# Divide-and-Conquer Text Simplification by Scalable Data Enhancement

**Anonymous ACL submission**

## Abstract

Text simplification, whose aim is to reduce reading difficulty, can be decomposed into four discrete rewriting operations: substitution, deletion, reordering, and splitting. However, due to a large distribution discrepancy between existing training data and human-annotated data, models may learn improper operations, thus lead to poor generalization capabilities. In order to bridge this gap, we propose a novel data enhancement method, SIMSIM, that generates training pairs by simulating specific simplification operations. Experiments show that the models trained with SIMSIM outperform multiple strong baselines and achieve the better SARI on the TURK and ASSET datasets. The newly constructed dataset SIMSIM is available at *.

## 1 Introduction & Related Work

Text simplification is a task to reduce the complexity of a text while retain its original meaning. It can facilitate people with low-literacy skills or language impairments, such as children and individuals with dyslexia (Rello et al., 2013) and aphasia (Carroll et al., 1999), to read and understand complicated materials (Watanabe et al., 2009).

Normally, substitution, deletion, reordering, and splitting are considered as four core operations for performing text simplification (Zhu et al., 2010). Thus an ideal model should be capable of executing these operations appropriately to simplify a text.

However, by examining the degree that each operation is exerted in different datasets, we observe that there is an salient discrepancy between the human annotation and existing training data that is widely used for training simplification models.

To alleviate this discrepancy, we propose an unsupervised data construction method that distills each simplifying operation into data via different automatic data enhancement measures. The empirical results demonstrate that the resulting dataset SIMSIM can support models to achieve better performance by performing all operations properly.

## 2 Inspecting Simplification Datasets

At its essence, sentence simplification paraphrases a sentence for better readability. It often involves a subset of four rewriting operations/transformations: **splitting**, **dropping**, **reordering**, and **substitution** (Zhu et al., 2010; Zhang and Lapata, 2017). A high-quality sentence simplfication training dataset, which contains many complex-simple sentence pairs, should be well-aligned to provide a wide coverage of different operations, so that the trained models can have good generalizability. Most neural simplification models rely on training with large datasets such as NEWELA (Xu et al., 2015) and WIKILARGE (Zhang and Lapata, 2017), which are automatically collated with paired documents written in different readability levels. The quality of auto-collated data has been questioned in prior work (**?**Jiang et al., 2020), however, it remains unanswered on how well they represent the real simplification distribution and on which aspects they fall short. This motivated us to propose the following five metrics to quantitatively examine common training datasets:

### 2.1 Measuring Simplification Operations

**Alignment** between the pair of complex/simplified sentence is a fundamental property since the latter should preserve the meaning of the former. Most datasets are collated from paired complex-simple documents using automatic alignment algorithms (Zhu et al., 2010; Xu et al., 2015), their sentence pairs can be poorly aligned. This is because editors may restructure the words, sentences, or even paragraphs drastically when rewriting a text into different readability level. In consequence, sentences in a paraphrased document may not accurately pair with the original ones. We adopt BERTSCORE (Zhang* et al., 2020)

to measure the semantic alignment between a complex and a simple sentence.

**Substitution** denotes replacing complicated words or phrases with simplified synonyms. We adopt PPDB (Pavlick et al., 2015) to measure the amount of substitutions between two sentences. PPDB provides extensive substitution rules (see examples in Table 1) and we measure the degree of substitution by checking the ratio of simplified tokens in a sentence pair (normalized by the length of $s_{simp}$)).

| Weight | Type | Rule |
|---|---|---|
| 0.99623 | [VP] | recipient → have receive |
| 0.75530 | [NN] | recipient → winner |
| 0.58694 | [NN] | recipient → receiver |

Table 1: Example of simplifying rules in PPDB

**Dropping** refers to the rewriting transformation by removing unimportant or redundant parts from a sentence. To measure the degree of dropping, we calculate the ratio of the tokens being discarded from a complex sentence.

**Reordering** denotes the rearrangement of parts in a sentence to simplify its syntax and structure. To measure the reordering, we extract the syntactic structure of each sentence and compare the syntactical change between each pair of sentences.

Concretely, following the method proposed by Xu et al., we use a dependency parser (Honnibal et al., 2020) to extract dependency relations from a sentence. Then Jaccard similarity between the two sets of relations is calculated to measure the degree of reordering transformation.

**Splitting** divides a long sentence into several shorter ones to reduce syntactic complexity. We count the number of sentences on both sides, and a help from the split is observed when the number of sentences at the simplified side is larger.

### 2.2 Studies on Existing Datasets

We conducted quantitatively inspections using the five proposed metrics on four mainstream datasets: WIKILARGE and NEWELA, which are commonly used as training data in prior work, as well as the validation set of TURK (Xu et al., 2016) and ASSET (Alva-Manchego et al., 2020). The latter two were annotated by human and are representative of real distribution of text simplification. Figure 1 shows the results on four metrics. On *alignment*, TURK and ASSET contain most aligned sentence pairs and almost all sentence pairs are of high

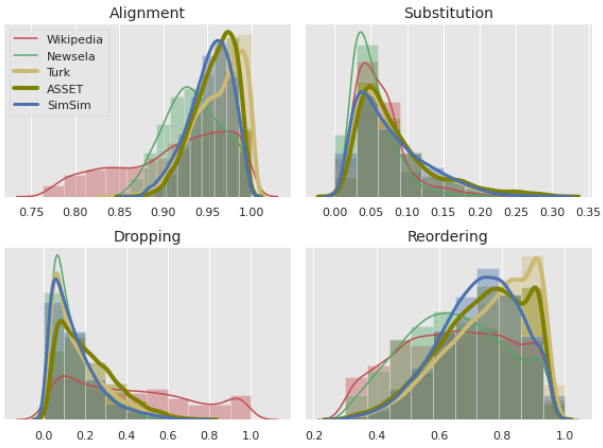


Figure 1: The histograms and density estimates of four property measures in simplification data.

similarity (larger than 0.9), whereas WIKILARGE and NEWELA have a large proportion of poorly aligned pairs with WIKILARGE is more problematic. TURK and ASSET also present more *substitution* than the two other datasets, and WIKILARGE exhibits a very different distribution of *dropping* from the others, where it discards more words and in certain extreme cases only retains a few words at the simplified side. Lastly, TURK and ASSET contain less *reordering* (leaning towards 1.0), whereas WIKILARGE and NEWELA contain sentences with drastic syntactic changes. Table 2 shows the proportion of sentence pairs contribute to splitting. A large proportion of sentence pairs in ASSET help to split, which indicates the splitting cannot be ignored but all other datasets rarely help to split.

| Corpus | Proportion |
|---|---|
| Wikipedia | 0.102 |
| Newsela | 0.002 |
| Turk | 0.044 |
| ASSET | 0.310 |
| SimSim | 0.399 |

Table 2: Proportion of sentence pairs helps to split

Overall, a large discrepancy in the rewriting distributions is shown between TURK, ASSET and the two training datasets, which makes us wonder the validity of the models trained with such biased data. This motivates us to develop novel training data that can better transfer real knowledge of text simplification to models.

## 3 SIMSIM: Data Enhancement by Simulating Simplification

We think that a well-generalizable simplification model needs to be trained on high quality training

pairs and simplification knowledge. we propose a method to automatically refine/construct existing training pairs and inject knowledge of simplification by simulating various rewriting transformations. Unlike previous datasets (i.e. WIKILARGE and NEWELA) that heavily rely on paired documents of different readabilities and can hardly scale up, our method is capable of exploiting any text data on the Internet as seed thus avoid those limitations. We name the resulting dataset SIMSIM.

**Overview** Our method starts with a set of seed sentences, then a series of enhancement steps are performed on each seed sentence to generate a new sentence so that the original seed sentence and the new resulting sentence form a complex-simple pair. Note that this method not only can enhance original training pairs, it can also construct new pairs solely using complex sentences. This method works on other corpora too, but we leave it for future work. To build seed sentences, we apply BERTSCORE on each sentence pair in WIKILARGE and NEWELA to check their semantic alignment between the complex and simple sentences. For those badly aligned pairs, we remove their simple sentences to eliminate the noise led by the misalignment. We take the rest sentences as seeds for enhancement.

**Constructing Paraphrastic Sentences by Back-Translation** The bottom line of simplifying a sentence is to paraphrase it without alternating its meaning. Rather than retrieving aligned sentences from paired documents, we propose to create such pairs with the help of back-translation. We expect that the back-translated sentences should preserve the meaning of the original sentences, meanwhile demonstrate more linguistic diversity. The idea has been proven effective for paraphrasing sentences (Wieting et al., 2017) and improving translation with monolingual data (Sennrich et al., 2016).

We employ Google's Neural Machine Translation System (GNMT) (Wu et al., 2016) for this purpose, on account of its overall translation quality and the support of a large number of languages. We translated each seed sentence into 103 pivot languages and translated it back to English. Some examples are shown in Table 3.

**Candidate Selection with GPT-2.** Paraphrastic sentences generated through back-translation can contain language errors and unnatural expressions. GPT-2, as a powerful neural language model trained with open-domain text (Radford et al., 2019), can help us to evaluate the quality of candidate sentences. GPT-2 gives a score (negative log-likelihood) to a sentence, and we assume that a better GPT-2 score means that the sentence is more likely to be in high quality. Thus among all 103 candidates, we select the best one as the target sentence for further enhancement. Particularly, if GPT-2 deems the back-translated sentence less natural than the original one, it will be discarded. Although, the remaining candidate sentences after GPT-2 scoring can be considered to be well-aligned and natural, they are not ready for training a simplification model since most of them have not been simplified yet. They therefore go through a set of simulating steps as presented below:

**Simulating Substitution** In order to impose substitution knowledge into the candidate sentences, we applied the paraphrasing rules in PPDB. To ensure the applied rules are proper, we use GPT-2 again to evaluate the quality of the resulting sentences.

**Simulating Dropping** To distill the dropping operation into data, we follow previous approach (Filippova and Altun, 2013) and augment the data by randomly removing prepositional, adjective or adverb phrases.

**Simulating Splitting** We find that back-translation rarely splits a sentence into multiple shorter ones. Thus we propose to include WIKISPLIT (Botha et al., 2018) to incorporate the splitting operation into our data. We put WIKISPLIT sentence pairs into the seed bank and we apply the above process to the target-side sentences so as to mix the splitting transformation with others.

**SIMSIM Dataset** By simulating different operations with the above steps, we present a new corpus SIMSIM for the task of text simplification. As shown in Figure 1 and Table 2, SIMSIM demonstrates a closer distribution to the human-annotated TURK and ASSET, from multiple rewriting aspects. This suggests that SIMSIM may serve as a better dataset for training simplification models.

## 4 Experiments

**Setup** We train Transformer-based vanilla Encoder-Decoder models with five datasets. The first two are WIKILARGE and WIKI-AUTO, two common training datasets. **WIKILARGE** (Zhang and Lapata, 2017) is constructed by automatically aligning sentences in Simple Wikipedia

| Pivot | Sentence | NLL |
|---|---|---|
| Original | It is situated at the coast of the Baltic sea , where it encloses the city of stralsund . | 3.8020 |
| Chinese | It is located on the coast of the Baltic Sea and surrounds the city of Stralsund . | 2.8642 |
| Greek | It is located on the shores of the Baltic Sea, where it encloses the city of Stralsund . | 2.8379 |
| Italy | It is located on the Baltic Sea coast, where the city of Stralsund is located . | 2.9493 |
| Japanese | It is located on the Baltic Sea coast and surrounds the city of Stralsund . | 3.1864 |
| Hindi | It is situated on the banks of the Baltic sea, where it surrounds the town of Stralsund . | 3.0487 |

Table 3: Examples of back-translation with GNMT. The rightmost column shows the Negative log-likelihood (NLL) scores estimated by GPT-2.

and Wikipedia, with the help of lexical-based features, such as the Jaccard coefficient and TF-IDF. It has 296k complex-simple sentence pairs. **WIKI-AUTO** (Jiang et al., 2020) uses a neural CRF model in order to achieve a better auto-alignment than the rule-based method used in WIKILARGE, which contains 488k pairs. The remaining three datasets are the three variants of SIMSIM dataset: (1) SIMSIM-S1, constructed by directly applying 103-language back-translation on candidate sentences, resulting millions of pairs; (2) SIMSIM-S2, constructed by selecting the most natural sentence from translated sentences with GPT-2 (1.67M pairs); (3) SIMSIM-S3 further improves SIMSIM-S2 by simulating different rewriting operations (1.67M pairs). We use TURK (Xu et al., 2016) and ASSET (Alva-Manchego et al., 2020) for validation and testing. SARI (Xu et al., 2016) is used as the evaluation measure since it is widely used in the literature.

| Train Data | SARI↑ | | | |
|---|---|---|---|---|
| | Score | Add | Delete | Keep |
| SBMT-SARI (Xu et al., 2016) | 39.96 | 5.96 | 41.42 | 72.52 |
| DMASS-DCSS (Zhao et al., 2018) | 40.45 | 5.72 | 42.23 | 73.41 |
| EDITNTS (Dong et al., 2019) | 38.23 | 3.36 | 39.15 | 72.13 |
| EDIT-UNSUP-TS (Kumar et al., 2020) | 37.85 | 2.31 | 43.65 | 67.59 |
| WIKILARGE | 38.84 | 4.78 | 41.19 | 70.53 |
| WIKI-AUTO | 39.64 | 5.18 | 41.61 | 72.13 |
| SIMSIM-S1 | 36.33 | 4.53 | 32.79 | 71.66 |
| SIMSIM-S2 | 40.15 | 7.52 | 38.64 | **74.32** |
| SIMSIM-S3 | **41.07** | **8.33** | **41.97** | 72.89 |

Table 4: Performance of vanilla Encoder-Decoder models and some other baselines tested on WikiTurk dataset.

**Results** The experiment results are presented in Table 4 and 5. Between two models trained with WIKI-AUTO and WIKILARGE respectively, the one on WIKI-AUTO achieved better scores than that of WIKILARGE, which is helped by the improved aligning algorithm. However, WIKI-AUTO

| Train Data | SARI↑ | | | |
|---|---|---|---|---|
| | Score | Add | Delete | Keep |
| WIKI-AUTO | 50.79 | 16.65 | 69.58 | 66.16 |
| SIMSIM-S1 | 49.34 | 17.10 | 66.48 | 64.44 |
| SIMSIM-S2 | 52.20 | **19.34** | **69.89** | **67.38** |
| SIMSIM-S3 | **52.37** | 19.18 | 51.01 | 66.92 |

Table 5: Performance of vanilla Encoder-Decoder models tested on ASSET dataset.

is still limited to the contents in Wikipedia and contains much noise. In comparison, models trained with SIMSIM outperform WIKILARGE and WIKI-AUTO consistently. Because SIMSIM is constructed in a more controlled way, the sentences in each pair are more aligned and more rewriting operations are included. Among the three SIMSIM variants, SIMSIM-S1, constructed by only back-translation, performs the worst among the three, and worse than two baselines. Back-translation itself can boost the diversity of sentence pairs, nevertheless it also introduces much noise in language. By utilizing GPT-2 to select the most natural ones from back-translated pairs, the model using SIMSIM-S2 outperforms SIMSIM-S1 by a large margin. Moreover, the SARI performance can be further boosted with SIMSIM-S3, which applied multiple rewriting operations on each training pair to simulate the real simplification process.

## 5 Conclusion

In this study, we observe a significant discrepancy exists between the human simplified sentences and common training data and propose an unsupervised data enhancement method, SIMSIM, to explicitly teach the model appropriate operations by distilling the knowledge into training data. The empirical results show that the resulting dataset SIMSIM can support models to achieve better performance by performing all operations properly.

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## A Training Details

Our Transformer architecture uses an embedding dimension of 512, fully connected layers of dimension 2048, 8 attention heads, 6 layers in the encoder and 6 layers in the decoder. we used beam search with a beam size of 8. For optimization, model updates use a batch size of 400 and a LAMB optimizer with learning rate 0.001 (You et al., 2019) and all the models were trained by 250,000 steps (takes around 2-3 days). Training was done on a single Cloud TPU V2.

## B Evaluation Details

We computed SARI using the code provided at https://github.com/cocoxu/simplification and we mainly compare our results with studies using the same evaluation protocal (Xu et al., 2016; Zhang and Lapata, 2017; Zhao et al., 2018; Dong et al., 2019; Kumar et al., 2020). Note that most studies reported ASSET scores using a different evaluation code and therefore we cannot include their scores for the sake of fair comparison.

## C Implementation Details

Our model implementation is based on Tensorflow 1.15 and Tensor2Tensor library https://github.com/tensorflow/tensor2tensor.