

General Collaborative Framework between Large Language Model and Experts for Universal Information Extraction

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Abstract

Recently, unified information extraction have been widely concerned NLP community, which aims at using a unified paradigm to perform various information extraction tasks. However, they inevitably suffering from some thorny problems such as noise interference, abstract label semantics, and diverse span granularity. In this paper, First of all, we start by presenting three problematic assumptions that exist in previous research works from a unified information extraction perspective. These problems severely hinder the development of information extraction models. Furthermore, to solve these problems, we propose the General Collaborative Information Extraction framework for universal information extraction. Specifically, GCIE consists of a general Recognizer for identifying predefined types and multiple task-specific Experts for extracting spans. The Recognizer is a large language model, while the Expert is a series of smaller language models, and they collaborate in a pipeline to achieve unified or task-specific information extraction. Empirical experiments on 6 IE tasks and 13 datasets, under supervised and few-shot settings, validate the effectiveness and generality of our approach.

1 Introduction

Information Extraction (IE) aims to extract structured information from unstructured text (Andersen et al., 1992; Grishman, 2019). This is a complex task consisting of a series of subtasks, such as named entity recognition, relation extraction, entity linking, aspect-based sentiment analysis, event extraction, etc (Muslea, 1999). Due to its various targets (entity, relation, event, etc.), heterogeneous structures (spans, triplets, records, etc.), traditional methods for IE usually develop task-specialized architectures and processes, which is commonly required elaborate manual design (Grishman and Sundheim, 1996; Ji and Grishman, 2011). These task-specialized solutions greatly hinder the rapid

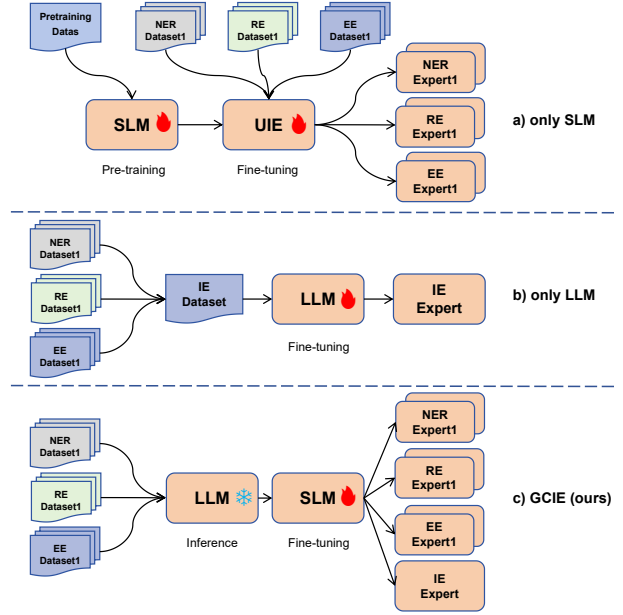


Figure 1: The difference of GCIE with other main paradigms for unified information extraction. a) pre-training and fine-tuning with SLM; b) instruction fine-tuning with LLM; c) inference with LLM and fine-tuning with SLM.

architecture development, So another line of research of IE focus on resolving multiple subtasks using a universal model, such as recent works (Peng et al., 2023; Ping et al., 2023).

(Lu et al., 2022) proposed the unified structured generation model (UIE) for 4 IE subtasks, which generates structured texts according to task targets on the foundation of T5 (Raffel et al., 2020), offering a new thread and paradigm to IE research. However, UIE still has three significant issues that remain unresolved. For example, the noise interference introduced by negative samples during model training. Unlike typical NLP tasks, the data used for information extraction tasks exhibit imbalanced label quantities across various types, with a much larger number of negative samples compared to positive ones (Huang et al., 2020; Dong et al., 2021;

Liu et al., 2023). On the another line, (Lin et al., 2020; Lou et al., 2023; Ping et al., 2023) use extractive models to accomplish universal information extraction via heterogeneous decoding process on different subtasks. Just like UIE, one major challenge with them is getting validate token representation, especially of label prompt. However, unlike large language model such as GPT-3, PaLM, LLaMA, etc (Patel et al., 2023; Chowdhery et al., 2023; Touvron et al., 2023), smaller language model (Devlin et al., 2019; Liu et al., 2019; Lewis et al., 2020; Raffel et al., 2020) have not sufficient power of making sense of label when type phrase is very abstract. For example, "Attack" is a event type in ACE05-Evt, representing a series of conflict events such as wars, coups, strikes, terrorist attacks, etc, not just its literal meaning.

Witnessing the outstanding performance of massively large language models in traditional tasks of NLP, several LLM-based method for information extraction had been proposed (Zhou et al., 2023; Wang et al., 2023b, 2022a; Wadhwa et al., 2023a; Gui et al., 2023; Wang et al., 2023c). But there is still no consensus on the trade-off between effectiveness and efficiency, due to considerable gap in IE tasks (Han et al., 2023) and overhead of training LLM.

In this paper, we dedicated to analysing these key problems and trying to find a solution. Based on the above statement and our exploration, 3 main factors that affect the performance of information extraction models are summarized as : 1) Noisy imbalanced data: a large of negative samples and long-tail data distribution. 2) Abstract label type: obscure type phrases to understanding by LMs. 3) Diverse span granularity: different span identification criteria in data annotations. Accordingly, We assume that anti-interference, label-understanding, and span-identification are the primary capabilities of information extraction models, corresponding to the 3 vital problems mentioned above. After that, We have proposed a general collaborative framework with these capabilities for universal information extraction, composed of a Recognizer and multiple Experts. Specifically, Recognizer is a LLM good at anti-interference and label-understanding, recognizing label types and filtering negative samples. And Expert receives type indicator as prompt to generate structured texts, which are fine-tuned on noise-free data for specific IE tasks. Recognizer and Experts work together in a pipeline to generate

general schema for universal IE tasks, as shown in Figure 1. Different from previous research, our approach focuses more on solving the aforementioned problems and achieving further performance improvement by simultaneously utilizing the advantages of LLM and SLM.

To verify the effectiveness and generality of GCIE, extensive experiments including 6 IE subtasks with 13 datasets are conducted on supervised and few-shot settings. Experimental results show that, GCIE achieves state-of-the-art performance on several datasets on the supervised settings, and significantly outperforms all baselines on the few-shot settings. All indicate the combination of SLM and LLM result in stronger information extraction capabilities.

In summary, Our main contributions are summarized as follows:

- 1) We summarize three primary abilities of IE models corresponding to three vital problems in IE tasks and reveal the reasons that hinder the performance improvement of information extraction models.
- 2) We propose a general collaborative framework for information extraction, which leverages the complementary advantages of LLM and SLM to further improve the performance of unified structured generation information extraction models.
- 3) We design prompts for accurate type recognition of LLM and self-correction learning strategies for effective Expert training.
- 4) We conduct extensive experiments on 6 IE tasks and 13 datasets, exploring the overall performance of GCIE under supervised and few-shot settings, as well as the main factors influencing the performance of Recognizer and Expert. These experiments confirm the effectiveness and generality of GCIE.

2 Key Capabilities for Information Extraction

In this section, We summarize the necessary conditions for addressing the challenges in IE tasks as three key capabilities and explain why an excellent IE model should possess both of these capabilities.

Anti-interference describes the robustness of an IE model to data distribution with noise. In real world, there are little information or lacking of annotation in many texts that are usually called negative samples. For example, both ACE2005 and SciERC have quite a few negative samples,

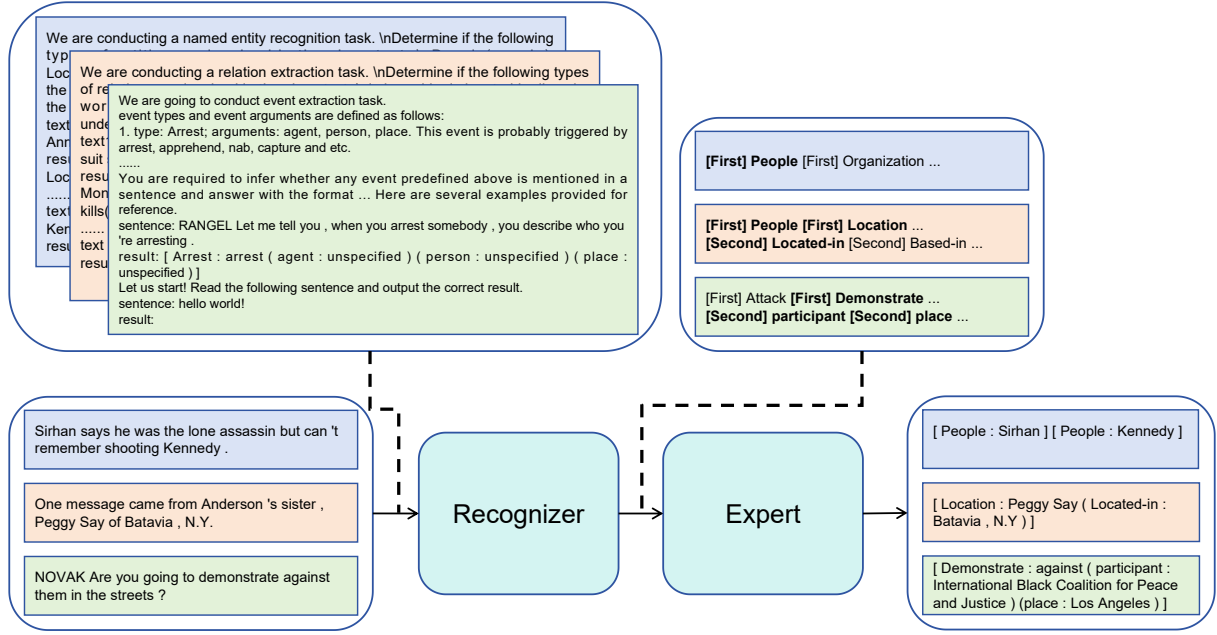


Figure 2: The overall framework of GCIE. In the prompts of Expert, types recognized by Recognizer are marked in bold.

involving event extraction and relation extraction tasks. To verify the harmful impact of negative samples made on IE models, we conduct several experiments (See Appendix B) on negative samples identification with three different paradigms including context-based large language model and classic generative IE models. In our observation, all fine-tuning-based IE models struggle to distinguish negative samples with those are similar in content but informative samples, which is attributed to overfitting. In contrast, few-shot contexts enable LLM to identify negative samples excellently. Therefore, we consider Anti-interference as a necessary condition for developing better IE models.

Label-understanding describes the semantic understanding ability of model to predefined label. In recent years, many research works unlocked label semantic understanding abilities of PLM via prompt learning across a series of NLP tasks, such as summary, text classification, text generation, sentiment analysis and few-shot NER (Narayan et al., 2021; Zou et al., 2021; Seoh et al., 2021; Schick and Schütze, 2021; Ma et al., 2022). However, these phenomena only emerge in NLP tasks with simple label words like positive, great, person, etc. More abstractive and polysemous label words are too obscure to understand for common language models. In our ablation experiments, We observed that model’s performances vary to different degrees depending on different types when we take place

of some type words with capitals or other lexical items. At least to some extent, SLM does more about statistical mapping between labels and the text than understanding and generating through abstract label semantics in comparison to LLM.

Span-identification describes the capacity of completely identifying phases that probably are entities, event triggers or event arguments. To investigate this ability of IE models, we test T5-base, T5-large and Claude2 on tasks of entity and trigger mentions extraction. Just as (Han et al., 2023) report, this ability of LLM is far less than that of fine-tuned SLM. The reason for this phenomenon is obviously that different dataset with different annotation styles have various span granularity, such as "man" - "the man", "hospital in Boston" - "hospital", "2 soldiers" - "soldiers" and etc. Under strict evaluation metrics, LLM without any training process has difficult in competing with fine-tuned SLM.

3 General Collaborative Framework

Based on above statement, we introduce a two-stage (Recognition and Generation) general collaborative framework combining LLM and SLM to possess abilities of Anti-interference, Label-understanding and Span-identification for universal information extraction tasks.

3.1 Schema Definition

Inspired by previous researches, we format all IE subtasks as unified structure generation (see appendix E). Formally, given a sentence s as input, our GCIE outputs structure schema o , which consists of tokens coming from label collection, in-context collection and structure collection. Figure 3 demonstrates several examples for this unified schema. Wherein the label collection includes predefined label type words, and the in-context collection is made up of input tokens. Different from previous studies, We use two symbols to hold the primary and secondary structures respectively. The output format is used in both the two stages of Recognition and Generation.

3.2 Framework Architecture

Our framework consists of Recognizer (a black-box LLM only used for inference) and Expert (a fine-tuned SLM), shown as Figure 2. In detail, Recognizer receives a sentence s and a task-special instruction that contains examples e and question q as the input. With a few examples of input-output pairs to refer, Recognizer answers the question in the same format. The result given by Recognizer can be write as follow:

$$a = \text{Recognizer}(s, q|e) \quad (1)$$

where $a = \{(typ_1, val_1), \dots, (typ_n, val_n)\}$ is a dict with n type words and binary values as items, indicating whether a predefined type exists in the sentence. q is a task-special question to query LLM for a rational answer and $e = \{(text_1, result_1), \dots, (text_k, result_k)\}$ is k -shot examples.

In the question designed by us, all predefined label types were represented by a single word or a phrase with a short description as interpretation. Through linking these interpretation with examples, instead of hard tokens used in SLM-only methods, LLM understands the actual semantics of each type vocabulary better and never minds overfitting problems caused by model training. It is important that we select examples from train set as diversely and comprehensively as possible. In this way, LLM can recognize available types in the sentence stably and precisely.

After recognition of LLM, phrases of confident types as the prompts are concatenated with text tokens as inputs of Expert, denoted respectively as $p = \{p_1, p_2, \dots, p_{|p|}\}$ and $t = \{t_1, t_2, \dots, t_{|t|}\}$. p_i

comes from $a_{val_i=1}$. The form of p for each dataset is listed in Appendix. Theoretically, any auto-regression and encoder-decoder language model could be used as Expert, which predict conditional probability $p(y_i|y_{<i}, p, t)$ of the next token y_i , given the in-context and input. Finally, when Expert finishes prediction when it generate the end symbol, appropriate sampling technique is applied to get the final output sequence o , totally write as follow:

$$o = \text{Expert}(p, t) \quad (2)$$

$$o_i = \text{Decoder}(o_{<i}, p, t) \quad (3)$$

where $o = o_1, o_2, \dots, o_{|o|}$ is the result of sampling with structured schema we defined above and $|o|$ is the length of output sequence. $\text{Decoder}(\cdot)$ is the decoder of Expert.

Due to structured schema rather than natural language text, previous study applies constrained-decode for the process of schema generation to accomplish controllable structure generation (Lu et al., 2021). This is an optional solution for ours but no significant effects are observed compared with greedy search and beam search. One trick we consider very important, is the uniqueness of type phrases. For instance, We suggest type word "method" is substituted by "Methods", because the "method" in text typically is a entity with type of "Generic".

3.3 Expert Learning

To be equipped with Span-identification ability, Expert requires a fine-tuning process. At present, we consider multiple feasible training plan which produces two bifurcation points. One is whether supervised datas from gold label or from Recognizer prediction are used to training. Another is whether multiple task-specific Experts or a unified Expert for all IE tasks are maintain. We conducted a thorough investigation of these issues in our experiments. For simplicity, we assume $\mathcal{D} = \{(x_1, y_1), (x_2, y_2), \dots, (x_m, y_m)\}$ uniformly represent arbitrary training dataset. Therefore a mostly straightforward way to optimize parameters is minimizing the negative logarithmic likelihood expectation on train set:

$$\mathcal{L} = \sum_{(x,y) \in \mathcal{D}} -\log p(y|x, p; \theta) \quad (4)$$

where p is type tokens from Recognizer prediction or gold label and θ is trainable parameters in

Model	NER			RETriplet			NER&RE			
	CoNLL03 Ent	GENIA Ent	ACE05-Ent Ent	NYT Ent	CoNLL04 Ent	Rel	SciERC Ent	Rel	ACE05-Rel Ent	Rel
(Shen et al., 2022)	92.87	81.77	87.42	-	-	-	-	-	-	-
(Li et al., 2022)	93.07	81.39	86.79	-	-	-	-	-	-	-
(Yan et al., 2021)	-	-	-	92.40	-	-	66.80	38.40	89.00	66.80
(Tang et al., 2022)	-	-	-	93.70	-	-	-	-	-	-
(Shen et al., 2021)	-	-	-	-	90.30	72.35	-	-	87.61	62.77
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(Lu et al., 2022) [†]	92.99	-	85.78	-	-	75.00	-	36.53	-	66.06
(Lou et al., 2023) [†]	93.16	-	87.14	94.07	-	78.84	-	37.36	-	67.88
(Ping et al., 2023)	92.65	-	87.02	-	-	73.40	-	38.00	-	66.06
(Wang et al., 2022a) [♣] [†]	93.00	80.80	86.90	93.30	90.70	78.30	-	-	90.00	66.80
(Wang et al., 2023b) [♣]	92.94	74.71	86.66	90.47	-	78.48	-	45.15	-	-
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GCIE w/o SC (ours)	89.05	76.90	86.24	91.26	90.66	74.10	66.70	38.19	86.90	58.64
GCIE w/o F (ours)	93.20	80.68	-	-	90.33	76.50	67.79	39.22	90.15	67.48
GCIE-unify (ours)	92.83	78.57	85.98	93.55	90.17	76.58	69.28	42.31	89.66	66.19
GCIE (ours)	94.28	81.15	88.36	94.08	90.92	77.19	69.47	39.54	91.35	68.35

Table 1: Overall results of GCIE on NER, RETriplet and NER&RE tasks. We report the average F1 scores on 3 random seeds. [†]: These models have additional training processes such as structure pre-training. [♣]: The training parameters of these models (typically exceeding 10B) are an order of magnitude larger at least than that of other models. Task-specific models (upper part of the table) and unified models (lower part of the table) are separated with horizontal line.

Expert.

Although training models based on gold label can avoid the expensive cost of LLM inference, it potentially leads to inconsistency of data distribution between train stage and test stage. Unless otherwise stated, all training process is based on Recognizer prediction rather than gold label. A more critical problem in pipeline IE models is considered as error propagation. Different from inter-task pipeline model, GCIE is a intra-task pipeline framework. Error propagation of GCIE resulting in decreased generalization drive in its over-dependency on type prompts from Recognizer prediction. Combined with Anti-interference experiments, fine-tuned models are more vulnerable from prompt omission rather than redundancy. For this issue, we introduce Self-Correction learning strategy to rectify the shortcoming of over-dependency. Specifically, we set a reject probability subject to Bernoulli distribution, denoted by $P_r \sim \text{Bernoulli}(\alpha_r)$ each predefined type over the whole data distribution. The value of α_r is determined by the recall score of Recognizer on development set. If a type is not predicted by Recognizer, it will be eliminated with its reject probability from the Expert input prompt. Under the Self-Correction mechanism, the originally determined type prompts have become uncertain:

$$\mathcal{P}(p_i|x) = R_i + (1 - R_i) \cdot (1 - P_{ri}) \quad (5)$$

where $\mathcal{P}(\cdot)$ is the probability that a type is selected. For a data distribution, p_i is the i -th type and x is input sentence. $R_i \in \{0, 1\}$ is the output result of the gold label.

In this way, the original type prompt tokens p are replaced by $\tilde{p} = \{\tilde{p}_1, \tilde{p}_2, \dots, \tilde{p}_{|p|}\}$, which is closer to the prompt of Recognizer prediction on the test stage. Notably, \mathcal{D} is replaced with $\tilde{\mathcal{D}}$ contain any negative sample, which harmfully causes model to deviate from the right optimization direction. The final optimization objective for expert learning is:

$$\mathcal{L} = \sum_{(\tilde{x}, \tilde{y}) \in \tilde{\mathcal{D}}} -\log p(\tilde{y}|\tilde{x}, \tilde{p}; \theta) \quad (6)$$

4 Experiments

To validate the efficacy of the proposed methodology and delve into certain pivotal factors within the GCIE framework, we systematically conducted an extensive series of experiments, which includes performance evaluation of GCIE on both supervised and few-shot settings, experiments on type recognition of Recognizer across various IE subtasks, and exploratory experiments on training strategies of Expert. For all experiments, by default, the base model of Expert is Flan-T5-large (Shen et al., 2023) and LLM is Claude2¹. The detail configuration on various datasets can be found in the Appendix C.

¹<https://claude.ai/>

Model	ED	EE				ABSA			
	ACE05-Evt	ACE05-Evt		CASIE		14-res	14-lap	15-res	16-res
	Tri	Tri	Arg	Tri	Arg	Sentiment Triplet			
(Deng et al., 2021)	77.29	-	-	-	-	-	-	-	-
(Lu et al., 2021)	-	71.90	53.80	-	-	-	-	-	-
(Wang et al., 2022b)	-	73.60	55.10	-	-	-	-	-	-
(Mao et al., 2022)	-	-	-	-	-	75.52	65.27	65.88	73.67
(Lu et al., 2022) [†]	-	73.36	54.79	69.33	61.30	74.52	63.88	67.15	75.07
(Lou et al., 2023) [†]	-	72.41	55.83	71.73	63.26	77.26	65.51	69.86	78.25
(Ping et al., 2023)	-	74.08	53.92	71.46	62.91	74.77	65.23	68.58	76.02
(Wang et al., 2022a) [♣] [†]	-	69.80	52.50	-	-	-	-	-	-
(Wang et al., 2023b) [♣]	-	77.13	72.94	67.80	63.53	-	-	-	-
GCIE w/o SC (ours)	80.08	82.46	53.71	73.57	61.55	75.29	64.22	67.07	76.28
GCIE w/o F (ours)	82.62	84.37	65.98	-	-	-	-	-	-
GCIE-unify (ours)	-	84.46	64.77	71.67	63.84	-	-	-	-
GCIE (ours)	85.54	84.53	66.79	74.40	65.82	76.51	66.48	69.59	79.77

Table 2: Overall results of GCIE on ED, EE and ABSA&RE tasks. We report the average F1 scores on 3 random seeds. [†]: These models have additional training processes such as structure pretraining. [♣]: The training parameters of these models (typically exceeding 10B) are an order of magnitude larger at least than that of other models. Task-specific models (upper part of the table) and unified models (lower part of the table) are separated with horizontal line.

4.1 Experimental Settings

Classification. Our experiments can be broadly categorized into two main parts. The first part involves the evaluation of the performance of the GCIE framework. We have selected 6 representative IE subtasks : named entity recognition (NER), joint entity and relation extraction (NER&RE), relation triple extraction (RETriplet), aspect-based sentiment analysis (ABSA), event detection (ED), and event extraction (EE). A comprehensive performance evaluation of GCIE and its three variants (without Filtering, Self-Correction and Unifying) has been conducted for them under both supervised and few-shot settings. The second part is a discussion of the type recognition capabilities of the Recognizer in GCIE. We chose Claude2 as the LLM to conduct comparative experiments on its abilities in type recognition and standard settings, as well as its ability to recognize negative samples.

Datasets. In our experiments, all datasets used in supervised and few-shot settings includes CoNLL03 (Sang and Meulder, 2003), GENIA (Kim et al., 2003), CoNLL04 (Roth and Yih, 2004), SciERC (Luan et al., 2018), NYT (Riedel et al., 2010), ERE (Song et al., 2015), ACE05 (Christopher Walker, 2006), CASIE (Satyapanich et al., 2020), SemEval-14 (Pontiki et al., 2014), SemEval-15 (Pontiki et al., 2015), SemEval-16 (Pontiki et al.,

Dataset		Flan-T5		Expert		GCIE	
CoNLL03	Ent	28.3	53.2	36.6	58.6	45.2	74.6
CoNLL04	Rel	16.6	52.0	21.4	56.8	25.7	57.5
ERE	Tri	21.3	46.0	20.7	48.6	35.5	53.7
ACE05-Evt	Arg	9.6	31.6	12.8	36.5	35.3	54.5
15-res	Sen	15.7	35.7	12.3	35.5	18.4	41.9
16-res	Sen	17.6	41.3	12.5	39.7	16.2	48.7

Table 3: Overall results of GCIE and baselines on few-shot settings.

2016). For the aforementioned datasets, both the preprocessing and evaluation for specific IE task followed previous research works, which can be found in the first part of our experiments.

4.2 Experiments on GCIE

4.2.1 Supervised Settings

Main results of the performance evaluation of GCIE on supervised settings are shown as table 1 and table 2. Among them, GCIE-unify represents the unified model on all datasets, SC represents the self-correction learning mechanism, and F represents the negative sample filtering mechanism. GCIE achieves quite impressive scores on all datasets of 6 IE tasks. Especially in certain subtasks, such as NER, EE and ABSA, our GCIE outperforms all other models, which include task-specific models and unified models. Additionally, we attempt to maintain a unified set of parameters

Dataset	Element	n	Roberta-large			Claude2 k=2			Claude2 k=5			Claude2 k=10		
			P	R	F	P	R	F	P	R	F	P	R	F
CoNLL03	Ent	30	92.6	90.8	91.7	87.7	91.5	89.6	91.3	96.3	93.7	93.4	98.6	95.9
SciERC	Ent	30	70.2	63.3	66.6	61.7	67.6	64.5	71.4	83.3	76.9	-	-	-
ACE05-Rel	Ent	40	88.6	84.8	-	76.5	90.8	83.0	78.6	94.2	85.7	82.6	96.4	89.0
CoNLL04	Ent	40	84.7	87.1	85.9	86.4	91.8	89.0	90.6	98.0	94.2	93.4	98.0	95.6
	Rel	30	79.4	77.0	78.2	76.9	84.8	80.7	80.0	90.6	85.0	-	-	-
ACE05-Evt	Evt	100	86.7	82.3	84.4	86.5	91.8	89.1	88.1	96.4	92.1	-	-	-
	Arg	80	69.0	63.3	66.0	67.6	75.0	71.1	73.3	83.3	78.0	-	-	-
14-res	Sen	30	87.5	87.0	87.2	81.4	91.5	86.2	81.6	93.1	87.0	89.2	95.5	92.2
14-lap	Sen	30	89.2	83.7	86.4	79.8	94.0	86.3	79.5	96.3	87.1	84.1	98.1	90.6

Table 4: The results of type recognition of Roberta and Claude2 on the dev sets of various datasets. Roberta-large is fine-tuned on full-sample train set for each dataset. n is the maximum number of examples.

for all IE tasks (GCIE-unify). In this case, we observe a slight decrease in model performance across all datasets, but it still remain close to state-of-the-art IE models. We list the important conclusions and analysis from our experiments as follows:

(1) GCIE achieves the excellent performance compared to, even exceed state of the art IE models. In most cases, our model competes with its counterparts with much fewer training parameters, which is beneficial from collaboration of LLM and SLM in negative sample filtering, type recognition and Self-Correction learning mechanism.

(2) The performance of GCIE varies significantly across different tasks, with a notable improvement in event extraction on ACE05-Evt compared to other models. This is due to the inherent sparsity and clear long-tail distribution among events of the ACE05-Evt dataset, making the model prone to overfitting. Our Recognizer, on the other hand, exhibits remarkable accuracy in event and argument type recognition. Therefore, with proper guidance, Expert can effectively learn the mapping between input and output.

(3) The Self-Correction learning mechanism is capable of correcting the expert model’s reliance on type indications, and omitting it would result in a performance decline.

(4) Due to the lack of uniformity in type definitions and span granularity, arbitrarily mixing multiple dataset as training data would lead to a noticeable performance decline.

4.2.2 Few-shot Settings

To explore the performance of GCIE in resource-constrained scenarios, we get random samples from train set on 1 shot and 10 shot settings respectively for each IE task, and evaluate on the full-sample test set. We repeat 10 times for every experiment

and apply the same evaluation metrics with supervised settings. Without type indicating from Recognizer, Expert instead utilize SSI and SEL proposed by UIE. Flan-T5 is the base model of Expert with complete type prompt. As shown in Table 3, GCIE outperforms both Flan-T5 and Expert by a significant margin across all datasets. We observed that, especially in some complex structured tasks (such as event extraction), both prompt-based Flan-T5 and Expert fail to learn the input-to-output mapping effectively when type indications are lacking, and they completely lack the ability to discern negative samples. Instead, the Recognizer in GCIE requires only a few examples to have sufficient capability to identify potential types and negative samples.

4.3 Experiments on Recognizer

The overall performance of GCIE heavily relies on the accuracy of Recognizer in type recognition. To investigate the effectiveness and applicability of Recognizer, we design a unified type recognition task for all IE tasks, with the aim of determining whether predefined types exist in a given text. We treat type recognition as a multi-label classification task and use F1 score as its evaluation metric.

Due to rather differences in structures and objectives among various IE subtasks, we design unique instructions (Detailed information can be found in the appendix C) as prompts of Claude2 for each IE subtask. Each instruction contains a few examples, which are regarded as primary hyperparameters in Recognizer. In addition, we fine-tune a Roberta (Liu et al., 2019) as baseline for every dataset.

As shown in Table 4, with the number of examples increasing, the performance of Claude2 shows a stable upward trend. Due to the limitations of LLM on input length, our set a maximum of in-

struction for each dataset. As the number of examples increases, Claude2’s performance surpass that of fine-tuned Roberta-large rapidly on all IE subtasks, especially difficult tasks like event extraction. The most surprising point is that Claude2 has much greater recall scores than their precision over all datasets, which implies the LLM solves the problem for our instructions with high confidence. Additionally, we can draw the following conclusions:

(1) Compared to smaller models, Claude2 has stronger robustness and generalization abilities. It has a smaller variance in its scores to different datasets and performs admirably on more challenging datasets.

(2) LLM as a type recognizer has low reliance on labeled data. It achieves excellent results with just a few examples on many datasets. In practice, it’s rational to take advantage of the LLM property of high recalls to guide SLM extraction.

In most case, although large language model is not a good few-shot information extractor, but is good as a type recognizer, which filters out the vast majority of negative samples and indicates Expert to extract corresponding spans.

5 Related Work

Our work involve a series of topic of NLP field including information extraction, instruction fine-tune on pre-trained language model, few-shot learning, prompt-based large language model, structure generation, etc. From the perspective of the target tasks, we mainly present research works with different paradigms for IE. Many works focus on single specific IE task, such as entity and relation extraction (Shen et al., 2022; Li et al., 2022; Yan et al., 2021; Tang et al., 2022; Shen et al., 2021; Zhong and Chen, 2020; Cui et al., 2021; Shang et al., 2022; Wei et al., 2020; Souza et al., 2019; Ye et al., 2022; Wang et al., 2020), event detection and argument extraction (Liu et al., 2023; Wang et al., 2023a; Zhang et al., 2022; Deng et al., 2021; Liu et al., 2018; Sheng et al., 2021; Lu et al., 2021; Xu et al., 2021b; Wang et al., 2022c) and aspect-based sentiment analysis (Xu et al., 2021a; Li et al., 2023, 2021; Zhou et al., 2020; Liang et al., 2022; Wu et al., 2020; Xu et al., 2020; Mao et al., 2022). Some of these works are based on few-shot settings.

With the development of community of deep language models and information extraction, an

increasing number of models are designed to adopt a unified paradigm to address various different IE tasks. Early unified paradigm models typically employ multi-task joint training to enable the model to adapt to various information extraction tasks with different objectives and schema (Luan et al., 2019; Wadden et al., 2019; Lin et al., 2020). And (Lou et al., 2023) has utilized unified semantic matching to achieve state-of-the-art performance on multiple datasets. The most recent research effort (Peng et al., 2023; Ping et al., 2023; Gao et al., 2023) aim to introduce novel method to adapt universal IE tasks rather than unified modeling. However, the most closely related approach to our work is still the unified structured generation paradigm for a range of IE tasks (Lu et al., 2022; Wang et al., 2022a, 2023b). In the era of LLMs, how to eliminate the vast gap of LLM in IE tasks and further achieve new SOTA performances, perhaps become the hottest research direction currently (Gui et al., 2023; Wang et al., 2023c; Wadhwa et al., 2023b).

6 Conclusion

In this study, We analyze the important factors influencing the performance of the IE models and introduce three core capabilities, which usually cannot be possessed simultaneously by existing IE models. Through prompt design and a series of exploration experiments, we find that in-context based LLM is able to identify negative samples and recognize predefined type information from texts. Based on this, We propose GCIE, which combines the strengths of LLM and Experts in IE tasks to encompass both of these capabilities. With the LLM-based Recognizer and the unified structured generation Paradigm-based Expert, GCIE is designed to be general for all IE tasks. Extensive experiments confirm that, compared to existing LLM-only and SLM-only methods, GCIE can further enhance performance on all IE tasks effectively. Furthermore, we explore the impact of demonstration format and label type format on in-context learning and supervised fine-tuning. All of these indicate a prospective unified IE research direction to take advantages of LLM and fine-tuned SLM.

Limitations

Despite the outstanding performance achieved by our approach, some obvious limitations should be pointed out and addressed in the future: 1) Additional inference latency brought by LLM compared

to SLM-only methods. 2) Task-specific prompts that require carefully crafted manual design for LLM. 3) Sensitive hyperparameters settings in self-correction mechanism.

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A Task and Dataset

In this study, we conducted experiments on 6 information extraction tasks and 13 datasets. We provide a detailed description of each task, dataset, and evaluation method as follows. The detail statistics of all IE dataset we use are shown in Table 5.

Named Entity Recognition is a task in natural language processing that focuses on identifying and classifying named entities mentioned in text into predefined categories such as person names, organizations, locations, medical codes, time expressions, quantities, monetary values, percentages, etc. An entity mention is correct if its offsets and type match a reference entity.

Relation Triplet Extraction is a task in NLP that aims to identify and extract structured information from text by identifying relationships between entities mentioned in the text. An RTE system typically takes as input a sentence or a document and outputs a set of triples, where each triple consists of a subject entity, a relation, and an object entity. A relation triplet is correct if its relation type is correct and the string of the subject/object are correct.

Joint Entity and Relation Extraction is a task that aims to identify and extract entities and their relations from textual data. It involves the identification of both entities (e.g., people, places, organizations) and the relationships that exist between these entities within a text. A relation is correct if its relation type is correct and the offsets and entity types of the related entity mentions are correct.

Event Detection is a task in natural language processing that aims to identify and extract key informational elements from text, which are known as 'events'. These events are semantic units marked by a trigger phrase in text that describe meaningful occurrences or actions within a text. An event is correct if its trigger offsets and type match a reference trigger.

Dataset	Elements	Sentences		
		Train	Dev	Test
CoNLL03	4 Ent	14,041	3,250	3,453
GENIA	5 Ent	15,038	1,654	1,854
ACE05-Ent	7 Ent	7,299	971	1,060
NYT	1 Ent, 24 Rel	56,196	5,000	5,000
CoNLL04	4 Ent, 5 Rel	922	231	288
SciERC	6 Ent, 7 Rel	1,861	275	551
ACE05-Rel	7 Ent, 6 Rel	10,051	2,420	2,050
ERE	38 Evt	13,736	1,000	1,163
ACE05-Evt	33 Evt, 22 Arg	19,240	902	676
CASIE	5 Evt, 13 Arg	11,189	1,778	3,208
14res	1 Asp, 3 Sen	1,266	310	492
14lap	1 Asp, 3 Sen	906	219	328
15res	1 Asp, 3 Sen	605	148	322
16res	1 Asp, 3 Sen	857	210	326

Table 5: Statistics of all IE dataset in this study.

Event Extraction is a task that aims to identify and extract key information about events from textual data. These events can be any significant occurrence or transaction, such as accidents, attacks, elections, or births. It is typically decomposed into two sub-tasks: event trigger detection and event argument extraction, which can be performed either in a pipeline or an end-to-end manner. An event trigger is correct if its offsets and event type matches a reference trigger. An event argument is correct if its offsets, role type, and event type match a reference argument mention.

Aspect-based Sentiment Analysis is a subtask of sentiment analysis, which aims to identify the sentiment expressed in text towards specific aspects of an entity, such as a product, service, or event. ABSA often involves two primary tasks: aspect and opinion extraction and aspect sentiment classification. A sentiment triplet consists of an aspect, an opinion and their sentiment polarity. A correct triplet requires the offsets boundary of the target, the offsets boundary of the opinion span, and the target sentiment polarity to be all correct at the same time.

B Anti-interference Test

Negative samples those are scarcely informative or lacking of demand-oriented annotation commonly appear in the realm of information extraction. In this study, we investigate the impact of negative samples on model performance. A series of experiments indicate negative recognition is a pivotal ability to conduct practical IE tasks. Specifically, we fine-tune small language model with structural

Dataset	Recognizer	Expert	Supervised				Few-Shot			
			batch	learning rate	label smoothing	examples	batch	learning rate	label smoothing	examples
CoNLL03	Claude2	Flan-T5-large	16	5e-5	0	30	8	5e-5	0	4, 30
GENIA			16	5e-5	0	30	-	-	-	-
ACE05-Ent			16	5e-5	0	40	-	-	-	-
NYT			16	5e-5	0	75	8	5e-5	0	25, 75
CoNLL04			16	5e-5	0	50	4	5e-5	0.1	10, 50
SciERC			8	5e-5	0.1	70	4	5e-5	0.1	14, 70
ACE05-Rel			8	5e-5	0.1	70	4	5e-5	0.1	14, 70
ERE			-	-	-	-	8	5e-5	0	5, 80
ACE05-Evt			16	5e-5	0.1	100	8	5e-5	0.1	34, 100
CASIE			16	5e-5	0.1	72	8	5e-5	0.1	18, 72
14res			16	5e-5	0.05	15	-	-	-	-
14lap			16	5e-5	0.05	15	-	-	-	-
15res			16	5e-5	0.05	15	8	5e-5	0.1	3, 15
16res			16	5e-5	0.05	15	8	5e-5	0.1	3, 15

Table 6: Hyper-parameters for GCIE training on both supervised and few-shot settings.

generative paradigm on ACE05-Evt dataset to describe the variation trend of model performance, by scaling the proportion of negative samples in the total training numbers, shown as Figure 3. From the result, it is clear that a high proportion of filtration is beneficial to predicting positive samples and harmful to recognizing negative samples. we attribute this phenomenon to model overfitting on certain data distribution explained by a example (see Figure 4). Additionally, according to the results of "self" curve, when the number of negative samples is reduced to a certain extent, the simulated performance tends to be similar to the gold performance. To some extent, negative samples simultaneously enhance the robustness of a fine-tuned model with limited data and weaken its ability of valid information identification. It is plausibly ideal to correctly identify negative samples without parameter variation.

One step further, we investigate the capacities of negative sample recognition based on prompt-based LLM and fine-tuned SLM. As seen in Table 7, we compute the accuracy on development sets across three IE dataset. In comparison to SLM, LLM with few examples seems exhibit powerful talent on negative sample recognition, with a much great margin. On the basis of the examination, we select LLM as negative sample filter to implicitly improve the robustness of our IE system. And more effective ways remain more endeavors in our follow-up research works.

C Experiment Details

In this section, we describe details of experiments that include hyper-parameters on supervised and few-shot settings, Recognizer prompt construction and Expert prompt construction.

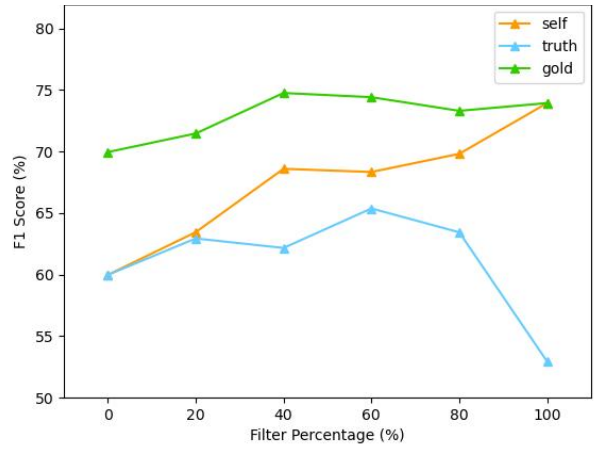


Figure 3: The performance on ACE05-Evt of generative fine-tuned models with negative sample filtration in varying proportions. "self" denotes the score on simulated labels by random sampling at the ratio; "truth" denotes the score on practical labels; "gold" denotes the score on positive labels.

C.1 Hyper-parameters

As shown in Table 6, on supervised and few-shot experiments, we select Claude2 and Flan-T5-large as LLM and fine-tune base model, AdamW (Loshchilov and Hutter, 2019) as optimizer with learning rate=5e-5 for all dataset. Label Smoothing (Szegedy et al., 2016) are applied for partial IE tasks to alleviate overfitting. To accomplish all our experiments successfully, a 48G memory are required at least.

C.2 Recognizer Prompt

We manually design unique instructions for each dataset, which can be divided into two parts: task descriptions and reference demonstrations. The task description part explains to LLM the task we are conducting and predefined label types. The

Model	ACE05-Evt	SciERC	CoNLL03
UIE-SEL p=1.0	83.9	75.0	93.1
UIE-SEL p=0.6	81.9	68.8	92.7
UIE-SEL p=0.2	77.8	50.0	91.5
Claude2 k=5	85.5	75.0	95.0

Table 7: The results of negative sample recognition based on few-shot LLM and fine-tuned SLM. p is the proportion of negative samples used in training.

reference demonstrations part includes samples selected from the training set, which are processed into input-output pairs. The performance of in-context learning of LLM can be improved by outputs designed with Chain-of-Thought (Wei et al., 2022). As shown below, the large model analyzes the input text according to our instructions and generates output in the same format as the examples.

CoNLL03

We are conducting named entity recognition task. We only consider three entity types: Person(a specific person name), Organization(an specific organization) and Location(a specific place). Please note that a sentence probably does not contain any defined entity.

There are several pairs of input and output as examples.

sentence: EU rejects German call to boycott British lamb .

result: [Organization : EU] [Person : none] [Location : none]

sentence: The guitarist died of a drugs overdose in 1970 aged 27 .

result: [Person : none] [Organization : none] [Location : none]

sentence: China says Taiwan spoils atmosphere for talks .

result: [Location : China] [Location : Taiwan] [Person : none] [Organization : none]

sentence: BEIJING 1996-08-22

result: [Location : BEIJING] [Person : none] [Organization : none]

.....

Let us start! Please analyse the following sentence and complete the result.

sentence: He was well backed by England hopeful Mark Butcher who made 70 as Surrey closed on 429 for seven , a lead of 234 .

result:

GENIA

We are conducting named entity recognition task. entity types are defined as follows:

1. Protein : the name of certain protein.
2. DNA : the name of certain DNA.
3. RNA : the name of certain RNA.
4. Cell line : the name of certain cell line.
5. Cell type : the name of certain cell type.

There are several pairs of input and output as examples.

sentence: Thyroid hormone receptors form distinct nuclear protein- dependent and independent complexes with a thyroid hormone response element .

result: [Protein : Thyroid hormone receptors] [DNA : thyroid hormone response element] [RNA : none] [Cell line : none] [Cell type : none]

sentence: TR alpha 1 and TR beta 2 each formed a single major TR : TREp complex which comigrated with the least retarded complex formed by GH3 NE , while TR beta 1 formed multiple complexes suggesting that it can bind to TREp as an oligomer .

result: [Protein : TR alpha 1] [Protein : TR beta 2] [DNA : none] [RNA : none] [Cell line : none] [Cell type : none]

sentence: Human immunodeficiency virus type 1 (HIV-1) can establish a persistent and latent infection in CD4+ T lymphocytes (W.C.Greene , N.Engl.J. Med.324 : 308-317 , 1991 ; S.M.Schnittman , M.C.Psallidopoulos , H.C. Lane , L.Thompson , M.Baseler , F.Massari , C.H.Fox , N.P.Salzman , and A.S.Fauci , Science 245 : 305-308 , 1989) .

result: [Protein : none] [DNA : none] [RNA : none] [Cell line : none] [Cell type : CD4+ T lymphocytes]

sentence: Such changes clearly can not be explained by genomic mechanisms , which are responsible for later effects than the membrane related rapid responses .

result: [Protein : none] [DNA : none] [RNA : none] [Cell line : none] [Cell type : none]

.....

Let us start! Read the text and complete content of the result. Please note that a sentence probably does not contain any defined entity.

sentence: The values of plasma aldosterone and 18-OH-B were also low .

result:

1386 **NYT**
1387 We are conducting relation triplet extraction task.
1388 entity types are defined as follows:
1389 location, organization, person.
1390 relation types are defined as follows:
1391 1. (location) is the administrative divisions of
1392 (location)
1393 2. (person) is the advisors of (person)
1394 3. (location) is the capital of (location)
1395 4. (person) is the children of (person)
1396 5. (person) work for (organization)
1397 6. (location) contains (location)
1398 7. (location) is the place of (location)
1399 8. (organization) is the ethnicity of (person)
1400 9. (organization) is founded by (person)
1401 10. (location) is distributed in (location)
1402 11. industry
1403 12. (organization) is located in (location)
1404 13. (person) is a major shareholder of (organiza-
1405 tion)
1406 14. (organization) has major shareholders with
1407 (person)
1408 15. the nationality of (person) is (location)
1409 16. (person) is the neighborhood of (person)
1410 17. people
1411 18. (organization) is founded in (location)
1412 19. (person) live in (location)
1413 20. (person) is born in (location)
1414 21. (person) is died in (location)
1415 22. (person) have a professional job in (organiza-
1416 tion)
1417 23. (person) believes in (organization)
1418 24. (organization) is a team in (location)
1419 Please determine if there exist entities and relations
1420 predefined above in the given sentence.
1421 There are several pairs of input and output as
1422 examples.
1423 sentence: Prosecutors ' interest in Chubb may
1424 indicate that the insurance scandal is widening ,
1425 even after more than a year of intense scrutiny
1426 by Eliot Spitzer , the New York attorney general
1427 , and officials at the Securities and Exchange
1428 Commission .
1429 result: [Carolina contains Greensboro]
1430 sentence: The historic city of Oaxaca has long
1431 been one of the most popular tourist destinations
1432 in Mexico .
1433 result: [Oaxaca is the administrative divisions of
1434 Mexico] [Mexico is the country of Oaxaca]
1435 sentence: They needed to beat the Red Sox , and
1436 they also needed the Chicago White Sox to beat

the Cleveland Indians – which Chicago did , 4-3 .
result: [Sox is located in Chicago] [Sox is a team
in Chicago]
sentence: Today , Maimonides stands for an
austerely intellectual doctrinal Judaism , the
castigation of all forms of idolatry and the
combining of Jewish learning with secular science
and philosophy -LRB- in his own times , this
meant Aristotle -RRB- .
result: [Maimonides believes in Judaism]
.....
Let us start! Read the text and complete content of
the result.
sentence: At a conference on Sunday in Manch-
ester in northern England , Mr. Blair 's measures
drew a sharp response from some participants ,
including Yvonne Ridley , a former newspaper
journalist in Britain who converted to Islam after
being imprisoned by the Taliban in Afghanistan .
result:

SciERC

We are going to conduct named entity recognition
task.
Entity types are defined as follow:
1. Task: specific academic task, application,
problem to solve, such as "information extraction",
"machine reading systems", "image segmentation",
etc.
2. Material: data, dataset, resource, corpora,
knowledge base.
3. Method: specific method, model, system, such
as "language models", "CORENLP, POS profilers",
"kernel methods", etc.
4. Metric: evaluation metric, such as "accuracy",
"recall" and etc.
5. Generic: general term, noun, such as "approach",
"method", "algorithm" and etc.
6. OtherScientificTerm: other scientific terminol-
ogy.
Here are some pairs of sentence and result as
examples.
sentence: This new algorithm deviates from the
traditional approach of wall building and layering .
result: [Generic : algorithm] [Method : approach
of wall building and layering] [Task : N/A] [
Material : N/A] [OtherScientificTerm : N/A]
sentence: Graph unification remains the most
expensive part of unification-based grammar
parsing .
result: [Task : Graph unification] [Task :

1488	unification-based grammar parsing] [Material :	sentence: BEIJING (AP)	1539
1489	N/A] [Method : N/A] [Metric : N/A] [Generic :	result: [Organization : AP] [GPE : BEIJING]	1540
1490	N/A] [OtherScientificTerm : N/A]	sentence: The islands are in the Yellow Sea ,	1541
1491	sentence: This task involves two core technologies	between the northeastern province of Liaoning and	1542
1492	: natural language processing -LRB- NLP -RRB-	North Korea .	1543
1493	and information extraction -LRB- IE -RRB- .	result: [GPE : Liaoning] [GPE : province]	1544
1494	result: [Generic : task] [Method : natural	[GPE : North Korea] [Location : islands] [1545
1495	language processing -LRB- NLP -RRB-] [Task :	Location : Yellow Sea]	1546
1496	information extraction -LRB- IE -RRB-] [Mate-	1547
1497	rial : N/A] [Metric : N/A] [OtherScientificTerm	Let us start! Please analyse the following sentence	1548
1498	: N/A]	and complete the result. sentence: That 's why you	1549
1499	sentence: Tokens are computed via a small-to-large	played a four-loss team for your conference title	1550
1500	scale grouping procedure employing a greedy ,	this year .	1551
1501	best-first , strategy for choosing the support of new	result:	1552
1502	tokens .		1553
1503	result: [Method : small-to-large scale grouping	ACE05-Evt	1554
1504	procedure] [Task : N/A] [Material : N/A] [Met-	We are going to conduct event extraction task.	1555
1505	ric : N/A] [Generic : N/A] [OtherScientificTerm	event types and event arguments are defined as	1556
1506	: N/A]	follows:	1557
1507	1. type: Birth; arguments: person, place. This	1558
1508	Let us start! Please analyse the following sentence	event is probably triggered by born, birth and etc.	1559
1509	and complete the result.	2. type: Death; arguments: agent, victim, place,	1560
1510	sentence: Holistically , a video has its inherent	instrument. This event is probably triggered by die,	1561
1511	structure – the correlations among video frames .	kill, eliminate, eradicate and etc.	1562
1512	result:	3. type: Marriage; arguments: person, place. This	1563
1513		event is probably triggered by marry, wed and etc.	1564
1514	ACE05-Ent / ACE05-Rel	4. type: Divorce; arguments: person, place. This	1565
1515	We are going to conduct named entity recognition	event is probably triggered by divorce and etc.	1566
1516	task.	5. type: Injury; arguments: agent, victim, place,	1567
1517	Entity types are defined as follow:	instrument. This event is probably triggered by	1568
1518	1. Person: person name, group name, personal	injure, wound and etc.	1569
1519	pronoun and etc.	6. type: Start of position; arguments: person,	1570
1520	2. Organization: government, business, institution,	affiliation, place. This event is probably triggered	1571
1521	association, political party and etc.	by hire, put, recruit, precede and etc.	1572
1522	3. GPE: continent, nation, country, state, province,	7. type: End of position; arguments: person,	1573
1523	district, country group and etc.	affiliation, place. This event is probably triggered	1574
1524	4. Location: a place or area such "world", "earth",	by fire, leave, retire, former, resign and etc.	1575
1525	"sea", "desert" and etc.	8. type: Nomination; arguments: person, agent.	1576
1526	5. Facility: a building such as "airport", "office",	This event is probably triggered by nominate,	1577
1527	"restaurant", "school" and etc.	name, select and etc.	1578
1528	6. Vehicle: vehicle.	9. type: Election; arguments: person, affiliation,	1579
1529	7. Weapon: weapon.	place. This event is probably triggered by elect,	1580
1530	Here are some pairs of sentence and result as	win, vote and etc.	1581
1531	examples.	10. type: Start of organization. arguments:	1582
1532	sentence: sharon spit on tab and called her names .	agent, organization, place. This event is probably	1583
1533	result: [Person : sharon] [Person : tab] [Person	triggered by start, open, establish and etc.	1584
1534	: her]	11. type: End of organization. arguments: organi-	1585
1535	sentence: a spokesman says that if any charges	zation, place. This event is probably triggered by	1586
1536	are filed , they will be on the low end of the	end, close and etc.	1587
1537	misdemeanor scale .	12. type: Merger. arguments: organization. This	1588
1538	result: [Person : spokesman]	event is probably triggered by merge and etc.	1589

1590	13. type: Bankruptcy. arguments: organization,	and etc.	1641
1591	place. This event is probably triggered by bankrupt	29. type: Execute; arguments: agent, person, place.	1642
1592	and etc.	This event is probably triggered by execute, kill	1643
1593	14. type: Meeting. arguments: participant, place.	and etc.	1644
1594	This event is probably triggered by meet, summit,	30. type: Extradite; arguments: agent, destination,	1645
1595	negotiate, discuss, talk and etc.	origin. This event is probably triggered by	1646
1596	15. type: Phone contact. arguments: participant,	extradite and etc.	1647
1597	place. This event is probably triggered by write,	31. type: Acquit; arguments: defendant, adjudica-	1648
1598	call, letter, phone and etc.	tor. This event is probably triggered by acquit and	1649
1599	16. type: Transfer of ownership; arguments: buyer,	etc.	1650
1600	seller, place, possession, beneficiary. This event is	32. type: Pardon; arguments: defendant, adjudica-	1651
1601	probably triggered by buy, seize, capture, sale and	cator, place. This event is probably triggered by	1652
1602	etc.	pardon and etc.	1653
1603	17. type: Transfer of money; arguments: giver,	33. type: Appeal; arguments: plaintiff, adjudicator,	1654
1604	recipient, place, beneficiary. This event is probably	place. This event is probably triggered by appeal	1655
1605	triggered by transfer, pay and etc.	and etc.	1656
1606	18. type: Movement; arguments: deployer, object,	You are required to infer whether any event prede-	1657
1607	destination, origin, vehicle. This event is probably	defined above is mentioned in a sentence and answer	1658
1608	triggered by deploy, go, arrive, advance, land and	with the format: "[event type : trigger (argument :	1659
1609	etc.	tokens)...(argument : tokens)]..." or "There is	1660
1610	19. type: Attack; arguments: attacker, target,	no event mentioned in the sentence". Events that	1661
1611	victim, place, instrument. This event is probably	have happened in the past, are happening now, or	1662
1612	triggered by war, force, strike, attack, fight, battle,	may occur in the future should all be taken into	1663
1613	fire, terror, hit, incident, bomb, conflict, violence,	consideration, but those events not defined by us	1664
1614	explosion, invade, kill and etc.	should be overlooked. Here are several examples.	1665
1615	20. type: Demonstration; arguments: participant,	sentence: Here are some of the fine achievements	1666
1616	place. This event is probably triggered by protest,	of the terrorist Marwan Barghouti Marwan	1667
1617	march, rally, demonstrate and etc.	Barghouti (born June 6 , 1958) is a Palestinian	1668
1618	21. type: Arrest; arguments: agent, person,	leader from the West Bank and a leader of the	1669
1619	place. This event is probably triggered by arrest,	Fatah movement that forms the backbone of the	1670
1620	apprehend, nab, capture and etc.	Palestinian Authority and the Palestine Liberation	1671
1621	22. type: Parole; arguments: authority, person,	Organization (PLO) .	1672
1622	place. This event is probably triggered by release,	result: [Birth : born (person : Marwan Barghouti	1673
1623	parole and etc.) (place : West Bank)]	1674
1624	23. type: Trial; arguments: defendant, adjudicator,	sentence: If you go for a home birth you can rent	1675
1625	prosecutor, place. This event is probably triggered	a birthing pool . I would n't necessarily say that	1676
1626	by hearing, trial and etc.	you will have a repeat labour ! My first labour I	1677
1627	24. type: Charge; arguments: defendant, adjudica-	was 30 hours and had an epidural after 22 hours . I	1678
1628	tor, prosecutor, place. This event is probably	went in saying " give me the epidural asap - and	1679
1629	triggered by charge, accused, indict and etc.	never got to the state where I felt that I needed it .	1680
1630	25. type: Sue; arguments: plaintiff, defendant,	result: [Birth : birth (person : unspecified) (1681
1631	adjudicator, place. This event is probably triggered	place : unspecified)]	1682
1632	by sue, lawsuit, suit and etc.	sentence: The birth comes days after the death of	1683
1633	26. type: Convict; arguments: defendant, adjudica-	O'Neal 's maternal grandfather , Sirlester O'Neal	1684
1634	tor, place. This event is probably triggered by	. result: [Birth : birth (person : unspecified) (1685
1635	convict, guilty, verdict and etc.	place : unspecified)] [Death : death (victim	1686
1636	27. type: Sentence; arguments: defendant,	: grandfather) (agent : unspecified) (place	1687
1637	adjudicator, place. This event is probably triggered	: unspecified) (instrument : unspecified)]	1688
1638	by sentence, condemn, face and etc.	sentence: Shaunie O'Neal gave birth to the couple	1689
1639	28. type: Fine; arguments: payor, adjudicator,	's third child at 1:52 a.m. at a Los Angeles - area	1690
1640	place. This event is probably triggered by fine, pay	hospital , team spokesman John Black said .	1691

1692	result: [Birth : birth (person : child) (place :	worker faces several felony charges after officials	1743
1693	hospital)]	allege the worker stole personal information on	1744
1694	sentence: police are now considering the possibil-	about 80,000 employees, volunteers and vendors	1745
1695	ity that the remains are those of laci peterson and	from a CPS database. The former worker, Kristi	1746
1696	her unborn child .	Sims, was arrested Thursday...The data included	1747
1697	result: [Birth : unborn (person : child) (place :	children's names, home and cellphone numbers,	1748
1698	unspecified)]	email addresses and ID numbers.	1749
1699	sentence: But we should n't lose sight of the fact	result: There are Data Breach[Compromised	1750
1700	that we have two political parties so people will	Data-personal data]: stolen in the sentence.	1751
1701	have choices	1752
1702	result: There is no event mentioned in the sentence.	Let us start! Read the sentence and complete	1753
1703	sentence: SANDERS Well it 's not – are you	content of the result.	1754
1704	suggesting that when tens and thousands of Iraqi	sentence: The two vulnerabilities are critical	1755
1705	women and children are killed , and when young	remote code execution flaws that exist in Adobe	1756
1706	men and women in this country are unnecessarily	Photoshop CC. Adobe hurried out unsched-	1757
1707	put at harm 's risk , what should we do ?	uled patches today for two critical flaws that	1758
1708	result: [Death : killed (victim : children) (agent :	could...there may have been a disclosure deadline	1759
1709	unspecified) (place : unspecified) (instrument :	and the release did not make this month's typical	1760
1710	unspecified)]	release cycle but needed to release before Septem-	1761
1711	sentence: " They make this look like a John Wayne	ber's release cycle.	1762
1712	movie , " said protester Elvis Woods .	result:	1763
1713	result: There is no event mentioned in the sentence.		1764
1714	SemEval-14 / 15 / 16	1765
1715	Let us start! Read the following sentence and	We are conducting aspect-based sentiment analysis	1766
1716	output the correct result.	task. What you need to do is to recognize the	1767
1717	sentence: He had to sue to become our president	sentiments (positive, negative, neutral) implied in	1768
1718	, and he keeps trying to bribe other countries '	the sentence.	1769
1719	democratic governments into his supporting his	Here are some examples.	1770
1720	agenda .	example1	1771
1721	result:	sentence: I charge it at night and skip taking the	1772
1722		cord with me because of the good battery life .	1773
1723	CASIE	result: good is a positive opinion for battery life;	1774
1724	We are conducting cybersecurity event extraction	Therefore, there have positive sentiment but no	1775
1725	task.	negative, neutral sentiments in the sentence.	1776
1726	event types and their optional argument roles are	example2	1777
1727	defined as follows:	sentence: The price premium is a little much , but	1778
1728	1. Data Breach[compromised data, number of	when you start looking at the features it is worth	1779
1729	data]	the added cash .	1780
1730	2. Phishing[trusted entity]	result: worth is a positive opinion for features;	1781
1731	3. Ransom[ransom price, payment method]	much is a negative opinion for price premium;	1782
1732	4. Discover Vulnerability[discoverer, capability,	Therefore, there have positive, negative sentiments	1783
1733	system owner]	but no neutral sentiment in the sentence.	1784
1734	5. Patch Vulnerability[releaser, issue, patch,	example3	1785
1735	number of patch, platform]	sentence: Until I bought the Dell , I thought you	1786
1736	Please determine if there have events predefined	just looked for what you wanted (size , software	1787
1737	above in the given sentence. Events that have	, options , hardware) and purchase the best deal	1788
1738	happened in the past, are happening now, or	you could find .	1789
1739	may occur in the future should all be taken into	result: best is a neutral opinion for hardware;	1790
1740	consideration. Here are several examples.	Therefore, there have neutral sentiment but no	1791
1741	example1	positive, negative sentiments in the sentence.	1792
1742	sentence: A former Chicago Public Schools	1793

Let us start! Read the sentence and complete content of the result.
sentence: We also use Paralles so we can run virtual machines of Windows XP Professional , Windows 7 Home Premium , Windows Server Enterprise 2003 , and Windows Server 2008 Enterprise .
result:

C.3 Expert Prompt

The Expert prompt for each input text is to tell language model what types exist probably in the given sentence. The results of Expert prompts drive in Recognizer but they are not required to be very meaningful to be understood by human or LLM. Underlying our observation, it is most worthy that type words ought to be designed distinctively against informative mentions. To this end, we list handcrafted Expert prompts as follow.

CoNLL03: person, organization, location, others.

CoNLL04: person, organization, location, others, based in, work for, located in, live in, kill.

SciERC: Task, Material, Method, Metric, Generic, Others, Part of, Used for, Hyponym of, Conjunction with, Feature of, Evaluate for, Compare with.

ACE05-Rel: Person, Organization, Location, Geographical political entity, Facility, Vehicle, Weapon, Physical, Part whole, Personal social, Organization affiliation, Agent artifact, General affiliation.

ACE05-Evt: Acquit, Appeal, Arrest, Attack, Born, Charge, Convict, Bankrupt, Demonstrate, Die, Elect, Divorce, End-Organization, End-Position, Execute, Extradite, Fine, Injure, Marry, Meet, Merge, Nominate, Pardon, Phone, Parole, Sentence, Start-Organization, Sue, Start-Position, Transfer-Money, Transfer-Ownership, Transport, Trial-Hearing, Vehicle, Artifact, Destination, Person, Agent, Entity, Place, Target, Attacker, Giver, Recipient, Plaintiff, Victim, Buyer, Seller, Instrument, Origin, Organization, Beneficiary, Defendant, Adjudicator, Prosecutor.

GENIA: Protein, DNA, RNA, Cell line, Cell type.

NYT: administrative division, advisor, capital, children, company, contain, country, ethnicity, founder, geographic distribution, industry, location, major shareholder of, major shareholder, nationality, neighborhood of, people, place of finding, place of living, place of birth, place of death, profession, religion, team.

CASIE: Data Breach, Phishing, Ransom, Discover Vulnerability, Patch Vulnerability, Compromised data, Number of data, Trusted entity, Ransom price, Payment method, Discoverer, Capability, System owner, Releaser, Issue, Patch, Number of patch, Platform.

SemEval-14/15/16: aspect, opinion, positive, negative, neutral.

D Further Exploration

D.1 Demonstration Format

The examples determine the quality of outputs of LLM in type recognition. Whether inputs (with prompts) and outputs of LLM should include pairs of types and mentions or only type clues? We conduct further exploration on this issue, and the results are shown in Table 8. On the SciERC, we find that explicitly providing spans result in much better performance compared to only providing types. However, on the CoNLL03 and 14-res, there are only a slight improvement. This is because the entity and relation labels in the SciERC have abstract semantics, and Claude2 needs more contextual information to understand the label semantics. Leveraging span mentions reasonably enhances the in-context learning ability of LLM, analogous to CoT in relation extraction (Wei et al., 2022; Wadhwa et al., 2023b).

D.2 Label Type Format

When Expert receives type indications as prompts and generates structured text, it treats labels as natural language phrases. This is done to fully leverage the knowledge that the language model has acquired during the pre-training phase. However, can this approach truly effectively utilize the knowledge stored in pre-trained language models? To validate this perspective, we conduct exploratory experiments on partial entity and relation labels on the CoNLL04 and SciERC datasets, the results

Model	CoNLL03	SciERC	14-res
	Ent	Rel	Sen
Claude2 w/o Span	89.8	57.2	86.1
Claude2	93.7	65.7	87.0
Δ Gain	+3.9	+8.5	+0.9

Table 8: Results of ablation experiments of the example format on entity, relation and sentiment.

Model	CoNLL04		SciERC	
	Loc	Located_In	Generic	USED-FOR
Expert-A	88.6	76.9	68.6	41.9
Expert-B	90.3	78.6	68.3	42.1
Expert-C	89.3	77.7	69.0	40.5

Table 9: Results of ablation experiments of the Type Phrase on CoNLL04 and SciERC dev sets.

of which are shown in Table 9. Expert-A treats labels as specific symbols. Expert-B uses meaningful words "place", "located in", "generic" and "used for" as type phrases. Expert-C substitutes them with abstract words such as "Located-in". The results show that the entities of "Loc" type are more susceptible to label semantics than entities of type "Generic". In contrast, relations are much less affected by label semantics.

E Schema Format

The format of outputs both used for LLM and Expert conform to structure generation shown as Figure 5. It is noted that the outputs of LLM are not responsible to generate correct values, instead it's only required to determine what defined information a sentence probably mentions. While we observe that offering a complete answer in which the values serve as explainable evidences is beneficial for LLM to think step by step.

sentence: Michael York , one of Jack Welch 's attorneys , called the move routine .
result: { [Movement : move] }
label: { }

sentence: Turkish party leader Recep Tayyip Erdogan named prime minister , may push to allow in U.S. troops .
result: { [Nomination : named] }
label: { }

Figure 4: An example about overfitting results on negative samples of a fine-tuned generative IE model.

[Person : Oswald] [Location : Mediterranean]

(a) Named Entity Recognition

[People : James Hackett (Work for : Titan Systems) (Live in : U.S)]

(b) Relation Extraction

[Material : uncalibrated images (Used for : surface re-flectance estimates)] [Method : surface re-flectance estimates

(c) Joint Entity and Relation Extraction

[Aspect : the food (Positive : decilious)] [Aspect : service (Negative : a little bad)]

(d) Aspect-based Sentiment Analysis

[End-Position : leave]

(e) Event Detection

[Meet : talks (Entity : Bush) (Place : retreat)] [Transport : arrived (Artifact : Blair) (Destination : Washington)]

(f) Event Extraction

Figure 5: There are schema examples from (a) to (f) corresponding to six information extraction tasks.