ProductAgent: Benchmarking Conversational Product Search Agent with Asking Clarification Questions

Anonymous ACL submission

Abstract

This paper introduces the task of product de-002 mand clarification within an e-commercial scenario, where the user commences the conversation with ambiguous queries and the taskoriented agent is designed to achieve more accurate and tailored product searching by asking clarification questions. To address this task, we propose ProductAgent, a conversational information seeking agent equipped with abilities of strategic clarification question generation and dynamic product retrieval. Specifically, we develop the agent with strategies for prod-013 uct feature summarization, query generation, and product retrieval. Furthermore, we propose 014 the benchmark called **PROCLARE** to evaluate the agent's performance both automatically and qualitatively with the aid of a LLM-driven 017 user simulator. Experiments show that ProductAgent interacts positively with the user and enhances retrieval performance with increasing dialogue turns, where user demands become gradually more explicit and detailed.¹

1 Introduction

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For decades, researchers have been attempting to develop agents with satisfying abilities of understanding human intentions and making decisions (Wooldridge and Jennings, 1995; Agha, 1986). However, these efforts have often been limited to constrained situations (Aliannejadi et al., 2021), where user-system interactions are insufficient (Vats et al., 2024). This limitation becomes more prominent and even critical especially in highstakes domains or task-oriented systems (Yi et al., 2024), where users often struggle to express their ambiguous and personal information requirements in the first place (Rahmani et al., 2023).

The focus of this paper is e-commerce product search, where users usually spend excessive time

A conversation example

A: I am a product sales assistant. Please just type in the targeted product category first, and I would search for you.

U: Sports shoes.

A: I have search several relevant items for you: <Item1>,
<Item2>, Also, you can answer these questions so that I could recommend more suitable items for you!
Q1: What is the suitable scenario for the sports shoes you want to purchase?
C1: ["Outdoor", "Brisk walking", "Basketball", "Dance", "Travel", "Other"]
Q2: What style of sports shoes do you like?
C2: ["Versatile", "Internet celebrity", "Foreign style", "Basketball", "Breathable style", "Other"]

Q3: Please choose your preferred color for sports shoes.

C3: ["Light gray", "White gray", "Moonlight", "Yellow", "Rose Red", "Other"]

U: Answers for Q1: "Outdoor", "Basketball". Answers for Q2: "Basketball", "Breathable style". Answers for Q3: I like green.

A: I have search several relevant items for you: <Item₁>, <Item₂>, \cdots . Also, you can answer these questions...

Table 1: A conversation example between ProductAgent (A) and the user (U). Since the clarification questions are formulated as multi-choice questions, the user can conveniently respond by selecting the preferred options or typing in the answers when needed.

in locating their desired products since they might not be familiar about which product aspects are suited to their individual use case. We claim that incorporating conversational information seeking could bridge the gaps between traditional product search and offline shopping, where expert salesmen can provide support in identifying the target products that are suitable to users (Papenmeier et al., 2022). Therefore, we propose the task of *product demand clarification* as illustrated in Table 1, which aims to investigate the role of *conversational information seeking* (CIS) in e-commerce product search (Zhang et al., 2018). Specifically, in each turn, the agent retrieves relevant product items and asks clarification questions (Lee et al., 2023) to

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¹All the source codes will be released after the review anonymity period.

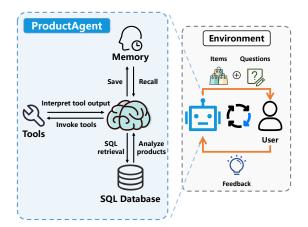


Figure 1: Simplified illustration of our ProductAgent.

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refine user requirements. As the interaction progresses, it is expected that the user demands become clearer, leading to more accurate product searching. This task is challenging since it necessitates the agent to possess abilities of accessing extensive product knowledge (Peng et al., 2024; Li et al., 2023b), understanding subtle user intents, asking strategic clarification question, and retrieving relevant product items. Additionally, products often include different facets, such as brand, color, and style, resulting in different preference slot combinations or shopping schema (Yang et al., 2018).

To address this task, We propose ProductAgent, a conversational information seeking agent that can serve as a virtual shopping assistant. Technically, ProductAgent performs autonomous loops that integrate three core modules: product databases, a memory module, and a set of tools (Figure 1). Specifically, 1) the databases store product items in both structured and vectorized forms, enabling ProductAgent to retrieve relevant products and summarize them into statistics reflective of the current user demands. 2) The memory module caches a user's contextual information throughout a conversation session including structured clarification questions and unstructured dialogue records, enabling the agent to ask next questions dynamically. 3) The available tools support various actions such as product retrieval and clarification question generation. By leveraging carefully designed prompts, we enable effective interaction between language and machine learning models (LLMs) and these modules, automating the task.

In order to comprehensively evaluate ProductAgent, we introduce the **PROduct CLArifying REtrieval (PROCLARE)** Benchmark, which focuses on automatic conversational retrieval evaluation (Zhou et al., 2023; Semnani et al., 2023). This evaluation is implemented using the user simulation technique (Zhu et al., 2020; Yoon et al., 2024), in which a user simulator driven by a LLM (Terragni et al., 2023; Sekulić et al., 2024) makes it convenient and efficient to generate simulated user responses, thus eliminating the need for human utterances. Specifically, we synthesize a dataset consisting of 2,000 dialogues between ProductAgent and the user simulator, with each dialogue centered around a specific product item of the user's interest. Using this dataset, we build an automatic and reliable retrieval evaluation pipeline for the product demand clarification task. Our experiments in this paper showcase the significant effectiveness of ProductAgent, as the retrieval performance improves with the number of interaction turns. Our contributions are as follows:

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- (1) We formulate the task of product demand clarification and propose the solution called ProductAgent, which aims to pinpoint user demands by asking clarification questions in a conversational manner.
- (2) We introduce an straightforward benchmark, called PROCLARE, to ensure a reliable and automatic evaluation pipeline for the product demand clarification task.
- (3) Extensive experiments are conducted to confirm the effectiveness of our approach. Extra extensive analyses are also carried out to reveal promising improvements for the agents.

2 Related Work

2.1 LLM-based Agents

Building agents centered on LLMs has been widely studied recently (Park et al., 2023; Liu et al., 2023; Nakano et al., 2021), owing to their preeminent abilities of reasoning (Yao et al., 2023; Lu et al., 2024), planning (Song et al., 2023; Huang et al., 2023), memorizing, reflexion (Shinn et al., 2024; Huang et al., 2024) or self-correction (Li et al., 2024; Ye et al., 2023b,a), and tool utilization (Schick et al., 2024; Qin et al., 2023). Thanks to techniques like Chain-of-Thought (CoT) (Wei et al., 2022; Kojima et al., 2022; Wang et al., 2022) and problem decomposition, LLM-based agents are able to solve challenging problems across a wide rage of real-world scenarios (Li et al., 2023a).

However, many advanced agents focus on resolving real-world problems with minimal human intervention. This often results in bias (Ye et al., 2023c) and the lack of flexibility and inefficiency, particularly in task-oriented scenarios that emphasize interaction (Wang et al., 2023). The involvement of human feedback (Peng et al., 2023; Zhang et al., 2024a), therefore, becomes progressively critical in guiding and supervising the action trajectory of agents, ensuring their alignment with human requirements and objectives (Kenton et al., 2021; Ngo et al., 2022).

2.2 Asking Clarification Questions

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Aiming to provide task-specific services, taskoriented agents often confront vague queries from users, who may lack the required domain knowledge to articulate their queries accurately. The ensuing reduced performance is mitigated in recent studies (Zamani et al., 2020; Kuhn et al., 2022), which advocate to ask clarification questions to pinpoint user demands in a conversational manner. For example, MAS2S (Feng et al., 2023) introduces the idea of asking questions based on user profiles and task knowledge to clarify user requests. Lee et al. (2023) presents the CAMBIGNQ dataset consisting of ambiguous questions. This dataset provides a foundation for a pipeline system enabling the asking of clarification questions to become more efficient. Furthermore, recent studies (Wu, 2024; Erbacher and Soulier, 2023; Zhang et al., 2024b) applies clarification questions onto LLMs, achieving significant improvements across various downstream applications. A concurrent study (Chang and Chen, 2024) develops SalesAgent that concentrates on injecting dialogue strategies into LLMs by fine-tuning, which is orthogonal to our work. Additionally, Vedula et al. (2024) also propose to generate product-related clarification questions through prompting and fine-tuning LLMs.

However, all these works rely heavily on the internal knowledge of LLMs to generate clarification questions, often compromising the quality of the generated clarification questions. On the contrary, our work leverages specific databases and external tools, thus unburdening LLMs from knowledge acquirement and promoting the generation of quality clarification questions.

3 Product Demand Clarification Task

The objective of the product demand clarification
task is to enhance the e-commerce search process
through the collaboration between humans and the

agent. This task is based on a typical online shopping scenario that users (customers) have decided to purchase a product of a certain category but they have not yet determined detailed aspects of the product of their interests. So they often struggle to clearly articulate their purchasing requirements, especially for product categories accompanied by unfamiliar jargon. To tackle this challenge, the task aims to identify user demands by explicitly posing clarification questions that help clarify any uncertainties towards product searching. 189

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The task takes the form of conversation, where an agent proactively interacts with a user. Specifically, given a product category as U_1 , the conversation D between them is formulated as follows:

$$D = \{U_1, A_1, P_1, U_2, A_2, P_2, \cdots\}$$
(1)

where U_t ($t = 1, 2, \dots$) is the user utterance, A_t is the agent utterance, and P_t is the retrieved product items. The agent utterance primarily consists of several multi-choice clarification questions $A_t = {Q_{t1}, Q_{t2}, \dots, Q_{tn}}^2$. Each question includes the content of the question itself and a set of answer candidates. It is important to note that, in each turn, the agent outputs both the questions and the retrieved items as timely feedback, facilitating a customer-friendly application interface.

The task presents several key challenges: 1) Generating non-trivial clarification questions requires a significant amount of product knowledge. 2) The agent should possess the ability to understand user intentions accurately in order to retrieve the most relevant product items and generate dynamic and valuable clarification questions. 3) The establishment of a quantitative and automated evaluation protocol is necessary to facilitate the development of effective agents for this task. This paper addresses the first two challenges in Section 4, and the third challenge in Section 5.

4 ProductAgent

4.1 Overview of ProductAgent

This section delineates the proposed solution ProductAgent for the introduced task. As shown in Figure 2, ProductAgent executes conversational loops, each of which can be decomposed by three main steps. Before initializing the loops, the user commences the conversation by inputting a product category as a naive query. We will provide an

²We set n = 3 in our implementation.

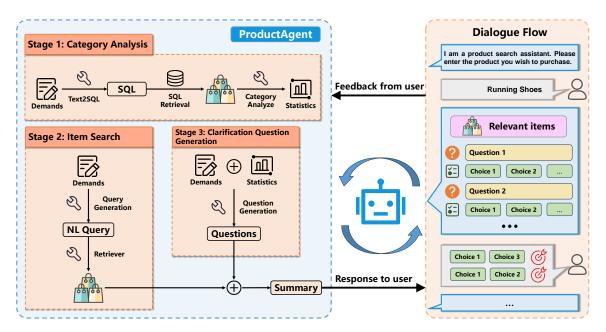


Figure 2: Overview of our proposed ProductAgent.

overview in this section and detailed implementa-tion is discussed in Section 4.2.

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Category Analysis. To generate appropriate clarification questions that can determine the user demands efficiently, it is necessary to collect and summarize relevant and well-elaborated product knowledge based on known user demands of each turn. To achieve this, the agent first synthesizes a query reflective of all the known user demands, and the query is used to retrieve relevant product items from databases. The retrieved items, which probably cover the desirable items of interest, are then summarized as statistics. These statistics encompass various product aspects and serve as a dynamic knowledge base that is constructed in real time based on the ongoing user demands, laying a foundation for the subsequent steps.

253Item Search. We dynamically retrieve different254relevant product items based on all the known de-255mands. Specifically, ProductAgent first generates256a natural language query based on the current de-257mands by harnessing the Query Generation tool.258The generated query is then used to retrieve the259most suitable items that meet the user demands.

Clarification Question Generation. In this step,
 the agent poses several new clarification questions
 along with their answer candidates which are care fully curated by incorporating the knowledgeable
 statistics and known user demands. The user is then
 prompted to efficiently clarify their requirements

by either selecting options or providing additional personal information that reflects their interests in the interaction interface. This user feedback is valuable for the task as it helps improve the accuracy of the product search process.

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The above steps are repeated in each turn of the dialogue, with the aim of making the user demands in subsequent turns more precise compared to previous turns. Consequently, the accuracy of the product search steadily improves over time.

4.2 Key Implementation

Our proposed ProductAgent consists of three key components which work collectively to solve the product demand clarification task.

Databases. We store all product items in both SQL and dense vector datasets, allowing for flexible retrieval strategies. As shown in Figure 2, we employ SQL retrieval in the stage 1 since it enables us to efficiently retrieve items through exact matching. On the other hand, we leverage non-SQL retrieval in the stage 2 because non-SQL retrievers can return product items ordered by their relevance to the user demands, which cannot be typically accomplished by SQL retrieval.

Tools. We define 5 tools for ProductAgent, which are listed in Table 2. To enable SQL retrieval, ProductAgent uses the Text2SQL tool. This tool prompts LLMs with the history demands to generate practical SQL queries. The synthesized SQL query is then used to retrieve product items rel-

Tool	Description	Input	Output
Text2SQL	Generate SQL query	Demands	SQL Query
Category Analyze	Summarize a certain category	Product items	Category statistics
Query Generation	Generate NL query	Demands	NL query
Retriever	Retrieve items relevant to demands	NL query	Product items
Question Generation	Generate clarification questions	Demands + Category statistics	Clarification questions

Table 2: Available tools of ProductAgent.

evant to the current known demands. Then, the
Category Analyzing tool is performed to generate
summarized statistics. For non-SQL retrieval, we
create the Query Generation tool, which generates
concise natural language queries. Additionally, in
stage 3, we utilize the Question Generation tool to
fabricate reasonable clarification questions based
on the summarized statistics and the user demands.
To ensure the desired output format, we specify the
json description for ProductAgent.

It should be kindly noted that for the purpose of autonomous evaluation, the demand clarification task has been slightly simplified and hence does not require a tool router, which is typically used to select the tool for the next action.

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Memory. All the user demands, including clari-311 fication questions and corresponding answers, are 312 stored as structured objects in the memory mod-313 ule. When the agent receives responses from users, it extracts the answers of clarification questions 315 posed in the previous turn. The Q&A pairs are then 316 saved as structured demand objects. Additionally, 317 the memory module stores the dialogue records 318 and searching history. When invoking certain tools, 319 we integrate only the required information selected 320 from the memory into the designated slots within 321 the prompt. The memory are continuously updated 322 as the dialogue progresses, ensuring that the intelligent agent can effectively handle dynamically user 324 requirements. The design is crucial as it enables the 325 agent to recall user preferences, thereby providing personalized assistance.

5 The PROCLARE Benchmark

Most existing information retrieval benchmarks focus on static search processes (Qiu et al., 2022; Long et al., 2022) that do not involve human-AI interactions, which may limit their effectiveness in evaluating practical and interactive performance of search systems. Therefore, with the aim of comprehensively investigating the performance of conversational e-commercial search agents in an auto-

Setting	Documents	Query	Len. of Query
Trad.	1,000,000	2,000	27.02
Conv.	1,000,000	10,000	8.59 / 16.45 / 27.40 / 37.33 / 45.03

Table 3: Statistics of the PROCLARE benchmark. We report the average length of queries generated by GPT-4.

matic manner, we introduce PROCLARE, a benchmarking framework that evaluates retrieval performance in two distinct settings, namely the *traditional* setting (Section 5.2) and the *conversational* (Section 5.4) setting. Both ProductAgent and PRO-CLARE are implemented using the open-sourced framework *LlamaIndex*. 337

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5.1 Document Set

We begin by creating a extensive collection of documents (product items), which serves as the knowledge base for our benchmark. This collection consists of 1,000,000 documents across 20 categories. To construct this dataset, we utilize AliMe KG (Li et al., 2020), a domain knowledge graph in the field of e-commerce that supports the top-50 main categories on the Alibaba e-commerce platform. From each category, we randomly sample 50,000 documents, resulting in the dataset used for our benchmark. To ensure efficient data management, we perform Named Entity Recognition (NER) preprocessing (Wang et al., 2021) on all the data. This results in a unified feature definition across various product categories, enabling us to store all the documents in a relational SQL dataset. For detailed statistics and description of the dataset, please refer to Table 3 and Appendix A.1.

5.2 Traditional Information Retrieval

In the traditional setting of PROCLARE, we evaluate the retrieval performance of the conventional product search task using the methodology employed in previous work (Long et al., 2022; Qiu et al., 2022). It is important to note that this experimental setting, which serves as a counterpart to the conversation setting introduced in Section 3, *does*

not involve the use of the agent. To construct 371 queries used for evaluation, we randomly sam-372 ple 100 documents per category from the entire document set, resulting in a total of 2000 doc-374 uments. We then leverage the Doc2Query technique (Gospodinov et al., 2023; Alaofi et al., 2023) to transform these selected documents into synthe-377 sized queries by prompting GPT-4. Subsequently, we measure the retrieval performance of different retrieval methods based on the synthesized queries. The prompt for Doc2Query and examples of synthesized queries can be found in Appendix B.

5.3 Retrieval Strategies

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Our experiments employ three widely-adopted retrievers: BM25 (Robertson et al., 2009), General Text Embedding (GTE)³, and CoROM (Long et al., 2022). Besides, we also incorporate the techniques of reranking and fusion into the retrievers. The detailed descriptions are provided in Appendix A.2.

5.4 Conversational Information Retrieval

The performance of ProductAgent can be comprehensively explored in the conversational setting, where its effectiveness is manifested directly via the retrieval performance across dialogue turns.

User simulation. It is well-acknowledged that the nature of dialogues, including the high cost of dialogue annotation (Schatzmann et al., 2005; Keizer et al., 2010), poses a challenge for the efficient evaluation of dialogue systems, particularly in an end-to-end manner. To handle this issue, we harness LLMs as intelligent user simulators, thereby accomplishing the automatic evaluation of ProductAgent. Specifically, a LLM-driven user simulator is presented with several clarification questions along with their corresponding candidates in each turn, and then is prompted to answer the questions with access to the ground truth item. It is worth noticing that to avoid potential information leakage, which may create shortcuts for the agent, we restrict the user simulator to only answer the given questions with provided candidates, thus simulating a more realistic and reliable situation.

For each queried document, we simulate 10 turns (5 user turns and 5 agent turns) with the user simulator starting the conversation by inputting the desired product category. Examples of simulated

³https://help.aliyun.com/zh/dashscope/ developer-reference/text-embedding-quick-start

Retriever	Ret	Rerank	
neunever	HIT@10	MRR@10	MRR@10
BM25	34.80	26.18	33.35
GTE	69.00	52.29	63.82
CoROM	61.65	44.95	57.41
BM25 + GTE	37.70	26.69	35.81
BM25 + CoROM	37.35	26.77	35.65
GTE + CoROM	59.45	26.91	55.90

Table 4: Retrieval performance of the traditional setting.

dialogues and the prompt for user simulation can be found in Appendix B. 417

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5.5 Automatic evaluation metrics

Drawn from the evaluation methodology adopted in earlier information retrieval benchmarks (Long et al., 2022), we evaluate the retrieval performance in both settings using Mean Reciprocal Rank at 10 documents (MRR@10) and hit rate at 10 documents (HIT@10). For the reranking results, we only report the result of the MRR@10 metric since the hit rates are identical to their counterparts.

6 Experiments

6.1 Results of Traditional Setting

The overall experimental results of the traditional setting are reported in Table 4, from which we can make the following conclusions.

Dense retrievers outperform BM25. In order to generate diverse literal expressions in the process of synthesizing queries, we deploy LLMs. This, however, presents a challenge to sparse retrievers such as BM25. Dense retrievers such as GTE and CoROM, which leverage semantic matching, enhance retrieval performance on the MRR@10 scores by notable 26.11% and 18.11%, respectively. It should be noted that the efficiency of the retrievers might be affected by the Doc2Query prompt in our attempt to simulate realistic user queries. Furthermore, we observe that CoROM, despite being trained on the e-commerce dataset, falls behind GTE – trained on generic datasets – in performance. We suspect that this is because GTE, due to its pretraining and contrastive fine-tuning stages, is better equipped to capture complex semantics.

Reranking can significantly improve the MRR scores. The results indicate that reranking can

greatly boost the MRR@10 scores for all retrievers and retriever combinations by 7.17%, 11.53%, and

LLM	Retriever	Ret	Rerank	
EEM	Retriever	HIT@10	MRR@10	MRR@10
	BM25	35.04	27.26	25.69
	GTE	8.49	4.95	7.18
GPT-3.5	CoROM	12.48	7.96	10.79
GP1-3.5	B + G	32.35	16.12	25.21
	B + C	32.54	17.66	25.27
	G + C	7.61	4.21	6.67
	BM25	39.48	32.00	30.20
	GTE	8.27	4.92	7.17
GPT-4	CoROM	13.86	9.11	12.54
	B + G	36.93	18.36	29.91
	B + C	37.02	20.37	30.13
	G + C	7.57	4.15	6.80
	BM25	31.58	25.24	24.85
	GTE	16.45	10.56	13.82
0	CoROM	20.71	13.80	17.77
Qwen	B + G	30.65	16.78	24.61
	B + C	30.79	17.98	24.79
	G + C	14.26	7.74	12.36

Table 5: Retrieval performance of the conversational setting. We report results of fusion retrievers represented as X + Y, such as B + G (BM25 + GTE).

12.46% for BM25, GTE, and CoROM, respectively. It also shows similar trends for retriever fusion.

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Retriever fusion decreases both HIT@10 and MRR@10 scores. We have also tested additional fusion strategies assisted by LlamaIndex in our initial experiments. The reciprocal rerank fusion algorithm outperforms others in most instances. This suggests that naive fusion strategies are unable to enhance retrieval performance in this case.

6.2 Results of Conversational Setting

In the conversational setting of PROCLARE, we employ OpenAI GPT-3.5-turbo-0125 to power the user simulator for all experiments, ensuring a fair comparison for ProductAgent built on various LLM backbones. We benchmark a series of agents with Qwen-max-0107⁴, GPT-3.5-turbo-0125, and GPT-4-turbo-0409 as the backbones in this paper.

The results of conversational retrieval are detailed in Table 5, with several distinctions compared to the traditional setting.

BM25 surpasses dense retrievers. Surprisingly, dense retrievers, which excel in the traditional setting, yield significantly lower performance than BM25 across all LLMs. This can be attributed to the fact that synthesized queries are based on user responses, most of which are essentially provided

⁴https://help.aliyun.com/zh/dashscope/ developer-reference/quick-start

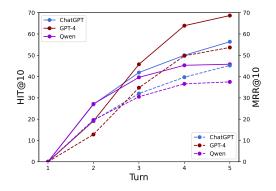


Figure 3: Retrieval performance of ProductAgent driven by different LLMs with increasing turns using BM25.

choices of clarification questions without much modification. Consequently, the challenge faced by BM25 - computing relevance scores through exact term matching - is eliminated. This underlines the importance of generating queries with a specific pattern that is preferred by the utilized retriever. 480

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Reranking boosts the MRR@10 scores, except those of BM25. We hypothesize that the level of noise in queries is so minimal that BM25 does not require the further application of an additional reranker. Other retrievers, however, struggle in capturing subtle semantics, leaving room for the reranker to augment their performance. In the same vein, the retriever fusion technique does *not* enhance retrieval performance.

7 Analysis

7.1 Effect of Interaction Turns

Figure 3 presents the trends in retrieval performance as interaction turns increase. The first turn can not retrieve any ground truth product items due to the access to only product categories. We observe that as the number of turns increase, all retrieval scores improve regardless of the types of retrievers employed, signifying the effectiveness of posing clarification questions. Notably, GPT-4 scores lower at the second turn but outperforms all the other LLMs after the third turn, which means GPT-4 tend to ask clarification questions from a global perspective.

7.2 Performance Increase of Clarification

To further demonstrate the effectiveness of clarifica-
tion, we replace the initial user queries, i.e., product
categories, with the synthesized queries introduced510511512512513513513

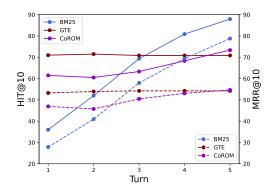


Figure 4: Retrieval performance of the variant of the conversational setting. All scores are from ProductA-gent driven by GPT-4.

indicate that retrievers benefit further from clarification, except for GTE. In detail, the performance of BM25 improves dramatically, similar to the results of conversational setting in Section 6.2. However, the performance of GTE falls into a plateau. We speculate the reason is that general-purpose retrievers fail to capture subtle product features that are rare in their training data.

7.3 Ablation Study

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Considering that summarized product statistics constitute a crucial part of the context for generating clarification questions, we perform a comprehensive ablation study focusing on strategies of acquiring statistics. This is conducted with the aim of probing the influence of dynamic statistics on ProductAgent. Specifically, we experiment with three different strategies to derive the statistics: 1) for the "w/o Statistics" variant, we do not supply statistics to the process of clarification question generation. 2) For the "Random" variant, we randomly sample product items to summarize the statistics, ignoring dynamic user demands. 3) For the "BM25" variant, we substitute the SQL retriever with BM25, and 4) for the "CoROM" variant, similarly, we replace the SOL retriever with CoROM.

The ablation study results, which are shown in Table 6, reveal that BM25 and CoROM retrievers are better suited for statistic summarization. Additionally, both removing statistics or ignoring realtime user demands can impair the performance of product search. We analyze further this phenomenon in Section 7.4.

7.4 Failures of ProductAgent

We identify several failure types for ProductAgent throughout our experiments, all of which are

Retriever	HIT@10	MRR@10	
w/o Statistics	15.60	10.69	
Random	39.50	19.54	
BM25	47.00	38.51	
CoROM	45.00	38.09	
SQL (Default)	39.90	32.40	

Table 6: Ablation results of different strategies of acquiring statistics.

	Invalid SQL %	Trivial SQL %
GPT-3.5	1.21	54.59
GPT-4	3.52	55.36
Qwen-max	3.06	44.92

Table 7: Failure rates of Text2SQL.

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due to the generation of either invalid or trivial SQL queries when using the Text2SQL tool. Specifically, invalid SQL queries mean Text2SQL generates queries that cannot be executed, while trivial SQL queries refer to those that retrieve nothing. The occurrence rates of both kinds of failures are presented in Table 7, which reveals that all the involved LLMs have a tendency to produce trivial SQL queries. Delving deeper into the generated SQL queries, we discovered that trivial ones are typically produced in later turns, whereby LLMs form complex queries by merely amalgamating all known user demands. We addressed these issues by creating statistics derived from previously retrieved items, leading to ProductAgent sometimes failing to propose valuable questions directly related to the user's real-time demands. In the future, the exploration of more sophisticated SQL generation is worth investigating to enhance both ProductAgent's persona and effectiveness.

Additionally, we observe ProductAgent tends to generate clarification questions when conversations progress further. So we analyze the similarity of synthesized clarification questions, and the results are reported in Appendix A.3.

8 Conclusion

This paper formulates the task of product demand clarification and presents the solution called ProductAgent. In order to implement the evaluation in an automatic and quantitative manner, we introduce the PROCLARE benchmark with the aid of a user simulator. Experiments indicate that ProductAgent significantly enhances the retrieval performance with increasing dialogue turns. We also identify certain limitations in the agent.

Limitations

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585Absence of human users. In this paper, we employ the user simulation technique to facilitate a586ploy the user simulation technique to facilitate a587more consistent and standardized evaluation pro-588cess. Utilizing this approach allows for all the experiments conducted within our research to be com-590pletely void of any human involvement, contribut-591ing to cost-efficiency and saving time. We also ac-592knowledge that potentially unidentified biases may593arise from the hallucination effect of LLM-based594user simulators within our evaluation pipeline.

Limitations of datasets. Our dataset was derived from the AliMe KG, encompassing multiple dimensions of products. Nonetheless, it does not provide comprehensive information that could be crucial to customers' purchase decisions, such as product pricing and customer reviews. Future research could delve into more diverse and higher-quality datasets to overcome these deficiencies.

603 **Controllability of clarification questions.** We 604 encourage ProductAgent to ask clarification ques-605 tions following in-context learning augmented with 606 summarized statistics, without explicit and strong 607 constraints. This strategy is not always optimal 608 for all situations. In the future work, we will ex-609 plore more effective planning strategies that can 610 handle the task from a global perspective, provid-611 ing a pathway for potential enhancements to create 612 more intelligent conversational agents.

Lack of analysis of prompt sensitivity. The im-613 pact of prompt design on the performance of LLMs, 614 often demonstrated as prompt sensitivity, is widely 615 recognized. In our research, however, we did not 616 conduct a systematic examination concerning the 617 sensitivity of prompts used to develop the agent and 618 user simulator. Detailed engineering of prompts 619 could serve as a valuable measure for enhancing the performance of the ProductAgent in future studies. 621

Ethics Statement

We are aware that our proposed ProductAgent may be potentially misused for improper purposes, such as privacy data collection and excessive propaganda. However, this vulnerability is not unique to our approach but a common threat to many LLMbased applications. It also highlights the significance of conducting appropriate regulations and enhancing the safety of LLMs in the future.

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Experimental Details Α

A.1 NER Pre-processing

To accomplish the retrieval process in a consistent 989 manner for different product categories, we ini-990 tially apply named entity recognition (NER) to the 991 documents obtained from AliMe KG. For this pa-992 per, we chose to use an e-commerce specific NER 993 model (Wang et al., 2021) trained with a Cooper-994 ative Learning objective. This coaching strategy 995 encourages two input views to generate similar con-996 textual representations or output label distributions. 997 The NER processing transform all the data from 998 various categories into structured documents with 999 a consistent named entity schema consisting of 54 1000 hierarchical entity labels. We then compress this 1001 label space into a new one composed of only 10 1002 entity labels, which allows the agent to retrieve 1003 documents more efficiently and accurately from 1004 the SQL database. The details of the product item 1005 description are provided in Table 8. 1006

A.2 Details about Retrievers

BM25. As the representative of sparse retrievers, 1008 BM25 (Robertson et al., 2009) consistently exhibits 1009 impressive performance across diverse information 1010 retrieval benchmarks (Long et al., 2022). 1011

GTE.	The	General	Text	Embedding (GTE)	1012
model i	s a ge	neral-purp	pose te	xt embedding model	1013

Name	Description	Туре
Category	Category of the item.	str
Brand	Brand of the item.	List[str]
Series	Series of the item.	List[str]
Target Customer	Target customers of the item.	List[str]
Applicable Scenario	Applicable scenarios of the item.	List[str]
Decorative Attribute	Decorative attributes of the item.	List[str]
Material	Material of the item.	List[str]
Style	Styles of the item.	List[str]
Specification	Available specification of the item.	List[str]
Color	Available colors of the item.	List[str]
Function	Function of the item.	List[str]

Table 8: Product item description.

trained with the multi-stage contrastive learning objective (Li et al., 2023c). It has shown exceptional
results in the Massive Text Embedding Benchmark (Muennighoff et al., 2023).

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CoROM. The CoROM model (Long et al., 2022) uses BERT-base (Devlin et al., 2019) as its backbone. It is a dual Encoder-based embedding model (Karpukhin et al., 2020) trained on annotated query-passage e-commerce datasets.

Reranker. We also integrate the lightweight bgereranker-base model (Xiao et al., 2023)⁵ to rerank the top-k documents retrieved.

Fusion. Furthermore, we also seek to investigate whether the fusion of multiple heterogeneous retrievers can boost performance on our benchmark. Consequently, we test hybrid fusion retrievers that utilize the reciprocal rerank fusion algorithm (Cormack et al., 2009) without requiring any additional models or significant computation.

A.3 Similarity of Synthesized Clarification Questions

We observe ProductAgent tends to generate clarification questions that overlap with those previously presented, which can negatively impact the task effectiveness and overall user satisfaction. Hence, we take a qualitative approach to measuring the similarity among clarification questions proposed in different dialogue turns by using BERTScore (Zhang* et al., 2020). BERTScore computes a similarity score for each token in the candidate sentence by comparing it to each token in the reference sentence. In the experiment, we concatenate the question content and its answer choices into a sentence. By treating all other questions and choices as references, We compute the similarity score for each

bge-reranker-base

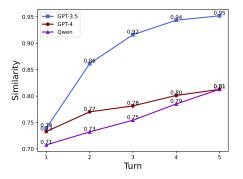


Figure 5: Similarity of synthesized clarification questions computed by BERTScore.

synthesized clarification questions.

As Figure 5 illustrates, we discern an upward 1050 trend in the similarity of synthesized clarification 1051 questions as dialogues progress. This suggests 1052 that although retrieval performance continues to en-1053 hance over ten dialogue turns, we may be still able 1054 to improve further the performance by boosting the diversity of clarification questions. Additionally, 1056 we observe that the agent powered by GPT-3.5 is 1057 more inclined to fabricate similar questions than 1058 those powered by GPT-4 and Qwen-max, despite 1059 the fact that the former achieves higher retrieval scores than the agent powered by Qwen-max when 1061 both utilize BM25 for item search. 1062

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A.4 Product Aspects of Clarification Questions

We observe most clarification questions focus on one specific product aspects indicated in Table 8, such as category, brand, and series. Therefore, we analyze the proportion of product facets to which clarification questions are related. The results, illustrated in Figure 6, show that all three LLMs tend to generate clarification questions with even distributions. To detail, three aspects, namely applicable scenarios, style, function, respectively account for more than 10% across LLMs. On the other hand, LLMs are less likely to clarify on series and specification, which may be too specialized for users. This reveals that LLMs naturally have a preference for asking specific types clarification questions in this task, since we do not incorporate any explicit constrains on clarification question generation.

B Prompts and Examples

B.1 Prompts for ProductAgent

We report all the prompts used in our proposed ProductAgent, including Text2SQL in Table 9, Query

⁵https://huggingface.co/BAAI/

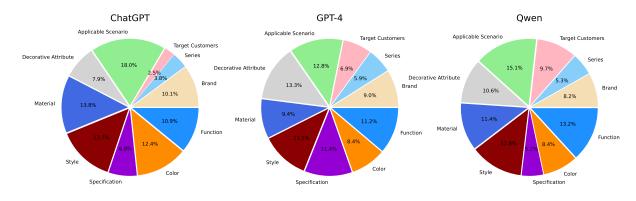


Figure 6: Proportion of product aspects of clarification questions generated by three LLMs.

1085 Generation in Table 10 and Clarification Question1086 Generation in Table 11.

B.2 Prompt for User Simulator

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We list the prompt for user simulation in Table 12.

B.3 Examples of Conversation

Here we provide a detailed conversation example 1090 regarding "Canvas shoes" in Table 13. We can no-1091 tice that ProductAgent first generates some critical 1092 clarification questions, such as the color, applica-1093 ble scenarios and functions, which are helpful to 1094 precisely identify the basic user demands. Subse-1095 quently, the agent probes for additional specifics 1096 like style, decorative attributes and material. On 1097 the other hand, the user simulator, which is driven 1098 by GPT-3.5, occasionally offers responses that go 1099 beyond the provided options. 1100

Text2SQL Prompt Template

You are a SQL generation assistant. Given several constraints, you need to generate a syntactically correct {dialect} SQL query statement to retrieve target records. In order to generate reasonable queries, you must follow the following rules:

1. Directly generate only SQL query statements, without outputting any explanation or inference information.

2. Directly use "*" to retrieve all columns.

3. Use the keyword "LIMIT" to limit the maximum number of retrieved records to {max_number}.

4. Carefully construct the where conditions for the query and use the keyword 'LIKE' as much as possible.

Given the following table structure description, only retrieval of that table is allowed: **Table schema**: {schema}

Example input:

Product category: Casual pants

Question: What material is the main material for the casual pants you would like to purchase? **Answer**: Polyester fiber

Example output:

SQL Query: SELECT * From item WHERE category='Casual pants' AND material LIKE '% polyester fiber%' LIMIT {max_number};

Input:

```
Product category: {category}
Question: {question_1}
Answer: {answer_1}
...
```

SQL Query:

Table 9: The one-shot prompt template of the Text2SQL tool in ProductAgent.

Query Generation Prompt Template

You are a query generation assistant. Given the user's purchasing demands, you need to generate a short natural language query statement (Query) to retrieve the target product. In order to generate a reasonable query, you must follow the following rules:

1. The generated query should be concise, composed of keywords, and separated by spaces.

2. The generated query should cover all of the user's purchasing requirements.

3. Do not output any explanations or inference information, and do not use unnecessary punctuation such as quotation marks.

```
Product category: {category}
Question: {question_1}
Answer: {answer_1}
...
```

Query:

Table 10: The zero-shot prompt template of the Query Generation tool in ProductAgent.

Clarification Question Generation Prompt Template

You are a product shopping assistant that can accurately identify user demands, and you are capable of generating three multiple-choice questions for demand clarification. To help you ask valuable questions, here is a summary of statistics about {category}. **Statistics**: {statistics}

1. The generated content must focus on the product category ({category}) and contribute to accurately identifying user demands.

2. It is prohibited to generate new questions that are duplicates of previous ones.

3. When constructing options, try to directly select them from the statistical data.

You need to generate new multiple-choice questions for demand clarification based on the historical Q&A. Here is the historical Q&A:

Question: {question_1} **Answer**: {answer_1}

•••

The generated result should strictly follow the JSON format, and no additional information should be included.

JSON format description: json_description

```json

Table 11: The zero-shot prompt template of the Clarification Question Generation tool in ProductAgent. We require LLM to synthesize clarification questions in structured json form.

#### **User Simulator Prompt Template**

You are in a conversation with a shopping assistant, hoping that they can help you search for suitable products. To provide reasonable answers, you must follow these rules:

1. You must answer the question accurately based on the target product.

2. Try to use the options provided for your answer, if your answer is not covered by the options, simply answer "Other".

3. Directly output the answer, do not provide any explanation or reasoning information and avoid unnecessary punctuation like quotation marks.

4. Each question's answer should be on a separate line.

Here is the target product information: {item}

Here is the official question from the assistant: {questions}

Answer the above question directly, do not repeat the question, \*\*each answer should be on a separate line\*\*.

Table 12: The zero-shot prompt template of the user simulator.

## Conversation example by GPT-4 driven ProductAgent and the user simulator

A: I am a product sales assistant. Please just type in the targeted product category first, and I would search for you.

U: Canvas shoes.

A: I have search several relevant items for you:  $<Item_1>$ ,  $<Item_2>$ ,  $\cdots$ . Also, you can answer these questions so that I could recommend more suitable items for you!

Q1: When you need canvas shoes, which color do you mainly focus on?

C1: ["Light gray", "Sky blue", "Yellow", "Military Green", "Rose Red", "Other"]

Q2: Which applicable scenarios do you prefer when wearing canvas shoes?

C2: ["Travel", "Dance", "Fitness", "Construction Site", "Official Flagship", "Other"]

Q3: When choosing canvas shoes, what functions do you value?

C3: ["Anti slip", "Increase by 10CM", "Waterproof bottom", "Anti puncture", "Antibacterial", "Other"]

U: Answers for Q1: "Military Green". Answers for Q2: "Travel". Answers for Q3: "Other".

A: I have search several relevant items for you:  $<Item_1>$ ,  $<Item_2>$ ,  $\cdots$ . Also, you can answer these questions so that I could recommend more suitable items for you!

**Q1**: When choosing canvas shoes, which style do you prefer?

C1: ["Leisure", "Internal elevation", "Ties", "Flat Heel", "Low help", "Other"]

**Q2**: What upper decoration attributes do you usually pay attention to?

C2: ["Adhesive", "Breathable", "Inside", "Mesh surface", "Sole", "Other"]

Q3: When purchasing canvas shoes, which material do you prefer to make them from?

C3: ["Mesh", "Rubber", "Canvas", "Other"]

U: Answers for Q1: "Leisure".

Answers for Q2: Coffee leopard print, leopard print, round head. Answers for Q3: "Canvas".

A: I have search several relevant items for you:  $<Item_1>$ ,  $<Item_2>$ ,  $\cdots$ . Also, you can answer these questions so that I could recommend more suitable items for you!

#### •••

#### Ground truth product item

Title: Feiyue thick-soled canvas shoes for women, spring 2023, leopard print loafers, casual and versatile mantou shoes 8925

**Category**: Canvas shoes, **Brand**: ["Feiyue"], **Series**: [], **Target Customer**: ["Female", "18-40 years old", "Youth"], **Applicable Scenario**: ["Spring"], **Decorative Attribute**: ["Shoe bottom", "Thick-soled", "Cross straps", "Coffee leopard print", "Shallow mouth", "Leopard print", "Pattern", "Round head", "Khaki leopard print"], **Material**: ["Rubber", "Canvas"], **Style**: ["Lace-up", "Low-cut", "Leopard print", "Casual", "Bread", "Steamed bun", "All-match", "Vulcanization process"] **Specification**: ["EUR34", "EUR35", "EUR36", "EUR37", "EUR38", ...] **Color**: ["Purple", "Lake blue and orange", "Army green and white", "Pink", "Mixed colors"] **Function**: []

Table 13: A conversation example between ProductAgent (A) and the user (U). We also list the ground truth product item that the user is searching for.