# A Learning-based Capacitated Arc Routing Problem Solver Comparable to Metaheuristics While with Far Less Runtimes

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## Abstract

Recently, neural networks (NN) have made great strides in combinatorial optimiza-1 tion problems (COPs). However, they face challenges in solving the capacitated 2 arc routing problem (CARP) which is to find the minimum-cost tour that covers all 3 4 required edges on a graph, while within capacity constraints. Actually, NN-based approaches tend to lag behind advanced metaheuristics due to complexities caused 5 by non-Euclidean graph, traversal direction and capacity constraints. In this 6 7 paper, we introduce an NN-based solver tailored for these complexities, which significantly narrows the gap with advanced metaheuristics while with far less 8 runtimes. First, we propose the direction-aware attention model (DaAM) to in-9 corporate directionality into the embedding process, facilitating more effective 10 one-stage decision-making. Second, we design a supervised reinforcement learning 11 scheme that involves supervised pre-training to establish a robust initial policy for 12 subsequent reinforcement fine-tuning. It proves particularly valuable for solving 13 CARP that has a higher complexity than the node routing problems (NRPs). Finally, 14 a path optimization method is introduced to adjust the depot return positions within 15 the path generated by DaAM. Experiments show that DaAM surpasses heuristics 16 and achieves decision quality comparable to state-of-the-art metaheuristics for the 17 first time while maintaining superior efficiency, even in large-scale CARP instances. 18 19 The code and datasets are provided in the Appendix.

## 20 **1** Introduction

The capacitated arc routing problem (CARP) [7] is a combinatorial optimization problem, frequently 21 arising in domains such as inspection and search-rescue operations. Theoretically, the CARP is 22 established on an undirected connected graph  $\mathbf{G} = (\mathbf{V}, \mathbf{E}, \mathbf{E}_R)$  that includes a set of nodes V 23 connected by a set of edges  $\mathbf{E}$ , and an edge subset  $\mathbf{E}_R \subseteq \mathbf{E}$  that needs to be served, called required 24 edges. Each required edge has a demand value which spends the capacity of the vehicle when it is 25 served. In this context, all vehicles start their routes from the depot node  $depot \in \mathbf{V}$  and conclude 26 their journey by returning to the same depot. The main goal of a CARP solver is to serve all required 27 edges with the lowest total path cost, while ensuring that the vehicle does not exceed its capacity Q. 28 29 According to [7], the CARP is recognized as an NP-Hard problem. Among all solver solutions, the

<sup>29</sup> According to [7], the CARP is recognized as an NP-Hard problem. Anong an solver solutions, the <sup>30</sup> Memetic Algorithms (MA) [15, 25], first proposed in 2005, have still maintained unrivaled results in <sup>31</sup> solving the CARP challenge to this day. However, they have struggled with high time costs and the <sup>32</sup> exponential growth of the search space as the problem scale increases. Compared to the traditional <sup>33</sup> methods, NN-based solvers [16, 10, 20] are faster with the assistance of GPU, have therefore gained <sup>34</sup> increasing attention in recent years. However, unlike the decent performance in solving NRP, such as <sup>35</sup> vehicle routing problem (VRP) and travelling salesman problem (TSP), or ARP defined in Euclidean <sup>36</sup> graphs, such as rural postman problem (RPP) and Chinese postman problem (CPP), NN-based methods usually lags far behind the traditional ones in solving CARP. This discrepancy is attributed
 to the inability of existing methods to effectively reduce the high complexity of solving CARP:

Lack of edge direction in embedding learning: ARP solvers need to determine the edges to be traversed along with the direction of traversal, easy for humans to achieve in one step but extremely challenging for computers. Existing methods didn't encode edge directionality in embedding, making them have to build edge sequences and determine edges' directions separately and leading to path generation without sufficient consideration.

- Ineffective learning for solving CARP: CARP is more complex than NRPs and Euclidean
- ARPs owing to the *non-Euclidean input, edge direction*, and *capacity constraints*. Thus,
   learning methods for NRPs and Euclidean ARPs cannot be directly transferred to solve
   CARP or work well even if adapted, leaving a lack of effective learning strategies for CARP.

In this paper, we aim to address both above issues and propose an NN-based solver for CARP that 48 competes with the state-of-the-art MA [25] while with far less runtimes. Firstly, we propose the 49 direction-aware attention model (DaAM). It computes embeddings for directed arcs decomposed 50 from undirected edges to align with the nature of ARP, thus avoiding missing direction information 51 and enabling concise one-stage decision-making. Secondly, we design a supervised reinforcement 52 learning method to learn effective heuristics for solving CARP. DaAM is pre-trained to learn an initial 53 policy by minimizing the difference from the decisions made by experts, and fine-tuned on larger-54 scale CARP instances by Proximal Policy Optimization with self-critical strategy. Finally, to further 55 boost the path quality, we propose a path optimizer (PO) to re-decide the vehicles' optimal return 56 positions by dynamic programming. In the experiments, our method approaches the state-of-the-art 57 MA with an average gap of 5% and is 4% better than the latest heuristics and gains high efficiency. 58

## 59 2 Related Work

## 60 2.1 Graph Embedding Learning

Graph embedding [3] aims to map nodes or edges in a graph to a low-dimensional vector space. 61 This process is commonly achieved via graph neural networks (GNNs) [31]. Kipf et al. [13] 62 introduced graph convolutional operations to aggregate information from neighboring nodes for 63 updating node representations. Unlike GCN, GAT [27] allowed dynamic node attention during 64 65 information propagation by attention mechanisms. Other GNN variants [9, 30] exhibited a similar 66 information aggregation pattern but with different computational approaches. In this paper, since an arc is related to the outgoing arc of its endpoint but irrelevant to the incoming arc of that, we use 67 attention mechanisms to capture the intricate relationships between arcs for arc embedding learning. 68

## 69 2.2 Learning for Routing Problems

The routing problem is one of the most classic COPs, and it is mainly categorized into two types according to the decision element: node routing problems and arc routing problems.

Node routing problems (NRPs), such as TSP and VRP, aim to determine the optimal paths that 72 traverse all nodes in the Euclidean space or graphs. As the solutions to these problems are context-73 dependent sequences of variable size, they cannot be directly modeled by the Seq2Seq model [24]. 74 75 To address this problem, Vinyals et al. [28] proposed the Pointer network (PN) to solving Euclidean TSP, which achieves variable-size output dictionaries by neural attention. Due to the scarcity of labels 76 77 for supervised learning, Bello et al. [2] modeled the TSP as a single-step reinforcement learning problem and trained the PN using policy gradient [29] within Advantage Actor-Critic (A3C) [17] 78 framework. Nazari et al. [19] replaced the LSTM encoder in PN with an element-wise projection 79 layer and proposed the first NN-based method to solve the Euclidean VRP and its variants. To better 80 extract correlations between inputs, Kool et al. [14] utilized multi-head attention for embedding 81 learning and trained the model using REINFORCE [29] with a greedy baseline. To solve COPs 82 defined on graphs, Khalil et al. [11] proposed S2V-DQN to learn heuristics, employing structure2vec 83 [5] for graph embedding learning and n-step DQN [18] for training. While the mentioned NN-based 84 approaches have achieved comparable performance to metaheuristics, they cannot be directly applied 85 to solve ARP due to the modeling differences between ARP and NRP. 86

Arc routing problems (ARPs) involve determining optimal paths for traversing arcs or edges in
 graphs, with variants like RPP, CPP, and CARP. Truong *et al.* [26] proposed a DRL framework to

address the CPP with load-dependent costs on Euclidean graphs and achieved better solution quality 89 than metaheuristics. However, CARPs are defined on non-Euclidean graphs. Unlike Euclidean graphs 90 with given node coordinates, non-Euclidean graphs require manually extracting and aggregating the 91 node representations, a task that is typically learnable. Although several NN-based algorithms have 92 been proposed, they still lag significantly behind traditional methods. Li et al. [16] pioneered the use 93 of the NN-based approach in solving the CARP by transforming it into an NRP. They first determined 94 the sequence of edges and then decided the traversal direction for each edge. Hong et al. [10] trained a 95 PN in a supervised manner to select undirected edges in each time step, and also determined the edge 96 traversal direction as post-processing. Ramamoorthy et al. [20] proposed to generate an initial tour 97 based on edge embeddings and then split it into routes within capacity constraint. These approaches 98 lack edge directionality encoding, leading to edge selection without sufficient consideration and 99 necessitating a two-stage decision process or an additional splitting procedure. 100

#### 101 **3 Background**

The attention model (AM) [14] exhibits superior effectiveness in solving classic Euclidean COPs due to its attention mechanisms for extracting correlations between inputs. Therefore, we use the AM as the backbone and give a brief review in terms of the TSP. Given an Euclidean graph  $\mathbf{G}=(\mathbf{V}, \mathbf{E})$ , the AM defines a stochastic policy, denoted as  $\pi(\boldsymbol{x}|\mathcal{S})$ , where  $\boldsymbol{x} = (x_0, ..., x_{|\mathbf{V}|-1})$  represents a permutation of the node indexes in  $\mathbf{V}$ , and  $\mathcal{S}$  is the problem instance expressing  $\mathbf{G}$ . The AM is parameterized by  $\boldsymbol{\theta}$  as:

$$\pi_{\theta}(\boldsymbol{x}|\mathcal{S}) = \prod_{t=1}^{|\mathbf{V}|} \pi_{\theta}(x_t|\mathcal{S}, \boldsymbol{x}_{0:t-1})$$
(1)

where t denotes the time step. Specifically, the AM comprises an encoder and a decoder. The encoder first computes initial  $d_h$ -dimensional embeddings for each node in V as  $h_i^0$  through a learned linear projection. It then captures the embeddings of  $h_i^0$  using multiple attention layers, with each comprising a multi-head attention (MHA) sublayer and a node-wise feed-forward (FF) sublayer. Both types of sublayers include a skip connection and batch normalization (BN). Assuming that  $l \in \{1, ..., N\}$  denotes the attention layer, the  $l^{\text{th}}$  layer can be formulated as  $h_i^l$ :

$$h_{i}^{l} = \mathbf{BN}^{l}(\hat{h}_{i} + \mathbf{FF}^{l}(\hat{h}_{i})); \qquad \hat{h}_{i} = \mathbf{BN}^{l}(h_{i}^{l-1} + \mathbf{MHA}_{i}^{l}(h_{0}^{l-1}, \dots, h_{|\mathbf{V}|-1}^{l-1}))$$
(2)

The decoder aims to append a node to the sequence x at each time step. Specifically, a context embedding  $h_{(c)}$  is computed to represent the state at the time step t. Then a single attention head is used to calculate the probabilities for each node based on  $h_{(c)}$ :

$$u_{(c)j} = \begin{cases} C \cdot \tanh\left(d_h^{-\frac{1}{2}} [\mathbf{W}^Q h_{(c)}]^T \mathbf{W}^K h_j^N\right) & \text{if } j \neq x_{t'} (\forall t' < t) \\ -\infty & \text{otherwise,} \end{cases}$$
$$p_i = \pi_{\boldsymbol{\theta}}(x_t = i | \mathcal{S}, \boldsymbol{x}_{0:t-1}) = u_{(c)i} / \sum_j u_{(c)j} \tag{3}$$

where  $\mathbf{W}^Q$  and  $\mathbf{W}^K$  are the learnable parameters of the last attention layer.  $u_{(c)j}$  is an unnormalized log probability with (c) indicating the context node. C is a constant, and  $p_i$  is the probability distribution computed by the softmax function based on  $u_{(c)j}$ .

## 120 4 Method

#### 121 4.1 Direction-aware Attention Model

In this section, we propose the direction-aware attention model (DaAM). Unlike previous methods that separately learn edge embeddings and determine edge directions, our model encodes direction information directly into the embedding, enabling one-stage decision-making. As shown in Fig. 1, the DaAM makes sequential decisions in two phases to select arcs. **The first phase** is a one-time transformation process, in which the arcs of the input graph are represented as nodes in the new directed complete graph. **The second phase** is executed at each time step, in which GAT is used to aggregate the inter-arc weights. Subsequently, AM is used to select the arc of the next action.

## 129 4.1.1 Arc Feature Formulation via Graph Transformation

Graph Transformation Motivated by the need to consider direction when traversing edges, we explicitly encode the edge direction by edge-to-arc decomposition. Let  $\mathbf{G} = (\mathbf{V}, \mathbf{E}, \mathbf{E}_R)$  denotes



Figure 1: **DaAM Pipeline** consists of two parts. The first part transforms the input graph G by treating the arcs on G as nodes of a new directed graph G, only executing once. The second part leverages the GAT and AM to update arc embeddings and select arcs, executing at each time step.

the undirected connected graph as input, where V is the node set of G, E is the edge set of G, 132 and  $\mathbf{E}_{R} \subseteq \mathbf{E}$  is the required edge set. Firstly, given that an edge has two potential traversal 133 directions, we decompose each edge  $\mathbf{e}_{nm} = (cost_{nm}, demand_{nm}, allow\_serve_{nm}) \in \mathbf{E}_R$  into two 134 arcs  $\{arc_{nm}, arc_{mn}\}$  with opposite directions but the same cost, demand and serving state. Here 135 n, m are the indexes of node in V. To simplify the representation below, we replace nm and mn136 with single-word symbols, such as i and j. In this way of edge decomposition, we obtain a set of arcs 137 denoted as  $A_R$ . Secondly, we build a new graph  $G = (A_R, E)$ . Specifically, each arc in  $A_R$  serves 138 as a node in G, and directed edge set E is created, with  $e_{ij} \in E$  representing the edge from node 139  $arc_i$  to  $arc_j$ . The weight  $|e_{ij}|$  represents the total cost of the shortest path from the end node of  $arc_i$ 140 to the start node of  $arc_i$ . In addition, we treat the depot as a self-loop zero-demand arc that allows 141 for repeated serving, denoted as  $arc_0$ . Consequently, we transform the input graph G into a directed 142 complete graph G. By decomposing all edges in  $\mathbf{E}_{R}$  into arcs, it is natural to directly select the arcs 143 from G during the decision-making. Given that the Floyd-Warshall algorithm is used to calculate the 144 shortest path cost between any pair of nodes in G, the time complexity of our graph transformation is 145  $\max(\mathcal{O}(|\mathbf{E}_R|^2), \mathcal{O}(|\mathbf{V}|^3)).$ 146

No.	Features	Field	Description No.	Features	Field	Description
1	$is\_depot_i$	$\mathbb{F}_2$	Is $arc_i$ the depot? 5	$allow\_serve_t^{(i)}$	$\mathbb{F}_2$	Is $arc_i$ at time step $t$ allowed to serve?
2	$cost_i$	$\mathbb{R}^+$	Cost of $arc_i$ . <b>6</b>	$mds_{\text{start}(i)}$	$\mathbb{R}^{d}$	Euclidean coordinates of $arc_i$ 's start node.
3	$demand_i$	$\mathbb{R}^+$	Demand of $arc_i$ . <b>7</b>	$mds_{\operatorname{end}(i)}$	$\mathbb{R}^{d}$	Euclidean coordinates of $arc_i$ 's end node.
4	$ e_{x_{t-1}i} $	$\mathbb{R}^+$	Edge weight from $arc_{x_{t-1}}$	to $arc_i$ .		

Table 1: Feature Detail of  $arc_i$  at time step t for CARP.

Arc Feature Formulation To establish a foundation for decision-making regarding arc selection, the features of the arcs are constructed as input for the subsequent model. Specifically, multidimensional scaling (MDS) is used to project the input graph G into a *d*-dimensional Euclidean space. The Euclidean coordinates of  $arc_i$ 's start and end nodes, denoted as  $mds_{start(i)}$  and  $mds_{end(i)}$ , are then taken as the features of  $arc_i$  to indicate its direction. As shown in Table 1, at time step *t*,  $arc_i$  can be featured as:

$$F_t^{(i)} = (is\_depot_i, cost_i, demand_i, |e_{x_{t-1}i}|, allow\_serve_t^{(i)}, mds_{\mathsf{start}(i)}, mds_{\mathsf{end}(i)})$$
(4)

where  $x_{t-1}$  is the index of the selected arc at the last time step, and  $t \in [1, +\infty)$ . Our feature models arcs rather than edges and encodes the direction attribute of arcs through MDS. Therefore, it is more suitable than previous methods [10, 16] for ARPs that need to consider the direction of traversing.

## 156 4.1.2 Arc Relation Encoding via Graph Attention Network

Although AM is efficient in decision-making, according to Eq. (2), it cannot encode the edge weights between nodes in G, an important context feature, during learning. Therefore, we use graph attention network (GAT) [27] to encode such weights. At each time step t, for each arc  $arc_i$ , we integrate the weights between  $arc_i$  and all arcs in  $A_R$  along with their features into the initial embedding of  $arc_i$ .

$$c_{ij} = softmax \big( \alpha(\mathbf{W}[F_t^{(i)} || F_t^{(j)} || |e_{ji}|]) \big); \qquad h_i^0 = \sigma \big( \sum_{j=0}^{|A_R|-1} c_{ij} \mathbf{W} F_t^{(j)} \big)$$
(5)

where **W** is a shared learnable parameter,  $[\cdot||\cdot]$  is the horizontal concatenation operator,  $\alpha(\cdot)$  is a mapping from the input to a scalar, and  $\sigma(\cdot)$  denotes the activation function.  $h_i^0$  denotes the initial feature embedding of  $arc_i$ , which is taken as the input of subsequent AM. Since G is a complete graph, we use one graph attention layer to avoid over-smoothing [4].

#### 165 4.1.3 Arc Selection via Attention Model

After aggregating the edge weights of G into the initial embeddings, we utilize AM to learn the final arc embeddings and make arc selection decisions. In the encoding phase described by Eq.2, for each arc  $\{arc_i\}$ , we leverage N attention layers to process the initial embeddings  $\{h_i^0\}$  and obtain the output embeddings of the N<sup>th</sup> layer, i.e.,  $\{h_i^N\}$ . In the decoding phase, we define the context node applicable to CARP:

$$h_{(c)}^{N} = \left[ |A_{R}|^{-1} \sum_{i=0}^{|A_{R}|-1} h_{i}^{N}, h_{x_{t-1}}^{N}, \delta_{t}, \Delta_{t} \right], t \in [1, +\infty)$$
(6)

where  $x_{t-1}$  indicates the chosen arc index at time step t - 1 and  $x_0$  is  $arc_0$ .  $\delta_t$  is the remaining capacity at time step t,  $\Delta_t = \Delta(\delta_t > \frac{Q}{2})$  is a variable to indicate whether the vehicle's remaining capacity exceeds half. Finally, according to Eq.(3), the decoder of AM takes the context node  $h_{(c)}^N$ and arc embeddings  $\{h_i^N\}$  as inputs and calculates the probabilities for all arcs, denoted as  $p_i$ . The serviceable arc selected at time step t, i.e.,  $arc_{x_t}$ , is determined by sampling or greedy decoding.

## 176 4.2 Supervised Reinforcement Learning for CARP

The decision-making of selecting arcs can be modeled as a Markov decision process with the following symbols regarding reinforcement learning:

- State  $s_t$  is the newest path of arcs selected from  $G: (arc_{x_0}, ..., arc_{x_{t-1}})$ , while the terminal state is  $s_T$  with T indicating the final time step.
- Action  $a_t$  is the selected arc at time step t, i.e.,  $arc_{x_t}$ . Selecting the action  $a_t$  would add  $arc_{x_t}$  to the end of the current path  $s_t$  and tag the corresponding arcs of  $arc_{x_t}$  with their features  $allow\_serve$ changed to 0. Notably,  $arc_0$  can be selected repeatedly but not consecutively.
- **Reward**  $r_t$  is obtained after taking action  $a_t$  at state  $s_t$ , which equals the negative shortest path cost from the last arc  $arc_{x_{t-1}}$  to the selected arc  $arc_{x_t}$ .
- Stochastic policy  $\pi(a_t|s_t)$  specifies the probability distribution over all actions at state  $s_t$ .
- 187 We parameterize the stochastic policy of DaAM with  $\theta$ :

$$\pi(x_t | \mathcal{S}, \boldsymbol{x}_{0:t-1}) = \pi_{\theta}(a_t | s_t)$$
(7)

where S is a CARP instance. Starting from initial state  $s_0$ , we get a trajectory  $\tau = (s_0, a_0, r_0, ..., r_{T-1}, s_T)$  using  $\pi_{\theta}$ . The goal of learning is to maximize the cumulative reward:  $R(\tau) = \sum_{t=0}^{T-1} r_t$ . However, due to the high complexity of CARP, vanilla deep reinforcement learning methods learn feasible strategies inefficiently. A natural solution is to minimize the difference between the model's decisions and expert decisions. To achieve this, we employ supervised learning to learn an initial policy based on labeled data and then fine-tune the model through reinforcement learning.

#### 195 4.2.1 Supervised Pre-training via Multi-class Classification

In the pre-training stage, we consider arc-selection at each time step as a multi-class classification task, and employ the state-of-the-art CARP method MAENS to obtain high-quality paths as the label. Assuming that  $y_t \in \mathbb{R}^{|A_R|}$  denotes the one-hot label vector at time step t of any path, with  $y_t^{(k)}$ indicating each element. We utilize the cross-entropy loss to train the policy represented in Eq. (7):

$$L = -\sum_{t=0}^{T-1} \sum_{k=0}^{|A_R|-1} y_t^{(k)} \log\left(\pi_\theta(arc_k|s_t)\right)$$
(8)

We use the policy optimized by cross-entropy, denoted as  $\pi_s$ , to initialize the policy network  $\pi_{\theta}$  and as the baseline policy  $\pi_b$  in reinforcement learning.

#### 202 4.2.2 Reinforcement Fine-tuning via PPO with self-critical strategy

During the fine-tuning phase, we use Proximal Policy Optimization (PPO) to optimize our model  $\pi_{\theta}(a_t|s_t)$  due to its outstanding stability in policy updates. Considering the low sample efficiency in reinforcement learning, we employ a training approach similar to self-critical training [21] to reduce gradient variance and expedite convergence. Specifically, We use another policy  $\pi_b$  to generate a trajectory and calculate its cumulative reward, serving as a baseline function. Our optimization objective is based on PPO-Clip [23]:

$$\mathbb{E}_{(s,a)\sim\pi_b}\left[\min\left(\frac{\pi_{\theta}(a|s)}{\pi_b(a|s)}\left(R(\tau_s^{\theta}) - R(\tau_s^{b})\right), \operatorname{clip}\left(\frac{\pi_{\theta}(a|s)}{\pi_b(a|s)}, 1 - \epsilon, 1 + \epsilon\right)\left(R(\tau_s^{\theta}) - R(\tau_s^{b})\right)\right)\right] \tag{9}$$

where *s* is used to replace current state  $s_t$  for symbol simplification, and *a* for  $a_t$ .  $\operatorname{clip}(w, v_{\min}, v_{\max})$ denotes constraining *w* within the range  $[v_{\min}, v_{\max}]$ , and  $\epsilon$  is a hyper-parameter.  $\tau_s^{\theta}$  denotes a trajectory sampled by  $\pi_{\theta}$  with *s* as the initial state, while  $\tau_s^b$  for the trajectory greedily decoded by  $\pi_b$ . In greedy decoding, the action with the maximum probability is selected at each step.  $R(\tau_s^{\theta}) - R(\tau_s^{b})$ serves as an advantage measure, quantifying the advantage of the current policy  $\pi_{\theta}$  compared to  $\pi_b$ . We maximize Eq. (9) through gradient descent, which forces the model to select actions that yield higher advantages. The baseline policy's parameters are updated if  $\pi_{\theta}$  outperforms  $\pi_b$ .

#### 216 4.3 Path Optimization via Dynamic Programming

The complexity of the problem is heightened by the increasing capacity constraint, making it challeng-217 ing for the neural network to make accurate decisions regarding the depot return positions. In this sec-218 tion, we propose a dynamic programming (DP) based strategy to assist our model in optimizing these 219 positions. Assuming that  $\vec{P}$  is assigned with the terminal state  $s_T = (arc_{x_0}, arc_{x_1}, ..., arc_{x_{T-1}})$ , 220 representing a generated path. Initially, we remove all the depot arcs in P to obtain a new 221 path  $\mathbf{P}' = (arc_{x'_0}, arc_{x'_1}, ..., arc_{x'_{T'-1}})$ , where  $\{x'_i | i \in [0, T'-1]\}$  denotes a subsequence of 222  $\{x_i | i \in [0, T-1]\}$ . Subsequently, we aim to insert several new depot arcs into the path P' to 223 achieve a lower cost while adhering to capacity constraints. To be specific, we recursively find the 224 return point that minimizes the overall increasing cost, which is implemented by the state transition 225 equation as follows: 226

$$f(\mathbf{P}') = \min_{i} (f(\mathbf{P}'_{0:i}) + SC(arc_{x'_{i}}, arc_{0}) + SC(arc_{0}, arc_{x'_{i+1}}) - SC(arc_{x'_{i}}, arc_{x'_{i+1}}))$$
  
s.t.  $0 \le i < T' - 1, \quad \sum_{j=i+1}^{T'-1} demand_{x'_{j}} \le Q$  (10)

where  $SC(arc_{x'_i}, arc_0) = |e_{x'_i0}|$  denotes the shortest path cost from  $arc_{x'_i}$  to the depot. Q is the 227 vehicle capacity. According to Eq. (10), we insert the depot arc  $arc_0$  after an appropriate position 228  $arc_{x'_i}$ , which meets with the capacity constraint of the subpath  $P'_{i+1:T'-1}$ .  $f(\cdot)$  denotes a state featuring dynamic programming. By enumerating the position *i*, we compute the minimum increasing 229 230 cost  $f(\mathbf{P}')$  utilizing its sub-state  $f(\mathbf{P}'_{0:i})$ . The final minimum cost for path  $\mathbf{P}$  is  $f(\mathbf{P}') + g(\mathbf{P}')$ , here 231  $g(\mathbf{P}')$  is the unoptimized cost of  $\mathbf{P}'$ . Since  $\mathbf{P}'$  includes only the required edges, i.e.,  $T' = |\mathbf{E}_R|$ , 232 the time complexity of DP is  $\mathcal{O}(|\mathbf{E}_R|^2)$ . During Path Optimization, we use beam search to generate 233 two paths with the trained policy, one with capacity-constrained and one without. Both paths are 234 optimized using DP and the one with the minimum cost is selected as the final result. 235

## 236 5 Experiments

## 237 5.1 Setup

Problem Instances. We extracted sub-graphs from the roadmap of Beijing, China, obtained from OpenStreetMap [8], to create CARP instances for both the training and testing phases. All instances are divided into seven datasets, each representing different problem scales, as presented in Table 2. Each dataset consists of 30,000 instances, further divided into two disjoint subsets: 20,000 instances for training and the remaining for testing. For each instance, the vehicle capacity is set to 100.

Implementation Details. Our neural network is implemented using the PyTorch framework and trained on a single NVIDIA RTX 3090 GPU. The heuristics and metaheuristics algorithms are

Table 2: **Datasets information**.  $|\mathbf{V}|$  is the number of nodes,  $|\mathbf{E}_R|$  is the number of required edges. *demand* represents the demand range for each required edge. Each dataset has 20,000 training instances and 10,000 test instances.

CARP instances	$ \mathbf{V} $	$ \mathbf{E}_R $	$demand \mid$	CARP instances	$ \mathbf{V} $	$ \mathbf{E}_R $	demand
Task 20	25-30	20	5-10	Task 200	205-210	200	1000
Task 40	45-50	40	5-10	Task 300	305-310	300	1000
Task 60	65-70	60	5-10	Task 400	405-410	400	1000
Task 80	85-90	80	5-10	Task 500	505-510	500	1000
Task 100	105-110	100	5-10	Task 600	605-610	600	1000

Table 3: **Solution quality comparison**. All methods are evaluated on 10,000 CARP instances in each scale. We measure the gap (%) between different methods and MAENS. Methods marked with an asterisk were originally proposed for NRP, but we modified them to solve CARP. The best results are indicated in bold, while the second-best results are underlined.

Mathad	T	ask20	Task40		T	ask60	T	ask80	Task100	
Method	Cost	Gap (%)	Cost	Gap (%)	Cost	Gap (%)	Cost	Gap (%)	Cost	Gap (%)
MAENS [25]	474	0	950	0	1529	0	2113	0	2757	0
PS [6]	544	14.72	1079	13.56	1879	22.84	2504	18.49	3361	21.90
PS-Ellipse [22]	519	9.49	1006	5.89	1709	11.77	2299	8.80	3095	12.26
PS-Efficiency [1]	514	8.44	1007	6.00	1684	10.14	2282	8.00	3056	10.85
PS-Alt1 [1]	514	8.44	1007	6.00	1685	10.20	2283	8.04	3057	10.88
PS-Alt2 [1]	521	9.92	1009	6.21	1720	12.49	2314	9.51	3102	12.51
S2V-DQN* [11]	590	24.42	1197	26.02	1900	24.23	2820	33.43	3404	23.42
VRP-DL* [19]	528	11.39	1193	25.57	2033	32.96	2898	37.15	3867	40.26
DaAM (SL)	509	7.43	1066	12.24	-	-	-	-	-	-
DaAM (SL+RL)	495	4.48	1009	6.19	1639	7.16	2275	7.67	2980	8.06
DaAM (SL+RL+PO)	482	1.65	992	4.39	1621	5.98	2255	6.70	2958	7.28

evaluated on an Intel Core i9-7920X with 24 cores and a CPU frequency of 4.4GHz. We optimize the model using Adam optimizer [12]. The dimension of MDS coordinates d is set to 8, and the learning rate is set to  $1e^{-4}$ . We set  $\epsilon$  in the PPO training at 0.1. Notably, our PPO training does not incorporate discounted cumulative rewards, i.e.,  $\gamma$  is set to 1.

Metrics and Settings. For each method and dataset, We compute the mean tour cost across all test 249 instances, indicated by "Cost". Employing the state-of-the-art MAENS [25] as a baseline, we measure 250 the "Cost" gap between alternative algorithms and MAENS, indicated by "Gap". We compare our 251 method against the heuristic Path-Scanning algorithms (PS) [6, 22, 1] and two NN-based algorithms. 252 In the absence of publicly available code for prior NN-based CARP methods, we modify two NN-253 based NRP solvers to suit CARP, i.e, S2V-DQN [11] and VRP-DL [19]. Note that, for S2V-DQN, 254 we replace structure2vec with GAT to achieve more effective graph embedding learning. For our 255 method, we incrementally add supervised pre-training (SL), reinforcement learning fine-tuning (RL), 256 and path optimization (PO) to assess the effectiveness of our training scheme and optimization, 257 respectively. Due to the excessively long computation times of MAENS on larger-scale datasets, SL 258 is only performed on Task20, Task 30, and Task40. The batch size for SL is set to 128. During the RL 259 260 stage, greedy decoding is used to generate solutions, and except for the Task20 dataset, we utilize the training results obtained from the preceding smaller-scale dataset to initialize the model. The beam 261 width in the PO stage is set to 2. For each dataset, we compare the mean cost of different methods on 262 10,000 problem instances. 263

#### 264 **5.2 Evaluation Results**

**Solution Quality** Table 6 shows the result. Our algorithm outperforms all heuristic and NN-based 265 methods across all scales, achieving costs comparable to MAENS, trailing by less than 8%. The 266 advantage over PS demonstrates that neural networks can learn more effective policies than hand-267 crafted ones, attributed to our well-designed modeling approach. Moreover, as the problem scale 268 increases, it becomes time-consuming to obtain CARP annotation by MAENS. Therefore, we leverage 269 the model pre-trained on small-scale instances as the initial policy for RL fine-tuning on Task50, 270 Task60, Task80, and Task100, yielding commendable performance. This proves the generalization of 271 our training scheme across varying problem scales. The performance gap with MAENS highlights 272 our algorithm's superiority in CARP-solving approaches. 273

Table 4: Generalization to larger problem instances. All methods are evaluated on 10,000 CARP instances in each scale. For DaAM, we employ the policy trained on Task100. The best results are indicated in bold, while the second-best results are underlined.

Method	Task200 Cost	Task300 Cost	Task400 Cost	Task500 Cost	Task600 Cost
PS-Ellipse [22]	4240	6563	8600	10909	13377
PS-Efficiency [1]	4233	6544	8583	10883	13338
PS-Alt1 [1]	4233	6544	8580	10884	13338
PS-Alt2 [1]	4244	6569	8606	10922	13393
DaAM (SL+RL)	4189	6372	8610	10938	13340
DaAM (SL+RL+PO)	4132	6281	8473	10633	13100



Figure 2: **Run time comparison**. For each dataset, the total run time of each method on 100 CARP instances is shown.

**Generalization Ability.** In Table 4, we assess DaAM's generalization on large-scale CARP instances using the policy trained on Task100. We remove MAENS and PS due to failing to run on large-scale graphs, and remove S2V-DQN and VRP-DL due to poor performance. Although DaAM is not trained on large-scale instances, it achieves or even exceeds the performance of PS, which shows its potential application on larger-scale CARP instances.

**Run Time.** We compare the total time required for solving 100 CARP instances across datasets 280 Task20 to Task100 datasets using our method, MAENS, and PS algorithms, and show the run time in 281 282 log space. For datasets Task200 to Task600, we compare the same metric using variants of PS and out method. For our method, we measured the solving time with and without PO. Fig. 2 demonstrates 283 that our method exhibits a significant speed advantage over MAENS, even outperforming variants of 284 PS [1] on most datasets. In comparison, the consumption time of MAENS increases exponentially as 285 the problem scale increases. Our method efficiently generates paths for large-scale CARP instances 286 by leveraging GPU data-level parallelism and CPU instruction-level parallelism. 287

288	Effe	ctiveness o	of Combining	g MDS ai	nd GAT.	Table 5: Costs of DaAM using different enco	oder.
	The second secon	11	1		1045		

To evaluate the combination of MDS and GAT
for embedding exhibiting, we individually evaluate the performance of models using only MDS
or GAT, as well as their combined performance.
The experiment is conducted on Task30, Task40,

MethodTask30Task40Task50Task60MDS743101713381699GAT746101913171684MDS + GAT741101113221683			0		
MDS743101713381699GAT746101913171684MDS + GAT741101113221683	Method	Task30	Task40	Task50	Task60
	MDS GAT MDS + GAT	743 746 <b>741</b>	1017 1019 <b>1011</b>	1338 <b>1317</b> 1322	1699 1684 <b>1683</b>

Task50, and Task60 by comparing the average performance of 1,000 instances on each dataset. In the RL stage, we use the policy pre-trained on Task30 for initialization. Table 5 indicates that using MDS or GAT individually yields worse quality in most cases, highlighting that combining MDS and GAT enhances the model's capacity to capture arc correlations. Fig. 3 depicts the convergence trends in these scenes, which shows that the synergy of MDS and GAT contributes to the stability of training.

Solution Visualization. For a more intuitive understanding of the paths generated by different methods, we visualize and compare the results of our method with PS [6] and MAENS across four road scenes in Beijing. Fig. 4 visualizes all results alongside scene information. We observe that our model obtains similar paths with MAENS since we leverage the annotation generated by MAENS for



Figure 3: Convergence trends of different methods in reinforcement learning training.



Figure 4: **Qualitative comparison** in four real street scenes. The paths are marked in different colors, with gray indicating roads that do not require service and red points indicating depots.

supervised learning. MAENS paths exhibit superior spatial locality, clearly dividing the scene into
 regions, whereas PS paths appear more random.

# **305 6 Conclusion and Limitations**

In this paper, we propose a learning-based CARP solver that competes with state-of-the-art metaheuristics. Firstly, we encode the potential serving direction of edges into embeddings, ensuring that edge directionality is taken into account in decision-making. Secondly, we present a supervised reinforcement learning approach that effectively learns policies to solve CARP. With the aid of these contributions, our method surpasses all heuristics and achieves performance comparable to metaheuristics for the first time while maintaining excellent efficiency.

Limitations and future work. Decomposing undirected edges increases the decision elements, which complicates the problem and may widens the gap between DaAM and traditional state-of-theart approaches as the problem instance scale increases. Our future work focuses on designing an efficient graph transformation method that does not significantly increase problem complexity.

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## 397 A Appendix

## 398 A.1 Source Code and Dataset

The source code of DaAM and the datasets used for testing are available at DaAM. Once the paper is accepted, we will promptly release the source code and datasets.

## 401 A.2 Pseudocode of PPO with self-critical strategy

<sup>402</sup> Algorithm 1 presents the pseudocode for the PPO training algorithm we used. In the code implemen-<sup>403</sup> tation, the trajectory  $\tau_s^{\theta}$  can be replaced by (s, a)'s original trajectory  $\tau_o$  for efficiency. Once  $\tau_o$  is

sampled, the cumulative rewards from any state  $s \in \tau_0$  can be quickly computed.

Algorithm 1 PPO algorithm with self-critical strategy **Input**: batch size B, number of episodes K, train instances  $\mathcal{P}$ , test instances  $\mathcal{T}$ Initialize policies  $\pi_{\theta}, \pi_{b} \leftarrow \pi_{s}$ 1: for episode k = 1 to K do Initialize data batch  $\mathcal{M}, \mathcal{M}' \leftarrow ()$ 2: 3: while  $|\mathcal{M}| < B$  do Sample a CARP instance  $\mathcal{S}$  from  $\mathcal{P}$ 4: 5: Sample  $\tau_o = (s_0, a_0, \dots, s_T)$  from S using  $\pi_b$ 6:  $\mathcal{M} \leftarrow \mathcal{M} \cup \{(s_0, a_0), \dots, (s_{T-1}, a_{T-1})\}$ 7: end while 8: for each  $(s, a) \in \mathcal{M}$  do Generate trajectory  $\tau_s^{\theta}$  using  $\pi_{\theta}$  from *s* by sampling Generate trajectory  $\tau_s^{b}$  using  $\pi_b$  from *s* by greedy decoding 9: 10: Compute advantage  $\mathcal{A}_s = R(\tau_s^{\theta}) - R(\tau_s^{b})$ 11: 12:  $\mathcal{M}' \leftarrow \mathcal{M}' \cup \{(s, a, \mathcal{A}_s)\}$ 13: end for 14: Update  $\pi_{\theta}$  using Adam over (9) based on  $\mathcal{M}'$ 15: if  $\pi_{\theta}$  outperforms  $\pi_b$  on  $\mathcal{T}$  then 16:  $\pi_b \leftarrow \pi_\theta$ 17: end if 18: end for

## 405 A.3 Experimental Results of Additional Datasets

For small-scale problem instances, we generated two additional datasets, Task30 and Task50. In Task30 the range of |V| is 25-30, while in Task50, it spans 55-60. Correspondingly,  $|\mathbf{E}_{\mathbf{R}}|$  is set to 30 and 50, respectively The demand for each edge ranges from 5 to 10 in both tasks. Table 6 is the complete experimental data from the solution quality experiments.

Table 6: **Solution quality comparison**. All methods are evaluated on 10,000 CARP instances in each scale. We measure the gap (%) between different methods and MAENS. Methods marked with an asterisk were originally proposed for NRP, but we modified them to solve CARP. The gray indicates that MAENS is taken as the baseline when calculating "Gap". The best results are indicated in bold, while the second-best results are underlined.

Method	T	ask20	T	ask30	T	ask40	T	ask50	Т	ask60	Ta	ask80	Tas	k100
Method	Cost	Gap (%)	Cost	Gap (%)	Cost	Gap (%)	Cost	Gap (%)						
MAENS [25]	474	0.00	706	0.00	950	0.00	1222	0.00	1529	0.00	2113	0.00	2757	0.00
PS [6]	544	14.72	859	21.76	1079	13.56	1448	18.45	1879	22.84	2504	18.49	3361	21.90
PS-Ellipse [22]	519	9.49	798	13.03	1006	5.89	1328	8.67	1709	11.77	2299	8.80	3095	12.26
PS-Efficiency [1]	514	8.44	790	11.90	1007	6.00	1311	7.28	1684	10.14	2282	8.00	3056	10.85
PS-Alt1 [1]	514	8.44	791	12.04	1007	6.00	1312	7.36	1685	10.20	2283	8.04	3057	10.88
PS-Alt2 [1]	521	9.92	802	13.60	1009	6.21	1336	9.33	1720	12.49	2314	9.51	3102	12.51
S2V-DQN* [11]	590	24.42	880	24.65	1197	26.02	1520	24.32	1900	24.23	2820	33.43	3404	23.42
VRP-DL* [19]	528	11.39	848	20.11	1193	25.57	1587	29.87	2033	32.96	2898	37.15	3867	40.26
DaAM (SL)	509	7.43	785	11.18	1066	12.24	-	-	-	-	-	-	-	-
DaAM (SL+RL)	495	4.48	741	5.05	1009	6.19	1303	<u>6.58</u>	1639	7.16	2275	7.67	2980	8.06
DaAM (SL+RL+PO)	482	1.65	725	2.73	992	4.39	1283	5.07	1621	5.98	2255	6.70	2958	7.28

# 410 A.4 Licences of Assets Used for Experiments

The code we used does not require special consent from the authors. We follow their licenses as specified below:

- https://github.com/wouterkool/attention-learn-to-route: MIT Licence.
- https://github.com/Hanjun-Dai/graph\_comb\_opt: MIT Licence.

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