CoLA: Compute-Efficient Pre-Training of LLMs via Low-Rank Activation

Anonymous ACL submission

Abstract

001 The *full-size* MLPs and the projection layers in attention introduce tremendous model sizes of large language models (LLMs), imposing extremely demanding needs of computational resources in the pre-training stage. However, we empirically observe that the activations of pre-trained LLMs exhibit low-rank property. Motivated by such observations, we propose CoLA and its memory-efficient implementation, CoLA-M, to replace these full-size layers with compute-efficient auto-encoders that naturally enforce low-rank activations throughout training. This fundamental architectural change eliminates the activation redundancy and significantly boosts model capacity and training efficiency. Experiments on LLaMA models with 60 million to 7 billion parameters 017 show that CoLA reduces the computing cost by $2 \times$ and improves training throughput by 1.86× while maintaining full-rank level per-021 formance. CoLA-M further squeezes memory cost without sacrificing throughput, offering a pre-training approach with collectively superior parameter, computing, and memory efficiency. The LLMs produced are also $2 \times$ smaller, enabling faster inference with lower memory cost on resource-constrained platforms.¹

1 Introduction

Large foundation models have revolutionized the landscape of artificial intelligence, achieving unprecedented success in the language, vision, and scientific domains. In a quest to improve accuracy and capability, foundation models have become huge. Several studies (Kaplan et al., 2020; Hoffmann et al., 2022; Krajewski et al., 2024; Kumar et al., 2024) have highlighted a rapid increase in the size of the model and the number of training tokens. Models such as 175B GPT-3 (Brown et al., 2020), 405B LLaMA-3 (Dubey et al., 2024), and

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Figure 1: Comparison between various pre-training methods on a LLaMA-1B model with a token batch size of 256. Among them, CoLA is the only one that reduces both compute FLOPs and model size while demonstrating on par validation perplexity with full-rank training.

540B PaLM (Chowdhery et al., 2023) are just a few examples of this trend. Under such circumstances, a large number of GPUs are needed in order to provide the computational and high-bandwidth memory capacity needed to pre-train large fundation models over long periods of time (months). The staggering increase in cost results in an unsustainable trend, prompting the need to develop costefficient pre-training techniques that reduce the scale, FLOPs, and GPU memory cost. 040

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Motivation: At the core of increasing resource utilization and cost is the simple practice of scaling up full-size linear layers in decoder-only architectures, which has proven to be a viable and straightforward strategy. Thus, to break free from this unsustainable trend, it is imperative to improve architecture efficiency. This has been widely studied in the deep learning community, involving different levels of factorization of weight matrices: from simple matrix factorizations, i.e., a singular value decomposition (SVD), to higher-order tensor factorizations. Extensive studies have shown that such factorizations can effectively reduce the total number of parameters needed to achieve similar performance in numerous domains (Jaderberg et al., 2014; Lebedev et al., 2014; Novikov et al., 2015; Tjandra et al., 2017; Dao et al., 2021; Sui et al., 2024; Yang et al.,

^{*}Equal contribution

¹Code available here.

2024; Zhang et al., 2024), especially when neuralnetworks are overparameterized.

Limitations of state-of-art: The techniques mentioned above have been applied only to a limited degree to pre-training tasks, and their findings suggest that the pure low-rank or sparse structure often downgrades model performance (Khodak et al., 2021; Kamalakara et al., 2022; Chekalina et al., 2023; Zhao et al., 2024; Hu et al., 2024; Mozaffari et al., 2024). This has pivoted most recent work of efficient pre-training into two directions: 1) Ac-077 cumulating multiple low-rank updates (Huh et al., 2024; Lialin et al., 2023; Loeschcke et al., 2024); 2) Enforcing low-rank structures in gradients rather than parameters (Zhao et al., 2024; Chen et al., 081 2024; Huang et al.; Liao et al., 2024; Hao et al., 2024; Zhu et al., 2024). Both approaches have their limitations. 1) The accumulation of low-rank updates requires instantiating a full-rank matrix 086 and a deeply customized training strategy that periodically merges and restarts the low-rank components. This creates computing overhead in practice and can only achieve (if only) marginal computing and memory reduction. 2) Enforcing low-rank gradients reduces only the optimizer memory and adds additional computation that downgrades training throughput. Furthermore, the memory saving caused by gradient compression becomes negligible as the training batch size increases, as activations dominate the total memory cost. Recently SLTrain (Han et al., 2024) revisited the notion 097 of parameter efficiency in foundation model pretraining, by having both low-rank factors and an unstructured sparse matrix. SLTrain effectively 100 reduces the total number of parameters without sig-101 nificantly hurting model performance. However, 102 it still introduces computing overhead on top of 103 full-rank training due to the necessary reconstruc-104 tion of low-rank factors. We note that none of the 105 above works has achieved superior efficiency of 106 parameter, computing, and memory simultaneously without performance drop in both training and inference for foundation model pre-training. 109

110Contributions: In this paper, we rethink the funda-111mental architecture of LLMs and propose CoLA:112Compute-Efficient Pre-Training of LLMs via Low-113rank Activation, and its memory efficient imple-114mentation CoLA-M, to achieve all the desirable115properties mentioned above. We summarize our116contributions as follows:

• We propose CoLA, a novel architecture to en-

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		CoLA(-M)	SLTrain	GaLore	ReLoRA
Parameter ↓		✓	1	×	×
Compute ↓	Training Inference	4	× ×	× ×	✓ ×
Memory ↓	Training Inference	4	\$ \$	✓ ×	✓ ×
Throughput †	Training Inference	\$ \$	× ×	× ×	× ×

Table 1: Summary and comparison of different types of efficiency across various pre-training methods.

force explicit low-rank activations. LLMs use massive full-size MLP and linear layers. CoLA replaces them with auto-encoders. Each autoencoder applies nonlinear activations between two low-rank factors, greatly reducing the parameter counts and computing FLOPS while performing on par with the full-rank pre-training. 118

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- We provide a memory efficient implementation, namely **CoLA-M**, to achieve superior memory reduction without sacrificing throughput.
- We extensively pre-train LLaMA (with 60M to 7B parameters) and BERT-large. CoLA reduces model size and computing FLOPs by 2×, while maintaining on-par performance to its full-rank counterpart. At the system level, CoLA improves 1.86× training and 1.64× inference throughput. CoLA-M reduces total pre-training memory by 2/3, while still manages to improve 1.3× training throughput over full-rank baselines.

A high-level comparison of CoLA(-M) with main baselines is provided in Table 1.

2 Related Work

Model Compression. Recent research on efficient LLM pre-training primarily focuses on memory savings. SLTrain (Han et al., 2024) is the first method that reduces both trainable parameters and total parameters in LLM pre-training, without significantly hurting model performance. This also reduces memory usage for model, gradients, and optimizer states. However, the existence of its unstructured sparse matrix S requires reconstructing W = BA + S, otherwise it will incur densesparse multiplications that are still memory costly (Fig. 3c). This causes additional computing than the full-rank baseline. LoRA/ReLoRA (Hu et al., 2021; Lialin et al., 2023) reduces trainable parameters by freezing a full-rank W_0 and training (at least in a later stage) only low-rank factors, potentially reducing memory needs. Yet, any compute savings are limited because the forward pass

yields a larger compute than its full-rank coun-158 terpart, especially when the rank must stay rela-159 tively large in pre-training tasks. LoOT (Loeschcke 160 et al., 2024) further extends this formulation into 161 quantized training. CoMERA (Yang et al., 2024) 162 achieves higher model compression and FLOPs re-163 duction, yet its low-rank tensor operations are GPU 164 unfriendly and can also cause a performance drop. 165 Some works investigate pure structured sparsity or 166 combined with low-rank factors (Hu et al., 2024; 167 Mozaffari et al., 2024), but still show a significant performance drop during the pre-training stage. 169

Gradient Compression. GaLore (Zhao et al., 170 2024) reduces memory by projecting gradients into 171 a low-rank space, shrinking optimizer states be-172 low the typical $2 \times$ AdamW overhead (Loshchilov, 2017). However, it increases computation by 174 adding up/down projections on top of already 175 compute-heavy full-rank training. As shown in 176 Fig. 1, its estimated FLOPs surpass full-rank train-177 ing on the LLaMA-1B scale. Follow-up works 178 (Chen et al., 2024; Huang et al.; Liao et al., 2024; 179 Hao et al., 2024; Zhu et al., 2024) further explore 180 low-rank gradient projection. While being promis-181 ing, these methods are mostly orthogonal to our fo-182 cus. Crucially, they are computing lower-bounded 183 by the full-rank baseline. Our goal instead is to reduce computing cost to a fraction of full-rank 186 LLM pre-training.

> Activation Compression. CompAct (Shamshoum et al., 2024) reduces memory of the computational graph using low-rank compression on saved activations, which introduces similar computing cost, yet underperforms GaLore. ESPACE (Sakr and Khailany, 2024) explores a very similar idea by projecting activations based on well-trained weight matrices, thus only applicable to the post-training stage. Crucially, the projections in both methods introduce additional computing costs on top of the full-rank baseline. And both of them do not change the fundamental structure of LLMs.

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This paper presents an architectural innovation that explicitly enforces low-rank activations by adopting the **bottleneck-shaped auto-encoders** as the building brick of the transformer architecture. This is conceptually different from the above model compression methods despite of some similarities in their formulations. Our approach is mostly orthogonal with gradient compression techniques, meaning that they could be combined to further boost efficiency.



Figure 2: MLP Activation Spectrum of the pre-trained GPT-2 small (Radford et al., 2019). Model activations are evaluated on the WikiText2 dataset. a) The singular value decay across different decoder blocks. b) The full dimension vs. effective rank ($\alpha = 0.95$) per block.

3 CoLA for Efficient LLM Pre-Training

3.1 A Motivating Example

Many works have observed the low-rank structure of model activations in deep neural networks (Cui et al., 2020; Huh et al., 2021). We also observe this phenomenon in LLMs, i.e. the *effective rank* of the activations is much smaller than their original dimensionality. To quantify this, we define the *effective rank* $r(\alpha)$ of activation as the minimal number of singular values needed to preserve an α -fraction of the total spectral energy. Formally:

$$r(\alpha) = \min\left\{k \mid \frac{\sum_{i=1}^{k} \sigma_i^2}{\sum_{i=1}^{n} \sigma_i^2} \ge \alpha\right\}, \quad (1)$$

where $\sigma_1, \sigma_2, \ldots, \sigma_n$ are the singular values of the activation matrix, and $0 < \alpha \leq 1$ is the desired ratio of preserved information. As shown in our experiments, the rapid decay of singular values [Fig. 2a] leads to much smaller $r(\alpha)$ compared to the full dimension [Fig. 2b]. This highlights the significant low-rank nature in the activations of pre-trained LLMs. More results showing the same pattern can be found in Appendix A.

3.2 Low-Rank Activation via Auto-Encoder

The above observation motivates us to ask one fundamental question: *do we really need these full-size MLP and linear layers in LLMs?* To eliminate the redundant activations, we propose to replace them with bottleneck-structured auto-encoders that naturally facilitate low-rank activations.

Let $\mathbf{W} \in \mathbb{R}^{d_{\text{out}} \times d_{\text{in}}}$ be the weight matrix of an arbitrary linear layer followed by a nonlinear activation in the transformer architecture:

$$\mathbf{h} = \sigma \left(\mathbf{W} \mathbf{x} \right), \text{ with } \mathbf{x} \in \mathbb{R}^{d_{\text{in}}}.$$
 (2)

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Figure 3: Comparison between different efficient pre-training frameworks. a) LoRA/ReLoRA (Lialin et al., 2023) freezes a full-rank weight; b) GaLore (Zhao et al., 2024) only reduces optimizer states by down and up projecting gradients; c) SLTrain (Han et al., 2024) requires reconstruction of the low-rank and sparse matrices; d) CoLA (ours) is a pure low-rank architecture involving only rank r weight matrices.

241 We replace this MLP layer with an auto-encoder 242 layer which consists low-rank matrices $\mathbf{A} \in \mathbb{R}^{r \times d_{\text{in}}}$ 243 and $\mathbf{B} \in \mathbb{R}^{d_{\text{out}} \times r}$ and a non-linear activation σ in 244 the middle. Rank $r < \min(d_{\text{in,out}})$ is a design 245 parameter that trades off between compute and per-246 formance. Formally, it can be written as:

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$$\mathbf{h}' = \mathbf{B}\,\sigma(\mathbf{A}\mathbf{x}),\tag{3}$$

The auto-encoder layer naturally enforces a lowrank activation in training, offering a principled approach to eliminate the redundancy observed in Fig. 2. We have the following remarks

- The auto-encoder layer fundamentally differs from performing low-rank weight compression in an MLP layer. The latter performs lossy compression on model parameters but cannot eliminate the redundancy in activations.
 - The auto-encoder is not equivalent to using smaller feature dimensions in MLP layers, since
 B in the current layer cannot be merged with
 A in the next layer, due to the existence of various operations (e.g. residual connection) in the original dimension.

Since the low-rank property is widely observed regardless of whether Wx being followed by nonlinearity (see details in Appendix A), we also uniformly adopt this auto-encoder structure to all projection layers in the transformer architecture. We empirically find that adding the original nonlinearity on top of Eq. (3) does not harm the performance, nor necessarily brings benefit (c.f. Appendix E.1).

Fig. 4 shows the architecture of each transformer block when adopting CoLA into the LLaMA architecture. We highlight the fact that only the original linear layers and (if any) their follow-up non-linear transformation are modified to the CoLA formulation. Other computations such as the scaled-dot



Figure 4: A decoder block in CoLA with LLaMA-like architecture (layer norms, rotary positional embeddings are omitted for simplicity). All MLP layers and projection layers in attention are replaced with auto-encoders. Modules painted in sketch are the re-computations during the backward step of CoLA-M (a memory efficient implementation of CoLA).

product of the self-attention, as well as residual connections and the element-wise product of LLaMA's MLP layers, remain unchanged.

3.3 Computing Efficiency

We analyze and compare the computational complexity of CoLA with other efficient pre-training methods based on the LLaMA architecture. We adopt a similar notion from (Kaplan et al., 2020), where a general matrix multiply (GEMM) between an $M \times N$ matrix and an $N \times K$ matrix involves roughly 2MNK add-multiply operations. We denote the model inner width as d, and the inner width of the feed-forward layer as $d_{\rm ff}$. For simplicity, we

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Operation	FLOPs
Attention: Q, K, V	$ $ $6nd^2$
Attention: SDP	$ $ $4n^2d$
Attention: Project	$ $ $2nd^2$
Feed-forward	$ 6ndd_{\rm ff}$
Total Forward	$ 8nd^2 + 4n^2d + 6ndd_{\rm ff}$
Total Backward	$\left \begin{array}{c} 16nd^2 + 8n^2d + 12ndd_{\rm ff} \end{array} \right.$

Table 2: Breakdown compute of a single LLaMA decoder layer in full-rank training. Lower-order terms such as bias, layer norm, activation are omitted.

Methods	FLOPs
Full-Rank	$C_{\text{Full-Rank}} = 24nd^2 + 12n^2d + 18ndd_{\text{ff}}$
CoLA	$C_{CoLA} = 48ndr + 12n^2d + 18nr(d + d_{ff})$
(Re)LoRA	$ C_{\text{LoRA}} = C_{\text{CoLA}} + 16nd^2 + 12n^2d + 12ndd_{\text{ff}}$
SLTrain	$C_{\rm SLTrain} = C_{\rm Full-Rank} + 24d^2r + 18dd_{\rm ff}r$
GaLore	$C_{\text{GaLore}} = C_{\text{Full-Rank}} + 16d^2r + 12dd_{\text{ff}}r$

Table 3: Estimated compute of a single LLaMA decoder layer for different pre-training methods. Results combine forward, backward and any additional compute occurred at optimizer step.

only show non-embedding calculations of a single sequence with token batch size of n for each decoder layer. This is because the total computation scales only linearly with the number of layers n_{layer} and the number of sequences n_{seq} . Furthermore, lower-order cheap operations of complexity $\mathcal{O}(nd)$ or $\mathcal{O}(nd_{\text{ff}})$ are omitted, such as bias, layer norm, non-linear function, residual connection, and element-wise product.

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We show the detailed cost of the full-rank training in Table. 2. Notice that we apply the $2 \times$ rule when calculating the backward cost. This is because for each forward GEMM that Eq. (2) describes, two GEMMs are needed to compute gradients for both the weight matrix **W** and the input **x**, and are of the same cost the forward GEMM, i.e.,

$$\nabla_{\mathbf{x}} = \mathbf{W}^T \nabla_{\mathbf{h}}, \nabla_{\mathbf{W}} = \nabla_{\mathbf{h}} \mathbf{x}^T.$$
(4)

We apply the same analysis to all the following pre-training methods:

- LoRA/ReLoRA (Hu et al., 2021; Lialin et al., 2023): $h_{LoRA} = W_0 x + BAx$, with fixed W_0 .
- SLTrain (Han et al., 2024): $\mathbf{h}_{SLTrain} = \mathbf{BAx} + \mathbf{Sx} = (\mathbf{BA} \oplus_{\mathcal{I}} \mathcal{V})\mathbf{x}$, where \oplus denotes the scatteradd operator, \mathcal{I} and \mathcal{V} are the indices and values of non-zero elements in the sparse matrix S.



Figure 5: Memory breakdown for LLaMA-1B using fairly large sequence batch sizes in pre-training. The activation memory is at dominant place.

• GaLore (Zhao et al., 2024): $\mathbf{R}_t = \mathbf{P}_t^T \mathbf{G}_t$, $\mathbf{G}_t = \mathbf{P} \mathbf{N}_t$, where \mathbf{P}_t projects the gradient \mathbf{G}_t onto a low-rank space, and then projects it back when updating the full-rank weight \mathbf{W} .

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We summarize the computational costs of these methods in Table 3 and observe that the costs of SLTrain and GaLore are lower bounded by fullrank training, while (Re)LoRA is lower bounded by CoLA when choosing the same rank. In contrast, CoLA reduces the computation from full-rank training when r < 0.62d, assuming $d_{\rm ff} \approx 2.5d$ in LLaMA-like architecture. The default rank choice is set to $r = \frac{1}{4}d$, leading to a reduction in compute to about half the full-rank training. We refer all details of compute analysis to Appendix B.

4 CoLA-M: A Memory-Efficient Implementation

In this section, we design and develop CoLA-M, a memory-efficient implementation to leverage CoLA's structural advantage to achieve superior memory saving without sacrificing throughput.

4.1 Memory Breakdown in Pre-Training

We assume a common notion that training modern transformers with Adam (or AdamW) involves four key memory components (Zhao et al., 2024; Han et al., 2024): model parameters $(1\times)$, gradients $(1\times)$, optimizer states $(2\times)$, and activations $(1 \sim 4\times)$. We focus on the scenario where the memory cost determined by the model size is not on the extreme limit of the GPU. We argue that this is rather realistic, since the model size and the minimum required tokens should scale up simultaneously during pre-training (Kaplan et al., 2020;



Figure 6: Memory breakdown of pre-training LLaMA-1B on single GPU using different pre-training methods.

Methods	Memory	Re-Compute
Full-Rank	20nd + 2n ² h	N/A
Vanilla GCP	$\mid \qquad nd$	$ 23nd^2 + 4n^2d$
CoLA	$ 17.5nd + 2n^2h + 14nr$	N/A
CoLA-M	2nd + 7nr	18.5 <i>ndr</i> + 4 <i>n</i> ² <i>d</i>

Table 4: Memory and re-computation analysis of fullrank training with vanilla GCP vs. CoLA and CoLA-M.

Hoffmann et al., 2022; Krajewski et al., 2024; Kumar et al., 2024). A tiny batch size on a single GPU would be impractical. Therefore, we analyze memory usage on a 40-GB A100 or a 94-GB H100 GPU with a fairly large sequence batch size. Fig. 5 & 6 show that activations dominate memory usage in this setup.

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4.2 CoLA Enables Efficient Checkpointing

Gradient checkpointing (GCP) (Chen et al., 2016) is a system-level technique that reduces memory usage by selectively storing ("checkpointing") only a subset of intermediate activations during the forward pass. When the backward pass begins, the missing activations are recomputed on the fly instead of being stored in memory, thereby lowering the memory cost. A vanilla (also the most effective) implementation of GCP in LLM pre-training is to save merely the input and output of each transformer block, and re-compute everything within each block during the backward step. Some works have investigated the optimal selection of checkpoints through both empirical and compiler view (Feng and Huang, 2021; He and Yu, 2023). Such techniques can also be developed for CoLA, and are beyond the scope of this paper.

Motivated by the bottleneck structure of CoLA, we implement CoLA-M as **saving only the lowrank activations** (red circles in Fig. 4), and recompute the up projections, and (if applicable) the self-attention (painted in sketch in Fig. 4)



Figure 7: We show how memory reduction scales with the re-computation in full-rank training with GCP and compare with CoLA-M. With similar gains on memory efficiency, CoLA-M effectively reduces re-compute by $4.6\times$, enabling compute efficient checkpointing.

during the backward pass. This reduces the recomputation cost to half of the CoLA forward. We analyze the memory and re-computation cost using the same notions as in Section 3.3 and denote has the number of attention heads. We further simplify the analysis under LLaMA architecture by uniformly assuming $d_{\rm ff} \approx 2.5d$. The memory and re-computation overhead are shown in Table 4. We refer the detailed analysis to Appendix C.

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Although delicate optimizations of GCP is beyond our scope, we show in Fig. 7 the quantitative results and scaling behavior of GCP on LLaMA-1B when applying a heuristic checkpointing strategy. We observe that CoLA-M greatly reduces re-computation cost by $4.6 \times$ while achieving similar memory saving (18.94GB) as vanilla GCP (20.25GB).

5 Experiments

5.1 Pre-Training within Compute-Optimal

We validate our proposed methods by extensively pre-training LLaMA-like LLMs from 60M to 7B scales following **the exact experimental setup** in (Zhao et al., 2024; Han et al., 2024). Trainings were done using C4 dataset (Raffel et al., 2020) without data repetition on roughly compute-optimal² amounts of tokens. We compare CoLA with baselines including **full-rank** pre-training, **ReLoRA** (Hu et al., 2021), **GaLore** (Zhao et al., 2024), and **SLTrain** (Han et al., 2024), with a focus on methods that explore model efficiency.

We implement CoLA and CoLA-M by parameterizing all MLP layers and all projection layers in

²Compute optimal regime refers to the token-to-parameter (T2P) ratio being ~ 20 (Hoffmann et al., 2022).

Table 5: Comparison across various efficient pre-training methods of validation perplexity (PPL (\downarrow)), number of parameters in millions (Param), and the estimated memory usage (Mem) including model, gradient and optimizer states based on BF16 precision. We pre-train LLaMA models from 60M to 1B on the C4 dataset (Raffel et al., 2020) following the same setup and compare results directly against those reported in (Zhao et al., 2024; Han et al., 2024).

		60M		130M		350M		1B				
r / d Tokens	128 / 512 1.1B			256 / 768 2.2B		256 / 1024 6.4B		512 / 2048 13.1B				
	PPL	Param (M)	Mem (GB)	PPL	Param (M)	Mem (GB)	PPL	Param (M)	Mem (GB)	PPL	Param (M)	Mem (GB)
Full-rank	34.06	58	0.43	24.36	134	1.00	18.80	368	2.74	15.56	1339	9.98
ReLoRA	37.04	58	0.37	29.37	134	0.86	29.08	368	1.94	18.33	1339	6.79
GaLore	34.88	58	0.36	25.36	134	0.79	18.95	368	1.90	15.64	1339	6.60
SLTrain	34.15	44	0.32	26.04	97	0.72	19.42	194	1.45	16.14	646	4.81
CoLA	34.04	43	0.32	24.48	94	0.70	19.40	185	1.38	15.52	609	4.54

	Mem (GB)	10k	40k	80k 120k	150k
8-bit Adam	72.59	N/A	18.09	15.47 14.83	14.61
8-bit GaLore	65.16	26.87	17.94	15.39 14.95	14.65
SLTrain	60.91	27.59		N/A	
CoLA-M	26.82	22.76	16.21	13.82 13.09	12.73

Table 6: Validation perplexity of LLaMA-7B pre-trained on C4 dataset. 8-bit Adam/GaLore are collected from (Zhao et al., 2024). SLTrain is collected from (Han et al., 2024). No results of BF16 Adam reported.

	60M		13	60M	350M		
	PPL	FLOPs	PPL	FLOPs	PPL	FLOPs	
Full-Rank	34.06	$1 \times$	24.36	$1 \times$	18.80	$1 \times$	
Control	37.73	$0.4 \times$	27.05	$0.5 \times$	20.53	$0.4 \times$	
CoLA	34.04 31.52	$\begin{array}{c} 0.4 \times \\ 0.7 \times \end{array}$	24.48 23.97	$\begin{array}{c} 0.5 \times \\ 0.7 \times \end{array}$	19.40 18.32	$\begin{array}{c} 0.4 \times \\ 0.7 \times \end{array}$	

Table 7: Scaling behavior of CoLA and full-rank training. Control represents scaling down the full-rank training cost to be similar with CoLA in default, by reducing number of layers and/or size down model width.

attention with auto-encoders [i.e. Eq. (3)], and keep all other parameters and operations unchanged. We use AdamW optimizer and cosine annealing learning rate scheduler (Loshchilov and Hutter, 2016) with warm-up. We refer detailed configurations to Appendix D.

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Table 5 compares our methods and other efficient pre-training techniques in terms of validation perplexity, parameter size, and estimated memory usage of model, gradients and optimizer states. CoLA has the **smallest model size**, thereby **consumes the least memory**, and **performs on-par with fullrank** baselines. CoLA **uniformly surpasses** other efficient training baselines in both **efficiency** and **performance**. Table 6 compares the validation perplexity on the 7B model for 150k steps³. CoLA(-M) significantly outperforms 8-bit Adam/GaLore by **12.73** vs ~14.6, while saving two-third memory. Scaling Behavior: Table 7 shows how CoLA might be improved when compute is scaled up. The default rank choices reduce half the computing cost, without harming the model performance. Meanwhile, if we relax the computing restriction and moderately increase the rank, then CoLA outperforms full-rank training in all three scales, while still being fairly smaller and reducing the computing cost. One might argue that full-rank training can also be scaled down to a similar computing cost of CoLA and might perform similarly. We implement such baselines in Table 7 and refer this setup to "Control". We typically reduce the number of layers or the model width of full-rank models to scale down their computing cost. We find empirically that they reduce performance significantly and dramatically underperform CoLA.

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5.2 Pre-Training beyond Compute-Optimal

According to Chinchilla scaling law (Hoffmann et al., 2022), compute-optimal training is at the efficient frontier when given a fixed computing budget or a target model size. However, leading industrial groups with massive computing resources tend to extensively overtrain smaller models for efficient deployment, such as LLaMA-3 (Grattafiori et al., 2024) 1-3B models being trained up to 9 Trillion tokens. To evaluate CoLA's effectiveness beyond the compute-optimal regime, we further experiment the following two over-training settings.

LLaMA-350M with 51B Tokens: We prolong the training duration by $8 \times$ of the compute-optimal budget for both CoLA⁴ and full-rank LLaMA at 350M scale. This results in 51B total training tokens. CoLA continues outperforming fullrank baseline on validation perplexity of **13.96** vs 14.47, consistent with results at compute-optimal observed from Table 7.

³Due to resources constraints, 7B models are trained below compute optimal budget (Zhao et al., 2024; Han et al., 2024).

⁴We choose CoLA at $0.7 \times$ compute of full-rank baseline, as its superior performance observed in Table 7.

	Pre-Training Loss	QQP	SST-2	MRPC CO	la QNLI	MNLI RTE	STS-B	GLUE Avg
BERT _{Large}	1.263	91.1	92.1	90.7 53	.1 91.6	84.3 69.9	88.9	82.7
CoLA	1.257	91.2	92.3	90.6 54	.1 91.7	84.3 74.2	89.7	83.5

Table 8: Fine-tuning CoLA and BERT_{Large} on GLUE. Both models are trained from scratch following NVIDIA's faithful reproduction⁵, then fine-tuned for three epochs. F1 scores are reported for MRPC, Pearson correlations are reported for STS-B, Matthews correlations are reported for COLA (task), accuracies are reported for all other tasks. Reported metrics are the mean of 5 best out of 10 random seeds.



Figure 8: Comparison of throughput measured when pre-training a LLaMA-1B on a 40 GB A100 GPU with sequence batch size of 16 for different methods.

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BERT_{Large} (**350M**) with **85B** Tokens: We adopt the exact infrastructure and training configurations from NVIDIA's faithful BERT (Devlin et al., 2019) reproduction⁵ and pre-train both CoLA⁴ and fullrank BERT_{Large} at 350M scale on Wikipedia for 85B tokens. CoLA outperforms BERT_{Large} on training loss of **1.257** vs 1.263. We fine-tune both pre-trained models for three epochs following (Devlin et al., 2019) on GLUE (Wang et al., 2018) benchmark and show results in Table 8. CoLA outperforms full-rank baseline across 7 out of 8 tasks, and on average score of **83.5** vs 82.7.

These results further demonstrate CoLA's effectiveness across both **encoder/decoder** architectures, both **compute-optimal/over-train** settings, and different activations (GeLU, Swish and SwiGLU).

5.3 Training/Inference System Performance

Superior Training Efficiency. We further validate CoLA's efficiency from a practical perspective: CoLA delivers superior out-of-the-box system performance compared to full-rank and other efficient training methods. Fig. 8 compares pre-training throughput for the 1B-scale LLaMA model (batch size 16, fully utilizing A100 GPUs). Among evaluated methods, only CoLA and CoLA-M surpass the

	IB	(BZ = 64)		$^{7}B(BZ = 16)$		
	Mem (GB)	Token/s	FLOPs	Mem (GB)	Token/s	FLOPs
Full-Rank	69.84	12,365	$1 \times$	84.94	5,810	$1 \times$
Vanilla GCP	14.89	8,799	$1.68 \times$	52.49	4,357	$1.67 \times$
CoLA	66.46	22,979	0.40×	55.52	9,638	0.40×
CoLA-M	17.33	16,617	$0.55 \times$	26.82	7,026	$0.54 \times$

Table 9: Detailed measurements and comparison of CoLA and CoLA-M against full-rank and vanilla GCP on a 94 GB H100 GPU. CoLA-M consumes only one third of the memory while achieving higher throughput than full-rank training with only about half its compute.

full-rank baseline throughput. Notably, CoLA-M maintains higher throughput despite recomputation overhead, significantly outperforming vanilla GCP. Table 9 provides detailed measurements, showing CoLA-M cuts computing cost nearly by half and reduces memory usage by two-thirds, achieving great balance between memory and compute efficiency. Profiling details are available in Appendix F.

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Superior Inference Efficiency. Not just for training, CoLA also speeds up inference and reduces memory cost. Table 11 (Appendix E.2) shows that CoLA off-the-shelf improves inference throughput by up to $1.64 \times$ while reducing memory cost by up to $1.67 \times$.

6 Conclusions

We have proposed CoLA, and its memory efficient variant CoLA-M, to achieve collectively **parameter**, **computing** and **memory efficiency** at both training and inference time for large foundation models. CoLA effectively reduces **2**× model size and computing cost while preserving full-rank level performance. CoLA-M trades minimum overhead for state-of-the-art memory reduction, while still improving training throughput over full-rank baselines. Crucially, CoLA is promising to save substantial GPU resources in LLM industry. This work has been focused on dense architectures. In the future, it is worth extending CoLA to the mixtureof-expert (MOE) architecture.

⁵See details at NVIDIA's official Github repo.

7 Limitations

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Most of our pre-training experiments follow the exact setup in (Zhao et al., 2024; Han et al., 2024) and 522 are conducted in the widely accepted computing-523 optimal setting (Hoffmann et al., 2022) under aca-524 demic budget. Therefore, they are not trained with 525 526 the same amount of tokens as industry-produced models. However, our BERTLarge experiment follows NVIDIA's faithful reproduction and is directly 528 compared with the reproduced BERTLarge on standard downstream tasks (e.g., GLUE). CoLA out-530 performs BERT_{Large} and shows great potential for 531 producing competitive models. We have also pretrained the LLaMA-350M with a high token-to-534 parameter ratio, showing that CoLA consistently outperform full-rank pre-training in terms of both 535 accuracy and efficiency. 536

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A Observation of Low-Rank Activation in Pre-Trained GPT2

In this section, we further show the low-rank structure in model activations evaluated on a pre-trained GPT-2 (Radford et al., 2019) small. The evaluation is conducted with sequence batch size of 64 and sequence length of 1024. We fix $\alpha = 0.95$ throughout this section. Similar patterns are observed from the attention layers (Fig. 9, 10, 11). The low-rank nature of activations is evident across all the different components of the model. This suggests that despite the high-dimensional representations, the effective dimensionality of the activations remains constrained.



Figure 9: Activation Spectrum of Attention Layer (Q)



Figure 10: Activation Spectrum of Attention Layer (K)



Figure 11: Activation Spectrum of Attention Layer (V)

B Detailed Compute Analysis

According to Table. 2, the total compute of fullrank training is simply combining forward and backward as

$$C_{\text{Full-Rank}} = 24nd^2 + 12n^2d + 18ndd_{\text{ff}}.$$
 (5)

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In our proposed architecture, every single linear layer is replaced by low rank matrices A, B, and an activation function sandwiched in between. The activation only introduces trivial compute thus can be omitted in the calculation. For each d^2 and $dd_{\rm ff}$ in Eq. (5), CoLA effectively converts them into 2dr and $r(d + d_{\rm ff})$. Therefore the total compute of CoLA is

$$C_{\text{CoLA}} = 48ndr + 12n^2d + 18nr(d + d_{\text{ff}}).$$
 (6)

Plugging in an actual setting of LLaMA/CoLA-1B, in which $r = \frac{1}{4}d$ and $r \approx \frac{1}{10}d_{\rm ff}$, we achieve a compute reduction from Eq. (5) to approximately

$$C_{\text{CoLA-1B}} = 16.5nd^2 + 12n^2d + 1.8ndd_{\text{ff}}.$$
 (7)

We now discuss and compare CoLA with other efficient pre-training methods in terms of their compute complexity. We start with LoRA (Hu et al., 2021) and ReLoRA (Lialin et al., 2023). They share the same architecture that's shown in Fig. 3

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$$C_{\text{SLTrain}} = C_{\text{full-rank}} + 24d^2r + 18dd_{\text{ff}}r. \quad (11)$$

of SLTrain should be $C_{\text{full-rank}}$ plus reconstructing

 $\tilde{\mathbf{W}}$, and its corresponding 2× compute during back-

ward, i.e.,

For the last class of method to discuss, GaLore (Zhao et al., 2024) and it's follow-ups such as Fira (Chen et al., 2024) and APOLLO (Zhu et al., 2024), all investigate the memory efficiency associated with the AdamW optimizer. We only show the data-flow GaLore in Fig. 3 b), others are similar except some minor differences in how to manipulate gradients. The model architecture is kept unchanged in all these methods. Therefore, the complexity analysis is on the additional compute for projecting gradients into a low-rank space. GaLore proposes the following update rules:

$$\mathbf{R}_{t} = \mathbf{P}_{t}^{T} \mathbf{G}_{t}, \tilde{\mathbf{G}}_{t} = \alpha \cdot \mathbf{P} \mathbf{N}_{t},$$

$$\mathbf{W}_{t} = \mathbf{W}_{t-1} + \eta \cdot \tilde{\mathbf{G}}_{t},$$
 (12)

where the projector $\mathbf{P}_t \in \mathbb{R}^{d \times r}$ at time t is computed by decomposing $\mathbf{G}_t \in \mathbb{R}^{d \times d}$ via singular value decomposition (SVD) and is updated periodically, $\mathbf{N}_t \in \mathbb{R}^{d \times r}$ is the low-rank optimizer states, α is a scaling factor and η is the learning rate. Therefore, the total compute of GaLore is

$$C_{\text{GaLore}} = C_{\text{full-rank}} + 16d^2r + 12dd_{\text{ff}}r. \quad (13)$$

We remark that the compute analysis for the additional cost of SLTrain and GaLore (and its variants) is of limited scope and does not necessarily reflect their actual overhead. The actual cost will be dependent on other practical considerations on both algorithm and system level, such as the specific use case of these methods (e.g., pre-training, fine-tuning, etc), the actual number of the optimizer steps performed, the actual number of forward and backward steps performed when fixing total training tokens (i.e., if the hardware can afford larger batch sizes then the actual steps are fewer). It is almost impossible to give a unified notion while being fair when comparing between them. Hence we follow the similar setup used in (Zhao et al., 2024; Han et al., 2024; Chen et al., 2024; Zhu et al., 2024) when they analyze memory efficiency and measure system-level performance. However, it is rather safe to conclude that the overall cost introduced by GaLore and its variants will be diluted in real practices of pre-training due to the optimizer step is not frequent as forward and backward steps,

a), in which low rank matrices $\mathbf{A} \in \mathbb{R}^{r \times d_{\text{in}}}$ and $\mathbf{B} \in \mathbb{R}^{d_{\text{out}} \times r}$ are adapted onto a full rank matrix $\mathbf{W}_0 \in \mathbb{R}^{d_{\text{out}} \times d_{\text{in}}}$. Hence modifies Eq. (2) into

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$$\mathbf{h} = \mathbf{W}_0 \mathbf{x} + \mathbf{B} \mathbf{A} \mathbf{x}.$$
 (8)

This yields a consistently more expensive forward step than the full-rank training regardless the choice of r. During the backward step, since gradient does not flow into \mathbf{W}_0 , only one GEMM that computes gradient w.r.t \mathbf{x} is involved with the full-rank component $\mathbf{W}_0\mathbf{x}$. Combining together both fullrank and low-rank components in both forward and backward step, the total compute of LoRA is

$$C_{\text{LoRA}} = 16nd^{2} + 12n^{2}d + 12ndd_{\text{ff}} + \underbrace{48ndr + 18nr(d + d_{\text{ff}})}_{C_{\text{CoLA}}}.$$
 (9)

When choosing the same r for LoRA and CoLA, we have $C_{\text{LoRA}} > C_{\text{CoLA}}$ always true.

In ReLoRA (Lialin et al., 2023), the hybrid strategy that warms up with the full-rank training arises more uncertainties in analyzing its complexity. And such strategy needs delicate tuning of hyper-parameters such as the full rank warm-up ratio, the restart frequency of optimizer, etc, and the choice of rank might also be affected by these strategy-level hyper-parameters. Therefore, we follow the same notion in (Zhao et al., 2024) that only consider the pure low-rank training of ReLoRA, which simplifies the compute analysis of ReLoRA to be the same as LoRA.

SLTrain (Han et al., 2024) proposes a low-rank + sparse parameterization instead of having a fixed full-rank matrix W_0 . The architecture of SLTrain is shown in Fig. 3 c). We continue using the notation for the low-rank matrices, and denote the sparse matrix as **S**, with the sparsity level as δ . This modifies Eq. (2) into

$$\mathbf{h} = \mathbf{B}\mathbf{A}\mathbf{x} + \mathbf{S}\mathbf{x} = (\mathbf{B}\mathbf{A} \oplus_{\mathcal{I}} \mathcal{V})\mathbf{x}, \qquad (10)$$

where \oplus denotes the scatter-add operator, \mathcal{I} and \mathcal{V} denote the indices and values of non-zero elements in S. This implementation avoids instantiating a full sized S, instead keeping only the non-zero elements. However, this introduces non-trivial reconstruction cost of BA in every step. And if we further denote $\tilde{\mathbf{W}} = \mathbf{BA} \oplus_{\mathcal{I}} \mathcal{V}$, then the forward data-flow that starts from $\tilde{\mathbf{W}}$ is the same as in the full-rank training, as well as the backward dataflow that ends at $\tilde{\mathbf{W}}$. Therefore, the total compute

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hence are less expensive than SLTrain. Nonetheless, we highlight the fact that all the aforementioned methods are non-trivially more expensive than CoLA in terms of compute, and are all (except LoRA/ReLoRA) lower bounded by the full-rank training.

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C Detailed Memory Analysis

We continue using the notions defined in Section. 4.2 and start with the activation memory of fullrank training:

$$M_{\text{full-rank}} = \underbrace{3nd}_{\mathbf{Q},\mathbf{K},\mathbf{V}} + \underbrace{2n^2h + 2nd}_{\text{attention}} + \underbrace{11nd}_{\text{ffw}}$$
$$\underbrace{2nd}_{\text{residual connection}} + \underbrace{2nd}_{\text{layer norm}} = 20nd + 2n^2h. \quad (14)$$

When applying vanilla GCP, only the output of each block is saved, and all other activations are recomputed when needed. This dramatically reduces the total activation memory to only

$$M_{\text{vanilla-GCP}} = nd.$$
 (15)

However, such benefit comes with a cost equal to almost an entire forward step. From Table. 2, we have the cost of vanilla-GCP as

$$C_{\text{vanilla-GCP}} = C_{\text{full-rank}} + 23nd^2 + 4n^2d. \quad (16)$$

Although we mentioned that delicate optimization 911 of vanilla-GCP is beyond the scope of our discus-912 sion, we show a heuristic strategy when selecting 913 checkpoints. Refer to Eq. (14), activations that as-914 sociated with minimal re-compute are: layer norm, 915 916 residual connection, and non-linear function (included in the ffw term). Then intuitively these acti-917 vations should always be re-computed when trying 918 to save memory. In fact this can save a fair amount 919 of memory. Note in this paper we analyze compute 920 in pure theoretical notion that lower order terms 921 does not bring noticeable effect hence are omitted. 922 In practice, however, re-computation brings latency 923 even for theoretically trivial operations, and will lower the overall GPU throughput. Other terms 925 in Eq. (14) are all significant components when mapping to FLOPs change. One can gradually add 927 more operations into the re-compute list and trade 929 for more memory savings. We show the trend how they scale in Fig. 7.

Now we discuss CoLA and how it enables compute efficient checkpointing. We first evaluate how much memory overhead introduced by the low-rank activations. Compared to Eq. (14), CoLA adds 2nrfor each of the low-rank layers, i.e., nr for Ax, another nr for $\sigma(Ax)$, thereby

$$M_{\rm CoLA} = M_{\rm full-rank} + \underbrace{14nr}_{\rm low-rank \ \sigma} - \underbrace{2.5nd}_{\rm remove \ original \ \sigma}$$
(17)

We notice that when model scales up, the original LLaMA activation no longer brings benefit to model performance, hence can be removed, which corresponds to 2.5nd less activations.

As shown in Figure. 4, CoLA has multiple nonlinear functions injected along the normal dataflow. This partitions the previously longer path, i.e., the whole block, to significantly shorter paths bounded by these low-rank activations. This provides a natural selection of checkpoints that are of r-dimensional instead of d. More importantly, these shorter paths halve the re-compute steps. We show in Figure. 4 that only the weights that are painted in sketch need re-computation during the backward step of CoLA-M. This reduces significantly the cost of implementing GCP in CoLA-like architecture, results in the cost of only

$$C_{\text{CoLA-M}} = C_{\text{CoLA}} + 18.5ndr + 4n^2d.$$
 (18)

Meanwhile, the memory saving of CoLA-M is still significant. We have the activation memory of CoLA-M as

$$M_{\text{CoLA-M}} = 2nd + 7nr. \tag{19}$$

D Hyper-Parameters

D.1 LLaMA Pre-Training

For optimizer related hyper-parameters, we empirically found 0.003 is a balanced choice of learning rate for most of the models we trained, this is similar to the settings in (Han et al., 2024). For CoLA-1B, this learning rate triggers a unstable loss curve, thereby is reduced to 0.002, and is further reduced to 0.001 for CoLA-7B as a conservative practice. For smaller models like CoLA-60M, an even larger learning rate such 0.006 can be adopted. For the warm-up ratio, weight decay and gradient clipping, we found the commonly adopted settings, 0.1, 0.01, 0.5, are proper choices for CoLA. Other than the standard optimizer parameters, one needs to pre-define a rank r when initializing CoLA. A default choice is set to approximately one quarter of the model inner width, i.e., $r = \frac{1}{4}d$.

	60M 130M 350M
CoLA w/ Both σ	34.04 24.48 19.56
CoLA w/ Only Low-Rank σ	34.35 25.20 19.40
CoLA w/ Only Low-Rank σ – Reduced	35.41 25.90 20.50
CoLA w/ Only Full-Rank σ	36.26 26.85 21.18

Table 10: Ablation study regarding where to place the low-rank non-linear functions.

D.2 BERT_{Large} Pre-Training

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We directly adopted NVIDIA's open-sourced reproduction of BERT pre-training⁵, without changing any training configurations or hyper-parameters (including learning rate). We implemented CoLA onto this training pipeline and set CoLA as $0.7 \times$ compute of full-rank BERT_{Large}, which corresponds to rank 384 at attention layers and rank 512 at MLP layers. We choose this setting due to its superior performance observed in Table 7.

Both CoLA and BERTLarge are trained for 85B tokens using masked token prediction and next sentence prediction, with a composition of 128 tokens per sequence in 90% steps and 512 tokens per sequence in the rest 10% steps. Most settings in this reproduction are identical to the original BERT (Devlin et al., 2019), except the adoption of LAMB optimizer (You et al., 2019) for large batch training and the constraint of using only the Wikipedia corpus. We kept everything unchanged, and successfully reproduced BERTLarge as training loss of 1.263, very close to the mean value 1.265 reported by NVIDIA. Meanwhile, we trained CoLA using the exact same configurations and got the training loss of 1.257, suggesting a slightly better outcome despite of fewer parameter and compute.

E Additional Results

E.1 Ablation Study

We empirically found that keeping the original LLaMA nonlinearity on top of our proposed formulation Eq. (3) helps improve the model performance at smaller scales, such as 60M and 130M. However, when scaling up to 350M we no longer observe such a benefit. Therefore, the default setting of pretraining CoLA-1B/7B is set to use only low-rank nonlinearity. We found also evident that applying low-rank nonlinearity (i.e., Eq. (3)) regardless of whether the original linear layer being followed by nonlinearity is crucial to boost model performance.

	1B (BZ	=32)	7B (BZ=32)				
	Mem (GB)	Token/s	Mem (GB)	Token/s			
Full-rank	5.74	21,109	18.15	11,086			
SLTrain	4.18	20,096	12.70	9,968			
CoLA	3.84	34,697	10.87	16,012			

Table 11: Comparison of memory (GB) and throughput (Token/sec) at inference time on an A100 GPU.

Results are shown in Table. 10, in which "CoLA w/ Both σ " means keeping the original nonlinearity on top of proposed low-rank nonlinearity, "CoLA w/ Only Low-Rank σ " means applying Eq. (3) in an agnostic way to all linear layers, "CoLA w/ Only Low-Rank σ – Reduced" means only applying Eq. (3) to the linear layers that are originally followed by nonlinearity, "CoLA w/ Only Full-Rank σ " means keeping the low-rank factorization but does not apply low-rank nonlinearity.

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E.2 Inference Efficiency

We show CoLA's system performance at inference stage in Table 11. CoLA reduces memory usage and improves inference throughput compared to full-rank baselines.

F Detailed Profiling Setting

This section provides a detailed explanation of the 1033 experimental setup for system-level measurements. 1034 For the memory breakdown in Fig. 6, we use a 1035 sequence batch size of 32. For throughput mea-1036 surement in Fig. 8, we use a sequence batch size 1037 of 16 because the full-rank model cannot fit into 1038 40GB A100 when using a sequence batch size of 1039 32. Throughput is measured incorporating one for-1040 ward pass, one backward pass, and one optimizer 1041 step. This setup reflects a realistic training sce-1042 nario, particularly in a multi-GPU environment, 1043 such as an 8x A100 cluster utilizing simple data 1044 parallelism. For a fair comparison, we set the up-1045 date step in GaLore/APOLLO to 200, ensuring that 1046 the computationally expensive SVD/random pro-1047 jection is performed only once every 200 optimizer 1048 steps and is distributed across a single optimizer 1049 step. All experiments are conducted on a single 1050 GPU to isolate the effected of FLOP reduction on 1051 throughput improvement, without being influenced 1052 by multi-GPU framework settings or communica-1053 tion overhead. For Table. 6, memory consumption 1054 is measured on a 94GB H100 with a sequence batch 1055 size of 16. For Table. 11, inference is performed 1056 using the same configuration as pre-training, with a sequence batch size of 32. 1058