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# Normalization of Language Embeddings for Cross-Lingual Alignment

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## Abstract

1 Learning a good transfer function to map the word vectors from two languages  
2 into a shared cross-lingual word vector space plays a crucial role in cross-lingual  
3 NLP. It is useful in translation tasks and important in allowing complex models  
4 built on a high-resource language like English to be directly applied on an aligned  
5 low resource language. While Procrustes and other techniques can align language  
6 models with some success, it has recently been identified that structural differences  
7 (for instance, due to differing word frequency) create different profiles for various  
8 monolingual embedding. When these profiles differ across languages, it corre-  
9 lates with how well languages can align and their performance on cross-lingual  
10 downstream tasks. In this work, we develop a very general language embedding  
11 normalization procedure, building and subsuming various previous approaches,  
12 which removes these structural profiles across languages without destroying their  
13 intrinsic meaning. We demonstrate that meaning is retained and alignment is  
14 improved on similarity, translation, and cross-language classification tasks. Our  
15 proposed normalization clearly outperforms all prior approaches like centering and  
16 vector normalization on each task and with each alignment approach.

## 17 1 Introduction

18 The best multilingual NLP approaches typically do not jointly learn a single embedding, since words  
19 of the same language tend to cluster, and thus are not useful for translation and cross-lingual learning  
20 tasks. Rather, after learning individual embeddings, the standard approach is to map word vectors  
21 from multiple languages into a shared cross-lingual word vector space [15]. This shared space creates  
22 a cross-lingual word embedding (CLWE) [22, 43]. These serve as a valuable tool for transferring  
23 data across different languages, understanding cross-linguistic differences, and cross-lingual transfer  
24 for downstream tasks, such as direct translation [16, 20, 24], cross-lingual information retrieval [42],  
25 cross-lingual document classification [23], and cross-lingual dependency parsing [17, 39].

26 A common element of almost all CLWE methods is the use of a rigid, orthogonal transformation  
27 mapping one embedding onto another so they inhabit a shared linguistic space. An orthogonal  
28 transformation is a special class of transformations that can be interpreted as the space of (in our  
29 case, high-dimensional) rotations around the origin, and also allowing a mirror flip. This family of  
30 transformations preserves (a) linear and (b) angular properties. By linear properties, we mean that  
31 the straight-line Euclidean distance between elements is preserved, as are more powerful properties  
32 like analogies (e.g., Paris - France + Italy  $\approx$  Rome). Angular properties refer to measuring angles  
33 between pairs of points (from the origin), and as a result, cosine distance is preserved. Given a  
34 correspondence between pairs of objects across two embeddings, the classic Procrustes method,  
35 provides a closed-form solution which minimizes the sum of Euclidean distances. Moreover, if the  
36 vectors are all first made as unit vectors, then this also maximizes the sum of cosine similarities [10].

37 Under this framework, there has been a flurry of work significantly improving CLWE model per-  
38 formance along two directions. Semi-supervised and unsupervised models make these approaches  
39 require less input, and more amenable to lower-resource languages. For example Bootstrap Procrustes  
40 (PROC-B) [15, 41] is semi-supervised in that it starts with a small pairwise correspondence (of  
41 500-1000 words), aligns those to infer a larger correspondence, and repeats applying Procrustes  
42 alignment. Methods like MUSE [8] are unsupervised, and use a GAN to estimate a correspondence  
43 before applying a Procrustes procedure.

44 The second direction is preprocessing the embeddings before applying the Procrustes alignment.  
45 These involve methods like removing the mean, removing principal components, and normalization  
46 which we will discuss in depth later. In principle, these methods aim to remove the geometry of  
47 data intrinsic to particular languages (but not shared across languages) while preserving similarity  
48 properties as assured by orthogonal alignment. The space of transformations allowed under orthogonal  
49 alignments is quite large, and we make the point that unless this data geometry is “normalized” it  
50 inhibits the alignment from optimizing over the entirety of this large space.

51 Finally, we note that methods like Canonical Correlation Analysis (CCA) [13], Discriminative Latent  
52 Variable (DLV) [36], and Ranking-based optimization (RCSLS) [21] have also been applied towards  
53 finding an orthogonal alignment (or pair of alignments) which minimizes a different optimization  
54 function – since the objective function may not align with sums of squared Euclidean or cosine  
55 distance [8, 38]. Unlike the others, the RCSLS method notably does not require a rigid transformation.

56 The focus of this paper is on embedding *preprocessing*, and is agnostic to the method of alignment  
57 used afterward, whether it is Procrustes-based, or optimizing something else.

58 **Our contribution.** This work proposes a new and general approach to preprocessing word embed-  
59 dings, subsuming many previous approaches. The key is *Spectral Normalization* which regularizes  
60 the spectral properties of monolingual embeddings by setting all of the top singular vectors to have  
61 the same singular value. However, it leaves alone the smaller singular value; these capture important  
62 information and cannot be zeroed out, but making them the same value as the top singular vectors  
63 introduces too much noise. Spectral normalization already performs as well as the best previous  
64 approaches on alignment and translation tasks, and since it applies a fairly uniform stretching to the  
65 embeddings it does not distort monolingual similarity performance. Moreover, we show layering  
66 Spectral Normalization within an iterative sequence with also centering and vector length normaliza-  
67 tion improves results further. We first demonstrate this improvement on the standard translation task  
68 (BLI). We also show that this normalization preserves the core ontological structure of embeddings  
69 across languages, and that applying our normalization before aligning a low resource language to  
70 English improves performance on topic classification and on a natural language inference task.

## 71 2 Existing Methods for Orthogonal Vector Spaces Alignment

72 Given a language  $L$ , our starting point is an embedded representation of a set of  $n$  words. Indexing  
73 words from  $i = 1 \dots n$ , each word is associated with a vector  $x_L^i \in \mathbb{R}^d$ . And let  $X_L = \{x_L^1, \dots, x_L^n\}$   
74 be the set of  $n$  words as their vector representation. These vector representations (derived by methods  
75 like word2vec [28], GloVe [33], or FastText [4]) are chosen so words with similar pairwise cosine  
76 similarity are found in the similar local context in large text corpora on which they are trained.  
77 Higher-level linear structure is shown to emerge, such as concept subspaces and analogies [29].

78 The focus of this paper is on aligning embeddings of two languages  $L_1$  and  $L_2$ . Each embedding  
79  $X_{L_1}$  and  $X_{L_2}$ , only is designed to ensure pairwise relationships between its word vectors, but the  
80 actual coordinates of those vectors do not have any explicit meaning. Yet, previous work has clearly  
81 demonstrated that there exists significant overall structural similarity, and alignment seeks to make  
82 correspondences between those structures for translation and joint understanding.

83 Most methods start with a known correspondence (or build one) between a set of  $K$  words in  
84 two languages, wlog let these be the same first  $K$  indexed words in those languages, denoted  
85  $X_{L_1}^K = \{x_{L_1}^1 \dots x_{L_1}^K\}$  and  $X_{L_2}^K = \{x_{L_2}^1 \dots x_{L_2}^K\}$ . Then the *Procrustes Problem* solve for an  
86 orthogonal matrix  $W^* = \arg \min_W \|X_{L_1}^K W - X_{L_2}^K\|_2^2$ . There exists a simple solution [2, 38, 45, 10]  
87 as  $W^* = UV^\top$  where  $U\Sigma V^\top = \text{svd}(X_{L_1}^K (X_{L_2}^K)^\top)$ . Dev *et.al.* [10] also point out that if all vectors  
88 are normalized first, then this procedure also maximizes the sum of cosine similarities.

## 89 2.1 Pre-processing Embeddings before Orthogonal Alignment

90 It turns out directly aligning embeddings from two languages (even using the “optimal” Procrustes  
91 solution) does not provide the best possible joint embedding for translation tasks. While word  
92 meaning appears to hold a similar structure, languages have other properties such as differing word  
93 frequency, and this for instance leads to more frequent words having longer vectors in embeddings.  
94 This extra language-specific structure tends to interfere with alignment. As a result, a number  
95 of techniques have been developed to “normalize” the embeddings before Procrustes (or other)  
96 alignment. This in some sense allows the word meaning to dominate the optimization tasks without  
97 other confounding factors. We review the most common normalization approaches.

98 **Mean Centering (C)** subtracts the mean of all vectors in an embedding from each vector in that  
99 embedding. The result is that the mean of all vectors is 0. This is a rigid transformation, and so does  
100 not change the Euclidean distance between any pair of points in an embedding, and also preserves  
101 any linear property like analogies (e.g., Paris - France + Italy  $\approx$  Rome). Dev *et. al.* [10] points out  
102 that this is the first step (followed by the Procrustes orthogonal transformation) to minimize the sum  
103 of squared Euclidean distances among paired words, under any rigid transformation. However, this  
104 *does change* the cosine distance between pairs of points.

105 **Length Normalization (L)** makes each vector have a 2-norm equal to 1, but retains its direction  
106 from the origin [2, 45]. This preprocessing step does not change the cosine distance between any pair  
107 of points in an embedding. But, it *does change* the Euclidean distance between pairs of points.

108 Despite these contrasting goals, these two normalizations each turn out to be individually effective in  
109 regularizing the geometry of the embeddings, and allow for better CLWE. Wang *et. al.* [47], realized  
110 doing both was even more effective, and showed that iterating these two steps achieves the state-  
111 of-the-art way to preprocess, we denote as **I-C+L**. Iterative Normalization transforms monolingual  
112 word embeddings to have unit-length and zero-mean simultaneously (in practice they terminate this  
113 iterative process after a few steps before it achieves these two goals exactly).

114 **PCA Removal (PR)** computes the principal component analysis (PCA) of an embedding, and then  
115 projects away from the direction of the top principal component, removing it [31]. Mu *et. al.* [31]  
116 observed that the top singular values typically do not encode essential semantic relationships between  
117 words but rather align strongly with word frequency. Also, they notice that the top principal values  
118 are much larger than the other values. After eliminating  $d/100$  principal components, where  $d$  is the  
119 dimension of a word representation, they achieve better performance on both intrinsic and extrinsic  
120 tasks. Sachidananda *et. al.* [37] applied this simple pre-processing approach to their Filtered Inner  
121 Product Projection (FIPP) alignment method, and they significantly improve on the BLI task.

## 122 2.2 Spectral Statistics of Embeddings

123 Dubossarsky *et. al.* [11] recently documented how cross-lingual alignment is strongly affected by the  
124 spectral statistics of monolingual embeddings. We stack the embedded vectors  $x_L^i \in \mathbb{R}^d$  as rows in  
125 a  $n \times d$  matrix  $A \in \mathbb{R}^{n \times d}$ . The SVD decomposes  $A$  into  $U\Sigma V^\top$  where  $U$  and  $V$  contain the left  
126 and right singular vectors, and the singular values  $\sigma_1 \geq \sigma_2 \geq \dots \geq \sigma_d \geq 0$  are on the diagonal of  $\Sigma$ .  
127 The *effective rank* of  $A$  is a smoother analog to rank (when there is noise in low rank components),  
128 defined  $\text{er}(A) = e^H(\Sigma)$  where  $H(\Sigma) = -\sum_{i=1}^d \bar{\sigma}_i \log \bar{\sigma}_i$  with  $\bar{\sigma}_i = \sigma_i / \sum_{i=1}^d \sigma_i$ . The *effective*  
129 *condition number*  $\kappa_{\text{eff}}(A) = \sigma_1 / \sigma_{\text{er}(A)}$ , which replaces the numerator (of condition number,  $\sigma_d$ )  
130 with the more robust singular value at the effective rank. This is desired to be small in stable data  
131 sets. The *joint effective condition number* measures the harmonic mean of the effective condition  
132 number across two matrices  $A, A'$  as  $\text{ECOND-HM}(A, A') = \frac{2\kappa_{\text{eff}}(A)\kappa_{\text{eff}}(A')}{\kappa_{\text{eff}}(A) + \kappa_{\text{eff}}(A')}$ . The *singular value gap*  
133 measures how similar the singular value sequences are between two matrices as  $\text{SVG}(A, A') =$   
134  $\sum_{i=1}^d (\log \sigma_i - \log \sigma'_i)^2$ . These should both be smaller, for more comparable data sets.

135 Dubossarsky *et. al.* [11] applied these to monolingual embeddings and demonstrated that the  
136 performance of several CLWE methods were closely tied to these spectral properties. Basically  
137 embeddings align better if they are better jointly conditioned, especially measured via joint effective  
138 condition number and the singular value gap. Motivated by this idea, we propose methods that  
139 spectrally normalize embeddings improving these statistics while retaining intra-embedding meaning.

### 140 3 New Normalization Methods

141 We introduce more direct and more general techniques to normalize monolingual word embeddings  
142 to more effectively prepare them for alignment. The goal is to remove language-specific geometry  
143 while maintaining the intrinsic similarity and structure captured within them.

#### 144 3.1 Geometric Median Normalization

145 Iterative Normalization enforces individual word embeddings to have a unit length and each monolin-  
146 gual embedding to have a zero mean through an iterative technique. Wang *et. al.* [47] showed that  
147 iterating solutions for these distinct goals will eventually converge to a solution which satisfies both.

148 In this paper, we observe that both goals can be done in one shot without iterating – by solving the  
149 Fermat-Weber problem [27, 25]. This dates to the 17th century, and corresponds with identifying the  
150 geometric median of a point set. Formally, the goal is a point  $x^* \in \mathbb{R}^d$  that minimizes the sum of  
151 distances from  $n$  anchor points  $\{a_1, \dots, a_n\} \subset \mathbb{R}^d$  which are not collinear:  $x^* = \min_x \sum_{i=1}^n \|x -$   
152  $a_i\|$ . Several methods [6, 12, 32] have been proposed; the most popular is the Weiszfeld’s algorithm  
153 (Weiszfeld, see Appendix A). It is folklore that the solution  $x^*$  satisfies that  $0 = \sum_{i=1}^n \frac{a_i - x^*}{\|a_i - x^*\|}$ ; we  
154 do not know of a written proof, so prove this in Appendix A.1 for completeness.

155 Using this characteristic of the geometric median, we can simultaneously enforce monolingual  
156 word embeddings to have unit-length and zero-mean in just one step. This can be done using the  
157 Geometric Median normalization (GeoMediaN) algorithm (as Algorithm 1). Given a monolingual  
158 word embedding  $A$ , we compute the geometric median  $x^*$ , and "center" the data on this point, and  
159 unit length normalizes the centered embedding.

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**Algorithm 1** Geometric Median Normalization: GeoMediaN( $A$ )

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```
1:  $x^* \leftarrow \text{Weiszfeld}(A)$   
2: for all  $a_i \in A$  do  $a_i \leftarrow \frac{a_i - x^*}{\|a_i - x^*\|}$   
3: return  $A$ 
```

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160 After these steps, all vectors are unit length, and because of the folklore property (Theorem A.1), the  
161 mean of those points is also 0. As a result, we can state the following property.

162 **Theorem 3.1.** *The output of GeoMediaN( $A$ ) is centered and length normalized.*

163 Despite the Geometric Median Normalization algorithm’s ability to enforce unit-length and zero-  
164 mean in just one step, we will observe that it does not perform especially well on the BLI task.  
165 Both GeoMediaN and I-C+L achieve one of many solutions which achieve these joint goals. We  
166 next investigate another one that works better: it preserves meaning and structure, and removes  
167 language-specific geometry allowing improved alignment.

#### 168 3.2 Spectral Normalization

169 The predominant effect of unit-length and zero-mean normalization on monolingual word embeddings  
170 is that it makes embedding vectors from a language lie on a hypersphere with the center of the  
171 hypersphere centered at the origin. However, this does not take into account how the word embeddings  
172 vectors are spread out or clustered on the hypersphere. Approaches like PCA removal and mean  
173 centering have the effect of reducing the top principal component or top singular vector. As a result,  
174 if the spectral properties are extreme, it can help regularize them. However, this approach can be a  
175 bit blunt. PCA removal makes the top singular value exactly 0, so the condition number becomes  
176 infinite. Other quantities like the effective condition number,  $\kappa_{\text{eff}}(A)$ , do however, tend to decrease.

177 To this effect, we propose a new algorithm Spectral Normalization that more gently regularizes  
178 the spectral properties of word embeddings; see Algorithm 2. We will then combine it with other  
179 approaches to again ensure the embedding vectors lie on the unit sphere.

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**Algorithm 2** Spectral Normalization (SpecNorm( $A, \beta$ ))

---

- 1: Compute  $\text{svd}(A) = U\Sigma V^\top$ ; Let  $D \in \mathbb{R}^d$  be a diagonal matrix.
  - 2: Compute  $\eta = \sqrt{\|A\|_F^2/d}$ , where  $d$  is the dimension of the word embedding
  - 3: **for**  $i = 1, \dots, d$  **do**
  - 4:   **if** ( $\Sigma_{ii} > \beta\eta$ ) **then**  $D_{ii} \leftarrow \Sigma_{ii}/(\beta\eta)$
  - 5:   **else**  $D_{ii} = 1$ .
  - 6: **return**  $AVD^{-1}$
- 

180 Given a monolingual word embedding  $A$  it updates part of the spectral properties of  $A$  as a whole,  
181 using on a parameter  $\beta \geq 0$ . Based on an average of singular values  $\eta = \sqrt{\|A\|_F^2/d}$ , if a value is  
182 above  $\beta$  times that average, it adjusts it to  $\beta\eta$ . Hence, all of the top directions are given the same  
183 singular value. Otherwise, if it is below  $\beta\eta$ , it is considered a minor effect (some are quite small,  
184 and fairly noisy), and it is left alone. If these small ones are completely zeroed out, the critical  
185 information within is destroyed. However, if these small ones are also given the same value (i.e.,  $\beta\eta$ )  
186 then components which do not contribute to the most prevalent aspects of a vectors similarity is given  
187 more importance, and we observed (see Section 4.1) that the usefulness of the embedding decreased.

188 **Iterative Spectral Normalization.** Spectral normalization makes the most sense (see Appendix  
189 G) in a setting where the vectors are already centered, and also unit length. While SpecNorm does  
190 not change the center of the data, it does not maintain the length of individual vectors. As such, we  
191 advocate combining these methods into a single iterative algorithm: I-C+SN+L as in Algorithm 3.

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**Algorithm 3** Iterative Spectral Normalization with C+L normalization (I-C+SN+L( $A, \#\text{Iter}$ ))

---

- 1: **for**  $\#\text{Iter}$  steps **do**
  - 2:    $A \leftarrow$  Center  $A$
  - 3:    $A \leftarrow$  SpecNorm( $A$ )
  - 4:    $A \leftarrow$  Unit length normalization of  $A$
  - 5: **return**  $A$
- 

192 We observe in Figure 1 that this process significantly improves the spectral properties, compare to  
193 any other approach. Without preprocessing (None), the languages (EN: English, DE: German, HI:  
194 Hindi, JA: Japanese shown) have large effective condition numbers – indicating that there is a large  
195 disparity between meaningful singular values. Note the y-axis is in log scale. Hence, aligning these  
196 languages without normalization would likely restrict alignment among top singular vectors, not  
197 allowing enough degree of freedom to align corresponding words.

198 In contrast, after preprocessing when these values are more uniform, rotations among the dimensions  
199 containing the top principal components will not have an influence on the data distribution, and  
200 can fully optimize the alignment between words. Moreover, Figure 1 shows that I-C+SN+L most  
201 decreases the effective condition number, joint effective condition number, and singular value gap.  
202 Further, these values are fairly uniform across languages, despite great variation beforehand (as  
203 shown with None). In fact, I-C+SN+L is much more effective than other methods.

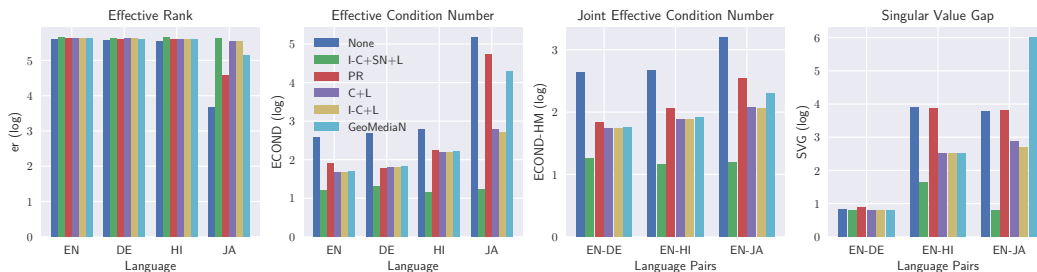


Figure 1: Spectral Measures of four (4) monolingual word embeddings, before (None) and after applying various normalization methods.

204 **4 Experimental Analysis**

205 We provide an evaluation of our proposed preprocessing methods using 8 language embeddings  
206 pretrained on Wikipedia [4] of each language: Croatian (HR), English (EN), Finnish (FI), French  
207 (FR), German (DE), Italian (IT), Russian (RU), and Turkish (TR). We use the 300-dimensional  
208 fastText [4]<sup>1</sup> embeddings, and all vocabularies are trimmed to the 200K most frequent words.

209 **Alignment evaluation tasks: BLI** We evaluate and compare our proposed preprocessing methods  
210 mostly on the Bilingual Lexicon Induction (BLI) task, a word translation task. We discuss two more  
211 global applications Cross-lingual document classification (CLDC), and Cross-lingual transfer for  
212 natural language inference (XNLI) later. BLI is more direct, and has become the de facto evaluation  
213 task for CLWE models. For words in the source language, this task retrieves the nearest neighbors in  
214 the target language after alignment to check if it contains the translation. It reports the mean average  
215 precision (MAP) [15], which is equivalent to the mean reciprocal rank (MRR), of the translation.  
216 Unless stated otherwise, reported values on baseline methods are taken from [15], and use the Google  
217 Translate (GTrans) dictionary from [15]<sup>2</sup>. We trained (aligned) using 1k, 3k and 5k source words and  
218 evaluated (tested) on separate 2k source test queries, unless noted otherwise.

219 **Alignment Algorithms.** We evaluated and compared the result of several supervised rigid-  
220 transformation CLWE models on the evaluation benchmarks using our proposed methods. All  
221 have publically available code, links are found in the reference citation. These include Canonical  
222 Correlation Analysis (CCA) [13], Procrustes (PROC) [2, 38, 45, 10], Bootstrapping Procrustes  
223 (PROC-B) [15], and Discriminative Latent-Variable (DLV) [36], as discussed in Section 1. We also  
224 consider Ranking-Based Optimization (RCSLS) [21] which is not a rigid alignment. In a few places,  
225 we also compare with VECMAP [3] as an example of an unsupervised alignment process. This should  
226 only use the geometry of the global embedding structure, e.g., derived from the natural ontology, and  
227 our normalization method still helps when using this approach.

228 **4.1 Hyperparameter Tuning**

229 Our main proposed algorithm I-C+SN+L has a few simple parameters. To avoid overfitting, we choose  
230 these through cross-validation on English (EN) and a held-out set of 5 languages Hindi (HI), Russian  
231 (RU), Chinese (ZH), Japanese (JA), Turkish (TR). Ten (10) Language pairs of the form EN-X and  
232 X-EN were considered. The hyperparameters  $\beta \in \{1, 2, 3, 4, 5\}$  and #Iter (number of iterations)  $\in$   
233  $\{1, 2, 3, 4, 5\}$  were fine-tuned for I-C+SN+L.

234 We used the publicly available MUSE<sup>3</sup> translation dictionary [8] for hyperparameter tuning. The  
235 Procrustes alignment algorithm was trained on 5k source words and evaluated on 1.5k source test  
236 queries. We reported the mean average precision (MAP) in Table 1 for  $\beta \in \{1, 2, 3, 4, 5\}$  and with  
237 #Iter  $\in \{1, 2, 3, 4, 5\}$ . We observe the value of  $\beta = 2$  was consistently the best threshold (although  
238 any  $\beta \geq 2$  performed similarly). These singular values were normalized, and those below  $\beta$  times the  
239 average we judged as noise, and left as is. However, the result did not change much with respect to  
240 the number of iterations.

Table 1: Cross-Validation for Hyperparameter Tuning: MAP after Procrustes for 10 language pairs.

$\beta$	#Iter=1	#Iter=2	#Iter=3	#Iter=4	#Iter=5
1	0.363	0.340	0.328	0.322	0.317
2	0.385	<b>0.386</b>	<b>0.386</b>	<b>0.386</b>	<b>0.386</b>
3	0.381	0.384	0.384	0.384	0.384
4	0.381	0.382	0.382	0.382	0.382
5	0.380	0.381	0.381	0.381	0.381

241 The tie between the #Iter hyperparameter was broken using their performance on thirteen English  
242 word similarity benchmarks; see Appendix C for more details. In Table 2 below, we report the

<sup>1</sup><https://github.com/facebookresearch/fastText>  
<sup>2</sup>[https://github.com/codogogo/xling-eval?utm\\_source=catalyzex.com](https://github.com/codogogo/xling-eval?utm_source=catalyzex.com)  
<sup>3</sup><https://github.com/facebookresearch/MUSE>

243 average Spearman rank coefficient score on the word similarity task (None means no normalization).  
 244  $(\beta, \#Iter) = (2, 5)$  achieved the highest score. So hereafter, we applying I-C+SN+L with the  
 245 hyperparameter  $(\beta, \#Iter) = (2, 5)$ .

Table 2: Monolingual word similarity Task; Average Spearman rank coefficient

None	$(\beta, \#Iter) = (2, 2)$	$(\beta, \#Iter) = (2, 3)$	$(\beta, \#Iter) = (2, 4)$	$(\beta, \#Iter) = (2, 5)$
0.651	0.67077	0.67101	0.67108	<b>0.67111</b>

246 Note that the proposed approach (I-C+SN+L) only increased this score for these similarity tasks, so  
 247 showed no signs of distorting inherent information. Although Spectral Normalization does not exactly  
 248 preserve the linear properties or angular properties (as centering and length normalization do, one each,  
 249 respectively), it does not suffer ill effects. We hypothesize this is because it is somewhat uniformly  
 250 stretching words along the major modes of variation, and is effectively removing information not  
 251 relevant to meaning, like frequency of occurrence. This benign effect is on contrast to other spectral  
 252 adjustments (removing small singular values, or setting all to the same value) shown in Appendix C.

## 253 4.2 BLI Performance across Normalization and Alignment Algorithms

254 We compare and evaluate the BLI performance (MAP) of various normalization algorithms from  
 255 previous works to our proposed algorithms. Using the MUSE translation dictionary, we trained CCA,  
 256 PROC, PROC-B and RCSLS on 5k source words and evaluated on 1.5k source test queries. The  
 257 following normalization algorithms were used in the comparison analysis: PR (PCA Removal) [31],  
 258 GeoMediaN (Geometric Median Normalization), C+L (Mean centering and Length normalization,  
 259 1 round), I-C+L (Iterative Mean centering and Length normalization, 5 rounds) [47], SN (Spectral  
 260 Normalization, 1 round), C+SN+L (Mean centering, Spectral Normalization and Length normal-  
 261 ization, 1 round), and I-C+SN+L (Iterative Mean centering, Spectral Normalization and Length  
 262 normalization, 5 rounds). Specifically, we evaluated 18 language pairs, i.e., English (EN) from/to  
 263 Bulgarian (BG), Catalan (CA), Czech (CS), German (DE), Spanish (ES), Korean (KO), Thai (TH) and  
 264 Chinese (ZH), separate from hyperparameter tuning. The average is reported in Table 3, all results  
 265 are in Appendix G. For almost all algorithms I-C+SN+L achieves the best scores (and especially on  
 266  $\mathbf{X}_{L_2} - \mathbf{EN}$ , often considerably better). The only exceptions are on non-rigid RCSLS when C+SN+L  
 267 (with no iteration) or just SN (with C+L) performs slightly better. So, Spectral Normalization, and in  
 268 particular I-C+SN+L, is shown as the best way to normalize languages before alignment.

Table 3: BLI performance (MAP) on aligning  $\mathbf{EN} - \mathbf{X}_{L_2}$  and  $\mathbf{X}_{L_2} - \mathbf{EN}$

Normalization	Methods : $\mathbf{EN} - \mathbf{X}_{L_2}$				Methods : $\mathbf{X}_{L_1} - \mathbf{EN}$			
	CCA	PROC	PROC-B	RCSLS	CCA	PROC	PROC-B	RCSLS
None	0.358	0.365	0.377	0.394	0.398	0.399	0.405	0.428
PR	0.394	0.391	0.404	0.373	0.434	0.430	0.442	0.425
GeoMediaN	0.393	0.391	0.400	0.379	0.433	0.432	0.440	0.429
C+L	0.393	0.394	0.408	0.404	0.439	0.437	0.445	0.464
I-C+L	0.394	0.395	0.410	0.406	0.439	0.438	0.448	0.460
SN	0.391	0.394	0.408	0.405	0.440	0.438	0.451	<b>0.468</b>
C+SN+L	0.395	0.396	0.413	<b>0.407</b>	0.444	0.444	0.458	0.466
I-C+SN+L	<b>0.396</b>	<b>0.398</b>	<b>0.414</b>	0.406	<b>0.445</b>	<b>0.446</b>	<b>0.461</b>	0.466

269 We also compute the average BLI MAP score across all 28 language pair for more direct comparison  
 270 to prior work [15], summarized in Table 4 and Appendix D. All results are in Appendix H. We  
 271 compare I-C+SN+L (denoted with SN) against no normalization on various dictionary sizes: 1k, 3k  
 272 and 5k source words and evaluated on 2k source test queries. In all cases, I-C+SN+L significantly  
 273 improves over the baseline. This includes improvement over RCSLS which is non-rigid, so in  
 274 principle could “learn” adjustments similar to our normalization in the process of alignment. We also  
 275 tested on VECMAP, an unsupervised approach; I-C+SN+L preprocessing also improves this result  
 276 from 0.375 to 0.410.

Table 4: Summary of BLI performance (MAP), average scores for all 28 language pairs. No normalization results from [15], against I-C+SN+L (denoted SN).

Dict	CCA	CCA <sup>SN</sup>	PROC	PROC <sup>SN</sup>	PROC-B	PROC-B <sup>SN</sup>	DLV	DLV <sup>SN</sup>	RCSLS	RCSLS <sup>SN</sup>
1K	.289	<b>.314</b>	.299	<b>.326</b>	.379	<b>.407</b>	.289	<b>.332</b>	<b>.331</b>	<b>.331</b>
3K	.378	<b>.401</b>	.384	<b>.408</b>	.398	<b>.415</b>	.381	<b>.429</b>	.415	<b>.427</b>
5K	.400	<b>.423</b>	.405	<b>.429</b>	–	–	.403	<b>.452</b>	.437	<b>.460</b>

### 277 4.3 Downstream Tasks

278 We conclude by demonstrating that Spectral Normalization not only improves in direct translation  
 279 tasks, but also captures an important global structure that generalizes from a high resource language  
 280 (i.e., English, EN) to lower resource languages. In both examples, a powerful classifier is trained  
 281 on the EN embedding (after normalization), and then we demonstrate that after a lower resource  
 282 language (e.g., German, DE) has been normalized and align the analysis task can be directly applied  
 283 to that language. And in particular, adding the simple process of our normalization (I-C+SN+L)  
 284 dramatically improves the results over not doing that step.

285 **Cross-lingual Document Classification (CLDC).** The CLDC task builds a topic classification  
 286 using a language model on a high resource language (in our case English EN) across 15 topics.  
 287 The TED CLDC corpus assembled by [19] was used for training and evaluation. Following [15]  
 288 a simple CNN was used to train. Table 5 summarizes the average F1-score for all topic classifiers  
 289 on 5 language pairs. The CLWEs induced by PROC<sup>SN</sup>, PROC-B<sup>SN</sup>, DLV<sup>SN</sup>, and RCSLS<sup>SN</sup> (using  
 290 I-C+SN+L) outperformed the baseline result (with no normalization) on the CLDC task, significantly  
 291 improving the best average score from 0.421 to 0.461. Glavas *et.al.* [15] use only 12 of 15 topics,  
 292 but could not confirm which, so we re-ran all baselines using all 15 topics.

Table 5: CLDC performance (micro-averaged  $F_1$  scores). Cross-lingual transfer EN-X

Model	Dict	EN-DE	EN-FR	EN-IT	EN-RU	EN-TR	Avg
PROC	5K	.366	.258	.338	.288	.278	.306
PROC <sup>SN</sup>	5K	.436	.366	.427	.517	.511	<b>.451</b>
PROC-B	3K	.364	.304	.299	.336	.317	.324
PROC-B <sup>SN</sup>	3K	.448	.396	.423	.522	.517	<b>.461</b>
DLV	5K	.419	.336	.397	.493	.458	.421
DLV <sup>SN</sup>	5K	.433	.323	.406	.499	.472	<b>.427</b>
RCSLS	5K	.466	.397	.403	.403	.406	.415
RCSLS <sup>SN</sup>	5K	.468	.500	.443	.488	.394	<b>.459</b>

293 **Cross-lingual Natural Language Inference (XNLI).** We evaluated the CLWE on a cross-lingual  
 294 natural language inference (XNLI) task. We used a multi-lingual XNLI corpus created by [9], which  
 295 is a collection of sentence pairs from the English MultiNLI corpus [44] translated into 14 languages.  
 296 The MultiNLI corpus contains 433k sentence pairs with the labels entailment, contradiction, and  
 297 neutral. The intersection between XNLI languages and BLI languages result in four XNLI evaluation  
 298 pairs: EN-DE, EN-FR, EN-TR and EN-RU. We use the training setup in [15] with the Enhanced  
 299 Sequential Inference Model [7] on English after normalization. First, we aligned normalized versions  
 300 of each language onto the normalized EN embedding to obtain the shared cross-lingual embedding.  
 301 Then we used the 5k test pairs from the XNLI corpus to evaluate each language alignment. Table 6  
 302 shows the result for PROC, PROC-B, and RCSLS alignments (DLV and VECMAP transform the  
 303 EN embedding in the process, so were omitted). We compare against the same procedure *without*



304 normalization from Glavas *et.al.* [15] (I-C+SN+L normalization denoted <sup>SN</sup>). As in other experiments,  
 305 our normalization improves the average test accuracy with each alignment approach.

Table 6: XNLI performance (test set accuracy)

Model	Dict	EN-DE	EN-FR	EN-TR	EN-RU	Avg
PROC	5K	.607	.534	.568	.585	.574
PROC <sup>SN</sup>	5K	.611	.638	.542	.596	<b>.597</b>
PROC-B	3K	.615	.532	.573	.599	.580
PROC-B <sup>SN</sup>	3K	.624	.638	.548	.601	<b>.603</b>
RCSLS	5K	.390	.363	.387	.399	.385
RCSLS <sup>SN</sup>	5K	.499	.482	.504	.556	<b>.510</b>

## 306 5 Conclusion & Discussion

307 We introduce a new way to normalize embeddings, based on spectral normalization, for use in creating  
 308 cross-lingual word embeddings. Our approach generalizes previous approaches, and effectively  
 309 removes much of the clustering of words based on properties other than the similarity which encodes  
 310 meaning. When used to individually preprocess monolingual embeddings, our approach allows  
 311 any alignment procedure to find better alignments: resulting in improved performance on direct  
 312 translation tasks as well as cross-lingual topic classification and natural language inference tasks.

313 **Social impacts.** The vast majority of NLP research and cutting-edge advancements are in English.  
 314 This disadvantages those who primarily operate in other languages, with less developed models, or  
 315 less data to train models. As large language models are the cornerstone of most NLP research and  
 316 development in English, one of this work’s main goals is to port these advances to other languages,  
 317 and those who use them. This will help unlock this technology to many others around the world. As  
 318 with most models, this accuracy and improvement may vary across tasks and languages.

319 While language models have many positive use cases including improving accessibility, better  
 320 recommendations, and increased automation, they have some negative effects as well. These include  
 321 requiring potentially large computational and hence environmental cost, encoding and exacerbating  
 322 bias, and aiding in automatically generating fake or deceitful content. While this paper is unlikely to  
 323 change the *desire* to use embeddings, it aims to reduce the burden of use and increase the effectiveness  
 324 in lower-resource settings. And in particular to port models trained in English to other languages.  
 325 This would reduce the cost of retraining in other languages if the English model can be reused, easing  
 326 environmental costs. We support the maturing efforts in attenuating bias in all such embeddings.  
 327 And while we acknowledge the possibility of this work aiding in the automated creation of deceitful  
 328 content and the harm it can cause, we believe the many benefits outweigh the harms.

329 **Limitations.** The overarching goal in this line of work is to port the many advances built on  
 330 embeddings from high-resource settings (like built on the English language), to lower-resource  
 331 settings (like Turkish). This work can apply a powerful model built on an English language model  
 332 (e.g., for natural language inference) and automatically invoke it in Turkish after the embeddings  
 333 have been aligned. However, the alignment will not be any better than the low-resource embedding.  
 334 If the embedding is too noisy or limited, then the analysis will likely not be effective.

335 Also, this work focuses on non-contextual embeddings like FastText, but not contextual ones like  
 336 RoBERTa which have proven almost universally more effective in NLP on English. While in principle  
 337 a normalization function and alignment could be *applied* to contextual settings, we are unaware of  
 338 any technique for *learning* these mappings. We believe it could be important future work.

339 **Data, Code, and Experiments.** All existing methods are compared with publicly available code  
 340 with publicly available data, with links above or in references. The exception is code for CLDC and  
 341 XNLI is shared by Glavás *et.al.* [15]. Everything is run with default parameters; the exception is  
 342 RCSLS were we follow the suggested hyperparameter selection strategy [21] (with learning rate in  
 343 {1, 10, 25, 50} and epoch number in {10, 20}). Our new code for SpecNorm is in Appendix E.

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## 502 Checklist

- 503 1. For all authors...
- 504 (a) Do the main claims made in the abstract and introduction accurately reflect the paper’s  
 505 contributions and scope? [Yes]
- 506 (b) Did you describe the limitations of your work? [Yes]
- 507 (c) Did you discuss any potential negative societal impacts of your work? [Yes]
- 508 (d) Have you read the ethics review guidelines and ensured that your paper conforms to  
 509 them? [Yes]
- 510 2. If you are including theoretical results...
- 511 (a) Did you state the full set of assumptions of all theoretical results? [Yes]
- 512 (b) Did you include complete proofs of all theoretical results? [Yes]
- 513 3. If you ran experiments...
- 514 (a) Did you include the code, data, and instructions needed to reproduce the main experi-  
 515 mental results (either in the supplemental material or as a URL)? [Yes]
- 516 (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they  
 517 were chosen)? [Yes]
- 518 (c) Did you report error bars (e.g., with respect to the random seed after running experi-  
 519 ments multiple times)? [N/A]
- 520 (d) Did you include the total amount of compute and the type of resources used (e.g., type  
 521 of GPUs, internal cluster, or cloud provider)? [Yes]
- 522 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
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- 525 (c) Did you include any new assets either in the supplemental material or as a URL? [Yes]  
 526 See code in Appendix E.
- 527 (d) Did you discuss whether and how consent was obtained from people whose data you’re  
 528 using/curating? [N/A]
- 529 (e) Did you discuss whether the data you are using/curating contains personally identifiable  
 530 information or offensive content? [N/A]
- 531 5. If you used crowdsourcing or conducted research with human subjects...
- 532 (a) Did you include the full text of instructions given to participants and screenshots, if  
 533 applicable? [N/A]

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- (b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]
- (c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]