# CLASSIFIER-FREE GUIDANCE IS A PREDICTOR CORRECTOR

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#### Abstract

We investigate the theoretical foundations of classifier-free guidance (CFG). CFG is the dominant method of conditional sampling for text-to-image diffusion models, yet unlike other aspects of diffusion, it remains on shaky theoretical footing. In this paper, we first disprove common misconceptions, by showing that CFG interacts differently with DDPM (Ho et al., 2020) and DDIM (Song et al., 2021), and neither sampler with CFG generates the gamma-powered distribution  $p(x|c)^{\gamma}p(x)^{1-\gamma}$ . Then, we clarify the behavior of CFG by showing that it is a kind of predictor-corrector method (Song et al., 2020) that alternates between denoising and sharpening, which we call predictor-corrector guidance (PCG). We prove that in the SDE limit, CFG is actually equivalent to combining a DDIM predictor for the conditional distribution (with a carefully chosen gamma). Our work thus provides a lens to theoretically understand CFG by embedding it in a broader design space of principled sampling methods.

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#### 1 INTRODUCTION

Classifier-free-guidance (CFG) has become an essential part of modern diffusion models, especially 028 in text-to-image applications (Dieleman, 2022; Rombach et al., 2022; Nichol et al., 2021; Podell 029 et al., 2023). CFG is intended to improve conditional sampling, e.g. generating images conditioned on a given class label or text prompt (Ho & Salimans, 2022). The traditional (non-CFG) way to do 031 conditional sampling is to simply train a model for the conditional distribution  $p(x \mid c)$ , including 032 the conditioning c as auxiliary input to the model. In the context of diffusion, this means training a 033 model to approximate the conditional score  $s(x, t, c) := \nabla_x \log p_t(x \mid c)$  at every noise level t, and 034 sampling from this model via a standard diffusion sampler (e.g. DDPM). Interestingly, this standard 035 way of conditioning usually does not perform well for diffusion models, for reasons that are unclear. 036 In the text-to-image case for example, the generated samples tend to be visually incoherent and not faithful to the prompt, even for large-scale models (Ho & Salimans, 2022; Rombach et al., 2022). 037

Guidance methods, such as CFG and its predecessor classifier guidance (Sohl-Dickstein et al., 2015; Song et al., 2020; Dhariwal & Nichol, 2021), are methods introduced to improve the quality of conditional samples. During training, CFG requires learning a model for both the unconditional and conditional scores ( $\nabla_x \log p_t(x)$  and  $\nabla_x \log p_t(x|c)$ ). Then, during sampling, CFG runs any standard diffusion sampler (like DDPM or DDIM), but replaces the true conditional scores with the "CFG scores"

$$\widetilde{s}(x,t,c) := \gamma \nabla_x \log p_t(x \mid c) + (1-\gamma) \nabla \log p_t(x),$$

for some  $\gamma > 0$ . This turns out to produce much more coherent samples in practice, and so CFG is used in almost all modern text-to-image diffusion models (Dieleman, 2022). A common intuition for why CFG works starts by observing that Equation (1) is the score of a *gamma-powered* distribution:

$$p_{t,\gamma}(x|c) \propto p_t(x)^{1-\gamma} p_t(x|c)^{\gamma}, \tag{2}$$

(1)

which is also proportional to  $p_t(x)p_t(c|x)^{\gamma}$ . Raising  $p_t(c|x)$  to a power  $\gamma > 1$  sharpens the classifier around its modes, thereby emphasizing the "best" exemplars of the given class or other conditioner at each noise level. Applying CFG — that is, running a standard sampler with the usual score replaced by the CFG score at each denoising step — is supposed to increase the influence of the conditioner on the final samples.

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Figure 1: CFG vs. PCG. We prove that the DDPM variant of classifier-free guidance (top) is equivalent to a kind of predictor-corrector method (bottom), in the continuous limit. We call this 078 latter method "predictor-corrector guidance" (PCG), defined in Section 4.1. The equivalence holds for all CFG guidance strengths  $\gamma$ , with corresponding PCG parameter  $\gamma' = (2\gamma - 1)$ , as given in 079 Theorem 3. Samples from SDXL with prompt: "photograph of a cat eating sushi using chopsticks".

However, CFG does not inherit the theoretical correctness guarantees of standard diffusion, because the CFG scores do not necessarily correspond to a valid diffusion forward process. The fundamental issue (which is known, but still worth emphasizing) is that  $p_{t,\gamma}(x|c)$  is not the same as the distribution obtained by applying a forward diffusion process to the gamma-powered data distribution  $p_{0,\gamma}(x|c)$ . That is, letting  $N_t[p]$  denote the distribution produced by starting from a distribution p and running the diffusion forward process up to time t, we have

$$p_{t,\gamma}(x|c) := N_t [p_0(x|c)]^{\gamma} \cdot N_t [p_0(x)]^{1-\gamma} \neq N_t \left[ p_0(x|c)^{\gamma} p_0(x)^{1-\gamma} \right].$$

Since the distributions  $\{p_{t,\gamma}(x|c)\}_t$  do not correspond to any known forward diffusion process, we 091 cannot properly interpret the CFG score (1) as a denoising direction; and using the CFG score in 092 a sampling loop like DDPM or DDIM is no longer theoretically guaranteed to produce a sample 093 from  $p_{0,\gamma}(x|c)$  or any other known distribution. Although this flaw is known in theory (e.g. Du et al. 094 (2023); Karras et al. (2024a)), it is largely ignored in practice and in much of the literature. The 095 theoretical foundations of CFG are thus unclear, and important questions remain open. Is there a 096 principled way to think about why CFG works? And what does it even mean for CFG to "work" what problem is CFG solving? We make progress towards understanding the foundations of CFG, 098 and in the process we uncover several new aspects and connections to other methods.

- 1. First, we disprove common misconceptions about CFG by counterexample. We show that the DDPM and DDIM variants of CFG can generate different distributions, neither of which is the gamma-powered data distribution  $p_0(x)^{1-\gamma}p_0(x|c)^{\gamma}$ .
- 103 2. We define a family of methods called predictor-corrector guidance (PCG), as a natural way to approximately sample from gamma-powered distributions. PCG alternates between denoising steps and Langevin dynamics steps. In contrast to (Song et al., 2020), where 105 the predictor and corrector both target the conditional distribution, in PCG the predictor anneals using conditional diffusion paths, while the corrector mixes towards the (sharpened) 107 gamma-powered distribution.

- 3. We prove that in the continuous-time limit, CFG is equivalent to PCG with a careful choice of parameters. This gives a principled way to interpret CFG: it is implicitly an annealed Langevin dynamics.
- 4. For demonstration purposes, we implement the PCG sampler for Stable Diffusion XL and observe that it produces samples qualitatively similar to CFG, with guidance scales determined by our theory. Further, we explore the design axes exposed by the PCG framework, namely guidance strength and Langevin iterations, to clarify their respective effects.

#### 2 PRELIMINARIES

We adopt the continuous-time stochastic differential equation (SDE) formalism of diffusion from Song et al. (2020). These continuous-time results can be translated to discrete-time algorithms; we give explicit algorithm descriptions for our experiments.

123 2.1 DIFFUSION SAMPLERS

Forward diffusion processes start with a conditional data distribution  $p_0(x|c)$  and gradually corrupt it with Gaussian noise, with  $p_t(x|c)$  denoting the noisy distribution at time t. The forward diffusion runs up to a time T large enough that  $p_T$  is approximately pure noise. To sample from the data distribution, we first sample from the Gaussian distribution  $p_T$  and then run the diffusion process in reverse (which requires an estimate of the score, usually learned by a neural network). A variety of samplers have been developed to perform this reversal. DDPM (Ho et al., 2020) and DDIM (Song et al., 2021) are standard samplers that correspond to discretizations of a reverse-SDE and reverse-ODE, respectively. Due to this correspondence, we refer to the reverse-SDE as DDPM and the reverse-ODE as DDIM for short. The forward process, reverse-SDE, and equivalent reverse-ODE (Song et al., 2020) for the variance-preserving (VP) (Ho et al., 2020) conditional diffusion are 

Forward SDE : 
$$dx = -\frac{1}{2}\beta_t x dt + \sqrt{\beta_t} dw.$$
 (3)

DDPM SDE: 
$$dx = -\frac{1}{2}\beta_t x \, dt - \beta_t \nabla_x \log p_t(x|c) dt + \sqrt{\beta_t} d\overline{w}$$
 (4)

$$\mathsf{DDIM}\;\mathsf{ODE}:\quad dx = -\frac{1}{2}\beta_t x\;dt - \frac{1}{2}\beta_t \nabla_x \log p_t(x|c)dt. \tag{5}$$

The unconditional version of each sampler simply replaces  $p_t(x|c)$  with  $p_t(x)$ . Note that the *score*  $\nabla_x \log p_t(x|c)$  appears in both (4) and (5). Intuitively, the score points in a direction toward higher probability, and so it helps to reverse the forward diffusion process. The score is unknown in general, but can be learned via standard diffusion training methods.

#### 2.2 CLASSIFIER-FREE GUIDANCE

CFG replaces the usual conditional score  $\nabla_x \log p_t(x|c)$  in (4) or (5) at each timestep t with the alternative score  $\nabla_x \log p_{t,\gamma}(x|c)$ . In SDE form, the CFG updates are

$$\mathsf{CFG}_{\mathsf{DDPM}}: \quad dx = -\frac{1}{2}\beta_t x \, dt - \beta_t \nabla_x \log p_{t,\gamma}(x|c) dt + \sqrt{\beta_t} d\overline{w} \tag{6}$$

$$\mathsf{CFG}_{\mathsf{DDIM}}: \quad dx = -\frac{1}{2}\beta_t x \ dt - \frac{1}{2}\beta_t \nabla \log p_{t,\gamma}(x|c)dt, \tag{7}$$

where 
$$\nabla_x \log p_{t,\gamma}(x|c) = (1-\gamma)\nabla_x \log p_t(x) + \gamma \nabla_x \log p_t(x|c)$$
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#### 2.3 LANGEVIN DYNAMICS

Langevin dynamics (Rossky et al., 1978; Parisi, 1981) is another sampling method, which starts from an arbitrary initial distribution and iteratively transforms it into a desired one. Langevin dynamics (LD) is given by the following SDE (Robert et al., 1999)

$$dx = \frac{\varepsilon}{2} \nabla \log \rho(x) dt + \sqrt{\varepsilon} dw.$$
(8)



176 Figure 2: Counterexamples:  $CFG_{DDIM} \neq CFG_{DDPM} \neq gamma-powered$ .  $CFG_{DDIM}$  and CFG<sub>DDPM</sub> do not generate the same output distribution, even when using the same score func-177 tion. Moreover, neither generated distribution is the gamma-powered distribution  $p_{0,\gamma}(x|c)$ . (Left) 178 Counterexample 1 (section 3.1): CFG<sub>DDIM</sub> yields a sharper distribution than CFG<sub>DDPM</sub>, and both are 179 sharper than  $p_{0,\gamma}(x|c)$ . (Right) Counterexample 2 (section 3.2): Neither CFG<sub>DDIM</sub> nor CFG<sub>DDPM</sub> 180 yield even a scaled version of the gamma-powered distribution  $p_{0,\gamma}(x|c) = \mathcal{N}(-3,1)$ . The 181 CFG<sub>DDPM</sub> distribution is mean-shifted relative to  $p_{0,\gamma}(x|c)$ . The CFG<sub>DDIM</sub> distribution is mean-182 shifted and not even Gaussian (note the asymmetrical shape). 183

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208 209 LD converges (under some assumptions) to the steady-state  $\rho(x)$  (Roberts & Tweedie, 1996). That is, letting  $\rho_s(x)$  denote the solution of LD at time s, we have  $\lim_{s\to\infty} \rho_s(x) = \rho(x)$ . Similar to diffusion sampling, LD requires the score of the desired distribution  $\rho$  (or a learned estimate of it).

## 3 MISCONCEPTIONS ABOUT CFG

We first observe that the exact definition of CFG matters: specifically, the sampler with which it used.
Without CFG, DDPM and DDIM generate equivalent distributions. However, we will prove that with CFG, DDPM and DDIM can generate different distributions. We provide informal statements of our claims below, to convey the main intuitions. The formal statement and proof is provided in Appendix A.1, as Theorem 4.

**Theorem 1** (CFG<sub>DDIM</sub>  $\neq$  CFG<sub>DDPM</sub>; informal). Consider generating a sample via CFG using either DDPM or DDIM as the sampler. There exists a particular data distribution for which the generations of CFG differ depending on the choice of sampler. In particular, for large guidance scale  $\gamma \gg 1$ , CFG<sub>DDPM</sub> and CFG<sub>DDPM</sub> approximately generate the following distributions, respectively:

$$\widehat{p}_{ddpm} pprox \mathcal{N}(0, \gamma^{-1}), \quad \widehat{p}_{ddim} pprox \mathcal{N}(0, 2^{-\gamma}).$$

Next, we disprove the misconception that CFG generates the gamma-powered distribution data:

**Theorem 2** (CFG  $\neq$  gamma-sharpening, informal). *There exists a data distribution*  $p_0$  such that for any  $\gamma > 0$ , neither CFG<sub>DDIM</sub> nor CFG<sub>DDPM</sub> produces the gamma-powered distribution  $p_{0,\gamma}(x|c) \propto p_0(x)^{1-\gamma}p_0(x|c)^{\gamma}$ .

Both claims are proven using a simple Gaussian construction, as outlined in the next section.

210 3.1 COUNTEREXAMPLE 1

We first present a setting that allows us to *exactly* solve the ODE and SDE dynamics of CFG in closedform, and hence to find the exact distribution sampled by running CFG. This would be intractable in general, but it is possible for a specific problem, as follows.

215 Consider a setting where  $p_0(x)$  and  $p_0(x|c=0)$  are both zero-mean Gaussians, but with different variances. Specifically,  $(x_0, c)$  are jointly Gaussian, with  $p(c) = \mathcal{N}(0, 1)$ ,  $p_0(x|c) = c + \mathcal{N}(0, 1)$ .

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$$p_{0}(x) = \mathcal{N}(0, 2)$$

$$p_{0}(x|c=0) = \mathcal{N}(0, 1)$$

$$p_{0,\gamma}(x|c=0) = \mathcal{N}(0, \frac{2}{\gamma+1})$$
(9)

For this problem, we can solve  $CFG_{DDIM}$  (7) and  $CFG_{DDPM}$  (6) analytically; that is, we solve initialvalue problems for the reversed dynamics to find the sampled distribution of  $\hat{x}_t$  in terms of the initial-value  $x_T$ . Applying these results to t = 0 and averaging over the known Gaussian distribution of  $x_T$  gives the exact distribution of  $\hat{x}_0$  that CFG samples. The full derivation is in Appendix A.1. The final CFG-sampled distributions are:

$$\mathsf{CFG}_{\mathsf{DDPM}}: \quad \widehat{x}_0 \sim \mathcal{N}\left(0, \frac{2 - 2^{2 - 2\gamma}}{2\gamma - 1}\right) \tag{10}$$

$$\mathsf{CFG}_{\mathsf{DDIM}}: \quad \widehat{x}_0 \sim \mathcal{N}\left(0, 2^{1-\gamma}\right). \tag{11}$$

(12)

This shows that for any  $\gamma > 1$ , the CFG<sub>DDIM</sub> distribution is sharper than the CFG<sub>DDPM</sub> distribution, and both are sharper than the gamma-powered distribution  $p_{0,\gamma}(x|c=0)$ . (Even though the distributions all have the same mean, their different variances make them distinct.) In fact, for  $\gamma \gg 1$ , the variance of DDPM-CFG is approximately  $\frac{2}{2\gamma-1}$ , which is about twice the variance of  $p_{0,\gamma}(x|c=0)$ . In Figure 2, we compare the CFG<sub>DDIM</sub> and CFG<sub>DDPM</sub> distributions – sampled using an exact denoiser (see Appendix A.6) within DDIM/DDPM sampling loops – to the unconditional, conditional, and gamma-powered distributions.

#### 3.2 COUNTEREXAMPLE 2

In the above counterexample, the CFG<sub>DDIM</sub>, CFG<sub>DDPM</sub>, and gamma-powered distributions had different variances but the same Gaussian form, so one might wonder whether the distributions differ only by a scale factor in general. This is not the case, as we can see in a different counterexample that reveals greater qualitative differences, in particular a symmetry-breaking behavior of CFG.

In Counterexample 2, the unconditional distribution is a Gaussian mixture with two clusters with equal weights and variances, and means at  $\pm \mu$ .

 $c \in \{0, 1\}, \quad p(c = 0) = \frac{1}{2}$   $p_0(x_0|c = 0) = \mathcal{N}(-\mu, 1), \quad p_0(x_0|c = 1) = \mathcal{N}(\mu, 1)$   $p_0(x_0) = \frac{1}{2}p_0(x_0|c = 0) + \frac{1}{2}p_0(x_0|c = 1)$ 

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254 If the means are sufficiently separated ( $\mu \gg 1$ ), then the gamma-powered distribution for  $\gamma > 1$  is 255 approximately equal to the conditional distribution, i.e.  $p_{0,\gamma}(x|c) \approx p_0(x|c)$ , due to the near-zeroprobability valley between the conditional densities (see Appendix A.2). However, for sufficiently 256 high noise the clusters begin to merge, and  $p_{t,\gamma}(x|c) \neq p_t(x|c)$ . In particular,  $p_{0,\gamma}(x|c)$  is approximately Gaussian with mean  $\pm \mu$ , but  $p_{t,\gamma}(x|c) \neq p_t(x|c)$  is not. Although we cannot solve the CFG 257 258 ODE and SDE in this case, we can empirically sample the CFG<sub>DDIM</sub> and CFG<sub>DDPM</sub> distributions 259 using an exact denoiser and compare them to the gamma-powered distribution. In particular, we see 260 that neither CFG<sub>DDIM</sub> nor CFG<sub>DDPM</sub> is Gaussian with mean  $\pm \mu$ , hence neither is a scaled version 261 of the gamma-powered distribution. The results are shown in Figure 2. Concurrent work by Chi-262 dambaram et al. (2024) offers a theoretical analysis confirming our qualitative observations in the two-cluster case, while Wu et al. (2024) conduct an analysis of similar GMM settings. 264

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#### 4 CFG AS A PREDICTOR-CORRECTOR

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> The previous sections illustrated the subtlety in understanding CFG. We can now state our main structural characterization, that CFG is equivalent to a special kind of *predictor-corrector* method (Song et al., 2020).

# 270 4.1 PREDICTOR-CORRECTOR GUIDANCE

As a warm-up, suppose we actually wanted to sample from the gamma-powered distribution:

$$p_{\gamma}(x|c) \propto p(x)^{1-\gamma} p(x|c)^{\gamma}.$$
(13)

A natural strategy is to run Langevin dynamics w.r.t.  $p_{\gamma}$ . This is possible in theory because we can compute the score of  $p_{\gamma}$  from the known scores of p(x) and  $p(x \mid c)$ :

$$\nabla_x \log p_\gamma(x \mid c) = (1 - \gamma) \nabla_x \log p(x) + \gamma \nabla_x \log p(x \mid c).$$
(14)

However this won't work in practice, due to the well-known issue that vanilla Langevin dynamics has impractically slow mixing times for many distributions of interest (Song & Ermon, 2019). The usual remedy for this is to use some kind of annealing, and the success of diffusion teaches us that the diffusion process defines a good annealing path (Song et al., 2020; Du et al., 2023). Combining these ideas yields an algorithm remarkably similar to the predictor-corrector methods introduced in Song et al. (2020). For example, consider the following diffusion-like iteration, starting from  $x_T \sim \mathcal{N}(0, \sigma_T)$  at t = T. At timestep t,

- 1. Predictor: Take one diffusion denoising step (e.g. DDIM or DDPM) w.r.t.  $p_t(x \mid c)$ , using score  $\nabla_x \log p_t(x \mid c)$ , to move to time  $t' = t \Delta t$ .
- 2. Corrector: Take  $K \ge 1$  Langevin dynamics steps w.r.t. distribution  $p_{t',\gamma}$ , using score

$$\nabla_x \log p_{t',\gamma}(x \mid c) = (1 - \gamma) \nabla_x \log p_{t'}(x) + \gamma \nabla_x \log p_{t'}(x \mid c)$$

It is reasonable to expect that running this iter-292 ation down to t = 0 will produce a sample from 293 approximately  $p_{\gamma}(x|c)$ , since the iteration can be thought of as a type of annealed Langevin 295 dynamics, with time t playing the role of tem-296 perature (c.f. Remark 1 below). We name this 297 algorithm predictor-corrector guidance (PCG). 298 Remarkably, it turns out that for specific choices 299 of the denoising predictor and Langevin step 300 size, PCG is equivalent (in the SDE limit) to 301 CFG, but with a different  $\gamma$ . We will formalize 302 and prove this in the subsequent section.

**Remark 1** (Langevin Dynamics). The standard annealed Langevin dynamics corresponds to a predictor-corrector where the predictor is an identity function: it only reduces the "temperature"  $t \rightarrow t - \Delta t$  without changing the current



Figure 3: CFG is equivalent to PCG for particular parameter choices.

sample  $x_t$ . The iteration above uses an intuitively better predictor that moves  $x_t$  along the diffusion path, which is the "correct" way to reduce temperature (at least in the conditional diffusion setting).

**Remark 2** (Mixing). Why do we expect PCG to sample from approximately  $p_{\gamma}(x|c)$ ? For the same reason we expect annealed Langevin dynamics to work: in the limit of many Langevin steps ( $K \to \infty$ ), the corrector will fully mix to the distribution  $p_{t',\gamma}$  at each time t'. In reality we may take only K = 1 Langevin step at each iteration, which will at least move the sample distribution towards the target distribution  $p_{t',\gamma}(x|c)$ , even if it does not fully mix.

**Remark 3** (Predictor-Corrector). *PCG technically differs from the predictor-corrector algorithms in* Song et al. (2020), because our predictor and corrector operate w.r.t. different distributions ( $p_t$  vs.  $p_{t,\gamma}$ ). However, conceptually all of these methods can be thought of as variants of annealed Langevin dynamics (as described in Remark 1), with different annealing choices.

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Consider the version of PCG defined in Algorithm 1, which uses DDIM as predictor and a particular LD on the gamma-powered distribution as corrector. We take K = 1, i.e. a single LD step per iteration. Crucially, we set the LD step size such that the Langevin noise scale exactly matches the Algorithm 1: PCG<sub>DDIM</sub>, theory. (see Algorithm 2 for practical implementation.) **Input:** Conditioning c, guidance weight  $\gamma > 0$ **Constants:**  $\beta_t := \beta(t)$  from Song et al. (2020).  $K \in \mathbb{N}$ , the number of Langevin iterations.  $x_1 \sim \mathcal{N}(0, I)$ <sup>2</sup> for  $(t = 1 - \Delta t; t > 0; t \leftarrow t - \Delta t)$  do  $s_{t+\Delta t} := \nabla \log p_{t+\Delta t}(x_{t+\Delta t}|c)$  $x_t \leftarrow x_{t+\Delta t} + \frac{1}{2}\beta_t(x_{t+\Delta t} + s_{t+\Delta t})\Delta t$  $\triangleright$  DDIM step for  $p_{t+\Delta t}(x|c) \rightarrow p_t(x|c)$  $\varepsilon := \beta_t \Delta t$  $\triangleright$  Langevin step size, matching DDPM noise scale  $\beta_t$ for  $k = 1, \ldots K$  do  $\eta \sim \mathcal{N}(0, I_d)$  $s_{t,\gamma} := (1 - \gamma)\nabla \log p_t(x_t) + \gamma \nabla \log p_t(x_t|c)$  $x_t \leftarrow x_t + \frac{\varepsilon}{2} s_{t,\gamma} + \sqrt{\varepsilon} \eta$  $\triangleright$  Langevin dynamics on  $p_{t,\gamma}(x|c)$ end 11 end 12 return  $x_0$ 

noise scale of a (hypothetical) DDPM step at the current time (similar to Du et al. (2023)). In the limit as  $\Delta t \rightarrow 0$ , Algorithm 1 becomes the following SDE (see Appendix B):

$$dx = \underbrace{\Delta \mathsf{DDIM}(x,t)}_{\text{Predictor}} + \underbrace{\Delta \mathsf{LD}_{\mathsf{G}}(x,t,\gamma)}_{\text{Corrector}} =: \Delta \mathsf{PCG}_{\mathsf{DDIM}}(x,t,\gamma), \tag{15}$$
where  $\Delta \mathsf{DDIM}(x,t) = -\frac{1}{2}\beta_t(x + \nabla \log p_t(x|c))dt$ 

$$\Delta \mathsf{LD}_{\mathsf{G}}(x,t,\gamma) = -\frac{1}{2}\beta_t \Big((1-\gamma)\nabla \log p_t(x) + \gamma\nabla \log p_t(x|c)\Big)dt + \sqrt{\beta_t}d\overline{w}.$$

Above,  $\Delta DDIM(x, t)$  is the *differential* of the DDIM ODE (5), i.e. the ODE can be written as  $dx = \Delta \mathsf{DDIM}(x, t)$ . And  $\Delta \mathsf{LD}_{\mathsf{G}}(x, t, \gamma)$ , where **G** stands for "guidance", is the limit as  $\Delta t \to 0$  of the Langevin dynamics step in PCG, which behaves like a differential of LD (see Appendix B).

We can now show that the PCG SDE (15) matches CFG with DDPM, but with a different  $\gamma$ . In the statement,  $\Delta CFG_{DDPM}(x, t, \gamma)$  denotes the differential of the CFG<sub>DDPM</sub> SDE (6), similar to the notation above. This result is trivial to prove using our definitions, but the statement itself appears to be novel.<sup>1</sup> 

**Theorem 3** (CFG is predictor-corrector). In the SDE limit, CFG with DDPM is equivalent to a predictor-corrector. That is, the following differentials are equal:

$$\Delta \mathsf{CFG}_{\mathsf{DDPM}}(x,t,\gamma) = \Delta \mathsf{DDIM}(x,t) + \Delta \mathsf{LD}_{\mathsf{G}}(x,t,2\gamma-1) =: \Delta \mathsf{PCG}_{\mathsf{DDIM}}(x,t,2\gamma-1) \quad (16)$$

Notably, the guidance scales of CFG and the above Langevin dynamics are not identical.

Proof.

$$\begin{split} \Delta \mathsf{PCG}_{\mathsf{DDIM}}(x,t,\gamma) &= \Delta \mathsf{DDIM}(x,t) + \Delta \mathsf{LD}_{\mathsf{G}}(x,t,\gamma) \\ &= -\frac{1}{2}\beta_t(x+(1-\gamma)\nabla\log p_t(x) + (1+\gamma)\nabla\log p_t(x|c))dt + \sqrt{\beta_t}d\overline{w} \\ &= -\frac{1}{2}\beta_t x \Delta t - \beta_t \nabla_x \log p_{t,\gamma'}(x|c)\Delta t + \sqrt{\beta_t}d\overline{w}, \quad \gamma' := \frac{\gamma}{2} + \frac{1}{2} \\ &= \Delta \mathsf{CFG}_{\mathsf{DDPM}}(x,t,\gamma') \end{split}$$

<sup>1</sup>Notice that taking  $\gamma = 1$  in Theorem 3 recovers the standard fact that DDPM is equivalent, in the limit, to DDIM interleaved with LD (e.g. Karras et al. (2022)). This is because for  $\gamma = 1$ , CFG<sub>DDPM</sub> is just DDPM, so Theorem 3 reduces to:  $\Delta DDPM(x, t) = \Delta DDIM(x, t) + \Delta LD_G(x, t, 1)$ .

#### 378 5 DISCUSSION AND RELATED WORKS 379

There have been many recent works toward understanding CFG. To better situate our work, it helps to first discuss the overall research agenda.

5.1 UNDERSTANDING CFG: THE BIG PICTURE

385 We want to study the question of why CFG helps in practice: specifically, why it improves both image 386 quality and prompt adherence, compared to conditional sampling. We can approach this question by applying a standard generalization decomposition. Let p(x|c) be the "ground truth" population distribution; let  $p^*_{\alpha}(x|c)$  be the distribution generated by the ideal CFG sampler, which exactly solves 388 the CFG reverse SDE for the ground-truth scores (note that at  $\gamma = 1$ ,  $p_1^*(x|c) = p(x|c)$ ); and let 389  $\hat{p}_{\gamma}(x|c)$  denote the distribution of the real CFG sampler, with learnt scores and finite discretization. 390 Now, for any image distribution q, let PerceivedQuality $[q] \in \mathbb{R}$  denote a measure of perceived sample quality of this distribution to humans. We cannot mathematically specify this notion of quality, but we will assume it exists for analysis. Notably, PerceivedQuality is *not* a measurement of how 393 close a distribution is to the ground-truth p(x|c) — it is possible for a generated distribution to appear 394 even "higher quality" than the ground-truth, for example. We can now decompose: 395

$$\underbrace{\operatorname{PerceivedQuality}[\widehat{p}_{\gamma}]}_{\operatorname{Real CFG}} = \underbrace{\operatorname{PerceivedQuality}[p_{\gamma}^{*}]}_{\operatorname{Ideal CFG}} - \underbrace{\left(\operatorname{PerceivedQuality}[p_{\gamma}^{*}] - \operatorname{PerceivedQuality}[\widehat{p}_{\gamma}]\right)}_{\operatorname{Generalization Gap}}$$
(17)

Therefore, if the LHS increases with  $\gamma$ , it must be because at least one of the two occurs:

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> 1. The ideal CFG sampler improves in quality with increasing  $\gamma$ . That is, CFG distorts the population distribution in a favorable way (e.g. by sharpening it, or otherwise).

2. The generalization gap decreases with increasing  $\gamma$ . That is, CFG has a type of regularization effect, bringing population and empirical processes closer.

408 In fact, it is likely that both occur. The original motivation for CG and CFG involved the first effect: 409 CFG was intended to produce "lower-temperature" samples from a sharpened population distribution (Dhariwal & Nichol, 2021; Ho & Salimans, 2022). This is particularly relevant if the model is 410 trained on poor-quality datasets (e.g. cluttered images from the web), so we want to use guidance 411 to sample from a higher-quality distribution (e.g. images of an isolated subject). On the other hand, 412 recent studies have given evidence for the second effect. For example, Karras et al. (2024a) argues 413 that unguided diffusion sampling produces "outliers," which are avoided when using guidance ----414 this can be thought of as guidance reducing the generalization gap, rather than improving the ideal 415 sampling distribution. Another interpretation of the second effect is that guidance could enforce a 416 good inductive bias: it "simplifies" the family of possible output distributions in some sense, and thus 417 simplifies the learning problem, reducing the generalization gap. Figure 6 shows a example where 418 this occurs. Finally, this generalization decomposition applies to any intervention to the SDE, not just 419 increasing guidance strength. For example, increasing the Langevin steps in PCG (parameter K) also 420 shrinks the generalization gap, since it reduces the discretization error.

421 In this framework, our work makes progress towards understanding both terms on the RHS of 422 Equation 17, in different ways. For the first term, we identify structural properties of ideal CFG, 423 by showing that  $p_{\gamma}^*$  can be equivalently generated by a standard technique (an annealed Langevin 424 dynamics). For the second term, the PCG framework highlights the ways in which errors in the 425 learned score can contribute to a generalization gap, during both the denoising step and the LD step 426 (the latter would move toward an inaccurate steady-state distribution).

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428 5.2 OPEN QUESTIONS AND LIMITATIONS

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In addition to the above, there are a number of other questions left open by our work. First, we study 430 only the stochastic variant of CFG (i.e. CFG<sub>DDPM</sub>), and it is not clear how to adapt our analysis 431 to the more commonly used deterministic variant (CFG<sub>DDIM</sub>). This is subtle because the two CFG



Figure 4: Effect of Guidance and Correction. Each grid shows SDXL samples using PCG<sub>DDIM</sub>, as the guidance strength  $\gamma$  and Langevin iterations K are varied. Left: "photograph of a dog drinking coffee with his friends". Right: "a tree reflected in the hood of a blue car". (Zoom in to view).

variants can behave very differently in theory, but appear to behave similarly in practice. It is thus 454 open to identify plausible theoretical conditions which explain this similarity<sup>2</sup>; we give a suggestive 455 experiment in Figure 5. More broadly, it is open to find more explicit characterizations of CFG's 456 output distribution, in terms of the original p(x) and p(x|c). 457

Finally, we presented PCG primarily as a tool to understand CFG, not as a practical algorithm in 458 itself. Nevertheless, the PCG framework outlines a broad family of guided samplers, which may be 459 promising to explore in practice. For example, the predictor can be any diffusion denoiser, including 460 CFG itself. The corrector can operate on any distribution with a known score, including compositional 461 distributions as in Du et al. (2023), or any other distribution that might help sharpen or otherwise 462 improve on the conditional distribution. Finally, the number of Langevin steps could be adapted to 463 the timestep, similar to Kynkäänniemi et al. (2024), or alternative samplers could be considered (Du 464 et al., 2023; Neal, 2012; Ma et al., 2015).

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#### STABLE DIFFUSION EXAMPLES 5.3

We include several examples running predictor-corrector guidance on Stable Diffusion XL (Podell 469 et al., 2023). These serve primarily to sanity-check our theory, not as a suggestion for practice. For 470 all experiments, we use PCG<sub>DDIM</sub> as implemented explicitly in Algorithm 2. Note that PCG offers a more flexible design space than standard CFG; e.g. we can run multiple corrector steps for each denoising step to improve the quality of samples (controlled by parameter K in Algorithm 2). 472

**CFG vs. PCG.** Figure 1 illustrates the equivalence of Theorem 3: we compare CFG<sub>DDPM</sub> with 474 guidance  $\gamma$  to PCG<sub>DDIM</sub> with exponent  $\gamma' := (2\gamma - 1)$ . We run CFG<sub>DDPM</sub> with 200 denoising steps, 475 and PCG<sub>DDIM</sub> with 100 denoising steps and K = 1 Langevin step per denoising step. Corresponding 476 samples appear to have qualitatively similar guidance strengths, consistent with our theory. 477

478 Effects of Guidance and Corrector. In Figure 4 we show samples from PCG<sub>DDIM</sub>, varying the 479 guidance strength and Langevin iterations (i.e. parameters  $\gamma$  and K respectively in Algorithm 2). We 480 also include standard CFG<sub>DDIM</sub> samples for comparison. All samples used 1000 denoising steps for 481 the base predictor. Overall, we observed that increasing Langevin steps tends to improve the overall 482 image quality, while increasing guidance strength tends to improve prompt adherence. In particular, 483 sufficiently many Langevin steps can sometimes yield high-quality conditional samples, even without 484

<sup>&</sup>lt;sup>2</sup>Curiously, CFG<sub>DDIM</sub> is the correct probability-flow ODE for CFG<sub>DDPM</sub> if and only if the true intermediate distribution at time t is  $p_{t,\gamma}$ . However we know this is not the true distribution in general, from Section 3.

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486	Method	$\gamma = 1$	$\gamma = 1.1$	$\gamma = 1.3$	$\gamma = 1.5$
487		/ -	/	1	1
488	CFG <sub>DDPM</sub>	5.99	3.90	2.71	3.33
489	CFG <sub>DDIM</sub>	7.11	4.61	2.55	2.47
190	PCG <sub>DDIM</sub> (LD steps=1)	7.77	5.54	3.37	3.16
401	PCG <sub>DDIM</sub> (LD steps=3)	7.42	4.11	3.71	6.10
491	PCG <sub>DDIM</sub> (LD steps=5)	7.23	3.80	4.87	8.86
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Table 1: FID Scores on ImageNet (lower is better), using DDPM, DDIM, and PCG samplers. We vary  $\gamma$  and the number of LD steps. FD-DINOv2 and Inception Scores provided in Appendix C.

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any guidance ( $\gamma = 1$ ); see Figure 7 in the Appendix for another such example. This is consistent with the observations of Song et al. (2020) on unguided predictor-corrector methods. It is also related to the findings of Du et al. (2023) on MCMC methods: Du et al. (2023) similarly use an annealed Langevin dynamics with reverse-diffusion annealing, although they focus on general compositions of distributions rather than the specific gamma-powered distribution of CFG.

Notice that in Figure 4, increasing the number of Langevin steps appears to also increase the "effective" guidance strength. This is because the dynamics does not fully mix: one Langevin step (K = 1) does not suffice to fully converge the intermediate distributions to  $p_{t,\gamma}$ .

506 5.4 IMAGENET EXPERIMENTS

For completeness, we also include experiments comparing variants of PCG and CFG on ImageNet (Russakovsky et al., 2015). Table 1 shows FID scores (Heusel et al., 2017) on ImageNet, using EDM2 pretrained diffusion models (Karras et al., 2024b). Metrics are calculated using 50,000 samples and 200 sampling steps, generated using EDM2 checkpoints edm2-img512-s-2147483-0.025 (conditional) and edm2-img512-xs-uncond-2147483-0.025 (unconditional).

- For all samplers, there is a "sweet spot" of guidance scale  $\gamma$ ; quality starts to degrade when  $\gamma$  is too low or too high. This is a well-known behavior of CFG, and also occurs for PCG.
- For PCG methods, increasing the number of LD steps does not always improve FID it depends on the guidance scale. More LD steps helps at γ = 1.1 for example, but starts to hurt at higher γ. This may seem surprising, but is explained by the same mechanism we saw in Figure 4: increasing the LD steps corresponds to increasing the "effective" guidance strength, because a single step does not fully mix the Langevin dynamics.
- CFG<sub>DDPM</sub> and PCG<sub>DDIM</sub> (LD=1) have different optimal guidance scales γ. The FID of CFG<sub>DDPM</sub> is minimized at γ ≈ 1.3, while PCG<sub>DDIM</sub> is minimized at ≥ 1.5. This is roughly in line with Theorem 3, where the equivalence between PCG and CFG requires rescaling γ.
  - Finally, for  $\gamma = 1$ , both PCG<sub>DDIM</sub> and CFG<sub>DDPM</sub> are equivalent to standard DDPM in the SDE limit. However, PCG<sub>DDIM</sub> has significantly worse FID in the above finite-stepsize experiment. This discrepancy can thus be attributed to different discretization strategies of the same SDE similar to how DDPM is a more sophisticated discretization than Euler–Maruyama for the reverse-diffusion SDE (e.g. Lu et al. (2022b)).
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### 6 CONCLUSION

We have shown that while CFG is not a diffusion sampler on the gamma-powered data distribution 532  $p_0(x)^{1-\gamma}p_0(x|c)^{\gamma}$ , it can be understood as a particular kind of predictor-corrector, where the predictor 533 is a DDIM denoiser, and the corrector at each step t is one step of Langevin dynamics on the gamma-534 powered noisy distribution  $p_t(x)^{1-\gamma'} p_t(x|c)^{\gamma'}$ , with  $\gamma' = (2\gamma - 1)$ . Although Song et al. (2020)'s 535 Predictor-Corrector algorithm has not been widely adopted in practice, perhaps due to its computation 536 expense relative to samplers like DPM++ (Lu et al., 2022b), it turns out to provide a lens to understand 537 the unreasonable practical success of CFG. On a practical note, PCG encompasses a rich design 538 space of possible predictors and correctors for future exploration, that may help improve the promptalignment, diversity, and quality of diffusion generation.

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629 630	A 1D GAUSSIAN COUNTEREXAMPLES
631 632 633	In this section, we formalize and prove Theorems 1 and 2. We will work with a <i>variance-exploding</i> (VE) process, so we begin by defining CFG for the VE process (analogous to the SDE (6) and ODE (7) for the VP process).
634 635 636 637 638	<b>Definition 1</b> (CFG, variance-exploding). Given a data distribution $p_0(x, c)$ , define the noisy distribution $p_t(x)$ for any $t \in \mathbb{R}_+$ as the result of running the VE forward diffusion SDE $dx = dw$ , up to time t, with initial distribution $p_0(x)$ at $t = 0$ . Explicitly, this is the convolution $p_t := p_0 \star \mathcal{N}(0, t)$ . Similarly define $p_t(x c) := p_0(x c) \star \mathcal{N}(0, t)$ .

For all  $\gamma \in \mathbb{R}$  and  $c \in \mathbb{R}$ , define the CFG SDEs for DDPM and DDIM, respectively, as

$$CFG_{DDPM}: \quad dx = -\nabla_x \log p_{t,\gamma}(x|c)dt + d\overline{w}, \tag{18}$$

$$CFG_{DDIM}: \quad \frac{dx}{dt} = -\frac{1}{2}\nabla_x \log p_{t,\gamma}(x|c), \tag{19}$$

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where  $p_{t,\gamma}(x|c) := p_t(x|c)^{\gamma} p_t(x)^{1-\gamma}/Z$ , and  $Z \in \mathbb{R}_+$  is the appropriate normalization constant.

645 The SDE and ODE above specify the dynamics of the CFG sampler in the VE setting. Specifically, 646 in order to sample via CFG, we start with a Gaussian sample  $x_T \sim \mathcal{N}(0,T)$  for some  $T \gg 0$ , and 647 then run the SDE or ODE from time t = T down to time t = 0, to generate a sample  $x_0$ . We call the resulting distribution of samples  $x_0$  the generated distribution, and adopt the following notation:



Figure 5: (Left) For Counterexample 1 (section 3.1), we plot the empirical and theoretical variance of the gamma-powered, CFG<sub>DDIM</sub>, and CFG<sub>DDPM</sub> distributions, over a range of values of  $\gamma$ . The theoretical predictions are given by equations (11) and (10), and the empirical distributions are sampled using an exact denoiser. This verifies the theoretical predictions and illustrates the decreasing variance from  $p_{0,\gamma}$  to CFG<sub>DDPM</sub> to CFG<sub>DDIM</sub>. (Right) For counterexample 3 (section A.3 with different choices of variance ( $\sigma = 1$  and  $\sigma = 2$ ), we compare CFG<sub>DDIM</sub> and CFG<sub>DDPM</sub>. Increasing the variance makes the two CFG samplers more similar. Also note that the CFG<sub>DDIM</sub> distribution is symmetric around the center cluster, but asymmetric around the side clusters. This experiment suggests that multiple clusters and greater overlap between classes can help symmetrize and reduce the difference between CFG<sub>DDIM</sub> and CFG<sub>DDPM</sub>

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**Definition 2** (CFG generated distributions). Denote by  $p_{DDPM}^{(T)}(x|c)$ ,  $p_{DDIM}^{(T)}(x|c)$  the probability densities of the distributions generated by the CFG<sub>DDPM</sub> SDE (18), CFG<sub>DDIM</sub> ODE (19), respectively; that is, the solutions to the SDE, ODE, respectively, at time t = 0 with initial conditions  $x_T \sim$  $\mathcal{N}(0,T)$ , for any terminal times  $T \in \mathbb{R}_+$  and conditioning  $c \in \mathbb{R}$ .

677 We will mainly be interested in the limits of the generated distributions as we let the terminal time 678  $T \to \infty$ , which corresponds to allowing the diffusion process to fully mix. We can now formalize 679 Theorems 1 and 2 as follows: 680

**Theorem 4** (Counterexample for which  $CFG_{DDIM} \neq CFG_{DDPM} \neq$  gamma-sharpening). In the setting of Definitions 1 and 2, there exists a data distribution such that the distributions generated by CFG<sub>DDPM</sub> and CFG<sub>DDIM</sub> are different, and neither is equal to the gamma-powered distribution. Specifically, define a data distribution  $p_0(x,c)$ , over inputs  $x \in \mathbb{R}$  and conditioning  $c \in \mathbb{R}$ , as:

 $p_0(c) = \mathcal{N}(c; 0, 1), \quad p_0(x|c) = \mathcal{N}(x; c, 1).$ 

In particular,  $(x, c) \in \mathbb{R}^2$  is jointly Gaussian and  $p_0(x|c=0) = \mathcal{N}(x; 0, 1)$ . 688

Then, for all  $x, \gamma \in \mathbb{R}$ , the limiting generated distributions for c = 0 are:

$$\lim_{T \to \infty} p_{DDPM}^{(T)}(x|c=0) = \mathcal{N}\left(x; 0, \frac{2 - 2^{2 - 2\gamma}}{2\gamma - 1}\right)$$
(20)

$$\lim_{T \to \infty} p_{DDIM}^{(T)}(x|c=0) = \mathcal{N}\left(x; 0, 2^{1-\gamma}\right).$$
(21)

Furthermore, the gamma-powered distribution for c = 0 is given by  $p_{0,\gamma}(x|c=0) = \mathcal{N}(x;0,\frac{2}{\gamma+1})$ . 695 Therefore, 696

$$\lim_{T \to \infty} p_{\text{DDPM}}^{(T)}(x|c=0) \neq \lim_{T \to \infty} p_{\text{DDIM}}^{(T)}(x|c=0) \neq p_{0,\gamma}(x|c=0).$$

Note that variance of the generated distributions depends on the guidance weight  $\gamma$  (Equations 20 699 and 20), and is exponentially different between DDIM and DDPM when  $\gamma \gg 1$ . The proof follows 700 directly from the calculations in the next section (A.1), which characterize the density evolution of 701 CFG in this setting.

702 A.1 COUNTEREXAMPLE 1

704 Counterexample 1 (equation 9) has

706	$p(c) = \mathcal{N}(0, 1)$
700	$p_0(x c) = \mathcal{N}(c,1)$
708	$\implies p_0(x) \sim \mathcal{N}(0,2)$
709	$p_0(x c=0) \sim \mathcal{N}(0,1).$

710 The  $\gamma$ -powered distribution is

$$p_{0,\gamma}(x|c=0) = p_0(x|c)^{\gamma} p_{c=0}(x)^{1-\gamma}$$
$$\propto e^{-\frac{\gamma x^2}{2}} e^{-\frac{(1-\gamma)x^2}{4}} = e^{-\frac{(\gamma+1)x^2}{4}}$$
$$\sim \mathcal{N}(0, \frac{2}{\gamma+1}).$$

717 We consider a simple variance-exploding (VE) diffusion defined by the SDE

$$dx = dw.$$

The DDIM sampler is a discretization of the reverse ODE

$$\frac{dx}{dt} = -\frac{1}{2}\nabla_x \log p_t(x)$$

and the DDPM sampler is a discretization of the reverse SDE

$$dx = -\nabla_x \log p_t(x) dt + d\overline{w}$$

For CFG<sub>DDIM</sub> or CFG<sub>DDPM</sub>, we replace the score with CFG score  $\nabla_x \log p_{t,\gamma}(x)$ .

At inference time we choose an initial sample  $x_T \sim \mathcal{N}(0,T)$  and run  $\mathsf{CFG}_{\mathsf{DDIM}}$  from  $t = T \to 0$  to obtain a final sample  $x_0$ . Note that the true distribution generated by the forward process in our setting is  $p_T = \mathcal{N}(0, T + 1)$ , which becomes close to our inference-time terminal distribution  $\mathcal{N}(0,T)$  for large T. Taking the limit of  $T \to \infty$  in our setting thus corresponds to allowing the forward diffusion process to fully mix.

**CFG<sub>DDIM</sub>** For Counterexample 1, the CFG<sub>DDIM</sub> ODE has a closed-form solution (derivation in section A.5):

$$\begin{split} \mathsf{CFG}_{\mathsf{DDIM}} : \quad & \frac{dx}{dt} = -\frac{1}{2} \nabla_x \log p_{t,\gamma}(x) \\ & = x_t \left( \frac{\gamma}{2(1+t)} + \frac{(1-\gamma)}{2(2+t)} \right) \\ \implies & x_t = x_T \sqrt{\frac{(t+1)^{\gamma}(t+2)^{1-\gamma}}{(T+1)^{\gamma}(T+2)^{1-\gamma}}}. \end{split}$$

That is, for a particular initial sample  $x_T$ , CFG<sub>DDIM</sub> produces the sample  $x_t$  at time t. Evaluating at t = 0 and taking the limit as  $T \to \infty$  yields the ideal denoised  $x_0$  sampled by CFG<sub>DDIM</sub> given an initial sample  $x_T$ :

$$\begin{split} \widehat{x}_0^{\mathsf{CFG}_{\mathsf{DDIM}}}(x_T) &= x_T \sqrt{\frac{2^{1-\gamma}}{(T+1)^{\gamma}(T+2)^{1-\gamma}}} \\ & \to x_T \sqrt{\frac{2^{1-\gamma}}{T}} \quad \text{as } T \to \infty. \end{split}$$

To get the denoised distribution obtained by reverse-sampling with  $CFG_{DDIM}$ , we need to average over the distribution of  $x_T$ :

$$\mathbb{E}_{x_T \sim \mathcal{N}(0,T)} [\widehat{x}_0^{\mathsf{CFG}_{\mathsf{DDIM}}}(x_T)] = \mathcal{N}(0, T\frac{2^{1-\gamma}}{T}) = \mathcal{N}\left(0, 2^{1-\gamma}\right).$$

which is equation 11 in the main text.

(22)

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CFG<sub>DDPM</sub>

 $\implies$ 

=

$$dx = -\nabla_x \log p_{t,\gamma}(x)dt + d\overline{w}$$
  
=  $x \left(\frac{\gamma}{(1+t)} + \frac{(1-\gamma)}{(2+t)}\right) dt + d\overline{w}$   
 $x(t) = x_T \frac{(1+t)^{\gamma}(2+t)^{1-\gamma}}{(1+T)^{\gamma}(2+T)^{1-\gamma}} + (1+t)^{\gamma}(2+t)^{1-\gamma} \sqrt{\frac{1}{2\gamma-1}} \sqrt{\left(\frac{t+1}{t+2}\right)^{1-2\gamma}} - \left(\frac{T+1}{T+2}\right)^{1-2\gamma}} \xi.$ 

Similar to the CFG<sub>DDIM</sub> argument, we can obtain the final denoised distribution as follows:

CFG<sub>DDPM</sub> also has a closed-form solution (derived in section A.5):

$$\begin{split} \widehat{x}_{0}^{\mathsf{CFG}_{\mathsf{DDPM}}}(x_{T}) &= x_{T} \frac{2^{1-\gamma}}{(1+T)^{\gamma}(2+T)^{1-\gamma}} + 2^{1-\gamma} \sqrt{\frac{1}{2\gamma-1}} \sqrt{2^{2\gamma-1} - \left(\frac{T+1}{T+2}\right)^{1-2\gamma}} \xi \\ & \rightarrow x_{T} \frac{2^{1-\gamma}}{T} + \sqrt{\frac{2-2^{2-2\gamma}}{2\gamma-1}} \xi \quad \text{as } T \rightarrow \infty \\ & \Rightarrow \underset{x_{T} \sim \mathcal{N}(0,T)}{\mathbb{E}} [\widehat{x}_{0}^{\mathsf{CFG}_{\mathsf{DDPM}}}(x_{T})] = \mathcal{N} \left( 0, T \left(\frac{2^{1-\gamma}}{T}\right)^{2} + \frac{2-2^{2-2\gamma}}{2\gamma-1} \right) \\ & \rightarrow \mathcal{N} \left( 0, \frac{2-2^{2-2\gamma}}{2\gamma-1} \right), \end{split}$$

which is equation 10 in the main text, and for  $\gamma \gg 1$  becomes approximately

$$\mathbb{E}_{x_T \sim \mathcal{N}(0,T)} [\hat{x}_0^{\mathsf{CFG}_{\mathsf{DDPM}}}(x_T)] \approx \mathcal{N}\left(0, \frac{2}{2\gamma - 1}\right).$$

In Figure 5, we confirm results (10, 11) empirically.

#### A.2 COUNTEREXAMPLE 2

787788 Counterexample 2 (9) is a Gaussian mixture with equal weights and variances.

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$$c \in \{0,1\}, \quad p(c=0) = \frac{1}{2}$$
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792 $p_0(x_0|c) \sim \mathcal{N}(\mu^{(c)},1), \quad \mu^{(0)} = -\mu, \quad \mu^{(1)} = \mu$ 793  
794 $p_0(x_0) \sim \frac{1}{2}p_0(x_0|c=0) + \frac{1}{2}p_0(x_0|c=1).$ 

We noted in the main text that if  $\mu$  is sufficiently large enough that the clusters are approximately disjoint, and  $\gamma \ge 1$ , then  $p_{0,\gamma}(x|c) \approx p_0(x|c)$ . To see this note that

However,  $p_{t,\gamma}(x|c) \neq p_t(x|c)$  since the noisy distributions do overlap/interact.

We don't have complete closed-form solutions for this problem like we did for Counterexample 1. We have the solution for conditional DDIM for the basic VE process dx = dw (using the results from the previous section): 

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DDIM on 
$$p_t(x|c): \frac{dx}{dt} = -\frac{1}{2} \nabla_x \log p_t(x|c)$$
  
 $= -\frac{1}{2(1+t)} (\mu^{(c)} - x_t)$   
 $\Rightarrow x(t) = \mu^{(c)} + (x_T - \mu^{(c)}) \sqrt{\frac{1+t}{1+T}},$ 

but otherwise have to rely on empirical results. We do however have access to the ideal conditional and unconditional denoisers via the scores (Appendix A.6):

$$\nabla_x \log p_t(x|c) = -\frac{1}{2(1+t)}(\mu^{(c)} - x_t)$$

$$\nabla_x \log p_t(x) = \frac{\nabla_x p_t(x)}{p_t(x)} = \frac{\frac{1}{2} \sum_{c=0,1} \nabla_x p_t(x|c)}{p_t(x)}.$$

#### A.3 COUNTEREXAMPLE 3

We consider a 3-cluster problem to investigate why CFG<sub>DDIM</sub> and CFG<sub>DDPM</sub> often appear similar in practice despite being different in theory. Counterexample 3 (9) is a Gaussian mixture with equal weights and variances. We vary the variance to investigate its effect on CFG.

$$c \in \{0, 1, 2\}, \quad p(c) = \frac{1}{3} \quad \forall c$$

$$p_0(x_0|c) \sim \mathcal{N}(\mu^{(c)}, \sigma), \quad \mu^{(0)} = -3, \quad \mu^{(1)} = 0, \quad \mu^{(2)} = 3$$
$$p_0(x_0) \sim \frac{1}{3} p_0(x_0|c=0) + \frac{1}{3} p_0(x_0|c=1) + \frac{1}{3} p_0(x_0|c=2).$$

We run CFG<sub>DDIM</sub> and CFG<sub>DDPM</sub> with  $\gamma = 3$ , for  $\sigma = 1$  and  $\sigma = 2$ . Results are shown in Figure 5.

#### A.4 GENERALIZATION EXAMPLE 4

We consider a multi-cluster problem to explore the impact of guidance on generalization:

$$p_0(x) \sim \mathcal{N}(0, 10)$$

$$p_0(x|c=0) \sim \sum_i w_i \mathcal{N}(\mu_i, \sigma)$$

$$\mu = (-3, -2.5, -2, -1.5, -1, -0.5, 0, 0.5, 1, 1.5, 2, 2.5)$$

$$w_i = 0.0476 \quad \forall i \neq 6; \quad w_6 = 0.476$$

$$\sigma = 0.1$$
(23)

(24)

Note that the unconditional distribution is wide enough to be essentially uniform within the numerical support of the conditional distribution. The conditional distribution is a GMM with evenly spaced clusters of equal variance, and all equal weights, except for a "dominant" cluster in the middle with higher weight. The results are shown in Figure 6.

#### A.5 CLOSED-FORM ODE/SDE SOLUTIONS

First, we want to solve equations of the general form  $\frac{dx}{dt} = -a(t)x + b(t)$ , which will encompass the ODEs and SDEs of interest to us. All we need for the ODEs is the special b(t) = a(t)c, which is easier. 

The main results are

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$$\frac{dx}{dt} = a(t)(c-x)$$

$$\implies x(t) = c + (x_T - c)e^{A(T) - A(t)}$$

$$\implies x(t) = c + (x_T - c)e^{A(T) - A(t)}$$

where 
$$A(t) = \int a(t)dt$$



Figure 6: An example where guidance benefits generalization. (Top left) Conditional  $p_0(x|c=0)$ 898 (purple) and unconditional  $p_0(x)$  (green) distributions for Example 4 (equation 23). The unconditional 899 distribution is approximately uniform, while the conditional distribution for c = 0 is a GMM with 900 several clusters with equal variances, and equal weights except for a single "dominant" cluster with 901 a higher weight. (Top right) We train small MLPs to predict the conditional and unconditional 902 scores, with early-stopping so that the fit is imperfect. We plot the exact (orange) vs. learned (blue) 903 conditional and unconditional scores: the unconditional scores are learned accurately, while the 904 conditional scores are learned accurately near the dominant cluster but poorly elsewhere. (Bottom left) 905 We sample with DDPM on the conditional distribution (no guidance) using learned scores (blue) vs. 906 exact scores (orange). We expect DDPM to generate the conditional distribution  $p_0(x|c=0)$  (purple). 907 However, DDPM-with-learned-scores samples less accurately than DDPM-with-exact-scores away from the dominant cluster (where the learned scores are inaccurate) (compare the increased blue vs. 908 orange sampling in low-probability regions). (Bottom right) With guidance  $\gamma = 3$ ,  $p_{0,\gamma}(x|c)$  (red) 909 and both samplers concentrate around the dominant cluster (where the learned scores are accurate), 910 reducing the generalization gap between the learned and exact models. 911

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and

First let's consider the special case b(t) = a(t)c, which is easier. We can solve it (formally) by separable equations:

$$\frac{dx}{dt} = a(t)(c-x)$$

$$\implies \int \frac{1}{c-x} dx = \int a(t) dt = A(t)$$

$$\implies -\log(c-x) = A(t) + C$$

$$\implies c-x = e^{-A(t)-C}$$

$$\implies x(t) = c + Ce^{-A(t)}.$$
(26)

Next we need to apply initial conditions to get the right constants. Remembering that we are actually sampling backward in time from initialization  $x_T$ , we can solve for the constant C as follows, to obtain result (24): 

$$x_T = c + Ce^{-A(T)}$$

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$$\implies C = e^{A(T)}(x_T - c)$$
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$$\implies x(t) = c + (x_T - c)e^{A(T) - A(t)}$$

We will apply this result to CFG<sub>DDIM</sub> shortly, but for now we note that for a VE diffusion  $dx = \sqrt{t}dw$ on a Gaussian data distribution  $p_0(x) \sim \mathcal{N}(\mu, \sigma)$  the above result implies the exact DDIM dynamics:  $(r) \sim \mathcal{N}(\mu \sigma^2 + t)$ 

DDIM on  $p_t(x)$ :  $\frac{dx}{dt} = -\frac{1}{2} \nabla_x \log p_t(x)$ 

$$p_t(x) \sim \mathcal{N}\left(\mu, \sigma^2 + t\right)$$

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$$at = -\frac{1}{2(\sigma^2 + t)}(\mu - x)$$
  
 $A(t) = -\frac{1}{2}\log(\sigma^2 + t)$   
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$$\implies x_t = \mu + (x_T - \mu)e^{A(T) - A(t)}$$

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$$= \mu + (x_T - \mu) \sqrt{\frac{\sigma^2 + t}{\sigma^2 + T}}$$

(which makes sense since  $x_{t=T} = x_T$  and  $\frac{\sqrt{\sigma^2}}{\sqrt{\sigma^2 + T}} \approx 0 \implies x_{t=0} \approx \mu$ ).

Now let's return to the general problem with arbitrary b(t) (we need this for the SDEs). We can use an integrating factor to get a formal solution:

$$\frac{dx}{dt} = -a(t)x + b(t)$$

Integrating factor:  $e^{A(t)}$ ,  $A(t) = \int a(t)dt$ 

$$\frac{d}{dt}(x(t)e^{A(t)}) = (x'(t) + a(t)x(t))e^{A(t)}$$
$$= b(t)e^{A(t)}$$

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$$= b(t$$

$$\implies e^{A(t)}x(t) = \int e^{A(t)}b(t)dt + C$$

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$$\implies e^{A(t)}x(t) = \int e^{A(t)}b(t)dt +$$
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971 
$$\implies x(t) = e^{-A(t)} \int e^{A(t)} b(t) dt + C e^{-A(t)}.$$
 (27)

Note that if b(t) = a(t)c this reduces to (26):  $\int e^{-A(t)} e^{A(t)} b(t) dt = c e^{-A(t)} \int a(t) e^{A(t)} dt = c$  $\implies x(t) = c + Ce^{-A(t)}.$ Again, we need to apply boundary conditions to get the constant, and remember that we are actually sampling backward in time from initialization  $x_T$  to obtain result (25):  $\frac{dx}{dt} = -a(t)x + b(t)$  $x_T = e^{-A(T)}B(T) + Ce^{-A(T)}, \quad B(t) := \int e^{A(t)}b(t)dt$  $\implies C = e^{A(T)} x_T - B(T)$  $\implies x(t) = e^{-A(t)}B(t) + (e^{A(T)}x_T - B(T))e^{-A(t)}$  $= e^{-A(t)}(B(t) - B(T)) + x_T e^{A(T) - A(t)}.$ Note that for b(t) = a(t)c this reduces (24):  $b(t) = a(t)c \implies B(t) = ce^{A(t)}$  $\implies x(t) = -ce^{-A(t)}(e^{A(t)} - e^{A(T)}) + x_T e^{A(T) - A(t)}$  $= c + (x_T - c)e^{A(T) - A(t)}.$ **Counterexample 1 solutions** To solve the  $CFG_{DDIM}$  ODE for Counterexample 1 (Equation 9) we apply result (24):  $\frac{dx}{dt} = a(t)(c-x) \implies x(t) = c + (x_T - c)e^{A(T) - A(t)}$  $a(t) = -\frac{\gamma}{2(1+t)} - \frac{(1-\gamma)}{2(2+t)}, \quad c = 0$  $A(t) = -\frac{1}{2} \int \frac{\gamma}{(1+t)} + \frac{(1-\gamma)}{(2+t)} dt$  $= -\frac{1}{2}(\gamma \log(t+1) + (\gamma - 1)\log(t+2))$  $\implies x_t = x_T \sqrt{\frac{(t+1)^{\gamma}(t+2)^{1-\gamma}}{(T+1)^{\gamma}(T+2)^{1-\gamma}}}.$ To solve the CFG<sub>DDPM</sub> SDE for Counterexample 1 (Equation 9), we first apply (25) to the SDE with  $b(t) = -\xi(t):$  $\frac{dx}{dt} = -a(t)x - \xi(t), \quad \langle \xi(t) \rangle = 0, \quad \langle \xi(t), \xi(t') \rangle = \delta(t - t')$  $\implies x(t) = x_T e^{A(T) - A(t)} + e^{-A(t)} (B(t) - B(T)), \quad A(t) = \int a(t) dt, \quad B(t) = -\int e^{A(t)} \xi(t) dt$  $= x_T e^{A(T) - A(t)} + e^{-A(t)} \sqrt{\int_{t}^{T} e^{2A(t)} dt} \xi.$ 

Now, plugging in the DDPM drift term we find that

 $a(t) = -\frac{\gamma}{(1+t)} - \frac{(1-\gamma)}{(2+t)}$   $A(t) = -\gamma \log(1+t) - (1-\gamma) \log(2+t)$   $e^{A(t)} = (1+t)^{-\gamma} (2+t)^{-1+\gamma}$   $\int e^{2A(t)} dt = \int (1+t)^{-2\gamma} (2+t)^{-2+2\gamma} dt$   $= -\frac{1}{2\gamma - 1} \left(\frac{t+1}{t+2}\right)^{1-2\gamma}$   $x(t) = x_T e^{A(T) - A(t)} + e^{-A(t)} \sqrt{\int_t^T e^{2A(t)} dt} \xi$   $(1+t)^{\gamma} (2+t)^{1-\gamma}$ 

$$=x_T \frac{(1+t)^{\gamma}(2+t)^{1-\gamma}}{(1+T)^{\gamma}(2+T)^{1-\gamma}} + (1+t)^{\gamma}(2+t)^{1-\gamma} \sqrt{\frac{1}{2\gamma-1}} \sqrt{\left(\frac{t+1}{t+2}\right)^{1-2\gamma}} - \left(\frac{T+1}{T+2}\right)^{1-2\gamma} \xi$$

#### 1045 A.6 EXACT DENOISER FOR GMM

For the experiments in Figure 2, we used an exact denoiser, for which we require exact conditional and unconditional scores. Exact scores are available for any GMM as follows. This is well-known (e.g. Karras et al. (2024a)) but repeated here for convenience.

$$p(x) = \sum w_i \phi(x; \mu_i, \sigma_i), \quad \text{where} \quad \phi(x; \mu, \sigma^2) := \frac{1}{\sqrt{2\pi\sigma}} e^{-\frac{(x-\mu)^2}{2\sigma^2}}$$

$$\implies \nabla \log p(x) = \frac{\nabla p(x)}{p(x)}$$
$$= \frac{\sum w_i \nabla \phi(\mu_i, \sigma_i)}{\sum w_i \phi(\mu_i, \sigma_i)}$$

$$= -rac{\sum w_i \phi(\mu_i,\sigma_i)}{\sum w_i \left(rac{x-\mu_i}{\sigma_i^2}
ight) \phi(x;\mu_i,\sigma_i^2)}$$

B PCG SDE

We want to show that the SDE limit of Algorithm 1 with 
$$K = 1$$
 is

$$dx = \Delta \mathsf{DDIM}(x, t) + \Delta \mathsf{LD}_{\mathsf{G}}(x, t, \gamma).$$

To see this, note that a single iteration of Algorithm 1 with K = 1 expands to

$$x_{t} = x_{t+\Delta t} \underbrace{-\frac{1}{2}\beta_{t}(x_{t+\Delta t} - \nabla \log p_{t+\Delta t}(x_{t+\Delta t}|c))\Delta t}_{\text{DDIM step on } p_{t+\Delta t}(x+\Delta t|c)} + \underbrace{\frac{\beta_{t}\Delta t}{2}\nabla \log p_{t,\gamma}(x_{t}|c) + \sqrt{\beta_{t}\Delta t}\mathcal{N}(0, I_{d})}_{\text{Langevin dynamics on } p_{t,\gamma}(x_{l}|c)} \\ \implies dx = \lim_{\Delta t \to 0} x_{t} - x_{t+\Delta t} = \underbrace{-\frac{1}{2}\beta_{t}(x_{t} - \nabla \log p_{t}(x_{t}|c))dt}_{\Delta \text{DDIM}(x,t)} + \underbrace{\frac{1}{2}\beta_{t}\nabla \log p_{t,\gamma}(x_{t}|c)dt + \sqrt{\beta_{t}}d\overline{w}}_{\Delta \text{LD}_{G}(x,t,\gamma)}.$$

1074 This concludes the proof.

1076 A subtle point in the argument above is that  $\Delta LD_G(x, t, \gamma)$  represents the result of the Langevin 1077 step in the PCG corrector update, rather than the differential of an SDE. In Algorithm 1, t remains 1078 constant during the LD iteration, and so the SDE corresponding to the LD iteration is

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$$dx = \frac{1}{2}\beta_t \nabla \log p_{t,\gamma}(x_t|c)ds + \sqrt{\beta_t}d\overline{w}, \qquad (28)$$



Increasing # Langevin Steps (PCG<sub>DDPM</sub>)

where s is an LD time-axis that is distinct from the denoising time t, which is fixed during the LD iteration. Thus  $\Delta LD_G(x, t, \gamma)$  is not the differential of (28) (the difference is dt vs ds). However, when we take an LD step of length dt as required for the PCG corrector, the result is

$$\int_{0}^{dt} -\frac{\beta_{t}}{2} \nabla \log p_{t,\gamma} ds + \sqrt{\beta_{t}} d\overline{w} = -\frac{\beta_{t}}{2} \nabla \log p_{t,\gamma} dt + \sqrt{\beta_{t}} d\overline{w} = \Delta \mathsf{LD}_{\mathsf{G}}(x, t, \gamma),$$

so  $\Delta LD_G(x, t, \gamma)$  represents the result of the PCG corrector update in the limit as  $\Delta t \to 0$ .

#### C ADDITIONAL SAMPLES AND METRICS

Table 2: FD-DINOv2 scores for PCG, DDIM, and PCG over  $\gamma$  and number of LD steps. Setup as described in Table 1.

Method	$\gamma = 1$	$\gamma = 1.1$	$\gamma = 1.3$	$\gamma = 1.5$
DDPM-CFG	161.72	125.71	84.65	65.44
DDIM-CFG	189.76	152.04	104.17	79.07
PCG LD steps = $1$	188.83	155.19	109.11	83.50
PCG LD steps = $3$	174.97	119.87	73.38	70.80
PCG LD steps = $5$	166.38	110.27	71.08	93.21

Table 3: Inception Scores for PCG, DDIM, and PCG over  $\gamma$  and number of LD steps. Setup as described in Table 1.

Method	$\gamma = 1$	$\gamma = 1.1$	$\gamma = 1.3$	$\gamma = 1.5$
DDPM-CFG	108.2628	126.8507	157.0371	178.0676
DDIM-CFG	100.0823	116.3814	144.7761	164.6486
PCG LD steps = $1$	101.2306	113.6755	133.1969	147.5756
PCG LD steps = $3$	105.2118	126.9752	152.2398	160.9198
PCG LD steps = $5$	107.1457	139.8954	155.7239	149.6180

#### D AN ALTERNATIVE DISCRETIZATION

1131 In this section we empirically study an alternative discretization of PCG. The equivalence between 1132 PCG and CFG holds in the SDE limit as  $\Delta t \rightarrow 0$ , so PCG should be thought of as an SDE for which 1133 Algorithm 1 is one choice of discretization. However, other discretizations are possible. In this section we explore one of these. In particular, we make a single change to Algorithm 1: we modify the LD loop by changing the order of operations: we first add noise, and then compute and step in the direction of the score; specifically, the inner loop LD becomes:

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$$x_t \leftarrow x_t + \sqrt{\varepsilon}\eta, \quad \eta \sim \mathcal{N}(0, I_d)$$

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$$s_{t,\gamma} := (1 - \gamma) \nabla \log p_t(x_t) + \gamma \nabla \log p_t(x_t|c)$$
  
 $x_t \leftarrow x_t + \frac{\varepsilon}{2} s_{t,\gamma}$ 

1141 This is similar to the "churn" operation in Karras et al. (2022)'s stochastic sampler, and conceptually 1142 similar to a noise-then-denoise step in Lugmayr et al. (2022). We generally find that this change 1143 improves the PCG metrics (more closely matching the DDPM metrics) for smaller  $\gamma$ 's, while 1144 worsening the metrics for larger  $\gamma$ 's, as shown in Table 4. We are not sure why this is, but it is 1145 well-known that diffusion models are sensitive to discretization choices in practice.

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Table 4: Metrics for DDPM, DDIM, and PCG over  $\gamma$  and number of LD steps. Alternative LD discretization (Equation 29).

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1149	FID	$\gamma = 1$	$\gamma = 1.1$	$\gamma = 1.3$	$\gamma = 1.5$
1150	PCG LD steps = 1	5.87115	4.72043	4.15484	4.74044
1152	PCG LD steps = $3$	4.79793	3.49296	4.82135	7.69348
1153	PCG LD steps = $5$	4.51476	3.35029	6.04134	10.6716
1154	FD-DINOv2	$\gamma = 1$	$\gamma = 1.1$	$\gamma = 1.3$	$\gamma = 1.5$
1155	PCG I D steps = 1	156 854	132 605	102 107	88 2433
1156	PCG LD steps = 3	137.502	100.912	76.9214	86.1473
1157	PCG LD steps = $5$	129.782	89.3722	79.0756	112.229
1158	Inception Score	$\gamma = 1$	$\gamma = 1.1$	$\gamma = 1.3$	$\gamma = 1.5$
1159		/ - 1	/ - 1.1	/ - 1.0	/ - 1.0
1160	PCG LD steps $= 1$	107.7871	117.3694	132.3872	141.6556
1161	PCG LD steps $= 3$	115.4412	131.1285	148.9654	152.2574
1162	PCG LD steps = $5$	117.5658	136.5819	150.1884	138.9601

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#### 1165 E ALGORITHMS

Algorithm 2 provides an explicit, practical implementation of PCG. Note that Algorithm 1 and 2 have slightly different DDIM steps, but this just corresponds to two different discretizations of the same process. Algorithm 1 uses the first-order Euler–Maruyama discretization known as "reverse SDE" (Song et al., 2020), which is convenient for our mathematical analysis. Algorithm 2 uses the original DDIM discretization (Song et al., 2021), equivalent to a more sophisticated integrator (Lu et al., 2022a), which is more common in practice.

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Algorithm 2: PCG<sub>DDIM</sub>, explicit 1174 **Input:** Conditioning c, guidance weight  $\gamma \ge 0$ 1175 **Constants:**  $\{\alpha_t\}, \{\overline{\alpha}_t\}, \{\beta_t\}$  from Ho et al. (2020) 1176 1  $x_1 \sim \mathcal{N}(0, I)$ 1177 2 for  $(t = 1 - \Delta t; t \ge 0; t \leftarrow t - \Delta t)$  do 1178  $\varepsilon, \varepsilon_c := \mathsf{NoisePredictionModel}(x_{t+\Delta t}, c)$ 3 1179  $\widehat{x}_0 := (x_{t+\Delta t} - \sqrt{1 - \overline{\alpha}_{t+\Delta t}} \varepsilon_c) / \sqrt{\overline{\alpha}_{t+\Delta t}}$ 4 1180  $x_t := \sqrt{\overline{\alpha}_t} \widehat{x}_0 + \sqrt{1 - \overline{\alpha}_t} \varepsilon_c$  $\triangleright$  DDIM step  $p_{t+\Delta t}(x|c) \rightarrow p_t(x|c)$ 5 1181 for  $k = 1, \ldots K$  do 6 1182 
$$\begin{split} & \varepsilon, \varepsilon_c := \text{NoisePredictionModel}(x_t, c) \\ & x_t \leftarrow x_t - \frac{\beta_t}{2\sqrt{1-\overline{\alpha_t}}} \left( (1-\gamma)\varepsilon + \gamma\varepsilon_c \right) + \sqrt{\beta_t}\eta \\ & \triangleright \text{ Langevin dynamics on } p_{t,\gamma}(x|c) \end{split}$$
1183 8 1184 end 9 1185 10 end 1186 11 return  $x_0$ 1187