PACIT: Unlocking the Power of Examples for Better In-Context Instruction Tuning

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Abstract

 Instruction tuning enhances the instruc- tion following ability of large language models by finetuning with supervised in- struction data. Previous work proposes in-context instruction tuning (ICIT) where specific positive or negative examples are incorporated into the prompt for better performance. In this work, we propose PACIT, a simple and effective in-context instruction tuning method, inspired by the **pedagogical concept of** *desirable difficulty*. The PACIT method unlocks the power of examples by encouraging the model to ac- tively learn to grasp the distinctions be- tween the positive and negative examples instead of merely reading. The model is ex- pected to first verify the correctness of the provided example according to the task de- scription, which is then set as the condition for generating a better response to the task instance. Our extensive experiments prove 022 the effectiveness of Pacity, outperforming ICIT baseline on both in-domain and out- domain tasks up to 9.16 and 3.14 average ROUGE-L scores, respectively. Moreover, PACIT can notably enhance the perfor- mance of instruction tuning even when all positive and negative examples are gener-ated with a self-instruct method.

⁰³⁰ 1 Introduction

 Large language models (LLMs) have garnered sig- nificant interest from both academia and industry due to their superior performance on a variety of natural language processing tasks such as question answering and text generation. Instruction tun- ing (IT; [Ouyang et al.](#page-9-0) [2022\)](#page-9-0) optimizes the pre- trained language models with supervised instruc- tion data to enhance the capabilities of the instruc- tion following and zero-shot generalization to un- seen tasks [\(Chung et al.,](#page-8-0) [2022;](#page-8-0) [Ouyang et al.,](#page-9-0) [2022;](#page-9-0) [Sanh et al.,](#page-9-1) [2022;](#page-9-1) [Taori et al.,](#page-9-2) [2023;](#page-9-2) [Xue et al.,](#page-9-3) [2023\)](#page-9-3). InstructGPT [\(Ouyang et al.,](#page-9-0) [2022\)](#page-9-0) pro- poses in-context instruction tuning (ICIL) where the LLM is finetuned using instruction data with few-shot human-crafted positive examples. SuperNI [\(Wang et al.,](#page-9-4) [2022\)](#page-9-4) presents a variant of **046** in-context instruction tuning by further incorpo- **047** rating specified positive and negative examples in **048** each task. The ICIL method achieves significant **049** improvement compared with the vanilla zero-shot **050** instruction tuning method [\(Ouyang et al.,](#page-9-0) [2022;](#page-9-0) **051** [Wang et al.,](#page-9-4) [2022;](#page-9-4) [Li et al.,](#page-8-1) [2023a\)](#page-8-1) with the knowl- **052** edge from the demonstrations. **053**

However, previous in-context instruction tuning **054** merely shows the specified positive and negative **055** examples in the prompt, without further consid- **056** erations for better digestion of examples. LLMs **057** still struggle to follow the instructions precisely in **058** some scenarios [\(Li et al.,](#page-8-2) [2023b;](#page-8-2) [AlShikh et al.,](#page-8-3) **059** [2023\)](#page-8-3), which hinders their further applications. **060**

In this work, we introduce PACIT, a simple 061 and novel in-context instruction tuning approach **062** (see Figure [1\)](#page-2-0) inspired by the pedagogical con- **063** [c](#page-8-4)ept of desirable difficulty [\(Wikipedia,](#page-9-5) [2023;](#page-9-5) [Marsh](#page-8-4) **064** [and Butler,](#page-8-4) [2013\)](#page-8-4). During finetuning with PACIT 065 method, the model first accomplishes a quiz about **066** the judgment of correctness of the provided exam- **067** ples based on the task description, then responds **068** to the task instance input. By transforming the **069** provided example into a related quiz of the sim- **070** ple classification task, we encourage the model to **071** be actively involved in recalling correlated infor- **072** mation and grasping the distinction between posi- **073** tive and negative examples, going beyond surface- **074** level information. In contrast to simply reading **075** the examples, this approach enhances the model's **076** comprehension of the task information, thereby im- **077** proving its ability to follow instructions. **078**

Extensive experiments prove the effectiveness of **079** PACIT, outperforming ICIT baseline up to 9.16 080 and 3.14 average ROUGE-L [\(Lin,](#page-8-5) [2004\)](#page-8-5) on in- **081** domain and out-of-domain datasets of SuperNI **082** [\(Wang et al.,](#page-9-4) [2022\)](#page-9-4), respectively. The Pacit still 083 consistently surpasses traditional methods when **084** the positive and negative examples are synthesized **085** with self-instruct [\(Wang et al.,](#page-9-6) [2023\)](#page-9-6) by Chat- **086** GPT [\(OpenAI,](#page-9-7) [2022\)](#page-9-7). Therefore, in cases that the **087** human-crafted positive and negative examples are **088** not available, the Pacit has the potential to be a **⁰⁸⁹** better instruction tuning strategy even for a large- **090** scale instruction dataset. Our contributions are **091** summarized as follows: **092**

- 093 We propose PACIT, a simple yet effective **094** in-context instruction tuning method that **095** achieves better instruction following ability by **096** better grasping the differences between posi-**097** tive and negative examples.
- **098** Extensive experiments demonstrate the supe-099 rior performance of PACIT over competitive **100** baselines consistently across in-domain and **101** out-domain datasets.
- 102 The Pacity also achieves better performance **103** than vanilla instruction tuning when the ex-**104** amples are all synthesized with the selfinstruct method.[1](#page-1-0)

¹⁰⁶ 2 Related Work

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107 2.1 Instruction Tuning

 Instruction tuning [\(Ouyang et al.,](#page-9-0) [2022\)](#page-9-0) finetunes the pretrained language models with supervised in- struction data to enhance the instruction following ability and enable the zero-shot generalization to unseen tasks [\(Chung et al.,](#page-8-0) [2022;](#page-8-0) [Wei et al.,](#page-9-8) [2022;](#page-9-8) [Ouyang et al.,](#page-9-0) [2022;](#page-9-0) [Sanh et al.,](#page-9-1) [2022;](#page-9-1) [Taori et al.,](#page-9-2) [2023\)](#page-9-2). The instruction tuning is an essential train- [i](#page-9-0)ng stage for most large language models [\(Ouyang](#page-9-0) [et al.,](#page-9-0) [2022;](#page-9-0) [Taori et al.,](#page-9-2) [2023\)](#page-9-2). It commonly uses the next token prediction as the training objective.

 The key to instruction tuning is the quality and diversity of the instruction data [\(Zhou et al.,](#page-9-9) [2023\)](#page-9-9). The instruction data used by Instruct- GPT [\(Ouyang et al.,](#page-9-0) [2022\)](#page-9-0) is created with hu- man experts. It can also be created with LLMs like ChatGPT [\(OpenAI,](#page-9-7) [2022\)](#page-9-7) with self-instruct [\(Wang et al.,](#page-9-6) [2023\)](#page-9-6) method. The self-instruct method synthesizes instruction data by prompt- ing the LLM with few-shot examples and guide- lines to use instructional signals from the model [i](#page-9-10)tself for data augmentation. The evol-instruct [\(Xu](#page-9-10) [et al.,](#page-9-10) [2023\)](#page-9-10) method further improves self-instruct to create more diverse instruction data with vary- ing levels of complexities. The humpback [\(Li et al.,](#page-8-6) [2023c\)](#page-8-6) proposes to iterativly optimize the model and generate high-quality instruction data without the reliance on strong proprietary LLMs, similar to the back-translation practice in machine trans- [l](#page-9-4)ation. Super natural instructions (SuperNI; [Wang](#page-9-4) [et al.](#page-9-4) [2022\)](#page-9-4) is a benchmark that covers 76 distinct task types of 1616 diverse NLP tasks, including but not limited to classification, extraction, infilling, sequence tagging, text rewriting, and text compo- sition. Each task in the SuperNI benchmark con- tains the task definition, task instances and exam- ple instances. Both task instance and example in- stance contain the input-output pairs for the task. The example instances have additional tags (i.e., positive or negative) based on the example and the **146** task description. **147**

In-context instruction tuning [\(Ouyang et al.,](#page-9-0) **148** [2022;](#page-9-0) [Wang et al.,](#page-9-4) [2022;](#page-9-4) [Li et al.,](#page-8-1) [2023a\)](#page-8-1) finetune **149** the LLMs with supervised instruction data as well **150** as task-specific examples. The few-shot examples **151** used in InstructGPT are all human-crafted posi- **152** tive examples. [Wang et al.](#page-9-4) [\(2022\)](#page-9-4) further incorpo- **153** rates specified positive and negative crafted exam- **154** ples into the in-context instruction tuning. [Li et al.](#page-8-1) **155** [\(2023a\)](#page-8-1) explore the in-context instruction tuning **156** in the multimodal domain. Different from previous **157** works that simply have the model passively read **158** the examples, we explore to encourage the model **159** to actively learn about the examples via verifica- **160** tion the correctness of examples. **161**

2.2 In-Context Learning **162**

[I](#page-9-11)n-context learning (ICL; [Liu et al.](#page-8-7) [2022;](#page-8-7) [Rubin](#page-9-11) **163** [et al.](#page-9-11) [2022;](#page-9-11) [Min et al.](#page-8-8) [2022a\)](#page-8-8) is a prompt-based **164** method that encourages the language models to **165** learn from the few-shot examples presented in the **166** model input. Researchers explore different ap- **167** [p](#page-8-8)roaches to improve the performance of ICL. [Min](#page-8-8) **168** [et al.](#page-8-8) [\(2022a\)](#page-8-8) and [Chen et al.](#page-8-9) [\(2022\)](#page-8-9) introduce **169** meta-learning to better adapt the language mod- **170** els to ICL. [Zhao et al.](#page-9-12) [\(2021\)](#page-9-12) estimates models' **171** bias towards each answer and then develop con- **172** textual calibration to adjust the model's output **173** probabilities. SG-ICL [\(Kim et al.,](#page-8-10) [2022\)](#page-8-10) proposes **174** to generate demonstration examples for in-context **175** learning from the language model itself instead of **176** humans. Active Prompting [\(Diao et al.,](#page-8-11) [2023\)](#page-8-11) se- **177** lects the most uncertain questions as demonstra- **178** tion examples to further improve the performance. **179** [Min et al.](#page-9-13) [\(2022b\)](#page-9-13) finds that replacing gold labels **180** with random labels only marginally hurts perfor- **181** mance, which indicates models learn from the ex- **182** [a](#page-9-14)mple format rather than input-label pairs. [Yoo](#page-9-14) **183** [et al.](#page-9-14) [\(2022\)](#page-9-14) revisit previous findings of [Min et al.](#page-9-13) **184** [\(2022b\)](#page-9-13) and introduce novel metrics to prove that **185** the input-label correspondence plays a more signif- **186** icant role in contextual demonstration than previ- **187** ously considered. However, most of these methods **188** focus on the inference stage and explicitly show the **189** correctness of the demonstration examples. Our **190** work focuses on the instruction tuning stage. **191**

3 Method **¹⁹²**

In this work, we focus on the in-context instruc- **193** tion tuning [\(Wang et al.,](#page-9-4) [2022\)](#page-9-4) where both posi- **194** tive and negative examples are provided as the case **195** in the SuperNI dataset (see Figure [1\)](#page-2-0). The model **196** is trained to generate a response that is similar **197** to the positive examples while avoiding the mis- **198** takes in the negative ones. Conventional works **199** merely present these examples and their tags in the **200** prompt following the practice of in-context learn- **201**

¹Our code and models will be made public.

(b) Our proposed PACIT approach

Figure 1: The overview of PACIT. PACIT consists of two stages: Classification and Answering. (1) Classification: Judge the correctness of each provided example based on the task description and then take the self-reminder action. (2) Answering: Respond to the main task instruction conditioned on the classification results. Two stages are executed sequentially within a single data sample.

202 ing. We propose PACIT for better in-context in- struction tuning by unlocking the power of pro-204 vided examples. The PACIT is motivated by the pedagogical psychological concept of desirable dif- ficulty [\(Marsh and Butler,](#page-8-4) [2013;](#page-8-4) [Wikipedia,](#page-9-5) [2023\)](#page-9-5), which improves the long-term performance of stu- dents by a learning task that requires a consider-able but desirable amount of effort.

 As an example of desirable difficulty, quizzing oneself with flashcards brings better learning out- comes than just reading the materials, as the quizzes require students to consistently recall as- sociated information and encourage them to learn the material more concretely and actively. Simply reading the materials results in lower engagement and less attention from students. The key infor- mation and connected knowledge of the materials may be overlooked. In contrast, students think, analyze and try to apply their existing knowledge when they tackle a problem by hand. Active in- volvement in learning enhances their understand- ing of the knowledge, leading to better learning outcomes.

 Following the insight of desirable difficulty, the Pacit proposes a supplementary quiz with the ex- amples and asks the model to first accomplish the quiz before the task mentioned in the instruction. As shown in Figure [1,](#page-2-0) the model is required to first classify the examples presented in the prompt into two types, positive or negative, according to the task description. The negative example indi- cates the unsatisfied output for the given input for this task, which should be avoided. After that, the model generates the response to the instruction based on the classification result of the provided examples. In this way, the model actively learns about the examples by accomplishing the related

quiz, which further facilitates the understanding **239** and grasp of the given task. **240**

Consistent with SuperNI, each task has a task **241** description S_T , a training dataset $\mathcal{D} = \{(X, Y)\}\$, 242 and an example pool consisting of positive and neg- **243** ative examples. For each input-output instance **244** pair (X, Y) in \mathcal{D} , we randomly select k examples 245 from the example pool and determine the order **246** of positive and negative examples randomly. Both **247** the input and output of examples are presented **248** in the prompt $(S_e^{in} = \{X_e, Y_e\})$, while the corre- 249 sponding label L_e (i.e., positive or negative) is set 250 as the answer to the supplementary quiz and is **251** part of the model output (see the example in Fig- **252** ure [1\)](#page-2-0). The ground-truth label of each example is **253** replaced with the ordinal number and concealed in **254** the input. In this way, the supplementary quiz is **255** designed without human effort. Each data sample **256** in Pacit has two stages, i.e. Classification and **²⁵⁷** Answering. 258

Classification The model is expected to judge **259** the correctness of each provided example based on **260** the task description during the classification stage. **261** The ground-truth classification result J_e is created 262 from a template shown in Figure [1](#page-2-0) and the exam- **263** ple tag Le. After giving the answer to the quiz, **264** the model continues to generate the correspond- **265** ing action to be taken A_e (e.g., "I should learn 266 from correct examples and avoid mistakes in the **267** wrong examples."). The action serves as a self- **268** reminder to encourage the model to take the cor- **269** responding action for better performance. During **270** the first classification stage, the model is optimized **271** with the next token prediction training objective. **272** The ground-truth for action A_e are human-crafted 273 without tuning and kept the same for all samples. **274** All tokens in the classification result and action are **275**

276 counted for the loss calculation. Formally, the loss

277 of the classification stage can be represented as:

278 $\mathcal{L}_{\mathbf{c}} = -\sum_{\mathbf{c}} \log P(J_e, A_e | S_T, S_e^{in}, X; \theta).$ (1)

 $\mathcal{L}_{\mathbf{c}} = -\sum$

 $\mathcal{L}_\mathbf{a} = -\sum$

 $(X,Y){\in}\mathcal{D}$

 $(X,Y){\in}\mathcal{D}$

 Answering Based on the result of the supple-280 mentary quiz J_e and the corresponding action A_e , the model is elicited to output the answer Y for in- stance input X in the task. The answering stage is also trained with the language modeling objective. The corresponding training loss is calculated as

- 285 $\mathcal{L}_{\mathbf{a}} = -\sum_{\alpha} \log P(Y | S_T, S_e^{in}, X, J_e, A_e; \theta).$ (2)
- 286 The overall training loss of PACIT is the sum of 287 these two losses $\mathcal{L} = \mathcal{L}_{\mathbf{c}} + \mathcal{L}_{\mathbf{a}}$. During inference, the
- **288** model generates the answer in the main task after
- **289** completion of the auxiliary classification task.
- **²⁹⁰** 4 Experiments

291 4.1 Experiment Setting

 Dataset We conduct experiments on the SuperNI-V2 dataset [\(Wang et al.,](#page-9-4) [2022\)](#page-9-4), an open-source dataset comprising over 800+ English tasks with diverse task types. Each task in the dataset includes four components: task definition, positive examples, negative examples and expla- nations. To ensure consistency, we utilize the same dataset split as SuperNI: the training set consisting of 756 diverse tasks and a hold-out test set containing 119 unseen out-domain tasks for evaluation purposes. Additionally, we construct a held-in test set that mirrors the training set's tasks but with different task instances to prevent any data leakage. As the performance saturates when the number of instances per task increases [\(Wang et al.,](#page-9-4) [2022\)](#page-9-4), we randomly sample 60 instances for each task in the training set. For the test set, we randomly sample 100 instances for each task of the held-out test set and 15 instances for each task of the held-in test set, ensuring a comparable total number of instances for both datasets. The statistics of our training, held-in and held-out datasets are presented in Table [1.](#page-3-0)

 Construction of Dataset. To perform in- context instruction tuning, we construct the train- ing dataset with data samples of the format task definition+positive/negative examples+task instance. For each data sample, examples are added incrementally until the maximum input length is reached. Specifically, given a task in- stance, we first include the instance and its cor- responding task definition to form a data sample. Subsequently, we randomly select a positive ex-ample and a negative example for the task and

Statistics	Train Set	Held-In	Held-Out
Number of tasks	756	756	119
$\#$ of total instances	45360	11340	11900
Avg. $\#$ of Ex.	1.83	1.79	1.75

Table 1: Statistics of our training, held-in, and held-out datasets. 'Avg. $\#$ of Ex.' denotes the average number of examples per task.

gradually add them to the data sample. To pre- **326** vent the model from simply memorizing the corre- **327** sponding tags, the order of the examples is shuf- **328** fled. If adding an example exceeds the maximum **329** input length limit, the addition process is stopped. **330** This process results in four distinct types of data **331** samples: (1) Without examples: training sam- **332** ples without any examples. (2) Only positive **333** example: training samples with only one positive **334** example. (3) Only negative example: training **335** samples with only one negative example. (4) **Mix-** 336 ing examples: training samples with both posi- **337** tive and negative examples. The proportions of **338** these four types within our training data are 2.9%, **339** 6.3%, 0.5% and 90.2%, respectively. The few-shot **340** inference dataset is constructed similarly, while the **341** zero-shot inference dataset consists of data samples **342** with the format *task definition*+*task instance*. 343

[S](#page-8-12)ettings and Metrics Following [Kung](#page-8-12) **344** [and Peng](#page-8-12) [\(2023\)](#page-8-12), we utilize two variants of **345** T5-LM-Adapt [\(Raffel et al.,](#page-9-15) [2020\)](#page-9-15) as the back- **346** bones of PACIT: T5-Large-1m-adapt-770M 347 (T5-770M) and T5-XL-lm-adapt-3B (T5-3B). **348** Additionally, to evaluate PACIT with a stronger 349 backbone, we conduct experiments using the **350** LLaMA-2-7B (LLaMA2-7B) model. During infer- **351** ence, we employ greedy decoding (i.e., set the **352** temperature to 0) following [Wang et al.](#page-9-4) [\(2022\)](#page-9-4) **353** to obtain the most confident predictions from the **354** model outputs. Given the diversity of tasks and **355** the open-ended generation nature of formulation, **356** we adopt ROUGE-L metric [\(Lin,](#page-8-5) [2004\)](#page-8-5) for report- **357** ing aggregated performance results. The metric **358** has been shown to correlate well with accuracy for **359** [c](#page-9-4)lassification tasks and human evaluation [\(Wang](#page-9-4) **360** [et al.,](#page-9-4) [2022\)](#page-9-4). Unless otherwise specified, we report **361** results on the held-out dataset in the Ablation **362** Study (Section [4.3\)](#page-4-0) and Analyses (Section [5\)](#page-5-0). **363**

Training Details We use Adam optimizer with **364** $\beta_1 = 0.9, \ \beta_2 = 0.999$ to finetune the models. The 365 models are trained for five epochs and the last **366** checkpoint is used for evaluation. The global batch **367** size is 64. We use the linear learning rate sched- **368** uler. The learning rate for T5-based models is set **369** $\text{to } 2 \times 10^{-4}$ following [Kung and Peng](#page-8-12) [\(2023\)](#page-8-12), while 370 the learning rate for LLaMA-2 is set to 2×10^{-5} following [Taori et al.](#page-9-2) [\(2023\)](#page-9-2); [Chen et al.](#page-8-13) [\(2023b\)](#page-8-13). **372** We set the maximum input length as 1024 and **373**

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Model	Testing Setting \rightarrow	Held-Out		Held-In			
	Training Setting \downarrow	Zero-Shot	Few-Shot	Avg ROUGE-L	Zero-Shot	Few-Shot	Avg ROUGE-L
T5-770M	SuperNI (Zero-Shot)	38.02	40.59	39.30	46.22	42.59	44.40
	SuperNI (Few-Shot)	33.30	45.08	39.19	43.59	52.96	48.27
	PACIT	33.59	46.66	40.13	44.67	53.31	48.99
$T5-3B$	SuperNI (Zero-Shot)	42.89	45.73	44.31	49.95	47.59	48.77
	SuperNI (Few-Shot)	38.54	51.08	44.81	41.49	52.96	47.23
	PACIT	43.09	52.11	47.60	47.29	55.21	51.25
LLaMA2-7B	SuperNI (Zero-Shot)	44.81	49.35	47.08	49.36	48.85	49.10
	$SuperNI(Few-Short)$	42.14	50.71	46.43	45.53	52.68	49.10
	PACIT	45.62	53.53	49.57	54.05	62.47	58.26

Table 2: The comparision results of Pacit and baselines under zero-shot and few-shot inference settings on hold-in and hold-out datasets. Avg ROUGE-L: we calculate the averaged ROUGE-L under zero-shot and few-shot inference settings. Bold denotes the best result.

 the maximum output length as 128 for all mod- els following [Wang et al.](#page-9-4) [\(2022\)](#page-9-4). All experiments are run on eight NVIDIA RTX-4090 GPUs using **Huggingface Transformers^{[2](#page-4-1)} toolkit.**

378 **Baselines** We compare PACIT with two base-**379** lines:

- **380** SuperNI (Zero-Shot): We formulate each data **381** sample as task definition+main task instance **382** and train with conventionally instruction tun-**383** ing method. No examples are used during **384** training for this setup.
- **385** SuperNI (Few-Shot): We use the same train-**³⁸⁶** ing dataset as Pacit, but train with conven-**387** tionally in-context instruction tuning. In the **388** subsequent text, we may use SuperNI to de-**389** note this method for simplicity.

390 4.2 Main Results

 To assess the efficacy of Pacit, we compare it with baselines as presented in Table [2.](#page-4-2) As can be seen, Pacit consistently outperforms SuperNI (Zero- Shot) and SuperNI (Few-Shot) methods across the held-in and held-out datasets. Notably, the perfor- mance gap is more pronounced for larger models compared to smaller model. Specifically, when uti- lizing the T5-3B and LLaMa2-7B models, Pacit exhibits substantial improvements over the Su- perNI (Few-Shot) method, with average ROUGE- L score boosts of 2.79 and 3.14 on the held-out test set, and 4.02 and 9.16 on the held-in test set, respectively. Conversely, smaller T5-770M model demonstrates only marginal increases of 0.94 and 0.72 average ROUGE-L scores. We hypothe- size that larger models, which have stronger learn- ing capabilities, can excavate more internal infor-mation in demonstration examples with our pro-

2 [https://github.com/huggingface/](https://github.com/huggingface/transformers)

[transformers](https://github.com/huggingface/transformers)

Table 3: The performance (ROUGE-L) of ablation study variants (ZS=zero-shot inference, FS=fewshot inference) on held-out set. Starting from PACIT, we gradually remove the action $(ID=2)$ and the auxiliary classification stage (aux., ID=3) in each data sample.

posed Pacit methods. Additionally, it is note- **⁴⁰⁹** worthy that PACIT exhibits greater improvements 410 on the held-in datasets compared to the held-out **411** datasets, indicating its ability to significantly ben- **412** efit seen tasks. In the zero-shot inference setting, **413** SuperNI (Zero-Shot) method achieves good perfor- **414** mance. However, its performance sharply declines **415** in the few-shot setting. This discrepancy can be **416** attributed to the importance of maintaining consis- **417** tency between the training and inference settings **418** In summary, Pacit outperforms all baselines and **⁴¹⁹** achieves new state-of-the-art on ICIT. **420**

4.3 Ablation Study **421**

We conduct an ablation study on the training **422** method of PACIT. Initially, we begin with PACIT, 423 which consists of two training stages: classifica- 424 tion with action, and answering. Subsequently, **425** we gradually remove the action after classifica- **426** tion (setting (2)) and the whole classification stage **427** to roll back to the vanilla SuperNI (Few-Shot) **428** method (setting (3)). **429**

The results are shown in Table [3.](#page-4-3) Removing the **430** action leads to a decrease of 1.22 average ROUGE- **431** L score, and further removing the classification **432** stage results in an additional decrease of 1.57 av- **433** erage ROUGE-L score. This observation confirms **434**

Definition \cdot Two analogies that relate items to the associated containers is given in the form \overline{A} : B. C : ?" . " A : B " relates item A to its associated container B . Your task is to replace the question mark (?) with the appropriate container for the given item C , following the A : B " relation . Positive Example 1 - Input : jam : jar . cereal : ? Output : box . Negative Example 1 - In put : detergent : bottle . cereal : ? Output : cupboard . Now complete the following example Input : money : wallet . milk : ? Output : container λ

(a) SuperNI (Few-Shot)

 (b) Pacity

wallet . milk : ? Output : bottle ✓

Figure 2: A concrete example of attention visualization for SuperNI (Few-Shot) and Pacit methods.

 our insights regarding desirable difficulty, as the inclusion of a supplementary quiz on the examples and an action to emphasize its importance guides the model to enhance its learning from the exam-**439** ples.

⁴⁴⁰ 5 Analyses

 The Visualization of Attention. To better understand how Pacit works, we conduct a case study by visualizing the attention weights in T5- 3B model. We visualize the averaged encoder- decoder attention weights of different heads in the last layer of T5-3B. Figure [2](#page-5-1) shows a concrete ex- ample of Pacit v.s. SuperNI (Few-Shot). The color in each figure represents the relative atten-449 tion weights. As can be seen, PACIT allocates more attention to the task definition and examples' in- formation compared with the SuperNI (Few-Shot) 452 model. The attention weights from Pacific exhibit a broader span across the prompt. This observa- tion is expected as the classification task in Pacit encourages the model to focus more on task def- inition and examples, otherwise it cannot classify examples correctly. We also manually check some other examples which present similar patterns.

 The Relationship between Classification Ac- curacy and Model Performance. To gain in- sights into the correlation between the auxiliary task (i.e., classification) and main task, we ana- lyze the training dynamics by plotting the main task's performance (ROUGE-L) against the aux- iliary task's performance (Acc). The results are shown in Figure [3.](#page-5-2) The classification accuracy demonstrates a strong correlation with the main task's ROUGE-L score, as evidenced by the slope. Furthermore, we calculate the Pearson correlation coefficient between these two metrics, resulting in a high value of 0.98. While correlation does not es- tablish causation, it does provide valuable insights into the interpretability of Pacit.

 The Effect of Classification Labels in Train- ing and Inference Phase. Inspired by previ- ous work on in-context learning [\(Min et al.,](#page-9-13) [2022b;](#page-9-13) [Madaan et al.,](#page-8-14) [2023;](#page-8-14) [Wei et al.,](#page-9-16) [2023\)](#page-9-16), we suspect Pacit utilize examples either by (a) recognizing the task from examples and applying LLMs' pre-trained priors (learning the format [\(Min et al.,](#page-9-13)

Definition : Two analogies that relate items to the associated containers is given in the form $\sqrt[n]{A}$: B . C : ?" . " A : B " relates item A to its associated container B . Your task is to replace the question mark (?) with the appropriate container for the given item C , following the A : B " relation . Example 1 - Input : jam : jar . cereal : ? Output : box . **Example** 2 - Input : detergent : bottle . cereal : ? Output : cupboard . Now complete the following example - Input : money :

Figure 3: The training dynamics of the main task (ROUGE-L) v.s. the auxiliary classification task (Acc). Acc: The accuracy of classification. ROUGE-L: The performance of main tasks. The five data points represent five checkpoints obtained after each epoch.

[2022b\)](#page-9-13)) and/or (b) learn the input–label map- **481** pings from the presented examples (learning the **482** input-label mapping). When ground-truth labels **483** are provided during in-context instruction tuning, **484** these two factors operate simultaneously. To study **485** which of these factors drives performance, we com- 486 pare two training settings: 487

- Ground-Truth: The true classification la- **488** bels are used, which is the standard setup of **489** PACIT. 490
- Random: The classification labels are uni- **491** formly sampled from the label space. In this **492** setup, LLMs can only learn the format. **493**

Table [4](#page-6-0) shows the results. At the inference 494 stage, in addition to the standard inference setup **495** of Pacit that generates classification labels from **⁴⁹⁶** the model (Generated), we also explore Ground- **497** Truth and Random variants. As can be seen, **498** Pacit with Ground-Truth training setting exhibits **⁴⁹⁹** a significantly greater improvement over Random **500** training setting on large model (T5-3B) compared **501** to small model (T5-770M). This observation shares **502** some commonalities with previous research on in- **503** context learning, which suggests that learning **504**

Model	Testing Setting \rightarrow	Zero-Shot	Few-Shot			
	Training Setting \downarrow		Generated	Ground-Truth	Random	
T5-770M	SuperNI (Ground-Truth)	33.30		45.08	45.26	
	SuperNI (Random)	30.66		43.54	43.48	
	PACIT (Ground-Truth)	33.58	46.66	46.67	46.72	
	PACIT (Random)	34.23	46.17	46.10	46.11	
$T5-3B$	SuperNI (Ground-Truth)	38.54		51.08	51.25	
	SuperNI (Random)	36.71		49.12	48.92	
	PACIT (Ground-Truth)	43.09	52.11	52.17	52.07	
	PACIT (Random)	33.52	45.76	46.14	46.11	

Table 4: The Performance (ROUGE-L) on held-out set with different classification labels in the training and inference time. We compare two training settings and three inference settings for the labels of few-shot examples in each data sample. Generated: classification labels generated from the model; Ground-Truth: true classification labels; Random: randomly sampled classification labels.

Model	Testing Setting \rightarrow Training Setting \downarrow	Zero-Shot	Few-Shot	Avg. ROUGE-L
T5-770M	SuperNI $(1 \text{ pos and } 1 \text{ neg})$	33.30	45.08	39.19
	SuperNI $(2 \text{ pos and } 2 \text{ neg})$	30.75	45.82	38.28
	PACIT $(1 \text{ pos and } 1 \text{ neg})$	33.59	46.66	40.13
	PACIT $(2 \text{ pos and } 2 \text{ neg})$	28.66	45.85	37.26
T5-3B	SuperNI $(1 \text{ pos and } 1 \text{ neg})$	38.54	51.08	44.81
	SuperNI $(2 \text{ pos and } 2 \text{ neg})$	35.72	49.64	42.68
	PACIT $(1 \text{ pos and } 1 \text{ neg})$	43.09	52.11	47.60
	PACIT $(2 \text{ pos and } 2 \text{ neg})$	38.92	51.41	45.17

Table 5: The performance (ROUGE-L) on held-out set with different numbers of demonstration examples in zero-shot and few-shot inference settings. N pos and M neg: There are N positive examples and M negative examples in each training sample at most.

 the format is a broader capability across scales, while learning the input-label map- ping is enabled with scale [\(Wei et al.,](#page-9-16) [2023;](#page-9-16) [Pan et al.,](#page-9-17) [2023;](#page-9-17) [Kossen et al.,](#page-8-15) [2023\)](#page-8-15). We speculate that large model is better at learning input-output mapping than small model. When comparing different inference setups, we find that 512 the model tuned by PACIT is insensitive to labels at the inference stage for both small and large mod- els. This aligns with previous work's [\(Wei et al.,](#page-9-16) [2023\)](#page-9-16) observation that instruction-tuned models are more reliable on their own semantic priors so that they are less influenced by the labels presented in examples of ICL. All of the aforementioned ob- servations similarly apply to the SuperNI method, suggesting that ICIT shares similarities with in- context learning. We leave more in-depth studies as future work.

523 The Influence of Number of Demonstration **524** Examples. Humans can improve their ability to

complete downstream tasks by learning from more **525** demonstration examples. Therefore, we construct **526** experiments to explore whether more examples in **527** each data sample lead to better performance. The **528** results are shown in Table [5.](#page-6-1) We use the same **529** number of demonstration examples in both train- **530** ing and few-shot inference time. Overall, more ex- **531** amples consistently lead to performance degrada- **532** tion for both SuperNI and PACIT in zero-shot and **533** few-shot settings. For example, the performance **534** of Pacit on T5-770M and T5-3B drops by 2.86 **⁵³⁵** and 2.43 average ROUGE-L when switching from **536** a pair of positive and negative examples to two **537** pairs, respectively. We suspect with more demon- **538** stration examples, Pacit as well as SuperNI could **⁵³⁹** be misguided by interference among examples and **540** their spurious correlations. A similar phenomenon **541** has been observed in in-context learning. We refer **542** the readers to [Chen et al.](#page-8-16) [\(2023a\)](#page-8-16) for more detailed **543** discussions. **544**

Model	Testing Setting \rightarrow Training Setting \downarrow	Zero-Shot	Few-Shot	Avg ROUGE-L
T5-770M	SuperNI (Zero-Shot)	32.66	37.50	35.08
	SuperNI (Few-Shot)	23.08	40.54	31.81
	PACIT	32.62	41.16	36.89
T5-3B	SuperNI (Zero-Shot)	37.63	41.53	39.58
	SuperNI (Few-Shot)	36.38	43.09	39.73
	PACIT	37.95	44.23	41.09

Table 6: The Performance (ROUGE-L) with generated examples (by Self-Instruct) in zero-shot and fewshot inference settings.

545 The Performance of PACIT with Generated **Examples.** A limitation of PACIT is its reliance on positive and negative examples during train- ing. However, the positive and negative exam- ples are not readily available for many instruc- tion datasets. As human annotation is expen- sive and time-consuming, we tackle the prob- lem by leveraging automatically generated exam- ples from LLM. Specifically, we generate examples with the self-instruct [\(Wang et al.,](#page-9-6) [2023\)](#page-9-6) method, which is a framework for improving the instruction- following capabilities of LLMs by bootstrapping off their own generations. We choose the ChatGPT (gpt-3.5-turbo-0613) as the backbone LLM and set the temperature to 0.7 to improve the diver- sity of generated data. To create our example seed pool, we randomly select eight pairs of positive and negative examples in total from all examples of dif- ferent tasks. For each generation, we construct the prompt with task definition and few-shot demon- strations to generate new pairs of positive and neg- ative examples. The few-shot demonstrations con- sist of four pairs of positive and negative examples and their corresponding task definitions randomly sampled from the seed pool. In this way, we re- duce the number of annotated training examples from 1384 to 8. Due to the API expense of the proprietary LLM, we only construct 5040 training samples (84 different tasks with 60 training sam- ples each). The entire data template for generating new positive and negative examples is shown in the appendix [A](#page-10-0) (see Figure [5\)](#page-10-1).

 The performance with generated examples is shown in Table [6.](#page-7-0) As can be seen, with generated examples, Pacit improves over baseline without any examples (SuperNI (Zero-Shot)) by 1.81 Avg ROUGE-L on T5-770M and 1.51 Avg ROUGE-L on T5-3B, and vanilla in-context instruction tun- ing baseline (SuperNI (Few-Shot)) by 5.08 Avg ROUGE-L on T5-770M and 1.63 Avg ROUGE-L on T5-3B. These results are particularly impres-sive considering that the quantity of our samples

accounts for only 11% of the samples used in the **587** main experiment and the generated examples from **588** self-instruct are noisy [\(Wang et al.,](#page-9-6) [2023\)](#page-9-6). Fur- **589** thermore, we find that the improvement brought **590** by Pacit over SuperNI (Zero-Shot) is larger for **⁵⁹¹** T5-770B compared with T5-3B. This finding con- **592** trasts with the main experiments, where T5-3B **593** exhibits an additional 2.46 average ROUGE-L im- **594** provement over T5-770M. This disparity can be **595** attributed to small model's limited ability to learn **596** from the input-label mapping, as its performance **597** is less affected by noisy labels generated by self- **598** instruct. **599**

6 Conclusions **⁶⁰⁰**

In this paper, we introduce PACIT, an effective incontext instruction tuning approach that unlocks **602** the power of examples to enhance the instruction **603** following ability of LLMs. Inspired by the peda- **604** gogical observations, Pacit proposes to encourage **⁶⁰⁵** the model to actively learn and comprehend the **606** differences between the provided positive and neg- **607** ative examples rather than passively reading them. **608** The model completes a quiz to assess the correct- **609** ness of examples first and subsequently responds **610** to the main task instruction based on the grasp of **611** the examples. Experiments on SuperNI dataset **612** demonstrate the superior performance of PACIT 613 over competitive baselines. In our preliminary ex- **614** periment, Pacit is observed to improve the per- **⁶¹⁵** formance of instruction tuning with positive and **616** negative examples created with the self-instruct **617** method, which shows a promising approach for **618** better instruction tuning with large-scale instruc- **619** tion data. However, the generated examples with **620** self-instruct method need further filtering to en- **621** hance the performance of PACIT as the noisy exam- 622 ples may have negative impact on the performance. **623** We leave the exploration of filtering the augmented **624** data as well as scaling Pacit to larger models like **⁶²⁵** LLaMA-2-13B, LLaMA-2-70B and larger datasets **626** as future work. **627**

⁶²⁸ Limitations

 Compared with the vanilla instruction tuning 630 method without any example, the PACIT achieves better instruction following performance but has higher computation cost as both the input and output have more tokens. During inference, the computation overhead brought by the input ex- amples can be mitigated with efficient inference techniques for long context scenarios such as KV caching [\(Kwon et al.,](#page-8-17) [2023\)](#page-8-17). For a given task, the representations of examples are computed once and cached in the memory for future use, thus avoiding the recomputation of the examples for each instance. In addition, the proposed Pacit method requires both positive and negative exam- ples which are not readily available for many in- struction datasets. These examples can be cre- ated with human efforts, resulting in additional expenses. They can also be synthesized with self- instruct method or other LLM-based data augmen- tation methods. In this case, the generated data samples need to undergo additional filtering follow-ing the common practice of data augmentation.

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833 **A** Data Templates

1. Data Template for PACIT. Our proposed Pacit method takes the task definition, examples and instance input as the prompt. The model first generates the response to the auxiliary classifica- tion task and corresponding action of the provided examples. Based on the quiz result and action to be taken, the model then produces the outputs for the instance input for the given task.

842

Figure 4: The data template used for PACIT method.

 2. Data Template for Generating Exam-**ples with Self-Instruct.** When generating pos- itive and negative examples with the Self-instruct method, we randomly select four pairs of positive and negative examples in total from all examples of different tasks in the SuperNI dataset as in-context learning examples. We use ChatGPT (gpt-3.5- 0613) to generate a positive and negative example pair based on the prompt shown in Figure [5.](#page-10-1)

Figure 5: The data template for generating positive and negative examples with the Self-instruct method.

852