

# 000 001 SYMSPECTRA: SYMMETRIC INFORMATION BOTTLE- 002 NECK FRAMEWORK FOR MOLECULAR STRUCTURE 003 RECOGNITION UNDER IMBALANCED SETTINGS 004 005

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## 011 ABSTRACT 012

013 Identifying molecular structures from spectral data is essential for early-stage  
014 chemical analysis, yet it remains a difficult task due to the imbalance in functional  
015 group distributions. Current methods often overfit to prevalent groups while ne-  
016 glecting underrepresented ones, failing to capture key dependencies between func-  
017 tional groups. This highlights the need for a unified approach that addresses both  
018 data imbalance and structural constraints. In this work, we present **SymSpectra**,  
019 a **Symmetric Conditional Information Bottleneck (SCIB)** framework designed to  
020 seamlessly integrate multi-modal **Spectra** features. Our model employs the SCIB  
021 framework to fuse multi-modal spectroscopic data into a unified representation,  
022 effectively preserving discriminative signals while mitigating redundancy. To en-  
023 hance robustness against data imbalance, we incorporate conditional mutual in-  
024 formation into the training objective, increasing the model’s sensitivity to rare  
025 functional groups and challenging molecular cases. Additionally, a specialized  
026 module captures the dependencies among functional groups, improving both pre-  
027 diction accuracy and chemically meaningful interpretability. Experiments on mul-  
028 timodal spectral datasets demonstrate that SymSpectra significantly outperforms  
029 state-of-the-art methods, achieving an F1-score of 0.970 in substructure classifi-  
030 cation. More importantly, SymSpectra consistently outperforms baselines under  
031 various imbalanced scenarios, exhibiting superior robustness and generalizability,  
032 which may help advance the automation of chemical discovery. Our code can be  
033 found at <https://anonymous.4open.science>.  
034

## 035 1 INTRODUCTION 036

037 The rapid advancement of artificial intelligence has revolutionized the interpretation of com-  
038 plex chemical data, facilitating tasks such as molecular property prediction and reaction plan-  
039 ning Venkatasubramanian & Mann (2022); De Almeida et al. (2019); Brown et al. (2020). Central to  
040 these applications is the ability to extract structural insights from the low-dimensional spectral infor-  
041 mation. However, different spectroscopic techniques provide complementary insights. For example,  
042 infrared (IR) spectroscopy characterizes molecular vibrational modes Baiz et al. (2020), <sup>1</sup>H-NMR  
043 elucidates the local environments of hydrogen atoms Yesinowski & Eckert (1987), and <sup>13</sup>C-NMR  
044 captures the architecture of carbon frameworks Buddrus & Bauer (1987). Effectively integrating  
045 these diverse modalities allows AI models to leverage their synergistic information for accurate and  
046 efficient molecular identification Dale & Halgren (2001); Albers et al. (2022).

047 However, these data-driven approaches inevitably suffer from the challenge of imbalanced data  
048 distributions Pourkamali-Anaraki & Hariri-Ardebili (2021); Zhou et al. (2021). As illustrated in  
049 Figure 1 (a), functional groups in molecular datasets exhibit a highly skewed distribution: a few  
050 common groups dominate the majority of samples, while many groups—such as Azo compounds,  
051 Enol, and Phosphine—occur infrequently. This imbalance adversely affects model performance on  
052 rare functional groups, which may hold significant chemical importance. Figure 1 (b) demonstrates  
053 that classification accuracy for high-frequency groups far surpasses that of low-frequency ones, with  
an F1-score gap of 38%. Such disparity undermines the reliability of model predictions, especially  
in tasks like molecular discovery and design.

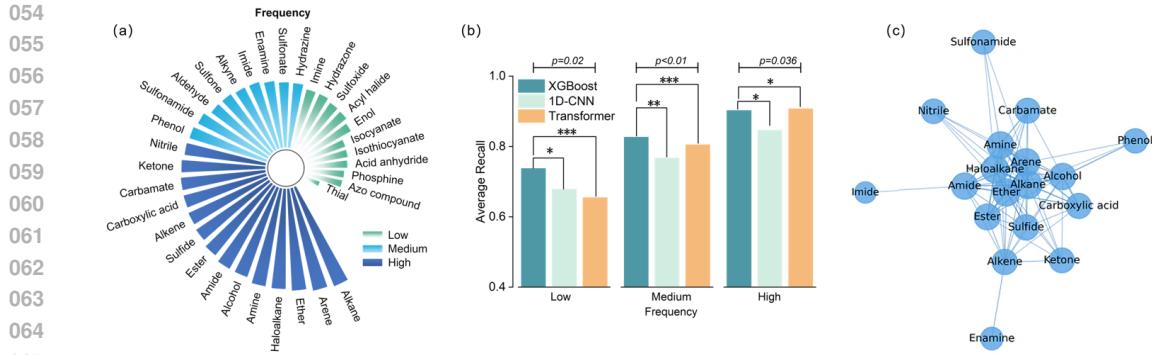


Figure 1: (a) The distribution of functional groups derived from the Alberts et al. dataset, categorized into three tiers to illustrate the inherent class imbalance. (b) The performance of three baseline models across these tiers. (c) The dependencies among functional groups, derived from co-occurrence statistics within the dataset. Edges connect groups with co-occurrence frequencies above a threshold, and node proximity indicates the strength of their association.

Furthermore, data imbalance presents a significant challenge in practice: conventional models, biased by the overrepresentation of certain high-frequency functional groups, tend to severely overfit to these dominant classes during training Xu et al. (2024). Consequently, they often fail to capture meaningful associations between spectral patterns and less-represented functional groups, leading to worse generalization and the emergence of spurious correlations driven by frequency rather than genuine chemical relevance Haghighatlari et al. (2020); Gallegos et al. (2021). Such limitations hinder model robustness, especially in recognizing rare functional groups that are nonetheless critical for downstream molecular analysis tasks.

Moreover, a molecule should be understood as an integrated whole, composed of multiple intricately interacting substructures Winterbach et al. (2013); Mitra et al. (2013). From a pharmacological standpoint, a molecule can be seen as an organized assembly of co-occurring functional fragments Magura (2008), where functional groups exhibit both statistical and chemical dependencies—i.e., characteristic patterns of co-occurrence Ertl & Schuhmann (2019); Ertl (2017). For instance, hydroxyl and carboxyl groups frequently appear together, as a carboxyl group inherently includes a hydroxyl moiety Cramer et al. (2019); Dimakos & Taylor (2018). Despite this, most existing approaches treat functional group prediction as a multi-label classification problem with independent outputs, thereby completely ignoring these intrinsic chemical relationships. This oversight may result in chemically implausible combinations of functional groups, undermining the validity and reliability of the predicted molecular structures.

In this work, we propose a **Symmetric Conditional Information Bottleneck** (SCIB) framework to seamlessly integrate multi-modal **Spectra** features, named **SymSpectra**, effectively addressing the persistent task of class-imbalanced functional group classification. Built upon information bottleneck principles, the SCIB framework dynamically suppresses redundant cross-modal features while preserving discriminative signals, thereby maximizing spectral complementarity and ensuring prediction stability across diverse spectroscopic conditions. Meanwhile, to address the inherent bias toward underrepresented functional groups caused by data imbalance, SymSpectra innovatively incorporates conditional mutual information (CMI) into the training objective, explicitly prioritizing rare classes. Unlike traditional class reweighting or resampling heuristics, the CMI-guided optimization dynamically quantifies and amplifies the informational significance of spectrally ambiguous and underrepresented functional groups. Furthermore, to holistically model the complex interdependencies among functional groups, we introduce a structured prediction module that processes targets in a predefined order, leveraging earlier predictions as contextual inputs to explicitly encode co-occurrence and exclusivity relationships. This integrated approach substantially improves classification accuracy while ensuring chemically interpretable predictions, particularly for rare or ambiguous functional groups. Evaluated on both simulated and experimental spectroscopic data, SymSpectra achieves a new state-of-the-art F1-score of 0.970, significantly outperforming baseline methods. Notably, the framework demonstrates consistent superiority under challenging imbalance

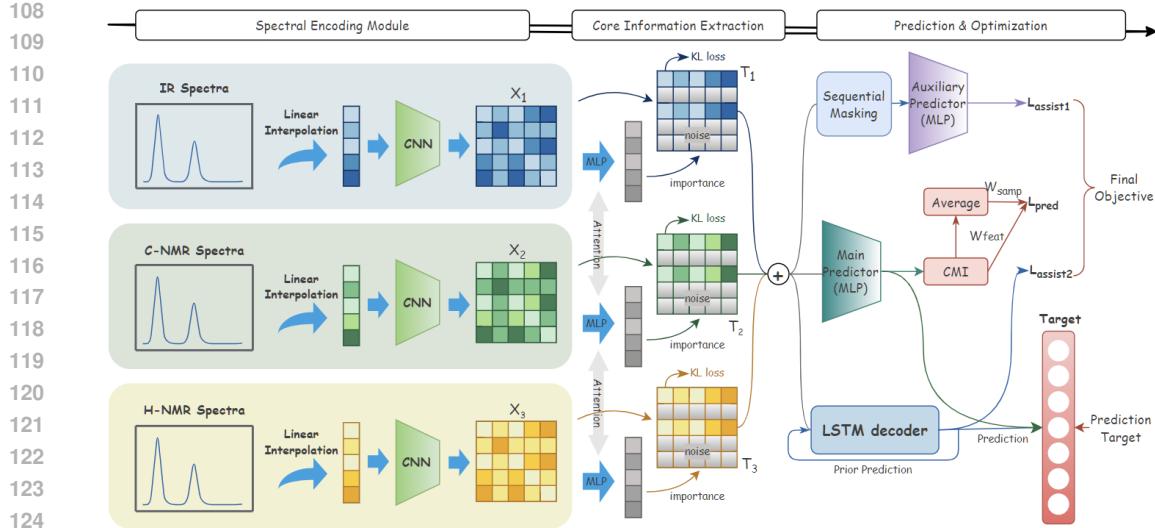


Figure 2: Illustration of the **SymSpectra** model. A SCIB framework integrates multimodal spectroscopic data. During training, three predictors are jointly optimized to compute CMI while capturing functional group dependencies. Only the main predictor and the decoder is used for inference.

scenarios such as label imbalance and structural heterogeneity, exhibiting unmatched robustness and generalizability compared to existing approaches.

## 2 METHODOLOGY

### 2.1 MULTI-MODAL CONDITIONAL INFORMATION BOTTLENECK

Our method focus on extracting task-relevant, non-redundant features from each modality conditioned on the others. This motivates a Symmetric Conditional Information Bottleneck framework, jointly optimizing all modality-specific representations.

**Symmetric Conditional Informational Bottleneck (SCIB)** Given  $n$  modalities  $\{X^i\}_{i=1}^n$  and target variable  $Y$ , the optimal representations  $\{T^i\}_{i=1}^n$  are obtained by solving:

$$\arg \min_{\{T^i\}} \sum_{i=1}^n \left[ -I(T^i; Y | T^{\neg i}) + \beta I(X^i; T^i | X^{\neg i}) \right], \quad (1)$$

where  $X^{\neg i}$  and  $T^{\neg i}$  denote all other modalities and representations except the  $i$ -th one, respectively.

The SCIB framework ensures that each representations  $\{T^i\}$ :

- ❶ Preserves unique information about  $Y$  not contained in other representations  $T^{\neg i}$ .
- ❷ Eliminates redundant information already present in other modalities.

The parameter  $\beta \geq 0$  is a Lagrangian multiplier that governs the trade-off between predictive accuracy and representation compression.

### 2.2 MODEL ARCHITECTURE

#### 2.2.1 SPECTRAL ENCODING MODULE

To accommodate the heterogeneous nature of multi-modal spectroscopic data, modality-specific preprocessing strategies are applied, as detailed in Appendix F. Regardless of the modality, each processed spectrum is transformed into a fixed-length vector of 600 dimensions, ensuring dimensional consistency for multimodal integration.

162 The resulting spectral vector is then passed through two sequential 1D convolutional blocks, each  
 163 composed of a Conv1D layer, followed by BatchNorm1D, ReLU, and MaxPool1D. Formally,  
 164 for an input vector  $X^i \in \mathbb{R}^{600}$ , the transformation in each block can be expressed as:

$$165 \quad O^i = \text{MaxPool1D}(\text{ReLU}(\text{BatchNorm}(\text{Conv1D}(X^i))). \quad (2)$$

166 This design effectively captures local spectral patterns while robustly promoting consistent feature  
 167 representations both across different modalities and scales.

### 169 2.2.2 CORE INFORMATION EXTRACTION

171 To extract the core representation  $T^i$  of each spectrum  $X^i$ , a unified cross-modal attention mechanism  
 172 is applied to dynamically estimate the importance of spectral tokens within and across modalities,  
 173 preserving complementary information while suppressing redundancy. Let the modality-  
 174 specific representations be  $\{O^1, O^2 \dots O^n\} \in \mathbb{R}^{B \times L \times C}$ . Firstly, all modalities are concatenated  
 175 along the sequence dimension to obtain a joint representation:

$$176 \quad O_{\text{cat}} = \text{Linear}(\text{Concat}(O^1, O^2 \dots O^n)). \quad (3)$$

177 Subsequently, one modality (e.g.,  $O^1$ ) serves as the query in a multi-head attention mechanism  
 178 applied over the concatenated sequence, enabling the aggregation of cross-modal context:

$$179 \quad O_{\text{attn}}^1 = \text{MultiheadAttention}(Q = O^1, K = O_{\text{cat}}, V = O_{\text{cat}}). \quad (4)$$

180 The output  $O_{\text{attn}}^1 \in \mathbb{R}^{B \times L \times C}$  is processed by a feed-forward network in order to compute an impor-  
 181 tance score for each individual token in the sequence.

$$182 \quad p_1 = \sigma(\text{MLP}(O_{\text{attn}}^1)) \in [0, 1]^{B \times L}. \quad (5)$$

183 The same procedure is applied to  $\{O^2 \dots O^n\}$  to obtain  $\{p^2 \dots p^n\}$ , respectively.

184 The importance scores learned from cross-modal attention act as soft masks to reweight spectral  
 185 representations, filtering out task-irrelevant components under the information bottleneck frame-  
 186 work. To enforce task-relevant compression, we follow the variational information bottleneck prin-  
 187 ciple Yu et al. (2022) by perturbing the representations with stochastic noise. Specifically, each  
 188 token representation  $H_j^i$  is replaced with Gaussian noise  $\epsilon^i \sim \mathcal{N}(\mu_i, \sigma_i^2)$  according to a sampled  
 189 gate  $\lambda_j^i \sim \text{Bernoulli}(p_j^i)$ :

$$190 \quad T_j^i = \lambda_j^i H_j^i + (1 - \lambda_j^i) \epsilon^i. \quad (6)$$

191 Gumbel-Softmax relaxation is adopt to make the sampling differentiable Maddison et al. (2016):

$$193 \quad \lambda_j^i = \sigma \left( \frac{1}{t} \log \left( \frac{p_j^i}{1 - p_j^i} \right) + \log \left( \frac{u}{1 - u} \right) \right), \quad u \sim \text{Uniform}(0, 1), \quad (7)$$

195 where  $t$  is the temperature parameter set to 1.0. This enables end-to-end optimization of the infor-  
 196 mation bottleneck objective as follows:

$$198 \quad \min_{\theta} \beta \sum_{i=1}^n I(X^i; T^i | X^{\neg i}). \quad (8)$$

200 By minimizing the conditional mutual information, the model learns to suppress redundant signals  
 201 across modalities while retaining discriminative features for classification.

### 202 2.2.3 DYNAMIC WEIGHTING STRATEGY BASED ON CMI

204 To exploit spectral complementarity, we extend the information bottleneck with conditional mutual  
 205 information (CMI). As defined in Equation 1,  $I(Y; T^i | T^{\neg i})$  quantifies each modality's unique  
 206 predictive contribution. CMI is approximated via an auxiliary CNN predictor trained under modality  
 207 dropout: masking  $T^i$  and measuring the performance drop in predicting  $Y$  from  $T^{\neg i}$ . This drop  
 208 proxies  $I(Y; T^i | T^{\neg i})$ , guiding sample- and group-specific weighting in the loss (Section 2.3).  
 209 Formally, the CMI of  $T^i$  and  $Y$  given other modality representations  $T^{\neg i}$  is:

$$210 \quad I(Y; T^i | T^{\neg i}) = \mathbb{E}_{T^i, Y | T^{\neg i}} \left[ \log \frac{p(Y, T^i | T^{\neg i})}{p(Y | T^{\neg i}) p(T^i | T^{\neg i})} \right] \\ 211 = \mathbb{E}_{T^i, Y | T^{\neg i}} \left[ \log \frac{p(Y | T^i, T^{\neg i}) p(T^i | T^{\neg i})}{p(Y | T^{\neg i}) p(T^i | T^{\neg i})} \right] \\ 212 = \mathbb{E}_{T^i, Y | T^{\neg i}} \left[ \log \frac{p(Y | T^i, T^{\neg i})}{p(Y | T^{\neg i})} \right], \quad (9)$$

216 where  $p(Y | T^i, T^{-i})$  and  $p(Y | T^{-i})$  are estimated separately by the main and auxiliary predictors.  
 217

218 **Loss reweighting with modality-wise and sample-wise weights.** A dual-level dynamic weighting  
 219 mechanism is introduced to adaptively incorporate these CMI estimates into the training process.  
 220 Specifically, the total loss function is formally defined as:

$$221 \quad \mathcal{L}_{\text{total}} = \frac{1}{N} \sum_{i=1}^N \left[ W_i^{\text{samp}} \cdot \frac{1}{K} \sum_{j=1}^K \ell(y_{i,j}, \hat{y}_{i,j}) \cdot W_{i,j}^{\text{feat}} \right], \quad (10)$$

224 In this formulation,  $W_i^{\text{samp}}$  is the sample-level weight for instance  $i$ , and  $W_{i,j}^{\text{feat}}$  is the feature-level  
 225 weight reflecting the relevance of the most informative modality for label  $j$ .  $W_i^{\text{samp}}$  adjusts instance  
 226 importance, while  $W_{i,j}^{\text{feat}}$  refines label-specific predictions based on modality contributions.  
 227

228 **Sample-level weighting.** The sample weight  $W_i^{\text{samp}}$  emphasizes samples with higher prediction  
 229 uncertainty, which typically correspond to challenging functional groups. It is defined as:  
 230

$$231 \quad W_i^{\text{samp}} = \max \left\{ 0, 1 + s_1 \cdot \frac{\overline{\text{CMI}}_i - \mu_s}{\sigma_s} \right\}, \quad (11)$$

233 where  $\overline{\text{CMI}}_i$  is the average estimated CMI for all labels in sample  $i$ , and  $\mu_s$ ,  $\sigma_s$  denote the batch  
 234 mean and standard deviation of  $\overline{\text{CMI}}_i$ .

235 **Feature-level weighting.** The feature weight  $W_{i,j}^{\text{feat}}$  adjusts the importance of each label based on its  
 236 modality sensitivity. It is given by:  
 237

$$238 \quad W_{i,j}^{\text{feat}} = \max \left\{ 0, 1 + s_2 \cdot \frac{\text{CMI}_{i,j} - \mu_f}{\sigma_f} \right\}, \quad (12)$$

240 where  $\text{CMI}_{i,j}$  denotes the estimated conditional mutual information related to predicting label  $j$  for  
 241 sample  $i$ , and  $\mu_f$ ,  $\sigma_f$  are normalization statistics across all samples and labels.  
 242

#### 243 2.2.4 SEQUENTIAL MULTI-LABEL PREDICTION USING LSTM DECODER

244 Structured label dependencies are modeled using an LSTM decoder that sequentially predicts func-  
 245 tional groups from fused multi-modal features. Specifically, given the fused representation  $T_f$  ob-  
 246 tained from multi-modal spectral inputs, the decoder’s initializes its hidden state  $h_0$  using a MLP  
 247 and predicts functional groups sequentially according to a predefined order. At each time step  $t$ , the  
 248 previous prediction  $y_{t-1}$  is embedded as  $e_t$ , and the hidden state is updated via:  
 249

$$250 \quad h_t = \text{LSTM}(e_t, h_{t-1}). \quad (13)$$

251 Predictions are generated via a dropout-regularized linear layer.

252 To stabilize training and accelerates convergence, Scheduled Sampling Mihaylova & Martins (2019)  
 253 is used with teacher forcing. At each step, the model uses either the ground truth or its own prediction  
 254 as input, with the ground truth probability  $p$  initialized to 0.5 and decaying exponentially (factor 0.95  
 255 per epoch). This gradually shifts reliance to model predictions.  
 256

### 257 2.3 OPTIMIZATION

258 To jointly learn the model parameters and effectively identify modality-specific core information,  
 259 we minimize the objective function:  
 260

$$261 \quad \min -I(Y; T^i | T^{-i}) + \beta I(T^i; X^i | X^{-i}), \quad (14)$$

262 where each term corresponds to either prediction or compression. The following sections derive an  
 263 upper bound for each, which is minimized during training.  
 264

#### 265 2.3.1 MINIMIZING $-I(Y; T^i | T^{-i})$

266 The first term  $-I(Y; T^i | T^{-i})$  ensures  $T^i$  encodes complementary information about  $Y$  that is not  
 267 already captured by  $T^{-i}$ . By the chain rule of mutual information, the objective is decomposed into  
 268 two sub-components:  
 269

$$-I(Y; T^i | T^{-i}) = -I(Y; T^i, T^{-i}) + I(Y; T^{-i}), \quad (15)$$

270 The first term is bounded by the main classification loss. Let  $p_\theta(Y | T^i, T^{\neg i})$  be a variational predictor;  
 271 following the variational information bottleneck framework,  $-I(Y; T^i, T^{\neg i})$  is approximated  
 272 using the parametric form:

$$273 -I(Y; T^i, T^{\neg i}) \leq \mathbb{E}_{Y, T^i, T^{\neg i}} [-\log p_\theta(Y | T^i, T^{\neg i})] := \mathcal{L}_{\text{pred}}. \quad (16)$$

275 The second term  $I(Y; T^{\neg i})$  is omitted, as its minimization introduces optimization instability that  
 276 degrades model performance, as empirically validated in Obs 11. Further details are shown in  
 277 Appendix E.1.

### 278 2.3.2 MINIMIZING $I(T^i; X^i | X^{\neg i})$

280 The second term constrains the information transfer from  $X^i$  into  $T^i$ , suppressing modality-specific  
 281 noise and redundancy. To decompose this term, the chain rule of mutual information is applied:

$$282 I(T^i; X^i | X^{\neg i}) = I(T^i; X^i, X^{\neg i}) - I(T^i; X^{\neg i}). \quad (17)$$

283 As shown in Appendix E.2, both terms are tractably upper-bounded under Gaussian assumptions  
 284 using the variational information bottleneck framework. We also explore the impact of different  
 285 prior distributions in the Appendix G. Specifically:

$$287 I(T^i; X^i, X^{\neg i}) \leq \mathbb{E}_{X^i, X^{\neg i}} \left[ -\frac{1}{2} \log A + \frac{1}{2N_i} A + \frac{1}{2N_i} B^2 \right] + C, \quad (18)$$

$$288 -I(T^i; X^{\neg i}) \leq \mathbb{E}_{X^{\neg i}} \left[ -\frac{1}{2} \log A' + \frac{1}{2N_i} A' + \frac{1}{2N_i} (B')^2 \right] + C, \quad (19)$$

290 where  $A, B, A', B'$  are attention-weighted terms computed from spectral features,  $C$  is a constant.  
 291 Intuitively, minimizing these upper bounds drives the attention mechanism to function as an  
 292 information filter. The terms  $A'$  and  $B'$  explicitly penalize the predictability of  $T^i$  given the other  
 293 modalities  $X^{\neg i}$ . By optimizing this objective, the model learns to assign lower attention weights  
 294 to features in  $X^i$  that are redundant, thereby forcing the representation  $T^i$  to focus exclusively on  
 295 modality-specific, complementary information.

## 296 3 EXPERIMENT AND ANALYSES

299 We present experimental results to demonstrate the effectiveness of our model. In this section, we  
 300 conduct extensive experiments to address the following research questions:

- 301 • **RQ1:** Can SymSpectra accurately perform structure elucidation?
- 302 • **RQ2:** Can SymSpectra effectively mitigate class imbalance problem?
- 303 • **RQ3:** How do individual modules contribute to SymSpectra’s performance?

### 306 3.1 DATASETS AND SETUPS

307 **Datasets.** We evaluate our model on both simulated and real-world experimental spectroscopic  
 308 datasets. The simulated dataset from Alberts et al. Alberts et al. (2024) contains 794K molecules  
 309 with IR, 1H-NMR,  $^{13}\text{C}$ -NMR, and MS/MS spectra. For experimental validation, we curated  
 310 a dataset of approximately 12K molecules from the Spectral Database for Organic Compounds  
 311 (SDBS)<sup>1</sup> with corresponding MS,  $^{13}\text{C}$ -NMR, and 1H-NMR spectra, as large-scale multi-modal ex-  
 312 perimental datasets are not publicly available. Further details are available in Appendix H.

313 **Baselines.** To thoroughly benchmark our model, we compare it against a diverse set of key archi-  
 314 tectures, ranging from a specialized 1D-CNN for spectral analysis Jung et al. (2023) and a standard  
 315 OpenNMT-based Transformer Klein et al. (2018) to the more recent state-of-the-art models pro-  
 316 posed by Alberts et al. (2025) and Wu et al. (2025).

317 **Metrics.** We evaluate model performance using three metrics: sample-level accuracy (ACC), the  
 318 percentage of samples where all functional groups are correctly predicted; macro-F1, the unweighted  
 319 average F1-score across all classes, which highlights performance on rare groups; and micro-F1,  
 320 which calculates the F1-score globally to reflect overall performance. Each experiment is repeated  
 321 eight times with the same 8:1:1 train/validation/test split. Detailed hyperparameter settings are pro-  
 322 vided in Appendix B, and a report on time and space consumption is available in Appendix R.

323 <sup>1</sup><https://sdbs.db.aist.go.jp>

324  
 325 Table 1: F1-scores for predicting functional groups. The best results, determined by t-tests at a 95%  
 326 confidence level, are highlighted in **bold**. The second-best results are underlined.

Spectrum Config.	1D-CNN	Transformer	Wu et al.	Alberts et al.	SymSpectra
<b>Alberts et al. (Simulated Spectra)</b>					
IR	<u>0.895</u> <sub>(0.002)</sub>	0.881 <sub>(0.021)</sub>	0.886 <sub>(0.013)</sub>	0.891 <sub>(0.007)</sub>	<b>0.924</b> <sub>(0.013)</sub>
<sup>13</sup> C-NMR	<u>0.674</u> <sub>(0.056)</sub>	0.913 <sub>(0.017)</sub>	0.914 <sub>(0.004)</sub>	<u>0.919</u> <sub>(0.012)</sub>	<b>0.921</b> <sub>(0.023)</sub>
<sup>1</sup> H-NMR	0.839 <sub>(0.005)</sub>	0.935 <sub>(0.031)</sub>	0.943 <sub>(0.036)</sub>	<b>0.946</b> <sub>(0.027)</sub>	0.925 <sub>(0.007)</sub>
IR, <sup>13</sup> C-NMR, <sup>1</sup> H-NMR	0.900 <sub>(0.004)</sub>	0.936 <sub>(0.013)</sub>	<u>0.944</u> <sub>(0.012)</sub>	0.947 <sub>(0.014)</sub>	<b>0.970</b> <sub>(0.018)</sub>
IR, MS/MS <sub>pos</sub> , MS/MS <sub>neg</sub>	0.887 <sub>(0.008)</sub>	0.911 <sub>(0.003)</sub>	0.924 <sub>(0.012)</sub>	<u>0.931</u> <sub>(0.031)</sub>	<b>0.949</b> <sub>(0.008)</sub>
<b>SDBS Database (Experimental Spectra)</b>					
MS	0.801 <sub>(0.018)</sub>	0.826 <sub>(0.021)</sub>	<u>0.837</u> <sub>(0.015)</sub>	0.836 <sub>(0.010)</sub>	<b>0.855</b> <sub>(0.007)</sub>
<sup>13</sup> C-NMR	0.729 <sub>(0.033)</sub>	0.821 <sub>(0.020)</sub>	<u>0.833</u> <sub>(0.014)</sub>	<u>0.836</u> <sub>(0.011)</sub>	<b>0.849</b> <sub>(0.007)</sub>
<sup>1</sup> H-NMR	0.701 <sub>(0.027)</sub>	0.779 <sub>(0.025)</sub>	<u>0.801</u> <sub>(0.019)</sub>	<b>0.803</b> <sub>(0.018)</sub>	0.796 <sub>(0.008)</sub>
MS, <sup>13</sup> C-NMR, <sup>1</sup> H-NMR	0.847 <sub>(0.022)</sub>	0.858 <sub>(0.019)</sub>	<u>0.872</u> <sub>(0.020)</sub>	0.881 <sub>(0.017)</sub>	<b>0.919</b> <sub>(0.008)</sub>

### 343 3.2 MODEL PERFORMANCE (RQ1)

344  
 345 **Obs 1: SymSpectra achieves superior predictive performance over baseline models.** Table 1  
 346 presents the predictive performance for molecular structure inference, showing SymSpectra consis-  
 347 tently outperforms all baselines across both unimodal and multimodal settings. Furthermore, Fig-  
 348 ure 3(a) shows SymSpectra significantly improves sample-level accuracy—a key real-world metric.  
 349 Figure 3(b) shows SymSpectra achieves higher accuracy as the number of functional groups in a  
 350 molecule increases. This suggests SymSpectra is more robust with challenging samples, likely by  
 351 extracting and leveraging core substructures to generalize. For practical efficiency, our analysis,  
 352 detailed in Appendix R, explores the trade-off between modalities and computational cost, leading us  
 353 to select the three most informative spectra as model input.

354 **Obs 2: SymSpectra outperforms standard class imbalance handling techniques without re-**  
 355 **quiring external preprocessing.** To rigorously benchmark SymSpectra against established strate-  
 356 gies for mitigating class imbalance, we conducted comparative experiments using the simulated  
 357 dataset. We augmented strong baselines (1D-CNN and Alberts et al.) with three standard tech-  
 358 niques: Oversampling (MLSMOTE), Inverse Class Frequency Reweighting, and Focal Loss. As  
 359 summarized in Table 2, while these heuristic techniques improved baseline performance (e.g., Al-  
 360 bert et al. improved from 0.947 to 0.956 with Focal Loss), SymSpectra still achieved a superior  
 361 F1-score of 0.970. Statistical analysis (t-test) confirms this advantage is significant across all com-  
 362 parisons ( $p < 0.01$ ), demonstrating that our CMI-based dynamic weighting offers a more effective,  
 363 data-driven solution to long-tail distributions than static reweighting or resampling heuristics.

364 **Obs 3: SymSpectra demonstrates robust performance on experimental spectra.** To evaluate  
 365 real-world performance, we used a dataset of approximately 12,000 molecules with experimen-  
 366 tal spectra from the SDBS database. As shown in Table 1, while the performance of all models  
 367 declined on this data, likely due to the limited dataset size and inherent noise and shifts in exper-  
 368 imental spectra, SymSpectra exhibited a significantly smaller loss. This resilience is particularly  
 369 evident in the multimodal setting, where SymSpectra achieves a substantial performance gain with  
 370 an F1-score of 0.919. This is attributable to its ability to effectively synthesize complementary  
 371 information from diverse spectral sources. Furthermore, in Appendix I we analyzed various data  
 372 augmentation techniques and achieved additional performance improvements. **Crucially, the ability**  
 373 **to recover performance through realistic perturbations like horizontal shifts and noise suggests that**  
 374 **the observed Sim-to-Real gap is primarily a result of domain shifts that can be mitigated, rather than**  
 375 **a fundamental defect in the model architecture.** These results, along with simulations of spectral  
 376 noise and missing modalities detailed in Appendix J, further confirm the model’s robustness.

376 **Obs 4: SymSpectra retains more information for samples with rich functional groups, bal-**  
 377 **ancing retention and compression.** To examine how SymSpectra adapts its information allocation  
 378 based on molecular complexity, we analyzed the relationship between functional group count and

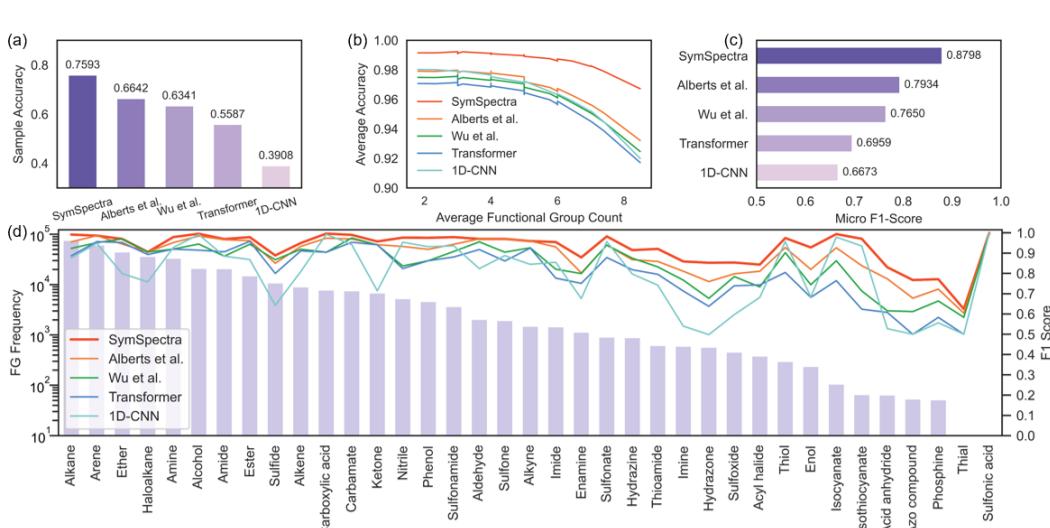


Figure 3: (a) Model-wise sample-level accuracy; (b) Accuracy across molecules with varying functional group counts; (c) Model Performance on Structurally Disjoint Test Set; (d) Correlation between functional group count and macro-F1 score.

modality-wise importance distributions<sup>2</sup>. The distribution of importance scores in Appendix M demonstrate that molecules with richer functional groups consistently receive higher importance scores, indicating SymSpectra preserves more information for complex inputs. This adaptive mechanism effectively tailors the information processing strategy to each sample’s inherent complexity.

### 3.3 CAPABILITY TO MITIGATE DATA IMBALANCE (RQ2)

**Obs 5: SymSpectra effectively mitigates class imbalance by achieving superior performance on low-frequency functional groups.** To evaluate SymSpectra’s robustness under class imbalance, we assessed its performance on functional groups with varying frequencies in the test set. As shown in Figure 3 (d), our model consistently outperforms the baseline across all categories. The advantage is particularly notable for low-frequency classes such as *Acid anhydride* and *Azo compound*, where the baseline yields near-zero recall. This indicates that our model better captures informative patterns from limited data, enhancing generalization.

**Obs 6: SymSpectra effectively mitigates sample imbalance while ensuring stable performance across varying training conditions.** To evaluate SymSpectra’s performance under extreme class imbalance, we selected four most representative rare functional groups as minority classes and progressively reduced their positive training samples until reaching 25% of the original count. All models were trained on these datasets and evaluated on a fixed test set. As shown in Figure 4, although all models demonstrate improved F1 scores for minority classes as the imbalance level decreases, the performance advantage of SymSpectra becomes increasingly pronounced as the imbalance severity reduces, highlighting its greater capacity to fully utilize minority samples.

**Obs 7: SymSpectra effectively mitigates structural imbalance, enabling robust generalization to unseen structures.** To rigorously evaluate model generalization, we employed the scaffold-based clustering strategy detailed in Appendix K. This method partitions the training and test sets into structurally distinct regions of chemical space and thus creates a significant structural imbalance. As shown in Figure 3(c), this intentionally challenging scenario led to a noticeable performance drop across virtually all baselines. Despite this difficulty, SymSpectra achieved a remarkable F1-score of

<sup>2</sup>To generate the visualization in Figure 8, 500 molecules were randomly selected and ranked by functional group count; the top 5 (hardest) and bottom 5 (easiest) samples

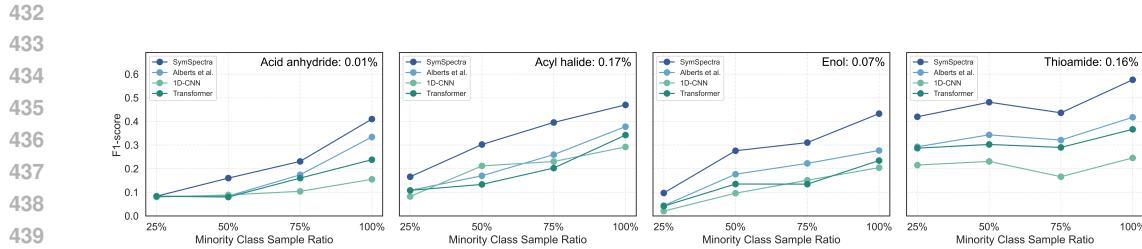


Figure 4: Prediction F1 scores for four functional groups under varied training-set configurations. Each model is independently trained per setting and evaluated on a shared test set. Functional groups and their positive ratios appear in each subfigure’s top-right corner.

Table 2: Comparison of SymSpectra against baselines enhanced with standard class imbalance mitigation strategies. P-values indicate the statistical significance of the performance gap compared to SymSpectra.

Model	Training Strategy	F1-Score	p-value (vs. SymSpectra)
<b>SymSpectra</b>	<b>Original</b>	<b>0.970</b>	-
Alberts et al.	+ Focal Loss	0.956	$8.13 \times 10^{-3}$
	+ Oversampling	0.954	$1.89 \times 10^{-3}$
	+ Class Reweighting	0.951	$3.17 \times 10^{-3}$
	Original	0.947	$9.29 \times 10^{-4}$
1D-CNN	+ Focal Loss	0.917	$6.82 \times 10^{-5}$
	+ Class Reweighting	0.915	$2.41 \times 10^{-4}$
	+ Oversampling	0.910	$3.09 \times 10^{-5}$
	Original	0.900	$8.15 \times 10^{-6}$

0.8798, significantly outperforming all other competing models tested. This result strongly indicates SymSpectra’s superior ability to handle structural imbalance, ensuring stable and high performance even when predicting diverse and structurally novel molecules.

### 3.4 ABLATION STUDY AND SENSITIVITY ANALYSIS (RQ3)

**Obs 8: The prediction order of functional groups significantly influences model performance.** To investigate the impact of prediction order on model performance, we evaluate several strategies against a non-sequential CNN, including predefined orders based on IUPAC nomenclature priorities Jenkins et al. (1991) and data-driven orders learned via mutual information or GNN. As shown in Table 4, sequential prediction significantly improves performance on functional groups where the baseline struggles, highlighting the importance of modeling inter-label dependencies. The GNN-derived and IUPAC-based orders were most effective, likely by capturing higher-order chemical dependencies. The impact of order is significant; for instance, predicting *Imines* early yields poor results, while *Aldehydes* benefit from later placement that leverages contextual cues. Consequently, we adopt IUPAC order to ensure generalizability, as this predefined convention is immune to dataset bias. Full details on ordering strategies and decoder analysis are available in Appendix L and P.

**Obs 9: The dynamic weighting strategy is crucial for mitigating data imbalances.** To assess the contribution of each component, we performed an ablation study. As shown in Table 3, removing the dynamic weighting mechanism caused a performance drop across all tiers, with the largest decline in low-frequency groups. Visualization in Appendix N shows that samples with more functional groups or harder-to-predict categories receive higher

Table 3: Ablation study of model components, showing average F1-scores across functional group frequencies.

Component	High	Medium	Low
SymSpectra	0.967	0.942	0.866
- Dynamic Weight	0.957	0.922	0.844
- SCIB Compression	0.949	0.919	0.827
- LSTM Decoder	0.966	0.933	0.854

486  
 487 Table 4: Prediction results for five representative functional groups under different label ordering  
 488 strategies. Indices correspond to functional groups listed in the footnote.<sup>4</sup> Best results are in **bold**,  
 489 second-best are underlined.

Category	Order	Relative Sequence	8	9	13	16	22
Predefined	IUPAC	[13, 16, 8, 9, 22]	<b>0.942</b>	0.926	0.974	<u>0.904</u>	<b>0.885</b>
Data-driven	Mutual Info	[16, 13, 9, 8, 22]	<u>0.930</u>	0.826	0.877	0.896	<u>0.872</u>
	GNN	[22, 13, 16, 8, 9]	0.883	<b>0.929</b>	<u>0.985</u>	<b>0.909</b>	0.756
Non-sequential	CNN	no order	0.884	<u>0.927</u>	<b>0.992</b>	0.868	0.540

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 497  
 498  
 499 weights. By adaptively increasing the weights of underrepresented and difficult groups based on  
 500 CMI, the model prevents these classes from being overlooked. In addition, removing SCIB compres-  
 501 sion or the LSTM decoder degraded performance, especially on low-frequency groups, highlighting  
 502 the complementary role of all components.

503 **Obs 10:  $\beta$  measures information compression, while  $s_1$  and  $s_2$  control the focus on challenging**

504 samples and functional groups. We performed a sensitivity analysis of  $\beta$ ,  $s_1$ , and  $s_2$  to assess  
 505 their impact on model performance. According to Equation 1,  $\beta$  balances information compression  
 506 and prediction accuracy, while  $s_1$  and  $s_2$  (Equations 11 and 12) regulate the emphasis on complex  
 507 samples. As shown in Appendix Q, setting  $s_1 = s_2 = 0.3$  yields optimal performance, as larger  
 508 values overly emphasize difficult samples and smaller values fail to highlight critical ones. Similarly,  
 509  $\beta = 1e-6$  gives the best result, since higher values discard meaningful representations via excessive  
 510 compression, while lower values miss essential substructures.

511 **Obs 11: Minimizing  $I(Y; T^{-i})$  negatively impacts final prediction performance.**  
 512 The term  $I(Y; T^{-i})$  quantifies the information  
 513 about the target  $Y$  contained in the context  
 514 modalities  $T^{-i}$ . Minimizing this term essen-  
 515 tially forces the context representations to be  
 516 uninformative about the label, which creates a  
 517 fundamental conflict with the main predictor's  
 518 goal of maximizing the joint mutual information for accurate classification. To empirically verify  
 519 this, we conducted an experiment by incorporating the upper bound of this term into the training  
 520 objective, controlled by a weight hyperparameter  $\lambda$ . As shown in Table 5, increasing the weight of  
 521 this penalty term leads to a monotonic deterioration in model performance. This finding aligns with  
 522 the Variational Information Bottleneck (VIB) framework, which observes similar optimization in-  
 523 stability with conflicting objectives. Following their implementation strategy, we exclude this term  
 524 from our final objective to ensure optimal predictive performance.

## 4 CONCLUSION

525  
 526 In this work, we present **SymSpectra**, a novel framework that integrates multimodal spectral data  
 527 via a Symmetric Conditional Information Bottleneck framework. Specifically, to address label im-  
 528 balance, conditional mutual information is incorporated into the training objective, while a dedi-  
 529 cated module captures dependencies among functional groups. Experiments on benchmark spectral  
 530 datasets demonstrate state-of-the-art performance in molecular structure recognition, with signif-  
 531 icant improvements in identifying rare functional groups. Our approach exhibits strong robustness  
 532 across various imbalanced scenarios and generates predictions that align more closely with chemical  
 533 reasoning, thereby supporting downstream tasks such as drug discovery and material design.

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 539 <sup>4</sup>8: Amide, 9: Amine, 13: Carboxylic acid, 16: Ester, 22: Imine.

540 5 REPRODUCIBILITY  
541542 We provide the complete implementation in the repository along with guidance on how to re-  
543 produce our results. Our code is available at [https://anonymous.4open.science/r/  
544 SymSpectra-0017](https://anonymous.4open.science/r/SymSpectra-0017).  
545546 6 ETHICS STATEMENT  
547548 Our study does not involve human participants, personal data, or sensitive information. The datasets  
549 and resources used are either publicly available or released under appropriate licenses. We confirm  
550 that our research does not raise any ethical concerns related to privacy, safety, fairness, or potential  
551 misuse. The contributions of this work are intended solely for advancing scientific research and are  
552 not designed or evaluated for harmful applications.  
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702 A USE OF LARGE LANGUAGE MODELS (LLMs)  
703704 A large language model (LLM) was employed exclusively for writing assistance and text refinement,  
705 including grammar correction, stylistic adjustments, and improving conciseness. The LLM did not  
706 contribute to research design, data analysis, model development, or interpretation of results. All  
707 technical content, experiments, and conclusions were entirely conceived, conducted, and validated  
708 by the authors.710 B TRAINING SETTINGS  
711712 Our model was trained for 100 epochs on a single NVIDIA A100 GPU (80GB) with a batch size of  
713 128. We employed the Adam optimizer with a learning rate of 3e-4, managed by a cosine annealing  
714 scheduler. An LSTM-based decoder with Scheduled Sampling was utilized for the sequential pre-  
715 diction task. Based on hyperparameter tuning, the information bottleneck coefficient  $\beta$  was set to  
716 1e-6, and the sample emphasis regulators,  $s_1$  and  $s_2$ , were both set to 0.3. The total training time  
717 was approximately 7 hours.719 C RELATED WORK  
720721 C.1 SPECTROSCOPY-BASED MOLECULAR MODELING  
722723 Recent advances in machine learning have significantly advanced molecular modeling from spec-  
724 troscopic data. Devata et al. Devata et al. (2024) introduced DeepSPInN, a reinforcement learning  
725 approach that predicts molecular structures directly from infrared and  $^{13}\text{C}$ -NMR spectra, without  
726 relying on spectral databases. Kim et al. Kim et al. (2023) developed DeepSAT, a neural network-  
727 based system that extracts structural features from  $^1\text{H}$ - $^{13}\text{C}$  HSQC NMR spectra to assist molecular  
728 structure annotation. Baygi et al. Baygi & Barupal (2024) proposed IDSL\_MINT, a transformer-  
729 based framework that translates MS/MS spectra into molecular fingerprint descriptors to enhance  
730 annotation in untargeted metabolomics and exposomics. In parallel, Stravs et al. Stravs et al. (2022)  
731 explored the use of recurrent neural networks for de novo molecular structure generation from mass  
732 spectrometry data. **More recently, diffusion models and Large Language Models have also been**  
733 **adapted for spectral analysis.** DiffMS Bohde et al. (2025) utilizes diffusion models to generate  
734 molecular graphs conditioned on mass spectra, employing combinatorial optimization to embed  
735 spectral peaks. Similarly, DiffSpectra Wang et al. (2025) investigates the joint modeling of 2D and  
736 3D molecular structures, enabling the prediction of conformations from multi-modal spectra. In  
737 the domain of general-purpose models, a comprehensive multimodal benchmark Guo et al. (2024)  
738 has been established to evaluate the capabilities of LLMs in solving molecular puzzles, specifi-  
739 cally focusing on their potential in spectrum interpretation and molecule construction. While these  
740 methods demonstrate considerable progress, most focus exclusively on mass spectrometry and of-  
741 ten overlook the integration of diverse spectroscopic modalities. Furthermore, mass spectrometry  
742 remains expensive, noise-sensitive, and difficult to standardize in automated workflows. To address  
743 these challenges, Alberts et al. Alberts et al. (2024) introduced a multimodal spectroscopic dataset  
744 comprising 790,000 entries, enabling joint analysis across multiple spectroscopic techniques. Their  
745 reference models for structural inference and functional group classification establish a strong base-  
746 line for future research. Together, these contributions highlight both the potential and limitations of  
747 current approaches, motivating our development of an integrative, multi-spectroscopic framework  
748 for robust and generalizable molecular structure analysis.748 C.2 INFORMATION BOTTLENECK THEORY  
749750 The Information Bottleneck (IB) framework offers a systematic approach to distilling concise yet  
751 meaningful representations from intricate datasets, which is particularly valuable for tasks such as  
752 noise reduction and data compression. Building upon this, PGIB Yu et al. (2020) generalizes the  
753 IB principle by incorporating a mutual information estimation mechanism tailored for non-uniform  
754 graph structures, as well as introducing a connectivity-based loss to enhance the robustness of infor-  
755 mation extraction. VGIB Yu et al. (2022) advances this direction by injecting Gaussian perturbations  
into node embeddings, thereby moderating the transfer of information between the original and mod-

ified graphs and further enhancing stability. Additionally, Lee et al. (2023) expanded the graph information bottleneck to the field of molecular relational learning, proposing the Conditional Graph Information Bottleneck (CGIB) theory, which aims to retain as much relevant information as possible with paired graphs while obtaining compressed subgraphs. The CGIB theory addresses the issue of extracting independent subgraphs in GIB for MRL tasks, but considering all information from another graph during interaction can introduce excessive noise.

## D BROADER IMPACTS AND LIMITATIONS

## D.1 BROADER IMPACTS

This work enables robust prediction of functional group presence under imbalanced scenarios, aligning closely with chemical reasoning. By detecting rare functional groups, it supports early drug screening, as such substructures often signal unique bioactivity. Additionally, **SymSpectra** models functional group interactions to enhance interpretability and consistency, offering valuable insights for materials science and diagnostics.

## D.2 LIMITATION

Despite its strengths, SymSpectra still has limitations. First, its generalization is constrained by the diversity of the training data, leading to suboptimal performance on rare or novel functional groups. Second, resolving severe spectral overlap in complex molecules remains a significant hurdle, which can cause ambiguity in feature attribution. The framework also identifies the presence of functional groups but not their connectivity, making it difficult to distinguish between certain structural isomers. Addressing these challenges will require more diverse datasets and architectural enhancements for more fine-grained structural elucidation.

## E PROOF

### E.1 MINIMIZING $-I(Y; T^i \mid T^{\neg i})$

The objective of minimizing the conditional mutual information  $-I(Y; T^i \mid T^{\neg i})$  is to encourage the representation  $T^i$  to capture as much discriminative information as possible about the label  $Y$ , conditioned on the remaining representations  $T^{\neg i}$ . By applying the chain rule of mutual information, we decompose this term as:

$$-I(Y; T^i \mid T^{\neg i}) = -I(Y; T^i, T^{\neg i}) + I(Y; T^{\neg i}), \quad (20)$$

where  $I(Y; T^i, T^{\neg i})$  denotes the mutual information between  $Y$  and the joint representation  $(T^i, T^{\neg i})$ , while  $I(Y; T^{\neg i})$  captures the information about  $Y$  in the remaining representations.

### E.1.1 MINIMIZING $= J(Y; T^i, T^{\neg i})$

To minimize  $-I(Y; T^i, T^{\neg i})$ , we derive an upper bound using the negative log-likelihood, resulting in the supervised prediction loss:

$$\begin{aligned}
-I(Y; T^i, T^{\neg i}) &= \mathbb{E}_{(T^i, T^{\neg i}, Y)} \left[ \log \frac{p(Y)}{p(Y \mid T^i, T^{\neg i})} \right] \\
&\leq \mathbb{E}_{(T^i, T^{\neg i}, Y)} \left[ -\log p_{\theta}(Y \mid T^i, T^{\neg i}) \right] \\
&= -\mathbb{E}_{(T^i, T^{\neg i}, Y)} \left[ \log p_{\theta}(Y \mid T^i, T^{\neg i}) \right] \\
&\equiv \mathcal{L}_{\text{pred}},
\end{aligned} \tag{21}$$

where  $p_\theta(Y \mid T^i, T^{\neg i})$  is a probabilistic predictor parameterized by  $\theta$ . It infers the target label  $Y$  based on the full set of spectral representations  $(T^i, T^{\neg i})$ . Therefore, minimizing the primary prediction loss  $\mathcal{L}_{\text{pred}}(Y, T^i, T^{\neg i})$ , often implemented as a cross-entropy loss, serves as a tractable surrogate for minimizing  $-I(Y; T^i, T^{\neg i})$ .

810 E.1.2 MINIMIZING  $I(Y; T^{\neg i})$   
811

812 The second term in the decomposition,  $I(Y; T^{\neg i})$ , represents the mutual information that the set of  
813 all other modalities,  $T^{\neg i}$ , shares with the target  $Y$ . However, minimizing the mutual information  
814  $I(Y; T^{\neg i})$  requires forcing the representations  $T^{\neg i}$  to become uninformative about  $Y$ . This objective  
815 is fundamentally contradictory to the primary model’s goal of learning meaningful features by  
816 minimizing its own prediction loss. Such a setup introduces an unstable, adversarial training dy-  
817 namic that can impede convergence and degrade the quality of the learned representations. Given  
818 this theoretical conflict and the practical instability it creates, we omit this term from our final ob-  
819 jective.

820 E.2 MINIMIZING  $I(T^i; X^i | X^{\neg i})$   
821

822 The goal of minimizing  $I(T^i; X^i | X^{\neg i})$  is to compress the information from  $X^i$  as much as  
823 possible, conditioned on  $X^{\neg i}$ . Using the chain rule of mutual information, we express this as:

$$824 I(T^i; X^i | X^{\neg i}) = I(T^i; X^i, X^{\neg i}) - I(T^i; X^{\neg i}). \quad (22)$$

826 Minimizing  $I(T^i; X^i, X^{\neg i})$  encourages the encoder to extract only the most essential information  
827 from the combined inputs, while minimizing  $-I(T^i; X^{\neg i})$  ensures that  $T^i$  retains as much depen-  
828 dence on  $X^{\neg i}$  as needed to support meaningful disentanglement.

829 E.2.1 MINIMIZING  $I(T^i; X^i, X^{\neg i})$   
830

831 To upper-bound the mutual information  $I(T^i; X^i, X^{\neg i})$ , we adopt a variational approximation ap-  
832 proach inspired by the Variational Autoencoder (VAE) framework. Specifically, we introduce a  
833 variational distribution  $q(T^i)$  to approximate the marginal  $p(T^i)$ :

$$\begin{aligned} 834 I(T^i; X^i, X^{\neg i}) &= \mathbb{E}_{p(X^i, X^{\neg i}, T^i)} \left[ \log \frac{p(T^i | X^i, X^{\neg i})}{p(T^i)} \right] \\ 835 &= \mathbb{E}_{p(X^i, X^{\neg i}, T^i)} \left[ \log \frac{p(T^i | X^i, X^{\neg i})}{q(T^i)} \cdot \frac{q(T^i)}{p(T^i)} \right] \\ 836 &= \mathbb{E}_{p(X^i, X^{\neg i}, T^i)} \left[ \log \frac{p(T^i | X^i, X^{\neg i})}{q(T^i)} \right] - \mathbb{E}_{p(X^i, X^{\neg i}, T^i)} \left[ \log \frac{q(T^i)}{p(T^i)} \right] \quad (23) \\ 837 &= \mathbb{E}_{p(X^i, X^{\neg i}, T^i)} \left[ \log \frac{p(T^i | X^i, X^{\neg i})}{q(T^i)} \right] - D_{\text{KL}}(p(T^i) \| q(T^i)) \\ 838 &\leq \mathbb{E}_{p(X^i, X^{\neg i}, T^i)} \left[ \log \frac{p(T^i | X^i, X^{\neg i})}{q(T^i)} \right]. \end{aligned}$$

839 The KL divergence is non-negative, providing a valid upper bound.  
840

841 Following the Variational Information Bottleneck (VIB) principle, we model  $q(T^i)$  as a Gaussian  
842 perturbed version of the encoder’s output, where the noise is sampled as  $\epsilon \sim \mathcal{N}(\mu_{T^i}, \sigma_{T^i}^2)$ . Let the  
843 representation  $T^i$  be aggregated (e.g., via summation) over local spectral features. By the additive  
844 property of Gaussian distributions, the aggregated representation is also Gaussian:  
845

$$846 q(T^i) = \mathcal{N}(N_i \mu_{T^i}, N_i \sigma_{T^i}^2). \quad (24)$$

847 For the conditional distribution  $p(T^i | X^i, X^{\neg i})$ , we assume a Gaussian with mean shifted by  
848 attention-weighted local feature deviations:  
849

$$850 p(T^i | X^i, X^{\neg i}) = \mathcal{N} \left( N_i \mu_{T^i} + \sum_{j=1}^{N_i} \lambda_j (h_j - \mu_{T^i}), \sum_{j=1}^{N_i} (1 - \lambda_j)^2 \sigma_{T^i}^2 \right). \quad (25)$$

851 Substituting into the mutual information bound yields:  
852

$$853 I(T^i; X^i, X^{\neg i}) \leq \mathbb{E}_{X^i, X^{\neg i}} \left[ -\frac{1}{2} \log A + \frac{1}{2N_i} A + \frac{1}{2N_i} B^2 \right] + C, \quad (26)$$

854 where  $A = \sum_{j=1}^{N_i} (1 - \lambda_j)^2$ ,  $B = \sum_{j=1}^{N_i} \lambda_j \frac{(h_j - \mu_{T^i})}{\sigma_{T^i}}$ , and  $C$  is a constant.  
855

864 E.2.2 MINIMIZING  $-I(T^i; X^{\neg i})$   
865866 To upper-bound  $-I(T^i; X^{\neg i})$ , we again use a variational approximation:  
867

868 
$$\begin{aligned} -I(T^i; X^{\neg i}) &= -\mathbb{E}_{p(T^i, X^{\neg i})} \left[ \log \frac{p(T^i | X^{\neg i})}{p(T^i)} \right] \\ &= -\mathbb{E}_{p(T^i, X^{\neg i})} \left[ \log \frac{p(T^i | X^{\neg i})}{q(T^i)} \right] + D_{\text{KL}}(q(T^i) \| p(T^i)) \\ &\leq -\mathbb{E}_{p(T^i, X^{\neg i})} \left[ \log \frac{p(T^i | X^{\neg i})}{q(T^i)} \right], \end{aligned} \quad (27)$$
  
874

875 where the KL term is non-negative and thus may be omitted during optimization.  
876877 We retain the Gaussian assumptions:  
878

878 
$$q(T^i) = \mathcal{N}(N_i \mu_{T^i}, N_i \sigma_{T^i}^2), \quad (28)$$
  
879

880 
$$p(T^i | X^{\neg i}) = \mathcal{N} \left( N_i \mu_{T^i} + \sum_{j=1}^{N_i} \lambda_j^{(\neg i)} (h_j^{(\neg i)} - \mu_{T^i}), \sum_{j=1}^{N_i} (1 - \lambda_j^{(\neg i)})^2 \sigma_{T^i}^2 \right), \quad (29)$$
  
882

883 where  $\lambda_j^{(\neg i)}$  and  $h_j^{(\neg i)}$  are attention weights and local features derived from  $X^{\neg i}$  only.  
884885 Thus, we have:  
886

887 
$$-I(T^i; X^{\neg i}) \leq \mathbb{E}_{X^{\neg i}} \left[ -\frac{1}{2} \log A' + \frac{1}{2N_i} A' + \frac{1}{2N_i} (B')^2 \right] + C, \quad (30)$$
  
888

889 where  
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$$A' = \sum_{j=1}^{N_i} (1 - \lambda_j^{(\neg i)})^2, \quad B' = \sum_{j=1}^{N_i} \lambda_j^{(\neg i)} \frac{(h_j^{(\neg i)} - \mu_{T^i})}{\sigma_{T^i}}, \quad (31)$$
  
892

893 and  $C$  is a constant that does not affect optimization.  
894895 This regularization term encourages  $T^i$  to remain conditionally independent of the unrelated modality  $X^{\neg i}$ , thereby promoting disentangled and modality-specific representation learning.  
896897 F PREPROCESSING OF MULTIMODAL SPECTRAL DATA  
898900 To better exploit the unique characteristics of each spectral modality, we explored and empirically  
901 selected distinct preprocessing strategies tailored to the data characteristics of each type. The pre-  
902 processing procedures for each modality are described as follows.  
903904 F.1 PREPROCESSING OF  $^1\text{H}$ -NMR AND  $^{13}\text{C}$ -NMR SPECTRA  
905906 All NMR spectra are first linearly interpolated to a fixed length of 600 to ensure consistent input  
907 dimensions. Given two adjacent sampling points  $(x_i, y_i)$  and  $(x_{i+1}, y_{i+1})$ , the interpolated value at  
908 position  $x \in [x_i, x_{i+1}]$  is computed as:  
909

910 
$$y(x) = y_i + \frac{(x - x_i)}{(x_{i+1} - x_i)} (y_{i+1} - y_i). \quad (32)$$
  
911

912 After interpolation, min-max normalization is applied to scale each spectrum into the range  $[0, 1]$ :  
913

914 
$$x_i^{\text{norm}} = \frac{x_i - \min(x)}{\max(x) - \min(x)}, \quad (33)$$
  
915

916 where  $x_i$  denotes the  $i$ -th interpolated intensity value, and  $\min(x)$ ,  $\max(x)$  are the minimum and  
917 maximum values of the spectrum, respectively.  
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## F.2 PREPROCESSING OF IR SPECTRA

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Infrared (IR) spectra undergo the same interpolation process to 600 points as the NMR data (see Eq. 32) to maintain consistent input dimensionality. However, we omit normalization for IR spectra, as empirical results suggest that removing absolute intensity information can degrade performance. Unlike NMR, absolute peak heights in IR spectra often encode discriminative cues related to functional group presence or bond strength. Thus, the interpolated raw signals are used directly as model input without additional scaling.

926  
927F.3 PREPROCESSING OF  $MS/MS_{pos}$  AND  $MS/MS_{neg}$  SPECTRA928  
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Raw MS/MS spectra are represented as a set of discrete fragment peaks, each described by a tuple  $(m/z_i, I_i)$ , where  $m/z_i \in \mathbb{R}^+$  is the mass-to-charge ratio of the  $i$ -th fragment ion and  $I_i \in \mathbb{R}^+$  is its corresponding intensity. These spectra are inherently sparse and vary in length across samples. To enable uniform input for batch processing and model training, we convert each spectrum into a fixed-length continuous representation through a two-step process: Gaussian-based smoothing of fragment peaks followed by cubic spline interpolation over a predefined  $m/z$  grid.

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## F.3.1 STEP1: GAUSSIAN DIFFUSION ENCODING

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Firstly, each spectrum is encoded into a fixed-length vector of 6000 bins, corresponding to the  $m/z$  range  $[0, 600]$  with a resolution of 0.1. For each peak  $(m/z_i, I_i)$ , its corresponding position in the vector space is computed as

$$p_i = \lfloor 10 \cdot m/z_i \rfloor, \quad (34)$$

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where  $p_i \in \{0, 1, \dots, 5999\}$ . To account for small shifts in  $m/z$  values and experimental noise, a Gaussian diffusion kernel centered at  $p_i$  with standard deviation  $\sigma$  is applied as:

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$$G_i(x) = \frac{1}{Z_i} \cdot \exp \left( -\frac{(x - p_i)^2}{2\sigma^2} \right), \quad (35)$$

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where  $Z_i = \sum_x \exp \left( -\frac{(x - p_i)^2}{2\sigma^2} \right)$  is a normalization factor ensuring  $\sum_x G_i(x) = 1$ . The spectral vector  $\mathbf{s} \in \mathbb{R}^{6000}$  is then constructed by accumulating the weighted contributions of all peaks:

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$$\mathbf{s}[x] = \sum_{i=1}^N I_i \cdot G_i(x), \quad x \in \{0, \dots, 5999\}. \quad (36)$$

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This step produces a smooth, dense representation that retains the shape and intensity of each peak while mitigating resolution sensitivity.

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## F.3.2 STEP2: SPLINE-BASED DOWNSAMPLING

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To reduce computational cost while preserving the overall shape of the spectrum, we compress the 6000-dimensional vector  $\mathbf{s} \in \mathbb{R}^{6000}$  into a 600-dimensional vector  $\tilde{\mathbf{s}} \in \mathbb{R}^{600}$  using cubic spline interpolation. Specifically, a smooth curve  $f(x)$  is fitted to  $\mathbf{s}$ , and  $\mathbf{s}$  is then sampled at 600 evenly spaced positions over the same range:

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$$\tilde{\mathbf{s}}[j] = \max(0, f(x_j)), \quad j = 1, \dots, 600. \quad (37)$$

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Here, negative values from interpolation are clipped to zero to maintain non-negativity. Finally,  $\ell_2$  normalization is applied:

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965

$$\tilde{\mathbf{s}} \leftarrow \frac{\tilde{\mathbf{s}}}{\|\tilde{\mathbf{s}}\|_2 + \varepsilon}, \quad (38)$$

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where  $\varepsilon$  is a small constant to avoid division by zero. This step produces a compact and scale-invariant spectral representation suitable for model input.

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This preprocessing pipeline addresses three key challenges of raw MS/MS spectra: sparsity, variable length, and sensitivity to  $m/z$  shifts. The Gaussian diffusion step produces a stable, smooth spectrum, while the spline-based downsampling reduces dimensionality with minimal information loss. The resulting fixed-length, normalized vector  $\tilde{\mathbf{s}} \in \mathbb{R}^{600}$  serves as a robust input to downstream models.

972 **G IMPACT OF LATENT PRIOR DISTRIBUTION**  
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976977 **G.1 COMPARATIVE ABLATION STUDY**

978 The selection of the prior distribution for the latent variables,  $q(t_m)$  and  $q(t_a)$ , is a key hyper-  
979 parameter in our proposed framework. To determine the optimal choice, we conduct an ablation study  
980 comparing three canonical distributions: the standard normal  $\mathcal{N}(0, I)$ , the Laplace(0, 1), and the  
981 Gamma( $k = 1, \theta = 1$ ). The Laplace prior is selected for its tendency to induce sparsity, while the  
982 Gamma prior enforces non-negativity in the latent space.

983 The results of this analysis are summarized in Table 6. The model configured with a Gaussian  
984 prior demonstrates superior performance, achieving the highest F1-score. This suggests that the  
985 unimodal and symmetric properties of the Gaussian distribution provide a well-suited inductive bias  
986 for compressing multi-modal spectral information into a flexible and effective latent representation.  
987 In contrast, the sparsity induced by the Laplace prior or the non-negativity constraint of the Gamma  
988 prior appear to be overly restrictive for this task. Consequently, we adopt the  $\mathcal{N}(0, I)$  prior for all  
989 other experiments presented in this work.

990  
991 Table 6: Impact of different latent prior distributions on functional group classification performance.  
992 All other hyperparameters are held constant during this ablation.

Prior Distribution	F1-Score
Gaussian $\mathcal{N}(0, I)$	<b>0.970</b>
Laplace(0, 1)	0.958
Gamma( $k = 1, \theta = 1$ )	0.951

993 **G.2 VALIDATION OF THE GAUSSIAN ASSUMPTION**  
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995

1001 Complementing the comparative experiments above, we further clarify that the choice of a Gaussian  
1002 distribution for our representations is theoretically grounded in the Central Limit Theorem. Specif-  
1003 ically, our representation is formed by aggregating a large number of local features, a process that  
1004 includes pooling and attention mechanisms (Equations 2, 3, and 4). According to the Central Limit  
1005 Theorem, when aggregating a large number of independent or weakly dependent random variables,  
1006 the resulting distribution naturally converges to a Gaussian distribution, regardless of the original  
1007 distribution of the individual features.

1008 To empirically validate this assumption, we conducted tests on the feature distributions of the three  
1009 spectral modalities (IR,  $^{13}\text{C}$ -NMR, and  $^1\text{H}$ -NMR). We tested the compressed feature distributions  
1010 for these spectra using the Shapiro-Wilk and Kolmogorov-Smirnov (K-S) tests for normality.

1011  
1012 Table 7: Normality test results (p-values) for compressed feature distributions across spectral modal-  
1013 ities. High p-values ( $> 0.05$ ) indicate that the hypothesis of normality cannot be rejected.

Test Method	IR	$^{13}\text{C}$ -NMR	$^1\text{H}$ -NMR
Shapiro-Wilk	0.1648	0.1199	0.1479
Kolmogorov-Smirnov	0.5610	0.4210	0.4715

1014 As shown in Table 7, the p-values from both tests are consistently greater than the significance level  
1015 of 0.05. These results suggest that the distributions of the compressed features for all three spectral  
1016 types align with the Gaussian distribution assumption, thereby justifying our choice of prior.

1017 **H FUNCTIONAL GROUPS DEFINITION**  
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1019 Functional groups serve as the foundational building blocks that impart specific chemical behaviors  
1020 and biological activities to organic molecules. In this work, we leverage a curated library of chemi-

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 cally significant substructures, computationally encoded using SMARTS patterns, to achieve precise and interpretable molecular characterization at the subgraph level. These structural descriptors capture key functional motifs and enable efficient substructure recognition through graph isomorphism-based methods, ensuring scalability to large datasets.

The functional groups considered in our analysis are listed in Table 8, covering a diverse array of chemically and pharmacologically relevant units—such as hydroxyl (-OH), carbonyl (C=O), and amino (-NH<sub>2</sub>) groups. Functional group identification is conducted using cheminformatics libraries such as RDKit, which support efficient substructure searching across molecular graphs. This strategy facilitates the extraction of chemically meaningful features and supports downstream tasks including molecular property prediction, reactivity analysis, and structure-based clustering.

Table 8: Predefined Functional Groups and Their SMARTS Patterns

No.	Functional Group	SMARTS Pattern
1	Acid anhydride	[CX3] (=[OX1]) [OX2] [CX3] (=[OX1])
2	Acyl halide	[CX3] (=[OX1]) [F,Cl,Br,I]
3	Alcohol	[#6] [OX2H]
4	Aldehyde	[CX3H1] (=O) [#6,H]
5	Alkane	[CX4;H3,H2]
6	Alkene	[CX3]=[CX3]
7	Alkyne	[CX2]#[CX2]
8	Amide	[NX3] [CX3] (=[OX1]) [#6]
9	Amine	[NX3;H2,H1,H0;!\$ (NC=O) ]
10	Arene	[cX3]1[cX3] [cX3] [cX3] [cX3]1
11	Azo compound	[#6] [NX2]=[NX2] [#6]
12	Carbamate	[NX3] [CX3] (=[OX1]) [OX2H0]
13	Carboxylic acid	[CX3] (=O) [OX2H]
14	Enamine	[NX3] [CX3]=[CX3]
15	Enol	[OX2H] [#6X3]=[#6]
16	Ester	[#6] [CX3] (=O) [OX2H0] [#6]
17	Ether	[OD2] ([#6]) [#6]
18	Haloalkane	[#6] [F,Cl,Br,I]
19	Hydrazine	[NX3] [NX3]
20	Hydrazone	[NX3] [NX2]=[#6]
21	Imide	[CX3] (=[OX1]) [NX3] [CX3] (=[OX1])
22	Imine	[\$ ([CX3] ([#6]) [#6]), \$ ([CX3H] [#6]) ]=[ \$ ([NX2] [#6]), \$ ([NX2H]) ]
23	Isocyanate	[NX2]=[C]=[O]
24	Iothiocyanate	[NX2]=[C]=[S]
25	Ketone	[#6] [CX3] (=O) [#6]
26	Nitrile	[NX1]#[CX2]
27	Phenol	[OX2H] [cX3] : [c]
28	Phosphine	[PX3]
29	Sulfide	[#16X2H0]
30	Sulfonamide	[#16X4] ([NX3]) (=[OX1]) (=[OX1]) [#6]
31	Sulfonate	[#16X4] (=[OX1]) (=[OX1]) ([#6]) [OX2H0]
32	Sulfone	[#16X4] (=[OX1]) (=[OX1]) ([#6]) [#6]
33	Sulfonic acid	[#16X4] (=[OX1]) (=[OX1]) ([#6]) [OX2H]
34	Sulfoxide	[#16X3]=[OX1]
35	Thial	[CX3H1] (=-S) [#6,H]
36	Thioamide	[NX3] [CX3]=[SX1]
37	Thiol	[#16X2H]

## I IMPACT OF DATA AUGMENTATION

Real-world experimental spectra inevitably exhibit variations due to instrumental noise and calibration drift. These issues are further aggravated by the limited availability of large-scale multi-modal spectral datasets. Since deep learning models require abundant data to generalize effectively, data augmentation serves as a key strategy. By artificially expanding the training set to simulate diverse experimental conditions, we can substantially improve the robustness, generalization ability, and predictive accuracy of the models. All experiments in this study were performed using molecular data sourced from the SDDBS database.

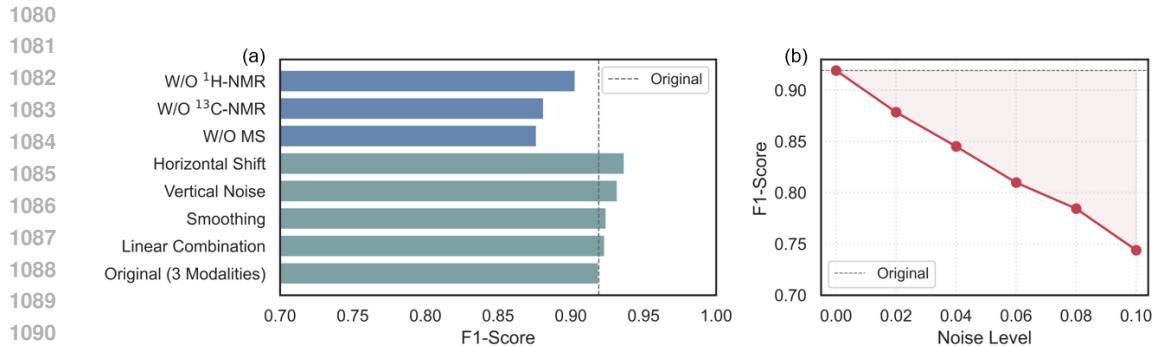


Figure 5: Evaluation of model robustness. (a) F1-scores under different data augmentation conditions and in modality-ablation studies. The dashed line indicates the performance of the model trained on original, complete data. (b) Impact of increasing levels of Gaussian noise on the final F1-score.

To this end, we explored several augmentation techniques. A random horizontal shift was applied to spectral signals to mimic peak displacement, while vertical perturbations were introduced by adding uniform random noise inversely scaled to the original signal intensity. In addition, Gaussian smoothing with a randomly selected bandwidth was employed to emulate instrument-dependent resolution effects. Beyond these perturbation-based methods, we also implemented a linear combination strategy, where two spectra from the same molecular class were blended with randomly assigned weights. This method effectively encourages the model to learn intermediate representations and smooth decision boundaries, while still preserving the chemical validity of the spectra.

Figure 5(a) presents the performance comparison. All augmentation strategies provided clear improvements over the baseline model trained without augmentation (F1-score = 0.9192). The most pronounced gain came from the **Horizontal Shift** augmentation, yielding an F1-score of 0.9369, highlighting the importance of accounting for peak misalignments. Vertical noise also contributed positively (0.9321), followed by Gaussian smoothing (0.9244). The linear combination approach achieved enhanced performance (0.9234), indicating that interpolating between spectra is a viable means of enriching the feature space. Overall, while every augmentation method enhanced predictive performance, the results suggest that carefully designed perturbations targeting realistic spectral variability are most effective in boosting model robustness.

## J MODEL ROBUSTNESS UNDER IMPERFECT DATA CONDITIONS

To assess the model’s practical utility, we conducted a series of experiments to probe its resilience against two common challenges in real-world spectral analysis: incomplete data and the presence of noise. The results, summarized in Figure 5, underscore the model’s stability and ability to deliver reliable predictions even when faced with suboptimal data.

Our investigation into data completeness, shown in Figure 5(a), involved systematically withholding each of the three spectral modalities during testing. With the complete three-modality data, the model established a strong baseline F1-score of 0.9192. When a single modality was withheld to simulate incomplete data, the performance, while reduced, did not collapse. Specifically, the F1-score dropped to 0.9031 without <sup>1</sup>H-NMR, 0.8814 without <sup>13</sup>C-NMR, and 0.8765 without MS. This demonstrates that the model can effectively leverage the remaining available data to maintain a high level of predictive accuracy, a crucial feature for applications where acquiring a full suite of spectra is not always feasible.

Furthermore, we evaluated the model’s tolerance to noise by injecting synthetic Gaussian noise of increasing intensity into the input spectra. This test mimics the random fluctuations inherent in experimental data. As illustrated in Figure 5(b), the model exhibited a smooth and predictable degradation in performance, with the F1-score declining steadily from 0.9192 on clean data to 0.7439 at the highest noise level of 0.1. Crucially, there was no sharp drop-off; this graceful degradation highlights the model’s capacity to distinguish meaningful spectral features from random interference,

1134 confirming its robustness for deployment in real-world analytical workflows where data quality may  
 1135 vary.  
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## 1138 K SCAFFOLD-BASED DATA SPLIT

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1140 To prevent scaffold-level data leakage and create a rigorous test of generalization, we adopted an ad-  
 1141 vanced clustering-based partitioning strategy. We first extracted Murcko scaffolds for all molecules  
 1142 and computed their Morgan fingerprints. Based on Tanimoto distances, these scaffolds were grouped  
 1143 into structurally homogeneous clusters via agglomerative clustering. To ensure a challenging split,  
 1144 we then employed a greedy algorithm that explicitly maximizes the structural dissimilarity between  
 1145 the training and test sets. This algorithm calculates the distances between all scaffold clusters and  
 1146 strategically allocates entire clusters to the test set to maximize its separation from the chemical  
 1147 space occupied by the training set.

1148 The outcome of this diversity-maximization strategy is visualized in the t-SNE plot presented in  
 1149 Figure 6. The plot reveals a significant distributional shift between the training set (blue) and the test  
 1150 set (orange). The clear separation of their respective data clouds—highlighted by the distinct density  
 1151 contours—illustrates the structural disparity enforced by our splitting strategy. This partitioning  
 1152 provides a stringent evaluation setting, as the test molecules are structurally disjoint from the training  
 1153 set, compelling the model to generalize far beyond memorized structural patterns.

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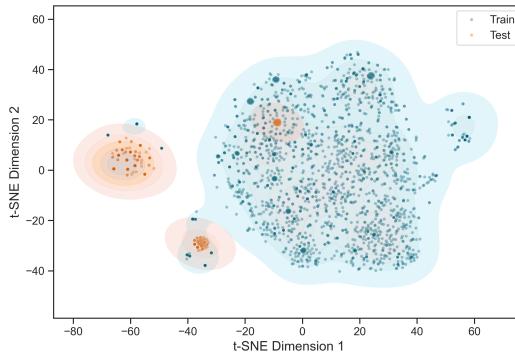
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Figure 6: t-SNE visualization of the scaffold-based data split using our diversity maximization strat-  
 1169 egy. The training set (blue) and test set (orange) occupy separate regions in chemical space, demon-  
 1170 strating the effectiveness of the split.

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## L DECISION OF PREDICTING ORDER

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1176 The order in which functional groups are predicted can significantly influence the overall perfor-  
 1177 mance of multi-label prediction tasks. In Section 3.2, we systematically investigate the impact of  
 1178 prediction order by considering three distinct strategies: alphabetical, mutual information-based,  
 1179 and graph neural network (GNN)-based orderings. In this section, we provide a comprehensive  
 1180 description of the mutual information-based and GNN-based methods, emphasizing the intuition,  
 1181 construction steps, and practical implementation details behind each approach.

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### L.1 MUTUAL INFORMATION-BASED ORDERING

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This method leverages information-theoretic principles to infer the optimal prediction sequence  
 among functional groups. The intuition is that functional groups with lower inherent uncertainty  
 (entropy) are generally easier to predict, while groups exhibiting high dependency with others can  
 be predicted more accurately when their correlated groups are known.

1188 L.1.1 INFORMATION-THEORETIC METRICS  
11891190 For each functional group  $f_i$ , we quantify its uncertainty using entropy, a standard measure in infor-  
1191 mation theory:

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$$H(f_i) = -p(f_i = 1) \log_2 p(f_i = 1) - p(f_i = 0) \log_2 p(f_i = 0), \quad (39)$$
  
1193

1194 where  $p(f_i = 1)$  and  $p(f_i = 0)$  denote the probabilities of presence or absence of  $f_i$  in the dataset,  
1195 respectively. Intuitively, a functional group with lower entropy is more predictable, as its distribution  
1196 is more concentrated.1197 To further understand dependencies between pairs of functional groups, we compute the conditional  
1198 entropy, which captures the remaining uncertainty of  $f_i$  given the state of  $f_j$ :

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$$H(f_i|f_j) = \sum_{v \in \{0,1\}} p(f_j = v) \cdot H(f_i|f_j = v), \quad (40)$$
  
1201  
1202

1203 where

1204 
$$H(f_i|f_j = v) = - \sum_{u \in \{0,1\}} p(f_i = u|f_j = v) \log_2 p(f_i = u|f_j = v). \quad (41)$$
  
1205  
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1207 This allows us to measure how knowledge of one group reduces the uncertainty of another.

1208 Based on these quantities, the mutual information between  $f_i$  and  $f_j$  is defined as:

1209 
$$I(f_i; f_j) = H(f_i) - H(f_i|f_j). \quad (42)$$
  
1210

1211 A higher mutual information value indicates a stronger dependency, meaning that knowing  $f_j$  sub-  
1212 stantially reduces uncertainty about  $f_i$ .  
12131214 L.1.2 DEPENDENCY GRAPH CONSTRUCTION  
12151216 After quantifying all pairwise dependencies via mutual information, we represent these relationships  
1217 as a directed dependency graph  $G = (V, E)$ :1218

- 1219 • Each node  $v_i \in V$  represents a specific functional group  $f_i$ .
- 1220 • A directed edge  $(v_i, v_j) \in E$  is established if  $f_i$  provides significant information for pre-  
1221 dicting  $f_j$ , as indicated by a mutual information value  $I(f_i; f_j)$  exceeding a threshold  $\tau$ .
- 1222 • Each edge is weighted by the mutual information value, reflecting the strength of the de-  
1223 pendency.

  
12241225 This graph encodes the core relationships among functional groups, ensuring that the most informa-  
1226 tive dependencies are retained.  
12271228 L.1.3 MINIMUM SPANNING TREE CONSTRUCTION  
12291230 To efficiently capture the most critical dependencies while avoiding redundancy, we transform the  
1231 dependency graph into a distance matrix  $D$ :

1232 
$$D_{i,j} = \frac{1}{I(f_i; f_j) + \epsilon}, \quad (43)$$
  
1233  
1234

1235 where  $\epsilon$  is a small constant to prevent division by zero. Using Kruskal's algorithm, we compute the  
1236 minimum spanning tree (MST) over this graph:  
1237

1238 
$$\text{MST} = \arg \min_{T \subseteq G} \sum_{(u,v) \in T} D_{u,v}. \quad (44)$$
  
1239  
1240

1241 The resulting MST preserves the strongest dependencies and provides a tree structure that connects  
all functional groups with minimal total distance (i.e., maximal cumulative dependency).

1242 L.2 TRAVERSAL STRATEGY  
12431244 To determine the prediction order, we initiate the traversal from the node corresponding to the most  
1245 predictable functional group—that is, the node  $v_s$  with the lowest entropy:

1246 
$$v_s = \arg \min_{v_i \in V} H(f_i). \quad (45)$$
  
1247

1248 We then traverse the MST using a breadth-first search (BFS) approach. At each step, the unvisited  
1249 neighbors of the current node are sorted by their entropy in ascending order and appended to the  
1250 queue. This process continues until all nodes have been visited. The resulting sequence prioritizes  
1251 functional groups that are more predictable and respects the dependency structure encoded in the  
1252 MST, thus facilitating more accurate sequential predictions.  
12531254 L.3 ORDERING VIA GRAPH NEURAL NETWORKS  
12551256 We introduce a GNN-based framework to learn an interpretable and data-driven prediction order by  
1257 modeling complex co-occurrence patterns and latent dependencies among functional groups.1258 **Co-occurrence Graph Construction.** Consider a binary label matrix  $\mathbf{Y} \in \mathbb{R}^{N \times K}$ , where  $N$  is  
1259 the number of molecules and  $K$  the number of functional groups. For each group  $i$ , we estimate its  
1260 marginal probability:  
1261

1262 
$$p_i = \frac{1}{N} \sum_{n=1}^N Y_{ni}. \quad (46)$$
  
1263

1264 To capture pairwise dependencies, we compute the phi coefficient for each pair  $(i, j)$ :  
1265

1266 
$$\phi_{ij} = \frac{\mathbb{E}[Y_{:i} \wedge Y_{:j}] - p_i p_j}{\sqrt{p_i(1-p_i)p_j(1-p_j)}}, \quad (47)$$
  
1267

1268 where  $\mathbb{E}[Y_{:i} \wedge Y_{:j}]$  is the empirical co-occurrence probability. An undirected, weighted graph  $\mathcal{G} =$   
1269  $(\mathcal{V}, \mathcal{E})$  is constructed, with nodes corresponding to functional groups and edges  $(i, j)$  included if  
1270  $\phi_{ij} > \tau$ . Edge weights are set to  $\phi_{ij}$ , representing the strength of co-occurrence.  
12711272 **Learning Functional Dependencies via GNN.** To model higher-order dependencies, we encode  
1273 each node using feature vectors  $\mathbf{X} \in \mathbb{R}^{K \times K}$  (e.g., one-hot encodings). The graph structure (adjacency  
1274 and edge weights) is fed into a GNN comprising GCN and GAT layers:  
1275

1276 
$$\mathbf{H}^{(1)} = \text{ReLU}(\text{GCNConv}(\mathbf{X}, \mathbf{A}, \mathbf{w})), \quad (48)$$
  
1277

1278 
$$\mathbf{H}^{(2)} = \text{ReLU}(\text{GATConv}(\mathbf{H}^{(1)}, \mathbf{A})), \quad (49)$$
  
1279

1280 
$$\mathbf{Z} = \text{GCNConv}(\mathbf{H}^{(2)}, \mathbf{A}), \quad (50)$$

1281 where  $\mathbf{A}$  is the adjacency matrix and  $\mathbf{w}$  are the edge weights. Each node embedding  $\mathbf{z}_i$  encodes the  
1282 structural and dependency information for group  $i$ . The importance of node  $i$  is then scored as:  
1283

1284 
$$s_i = \mathbf{w}_{\text{imp}}^\top \mathbf{z}_i + b_{\text{imp}}, \quad (51)$$
  
1285

1286 where  $\mathbf{w}_{\text{imp}}$  and  $b_{\text{imp}}$  are learnable parameters.  
12871288 **Dependency-Aware Order Induction.** A directed dependency graph  $\mathcal{G}_{\text{dep}}$  is constructed using the  
1289 learned embeddings and scores. For each pair  $(i, j)$ , a directed edge from  $i$  to  $j$  is added if  $s_i < s_j$   
1290 and either the cosine similarity between embeddings exceeds a threshold  $\delta$  or the phi coefficient is  
1291 above  $\tau$ :  
1292

1293 
$$s_i < s_j \quad \text{and} \quad (\cos(\mathbf{z}_i, \mathbf{z}_j) > \delta \quad \text{or} \quad \phi_{ij} > \tau). \quad (52)$$

1294 Edge weights are computed as a convex combination of semantic similarity and co-occurrence  
1295 strength:  
1296

1297 
$$w_{ij} = \alpha \cdot \cos(\mathbf{z}_i, \mathbf{z}_j) + (1 - \alpha) \cdot \phi_{ij}, \quad (53)$$
  
1298

1299 with  $\alpha \in [0, 1]$  (default  $\alpha = 0.7$ ). To determine a global ranking, we apply the PageRank algorithm ?  
1300 to obtain a score  $r_i$  for each node. The final ordering score is then:  
1301

1302 
$$o_i = \lambda s_i + (1 - \lambda) r_i, \quad (54)$$

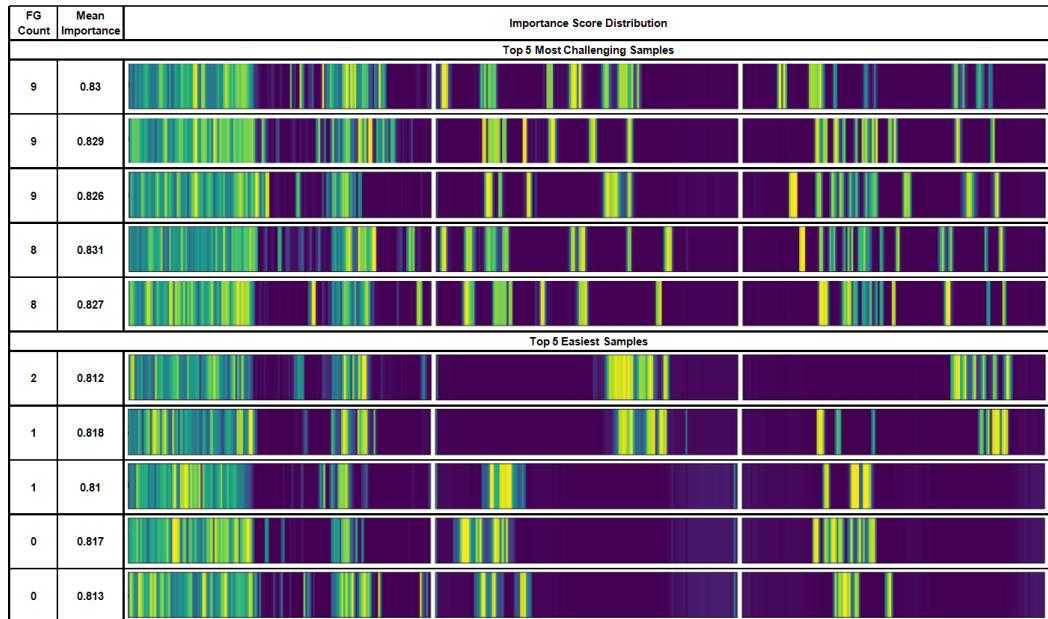
1296 where  $\lambda \in [0, 1]$  (typically  $\lambda = 0.6$ ). Functional groups are sorted in ascending order of  $o_i$ , yielding  
 1297 the final prediction sequence.  
 1298

1299 In summary, both the mutual information-based and GNN-based strategies provide principled frame-  
 1300 works for determining an effective prediction order by explicitly modeling dependencies and pre-  
 1301 dictability among functional groups, thereby facilitating improved performance in sequential pre-  
 1302 diction tasks.  
 1303

## 1304 M MODALITY IMPORTANCE ON DIFFERENT SAMPLES

1306 To analyze how the model assigns importance across spectra for samples of varying difficulty, we  
 1307 evaluated 500 test samples and recorded modality-level importance scores. Based on functional  
 1308 group counts, we identified the five easiest and hardest groups to predict. For these subsets, we vi-  
 1309 sualized importance score distributions across input spectra (Figure 7). In the visualization, warmer  
 1310 regions indicate higher importance, i.e., where the model retains more information.  
 1311

1312 The importance maps reveal that the model tends to retain less information for easier samples, effec-  
 1313 tively discarding redundancy to enhance generalization. In contrast, for harder samples, it preserves  
 1314 more information to enable fine-grained analysis. Furthermore, the importance patterns vary signif-  
 1315 icantly across samples, likely due to differences in spectral characteristics and the complementary  
 1316 nature of the modalities.  
 1317



1338 Figure 7: Importance maps for the top 5 most challenging and top 5 easiest samples. The first  
 1339 number denotes the number of functional groups in each molecule, and the second indicates the  
 1340 average importance score. From left to right: IR spectrum,  $^1\text{H-NMR}$ , and  $^{13}\text{C-NMR}$ . Warmer  
 1341 regions indicate higher importance, while cooler regions represent lower importance.  
 1342

## 1343 N VISUALIZATION OF THE DYNAMIC WEIGHTING STRATEGY

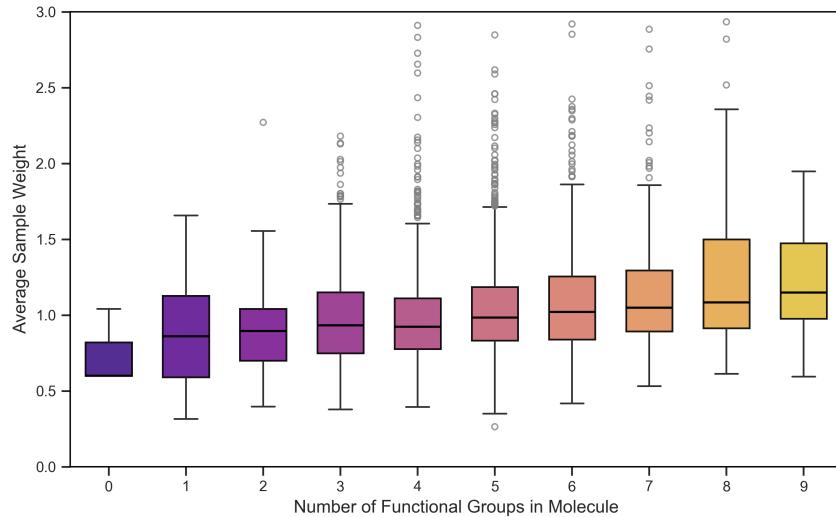
1344 To further illustrate and validate the efficacy of our dynamic weighting strategy in mitigating data  
 1345 imbalance, we provide two visualizations. This strategy, based on Conditional Mutual Information  
 1346 (CMI), is designed to adaptively assign higher weights to more challenging samples and functional  
 1347 groups, thereby optimizing the model’s learning process.  
 1348

1350  
 1351 Figure 8 demonstrates the relationship between the average sample weight assigned to each molecule  
 1352 and the number of functional groups it contains. A clear positive correlation is observed: as the  
 1353 number of functional groups in a molecule increases, so does the average sample weight assigned  
 1354 by the model. This indicates that our model successfully identifies structurally complex molecules as  
 1355 more challenging examples and allocates greater attention to them during training. This aligns with  
 1356 our design objective of assigning higher importance to samples with greater prediction uncertainty.

1357  
 1358 Figure 9 offers a more granular view, showing how dynamic weights are adjusted based on the  
 1359 prediction difficulty of individual functional groups. In this plot, functional groups are sorted by  
 1360 their F1 score from lowest to highest. The results show that functional groups that are harder to  
 1361 predict (i.e., have lower F1 scores), such as Thial, Azo compound, and Phosphine, consistently  
 1362 receive higher dynamic weights. Conversely, high-frequency functional groups where the model  
 1363 performs well are assigned comparatively lower weights. This trend confirms that our dynamic  
 1364 weighting mechanism effectively identifies and amplifies the signal from underrepresented or hard-  
 1365 to-distinguish classes, preventing them from being overlooked during training.

1366  
 1367 Collectively, these two figures provide empirical evidence for our CMI-driven dynamic weighting  
 1368 strategy. They demonstrate its ability to steer the model’s focus toward both difficult samples and  
 1369 difficult classes, which is crucial for achieving robust performance on imbalanced data.

1370

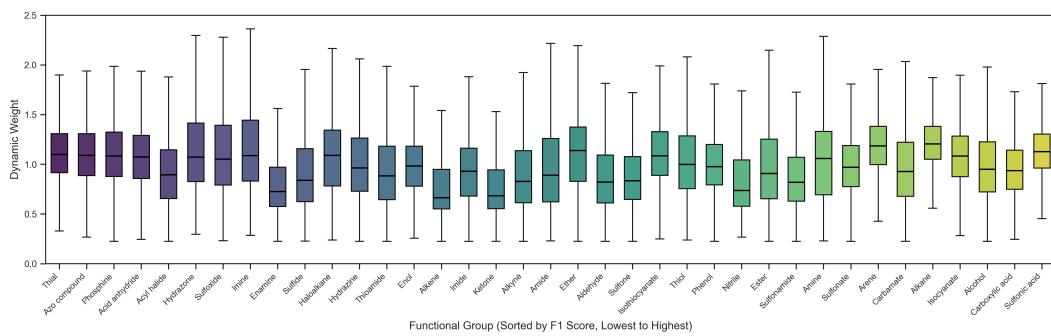


1385

1386 Figure 8: The relationship between the number of functional groups in a molecule and the average  
 1387 sample weight assigned. Molecules with more functional groups are considered more complex and  
 1388 are assigned higher weights.

1389

1390



1400

1401

1402 Figure 9: Dynamic weights assigned to functional groups. Lower-performing functional groups  
 1403 receive higher weights, focusing the model’s attention on challenging classes.

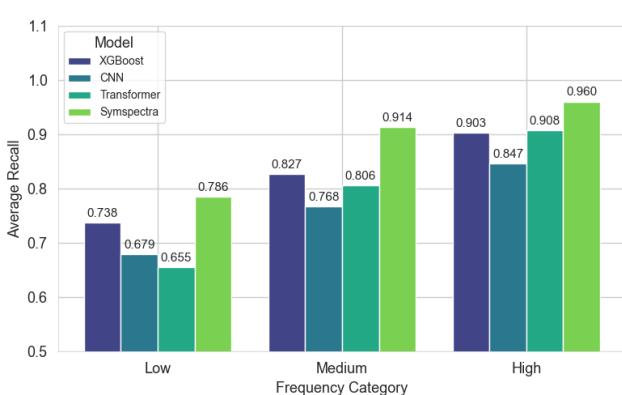


Figure 10: Average recall for functional groups grouped by frequency: low ( $\leq 600$ ), medium (601–5000), and high ( $\geq 5000$ ). Our method consistently outperforms others across all groups, particularly in the low-frequency regime, indicating improved robustness to label imbalance.

## O FURTHER INVESTIGATION INTO LABEL IMBALANCE

To further quantitatively assess our model’s adaptability to varying numbers of functional groups, we grouped the functional classes based on their occurrence frequencies<sup>5</sup>: low-frequency, medium-frequency, and high-frequency. For each group, we computed the average recall. As shown in Figure 10, our model achieves higher average recall than the baseline in all three categories. The performance gap is especially significant in the low-frequency group, where data scarcity typically limits learning. This result underscores the model’s capacity to mitigate class imbalance by preserving discriminative features even for underrepresented functional groups.

## P THE IMPACT OF DECODER TYPE

The choice of decoder architecture significantly influences how the model utilizes the predictions of previously predicted functional groups. We experimented with GRU-based, LSTM-based, and Transformer-based decoders, with results summarized in Table 9. The LSTM-based decoder achieved a slight performance improvement of approximately 1% over the other methods. This could be attributed to its ability to balance complexity and sequential dependency modeling, while maintaining robustness against overfitting in smaller datasets. As a result, we selected the LSTM-based decoder as the final architecture for our model.

Table 9: Performance on various decoder.

Decoder Type	F1 Score	Accuracy
LSTM	0.965	0.7588
GRU	0.957	0.6988
Transformer	0.961	0.7165

## Q HYPERPARAMETER EXPERIMENTS

To assess the impact of hyperparameter choices on model performance, we conduct a series of experiments by varying the information bottleneck trade-off coefficients  $\beta$  from  $10^{-8}$  to  $10^{-3}$  and the sample emphasis  $s_1$  and  $s_2$  from 0.1 to 0.6. As shown in Figure 11, optimal performance occurs at  $s_1 = s_2 = 0.3$  with  $\beta = 1e-6$ .

<sup>5</sup>Grouping criteria: **Low frequency** ( $\leq 600$  samples): 14 groups. **Medium frequency** ( $> 600$  and  $\leq 5000$  samples): 9 groups. **High frequency** ( $> 5000$  samples): 14 groups.

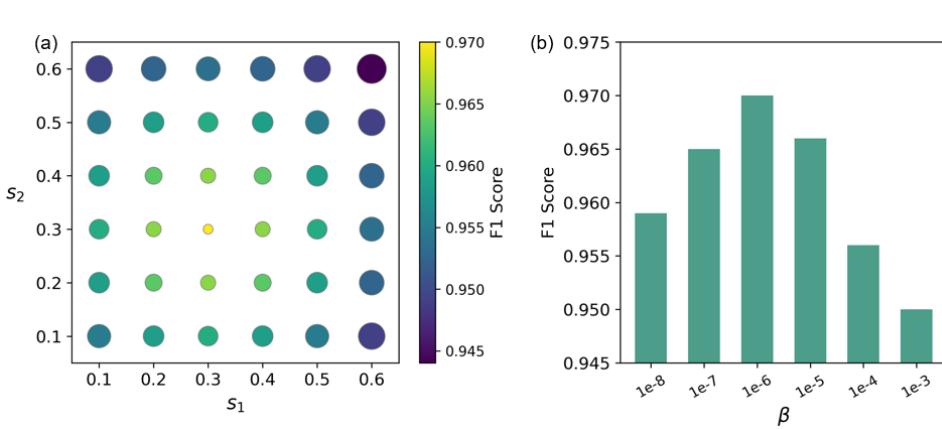


Figure 11: Hyperparameter Analysis. (a) Effect of the sample emphasis regulators  $s_1$  and  $s_2$  with circle size indicating error magnitude. (b) Impact of the information bottleneck coefficient  $\beta$ .

Table 10: Comparison of resource usage and performance against baseline models.

Model	Mem. (GB)	Time (h)	F1-score
XGBoost	209	0.7	0.913
1D-CNN	5.7	2.0	0.900
Transformer	1.7	35.0	0.911
<b>SymSpectra</b>	<b>7.0</b>	<b>2.7</b>	<b>0.965</b>

Table 11: Performance and resource usage as a function of the number of modalities.

#Modalities	Mem. (GB)	Time (h)	F1-score
1	3.5	2.0	0.914
2	5.3	2.4	0.948
<b>3</b>	<b>7.0</b>	<b>2.7</b>	<b>0.970</b>
4	9.8	3.9	0.971
5	12.1	5.0	0.973

## R COMPUTATIONAL COST AND MODALITY SELECTION

To assess the practical viability of our proposed model, SymSpectra, we conducted a comprehensive analysis of its computational resource requirements. We benchmarked its performance against several established baseline models and investigated the trade-off between predictive accuracy and computational cost as a function of the number of input modalities. The results of this analysis are summarized in Table 10 and Table 11.

Our analysis in Table 10 demonstrates that SymSpectra achieves a state-of-the-art F1-score of 0.965, significantly outperforming the baselines. Notably, it accomplishes this with a substantially lower training time (2.7 hours) compared to the Transformer model (35 hours) while maintaining a manageable memory cost of 7 GB.

The selection of three modalities is a deliberate decision aimed at optimizing the balance between performance and computational overhead. As detailed in Table 11, increasing the number of modalities from one to three yields a substantial performance gain, boosting the F1-score from 0.914 to 0.970 with only a moderate increase in resource utilization. However, further increasing the modalities to four or five, while offering marginal F1-score improvements, results in a disproportionate surge in resource consumption. For instance, moving from three to five modalities increases the memory footprint by 73% and training time by 85%, for only a minor improvement in the F1-score. This trade-off is particularly critical given that the acquisition of comprehensive, multi-modal spectral data is often infeasible in real-world applications, which strongly favors a more data-efficient model. Therefore, the three-modality configuration represents the most compelling trade-off, delivering near-peak performance while ensuring both computational efficiency and practical relevance.