts (Whittlestone et al., 2021). Deploying a model trained using offline MARL in real-world applications requires careful consideration of safety measures (Gu et al., 2022; Xu et al., 2022). Practitioners should exercise caution to ensure the safe and responsible implementation of such models.

Conclusion. In this work, we highlighted the importance of offline MARL as a research direction for applying RL to real-world problems. We specifically focused on the lack of a standard set of benchmark datasets, which is a significant obstacle to progress. To address this issue, we presented a set of relevant and diverse datasets for offline MARL. We profiled our datasets by visualising the distribution of episode returns in violin plots and tabulated mean and standard deviations. We validated our datasets by providing a set of initial baseline results with state-of-the-art offline MARL

- algorithms. Finally, we open-sourced all of our software tooling for generating new datasets and
- provided a website with our code, as well as for hosting and sharing the datasets. It is our hope that
- the research community will adopt and contribute towards OG-MARL as a framework for offline
- MARL research and that it helps to drive progress in this nascent field.

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515 Checklist

516	1. For all authors
517 518	 (a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes]
519	(b) Did you describe the limitations of your work? [Yes] See section 8.
520	(c) Did you discuss any potential negative societal impacts of your work? [Yes] See
521	section 8.
522 523	(d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [Yes]
524	2. If you are including theoretical results
525	(a) Did you state the full set of assumptions of all theoretical results? [N/A]
526	(b) Did you include complete proofs of all theoretical results? [N/A]
527	3. If you ran experiments (e.g. for benchmarks)
528 529 530	 (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? [Yes] Our datasets and code are open-sourced.
531 532 533	(b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [Yes] All of the training details are in section 7 and the hyperparameter details are in Appendix D.
534 535	(c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [Yes] See Figure 4.
536 537	(d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [Yes] See Appendix D.
538	4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets
539	(a) If your work uses existing assets, did you cite the creators? [N/A]
540	(b) Did you mention the license of the assets? [Yes] See our datasheet in Appendix A and
541	licence in Appendix E.
542 543	(c) Did you include any new assets either in the supplemental material or as a URL? [Yes] See our datasheet in Appendix A.
544 545	(d) Did you discuss whether and how consent was obtained from people whose data you're using/curating? [Yes] See our datasheet in Appendix A.
546 547	(e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [Yes] See our datasheet in Appendix A.
548	5 If you used crowdsourcing or conducted research with human subjects
540	(a) Did you include the full text of instructions given to participants and careenshets, if
550	applicable? [N/A]
551	(b) Did you describe any potential participant risks, with links to Institutional Review
552	Board (IRB) approvals, if applicable? [N/A]
553	(c) Did you include the estimated hourly wage paid to participants and the total amount
554	spent on participant compensation? [N/A]