# DeepTracer Diffusion: A Single-Stage Diffusion Model for Accurate Cryo-EM Backbone Segmentation

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# **Abstract**

Precise atomic-level interpretation of macromolecular structures is vital for understanding biological mechanisms yet remains challenging due to the complex nature
of cryo-electron microscopy (cryo-EM) data. Existing approaches have utilized
either multiple convolutional neural networks or complex combinations of autoencoder and latent diffusion models to predict atom locations via image segmentation.
We introduce DeepTracer Diffusion, a novel framework that leverages a single
Denoising Diffusion Probabilistic Model (DDPM) to perform image segmentation,
providing higher accuracy in terms of F1 score and predicted residues for predicted
backbone atoms.

# o 1 Introduction

Accurate determination of atomic positions and labels in macromolecular structures is crucial to understanding biological functions and processes. Cryo-electron microscopy (cryo-EM) has become an essential tool in structural biology, offering the ability to visualize macromolecules at near-atomic resolution. However, interpreting cryo-EM maps to extract precise atomic models remains a challenging task because of the complexity and variability of the data.

DeepTracer is a deep learning model for protein structure predictions using 4 U-Net models for atoms, backbone, secondary structures, and amino acids to predict atom locations and types Pfab et al. (2020). Each U-Net learns its respective data type and results in accurate protein structure predictions given a cryo-EM map. DeepTracer performs well only on high-resolution cryo-EM maps. With medium to low-resolution cryo-EM maps, DeepTracer's predictions worsen. The performance loss is due to the U-Nets struggling to discern low resolution data (often containing a lot of noise), and a failure to accurately determine the segmentation for each output.

Diffusion models are a class of generative models that can output new samples of data by iteratively denoising pure Gaussian noise Ho et al. (2020). These models learn a data distribution by learning to denoise data distorted by random noise. There have been numerous approaches to image segmentation using diffusion, especially in the biomedical sciences. Existing methods use latent diffusion models paired with an autoencoder. The encoder generates latent representations of input data, which are then fed into a latent diffusion model and decoded into the predicted segmentation Lin et al. (2024). A drawback with an autoencoder and diffusion model setup is the need to run input through multiple models and the potential requirement of training both an autoencoder and a diffusion model.

We present DeepTracer Diffusion. Rather than performing encoding and decoding steps with the diffusion process, we use a single DDPM model. We specifically rework the sampling algorithm to generate new samples of segmented voxel data. The modification results in an iterative process of generating new segmentation predictions from pure noise over a series of steps. Our approach

achieves higher F1 scores and predicted residues, while not bounded by cryo-EM map resolution and without the need to use encoding/decoding steps.

# 37 **2 Related Works**

# 38 2.1 Diffusion for Image Segmentation

- 39 Several approaches have been proposed regarding diffusion probabilistic models for image segmenta-
- 40 tion task. SegDiff Amit et al. (2022) utilizes diffusion models to iteratively refine segmentation maps
- 41 by merging information from input images and current estimations. MedSegDiff Wu et al. (2022)
- 42 extends the use of diffusion models to medical imaging, introducing dynamic conditional encoding
- and a Feature Frequency Parser to enhance segmentation performance across various medical tasks.
- 44 Furthermore, MedSegDiff was improved in MedSegDiff-V2 Wu et al. (2023) through the integration
- of transformer mechanisms.
- 46 The versatility of diffusion models is evident in their success in generating a distribution of seg-
- 47 mentation masks, demonstrating promising results in medical imaging Mo et al. (2023b). Similarly,
- 48 another research effort models panoptic masks using diffusion models, demonstrating competitive
- performance in both image and video segmentation tasks Chen et al. (2023).
- 50 Latent diffusion models have also demonstrated promising results in image segmentation. A notable
- 51 project, SDSeg, utilizes a well-trained latent diffusion model for biomedical image segmentation
- with a single-step reverse process Lin et al. (2024). In this approach, the predicted noise is used
- to estimate a latent representation of a segmentation map, which is then passed into a pixel-space
- 54 decoder. This enables an efficient single-step reverse process. SDSeg boasts competitive inference
- speeds compared to other segmentation models.

# 56 2.2 Protein Structure Modeling From Cryo-EM Maps

- 57 Protein structure modeling from cryo-EM maps has seen significant strides. Cryo2Struct Giri and
- 58 Cheng (2024) employs a combination of 3D transformers and Hidden Markov Models (HMM) for
- 59 de novo modeling of atomic protein structures. This model features a transformer-encoder and a
- 60 skip-connected decoder for sequence-to-sequence prediction and voxel classification, followed by an
- 61 HMM to connect predicted atoms and construct protein backbones.
- 62 Diffusion techniques have also been used in the modeling of protein structures as demonstrated by
- 63 several studies. For instance, DiffModeler Wang et al. (2024) employs a U-net architecture and
- 64 the Denoising Diffusion Implicit Model (DDIM) framework Song et al. (2021). In this approach,
- 65 Gaussian noise is added to the cryo-EM map during the forward diffusion process. In the reverse
- diffusion process, the model predicts the positions and labels of backbone atoms using Dice Loss.
- 67 RFdiffusion Watson et al. (2023) applies DDPM to generate protein structures from randomly
- sampled noisy point clouds of atoms. Through iterative denoising steps guided by learned features,
- 69 RFdiffusion refines the structures, optimizing for specific functional and structural criteria.

# 70 3 Method

- 71 We propose a one-hot style diffusion algorithm (OneHotDiff) for image segmentation tasks. One-
- 72 HotDiff incorporates the iterative sampling capability in the diffusion algorithm with the traditional
- 73 segmentation model, achieving a direct reverse diffusion process in one-hot style output.

# 74 3.1 Forward Diffusion

- 75 Our forward diffusion process, depicted in fig. 1, follows the traditional forward sampling for DDPM
- 76 Ho et al. (2020).
- 77 In the forward process, Gaussian noise is gradually added over T steps, described by:

$$q(y_{1:T} \mid y_0) = \prod_{t=1}^{T} \mathcal{N}(y_t; \sqrt{\alpha_t} y_{t-1}, 1 - \alpha_t \mathbf{I})$$

$$(1)$$

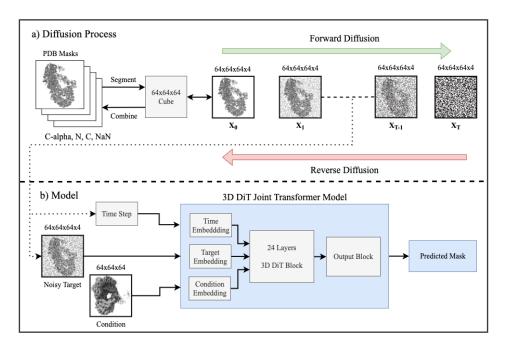


Figure 1: (a) The diffusion process of DeepTracer Diffusion: The process starts with creating masks of classification labels from the PDB files as ground truth. These masks are then segmented into 64x64x64 cubes, each containing a channel for each classification label (Carbon-alpha, Nitrogen, Carbon, and No Atom). The 64x64x64x4 sections are used as the target during the DDIM process. (b) The 3D DiT joint transformer model is trained by randomly sampling a timestep with the pairing of the noised 64x64x64x4 ground truth section with its corresponding 64x64x64 section of the Cryo-EM map.

The noisy sample  $y_t$  at timestamp t can be derived from  $y_0$  using the reparameterized equation:

$$y_t = \sqrt{\bar{\alpha}_t} \, y_0 + \sqrt{1 - \bar{\alpha}_t} \, \epsilon \tag{2}$$

where  $\epsilon \sim \mathcal{N}(0,I)$ ,  $\bar{\alpha}_t = \prod_{i=1}^t \alpha_i$ ,  $\alpha_i \in (0,1)$  is the diffusion schedule,  $y_0$  is a one-hot mask corresponding to atom classes for each voxel in a 3D grid, and  $y_t$  is a weighted combination of the clean one-hot mask and Gaussian noise.

# 82 3.2 Reverse Diffusion

The traditional diffusion algorithm reverses the forward process by predicting the noise  $\epsilon$  in the noisy target  $y_t$ . However, image segmentation requires extracting a one-hot prediction  $\hat{y}_0$  from  $y_t$ , which cannot be obtained by noise prediction alone.

To adapt DDPM for segmentation, we predict the clean one-hot mask  $\hat{y}_0$  based on the noisy target  $y_t$  and input sample x. Specifically, a segmentation network  $f_\theta$  estimates the clean mask, and a cold-softmax (temperature  $\beta \to 0^+$ ) enforces a one-hot output:

$$\hat{y}_0 = \operatorname{softmax}_{\beta \to 0^+} \left( f_{\theta}(x, y_t, t) \right) \tag{3}$$

With  $\hat{y}_0$  in hand, we compute the predicted noise:

$$\hat{\epsilon} = \frac{y_t - \sqrt{\bar{\alpha}_t} \, \hat{y}_0}{\sqrt{1 - \bar{\alpha}_t}} \tag{4}$$

90 For reverse sampling, we replace the stochastic DDPM step with the deterministic DDIM update 91 Song et al. (2021):

$$y_{t-1} = \sqrt{\bar{\alpha}_{t-1}} \, \hat{y}_0 + \sqrt{1 - \bar{\alpha}_{t-1}} \, \hat{\epsilon} \tag{5}$$

This loop continues until t=0, and the final one-hot mask prediction is  $\hat{y}_0$ .

# **4 Evaluation**

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To evaluate our diffusion-based structure prediction approach, we performed a head-to-head compari-94 son with DeepTracer on a benchmark set of 35 cryo-EM maps spanning high to medium resolutions 95 (1.68–5.8 Å, average 4.45 Å). DeepTracer's pipeline is comprised of four specialized 3D convolu-96 tional neural networks (CNNs), each implemented as a U-Net architecture and trained for voxel-wise 97 segmentation and coordinate inference (Pfab et al., 2020). The Atoms U-Net classifies each voxel as alpha carbon (C- $\alpha$ ), carbon (C), nitrogen (N), or background; the Backbone U-Net labels voxels 99 as backbone, side chain, or non-protein; the Secondary Structure U-Net assigns helix, sheet, or coil 100 conformations; and the Amino Acid Type U-Net predicts one of the twenty standard amino acid 101 identities. 102

To isolate the impact of our diffusion models, we replaced DeepTracer's Atoms and Backbone U-Net outputs with predictions from two separate diffusion networks: one for atom-type voxel classification (C, C- $\alpha$ , N, background) and one for backbone segmentation. These diffusion outputs were paired with DeepTracer's unchanged Secondary Structure and Amino Acid type U-Nets. All four model outputs were then fed into DeepTracer's standard post-processing and residue-labeling pipeline, ensuring that any observed performance differences derive exclusively from the initial voxel classification and coordinate inference stages. The diffusion predictions were generated using a DDIM scheduler with 25 sampling steps to balance inference speed and segmentation fidelity.

Table 1 presents six representative cases from the full test set, illustrating performance at both resolution extremes. Each entry lists EMDB/PDB identifiers, reported resolution, number of deposited residues, predicted residue count, and the resulting F1-score.

Table 1: Six representative cryo-EM maps spanning high to low resolutions, listing EMDB/PDB identifiers, resolution, deposited vs. predicted residue counts, and F1-scores for Diffusion and DeepTracer. The bottom row reports the average resolution, residue counts, and F1-scores over the full 35-map benchmark.

				Diffusion		DeepTracer	
EMDB	PDB	Resolution	Deposited Residues	Predicted Residues	F1-Score	Predicted Residues	F1-Score
emd_20459	6psn	4.60 Å	960	4101	0.73	1573	0.50
emd_8278	5kp9	5.70 Å	12120	13571	0.63	4814	0.39
emd_3669	5np0	5.70 Å	5056	6810	0.68	978	0.27
emd_46055	9cz0	1.86 Å	2040	2044	0.99	1891	0.96
emd_48671	9mvu	2.20 Å	2105	2121	0.97	1892	0.93
emd_48164	9md1	3.03 Å	764	1058	0.71	867	0.64
Total Average		4.45	3587.60	3817.46	0.75	1798.66	0.59

Across 35 cryo-EM maps, our diffusion-based approach achieved an average F1-score of  $0.75 \pm 0.0268$  (standard error of the mean: SEM) compared to DeepTracer's  $0.59 \pm 0.0452$  (SEM). The resulting 0.16 gap in F1-score far exceeds both SEM value demonstrating a substantial improvement in residues prediction.

We performed a paired t-test on the per-map F1-score differences:

$$t(34) = 6.86, \quad p \approx 6.7 \times 10^{-8} \ (< 0.001)$$
 (6)

which confirms the improvement is highly significant. Moreover, our diffusion networks recover more residues across all resolution ranges, with the largest gains observed in mid-range maps (3–5 Å).

# 5 Dataset Preparation and Training

# 123 5.1 Data Preparation

The dataset is sourced from EMDataResource Lawson et al. (2016) and consists of 417 cryo-EM maps paired with their corresponding Protein Data Bank Berman et al. (2000) structures. Among

these, 129 maps are high resolution (0–3Å), 212 are medium resolution (3–5Å), and 76 are low resolution (>5Å), spanning an overall resolution range from 2.5Åto 8.9Å.

We preprocess the cryo-EM maps by first standardizing the voxel size to 0.5 Å through volume data resampling through UCSF Chimera. This step ensures consistent voxel sizes across all maps, facilitating accurate predictions. Next, we normalize the density values of the maps to a range between 0 and 1.

To create our ground truth, we process the PDB structures using UCSF Chimera to create a mask. We generate a set of masks for each output prediction type. For example: for the atom predictions we make 4 masks, each with a voxel size of 0.5, labeling the voxels as NaN,  $C-\alpha$ , C, or N atoms respectively. These masks are then combined via one-hot encoding, assigning each voxel a class label value of 0, 1, 2, or 3.

The one-hot encoded masks are paired with their corresponding cryo-EM map, and both data grids are divided into multiple  $64^3$  subgrids. We use the inner  $50^3$  core for predictions, while the outer 7-voxel border is included to enhance border predictions and is ultimately discarded.

To account for class imbalance we compute cross-entropy weights for each label and adjust the cross entropy loss during training. Let P be a vector of class probabilities, where  $P_n$  is the number of occurrences of class n, N the total number of classes, and V the total voxels in the dataset. The class weights W are calculated as:

$$\mathbf{W} = \frac{\frac{V}{P}}{\frac{1}{N} \sum \frac{V}{P}},\tag{7}$$

which ensures proper normalization across classes based on voxel distributions.

# 5.2 Training DDPM for Classification

The training process involves using a classification procedure to calculate the loss used for training. 146 During each training step, we apply the Softmax function to the model's output to obtain probability 147 distributions. Next, we employ the argmax function on the one-hot encoded target to derive the target 148 label. Finally, the cross-entropy loss is computed between the output predictions, the argmax of the 149 target label, and the precalculated cross-entropy weights. The loss used for training is the sum of the 150 cross-entropy loss for every label. Along with cross-entropy loss, we employ dice loss. Using dice loss prevents the model from over-152 predicting voxels. Using only cross-entropy loss resulted in excessive voxel predictions in the target 153 areas, causing excessively cubic predicted structures. We found that training with both cross-entropy 154 loss and dice loss from scratch leads to unstable gradients, so we warm up our model with a lower 155

learning rate and only optimize on cross-entropy loss. After the warmup, when our cross entropy loss

is around 0.1, we enable dice loss along with cross entropy.

# 6 Conclusion

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We introduce DeepTracer Diffusion, a novel framework that leverages Denoising Diffusion Implicit Models (DDIM) for direct voxel-wise classification and coordinate inference of backbone atoms from cryo-EM maps. By substituting DeepTracer's Atoms and Backbone U-Nets with two specialized diffusion networks, our approach delivers accurate all-atom structure predictions of protein complexes based solely on their cryo-EM densities. In a head-to-head evaluation on 35 cryo-EM maps, the diffusion model increased the mean F1-score from 0.59 to 0.75 and recovered more residues across the entire resolution range.

In future work, we will scale up our diffusion models and expand the training dataset, reduce the voxel patch size from  $4^3$  to  $2^3$  to capture finer structural features, as well as replace DeepTracer's Secondary Structure and Amino Acid Type U-Nets with diffusion-based counterparts. These improvements will push us toward a fully diffusion-driven, end-to-end pipeline for high-fidelity, all-atom model building from cryo-EM maps.

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# 213 A Full Test Set Results

- Table 2 provides the complete head-to-head performance metrics on all 35 cryo-EM maps in our
- benchmark. These detailed results extend the representative subset shown in Table 1.

Table 2: Performance comparison between the Diffusion model and DeepTracer on a benchmark test set of 35 cryo-EM maps. Each entry includes the EMDB and PDB identifiers, resolution, and the number of deposited residues. For both methods, we report the number of residues predicted and the resulting F1-score, reflecting residue prediction accuracy. The final row summarizes the average resolution and F1-scores computed across the entire test set.

				Diffusion		DeepTracer	
EMDB	PDB	Resolution	Deposited Residues	Predicted Residues	F1-Score	Predicted Residues	F1-Score
emd_20455	6pqx	4.60	960	1186	0.77	811	0.74
emd_20459	6psn	4.60	4154	4101	0.73	1573	0.50
emd_8278	5kp9	5.70	12120	13571	0.63	4814	0.39
emd_8786	5w9k	4.60	4205	5634	0.69	2720	0.63
emd_8513	5u6r	5.70	14952	7443	0.44	1997	0.20
emd_21136	6vac	5.70	1202	1065	0.55	175	0.21
emd_7439	6ca0	5.75	3698	4362	0.67	781	0.29
emd_3672	5np1	5.70	2460	3087	0.74	1058	0.50
emd_6823	5ydz	5.80	1696	1620	0.71	475	0.38
emd_9577	6kv5	4.60	1679	1944	0.71	865	0.61
emd_9378	6nij	5.70	1972	3211	0.63	520	0.31
emd_8539	5ucy	4.60	2610	2493	0.56	1017	0.37
emd_9541	5gw5	4.60	8446	9319	0.73	5958	0.66
emd_6826	5ye5	5.80	1856	2516	0.69	1291	0.67
emd_3669	5np0	5.70	5056	6810	0.68	978	0.27
emd_8674	5vhf	5.70	6463	6538	0.48	1123	0.22
emd_8735	5vvr	5.80	4565	6390	0.64	582	0.20
emd_6489	3jbw	4.60	1946	2330	0.77	1475	0.70
emd_6906	5zam	5.70	1389	1847	0.61	649	0.47
emd_4400	6i2t	5.70	2252	3044	0.69	555	0.34
emd_5645	3j3x	4.60	8160	9342	0.70	5486	0.65
emd_3790	5oej	5.70	2825	4096	0.59	915	0.39
emd_3963	6evy	4.00	5166	5086	0.96	4370	0.89
emd_3949	6esh	5.10	738	878	0.70	235	0.42
emd_7020	6ayg	4.65	1756	1825	0.81	956	0.66
emd_46055	9cz0	1.86	2040	2044	0.99	1891	0.96
emd_46537	9d31	2.80	752	743	0.97	708	0.97
emd_70156	9061	1.68	2040	2117	0.98	2131	0.97
emd_65082	9vib	2.26	566	564	1.00	547	0.98
emd_60984	9iy4	2.00	2646	2597	0.98	2442	0.96
emd_39365	8ykd	2.90	1225	1188	0.98	1116	0.95
emd_48671	9mvu	2.20	2105	2121	0.97	1892	0.93
emd_48164	9md1	3.03	764	1058	0.71	867	0.64
emd_46506	9d30	3.74	2324	2750	0.81	1644	0.76
emd_19930	9es0	2.58	8778	8691	0.99	8336	0.97
Total Average		4.45	3587.60	3817.46	0.75	1798.66	0.59

# **B** Architecture

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Our model extends the 3D Diffusion Transformer (DiT) framework of Mo et al. (2023a) by integrating the joint-conditioning transformer design of Stable Diffusion (Esser et al., 2024). As shown in fig. 2, each sub-model (M) has its own multi-head output layer. The current model uses 2 sub-models (Atoms and Backbone) for replacing the Atoms and Backbone U-nets of DeepTracer.

To keep our implementation cleanly compatible with the existing DeepTracer pipeline, we process cubic input volumes of size  $64^3$  voxels. In early tests, a finer patch embedding size (p=2) yielded sharper features but increased the token count eightfold—exceeding the 48 GB memory of our NVIDIA RTX A6000 GPUs. Consequently, we settled on:

• Transformer layers (d): 24

• Patch embedding: 4

• hidden dimensional: 768

Attention heads: 16

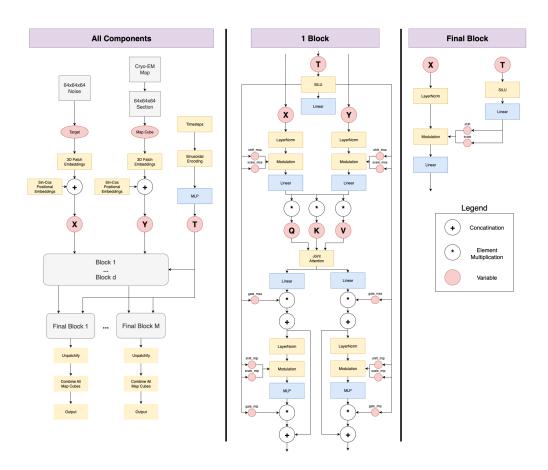


Figure 2: Our model architecture. Showing the full overview, an individual transformer block, and an individual final layer.

# 9 NeurIPS Paper Checklist

#### 1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [Yes]

Justification: The abstract and introduction clearly state that DeepTracer Diffusion replaces U-Net-based segmentation with a diffusion-based generative model, improving performance across cryo-EM resolutions. These claims are supported by benchmark results and detailed methodology in the Evaluation and Dataset Preparation sections.

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  contributions made in the paper and important assumptions and limitations. A No or
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Question: Does the paper discuss the limitations of the work performed by the authors?

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Justification: We discuss the 48 GB GPU memory constraints that led us to select a larger patch embedding size and highlight how this trade-off influences model capacity. We also acknowledge that our evaluation is limited to 35 cryo-EM maps and describe how residue recovery varies across resolution ranges.

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  they appear in the supplemental material, the authors are encouraged to provide a short
  proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
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#### 4. Experimental result reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: The paper clearly describes the OneHotDiff algorithm, diffusion model training procedure, and evaluation procedure, including diffusion mechanics, segmentation strategy, and dataset pre-processing. These details provide a reproducible framework for validating the main experimental results without requiring access to code or data

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  - (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
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#### 5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

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Justification: The source code and trained model weights have not been released. Access to raw data and pre-processing is described.

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Question: Does the paper specify all the training and test details (e.g., data splits, hyper-parameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: We specify the resolution-based data split (high, medium, low), voxel resampling procedure, normalization, one-hot mask encoding, and model architecture. These descriptions provide the context for the F1-score differences and residue recovery improvements.

#### Guidelines:

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Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [Yes]

Justification: Mean F1-scores, standard error of the mean, and a paired t-test are all used in the evaluation section to show statistical significance.

#### Guidelines:

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543	Answer:	[NA]

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