
A Data-efficient Multiobjective Machine Learning Method For 3D-printed Architected Materials Design

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Abstract

1 Architected materials that consist of multiple subelements arranged in particular
2 orders can demonstrate a much broader range of properties than their constituent
3 materials. However, the rational design of these materials generally relies on
4 experts' prior knowledge and requires painstaking effort. Here, we present a
5 data efficient method for the multiproperty optimization of 3D-printed architected
6 materials utilizing a machine learning (ML) cycle consisting of the finite element
7 method (FEM) and 3D neural networks. Specifically, we applied our method
8 to orthopedic implant design. Compared to expert designs, our experience-free
9 method designed microscale heterogeneous architectures with a biocompatible
10 elastic modulus and higher strength. Furthermore, inspired by the knowledge
11 learned by the neural networks, we developed machine-human synergy, adapting
12 the ML-designed architecture to fix a macroscale, irregularly shaped animal bone
13 defect. Such adaptation exhibits 20% higher experimental load-bearing capacity
14 than the expert design. Thus, our method opens a new paradigm for the fast and
15 intelligent design of architected materials with tailored mechanical, physical, and
16 chemical properties.

17 1 Introduction

18 Architected materials are one of the most widely adopted engineering materials. Due to their
19 excellent mechanical performance and adaptable properties, architected materials are very popular in
20 many fields, such as those of light-weight structures [1, 2, 3], acoustics [4], battery electrodes [5],
21 electromagnetics [6], and tissue engineering [7, 8]. Moreover, recent progress in 3D printing has
22 further enabled the customized and inexpensive fabrication of complex material geometries.

23 Despite the broad applicability and immense potential of architected materials, designing them
24 is particularly difficult. The traditional design method generally relies on numerical simulation,
25 theoretical analysis, and topology optimization. These undertakings are usually exhausting and time-
26 consuming, and the performance of resultant designs highly depends on the designer's professional
27 knowledge and their initial guesses [9, 10]. Recently, machine learning (ML) has merged as a
28 promising technique to circumvent this problem and find the optimal solution without any prior
29 knowledge requirements [11, 12, 13]. However, the proposed ML methods require massive amounts
30 of simulation data and mainly aim to solve 2D-structure-related problems. Efforts toward solving 3D
31 real-world problems are often obfuscated by the lack of credible data sources, the enormity of design
32 space and multidimensional complex patterns. Moreover, real-world design problems usually require
33 multiobjective property optimization under possible external constraints, yet the current ML methods
34 mostly attempt to solve unconstrained single-objective optimization problems.

35 Therefore, we propose an ML approach for data-efficient, multiobjective architected material design.
36 As demonstrated in Fig. 1(A to D), our approach consists of three main parts: 1) generative architec-

37 ture design (GAD). In this step, GAD leverages the encoder-decoder neural network (autoencoder) to
 38 generate architecture sets with unknown properties. Until recently, experimental discovery of archi-
 39 tected materials has relied on simple surrogate models and Bayesian optimization, which are limited
 40 to low-dimensional data, thus showing property improvements only after many iterations [14]. Unlike
 41 the Bayesian methods, the autoencoder learns an effective representation of the high-dimensional
 42 data in an unsupervised manner, which converts the exploration in a high-dimensional design space
 43 into a lower one. This method has been proven to be a revolutionary technique in materials discovery
 44 [15, 16]. However, to the best of our knowledge, this is the first time that a 3D convolutional
 45 autoencoder(3D-CAE) has been applied to 3D structure generation with high dimensionality (for
 46 details see Section A.3). 2) Multi-objective Active Learning (MALL). MALL evaluates the
 47 generated dataset and searches for the high-performance architecture by recursively querying the
 48 finite element method (FEM). Active learning describes a specialized ML algorithm that interactively
 49 queries an information source such that the algorithm identifies high-value data with fewer labeled
 50 data than typical ML [17, 18]. Such data efficiency is highly desirable since constructing a large
 51 dataset with known properties is very difficult both computationally and experimentally. 3) 3D
 52 printing and testing. Finally, we fabricate the ML-designed architected materials via a specialized 3D
 53 printing technique (laser powder bed fusion) and experimentally verify the corresponding mechanical
 54 properties. We call the overall method ‘GAD-MALL’.

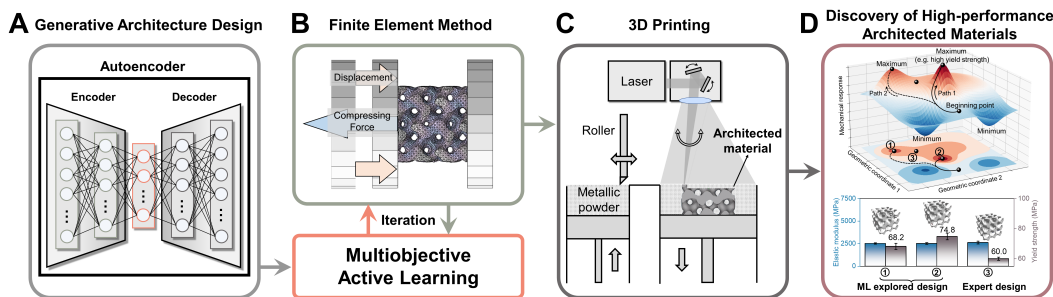


Figure 1: **An overview of the proposed workflow (GAD-MALL).** (A) The neural network proposes candidates with unknown properties. (B) The ML algorithm interactively queries the FEM to propose new designs. (C) The 3D printing technique fabricates the proposed architectural design. (D) GAD-MALL explores the design landscape of architected materials and discovers various high-performance architected materials.

55 2 Results

56 2.1 Multiobjective active learning algorithm

57 We applied the GAD-MALL approach to a multiproperty optimization problem with clinical im-
 58 portance - bone grafting implants. Bone is a typical architected material primarily consisting of
 59 cortical and cancellous parts, with elastic modulus (E) ranging from 0.03 to 30 GPa depending on
 60 the bone mineral density and varying according to age, sex, and race [19]. Although bone can repair
 61 itself, a bone defect of a critical size necessitates a grafting implant to support the load and induce
 62 bone growth. Metals are the first choice for bone implant materials due to their excellent mechanical
 63 properties. However, the E of the existing metal bulk materials is much greater than that of the
 64 bones (i.e., titanium – 100 GPa; iron - 200 GPa, etc.), which results in the stress shielding effect and
 65 impedes the recovery of the bone [20]. One effective solution is introducing a 3D-printed scaffold
 66 architecture to lower the E . The geometrical shape and mechanical properties of the scaffold should
 67 be comparable to those of the individual defective bone to provide reliable structural support and
 68 smooth stress conduction. Fig. 2(A) demonstrates a typical mechanical response of the scaffold.
 69 The slope of the linear section of the curve indicates E , which measures a material’s ability to resist
 70 external stress before being deformed permanently, and the yield point with 0.2% strain represents
 71 the yield strength (Y), which quantifies the maximum resistance before the onset of nonreversible
 72 deformation. Overall, the design tasks are multiobjective: First, the E of the replacement scaffold
 73 must match that of the bone. Second, The Y must be as high as possible to sustain bone movement. In

74 addition, the overall weight of the scaffold should not go beyond a certain threshold since a minimum
75 usage is always required considering long-term biosafety.

76 A cubic scaffold and its 3D-printed experimental counterpart are shown in inlets of Fig. 2(A).
77 To balance complexity and computing efficiency, we adopted the $3 \times 3 \times 3$ cubic arrangement of
78 the gyroid unit for the optimization task (see Methods for the structure generation). The gyroid
79 geometry is categorized in the triply periodic minimal surfaces (TPMS) family - it is an ideal porous
80 structure for bone scaffolds due to its high interconnectivity, smooth surface, and mathematically
81 adjustable geometrical attributes [21, 22]. Instead of a uniform-sized array of periodic subunits (the
82 expert design), the ML design introduced heterogeneity: GAD-MALL adjusts the size of the gyroid
83 unit (porosity) within the scaffold, resulting in a geometrical alteration that modulates the overall
84 mechanical properties.

85 Fig. 2(B) shows the models of the 3D convolutional neural network (3D-CNN) for the E and Y
86 prediction. The 3D-CNN was designated for volumetric data representation learning [23, 24]. It
87 included three main components: input, convolution, and output layers. At the input layer, the
88 scaffold structure was voxelized into $60 \times 60 \times 60$ pixels. A pixel can be in either the solid (1) or void
89 (0) phase in the scaffold. The convolution layers consisted of a series of 3D convolution kernels that
90 extracted high-level information about the scaffold, and the output layer provided the final prediction.
91 Finally, a training dataset was prepared using the protocol described in the Methods.

92 Fig. 2(C) illustrates the 3D-CAE with a typical two-neural network model, an encoder and a decoder.
93 Notably, the original $60 \times 60 \times 60$ scaffold structure was not used because the decoder could not
94 reliably recover the original gyroid geometry due to the nonzero reconstruction error. However,
95 thanks to the high mathematical controllability of gyroid geometry, we circumvented this problem
96 by adopting the porosity matrix, a 3D matrix representation ($3 \times 3 \times 3$) that uniquely determines the
97 overall geometry through Gyroid equations (see Methods). It measures the relative density (positive
98 scalars) rather than the actual shape of the gyroid subunits, thereby allowing nonzero reconstruction
99 errors. The encoder $q_\phi(z|x)$ with parameters ϕ compressed the porosity matrix into a hidden feature
100 representation (8-dimension) using the neural encoder. Then the decoder $q_\phi(x|z)$ with parameters ϕ
101 reconstructed the output from the 8-dimension hidden features. A lower-dimension (e.g., 4-dimension)
102 latent space was shown to suffer from high reconstruction error, while a higher-dimension (e.g.,
103 16-dimension) doubled the search space without a sufficient increase in reconstruction accuracy.
104 Ultimately, 8-dimensional represented a balance between loss and efficiency (Section A.2 and Fig. 7).

105 Fig. 2(D) shows the primary steps of the MALL workflow, which comprised three steps. First,
106 the scaffold generation was formulated as a process of sampling and reconstruction from the latent
107 representation z . The sampling process required the latent representation to be modeled as a con-
108 tinuous probabilistic distribution (Section A.2). Secondly, the decoder $q_\phi(x|z)$ reconstructed the
109 porosity matrices from the sampled latent points, which were then converted to their original shapes
110 in Cartesian space. The scaffold selection method was a variant of the epsilon-greedy search: in each
111 sampling iteration, we sampled 2000 data points and selected those whose 3D-CNN-predicted E met
112 the target and whose 3D-CNN-predicted Y exceeded the best data point in the current dataset, with a
113 chance of epsilon (5%) chances that the lower ones were chosen. The selected data points would still
114 be rejected if their weights were 15% higher than preset criteria. Such a search method generally had
115 a higher success rate than the Edisonian approach, which hinged on a trial-and-error search [25]. Last,
116 the FEM calculated the E and Y of the queried scaffolds, and the results would augment the dataset,
117 from which the 3D-CNNs were re-trained for the following active learning round. The workflow
118 stopped when all the preset criteria were met.

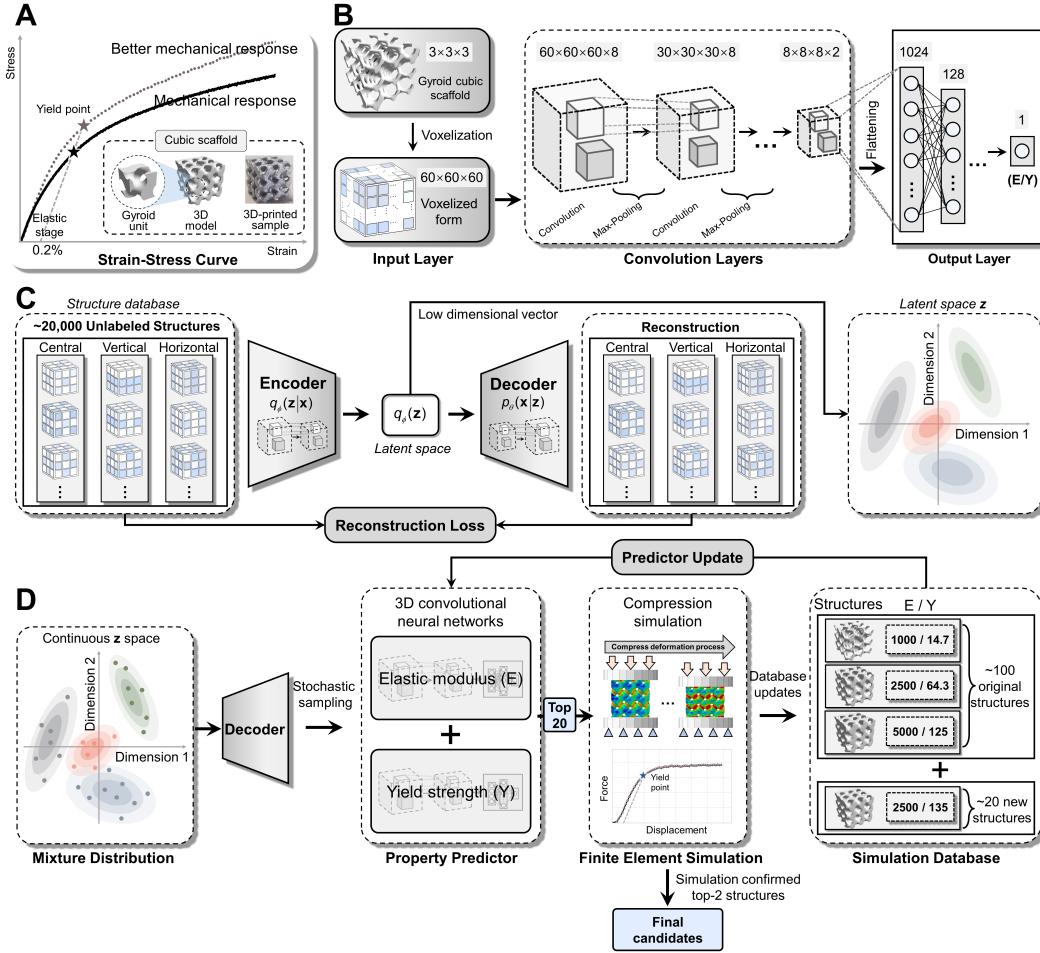


Figure 2: The workflow of multiobjective active learning. (A) The task was to design scaffolds with a better mechanical response - fixed E and maximized Y. (B) The 3D-CNN models for predicting E and Y. (C) The generative model for targeted scaffold generation. The encoder $q_\phi(z|x)$ with parameters ϕ took the scaffold porosity matrix as input and the decoder $p_\theta(x|z)$ with parameters θ could act as a generator for proposing new scaffolds based on the learned latent z representation. (D) The MALL for the high-performance scaffold discovery. First, the sampling algorithm sampled new data points from the latent z representation. Second, the decoder reconstructed the corresponding scaffolds so that the 3D-CNNs could infer their mechanical properties. Third, the most suitable candidates were selected based on the predicted E and Y. Finally, the strain-stress curves of the selected scaffolds were calculated by the FEM. New data were either fed back to the dataset or 3D-printed for further experiments.

119 2.2 Applications to orthopedic implants

120 The properties of the architected materials are determined by both the scaffold architecture and the
 121 constituent materials. For orthopedic implants, the orthopedic materials Ti6Al4V (Ti) and pure zinc
 122 (Zn) were used as the constituent materials. Ti alloy is bioinert in human bodies and has been the de
 123 facto choice for 3D-printed orthopedic implants, achieving successful clinical application to repairing
 124 bone defects. Biodegradable Zn provides an alternative option to bioinert materials and is regarded as
 125 promising for addressing the clinical concerns associated with permanent existence and secondary
 126 surgery [26]. Such features are especially desirable for bone regeneration. As both materials are
 127 worthy of investigation, to demonstrate the effectiveness and general applicability of the GAD-MALL
 128 framework, we designed two optimization tasks for both constituent materials and applied the learned
 129 design principle to the real bone replacement architecture. Specifically, the Ti alloy scaffolds were
 130 assigned a high E while the pure Zn scaffolds had a low E, indicating different clinical needs based

131 on the constituent materials. In addition, two tasks were given different initial data distributions to
 132 demonstrate that GAD-MALL can work under different initial conditions. Notably, all tasks were
 133 completed in one week with the current hardware setup, as tasks in the clinical scene are usually
 134 time-constrained. In the following section, we begin with the Ti cubic scaffolds.

135 2.2.1 A data-efficient route toward high-performance structure

136 To mimic the mechanical behavior of trabecular and compact bones, the task was to design high-Y
 137 scaffolds with $E = 2500$ MPa and 5000 MPa (E2500 and E5000). The expert-designed uniform
 138 scaffolds at $E = 2500$ MPa and 5000 MPa set the 'golden criteria' for the mechanical performance
 139 of the scaffolds. GAD-MALL stopped if the Y of the designed scaffolds significantly surpassed the
 140 golden criteria (termed the 'treasure' scaffold) or the learning process showed no further progress.
 141 The initially labeled dataset was composed of merely 75 data points (the simulation took ca. 7
 142 days, hardware specified in the A.1 section). Fig. 3B shows that the scaffolds had been precisely
 143 manufactured - the cross-sections of the microcomputed tomography (Micro-CT) of the scaffolds
 144 largely overlapped (92.2%) with that of the designs. Fig. 3(A and C) demonstrates the good
 145 performance of 3D-CNNs on the test dataset (uniformly sampled from the labeled dataset) in the 1st
 146 round and last round, in which both 3D-CNNs demonstrate high accuracy (R^2 ratio 0.92). A more
 147 detailed performance evaluation can be found in Section A.2.

148 Fig. 3(E) shows the overall data distribution in terms of E and Y with the treasure scaffolds indicated
 149 by blue stars. Each active learning iteration is characterized by colored eclipses. Fig. 3(D and F)
 150 demonstrates two distinct exploration paths for two different tasks. The E2500 exploration path
 151 shows a steady upward trend, and GAD-MALL quickly discovered the treasure scaffolds at the 3rd
 152 and 5th rounds with more than a 30% increase in Y. However, the E5000 task was more complicated
 153 - the learning process experienced a downhill before it recovered and found the treasure scaffolds.
 154 Specifically, the batches from 1st to 3rd round either fell out of the target E region or had inferior
 155 Y values. The 4th-round batch finally hit the target of E; albeit Y was not notably better than that
 156 of the expert designs. Finally, the treasure scaffolds were discovered on the 5 and 6th rounds. This
 157 oscillatory trend is likely due to the sparsity of data within this range (with only two initial data points
 158 available). The computed mechanical properties of the resultant designs are tabulated in Section A.5.

159 The experiments confirmed the discovery - the ML-designed scaffolds (A1-A4) showed better
 160 performance than the expert-designed scaffolds (H1 and H2, Fig.3(G)). For example, the experimental
 161 strain-stress curves of the A1 and H1 scaffold are also displayed in the inset (full detail in Section
 162 A.5). To understand the ML design, we further analyzed the ML-designed scaffold by extracting
 163 the corresponding regression activation map (RAM) and performing FEM mechanical analysis. As
 164 an illustrative example, we applied the RAM to the Y-predicting 3D-CNN to reveal the driving
 165 mechanism behind the high Y of the A1 scaffold. RAM is a variant of a classification activation map,
 166 that extracts the last convolutional layer to visualize the discriminative regions used by a 3D-CNN to
 167 predict the output [27]. In this case, the RAM highlights the scaffold's spatial characteristics that
 168 correlate to its mechanical strength, identifying the regions that contribute to the enhancement of
 169 strength. Fig. 3(H) demonstrates the A1 scaffold geometrical structure, the corresponding porosity
 170 matrix and the RAM. The RAM implies that the 'attention' distribution extracted from the 3D-CNN
 171 resembled a heterogeneous 'face-centered' lattice. Indeed, a closer look at the A1 scaffold revealed
 172 that the gyroid units at each face center of the scaffold show a minimal porosity (0.3). This observation
 173 indicates that instead of uniformity, a heterogeneous scaffold with more materials distributed at the
 174 face centers could significantly enhance the strength. Moreover, from a macroscopic point of view,
 175 the strength of a typical porous structure can be approximated by the Gibson-Ashby equation [28]:

$$Y = C(1 - p)^\alpha Y_0 \quad (1)$$

176 where Y_0 stands for the strength of the constituent material, C represents a geometry-related parameter,
 177 p is the porosity of the unit, and the exponent α relates to the deformation behavior of the structure.
 178 According to the FEM calculated data in Table S4, we fitted the curve of strength Y as a function of
 179 p for ML and expert-designed scaffolds and found: $a_{ML} = 2.11$, $a_{ED} = 1.86$, $C_{ML} = 0.84$ and $C_{ED} = 0.64$,
 180 in which ED stands for expert design.

181 The ML-designed scaffold had a larger a and C than the expert design. Generally, increasing the
 182 mechanical anisotropy of a porous structure leads to an increase in the exponential factor a ; while an
 183 increase in parameter C can be found in the material distribution in favor of the load direction [29].

184 Microscopically, FEM analysis confirmed the above observation. Fig. 3(I) shows the distribution
 185 of von Mises stress and hydrostatic pressure of the A1 and H1 scaffolds. Compared with the H1
 186 scaffold, the A1 scaffold endures a much weaker effect of stress concentration; moreover, more struts
 187 of the A1 scaffolds are compressed rather than stretched. The ML model preferentially places more
 188 materials on the face center of the scaffolds, which optimizes the stress distribution and improves the
 189 structural strength with increasing limited mass. Hence, GAD-MALL was able to find the optimal
 190 architectures by efficiently learning from a few initial data points.

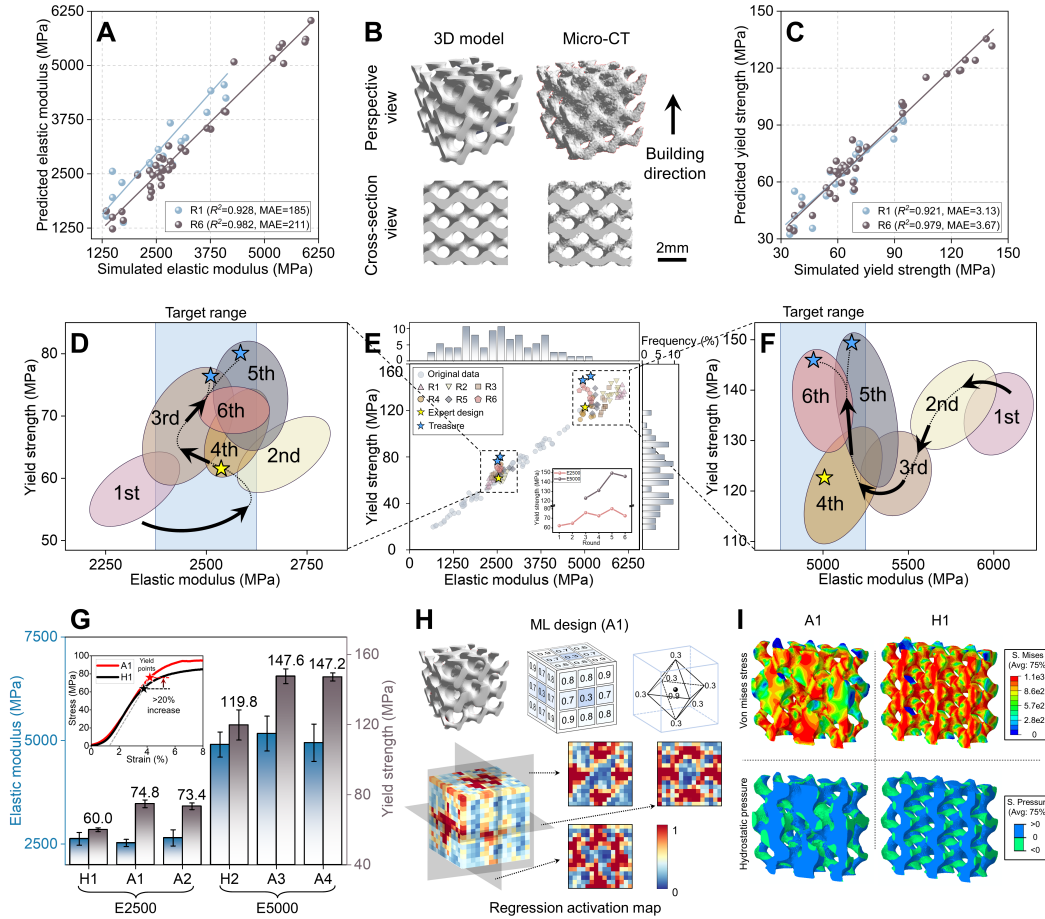


Figure 3: Data-efficient learning of high-performance scaffolds. (A and C) The regression plots (1st and last rounds of active learning) of the 3D-CNNs for E and Y. Both 3D-CNNs demonstrate excellent accuracy on the testing set, showing low mean absolute error (MAE) and high R^2 ratio. (B) Micro-CT shows that the designated scaffolds were accurately manufactured. (D to F) The overall data distribution in terms of the E-Y plot. The colored eclipses indicate the area covered by 6 rounds of active learning data, and the learning paths are marked by black arrows. (G) Comparison of the experimental E and Y between ML-designed (A1, A2 for E2500 and A3, A4 for E5000) and expert-designed (H1 for E2500, H2 for E5000) scaffolds. The Y of the ML-designed scaffolds was obviously higher than that of the expert designs. (H) The upper figures show the mathematical model of the A1 scaffold and its porosity matrix. The lower figures contain the 3D view and three cross-section views of the RAM. The RAM reveals a 'face-centered' lattice in the A1 scaffold, implying its prominent role in enhancing the Y. This face-centered lattice is displayed in the upper right part of the figure. (I) Numerical compression analysis. Here we show the y-z cross-sections of A1 and H1 scaffolds in terms of von Mises stress and hydrostatic pressure under 10% deformation.

191 **2.2.2 Learning without prior data at target range**

192 To demonstrate the robustness of the GAD-MALL approach, we designed a learning task by which
 193 GAD-MALL found the appropriate scaffolds 'from scratch' - the initial Zn dataset did not contain
 194 any prior data points in the target range by design. The task of this section was to design high-Y
 195 scaffolds at $E = 500$ MPa and 1000 MPa (E500 and E1000) targeting to replacement of cancellous
 196 bone. Again, the expert-designed scaffolds at $E = 500$ MPa and 1000 MPa set the 'golden criteria'.

197 Fig. 4(A to C) illustrate the E-Y distribution of the initial data (marked in gray) and the results
 198 from each active learning round characterized by colored eclipses. Fig. 4(B) demonstrates that the
 199 GAD-MALL exploration paths of the missing data were complicated, exhibiting back-and-forth
 200 trends. For the E500 task, the E distribution of the 1st round shows a significant standard deviation.
 201 It is noteworthy that some scaffolds from the 1st round had already reached the target $E \approx 500$ MPa.
 202 The 2nd round shows improvement - the overall standard deviation was significantly reduced (from
 203 52 to 19 MPa). While all scaffolds' E located at approximately 500 MPa, the Y values were still 30%
 204 less than the golden criteria. In the following rounds, the exploration path reached a plateau, and
 205 the selected candidates were slightly better than the golden criteria (14.8 MPa). The E500 task was
 206 terminated after the 5th round since no further progress was observed (see inset). The detailed results
 207 of each learning round are described in Section A.2.

208 On the other hand, GAD-MALL excelled at the E1000 tasks, outperforming the golden criteria by a
 209 large margin. More specifically, the 1st round already showed promising results, in which all scaffolds
 210 exhibited the targeted E, although with slightly worse Y ($\approx 10\%$). The subsequent round witnessed a
 211 significant decrease in porosity (Section A.2), which in turn remarkably enhanced Y. However, the
 212 reduced porosity resulted in another problem - the E increased to $1200 \sim 1400$ MPa. GAD-MALL
 213 incorporated this knowledge into the database in the subsequent learning process. Eventually, the
 214 average porosity increased, and the treasure scaffolds were discovered in the 3 and 4th rounds. The
 215 entire learning process took approximately 9 days, and the mechanical properties of the resultant
 216 designs are tabulated in Section A.5.

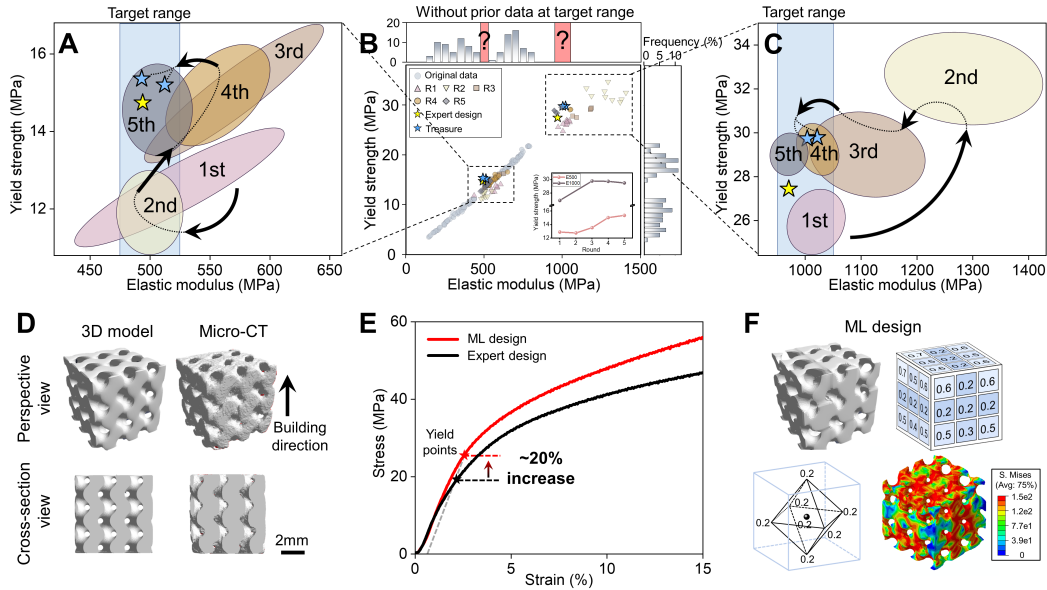


Figure 4: **Learning without prior data at the target range.** (A to C) The E-Y distribution. The colored eclipses indicate the area covered by 5 rounds of active learning data and black arrows specify the learning paths. (D) Micro-CT shows that the designated Zn scaffolds were manufactured with good precision. (E) The experimental strain-stress curves of the ML and expert-designed scaffolds. The ML design yielded a 20% increase in Y. (F) The porosity of the ML-designed scaffold reached the lower limit (0.2) at the face centers and the center of the scaffold. Similar to the ML-designed Ti scaffold, the compression analysis shows that the low-porosity units of the ML-designed Zn scaffold have higher stress concentrations.

217 Fig. 4(D) illustrates the model and micro-CT of an exemplary ML-designed scaffold (more ML
 218 designs see Section A.5). From the cross-section view, the model and manufactured sample were
 219 shown to agree with each other. The ML-designed scaffolds were manufactured, and their mechanical
 220 properties were measured experimentally (Fig. 4(E)). The ML design had a significant performance
 221 advantage over the expert design, whose Y (26.4 ± 0.7 MPa) exceeded the golden criteria ($21.7 \pm$
 222 1.8 MPa) by a large margin of 21.6%, with a slightly lower porosity (full detail in Section A.5). As
 223 the E and Y of the bulk Zn were less than those of the Ti alloy, the Zn scaffold still had a lower
 224 porosity even though the target E was only 1000 MPa. Similar to the Ti scaffold, the FEM analysis in
 225 Fig. 4(F) shows that the low-porosity face-centered units in the ML-designed scaffold had higher
 226 stress concentrations, leading to enhanced strength. Since the face-centered and the central unit of
 227 the Zn scaffold had reached the lower limit (porosity = 0.2) and the excess weight was allocated to
 228 the central and the ridge center unit of the cubic scaffold, the E of the scaffold did not hit the targeted
 229 E range ($E = 1000 \pm 100$ MPa). Thus, the central and ridge-center units promoted E to the target
 230 range, without decreasing Y .

231 In this task, we showcased that GAD-MALL was able to find the optimal architecture even when
 232 the initial data distribution and the constituent material are considerably different from the previous
 233 section. Such robustness is highly desirable since clinical situations can be variable-the patient data
 234 (target material and mechanical range) are often unknown beforehand and the initial data can have
 235 various distributions.

236 2.3 ML-inspired anatomic bone implants

237 Most real-world bone implants require scaffolds in anatomical shapes that fit to the defective bone.
 238 Fig. 5(A and B) shows a large, irregular-shaped bone defect in a New Zealand rabbit model animal
 239 model - a defect of critical size (30 mm) occurred in the middle part of the tibia. Fig. 5(C) shows
 240 the 3D shape of the tibia, which was acquired through micro-CT scanning. It is difficult and time-
 241 consuming to find the optimal scaffold architecture to fit the shape, whether by experimental or by
 242 numerical trials, since there are many possible choices. Here, we demonstrate how a machine-learned
 243 design principle can be readily adapted to a clinical scene through a facile machine-human design
 244 workflow.

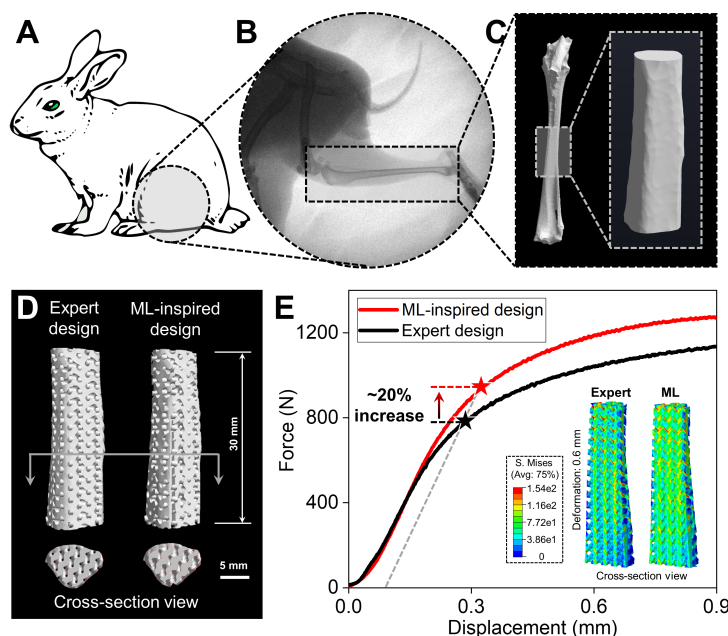


Figure 5: **Anatomic bone fixation with ML design.** (A and B) A 30 mm bone defect in the middle part of the tibia in a New Zealand rabbit. (C) Micro-CT of the tibia. (D) Cross-sectional view of ML-inspired and expert design. (E) Experimental displacement-force curves of the ML-inspired design versus expert design. The inset shows the cross-sections of von Mises stress under 0.6 mm deformation for both designs.

245 Concretely, to use the ML-designed cubic scaffold for a larger implant for large, irregularly shaped
246 bone defect fixation, our workflow constituted the following two steps: 1) Using the ML-designed
247 cubic scaffold as the basic unit, we manually created a cuboid of $3 \times 3 \times 9$ units with width, length,
248 and height of 18 mm, 18 mm, and 54 mm respectively. 2) Subsequently, we caved out an irregularly
249 shaped scaffold from the interior of the cuboid that matched the bone shape (shown in Fig. 5(D)).
250 The detailed workflow is described in Section A.6. The resultant implant design and its 3D-printed
251 counterpart are illustrated in the inset of Fig. 5(E). The mechanical behaviors at the macroscale could
252 be characterized by the displacement-force curves in Fig. 5(E), which confirmed that the stiffness of
253 expert-designed and ML-inspired implants were almost the same, while the ML-inspired implant's
254 load-bearing capacity (indicated by stars) was considerably higher (20%). The von Mises stress
255 distribution, given in the inset of Fig. 5(E), showed that the overall stress (under 6% deformation)
256 of ML-inspired design was considerably higher than that of the expert design. With the same bone
257 shape and deformation, the higher inner stress of the ML-inspired design indicated stronger support
258 of the bone implant. Therefore, the strengthening effect of ML-learned face-centered lattice was
259 accumulative; a large structure made up of many individual strengthened cubes still demonstrated
260 better load-bearing capacity than the expert design of the same scale.

261 3 Discussion

262 This work demonstrates a multiobjective active learning approach for designing 3D-printed architected
263 materials with generative models and 3D neural networks. With only 75 initial fine-tuned FEM
264 simulation data points, our approach quickly discovered high-performance architected materials.
265 Thus, by fusing high-precision simulation, ML, and 3D printing, our framework was developed
266 into a powerful and robust tool that excels at complex multiobjective architecture optimization. It
267 represents a data-efficient, intelligent method that requires no prior knowledge and can be readily
268 adopted in wide-ranging architected materials applications. In this study, porosity is the only variable;
269 in the future, our method can be extended to more advanced intelligent designs of geometrically
270 complex metamaterials [30]. For example, one can either set new optimization objectives with the
271 same algorithm (e.g., weight reduction, etc.) or introduce more architectural degrees of freedom
272 such as the geometries of subunits to design 3D-printed materials with exotic architectures and
273 customized properties. Furthermore, our framework provides interpretable patterns that bring new
274 insights into the design philosophy of multidimensional architected materials. As a proof of concept,
275 we demonstrated that ML-obtained knowledge from a relatively simple problem setting can be
276 readily adapted to a complex, real-world scenario. Here, we developed a synergistic machine-human
277 design methodology that uses machine-learned small-scale, regular structures as subunits to create
278 large-scale, irregularly shaped architecture. In principle, such synergy can be extended to other types
279 of architected materials. Overall, we anticipate that our methodology can be used for designing novel
280 3-D architectures where optimal responses to various stimuli are desirable, including mechanical,
281 thermal, and chemical conditions or application requirements.

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416 Checklist

- 417 1. For all authors...
- 418 (a) Do the main claims made in the abstract and introduction accurately reflect the paper's
 419 contributions and scope? [Yes]
- 420 (b) Did you describe the limitations of your work? [Yes] See Section 3.
- 421 (c) Did you discuss any potential negative societal impacts of your work? [No] We
 422 supposed no negative societal impacts.
- 423 (d) Have you read the ethics review guidelines and ensured that your paper conforms to
 424 them? [Yes]
- 425 2. If you are including theoretical results...
- 426 (a) Did you state the full set of assumptions of all theoretical results? [N/A]
- 427 (b) Did you include complete proofs of all theoretical results? [N/A]
- 428 3. If you ran experiments...
- 429 (a) Did you include the code, data, and instructions needed to reproduce the main experi-
 430 mental results (either in the supplemental material or as a URL)? [Yes] See Section A.
- 431 (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they
 432 were chosen)? [Yes] See Section A.1.5.
- 433 (c) Did you report error bars (e.g., with respect to the random seed after running experi-
 434 ments multiple times)? [N/A]
- 435 (d) Did you include the total amount of compute and the type of resources used (e.g., type
 436 of GPUs, internal cluster, or cloud provider)? [Yes] See Section A.1.5.
- 437 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
- 438 (a) If your work uses existing assets, did you cite the creators? [Yes]
- 439 (b) Did you mention the license of the assets? [N/A]
- 440 (c) Did you include any new assets either in the supplemental material or as a URL? [Yes]
 441 See Section A and <https://github.com/DeepHeisenberg/GAD-MALL>.
- 442 (d) Did you discuss whether and how consent was obtained from people whose data you're
 443 using/curating? [N/A] All data was generated by ourselves.
- 444 (e) Did you discuss whether the data you are using/curating contains personally identifiable
 445 information or offensive content? [N/A] We supposed no personally identifiable
 446 information or offensive content.
- 447 5. If you used crowdsourcing or conducted research with human subjects...
- 448 (a) Did you include the full text of instructions given to participants and screenshots, if
 449 applicable? [N/A]
- 450 (b) Did you describe any potential participant risks, with links to Institutional Review
 451 Board (IRB) approvals, if applicable? [N/A]
- 452 (c) Did you include the estimated hourly wage paid to participants and the total amount
 453 spent on participant compensation? [N/A]

454 **A Appendix**

455 **A.1 Methods**

456 **A.1.1 TPMS structure generation**

457 Triply periodic minimal surfaces (TPMS) and related structures are widespread in natural biological
458 systems [31, 32, 33]. TPMS is considered to be the ideal geometric shape to describe the biological
459 form of the human skeleton [34]. Numerous studies have shown that the curved surfaces of TPMS
460 contribute to enhanced plasma membrane elongation during cell crawling and spreading [35, 36]. In
461 this study, we adopted the Gyroid minimal surface structure, which is a member of the TPMS family.
462 In addition to these above-mentioned advantages of TPMS, the unique helical surface structure of the
463 Gyroid unit makes the force distribution more uniform, leading to its excellent mechanical properties.
464 The equation of Gyroid surface is as follows [37]:

$$\phi_G \equiv \sin X \cos Y + \sin Y \cos Z + \sin Z \cos X = c \quad (2)$$

465 The equation $\phi(X, Y, Z)$ defines a surface evaluated at the isovalue (i.e., level-set constant) c and
466 has a topology similar to that of a minimal surface. $X = 2\alpha\pi x, Y = 2\beta\pi y, Z = 2\gamma\pi z$, α, β , and γ are
467 constants related to the unit cell size in the x, y and z directions, respectively. In this work, we created
468 the Gyroid lattice based on the minimal surface by considering one of the volumes divided by the
469 surface as the solid domain and the other as the void domain. This was done by considering the
470 volume bounded by the minimal surface such that $\phi(X, Y, Z) > c$ to create a solid-network lattice. The
471 porosity of Gyroid lattices can be graded by varying the value of the level-set constant c spatially in
472 the Cartesian space depending on a certain function or tabulated data such that [38]:

$$\phi_G > c(x, y, z) \quad (3)$$

473 To achieve a smooth transition between units on the edge (Section A.3, Fig. 11), we describe the
474 iso-value as a linear function along one of the Cartesian coordinates such that $c = Ax + B$ where A and
475 B are constants. This smooth transition is a prerequisite for representing the actual geometry shape
476 using a porosity matrix.

477 The scaffold contains 27 Gyroid sub-units in total, arranged as a $3 \times 3 \times 3$ cubic. The geometry of
478 the scaffold is controlled by the $3 \times 3 \times 3$ porosity matrix. The porosity c of each sub-unit can take
479 discrete values from 20 to 80 %, with the increment of 10 %.

480 **A.1.2 Dataset generation**

481 The unlabeled dataset consisted of 18000 data points and was generated for the training of the 3D-
482 CAE. In principle, the porosity of a sub-unit can take any value from 0 to 1. Therefore, the possible
483 arrangement is infinite. To simplify the problem, we allow the scaffold's porosity takes discrete values
484 from 10 to 80 % with an interval of 10% (more detail is described in the TPMS structure generation
485 section). Nevertheless, there are still 7^{27} possible combinations in the design space. Three thousand
486 matrices of various porosities were generated at each interval. For each interval, there are three kinds
487 of symmetry in the database (Section A.3): central, vertical, horizontal, and random arrangement.
488 The porosity matrices also have three kinds: $2 \times 2 \times 2$, $3 \times 3 \times 3$ and $4 \times 4 \times 4$, which then all expand
489 to a $12 \times 12 \times 12$ matrix (Section A.3, Fig. 12(B)). In such a way, our 3D-CAE can generate three
490 different kinds of porosity matrices. This study chose the $3 \times 3 \times 3$ arrangement to balance structural
491 complexity and computational efficiency; nonetheless, our GAD-MALL can handle three different
492 scaffold arrangements in principle.

493 For the labeled dataset, the labels (the elastic modulus (E) and yield strength (Y) of the corresponding
494 scaffolds) were computed by the finite element method (FEM), whose accuracy was verified through
495 careful calibration with experimental data. It was confirmed that the deviations between experiment
496 and simulation were less than 10% (see Section A.3).

497 **A.1.3 3D printing and compression tests**

498 The performance of powder shows much influence on formation quality of 3D-printed products.
499 Spherical Ti6Al4V (Ti) powders with few satellite particles with good flowability were applied for

500 3D printing. The powder sizes of D10, D50 and D90 in statistics were 23.9, 37.8 and 58.5 μm
501 respectively. The Ti scaffolds with the size of $6 \times 6 \times 6$ mm were additively manufactured by
502 laser powder bed fusion (LPBF) process using an EOS M290 machine in this work. The processing
503 chamber was filled with argon gas to avoid harmful reactions. The key LPBF parameters used were
504 as follows: the laser power of 280 W, the laser scanning speed of 1200 mm/s, and the layer thickness
505 of 30 μm . After heat treatment at a temperature of 800 $^{\circ}\text{C}$ for 2 hours and cooled in a furnace, the Ti
506 scaffolds were surface treated by sandblasting. The Ti6Al4V sand with an average grain size of 106
507 μm was used in the sandblasting process. Uniformly blasted the outer surface of the Ti scaffolds to
508 remove the adhered powder particles, with a pressure of 0.6 MPa at the outlet of the spray gun. The
509 relative density of the composing struts in the Ti scaffolds was greater than 99.5%.

510 The pure zinc (Zn) powder sizes of D10, D50 and D90 in statistics were 10.2, 19.6 and 39.4 μm
511 respectively. The Zn scaffolds of $6 \times 6 \times 6$ mm were processed using a BLT S210 machine. The
512 processing chamber was filled with argon gas and a gas circulation system was employed to inhibit
513 the negative effect of vaporization during the LPBF process. The Zn scaffolds were fabricated with a
514 laser power of 40 W, a laser scanning speed of 500 mm/s, and a layer thickness of 0.03 mm. Chemical
515 etching with 5% nitric acid and 5% hydrochloric acid (RT, 2 min) was applied to remove the adhered
516 powder particles, and the relative density of the composing struts in Zn scaffolds reached 98.5%.

517 Compression tests were conducted using an Instron machine (10 kN load cell) at a crosshead speed
518 of 1mm/min at room temperature. The compress direction was parallel with the building direction.
519 Three replicas were manufactured in order to ensure reproducibility.

520 **A.1.4 Numerical simulation parameters**

521 We performed the compression simulation on a CPU (Intel Xeon Gold 6226R Processor) with
522 32-Core and 64-Thread using ABAQUS/Explicit software [39]. The FEM was based on the same
523 rigid-cylinder and deformable-implant-structure model. The material was homogeneous, and the
524 Poisson's ratio was 0.25. The E was set to 5 GPa and the Y to 120 MPa based on the compression
525 experiments of the block pure Zn prepared by LPBF. Ductile damage was used to simulate the plastic
526 deformation to the failure stage. Fracture strain was set as 0.03, and the effects of triaxiality deviation
527 and strain rate were neglected. We extracted displacements and forces in post-processing and then
528 converted them to strains and stresses, respectively.

529 **A.1.5 Machine learning algorithms**

530 The 3D-CAE consisted of an encoder and decoder. The encoder was composed of 3 3D convolutional
531 layers (Conv3D). The input size was (12, 12, 12, 1). The first, second, third, and fourth layers
532 contained 60, 30, and 15 filters. Three max-pooling layers between the convolutional layers were
533 responsible for the down-sampling. For example, one max pooling layer reduced the size of Conv3D
534 from (12, 12, 12) to (6, 6, 6), shrinking each (2, 2, 2) box down to (1, 1, 1), and taking the maximum
535 as its value. The size of the final layer is (3, 3, 3, 15). Another max-pooling reduced it to the hidden
536 representation (1, 1, 1, x), where x represents the dimension. The decoder is of the same Conv3D
537 architecture, but with up-sampling, converting the hidden feature (1, 1, 1, x) back to (12, 12, 12, 1).
538 Reconstruction loss was the mean square error (MSE) between input and output.

539 The 3D-CNN model consisted of 3 convolutional layers. The first, second, and third layers contain 8,
540 4, and 2 filters, respectively; three max-pooling layers are located behind each convolutional layer.
541 Finally, before reaching the output node, the last layer was flattened into 1048 neurons, followed by a
542 series of fully connected layers (128, 64, 32). The activation function was the exponential linear unit.
543 Moreover, the loss function was the mean square error. The program was written using Keras and
544 Tensorflow [40]. We trained the 3D-CAE and 3D-CNNs using a GPU (NVIDIA GeForce RTX 3080)
545 with 10GB of memory. The training results and performance evaluation of both the 3D-CAE and
546 3D-CNNs can be found in Section A.2.

547 **A.2 Model performance evaluation**

548 The task in this study can be mathematically formulated as follows:

$$\begin{aligned}
& \text{Find } x \in H \\
& \text{To the mapping } f : H \rightarrow Y \text{ and } g : H \rightarrow E \\
& \text{Such that } x \operatorname{argmax}_{x \in H} (f(x)) \text{ and } g(x) E_{\text{target}} \\
& \text{Under the constraint: weight fixed constant}
\end{aligned} \tag{4}$$

549 H is the scaffold design space; f and g are the mappings of scaffold design to its corresponding Y and
550 E. Fig. 6 shows a visualization of the exploration path.

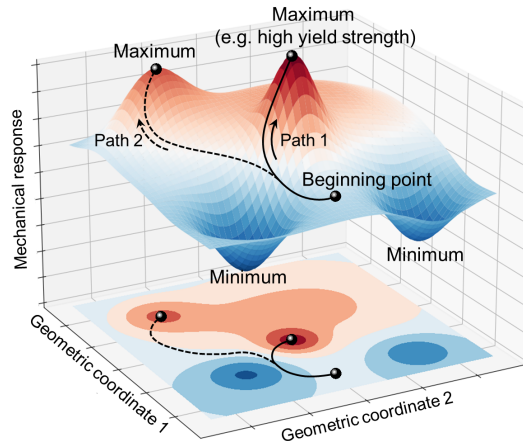


Figure 6: **Representation of the constrained multi-objective optimization task in this study.** The contour surface represents the arrangement with equal E. The task is to find the maximum yield point on this surface.

551 Fig. 7 shows the training history of 3D-CAE with eight latent dimensions. The loss quickly dropped to near zero after 60 epochs. The histogram (inlet) shows that the loss of 4-dimension latent space was high, while sampling from the 16-dimension was time-consuming. 8-dimension reached a balance between loss and efficiency.
552
553
554

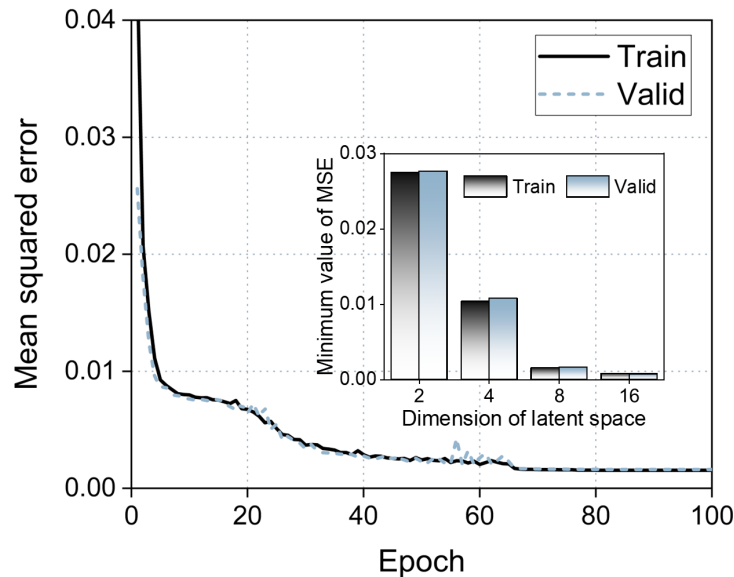


Figure 7: **Training of 3D-CAE.** Training history of 3D-CAE. The loss reaches almost zero after 60 epochs; inlet shows the histogram of the loss v.s. the latent space dimension.

555 Fig. 8 and 9 demonstrate the performance evaluation of 3D-CNNs (for the E and Y) on the Ti and Zn
 556 test dataset. Both 3D-CNNs show high accuracy in the regression tasks (R^2 ratio 0.98) at each active
 557 learning iteration. The test dataset was uniformly sampled from the labelled dataset.

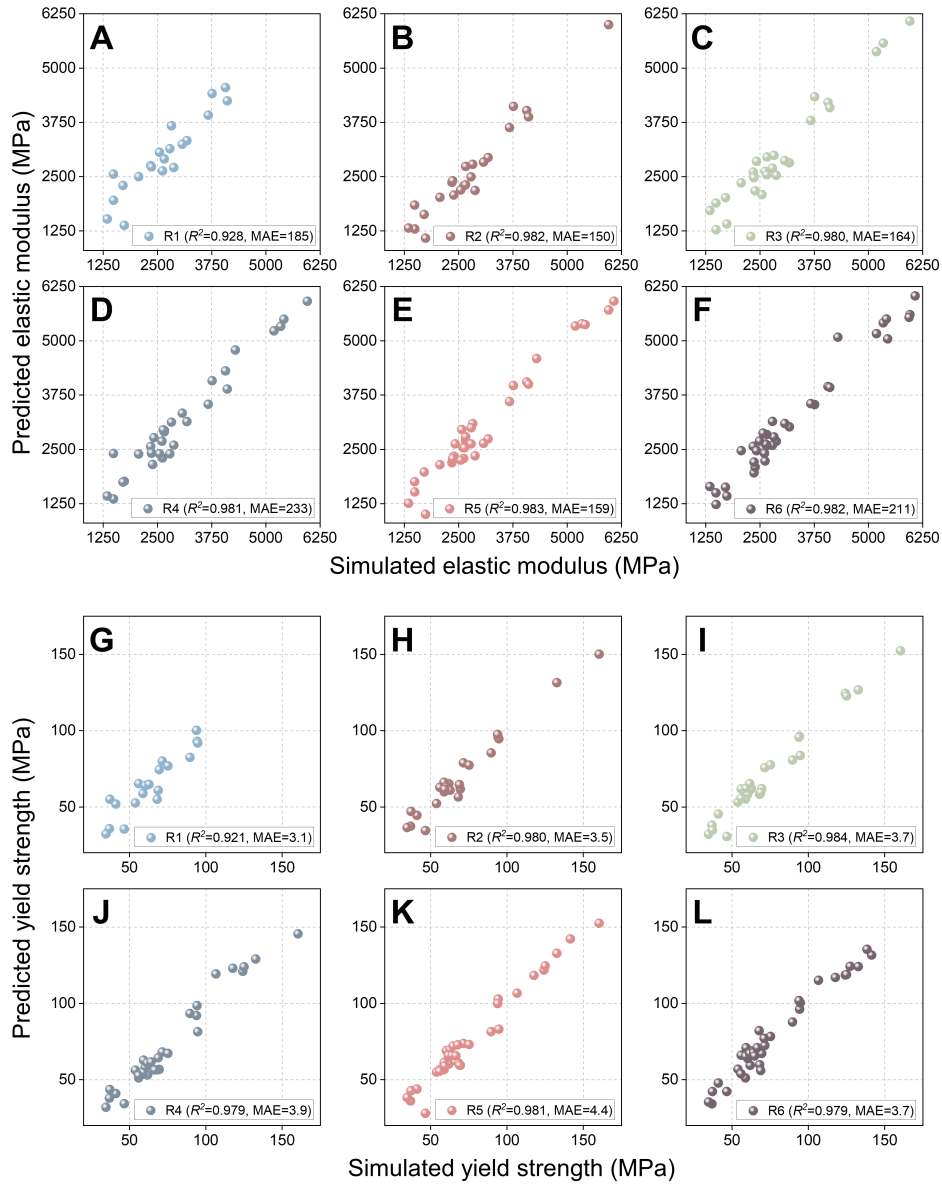


Figure 8: **Performance evaluation of 3D-CNNs on the Ti test dataset.** (A to L) show the R^2 and mean average error (MAE) of 3D-CNNs from round 1 to 6, (A to F) refer to the E and (G to L) refer to the Y.

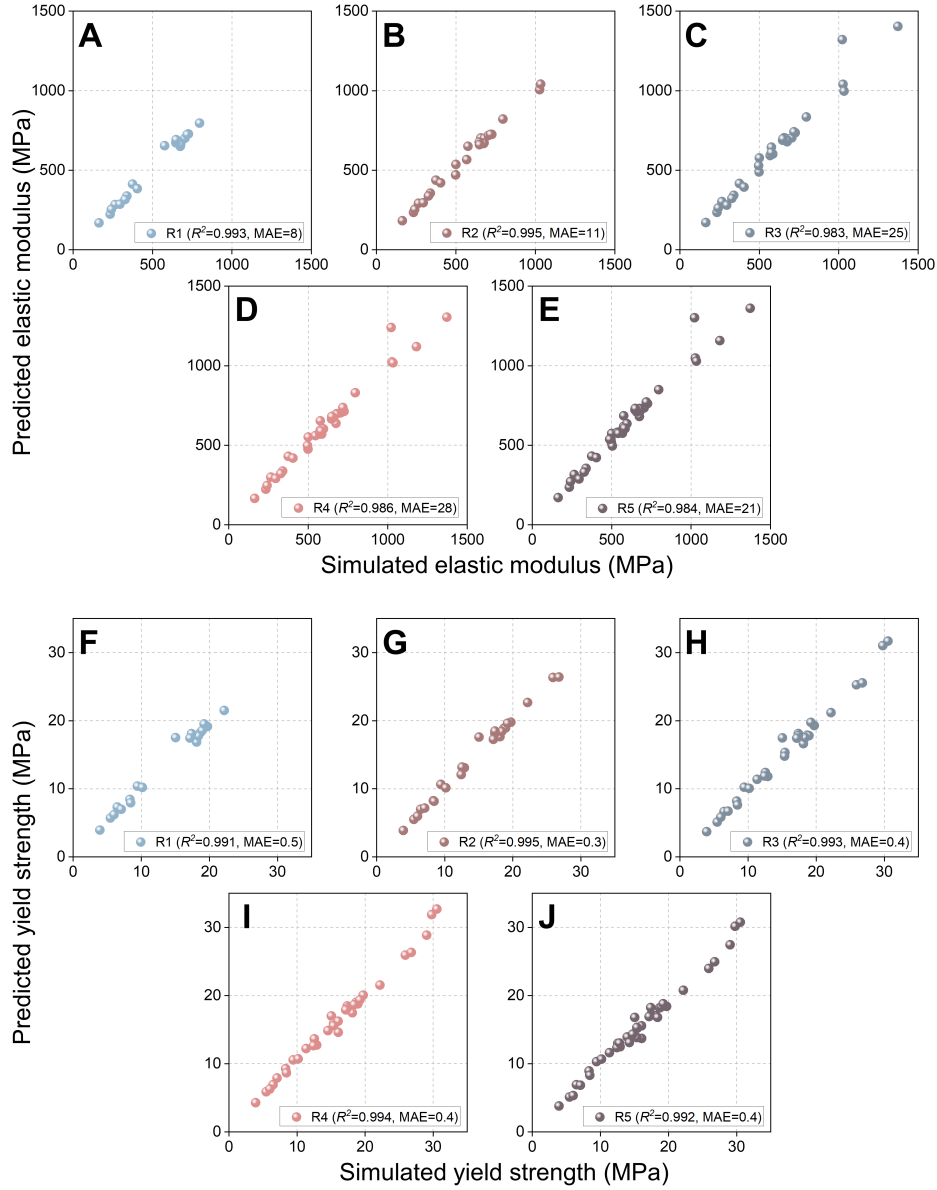


Figure 9: **Performance evaluation of 3D-CNNs on the Zn testing dataset.** (A to J) show the R^2 and mean average error of 3D-CNNs from round 1 to 5, (A to E) refer to the E and (F to J) refer to the Y.

558 The Gaussian mixture model (GMM) was used to estimate the density in the latent z space (i.e., the
559 marginal posterior $q_\phi(z)$). GMM is a density estimation model that uses a mixture of a finite number
560 of Gaussian distributions with unknown mean and covariance to fit the data points. The number of
561 Gaussian distributions is usually determined via the empirical elbow method. The elbow method
562 is a heuristic used in determining the Pareto fronts in multi-objective optimization, in this case, it
563 was used to determine the potential optimal number of Gaussian). As shown in Fig. 10, the average
564 negative log-likelihood was plotted as a function of the number of Gaussian and we selected 4 as it
565 represents the ‘elbow’ of the curve.

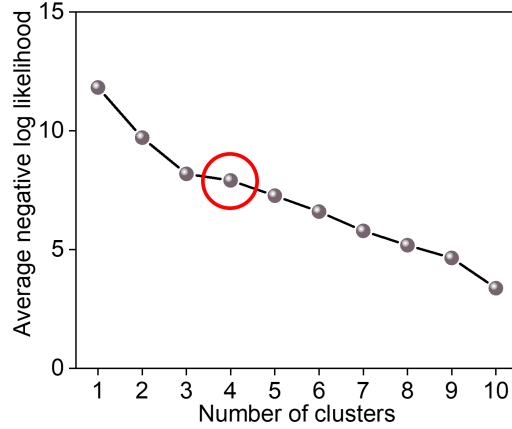


Figure 10: The average negative log-likelihood versus the number of clusters in the GMM.

566 Table 1 and 2 contain the result of each learning iteration for the Ti and Zn cubic scaffolds, respectively.

Table 1: The Ti cubic scaffolds - Mean and standard deviation of the E and Y at each iteration

	E2500 Task			E5000 Task		
	Elastic modulus (MPa)	Yield strength (MPa)	Porosity (%)	Elastic modulus (MPa)	Yield strength (MPa)	Porosity (%)
Iteration 1	2311 ± 73	57.7 ± 3.3	74.1 ± 0.3	6226 ± 408	139.7 ± 11.8	57.3 ± 1.7
2	2684 ± 69	62.6 ± 3.1	71.8 ± 0.7	5789 ± 142	136.6 ± 5.3	57.3 ± 0.3
3	2423 ± 59	65.1 ± 5.3	70.6 ± 1.2	5526 ± 122	124.8 ± 6.8	58.5 ± 0.3
4	2570 ± 47	66.6 ± 3.9	70.3 ± 0.7	5072 ± 147	119.1 ± 5.8	60.4 ± 0.6
5	2686 ± 156	69.4 ± 5.2	69.6 ± 1.3	5271 ± 81	129.4 ± 9.8	57.0 ± 1.3
6	2566 ± 47	70.0 ± 1.7	70.1 ± 0.3	5059 ± 128	136.0 ± 5.9	57.5 ± 0.9

Table 2: The Zn cubic scaffolds - Mean and standard deviation of the E and Y at each iteration

	E500 Task			E1000 Task		
	Elastic modulus (MPa)	Yield strength (MPa)	Porosity (%)	Elastic modulus (MPa)	Yield strength (MPa)	Porosity (%)
Iteration 1	546 ± 52	12.9 ± 0.8	57.9 ± 0.5	1024 ± 22	26.2 ± 0.7	45.1 ± 0.2
2	508 ± 19	12.1 ± 0.6	59.1 ± 0.8	1297 ± 85	32.1 ± 1.3	38.0 ± 0.5
3	568 ± 34	15.2 ± 0.9	56.7 ± 0.9	1123 ± 71	28.8 ± 0.9	41.9 ± 1.3
4	555 ± 31	14.8 ± 0.7	56.0 ± 1.1	1024 ± 27	29.4 ± 0.3	43.2 ± 0.5
5	515 ± 16	14.2 ± 0.7	58.9 ± 0.2	965 ± 18	28.8 ± 0.5	43.7 ± 0.1

567 **A.3 Data generation**

568 Fig. 11 shows the schematic for the smooth transition between units with different porosity.

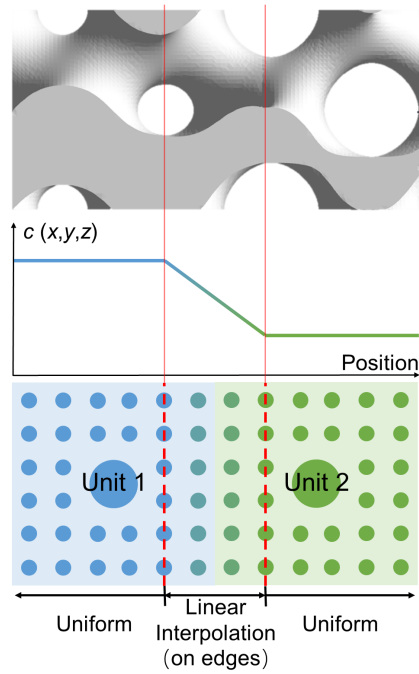


Figure 11: The schematic for the smooth transition between units with different porosity.

569 Fig. 12(A and B) show the schematics for unlabelled scaffold preparation. Fig. 12(C to H) shows
 570 the simulation and experimental data of two randomly selected cubic scaffolds. ABAQUS/Explicit
 571 software was used for compression simulation [39].

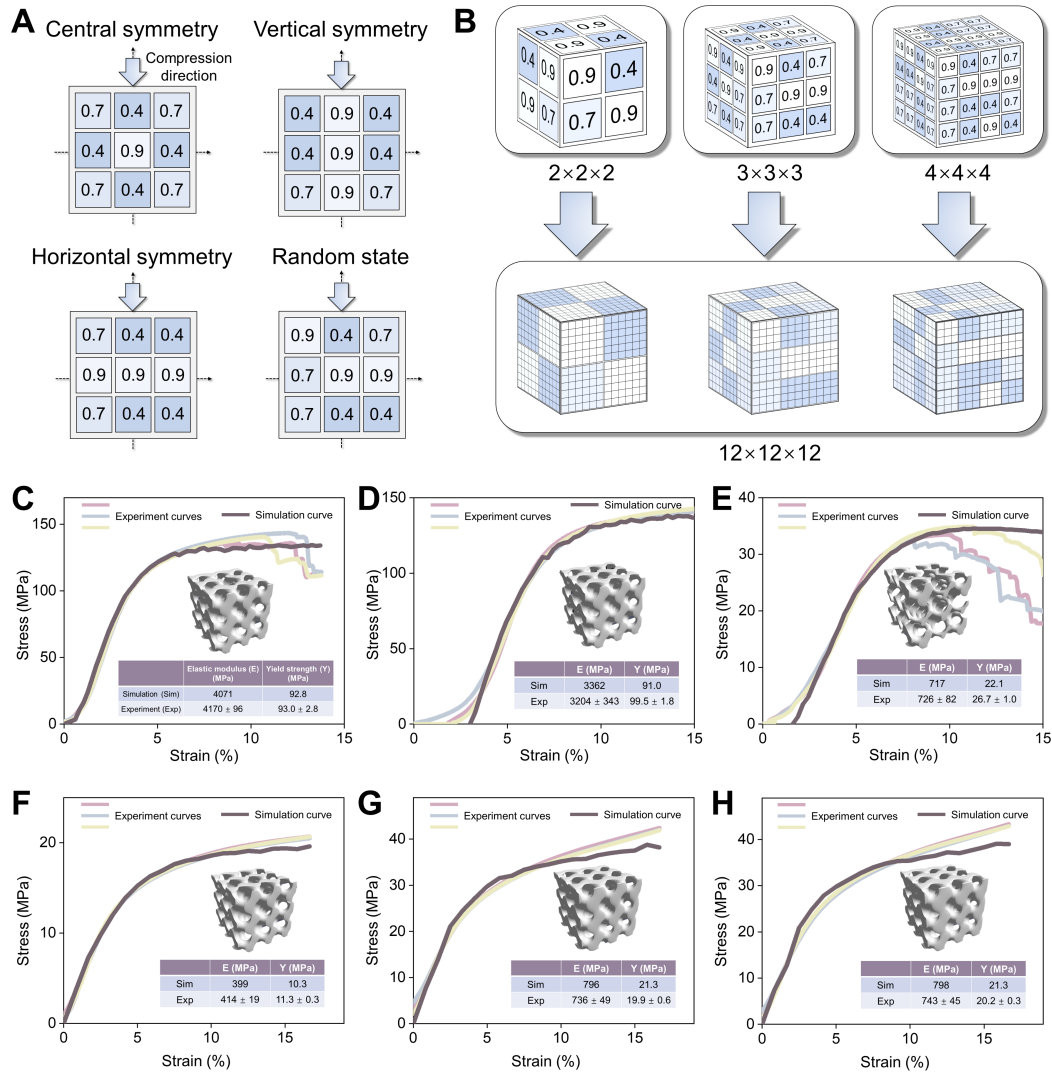


Figure 12: Data generation and simulation calibration. (A) The porosity matrix database contains three symmetries and one random arrangement. (B) Three kinds of porosity matrices: $2 \times 2 \times 2$, $3 \times 3 \times 3$ and $4 \times 4 \times 4$, which can all expand to a $12 \times 12 \times 12$ matrix. The $3 \times 3 \times 3$ arrangement was chosen to balance structural complexity and computational efficiency. (C to H) The FEM simulation agrees with experimental observations. Three replicas were tested in order to ensure reproducibility. The error of the E and Y between FEM simulation and experimental results is less than 10%. (C to E) refer to 3 Ti scaffolds with random shapes, and (F to H) refer to 3 Zn scaffolds with random shapes. (C to H) All stress-strain curves are adjusted in the x-axis direction to make them overlap.

572 **A.4 FEM analysis of cubic scaffolds**

573 This section discusses the detailed FEM analysis of ML design cubic scaffolds from E2500 (Ti),
 574 E5000 (Ti), and E1000 (Zn) tasks.

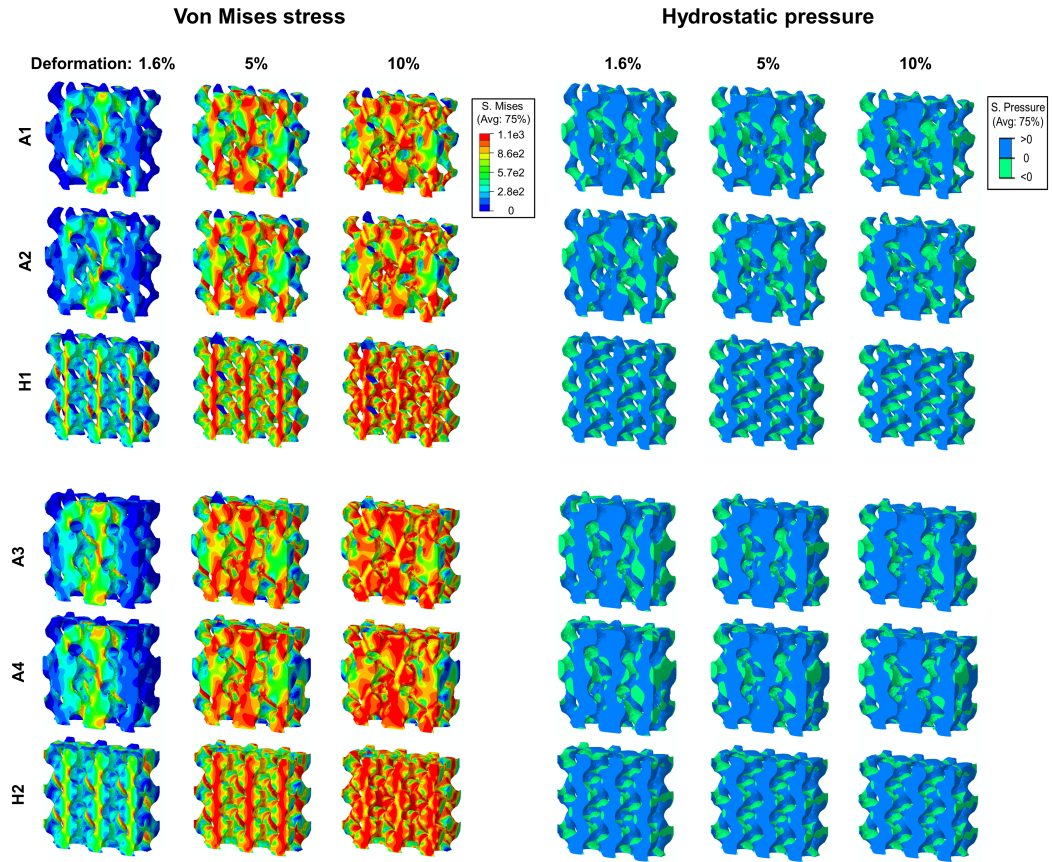


Figure 13: **FEM analysis of the Ti cubic scaffolds.** Numerical compression analysis of Von-Mises stress and hydrostatic pressure under 1.6%, 5%, 10% deformation. The cross-section view of ML designs (A1-A4) and expert designs (H1 and H2) is plotted.

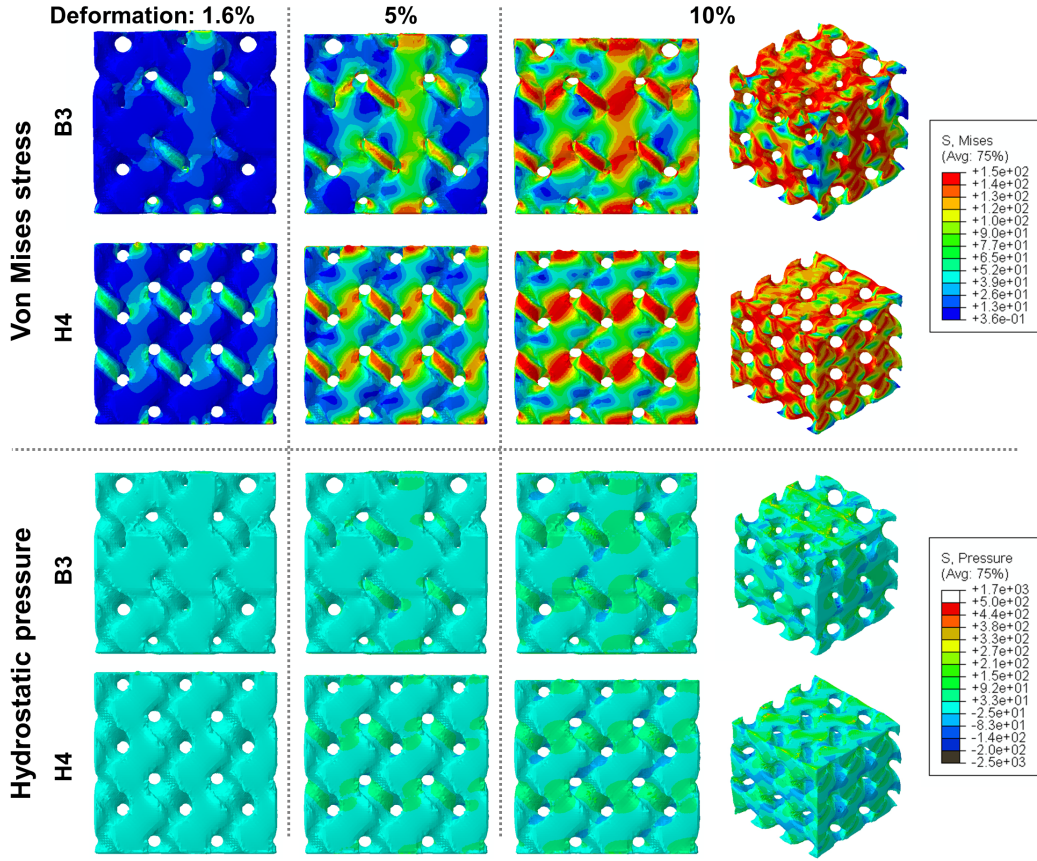


Figure 14: **FEM analysis of the Zn cubic scaffolds.** Numerical compression analysis of Von-Mises stress and hydrostatic pressure under 1.6%, 5%, 10% deformation. The front and orthographic views of ML design (B3) and expert design (H4) are plotted.

575 **A.5 Experimental characterization of cubic-shaped scaffolds**

Table 3: Experimental result of ML design and expert design Ti cubic scaffold. A1(2) and A3(4) represent the best candidates for E2500 and E5000 tasks, respectively. Expert design (uniform) H1 and H2 are the reference scaffolds of E2500 and E5000

	A1	A2	H1	A3	A4	H2
Elastic modulus (MPa)	2527 ± 87	2649 ± 195	2627 ± 154	5169 ± 422	4947 ± 450	4903 ± 303
Yield strength (MPa)	74.8 ± 2.2	73.4 ± 1.9	60.0 ± 1.1	147.6 ± 4.2	147.2 ± 2.3	119.8 ± 8.6
Porosity (%)	69.3 ± 0.3	69.1 ± 0.1	73.0 ± 0.2	57.5 ± 0.3	55.6 ± 0.1	60.8 ± 0.3

Table 4: Experimental result of ML design and expert design Zn cubic scaffolds. B1(2) and B3(4) represent the best candidates from E500 tasks and E1000, respectively. H3(4) are the reference scaffolds (uniform porosity) of E500 and E1000. B3 scaffold shows superior performance over the gold criteria H4 scaffold

	B1	B2	H3	B3	B4	H4
Elastic modulus (MPa)	484 ± 17	510 ± 28	510 ± 28	1066 ± 35	1012 ± 22	975 ± 19
Yield strength (MPa)	13.0 ± 0.6	12.7 ± 0.3	12.9 ± 0.5	26.4 ± 0.7	21.8 ± 0.7	21.7 ± 1.8
Porosity (%)	56.5 ± 0.5	56.8 ± 0.2	55.8 ± 0.1	35.4 ± 0.2	38.6 ± 0.1	39.3 ± 0.4

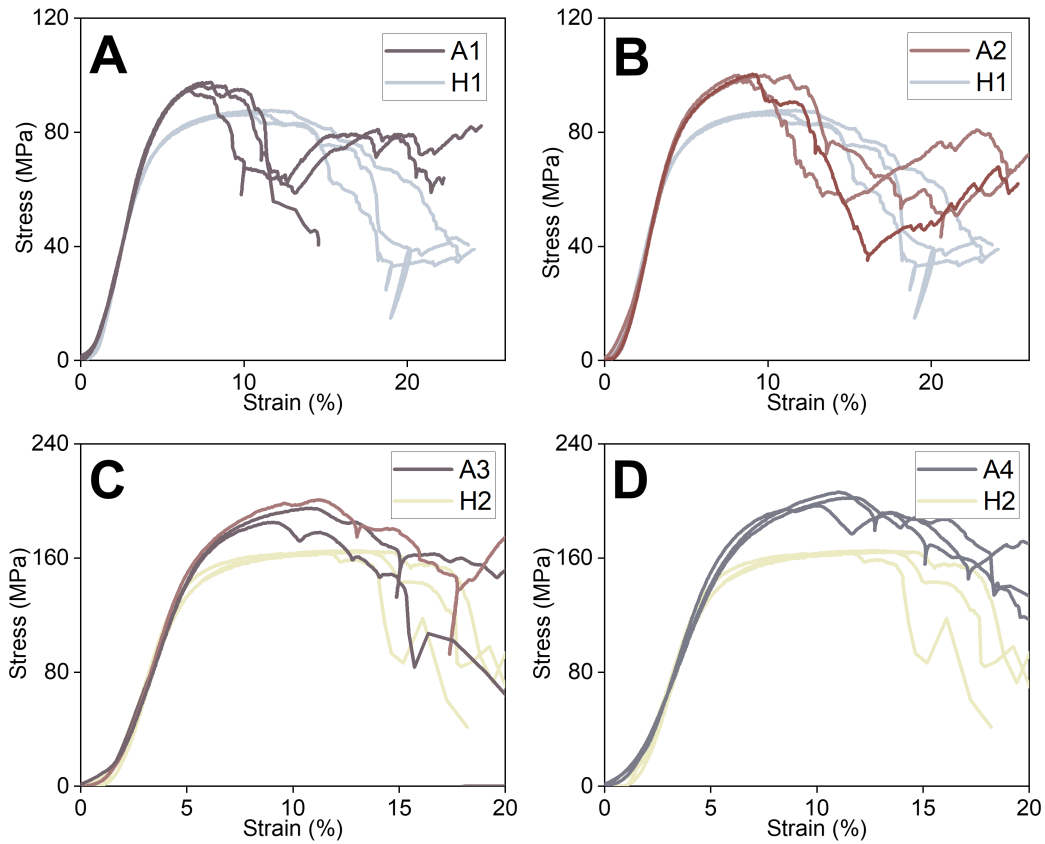


Figure 15: **Compression test curves of the Ti cubic scaffolds.** ML designs (A1-A4) and expert designs (H1 and H2). Three replicas were tested in order to ensure reproducibility. In each figure, all stress-strain curves are adjusted in the x-axis direction to make them overlap.

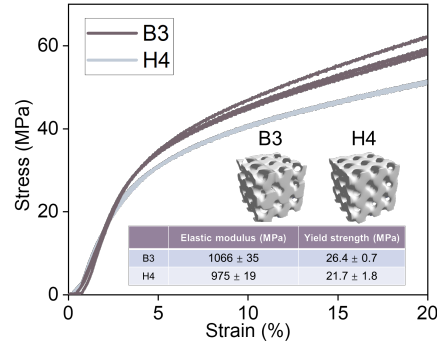


Figure 16: **Experimental strain-stress curves of the Zn cubic scaffolds.** ML design (B3) and expert design (H4). Three replicas were tested in order to ensure reproducibility. In each figure, all stress-strain curves are adjusted in the x-axis direction to make them overlap.

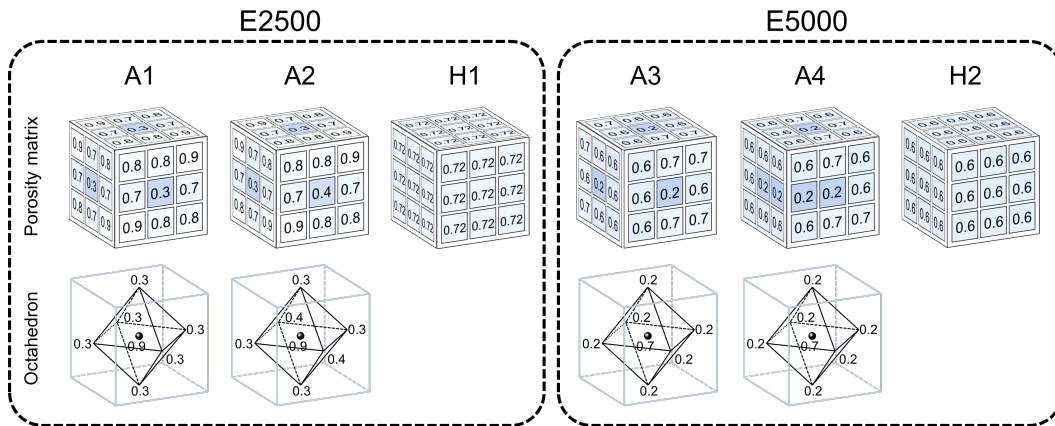


Figure 17: **The porosity matrices and 'face-centered' lattice structures of the Ti cubic scaffolds.** The porosity matrices of ML designs (A1-A4) and expert designs (H1 and H2). The 'face-centered' lattice structures of ML designs (A1-A4).

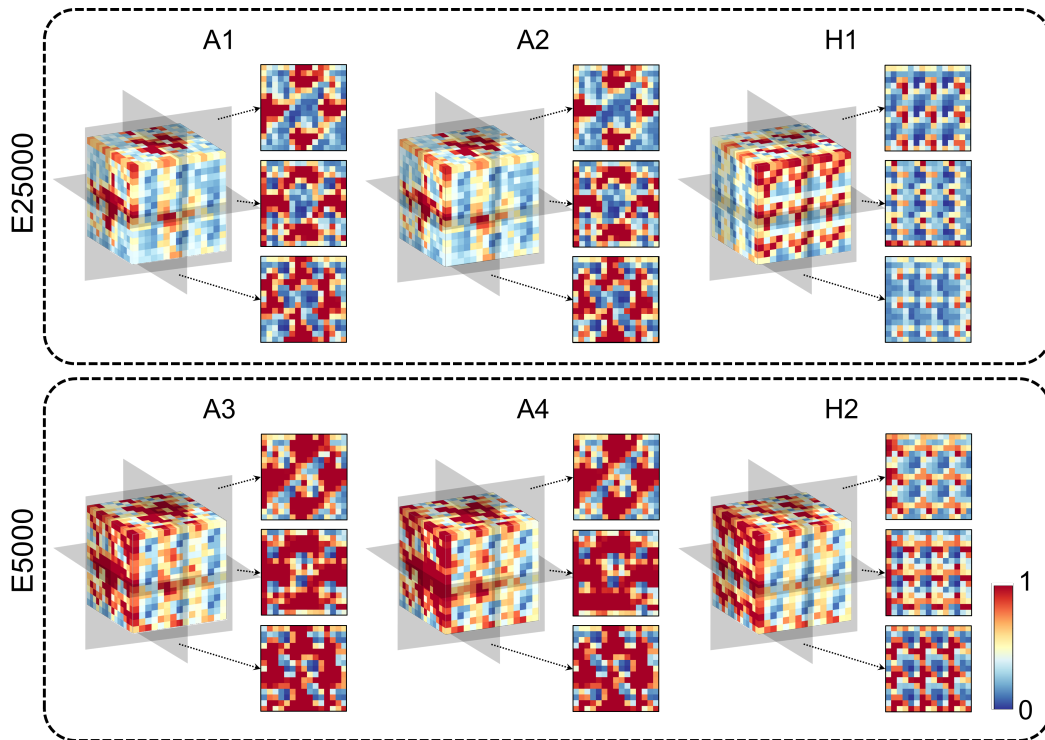


Figure 18: **Regression activation map of the Ti cubic scaffolds.** The x-z, x-y, and y-z cross sections of the RAM of ML designs (A1-A4) and expert designs (H1 and H2).

576 **A.6 Irregular-shaped scaffolds for bone implants**

577 Fig. 19 shows the design workflow for an irregular-shaped scaffold. We used a $3 \times 3 \times 9$ raw structure
 578 as the starting point. The sub-unit is the ML-designed cubic scaffold. Overall, the whole structure
 579 consists of $9 \times 9 \times 27$ Gyroid units. The scaffold structure was then caved out of the raw materials
 580 with the shape matching that of the bones.

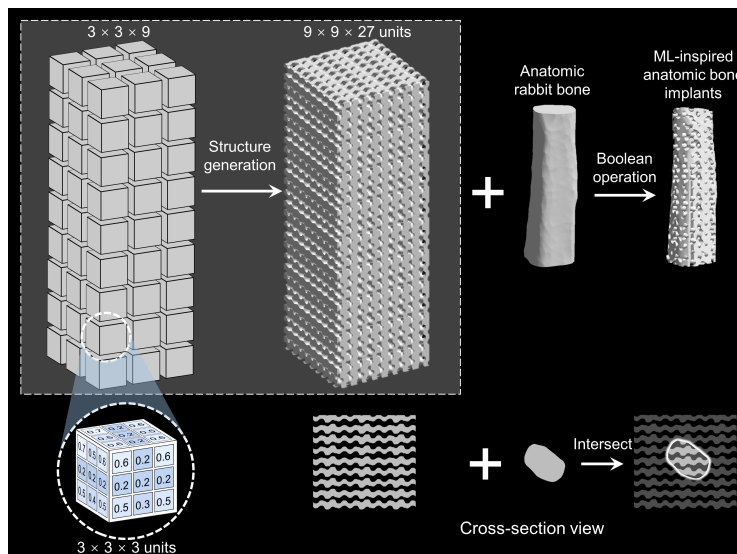


Figure 19: **Pipeline for irregular-shaped scaffold design.** We used the $3 \times 3 \times 9$ raw structure as the starting point, with each sub-unit representing the ML-designed cubic scaffold. The bone-shaped scaffold was then caved out of the raw structure.

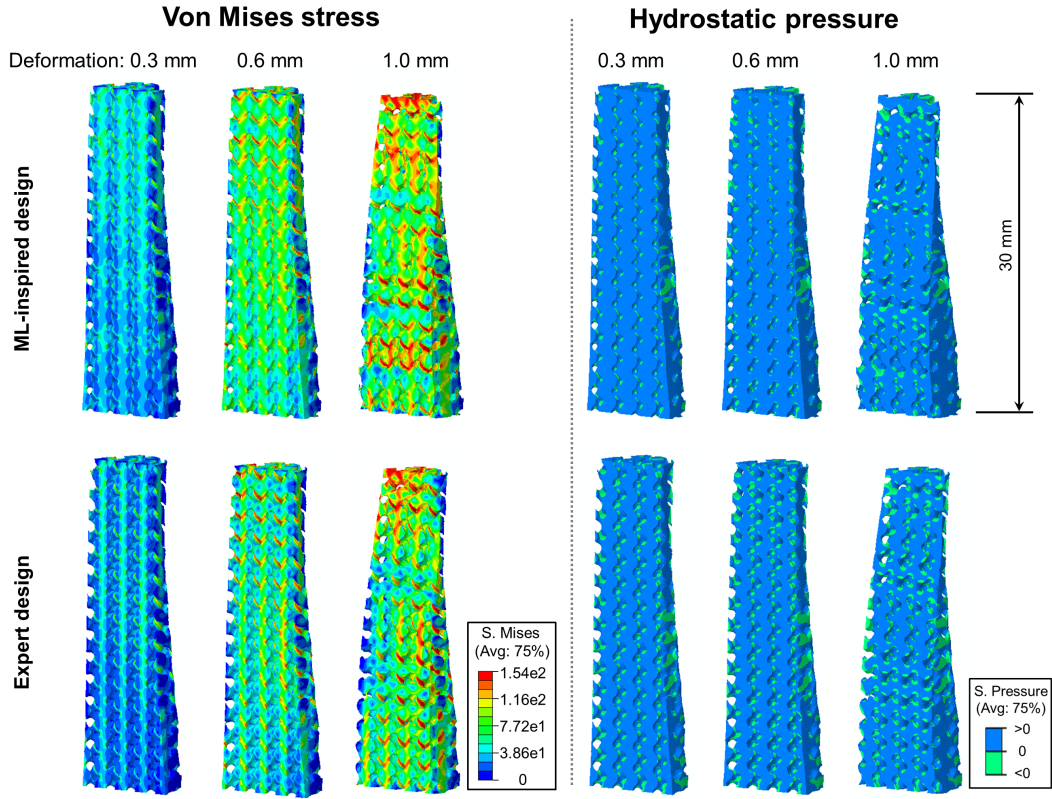


Figure 20: **FEM analysis of the anatomic bone implant.** Numerical compression analysis of Von-Mises stress and hydrostatic pressure under 0.3, 0.6, 1.0 mm deformation. The cross-section view of ML-inspired design and expert design is plotted.