# Beyond the Turn-Based Game: Enabling Real-Time Conversations with Duplex Models

### Anonymous ACL submission

### Abstract

As large language models (LLMs) increasingly permeate daily lives, there is a growing demand for real-time interactions that mirror human conversations. Traditional turn-based chat systems driven by LLMs prevent users from verbally interacting with the system while it is generating responses. To overcome these 800 limitations, we adapt existing LLMs to duplex models so that these LLMs can listen for users while generating output and dynamically adjust themselves to provide users with 011 instant feedback. Specifically, we divide the queries and responses of conversations into sev-014 eral time slices and then adopt a time-divisionmultiplexing (TDM) encoding-decoding strategy to pseudo-simultaneously process these 017 slices. Furthermore, to make LLMs proficient enough to handle real-time conversations, we 019 build a fine-tuning dataset consisting of alternating time slices of queries and responses as well as covering typical feedback types in instantaneous interactions. Our experiments show that although the queries and responses of conversations are segmented into incomplete slices for processing, LLMs can preserve their original performance on standard benchmarks with a few fine-tuning steps on our dataset. Automatic 027 and human evaluation indicate that duplex models make user-AI interactions more natural and human-like, and greatly improve user satisfaction compared to vanilla LLMs. Our duplex model and dataset will be released.

# 1 Introduction

037

041

Large language models (LLMs) have demonstrated impressive capabilities in various scenarios (OpenAI, 2023b; Achiam et al., 2023; Touvron et al., 2023; Team et al., 2023). These large models are deeply integrated with our daily lives and their extraordinary capabilities can satisfy users in many applications, such as coding assistants (Chen et al., 2021; GitHub, 2023b,a; Microsoft, 2024; Rozière et al., 2023; Li et al., 2023b), task assistants (Wang et al., 2023b; Qian et al., 2023; OpenAI, 2024), virtual role play (Shao et al., 2023; Shanahan et al., 2023), and even emotional companions (Chaturvedi et al., 2023; Guingrich and Graziano, 2023; Pentina et al., 2023). 042

043

044

047

048

053

054

056

060

061

062

063

064

065

066

067

068

069

070

071

072

073

074

076

077

078

079

081

Despite ongoing advancements, interactions with LLMs often fail to provide users human-like interaction experience (Hill et al., 2015; Mou and Xu, 2017; Zhou et al., 2023). One reason is the turn-based nature of current chatbot implementations (Skantze, 2021), which is different from human conversations where there are many overlaps, interruptions, and silences (Zimmerman and West, 1996). Current human-LLM interactions necessitate that one participant remains entirely idle while the other generates responses. Interruptions are manually triggered with a "stop" button or by saying certain keywords, resulting in conspicuously artificial communication. In human conversations, participants simultaneously process incoming information and formulate responses, often in overlapping and interleaved contexts, thus allowing each other to interrupt or be interrupted.

To address this limitation, we introduce the concept of **duplex models**. Duplex models emulate human cognitive processes by synthesizing responses internally while simultaneously attending to incoming user inputs, akin to a person thinking while listening as well as speaking while observing. However, present autoregressive models face substantial challenges in adopting a duplex configuration, as they must process and encode a complete input message before generating any tokens, resulting in a turn-based conversation. Considering this, we propose a framework for quickly converting current LLMs into duplex models by processing queries and responses pseudo-simultaneously without significant alternations to their architectures.

Specifically, we propose a time-divisionmultiplexing (TDM) encoding-decoding strategy.

159

160

161

162

163

164

165

166

167

168

169

170

171

172

173

174

175

176

177

178

179

133

134

messages in dialogues are split into time slices and the model processes time slices of input queries 084 incrementally and generates time slices of output responses based on these partial input slices. When a new input query arrives, the model immediately halts its current generation process and starts a new sequence that integrates the additional input, enabling swift responses. To adapt existing LLMs to this format of time slices, we build a duplex dataset for fine-tuning. The differences between our data from the conventional supervised fine-tuning (SFT) dataset are: (1) its input and output are time slices and (2) it includes various interactive user interruptions, such as generation termination, regeneration, and dialogue reset.

> To demonstrate the feasibility of duplex models, we train a prototype named MiniCPM-duplex, based on MiniCPM—a robust and lightweight LLM (Hu et al., 2024). Empirical results show that MiniCPM-duplex has its original performance on general benchmarks while enabling dynamic responses to user queries. Additionally, we conduct a user study to compare the MiniCPM-duplex with the original MiniCPM. The results indicate that duplex models show significant improvements in responsiveness, human-likeness, and user satisfaction. Our contributions are fourfold:

(1) We introduce and define the concept of duplex models, which are designed to generate output simultaneously as they receive input.

(2) We propose a TDM encoding-decoding strategy and a duplex-specific SFT dataset for implementing duplex models.

(3) We confirm that segmenting time slices during interactions does not compromise performance, and notably enhances the responsiveness, humanlikeness, and overall satisfaction of conversations.

(4) We release the model and dataset and provide a demo for users to experience firsthand.

## 2 Duplex Models

100

101

102

103

104

105

106

108

109

110

111

112

113

114

115

116

117

118

119

120

121

122

123

124

125

126

128

129

130

131

132

We define *duplex models* as models that can process inputs and produce outputs simultaneously, and dynamically decide when to respond. It differs from current LLMs-based chatbots where participants must specify the end of inputs and only produce outputs after processing the entire input. To convert existing LLMs into duplex models, we split conversation messages into time slices, and then propose a TDM encoding-decoding mechanism to process these slices. To enhance the processing of these time slices, we further introduce duplex alignment to adapt existing LLMs to duplex models.

## 2.1 Time-Division-Multiplexing Encoding-Decoding

Current autoregressive language models struggle to function as true duplex systems. During the input phase, the LLM encodes the input into keyvalue caches without generating any output. To leverage autoregressive models in approximating duplex models, we propose a TDM strategy. We divide the conversation interaction into time slices and process input slices immediately to produce corresponding output slices.

Instead of requiring users to specify when the model should respond, the duplex model infers responses after every k seconds, i.e., each time slice spans k seconds. A special token (e.g., <idle>) is used to indicate the model's decision to remain silent and wait for further inputs. If not used, the generated slice is delivered to the user immediately. This approach mimics human conversational patterns more closely, as humans do not use special tokens to signal the end of utterances and intuitively determine the appropriate moments to respond to inputs. Figure 1 illustrates the distinction between duplex and conventional language models.

## 2.2 Time-Slicing Chunking

As shown in Figure 1, all the input queries and output responses of conversations are in the slice format. The size of slices has great implications for the performance of a duplex model. Large slice sizes result in greater response (or interruption) latency, while smaller slice sizes may result in unnecessarily long inputs (because some tokens are added between the chunks). Our preliminary investigation and pilot experiments with our transformerbased (Vaswani et al., 2017) models reveal that time-slicing chunking at 2-second intervals balances response latency and user experience. Assuming human beings usually speak 110-170 words per minute<sup>1</sup>, an appropriate size of time slices is 4-6 words.

# 2.3 Duplex Alignment

Normal LLMs are unable to handle time slices as shown in Figure 2, so we need to fine-tune them into duplex models. To achieve this, we construct a duplex SFT duplex dataset.

<sup>&</sup>lt;sup>1</sup>https://debatrix.com/en/speech-calculator/



Figure 1: Illustration of the input/output processing scheme of traditional models (1a) and duplex models (1b). Traditional models receive the complete input from the user before generating the response. In contrast, duplex models process the input and generate the output in an online manner.



Figure 2: Responses of MiniCPM when inputs are time slices.

## **3** Supervised Fine-Tuning Duplex Dataset

181

184

185

187

189

190 191

193

195

We create **Duplex-UltraChat** for tuning current LLMs into duplex models. Different from existing dialogue datasets, Duplex-UltraChat has no special tokens or keywords to indicate the beginning or end of messages. Messages are split into time slices. A slice is either the actual message of an individual or a special "idle" token to indicate silence. Each individual may interrupt by generating a response before the other party's message is completed.

Duplex-UltraChat is derived from Ultra-Chat (Ding et al., 2023) to reduce annotation costs. We heuristically inject appropriate random interruptions to simulate realistic scenarios. Powerful LLMs rewrite the interruptions to ensure diversity and naturalness. Each user message is randomly split into 4-6 words. Assistant messages are split into 10-token slices.

196

197

198

199

200

201

203

204

205

210

211

212

213

214

215

216

217

218

219

220

During the construction of the dataset, we abide by the following two design choices: user behavior is unpredictable and the assistant should be polite. Examples in the dataset can be categorized as uninterrupted dialogues and dialogues with interruptions. As shown in Table 1, there are six categories of duplex data consisting of over 4.8M dialogues. Each piece of data has an average length of 2,570.2 tokens encoded by the tokenizer of MiniCPM-duplex and 170.4 slice pairs.

## 3.1 Uninterrupted Dialogue

**Basic** Ordinary uninterrupted dialogue data is obtained by splitting existing dialogue messages into slices. When the user input is unfinished, the output of the duplex model should be <idle>. Meanwhile, when the duplex model is generating output, the user is set to quiet and its input is <idle>. Figure 3 shows an example of basic duplex data.

**Topic Interweaving** People may discuss several topics interweavingly ignoring coherence. To mimic such behavior, we interlace sentences of 3-5 dialogues while keeping their orders, and split each sentence into time slices as the basic type does.

Example Type	# Dialogues	Avg. # Slice Pairs	Avg. # Tokens
Basic	1,458,353	153.9	2,342.2
Topic Interweaving	489,065	427.7	6819.6
Generation Termination	1,468,141	89.3	1,318.0
Regeneration	806,687	171.2	2,590.4
Dialogue Reset	300,318	194.7	2,906.5
Back on Topic	327,286	199.1	2495.6
Total	4,849,850	170.4	2,570.2

Table 1: The statistics of Duplex-UltraChat. The tokens are produced by the tokenizer of our MiniCPM-duplex.



Figure 3: An example of uninterrupted dialogue in Duplex-UltraChat.

#### 3.2 Dialogues with Interruptions

221

226

227

228

233

240

241

242

243

244

In realistic human conversions, the individuals may start speaking before the other part is done with their message. Therefore, to simulate such scenarios, we inject four interruptions into the data as shown in Figure 4.

**Generation Termination** Forced interruptions are when users directly speak out their next sentence regardless of the status of the assistant. To generate such data, we randomly choose a location in an assistant message, discard the remaining part of the message, and insert a new user input at that location. We prefix the user input with one of the 11 pre-defined transitional sentences (see Appendix A.1). This input is rewritten by Chat-GPT to ensure a natural and varied transition. The target output is idle tokens because the assistant is expected to terminate its current response.

Generation termination requires the assistant to learn to stop speaking when the user is forcibly interrupting it and be robust to incomplete messages in the chat history. Since this interruption may be regarded as impolite, our dataset does not contain situations where the user is interrupted. **Regeneration** Another scenario where the user interrupts the assistant is when the user is dissatisfied with the current response. In conventional LLM-based chatbots, the user must first stop the generation with a button, and prompt the model with the updated prompt. In contrast, duplex models allow the user to directly interrupt and reinput the new prompt while generating outputs. To create such data, we randomly sample a user message and repeat it with one of 15 pre-defined transition sentences (given in Appendix A.2). ChatGPT rewrites this repetition message for better coherence. Then, the chat history and repetition message are fed to ChatGPT to generate the annotation. 245

246

247

248

249

250

251

252

253

254

255

256

257

259

260

261

262

263

264

265

266

267

268

269

270

271

272

273

274

275

276

277

278

279

281

282

**Dialogue Reset** Here, we consider situations where the user wants to chat abruptly on an entirely different topic while the assistant is generating output. To create such data, we randomly sample five dialogues and truncate the first four dialogues at random locations before concatenation. We define 18 kinds of transitional sentences in Appendix A.3, including one empty string. We randomly choose a transitional sentence, and prefix it with the first sentence of the new dialogue. Each message is then rewritten by ChatGPT. If the selected transitional sentence is the empty string, we do not rewrite the input, which simulates certain users who wish to start a new dialogue as fast as possible.

**Back on Topic** When the user only interrupts a question without attempting to stop the assistant or change the topic, the assistant should answer the question and then continue the unfinished statement. To construct this type of data, we randomly select a within a message from the assistant, and annotate a question about a statement by the assistant. GPT-4 (Achiam et al., 2023) is used to generate the answer to the user's question and continue the interrupted message with coherence.



Figure 4: Some examples from Duplex-UltraChat.

## **4** Experimental Details

### 4.1 Training

286

293

296

297

300

303

304

305

310

We start from the public checkpoint of MiniCPM-2.4B (Hu et al., 2024)<sup>2</sup> and fine-tune it on Duplex-UltraChat as well as the SFT data that MiniCPM uses to obtain MiniCPM-duplex.

We make the following modifications to MiniCPM: (1) we append a special end-of-sentence token (i.e., <eos>) to each response of the duplex model, and (2) we add a special token <idle> to represent empty input or output.

The training of MiniCPM-duplex uses the following hyperparameters:  $10^{-3}$  maximum learning rate, warmupstableexp (Hu et al., 2024) learning rate scheduler, a batch size of 800, and a maximum length of 4,096. We train for 10,000 steps on 40 NVIDIA A100 GPUs for 36 hours.

#### 4.2 Baseline

Since our MiniCPM-duplex and MiniCPM are derived from the same checkpoint, we verify the effectiveness of our method by comparing it against the vanilla MiniCPM.

#### 4.3 Evaluation

We evaluate the duplex model with three kinds of metrics: automatic metrics, GPT-4, and human. Automatic metrics, like accuracy and pass rate, are widely used for convenience and low cost.

## 4.3.1 GPT-4 Evaluation

To evaluate the multi-turn dialogue ability of MiniCPM-duplex, we benchmark it on MT-Bench (Zheng et al., 2024) with GPT-4 as the judge.

<sup>2</sup>https://huggingface.co/openbmb/

MiniCPM-2B-sft-bf16, denoted MiniCPM.

To mimic real-time scenarios, we chunk each instruction in MT-Bench into multiple 4-6 word slices and feed one slice at a time. Then we concatenate all output segments from the duplex model to form the final output. For the traditional model, we directly feed the entire prompt to the model.

Both models use the same decoding parameters: random sampling, a temperature of 0.8, a top-pvalue of 0.8, and a top-k value of 0. The maximum length is set to 4,096. For the duplex model, we set the maximum token generated per chunk to 10.

#### 4.3.2 Human Evaluation

When using humans as evaluators, we consider the following four aspects.

**Responsiveness** This metric measures whether a model will respond to a user query and the latency if it responds, which is a perceived latency. Many factors may contribute to greater response latency, including the speech-to-text and text-to-speech conversion time, model inference time, network latency, and the interaction strategy that the model utilizes. There is no obvious difference between the actual inference latency of MiniCPM-duplex and MiniCPM.

**Human-Likeness** Inspired by the Turing test, we wish to develop a language model that chats in a way indistinguishable from humans. Therefore, we define human-likeness as a metric that measures the degree of the similarity of a model to humans.

FaithfulnessFaithfulness is a widely used met-343ric in the evaluation of LLMs (Arras et al., 2017;344Serrano and Smith, 2019; Jain and Wallace, 2019;345De Young et al., 2020; Adlakha et al., 2023; Chen346et al., 2023b). Here, we use it to reflect the degree347how the model follows a user's instruction, which348

314

315

316

317

318

319

320

321

323

324

325

326

330

331

332

334

335

336

337

339

340



Figure 5: The human evaluation score distributions for MiniCPM and MiniCPM-duplex regarding responsiveness, human-likeness, factuality, faithfulness, and overall satisfaction.



Figure 6: Win rates between MiniCPM and MiniCPMduplex on responsiveness, human-likeness, factuality, faithfulness, and overall satisfaction.

### is similar to (Adlakha et al., 2023).

**Factuality** This metric measures the degree of hallucination of a LLM (Rudinger et al., 2018; Tian et al., 2023; Chen et al., 2023a; Wang et al., 2023a; Nakano et al., 2021).

## 4.4 Interactive Demo

351

355

361

365

367

We implement an interactive demo with a user interface such that human evaluators can make evaluations based on actual interaction experience. In the demo, users chat with an assistant using voice. The assistant is either implemented with the vanilla MiniCPM or our MiniCPM-duplex. The conversion between speech and text is implemented with Google's cloud-based ASR and TTS API<sup>3</sup>.

This demo supports both vanilla MiniCPM and MiniCPM-duplex. For the vanilla MiniCPM, the program automatically detects pauses in the user's voice. On each pause, the speech is converted to text, which is then sent to the model. MiniCPM performs regular text generation, and each output token is passed to the ASR module, before being returned to the user. Meanwhile, the user has to wait until the speech response is done before the next query. When interacting with MiniCPM-duplex, the user's speech is processed every 2 seconds. When the MiniCPM-duplex does not generate the idle token, the text generation will be transcribed into audio and played out. The user's voice will be captured, transcribed, and fed to the model regardless of whether the assistant speaks.

370

371

372

373

374

375

376

377

378

Benchmark	MiniCPM	MiniCPM-duplex
C-Eval	50.52	50.06
CMMLU	51.30	48.53
MMLU	53.45	53.76
BBH	37.25	36.35
HumanEval	50.00	49.39
MBPP	38.09	38.30
GSM8K	42.30	46.10
MATH	10.56	9.32
ARC-e	84.60	85.19
ARC-c	69.80	70.05
HellaSwag	61.40	60.79

Table 2: Performances of MiniCPM and MiniCPMduplex on standard benchmarks.

Metric	MiniCPM	MiniCPM-duplex
Responsiveness	3.43	6.21
Human-Likeness	2.79	4.00
Factuality	4.93	5.21
Faithfulness	5.14	4.50
Overall	3.29	4.36

Table 3: Average human evaluation scores on responsiveness, human-likeness, factuality, faithfulness, and overall satisfaction. Higher is better.

<sup>&</sup>lt;sup>3</sup>Speech-to-text API: https://cloud.google.com/ speech-to-text/docs/reference/rest. Text-to-speech API: https://cloud.google.com/text-to-speech/ docs/reference/rest.

Score	MiniCPM	MiniCPM-duplex
First turn	7.17	5.83
Second turn	5.85	4.84
Avg.	6.51	5.33

Table 4: MT-bench results of MiniCPM and MiniCPMduplex. Higher is better.

### 4.5 User Study

379

384

388

390

394

400

401

402

403

404

405

406

407

408

409

410

411

412

413

414

Specifically, we recruit 14 participants consisting of 5 males and 9 females from 18 to 35 years old. Each participant holds a Bachelor's or Master's degree. Details on employment, payment, and ethical review are in Appendix C.

During the experiment, we rename MiniCPMduplex as Model A, and MiniCPM as Model B to ensure anonymity. Participants are unaware of the difference between the two models beforehand. We specify the odd-numbered participants interact with Model A first, and the even-numbered ones first chat with Model B to eliminate the influence of chatting order. When finishing chatting with a model, the participant should score it and continue interacting with the other one. After the experiment, participants could modify and confirm scores for both models. Each participant is assigned at least 5 sessions of multi-turn dialogues with each model. The first sentence of sessions should be the same for both models. To help the participants come up with topics to chat about, we provide them with a reference note containing sample instructions from AlpacaEval (Li et al., 2023c).

**Questionnaire Design** The questionnaire consists of six questions. The first five questions prompt the user to rate the model based on responsiveness, human-likeness, faithfulness, factuality, and overall experience. The answer choices for these questions are scores from 1 to 7, where 1 represents disappointment, 4 represents indifference, and 7 represents excellence. The final question is open to suggestions on improving our duplex model. The actual questions are listed in Appendix B.2.

## 5 Results

415 Standard Benchmarks MiniCPM-duplex is
416 benchmarked on several standard benchmarks, in417 cluding multitask (C-Eval (Huang et al., 2024),
418 CMMLU (Li et al., 2023a), MMLU (Hendrycks
419 et al., 2020), BBH (Suzgun et al., 2023)), code

(HumanEval (Chen et al., 2021), MBPP (Austin et al., 2021)), math (GSM8K (Cobbe et al., 2021), MATH (Hendrycks et al., 2021)), and reasoning (ARC-e, ARC-c (Clark et al., 2018), HellaSwag (Zellers et al., 2019)) with the LLM evaluation platform, UltraEval (He et al., 2024). Table 2 indicates that adapting to duplex models does not significantly harm its performance on general benchmarks.

420

421

422

423

424

425

426

427

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

446

447

448

449

450

451

452

453

454

455

456

457

458

459

460

461

462

463

464

465

466

467

**GPT-4 Evaluation** Table 4 shows the GPT-4 evaluation results on MT-Bench. MiniCPM-duplex is slightly inferior to MiniCPM mainly due to that MiniCPM-duplex tends to generate shorter responses. GPT-4 favors longer responses, whereas users prefer chat models that give concise answers.

**Human Evaluation** We have received 14 questionnaire. Table 3 lists the average scores of both models on five metrics. The duplex model surpasses the normal model by 81.05%, 43.37%, and 32.52% on responsiveness, human-likeness, and overall experience respectively.

Apart from absolute scores, we compare the ratings of the two models and count the number of evaluators that rate one model higher. The comparison results are shown in Figure 6. MiniCPM is more faithful than the duplex model mainly because it uses more diverse SFT data. Whereas the duplex model wins in other aspects, with an exceptionally large margin on responsiveness and human-likeness.

From these results, we conclude that duplex models can provide a better user experience in acting as the backbone model in AI assistants compared to ordinary language models.

#### 6 Analysis & Discussion

#### 6.1 Analysis

The superior performance of the duplex model is mainly due to its underlying receive/generate mechanism. Rather than strictly turn-based dialogue where users must explicitly signal the beginning and end of messages, duplex models behave more like human beings. Besides, the duplex model has learned when to speak at the fine-tuning stage on the Duplex-UltraChat, which makes it more humanlike. Such ability is essential in passing a non-turnbased version of the Turing test, which is a more realistic test for whether a machine can be indistinguishable from humans.

## 6.2 Discussions

468

471

472

473

474

475

476

477

478

479

480

481

482

483

484

485

486

487

488

489

490

491

492

493

494

495

496

497

498

499

502

503

504

We highlight some important open problems associated with duplex models below.

High-quality duplex data is urgently needed Existing dialogue datasets are inherently turnbased, which does not represent realistic and complex human conversations. Despite some success in empirical results with our synthetically generated duplex dataset, it still lags behind the practical demands. Two out of the 14 participants pointed out that they preferred concise responses rather than tedious answers.

We manually inspect 10 out of 90 chat sessions and find that the duplex model fails to remain silent once and interrupts the user unexpectedly once, showing that there is room for improvement. Thus, high-quality duplex datasets are in urgent need.

A new decoding strategy is needed to improve the chat experience There are failed cases where the duplex model interrupted users unexpectedly. Balancing response speed and user experience is an open problem. Besides, to be more human-like, the duplex model should learn to start dialogues or topics actively.

A custom TTS system is needed to smooth the output voice The duplex model generates output chunk by chunk, which causes the output voice to be chunked. This results in incoherent intonation and volume, harming the user experience because existing TTS software does not support transcribing sequentially provided text chunks into a contiguous smooth voice. Overcoming this problem will improve the user experience considerably.

#### 7 Related Work

#### 7.1 Dialogue Dataset

Dialogue data can be divided into two categories: single-turn and multi-turn.

**Single-Turn** Self-instruct (Wang et al., 2023c) is a synthetic instruction-following dataset of 506 over 82K instances generated by GPT-3.5. Taori et al. (2023) adopt the data construction pipeline from Wang et al. (2023c) and construct Alpaca, a 510 dataset with 52K instances. GPT-4-LLM (Peng et al., 2023) improves the Alpaca by replacing the 511 data generator with GPT-4. It also adopts a Chinese 512 version of Alpaca and Unnatural Instructions (Hon-513 ovich et al., 2023). Besides, there are several high-514

quality datasets, such as BELLE (Ji et al., 2023) and GPT-4ALL (Anand et al., 2023), among others.

**Multi-Turn** DailyDialog (Li et al., 2017) consists of over 13K dialogues annotated by humans, covering diverse daily conversation scenarios. Baize (Xu et al., 2023) generates multi-turn dialogues with ChatGPT by a prompting framework called self-chat where seed questions are from Quora and Stack Overflow, two popular questionanswering websites. SODA (Kim et al., 2022) contains dialogues involving social commonsense. UltraChat (Ding et al., 2023) focuses on 30 metaconcepts and 20 types of materials and consists of over 1.4M dialogues.

### 7.2 Dialogue Models

Chat-based models have gained widespread popularity since the release of ChatGPT. Some notable chat-based LLMs include the Claude series (Anthropic, 2023, 2024), Qwen series (Qwen, 2024), the Mistral series (Jiang et al., 2023) and and LLaMa series (Touvron et al., 2023), among others. Most of these models, especially open-sourced ones, are purely text-based.

To enhance user experience, several applications support voice interaction. One instance is ChatGPT, where users press a button before speaking and indicate the end of speech with a button or pausing (OpenAI, 2023a). Then ChatGPT processes the received signal and produces a response until it finishes or users interrupt it by pressing a button. Such an implementation is unrealistic because it requires the user to specify the beginning and end of inputs. Whereas, our MiniCPM-duplex may improve this interactive experience by teaching the model to learn when to speak and when to be silent.

#### 8 Conclusion

We have introduced the concept of duplex models and provided one implementation. To this end, we also constructed the first non-turn-based dialogue dataset, Duplex-UltraChat, by injecting diverse kinds of interruptions into existing dialogue datasets. Our model, MiniCPM-duplex, is competitive with traditional models when evaluated on ordinary benchmarks while outperforming them in terms of responsiveness, human-likeness, and overall satisfaction. We believe that this work represents an essential step toward building machines that behave more human-like beyond current turnbased conversations.

8

545

546

547

548

549

550

551

552

553

554

555

556

557

558

559

560

561

562

563

515

516

517

# 564 Limitations

In this paper, we propose and verify the viability 565 of duplex models. However, our implementation, 566 MiniCPM-duplex, is a pseudo-duplex model, since 567 it cannot perform encoding and decoding simulta-568 neously. Consequently, our fixed-interval decod-569 ing strategy introduces a new hyperparameter that 570 compromises responsiveness and context length 571 (as discussed in Section 2.2). These limitations 572 call for a new architecture that better supports the 573 input-output scheme of duplex models. 574

# References

Josh Achiam, Steven Adler, Sandhini Agarwal, Lama	576
Ahmad, Ilge Akkaya, Florencia Leoni Aleman,	577
Diogo Almeida, Janko Altenschmidt, Sam Altman,	578
Shyamal Anadkat, et al. 2023. Gpt-4 technical report.	579
<i>arXiv preprint arXiv:2303.08774</i> .	580
Vaibhav Adlakha, Parishad BehnamGhader, Xing Han	581
Lu, Nicholas Meade, and Siva Reddy. 2023. Eval-	582
uating correctness and faithfulness of instruction-	583
following models for question answering. <i>arXiv</i>	584
<i>preprint arXiv:2307.16877</i> .	585
Yuvanesh Anand, Zach Nussbaum, Brandon Duder-	586
stadt, Benjamin Schmidt, and Andriy Mulyar. 2023.	587
Gpt4all: Training an assistant-style chatbot with large	588
scale data distillation from gpt-3.5-turbo. <i>GitHub</i> .	589
Anthropic. 2023. Introducing claude 2.1. https://	590
www.anthropic.com/news/claude-2-1.	591
Anthropic. 2024. Introducing the next generation	592
of claude. https://www.anthropic.com/news/	593
claude-3-family.	594
Leila Arras, Franziska Horn, Grégoire Montavon, Klaus-	595
Robert Müller, and Wojciech Samek. 2017. " what is	596
relevant in a text document?": An interpretable ma-	597
chine learning approach. <i>PloS one</i> , 12(8):e0181142.	598
Jacob Austin, Augustus Odena, Maxwell Nye, Maarten	599
Bosma, Henryk Michalewski, David Dohan, Ellen	600
Jiang, Carrie Cai, Michael Terry, Quoc Le, et al. 2021.	601
Program synthesis with large language models. <i>arXiv</i>	602
<i>preprint arXiv:2108.07732</i> .	603
Rijul Chaturvedi, Sanjeev Verma, Ronnie Das, and Yo-	604
gesh K. Dwivedi. 2023. Social companionship with	605
artificial intelligence: Recent trends and future av-	606
enues. <i>Technological Forecasting and Social Change</i> ,	607
193:122634.	608
Liang Chen, Yang Deng, Yatao Bian, Zeyu Qin, Bingzhe	609
Wu, Tat-Seng Chua, and Kam-Fai Wong. 2023a. Be-	610
yond factuality: A comprehensive evaluation of large	611
language models as knowledge generators. In <i>Pro-</i>	612
ceedings of the 2023 Conference on Empirical Meth-	613
<i>ods in Natural Language Processing</i> , pages 6325–	614
6341.	615
Mark Chen, Jerry Tworek, Heewoo Jun, Qiming	616
Yuan, Henrique Ponde de Oliveira Pinto, Jared Ka-	617
plan, Harri Edwards, Yuri Burda, Nicholas Joseph,	618
Greg Brockman, et al. 2021. Evaluating large	619
language models trained on code. <i>arXiv preprint</i>	620
<i>arXiv:2107.03374</i> .	621
Yijie Chen, Yijin Liu, Fandong Meng, Yufeng Chen,	622
Jinan Xu, and Jie Zhou. 2023b. Improving translation	623
faithfulness of large language models via augmenting	624
instructions. <i>arXiv preprint arXiv:2308.12674</i> .	625
Peter Clark, Isaac Cowhey, Oren Etzioni, Tushar Khot,	626
Ashish Sabharwal, Carissa Schoenick, and Oyvind	627
Tafjord. 2018. Think you have solved question an-	628
swering? try arc, the ai2 reasoning challenge. <i>arXiv</i>	629
<i>preprint arXiv:1803.05457</i> .	630

Karl Cobbe, Vineet Kosaraju, Mohammad Bavarian, Mark Chen, Heewoo Jun, Lukasz Kaiser, Matthias Plappert, Jerry Tworek, Jacob Hilton, Reiichiro Nakano, et al. 2021. Training verifiers to solve math word problems. arXiv preprint arXiv:2110.14168.

631

632

635

636

642

643

645

649

672

674

675

676

677

678

679

- Jay DeYoung, Sarthak Jain, Nazneen Fatema Rajani, Eric Lehman, Caiming Xiong, Richard Socher, and Byron C Wallace. 2020. Eraser: A benchmark to evaluate rationalized nlp models. In *Proceedings* of the 58th Annual Meeting of the Association for Computational Linguistics, pages 4443–4458.
- Ning Ding, Yulin Chen, Bokai Xu, Yujia Qin, Shengding Hu, Zhiyuan Liu, Maosong Sun, and Bowen Zhou. 2023. Enhancing chat language models by scaling high-quality instructional conversations. In Proceedings of the 2023 Conference on Empirical Methods in Natural Language Processing, pages 3029–3051.
- GitHub. 2023a. About github copilot chat. https://docs.github.com/ en/copilot/github-copilot-chat/ about-github-copilot-chat.
- GitHub. 2023b. Copilot. https://github.com/ features/copilot.
- Rose Guingrich and Michael SA Graziano. 2023. Chatbots as social companions: How people perceive consciousness, human likeness, and social health benefits in machines. *arXiv preprint arXiv:2311.10599*.
- Chaoqun He, Renjie Luo, Shengding Hu, Yuanqian Zhao, Jie Zhou, Hanghao Wu, Jiajie Zhang, Xu Han, Zhiyuan Liu, and Maosong Sun. 2024. Ultraeval: A lightweight platform for flexible and comprehensive evaluation for llms. *arXiv preprint arXiv:2404.07584*.
- Dan Hendrycks, Collin Burns, Steven Basart, Andy Zou, Mantas Mazeika, Dawn Song, and Jacob Steinhardt.
  2020. Measuring massive multitask language understanding. In *International Conference on Learning Representations*.
- Dan Hendrycks, Collin Burns, Saurav Kadavath, Akul Arora, Steven Basart, Eric Tang, Dawn Song, and Jacob Steinhardt. 2021. Measuring mathematical problem solving with the math dataset. In *Thirtyfifth Conference on Neural Information Processing Systems Datasets and Benchmarks Track (Round 2).*
- Jennifer Hill, W Randolph Ford, and Ingrid G Farreras. 2015. Real conversations with artificial intelligence: A comparison between human–human online conversations and human–chatbot conversations. *Computers in human behavior*, 49:245–250.
- Or Honovich, Thomas Scialom, Omer Levy, and Timo Schick. 2023. Unnatural instructions: Tuning language models with (almost) no human labor. In *Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics*, pages 14409– 14428.

Shengding Hu, Yuge Tu, Xu Han, Chaoqun He, Ganqu Cui, Xiang Long, Zhi Zheng, Yewei Fang, Yuxiang Huang, Weilin Zhao, et al. 2024. Minicpm: Unveiling the potential of small language models with scalable training strategies. *arXiv preprint arXiv:2404.06395*. 687

688

690

691

692

693

694

695

696

697

698

699

700

701

702

703

704

705

706

707

708

709

710

711

712

713

714

715

716

718

720

721

722

723

724

725

726

727

729

731

732

733

734

735

736

737

738

739

740

741

742

- Yuzhen Huang, Yuzhuo Bai, Zhihao Zhu, Junlei Zhang, Jinghan Zhang, Tangjun Su, Junteng Liu, Chuancheng Lv, Yikai Zhang, Yao Fu, et al. 2024. C-eval: A multi-level multi-discipline chinese evaluation suite for foundation models. *Advances in Neural Information Processing Systems*, 36.
- Sarthak Jain and Byron C. Wallace. 2019. Attention is not Explanation. In Proceedings of the 2019 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, Volume 1 (Long and Short Papers), pages 3543–3556, Minneapolis, Minnesota. Association for Computational Linguistics.
- Yunjie Ji, Yong Deng, Yan Gong, Yiping Peng, Qiang Niu, Lei Zhang, Baochang Ma, and Xiangang Li. 2023. Exploring the impact of instruction data scaling on large language models: An empirical study on real-world use cases. arXiv preprint arXiv:2303.14742.
- Albert Q Jiang, Alexandre Sablayrolles, Arthur Mensch, Chris Bamford, Devendra Singh Chaplot, Diego de las Casas, Florian Bressand, Gianna Lengyel, Guillaume Lample, Lucile Saulnier, et al. 2023. Mistral 7b. arXiv preprint arXiv:2310.06825.
- Hyunwoo Kim, Jack Hessel, Liwei Jiang, Peter West, Ximing Lu, Youngjae Yu, Pei Zhou, Ronan Le Bras, Malihe Alikhani, Gunhee Kim, Maarten Sap, and Yejin Choi. 2022. Soda: Million-scale dialogue distillation with social commonsense contextualization. In *Proceedings of the 2022 Empirical Methods in Natural Language Processing*.
- Haonan Li, Yixuan Zhang, Fajri Koto, Yifei Yang, Hai Zhao, Yeyun Gong, Nan Duan, and Timothy Baldwin. 2023a. Cmmlu: Measuring massive multitask language understanding in chinese. *arXiv preprint arXiv:2306.09212*.
- Raymond Li, Yangtian Zi, Niklas Muennighoff, Denis Kocetkov, Chenghao Mou, Marc Marone, Christopher Akiki, LI Jia, Jenny Chim, Qian Liu, et al. 2023b. Starcoder: may the source be with you! *Transactions on Machine Learning Research*.
- Xuechen Li, Tianyi Zhang, Yann Dubois, Rohan Taori, Ishaan Gulrajani, Carlos Guestrin, Percy Liang, and Tatsunori B. Hashimoto. 2023c. Alpacaeval: An automatic evaluator of instruction-following models. https://github.com/tatsu-lab/alpaca\_eval.
- Yanran Li, Hui Su, Xiaoyu Shen, Wenjie Li, Ziqiang Cao, and Shuzi Niu. 2017. DailyDialog: A manually labelled multi-turn dialogue dataset. In *Proceedings* of the Eighth International Joint Conference on Natural Language Processing, pages 986–995, Taipei,

141	
748	
749	
750	
751	
752	
753	
754	
755	
756	
757	
758	
759	
760	
761	
/01	
762	
763	
764	
765	
105	
766	
767	
768	
100	
769	
770	
771	
772	
773	
774	
775	
776	
777	

746

744

745

778

781

779

788

790 791

794

- Taiwan. Asian Federation of Natural Language Processing.
- Microsoft. 2024. Write code without the keyboard. https://githubnext.com/projects/ copilot-voice/.
  - Yi Mou and Kun Xu. 2017. The media inequality: Comparing the initial human-human and human-ai social interactions. Computers in Human Behavior, 72:432-440.
  - Reiichiro Nakano, Jacob Hilton, Suchir Balaji, Jeff Wu, Long Ouyang, Christina Kim, Christopher Hesse, Shantanu Jain, Vineet Kosaraju, William Saunders, et al. 2021. Webgpt: Browser-assisted questionanswering with human feedback. arXiv preprint arXiv:2112.09332.
  - OpenAI. 2023a. Chatgpt can now see, hear, and speak. https://openai.com/blog/ chatgpt-can-now-see-hear-and-speak.
  - OpenAI. 2023b. Introducing chatgpt. https:// openai.com/blog/chatgpt#OpenAI.
  - OpenAI. 2024. Hello gpt-40. Https://openai.com/index/hello-gpt-4o/.
  - Baolin Peng, Chunyuan Li, Pengcheng He, Michel Galley, and Jianfeng Gao. 2023. Instruction tuning with gpt-4. arXiv preprint arXiv:2304.03277.
  - Iryna Pentina, Tyler Hancock, and Tianling Xie. 2023. Exploring relationship development with social chatbots: A mixed-method study of replika. Computers in Human Behavior, 140:107600.
  - Chen Qian, Xin Cong, Cheng Yang, Weize Chen, Yusheng Su, Juyuan Xu, Zhiyuan Liu, and Maosong Sun. 2023. Communicative agents for software development. arXiv preprint arXiv:2307.07924.
  - Qwen. 2024. Introducing qwen1.5. https://qwenlm. github.io/blog/qwen1.5/.
  - Baptiste Rozière, Jonas Gehring, Fabian Gloeckle, Sten Sootla, Itai Gat, Xiaoqing Tan, Yossi Adi, Jingyu Liu, Tal Remez, Jérémy Rapin, Artyom Kozhevnikov, I. Evtimov, Joanna Bitton, Manish P Bhatt, Cristian Cantón Ferrer, Aaron Grattafiori, Wenhan Xiong, Alexandre D'efossez, Jade Copet, Faisal Azhar, Hugo Touvron, Louis Martin, Nicolas Usunier, Thomas Scialom, and Gabriel Synnaeve. 2023. Code llama: Open foundation models for code. ArXiv, abs/2308.12950.
- Rachel Rudinger, Aaron Steven White, and Benjamin Van Durme. 2018. Neural models of factuality. In Proceedings of the 2018 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, pages 731-744.

Sofia Serrano and Noah A. Smith. 2019. Is attention interpretable? In Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics, pages 2931–2951, Florence, Italy. Association for Computational Linguistics.

795

796

798

799

800

801

802

803

804

805

806

807

808

809

810

811

812

813

814

815

816

817

818

819

820

821

822

823

824

825

826

827

828

829

830

831

832

833

834

835

836

837

838

839

840

841

842

843

844

845

846

847

848

- Murray Shanahan, Kyle McDonell, and Laria Reynolds. 2023. Role play with large language models. Nature, 623(7987):493-498.
- Yunfan Shao, Linyang Li, Junqi Dai, and Xipeng Qiu. 2023. Character-llm: A trainable agent for roleplaying. In The 2023 Conference on Empirical Methods in Natural Language Processing.
- Gabriel Skantze. 2021. Turn-taking in conversational systems and human-robot interaction: a review. Computer Speech & Language, 67:101178.
- Mirac Suzgun, Nathan Scales, Nathanael Schärli, Sebastian Gehrmann, Yi Tay, Hyung Won Chung, Aakanksha Chowdhery, Quoc Le, Ed Chi, Denny Zhou, et al. 2023. Challenging big-bench tasks and whether chain-of-thought can solve them. In Findings of the Association for Computational Linguistics: ACL 2023, pages 13003-13051.
- Rohan Taori, Ishaan Gulrajani, Tianyi Zhang, Yann Dubois, Xuechen Li, Carlos Guestrin, Percy Liang, and Tatsunori B. Hashimoto. 2023. Alpaca: A strong, replicable instruction-following model. https:// crfm.stanford.edu/2023/03/13/alpaca.html.
- Gemini Team, Rohan Anil, Sebastian Borgeaud, Yonghui Wu, Jean-Baptiste Alayrac, Jiahui Yu, Radu Soricut, Johan Schalkwyk, Andrew M Dai, Anja Hauth, et al. 2023. Gemini: a family of highly capable multimodal models. arXiv preprint arXiv:2312.11805.
- Katherine Tian, Eric Mitchell, Huaxiu Yao, Christopher D Manning, and Chelsea Finn. 2023. Finetuning language models for factuality. In The Twelfth International Conference on Learning Representations.
- Hugo Touvron, Louis Martin, Kevin Stone, Peter Albert, Amjad Almahairi, Yasmine Babaei, Nikolay Bashlykov, Soumya Batra, Prajjwal Bhargava, Shruti Bhosale, et al. 2023. Llama 2: Open foundation and fine-tuned chat models. arXiv preprint arXiv:2307.09288.
- Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. 2017. Attention is all you need. Advances in neural information processing systems, 30.
- Cunxiang Wang, Xiaoze Liu, Yuanhao Yue, Xiangru Tang, Tianhang Zhang, Cheng Jiayang, Yunzhi Yao, Wenyang Gao, Xuming Hu, Zehan Qi, et al. 2023a. Survey on factuality in large language models: Knowledge, retrieval and domain-specificity. arXiv preprint arXiv:2310.07521.

Guanzhi Wang, Yuqi Xie, Yunfan Jiang, Ajay Mandlekar, Chaowei Xiao, Yuke Zhu, Linxi Fan, and Anima Anandkumar. 2023b. Voyager: An openended embodied agent with large language models. In *Intrinsically-Motivated and Open-Ended Learning Workshop*@ *NeurIPS2023*.

851

853

862

864

865

871

874

876

878

879

880

881

886

887

- Yizhong Wang, Yeganeh Kordi, Swaroop Mishra, Alisa Liu, Noah A Smith, Daniel Khashabi, and Hannaneh Hajishirzi. 2023c. Self-instruct: Aligning language models with self-generated instructions. In Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics, pages 13484–13508.
- Canwen Xu, Daya Guo, Nan Duan, and Julian McAuley. 2023. Baize: An open-source chat model with parameter-efficient tuning on self-chat data. In *Proceedings of the 2023 Conference on Empirical Methods in Natural Language Processing*, pages 6268– 6278, Singapore. Association for Computational Linguistics.
- Rowan Zellers, Ari Holtzman, Yonatan Bisk, Ali Farhadi, and Yejin Choi. 2019. Hellaswag: Can a machine really finish your sentence? In *Proceedings* of the 57th Annual Meeting of the Association for Computational Linguistics, pages 4791–4800.
- Lianmin Zheng, Wei-Lin Chiang, Ying Sheng, Siyuan Zhuang, Zhanghao Wu, Yonghao Zhuang, Zi Lin, Zhuohan Li, Dacheng Li, Eric Xing, et al. 2024. Judging llm-as-a-judge with mt-bench and chatbot arena. Advances in Neural Information Processing Systems, 36.
- Qi Zhou, Bin Li, Lei Han, and Min Jou. 2023. Talking to a bot or a wall? how chatbots vs. human agents affect anticipated communication quality. *Computers in Human Behavior*, 143:107674.
- Dean H Zimmerman and Candace West. 1996. 9. sex roles, interruptions and silences in conversation. In *Towards a Critical Sociolinguistics*, page 211. John Benjamins.

# **A** Transition Sentences

To generate a sentence with coherent context, we utilize ChatGPT to rewrite the template below, which replaces {sentence\_a} and {sentence\_b} with one transition sentence and new content respectively. 888

890

891

892

893

918

Fuse the two sentences smoothly and replace [topic] with the topic of sentence two. Sentence one "{sentence\_a}" Sentence two "{sentence\_b}" Give me your answer only, no other words. Give me your answer only, no other words. 894 A.1 **Generation Termination Transition** 895 Sentences 896 1. < Empty string> 897 2. I need to cut you off right now; this is urgent. 898 3. Excuse me, I need to interject for a moment. 899 4. Sorry to interrupt, but I have something im-900 portant to add. 901 5. Excuse me, may I interrupt for a moment? 902 6. I'm sorry to break in, but there's something 903 important I need to address. 904 7. I apologize for interrupting, but I'd like to 905 interject for a moment. 906 8. I'm sorry to interrupt, but I have a quick point 907 to make. 908 9. I appreciate your input, but I need a moment 909 of silence now. 910 10. I'm sorry to interrupt, but I really need some 911 quiet time to focus. 912 11. Enough talking! I need you to be quiet now. 913 A.2 Regeneration Transition Sentences 914 1. I may not have expressed myself clearly. What 915 I meant was [topic] 916 2. I think there might be a bit of confusion. Let 917

12

me clarify [topic]

919 920	3.	I appreciate your input, but I was hoping for more details on [topic]	5.
921 922	4.	I think there might be a misunderstanding. What I'm really looking for is [topic]	6.
923	5.	I may not have explained myself clearly. Let	7.
924 925		me rephrase the question. What are your thoughts on [topic]?	8.
926	6.	Actually, the correct information is [topic].	0.
927		Could you share your perspective on that?	9.
928 929 930	7.	I'm a bit confused because what you men- tioned contradicts the information I have. Can we go over this again?	10.
931 932 933 934	8.	I'm sorry, but that information seems to be incorrect. Let me clarify the question, and please provide the accurate details regarding [topic].	11.
935	9	I'm sorry, but that's not accurate. The correct	12.
936 937	2.	information is [topic]. It's essential to have the correct details for our discussion.	13.
938 939	10.	I appreciate your effort in responding, but I think there might be a misunderstanding.	14.
940 941 942		What I intended to convey was [topic]. Let's revisit the topic to ensure we're on the same	15.
942 943 944 945 946 947	11.	page. I see there might be some confusion. Let me clarify my point further to ensure we're on the same page. What I meant was [topic]. Can we discuss this to make sure we have a mutual understanding?	16. 17.
948	12.	There seems to be a misunderstanding. I	18.
949		meant [topic]. Let's align our understanding.	В
950	13.	No.	<b>B.1</b>
951	14.	Oh, No.	Befo
952	15.	No, you are wrong.	of th
953	A.3	Dialogue Reset Transition Sentences	liste
954	1.	<empty string=""></empty>	1.
955 956	2.	That's interesting, and speaking of [topic], have you ever?	
957 958	3.	I was just thinking about [topic], what are your thoughts on that?	2
959 960	4.	That's fascinating! On a different note, have you ever thought about [topic]?	2.

5.	I was just reading about [topic]. What are your thoughts on that?	961 962
6.	By the way, speaking of something else.	963
7.	That reminds me, have you heard about [topic]?	964 965
8.	Can we shift gears for a moment and talk about [topic]?	966 967
9.	I've been curious about [topic]. Have you ever considered it?	968 969
10.	I was thinking about [topic]. What are your thoughts on that?	970 971
11.	Now, shifting gears to a different subject, have you ever explored [topic]	972 973
12.	Moving on to a different topic, have you ever considered [topic]	974 975
13.	Changing the subject, have you ever thought about [topic]	976 977
14.	Switching gears, let's talk about [topic]	978
15.	On a different note, have you ever thought about [topic]	979 980
16.	Speaking of which, have you ever considered exploring [topic]	981 982
17.	Changing the subject, let's now delve into [topic]	983 984
18.	Shifting gears a bit, let's talk about [topic]	985
3	Questionnaire Details	986
3.1	Subject Instruction	987
of th	bre the experiment, we inform each participant the subject instruction. The whole instruction is d below:	988 989 990
1.	This experiment requires subjects to have con- versations with chat models. The content does not involve any dangerous remarks or have an impact on the subjects' physical and mental health.	991 992 993 994 995
2.	This test includes two parts: chatting and in- teracting with the models and filling out the questionnaire	996 997 998

998

questionnaire.

- 9993. The models are voice input and output modes1000that support multiple rounds of dialogue. At1001the end of each dialogue, you can press the1002new conversation button to start a new round1003of conversation.
  - The models are English models and only support English dialogue.
  - 5. There are two types of models, A and B. You must have at least 10 conversations with each model.
  - 6. We have included some questions to start the conversation, just for reference.
  - This test mainly evaluates the performance of the two models in terms of response speed, human-likeness, faithfulness, factuality, and overall experience.
  - 8. After the chat, fill out the questionnaire.

#### **B.2** Questionnaire

1004

1005

1006

1007

1008

1009

1011

1012

1013

1014

1015

1016

1017

1018

1019

1020

1021

1022

1023 1024

1025

1026

1027

1028

1029

1030

1031

1032

1033

1034

1035

1036

1037 1038

1039

1040

1042

- 1. Score the model's response speed to evaluate whether the model can respond to your request.
- 2. Score the faithfulness of the model's answers to evaluate whether the model understands your question, follows your instructions, and whether the answer is relevant to your chat topic.
- 3. Score the factuality of the model's answers and evaluate whether the content of the answers is correct.
- 4. Score the human-likeness of the model's answers and evaluate whether the conversation process between you and the model is close to the feeling of daily communication between people and whether the conversation process is smooth.
- 5. Score the overall experience of the model.

# C Explanation of Ethical Concerns

All participants are recruited from a partner company. Those experiments are conducted during their working hours and we do not pay them additionally.

In the human-evaluation experiment, we collect basic demographic characteristics information: gender, age, and educational qualification. We also collect their knowledge and usage of LLMs and1043voice assistants, which is tightly related to our re-1044search topic. As for the evaluation of the two chat1045models, we utilize their experience. The participants permit all those characteristics and experi-1046note information collection for research purposes1048only.1049

## **D** Case Demonstration

Here are some cases of conversation segments be-<br/>tween the MiniCPM-duplex and human users. In1051Figure 7, the duplex model generates a response<br/>until it obtains enough information from the user.1053

```
Okay I was thinking of having an
                                    idle 回
SUV and my budget is like
                                    idle
                                          , D
٢
    idle
                                    idle
                                          ....
٢
     idle
                                    idle
                                          , e )
a may 20,200
      If you're looking for an SUV within a 🗕 回
٢
    idle
                     budget of $20,2000 - 📼
(2) idle
        there are a few options you could 🗕 回
                (a) Case A
۲
     is there any idea about
      incorporating Chinese
                                    idle 回
٢
     idle
      culture into a Halloween costume?
     scary stories into the Halloween costume idea
٢
                                    idle
                                          , D
(2) idle
            Yes, there are many ways to incorporate Chinese culture
                                           ....
idle
           into a Halloween costume and add scary elements to it
                                           , D
    idle
٢
                (b) Case B
So I have a question
                                    idle
                                          ,00
(2) that
                                    idle
                                          ....

    why do we need AI assistant

        Great question! There are several
                                           .....
       reasons why we need
idle
                                    idle
                                           0
idle
       AI assistants:
                                            1. Efficiency: AI
                                           ....
idle
      assistants can perform tasks
                                           ....
      quickly and accurately, saving time
                 (c) Case C
```

Figure 7: User study cases.