TREND: Unsupervised 3D Representation Learning via Temporal Forecasting for LiDAR Perception

Runjian Chen¹ Hyoungseob Park² Bo Zhang³ Wenqi Shao³ Ping Luo^{1,4*} Alex Wong^{2*}

¹The University of Hong Kong ²Yale University

³Shanghai AI Laboratory ⁴HKU Shanghai Intelligent Computing Research Center {rjchen, pluo}@cs.hku.hk alex.wong@yale.edu

Abstract

Labeling LiDAR point clouds is notoriously time-and-energy-consuming, which spurs recent unsupervised 3D representation learning methods to alleviate the labeling burden in LiDAR perception via pretrained weights. Existing work focus on either masked auto encoding or contrastive learning on LiDAR point clouds, which neglects the temporal LiDAR sequence that naturally accounts for object motion (and their semantics). Instead, we propose TREND, short for Temporal **RE**ndering with Neural fiel**D**, to learn 3D representation via forecasting the future observation in an unsupervised manner. TREND integrates forecasting for 3D pretraining through a Recurrent Embedding scheme to generate 3D embeddings across time and a Temporal LiDAR Neural Field specifically designed for LiDAR modality to represent the 3D scene, with which we compute the loss using differentiable rendering. We evaluate TREND on 3D object detection and LiDAR semantic segmentation tasks on popular datasets, including Once, Waymo, NuScenes, and SemanticKITTI. TREND generally improves from-scratch models across datasets and tasks and brings gains of 1.77% mAP on Once and 2.11% mAP on NuScenes, which are up to 400% more improvement compared to previous SOTA unsupervised 3D pre-training methods. Codes and models will be available here.

1 Introduction

Light-Detection-And-Ranging (LiDAR) is widely used in autonomous driving. By emitting laser rays into the environment, it provides accurate measurements of the distance along each ray with time-of-flight principle. There has been strong research interest on LiDAR-based perception like 3D object detection [1, 2, 3, 4, 5, 6, 7] and semantic segmentation [8, 9]. However, labeling for LiDAR point clouds is notoriously time-and-energy-consuming. According to [10], it costs an expert labeler at least 10 minutes to label one frame of LiDAR point cloud at a coarse-level and more at finer granularity. Assuming sensor frequency at 20Hz, it could cost more than 1000 days of a human expert to annotate a one-hour LiDAR sequence. To alleviate the labeling burden, unsupervised 3D representation learning [11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21] pre-trains 3D backbone and fine-tune on downstream tasks for performance improvement with the same number of labels.

Previous literature on unsupervised 3D representation learning for LiDAR perception can be divided into two streams, as shown in Figure 1 (a) and (b). (a) Masked-autoencoder-based methods [17, 18, 19, 20, 21] randomly mask LiDAR point clouds and the pre-training entails reconstructing the masked areas. (b) Contrastive-based methods [15, 16] construct two views from one frame (or adjacent frames) of LiDAR point cloud and maximize the similarity among positive pairs while minimizing

^{*}Corresponding authors.

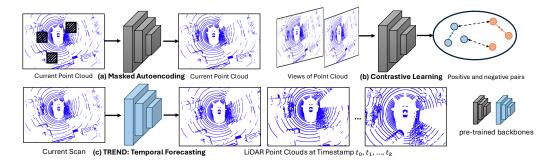


Figure 1: Different schemes for unsupervised 3D representation learning. (a) Masked Autoencoding applies random masking and pre-train by reconstruction. (b) Contrastive methods build views of point cloud and pre-train by pulling together positive pairs and pushing away negative pairs. (c) TREND explores object motion and semantic information via temporal forecasting in LiDAR sequence.

the similarity of negative pairs. Both approaches assume a predefined set of nuisance variability. Nuisance variability refers to variables inherent in the input that should be non-consequential to the outcome, but nonetheless may impact the output. An example of this is orientation: the same object appearing in different orientations can cause the outcome to differ. To obtain the same outcome, one needs to be invariant. In (a), the set of nuisance variability is occlusions, which naturally is induced by motion; in (b) it is the handcrafted set of transformations on LiDAR scans used in contrastive learning. While the procedures are unsupervised, they implicitly select the set of invariants, which benefits the downstream tasks. Unlike them, we subscribe to allowing the data to determine nuisances by simply observing and predicting scene dynamics. This leads to a novel unsupervised 3D representation learning approach based on forecasting LiDAR point clouds (Figure 1 (c)). Naturally, points belonging to the same object instance, within a point cloud, tend to move together. By observing current point cloud and predicting future observation, our pre-training scheme implicitly encodes semantics and biases of object interactions over time.

However, leveraging forecasting as unsupervised 3D representation is nontrivial as scene dynamics are often complex and nonlinear. There are two main challenges: 1) How to generate 3D embeddings at different timestamps with current LiDAR scan? 2) How to represent the 3D scene with embeddings and optimize the network via forecasting?

For 1), there exists tangential work in occupancy prediction field [22, 23, 24] that generates 3D features at different timestamps via directly using 3D/2D convolution [22, 23] or a diffusion decoder with frozen 3D encoder [24]. However, actions of the ego-vehicle is not taken into account in [22, 23], which is important for future observation forecasting as the ego actions reflect the interaction between ego-vehicle and other traffic participants. For example, if the ego-vehicle is running at a high speed, pedestrians might stop to avoid accidents. If the ego-vehicle stops at the crossing, pedestrians might start to walk across the road. For 2), applying neural field, as in existing work [19, 20, 21], to represent the 3D scene at different timestamps yields little to no improvement. The first reason is that the network needs to learn to understand the concept of "time" with the 3D convolution, which could be very difficult. The second one is that the neural fields in [19, 20, 21, 25, 26] are designed for camera modality, which neglects important characteristic in LiDAR modality like intensity.

We address these challenges by proposing TREND, short for Temporal REndering with Neural fielD, for unsupervised 3D pre-training. For 1), we propose a Recurrent Embedding scheme, which generates 3D embeddings along time axis with sinusoidal encoding of the ego actions followed by a shallow 3D convolution. This enables us to model ego actions over time, which also assists in forecasting future observations. For 2), we propose a Temporal LiDAR Neural Field that explicit takes timestamps as inputs and integrates LiDAR geometry (surface points) as well as intensity to reconstruct and forecast LiDAR point clouds for optimizing the backbones. While this takes inspiration from existing work in neural field decoders [19, 20, 21, 25, 26], it is distinct from them in that our design enables forecasting and also modeling of LiDAR characteristics, such as intensity.

We demonstrate TREND on four benchmark datasets (Once [27], NuScenes [28], Waymo [29], and Semantic Kitti [30]) for the downstream 3D object detection and LiDAR semantic segmentation tasks,

where TREND achieves up to 400% more improvement compared to previous SOTA pre-training method for Once (1.77% mAP) and improves by 90% on NuScenes (2.11% mAP).

2 Related Work

Pre-training for Point Cloud. Since annotating 3D point clouds requires significant effort and time, there has been great interest on improving label efficiency for point cloud perception via 3D pre-training. For indoor scene point cloud, PointContrast [11] first reconstructs the whole scene and uses contrastive learning for pre-training. The research thread was followed by P4Contrast [13] and Contrastive-Scene-Context [12]. For outdoor scene LiDAR point clouds, there exists two primary schools of thought, depending on whether labels are required during the pre-training stage. The first school takes a semi-supervised 3D pre-training approach by utilizing a small sest of labels during pre-training, where the pre-training tasks include object detection, occupancy prediction (e.g., AD-PT [31] and SPOT [32]). The second takes an unsupervised 3D representation learning approach, where no label is required during pre-training: 1) Contrastive-based methods [16, 14, 33, 15, 34] create alternative (augmented) views of outdoor scene LiDAR point cloud and learns the representation by contrastive learning. 2) Mask-Autoencoder-based methods [17, 18, 19, 20, 21, 35] mask the input LiDAR point clouds and reconstruct the masked elements as the supervision signal. Following these lines of work, [14, 33] utilizes adjacent frames of LiDAR point clouds as views for contrastive learning. However, as the scenes are dynamic and there are no labels, the positive and negative pairs selection is very noisy [16], resulting in pre-training performance degradation. T-MAE [35] proposes to use the adjacent previous frame of LiDAR point clouds for masked autoencoding pre-training, but temporal information is limited to two frames (less than 0.5 second) and only history information is used. Also, ego action is not modeled in [35], which fails to learn the interaction between ego-vehicle and other traffic participants. Furthermore, the decoder in [35] is simply Multi-layer Perceptron on occupied 3D space and neglects empty parts of the scenes, which also matters in downstream tasks. For RGB image pretraining, ViDAR [36] utilizes future point clouds to pre-train image encoders with occupancy-based decoder, but suffers from the similar problem of [35]. Additionally, ViDAR also neglects current LiDAR point clouds, which is important for downstream 3D perception tasks. Besides, some works leverage 2D image prior to pre-train LiDAR encoder [37, 38, 39]. Unlike them, we propose TREND and use temporal forecasting as the pre-training goal. TREND utilizes a Recurrent Embedding scheme to integrate ego actions for temporal 3D embeddings and a Temporal LiDAR Neural Field as decoder to render both current and future point clouds for pre-training.

Neural Field plays an important role in 3D scene representation [25, 26]. IAE [40] and Ponder [19, 20] are pioneering work to use neural field in 3D pre-training and both use reconstruction as pre-training task; UniPAD [21] extends this line of work. The neural fields in [25, 26, 19, 20, 21] are originally designed for camera modality, which neglects characteristic of LiDAR point clouds and temporal information. Unlike them, we explore time-dependent neural field for LiDAR geometry and intensity by proposing a novel pre-training decoder and task to forecast future LiDAR point clouds.

Scene Flow and LiDAR Forecasting. 3D scene flow [41, 42, 43, 44, 45, 46, 47, 48, 49] has long been explored. Given current and past point clouds, the goal is to estimate per-point translation for the current point clouds. LiDAR forecasting take past and current observations as inputs and predict the future LiDAR point clouds, which necessitates the induction that we hypothesize beneficial for downstream perception tasks. Representative works include 4DOCC [22], Copilot4D [24] and Uno [23]. 4DOCC [22] uses a U-Net convolutional architecture and conduct differentiable rendering on the BEV feature map to predict the LiDAR observation in the future. Copilot4D [24] first trains a tokenizer/encoder for LiDAR point cloud with masked-and-reconstruction task and then freeze the encoder to train a diffusion-based decoder for LiDAR forecasting. Uno [23] proposes to use occupancy field as the scene representation for point cloud forecasting. The forecasting training stage in Copilot4D [24] does not envolve the 3D encoder for LiDAR point cloud and only focuses on training the diffusion-based decoder, which actually does not introduce temporal information into the 3D encoder. 4DOCC [22] and Uno [23] train the 3D encoder for forecasting but do not take the action of the autonomous vehicle into consideration. However, the interaction between the autonomous vehicle and the traffic participants is important for the prediction. The above methods study treat forecasting as the primary perception task. Unlike them, TREND adopts point cloud forecasting for unsupervised 3D representation learning and aims to improve downstream perception tasks via pre-training. TREND incorporate ego action, which previous works do not account, for pre-training.

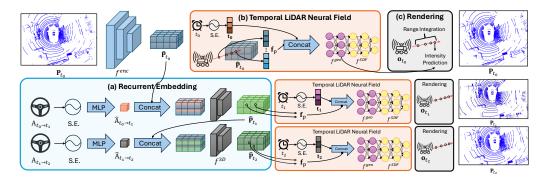


Figure 2: The overview of TREND. TREND first uses "S.E." (sinusoidal encoding [58, 59]) and Multi-layer Perceptron to embed ego actions and concatenate them with previous 3D embeddings to generate 3D embeddings at different timestamps (a). Then the Temporal LiDAR Neural Field (b) is used to represent the temporal 3D scene. The queried features, timestamp embeddings and point positions are concatenated and fed into geometry feature function $f^{\rm geo}$. Next, we separately predict intensity values and signed distance values with geometry features at sampled points and conduct differentiable rendering to reconstruct and forecast LiDAR point clouds for pre-training.

LiDAR-based 3D Perception. LiDAR 3D object detection aims to take the raw LiDAR point clouds as input and predict bounding boxes for different object categories in the scene. Existing literature on LiDAR-based 3D object detection can be divided into three main streams based on the 3D encoder. 1) Point-based methods [50, 51] apply point-level embedding to detect objects in the 3D space. 2) Embraced by [1, 2, 6, 5], voxel-based methods apply voxelization to the raw point clouds and use sparse 3D convolution to encode the 3D voxels. 3) Point-voxel-combination methods [3, 4] combine the point-level and voxel-level features from 1) and 2). LiDAR semantic segmentation predicts category label for each LiDAR point. Cylinder3D [52], PVKD [53], Point-Transformer [54, 55, 56] and SphereFormer [57] achieve excellent performance for LiDAR segmentation task. In this paper, we use both LiDAR 3D object detection and segmentation as downstream tasks and fine-tune on various datasets [27, 28, 29, 30] to evaluate the effectiveness of TREND.

3 Method

In this section, we introduce TREND for unsupervised 3D representation learning on LiDAR perception via temporal forecasting. Fig. 2 is an overview of TREND. To overcome the two challenges in incorporating temporal forecasting for unsupervised 3D representation learning, we propose (a) the Recurrent Embedding scheme that accounts for the effect of autonomous vehicle's (ego)action to generate 3D embeddings at different timestamps, (b) Temporal LiDAR Neural Field, which represents the 3D scene by the geometry function and signed distance value function. The pre-training goal is to render current and future point clouds to compute loss and optimize the network. We first introduce problem formulation and overall pipeline in Section 3.1. Then we describe the Recurrent Embedding scheme and the Temporal Neural Field in details respectively in Section 3.2 and 3.3. Finally in Section 3.4, we discuss the differentiable rendering process and loss computation.

3.1 Problem Formulation and Overview

Notations. To start with, LiDAR point clouds are denoted as $\mathbf{P} = [\mathbf{L}, \mathbf{F}] \in \mathbb{R}^{N \times (3+d)}$, the concatenation of the xyz-location $\mathbf{L} \in \mathbb{R}^{N \times 3}$ and point features $\mathbf{F} \in \mathbb{R}^{N \times d}$. Here N denotes the number of points in the point clouds and d the number of feature channels. For instance, d=1 in Once [27] representing intensity and for Waymo [29], d=2 are intensity and elongation. To indicate point clouds at different timestamps, we use subscripts and $\mathbf{P}_t = [\mathbf{L}_t, \mathbf{F}_t] \in \mathbb{R}^{N_t \times (3+d)}$ is point cloud at time $t \in \{t_0, t_1, t_2, ..., t_k\}$, where t_0 indicates current timestamp and $t_1, t_2, ..., t_k$ are future timestamps. At each timestamp t_n , we also have the action $\mathbf{A}_{t_n \to t_{n+1}} = [\Delta_x, \Delta_y, \Delta_\theta] \in \mathbb{R}^3$ of the autonomous vehicle and it is described with the relative translation on x-y plane (Δ_x, Δ_y) and orientation with respect to z-axis (Δ_θ) between timestamp t_n and t_{n+1} .

Overview. Our goal is to pre-train the 3D encoder f^{enc} in an unsupervised manner via forecasting. We begin by embedding \mathbf{P}_{t_0} with the 3D encoder f^{enc} to obtain the 3D representations

$$\hat{\mathbf{P}}_{t_0} = f^{\text{enc}}(\mathbf{P}_{t_0}),\tag{1}$$

where $\hat{\mathbf{P}}_{t_0} \in \mathbb{R}^{D \times H \times W \times \hat{d}}$ denotes the embedded 3D features with spatial resolution of $D \times H \times W$ and \hat{d} feature channels. Then with $\hat{\mathbf{P}}_{t_0}$ and action at different timestamps $\mathbf{A}_{t_n \to t_{n+1}}$ as inputs, we apply the recurrent embedding scheme f^{rec} and get the 3D embedding at different timestamps

$$\hat{\mathbf{P}}_{t_{n+1}} = f^{\text{rec}}(\mathbf{A}_{t_n \to t_{n+1}}, \hat{\mathbf{P}}_{t_n}), \tag{2}$$

where n=0,1,... Finally, to guide the training of 3D encoder in an unsupervised manner, we use a Temporal Neural Field to reconstruct and forecast LiDAR point clouds $\tilde{\mathbf{P}}_{t_n}$

$$\tilde{\mathbf{P}}_{t_n} = f^{\text{render}}(\hat{\mathbf{P}}_{t_n}),\tag{3}$$

and compute the loss against the raw observation P_{t_n} for optimization. Note that all the LiDAR point clouds are transformed into the coordinate frame of t_0 for consistency.

3.2 Recurrent Embedding Scheme

In order to introduce temporal information into 3D pre-training for $f^{\rm enc}$, we embed the 3D representation ${\bf P}_{t_0}$ of the current frame at t_0 into future 3D representation (${\bf P}_{t_1}, {\bf P}_{t_2}$...). To achieve this, previous literature [22, 23] directly apply learnable 3D/2D decoders but neglect the effect of autonomous vehicle's action ${\bf A}_{t_n \to t_{n+1}}$. However, the action of the autonomous vehicle is a part of the interaction between the ego-vehicle and traffic participants, and may influence the motion of the traffic participants on the road; hence, serving as a predictor. For example, if the autonomous vehicle does not move for some time, other traffic participants might move faster and vice versa. Thus, we propose to take ${\bf A}_{t_n \to t_{n+1}}$ into account and use a recurrent embedding scheme.

To begin, sinusoidal encoding [58, 59] are used to encode the relative translational components $[\Delta_x, \Delta_y]$ in raw action $\mathbf{A}_{t_n \to t_{n+1}}$ with sinusoidal functions of different frequencies. The resulting translation feature $\mathbf{f}_{\text{tl}} \in \mathbb{R}^{d_{\text{sin}}}$ contains d_{sin} bounded scalars. Then we use $\mathbf{f}_{\text{rot}} = [\sin \Delta_\theta, \cos \Delta_\theta] \in \mathbb{R}^2$ to represent the rotational component in $\mathbf{A}_{t_n \to t_{n+1}}$ and concatenate both features to generate an initial action embedding $\tilde{\mathbf{A}}_{t_n \to t_{n+1}} = [\mathbf{f}_{\text{tl}}, \mathbf{f}_{\text{rot}}] \in \mathbb{R}^{d_{\text{sin}}+2}$ for $\mathbf{A}_{t_n \to t_{n+1}}$. Note, this initial embedding process does not require any learnable parameter. To then further learn to embed $\tilde{\mathbf{A}}_{t_n \to t_{n+1}}$, we apply a shared shallow multi-layer perceptron (MLP) f^{act} and project it to $\hat{\mathbf{A}}_{t_n \to t_{n+1}} \in \mathbb{R}^{d_{\text{act}}}$

$$\hat{\mathbf{A}}_{t_n \to t_{n+1}} = f^{\text{act}}(\tilde{\mathbf{A}}_{t_n \to t_{n+1}}). \tag{4}$$

With 3D embeddings at current timestamp $\hat{\mathbf{P}}_{t_0}$ and action embeddings at future timestamps $\hat{\mathbf{A}}_{t_n \to t_{n+1}}$, we broadcast $\hat{\mathbf{A}}_{t_n \to t_{n+1}}$ to the shape of $\hat{\mathbf{P}}_{t_n}$ and concatenate it with $\hat{\mathbf{P}}_{t_n}$ along the feature dimension, followed by a shared shallow 3D dense convolution f^{3D} to get the embedding at different timestamps $\hat{\mathbf{P}}_{t_{n+1}} \in \mathbb{R}^{D \times H \times W \times \hat{d}}$.

$$\hat{\mathbf{P}}_{t_{n+1}} = f^{3D}([\mathbf{A}_{t_n \to t_{n+1}}, \hat{\mathbf{P}}_{t_n}]), \ n = 0, 1, \dots$$
 (5)

While local features reflect the understanding of other traffic participants and the environment, the concatenation provides local features with understanding of ego-vehicle motion. Despite the feature vector containing the vehicle ego-motion, the remainder of the feature vector allows us to predict the feature evolution. This recurrent embedding scheme allows us to model the evolution of the latent scene features based on vehicle ego-motion.

3.3 Temporal LiDAR Neural Field

We propose to use Neural field to represent the 3D scene around the autonomous vehicle at different timestamp t, which is the basis for LiDAR point clouds rendering. Previous work [25, 26, 60, 61, 62] design neural field for image modality and neglect both LiDAR characteristic and temporal information. On the contrary, we propose Temporal LiDAR Neural Field. As shown in Fig. 2, the goal of Temporal LiDAR Neural Field is to infer the geometry features and the signed distance

value [63, 64] for a point \mathbf{p} in 3D space at timestamp t. Given the location of a specific point $\mathbf{p} = [x, y, z] \in \mathbb{R}^3$ at timestamp t, we first query the feature $\mathbf{f}_{\mathbf{p}} \in \mathbb{R}^{\hat{d}}$ at \mathbf{p} with $\hat{\mathbf{P}}_t$ by trilinear interpolation f^{tri} implemented in Pytorch [65]:

$$\mathbf{f}_{\mathbf{p}} = f^{\text{tri}}(\mathbf{p}, \hat{\mathbf{P}}_t). \tag{6}$$

Similar to initial action embedding in Section 3.2, we apply sinusoidal encoding [58, 59] to encode timestamp t to $\mathbf{f}_t \in \mathbb{R}^{d_{\text{sin}}}$. Taking the concatenation of location \mathbf{p} , \mathbf{f}_t and the queried feature \mathbf{f}_p as inputs, we first predict the geometry features $\mathbf{f}_{\text{geo}} \in \mathbb{R}^{d_{\text{geo}}}$ with f^{geo} and then the signed distance value $s \in \mathbb{R}$ [63, 64] with f^{SDF} , which are parameterized by Multi-layer Perceptron:

$$\mathbf{f}_{\text{geo}} = f^{\text{geo}}([\mathbf{p}, \mathbf{t}, \mathbf{f}]) \quad ; \quad s = f^{\text{SDF}}(\mathbf{f}_{\text{geo}}).$$
 (7)

3.4 Point Cloud Rendering

Each LiDAR point \mathbf{p} can described by the sensor origin $\mathbf{o} \in \mathbb{R}^3$, normalized direction $\mathbf{d} \in \mathbb{R}^3$, and the range $r \in \mathbb{R}$, i.e., $\mathbf{p} = o + r\mathbf{d}$. Similar to [25, 26, 60, 61, 62], we first sample N_{render} rays at the sensor position \mathbf{o} that trave along the normalized direction \mathbf{d} , and apply differentiable rendering to predict the range of LiDAR beam rays at different timestamp $t \in \{t_0, t_1, t_2, ...\}$ with our Temporal Neural Field.

Sampling of $N_{\rm render}$. LiDAR points on the ground are less informative and we filter out ground points by setting a threshold $z_{\rm thd}$ for z values of the point position in vehicle coordinate frame. $z_{\rm thd}$ is determined by sensor height provided in the datasets. After that, we uniformly sample $N_{\rm render}$ at timestamp t_n to conduct range rendering and loss computation.

Range Rendering. For a specific timestamp t, we sample N_{ray} points following [26] along each ray and construct the point set $\{\mathbf{p}_n = \mathbf{o} + r_n \mathbf{d}\}_{n=1}^{N_{\text{ray}}}$. For each point in the point set, we estimate the signed distance value s_n as described in Section 3.3. Then we predict the occupancy value α_n

$$\alpha_n = \max\left(\frac{\Phi_z(s_n) - \Phi_z(s_{n+1})}{\Phi_z(s_n)}, 0\right),\tag{8}$$

where $\Phi_z(x)=(1+e^{-zx})^{-1}$ is the sigmoid function with a learnable scalar z. With α_n , we estimate the accumulated transmittance \mathcal{T}_n [26] by $\mathcal{T}_n=\prod_{i=1}^{n-1}(1-\alpha_i)$. We follow conventional rendering methods [26] to compute an occlusion-aware and unbiased weight $w_n=\mathcal{T}_n\alpha_n$. Differentiable rendering is conducted by integrating sampled points along the ray, leading to the predicted range \tilde{r} ,

$$\tilde{r} = \sum_{n=1}^{N_{\text{ray}}} w_n * r_n. \tag{9}$$

Intensity Prediction. According to [66], the intensity of LiDAR point clouds is decided by three factors: sensor system, surface material, and injection angle. Moreover, injection angle can be inferred by the ray direction d and surface normal. The geometry feature \mathbf{f}_{geo} and queried feature \mathbf{f}_p at the scanned point includes information about the surface normal and material respectively. Thus we first embed the ray direction d by a Multi-layer Perceptron f^{dir} . Then we concatenate the direction embedding $\mathbf{f}_{\text{dir}} \in \mathbb{R}^{d_{\text{dir}}}$, geometry feature \mathbf{f}_{geo} and queried feature \mathbf{f}_p at the scanned point and apply an intensity network f^{int} to predict the intensity $\tilde{\mathcal{I}}$

$$\tilde{\mathcal{I}} = f^{\text{int}}([\mathbf{f}_{\text{dir}}, \mathbf{f}_{\text{geo}}, \mathbf{f}_p]). \tag{10}$$

Loss Function. For each sampled ray, we have the observed range r^i and intensity \mathcal{I}^i and the predicted ones \tilde{r}^i and $\tilde{\mathcal{I}}^i$, with which we compute an L1 loss; meanwhile, the expected signed distance value of the observed points s_i is zero. We integrate this constraint into the loss function.

$$\mathcal{L}_{t_n} = \frac{1}{N_{\text{render}}} \sum_{i=1}^{N_{\text{render}}} (|r^i - \tilde{r}^i| + |\mathcal{I}^i - \tilde{\mathcal{I}}^i| + |s_i|). \tag{11}$$

3.5 Curriculum Learning for Forecasting Length

It is difficult for a randomly initialized network to directly learn to forecast several frames of LiDAR point clouds. Thus we propose to borrow the idea of curriculum learning [67, 68] and gradually increase the forecasting length. Specifically, we optimize the network with N_{curri}^l curriculum learning epochs for $\{\mathbf{P}_{t_n}\}_{n=0}^l$, where l=1,2,... Because the observation nearer to current timestamp introduce more information about the current stage, we always reconstruct the current LiDAR point clouds and apply a decay weights p(m) (m=1,2,...,l) to sample a future timestamp, where p(m)>p(m+1) always holds. The final loss is computed as,

$$\mathcal{L} = \mathcal{L}_{t_0} + \mathcal{L}_{t_m}, \quad m \sim p(m). \tag{12}$$

3.6 Discussions

Theoretical Insight of TREND. We provide an analysis in the aspect of information theory [69] and minimal sufficient representation [70, 71, 72]. Let data be X, its representation be Z and a downstream task be Y. Z is sufficient for Y if it is faithful to the task, e.g., fidelity of predictions. However, one may choose a Z, including X – by the definition of data processing inequality, if X is sufficient, then Z is also sufficient. According to our discussion in Section 1, there are factors (nuisances) in the data that (negatively) impact predictions, and has implications towards generalization. Hence, it is desirable for a representation to be minimal, that is, containing the smallest amount of information, but sufficient for Y. The instantiation of this is the Information Bottleneck (IB) Lagrangian:

$$\max I(\mathbf{Z}; \mathbf{Y}) - \beta I(\mathbf{X}; \mathbf{Z}), \tag{13}$$

where I(;) denotes the mutual information between two random variables. Maximizing IB Lagrangian leads to fidelity for the task through the first data term and minimality or compression through the second bottleneck term. Naturally, β controls the compression, where larger compression discards nuisance variability. The nuisance \mathbf{N} influence \mathbf{Z} only through \mathbf{X} , which follows the casual chain $\mathbf{N} \to \mathbf{X} \to \mathbf{Z}$. Thus we have $I(\mathbf{Z}; \mathbf{N}) \leq I(\mathbf{X}; \mathbf{Z}) - I(\mathbf{Z}; \mathbf{Y})$. Hence, the relationship between IB Langrangian and our proposal of temporal forecasting as a mechanism for unsupervised representation learning lies in the choice of modeling nuisance variables \mathbf{N} . What we want to accomplish is to minimize $I(\mathbf{Z}; \mathbf{N})$. We posit that the temporal dynamics within a dataset better exhibit the set of nuisance variables than does a handcrafted set through data augmentation. While it is intractable to quantify $I(\mathbf{Z}; \mathbf{N})$ directly, our empirical findings suggest that representations learned through temporal forecasting better suppress nuisances and improve downstream performance.

Memory and Computational Overhead of TREND. While TREND introduces temporal forecasting and neural field rendering, the actual memory costs are comparable to baseline methods. We utilize two design choices when sampling the rendering rays: ground point filtering and uniform ray sampling to make the GPU memory consumption feasible for TREND. In our experiments, all pre-training methods utilize the same GPU memory. As for computational cost during pre-training, since TREND employs Recurrent Embedding scheme, TREND requires approximately 8% more time than previous methods per epoch (65 mins v.s. 60 mins on 8-A100) for pre-training. Besides, recurrent embedding and temporal neural fields are not used during both fine-tuning and inference. The downstream model architecture, computational cost, and memory usage are identical across all methods.

4 Experiments

Unsupervised 3D representation learning aims to pre-train 3D backbones and use the pre-trained weights to initialize downstream models for performance improvement. In this section, we design experiments to demonstrate the effectiveness of TREND as compared to previous methods. We start with introducing experiment settings in Section 4.1. Then main results are provided in Section 4.2. Finally, additional experiment results and ablation study are discussed in Section 4.3.

4.1 Experiment Settings

Datasets. We conduct experiments on four popular autonomous driving datasets including Once [27] NuScenes [28], Waymo [29] and SemanticKITTI [30]. Once utilizes a 40-beam LiDAR to collect 1 million LiDAR frames and labels 15k of them. Due to the computation resource limitation, we conduct pre-training with TREND on the small split of the unlabeled data (100k frames) and fine-tune

Init	БТ	F.T. mAP		Vehicle		P	Pedestrian			Cyclist		
Init.	Г. 1.	mAP	0-30m	30-50m	50m-	0-30m	30-50m	50m-	0-30m	30-50m	50m-	
Ran.		46.07	76.71	51.15	31.84	37.53	20.12	9.84	62.00	42.61	24.18	
[73]		$44.69 {}^{-1.38}$	74.04	49.66	29.63	33.98	20.94	12.42	60.63	43.14	23.63	
[74]		44.43 -1.64	76.52	49.48	30.18	35.32	18.96	9.36	60.47	40.94	22.99	
[22]	5%	40.84 ^{-5.23}	74.23	46.64	29.45	29.85	17.31	9.56	57.47	33.59	18.34	
[35]		$45.12^{-0.95}$	74.20	49.52	30.25	37.51	20.46	9.97	60.93	41.82	25.75	
[21]		46.23 +0.16	78.76	55.77	37.81	31.65	16.09	8.78	64.90	44.18	24.73	
Ours		47.84 ^{+1.77}	79.14	55.68	36.34	35.23	18.00	11.18	64.99	45.80	28.15	
Ran.		57.68	82.70	63.37	46.34	52.61	36.48	19.03	71.03	55.34	36.34	
[73]		56.27 ^{-1.41}	81.01	61.13	43.63	49.78	35.51	20.02	69.55	52.58	34.94	
[74]		57.09 ^{-0.59}	83.51	62.57	46.28	50.96	34.55	17.90	70.37	54.50	36.79	
[22]	20%	54.30 ^{-3.38}	80.69	58.95	42.13	45.09	33.14	18.04	68.90	52.20	35.09	
[35]		$57.23^{-0.45}$	81.66	62.64	45.14	51.32	34.80	17.26	70.87	54.08	33.25	
[21]		58.08 ^{+0.40}	84.23	65.44	48.65	49.48	34.84	19.38	70.76	55.75	38.89	
Ours		58.93 ^{+1.25}	84.08	65.80	50.51	50.31	33.37	19.42	72.54	56.31	39.26	
Ran.		65.03	88.18	74.23	61.75	57.32	38.90	21.96	78.07	64.32	48.16	
[73]		64.19 ^{-0.84}	86.07	72.44	59.28	57.25	37.14	22.25	77.62	61.94	45.91	
[74]		65.10 ^{+0.07}	88.02	74.01	61.95	57.56	38.43	22.45	79.95	63.64	47.89	
[22]	100%	$64.48 ^{-0.55}$	88.34	74.20	61.32	55.78	37.14	22.32	77.95	62.42	46.40	
[35]		65.25 +0.22	88.31	72.67	62.87	57.48	39.55	24.30	77.92	63.07	48.34	
[21]		65.19 ^{+0.16}	88.11	74.00	62.28	57.67	38.49	21.99	79.51	64.40	47.65	
Ours		66.09 ^{+1.06}	88.56	75.02	63.10	57.83	39.29	20.63	79.48	65.08	49.02	

Table 1: Results on Once dataset [27]. "Init." indicates the initialization methods and "Ran." is random initialization. "F.T." is the ratio of sampled training data for fine-tuning stage. We show mAP for overall performance and APs for different categories within different ranges. Green color is used to highlight the performance improvement and red one for degradation. We also use bold font to highlight the best mAP at different fine-tuning ratio. All the results are in %.

the pre-trained backbone with the labeled training set. NuScenes uses a 32-beam LiDAR to collect 1000 scenes in Boston and Singapore, where 850 of them are used for training and the other 150 ones for validation. We use the whole training set without label for all the pre-training methods. Waymo equips the autonomous vehicle with one top 64-beam LiDAR and 4 corner LiDARs to collect point clouds. We use Waymo for evaluating the transferring ability of TREND. SemanticKITTI uses a 64-beam LiDAR for data collection and provides semantic labels for each point.

Downstream Models and Evaluation Metrics. We perform downstream 3D object detection task on Once [27], NuScenes [28] and Waymo [29] and LiDAR semantic segmentation task on SemanticKITTI [30]. We follow the implementations in the popular code repository called OpenPCDet [75] and select the SOTA models on different datasets. For Once and Waymo, we use CenterPoint [2] as the downstream model. For Once, Average precisions for different categories within different ranges (APs) and mean average precision (mAP) are used for evaluation. For Waymo, APs and APs with heading (APHs) computed at two difficulty levels (Level-1 and Level-2) are utilized. For NuScenes, we use Transfusion-LiDAR [6] as the downstream model. APs for different categories, mAP and NuScenes Detection Score (NDS) are used for evaluation. For SemanticKITTI, We use Cylinder3D [52] and Mean Intersection over Union (mIoU) and accuracy are computed.

Downstream Training Setting. The main goal of unsupervised 3D pre-training is to improve *sample efficiency instead of accelerating convergence*, which has been discussed in previous literature [76, 18]. Sample efficiency means the best performance we can achieve with the same model and the same number of labeled data. Thus, we first gradually increase the training iterations for randomly initialized models until convergence is observed, which means increasing number of training iterations does not further improve the performance. Then we fix the training iterations and use the same schedule for downstream fine-tuning with different pre-training methods.

Baseline 3D Pre-training Methods. We select five baseline methods. (1) ALSO [73], an occupancy-based method. (2) Occupancy-MAE [74], an masked-autoencoder method. (3) 4DOCC [22], a

Init.	mAP	NDS	Car	Truck	Bus	Bar.	Mot.	Bic.	Ped.	T.C.
Ran.	31.06	44.75	69.18	28.73	34.57	42.31	13.72	8.72	69.18	41.14
[73]	$30.14^{-0.92}$	$43.73^{-1.02}$	66.89	25.67	34.36	43.06	12.98	7.1	66.28	41.63
[74]	$29.94^{-1.12}$	$43.93^{-0.82}$	68.51	26.32	30.90	41.74	12.36	7.0	67.84	41.27
[22]	$26.99^{-4.07}$	$40.97 {}^{-3.78}$	67.44	25.40	29.37	35.58	9.53	5.16	65.26	29.47
[35]	$30.53^{-0.53}$	$44.55^{-0.20}$	68.63	26.02	34.66	43.98	13.21	7.26	68.78	39.82
[21]	$32.16^{+1.10}$	$45.50^{+0.75}$	69.82	29.54	35.73	46.79	13.65	7.98	70.45	42.73
Ours	33.17 $^{+2.11}$	46.21 ^{+1.46}	71.24	30.08	39.57	45.42	16.65	9.33	71.84	43.70

Table 2: Results on NuScenes [28] dataset. "Init." indicates the initialization methods and "Ran." is random initialization. We use green color to highlight performance improvement and red for degradation and bold fonts for best performance in mAP and NDS. All the results are in %.

Init.	Lev	vel-1	Lev	Ā	
	mAP	mAPH	mAP	mAPH	Δ
Ran.	61.60	58.58 58.57 59.22	55.62	52.87	
[21]	61.57	58.57	55.60	52.83	-0.03
Ours	62.32	59.22	56.37	53.84	+0.77

Init.	mAP	Veh.	Ped.	Cyc.
Ran.*	20.48	37.88	10.62	12.96
[35]*	$21.58^{\ +1.10}$	37.83	11.72	15.19
[21]*	$24.41^{\ +3.93}$	40.66	12.01	20.55
Ours*	$29.95 ^{+9.47}$	44.99	16.28	28.59

Table 3: Results for transferring experiments.

Table 4: Results for accelerating convergence.

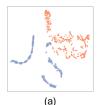
LiDAR point cloud forecasting method. (4) T-MAE [35], a concurrent work that utilizes previous adjacent frame of LiDAR point clouds for mased-and-reconstruction without considering action of the autonomous vehicle. (5) UniPAD [21], the masked-and-reconstruction-based method with rendering decoder. All the pre-trainings for baseline methods are conducted with their official code.

Implementation. For $f^{\rm enc}$, we select the popular sparse convolution backbone [77]. The feature channels for embedded 3D features $\hat{\mathbf{P}}_{t_n}$, sinusoidal encoding and action embeddings are respectively set to $\hat{d}=128$, $d_{\rm sin}=32$ and $d_{\rm act}=16$. The sampled ray number for rendering is $N_{\rm render}=12288$ and number of points along each ray is $N_{\rm ray}=48$. We set the pre-training learning rate as 0.0002 with a cosine learning schedule. **Random seed is fixed** for all pre-training and fine-tuning to guarantee reproducibility. More details can be found in Appendix A.

4.2 Main Results

Results on Once Dataset. We pre-train TREND and baseline methods on the small split of unlabeled data in Once and uniformly sample 5%, 20% and 100% of the labeled training set for downstream fine-tuning. The results are shown in Table 1. For overall performance, it can be found that TREND achieves the best performance across different ratio of fine-tuning data. The performance improvement compared to train-from-scratch model is 1.77, 1.25 and 1.06 respectively for 5\%, 20\% and 100\% fine-tuning data, which is up to 4 times more than previous 3D unsupervised pre-training methods and demonstrates the effectiveness of TREND. As for different categories, TREND achieves up to 4% mAP improvement on Vehicle and Cyclist for 5% fine-tuning data and generally improve these two categories within different ranges. It can also be found that for Pedestrian class, TREND improves with 100% downstream data but degrades the performance a little bit under 5% and 20% fine-tuning data settings. We think this is because LiDAR point clouds stand for geometry and pedestrians are always captured in LiDAR point clouds with a cylinder-like shape, which is less-distinguishable as compared to cyclists and vehicle. For example, trash bins or poles also appear to be cylinder-like in LiDAR. Thus learning to reconstruct and forecast such less-distinguishable geometry harms the ability of the pre-trained backbone to identify pedestrians among similar cylinder-like shapes especially when there are less labeled downstream data, leading to a little degradation for 5% and 20% settings. Similar phenomenon is also observed for differentiable reconstruction method UniPAD.

Results on NuScenes Dataset. We pre-train TREND and baseline methods on the whole training set of NuScenes dataset. We then uniformly sample 175 frames of labeled LiDAR point clouds in the training set and conduct few-shot fine-tuning experiments. Results are shown in Table 2. Our proposed method TREND achieves 2.11% mAP and 1.46% NDS improvement over randomly initialization at convergence, which is the best among all the baselines. When compared to previous SOTA 3D pre-training method UniPAD, TREND achieves 91% more improvement for mAP and 94% more improvement for NDS. If we look into detailed categories, TREND achieves general



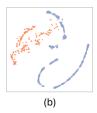






Figure 3: T-SNE visualization of TREND's features with Moving Object labels. The orange ones are static points while grey blue ones are moving points.

Init.	mIoU	Acc
Rand.	28.23	70.68
Occ-MAE	$27.82^{-0.41}$	$76.57^{+5.89}$
UniPAD	$29.98 ^{+1.75}$	$76.10^{+5.42}$
TREND	$31.12^{+2.89}$	$79.82^{+9.14}$

Rec. Emb.	N. F.	Tem. L. N. F.	mAP	NDS
Х	Х	Х	31.06	44.75
×	✓	×	32.16	45.26
1	✓	×	32.45	45.76
✓	×	✓	33.17	46.21

Table 5: Results on SemanticKITTI [30].

Table 6: Results for ablation study.

improvement on different categories. For Car, Barrier, Motorcycle, Pedestrian and Traffic Cone, the improvement are more than 2% AP. For Bus, TREND introduce an improvement of 5% AP.

4.3 Other Results

Transferring Experiments. We use the backbone pre-trained on Once dataset to initialize Center-Point [2] and fine-tune the detector with 1% training data of Waymo [29]. The results are shown in Table 3. It can be found that TREND brings an average gain of 0.77 on mAPs and mAPHs, while UniPAD only achieves comparable performance. This demonstrates that TREND is able to pre-train the backbone on one dataset and then transfer to another dataset for performance improvement.

Accelerating Convergence. We use the default training iterations in OpenPCDet [75] to train 5% Once data, which is the convergence acceleration setting and the experiment setting in most of the previous 3D pre-training literature. Results are shown in Table 4. It can be found that T-MAE and UniPAD accelerate convergence and TREND achieves the best performance.

LiDAR Semantic Segmentation. Results are show in Table 5. It can be found that TREND achieves best performance among different 3D pre-training baselines and improve the performance by 2.89% in mIoU and 9.14% in overall accuracy, demonstrating TREND's generalization ability across tasks.

Ablation Study. We conduct ablation study to analyze the contribution of different parts of TREN-Dand results are shown in Table 6. "Rec. Emb.", "N. F." and "Tem. L. N. F." are respectively for Recurrent Embedding, Neural Field and Temporal LiDAR Neural Field. It can be found that using neural field for reconstruction pre-training brings little improvement and even degrades the NDS score compared to training-from-scratch. Adding Recurrent Embedding scheme with neural field improves the performance both on mAP and NDS, which demonstrates that Recurrent Embedding scheme is able to encode 3D features at different timestamps. Finally, with Temporal LiDAR Neural Field, TREND achieves the best performance both on mAP and NDS, showing that Temporal Neural Field better utilizes the temporal information in LiDAR sequence for unsupervised 3D pre-training.

T-SNE for TREND's features. We explored whether TREND's pre-trained features can distinguish between moving and static objects. We applied T-SNE [78] on TREND's features together with moving/static labels from [79]. In Figure 3, where static points are colored with orange and moving ones with grey blue, it can be found that although some noise exists, TREND's features for moving and static objects are generally separable after unsupervised pre-training.

5 Conclusion

In this paper, we propose TREND for unsupervised 3D representation learning via temporal fore-casting, addressing the temporal embedding and scene representing challenges. With extensive experiments, we demonstrate that TREND is superior in improving downstream performance compared to previous SOTA techniques on various datasets and tasks. These results demonstrate the effectiveness of temporal forecasting in 3D pre-training. We believe TREND will facilitate our understanding on 3D perception in autonomous driving.

Acknowledgments and Disclosure of Funding

This paper is supported by the general research fund of Hong Kong 17208825 and 17209324, and the Global Industrial Technology Cooperation Center (GITCC) through a grant agreement with the Korea Institute for Advancement of Technology (KIAT), project number P0028922.

References

- [1] Yan Yan, Yuxing Mao, and Bo Li. Second: Sparsely embedded convolutional detection. *Sensors*, 18(10):3337, 2018.
- [2] Tianwei Yin, Xingyi Zhou, and Philipp Krahenbuhl. Center-based 3d object detection and tracking. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, pages 11784–11793, 2021.
- [3] Shaoshuai Shi, Chaoxu Guo, Li Jiang, Zhe Wang, Jianping Shi, Xiaogang Wang, and Hongsheng Li. Pv-rcnn: Point-voxel feature set abstraction for 3d object detection. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 2020.
- [4] Shaoshuai Shi, Li Jiang, Jiajun Deng, Zhe Wang, Chaoxu Guo, Jianping Shi, Xiaogang Wang, and Hongsheng Li. Pv-rcnn++: Point-voxel feature set abstraction with local vector representation for 3d object detection. *arXiv preprint arXiv:2102.00463*, 2021.
- [5] Lue Fan, Ziqi Pang, Tianyuan Zhang, Yu-Xiong Wang, Hang Zhao, Feng Wang, Naiyan Wang, and Zhaoxiang Zhang. Embracing single stride 3d object detector with sparse transformer. *arXiv preprint arXiv:2112.06375*, 2021.
- [6] Xuyang Bai, Zeyu Hu, Xinge Zhu, Qingqiu Huang, Yilun Chen, Hongbo Fu, and Chiew-Lan Tai. Transfusion: Robust lidar-camera fusion for 3d object detection with transformers. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, pages 1090–1099, 2022.
- [7] Jiageng Mao, Shaoshuai Shi, Xiaogang Wang, and Hongsheng Li. 3d object detection for autonomous driving: A comprehensive survey. *International Journal of Computer Vision*, 131(8):1909–1963, 2023.
- [8] Fangzhou Hong, Hui Zhou, Xinge Zhu, Hongsheng Li, and Ziwei Liu. Lidar-based panoptic segmentation via dynamic shifting network. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, pages 13090–13099, 2021.
- [9] Hui Zhou, Xinge Zhu, Xiao Song, Yuexin Ma, Zhe Wang, Hongsheng Li, and Dahua Lin. Cylinder3d: An effective 3d framework for driving-scene lidar semantic segmentation. *arXiv* preprint arXiv:2008.01550, 2020.
- [10] Tai Wang, Conghui He, Zhe Wang, Jianping Shi, and Dahua Lin. Flava: Find, localize, adjust and verify to annotate lidar-based point clouds. In *Adjunct Proceedings of the 33rd Annual ACM Symposium on User Interface Software and Technology*, pages 31–33, 2020.
- [11] Saining Xie, Jiatao Gu, Demi Guo, Charles R Qi, Leonidas Guibas, and Or Litany. Pointcontrast: Unsupervised pre-training for 3d point cloud understanding. In *Computer Vision–ECCV 2020: 16th European Conference, Glasgow, UK, August 23–28, 2020, Proceedings, Part III 16*, pages 574–591. Springer, 2020.
- [12] Ji Hou, Benjamin Graham, Matthias Nießner, and Saining Xie. Exploring data-efficient 3d scene understanding with contrastive scene contexts. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, pages 15587–15597, 2021.
- [13] Yunze Liu, Li Yi, Shanghang Zhang, Qingnan Fan, Thomas Funkhouser, and Hao Dong. P4contrast: Contrastive learning with pairs of point-pixel pairs for rgb-d scene understanding. *arXiv* preprint arXiv:2012.13089, 2020.

- [14] Siyuan Huang, Yichen Xie, Song-Chun Zhu, and Yixin Zhu. Spatio-temporal self-supervised representation learning for 3d point clouds. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pages 6535–6545, 2021.
- [15] Hanxue Liang, Chenhan Jiang, Dapeng Feng, Xin Chen, Hang Xu, Xiaodan Liang, Wei Zhang, Zhenguo Li, and Luc Van Gool. Exploring geometry-aware contrast and clustering harmonization for self-supervised 3d object detection. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pages 3293–3302, 2021.
- [16] Runjian Chen, Yao Mu, Runsen Xu, Wenqi Shao, Chenhan Jiang, Hang Xu, Zhenguo Li, and Ping Luo. Co³: Cooperative unsupervised 3d representation learning for autonomous driving. arXiv preprint arXiv:2206.04028, 2022.
- [17] Honghui Yang, Tong He, Jiaheng Liu, Hua Chen, Boxi Wu, Binbin Lin, Xiaofei He, and Wanli Ouyang. Gd-mae: generative decoder for mae pre-training on lidar point clouds. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 9403–9414, 2023.
- [18] Runsen Xu, Tai Wang, Wenwei Zhang, Runjian Chen, Jinkun Cao, Jiangmiao Pang, and Dahua Lin. Mv-jar: Masked voxel jigsaw and reconstruction for lidar-based self-supervised pre-training. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 13445–13454, 2023.
- [19] Di Huang, Sida Peng, Tong He, Honghui Yang, Xiaowei Zhou, and Wanli Ouyang. Ponder: Point cloud pre-training via neural rendering. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pages 16089–16098, 2023.
- [20] Haoyi Zhu, Honghui Yang, Xiaoyang Wu, Di Huang, Sha Zhang, Xianglong He, Tong He, Hengshuang Zhao, Chunhua Shen, Yu Qiao, et al. Ponderv2: Pave the way for 3d foundataion model with a universal pre-training paradigm. *arXiv preprint arXiv:2310.08586*, 2023.
- [21] Honghui Yang, Sha Zhang, Di Huang, Xiaoyang Wu, Haoyi Zhu, Tong He, Shixiang Tang, Hengshuang Zhao, Qibo Qiu, Binbin Lin, et al. Unipad: A universal pre-training paradigm for autonomous driving. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 15238–15250, 2024.
- [22] Tarasha Khurana, Peiyun Hu, David Held, and Deva Ramanan. Point cloud forecasting as a proxy for 4d occupancy forecasting. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 1116–1124, 2023.
- [23] Ben Agro, Quinlan Sykora, Sergio Casas, Thomas Gilles, and Raquel Urtasun. Uno: Unsupervised occupancy fields for perception and forecasting. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 14487–14496, 2024.
- [24] Lunjun Zhang, Yuwen Xiong, Ze Yang, Sergio Casas, Rui Hu, and Raquel Urtasun. Learning unsupervised world models for autonomous driving via discrete diffusion. *arXiv* preprint *arXiv*:2311.01017, 2023.
- [25] Ben Mildenhall, Pratul P Srinivasan, Matthew Tancik, Jonathan T Barron, Ravi Ramamoorthi, and Ren Ng. Nerf: Representing scenes as neural radiance fields for view synthesis. *Communications of the ACM*, 65(1):99–106, 2021.
- [26] Peng Wang, Lingjie Liu, Yuan Liu, Christian Theobalt, Taku Komura, and Wenping Wang. Neus: Learning neural implicit surfaces by volume rendering for multi-view reconstruction. *arXiv preprint arXiv:2106.10689*, 2021.
- [27] Jiageng Mao, Minzhe Niu, Chenhan Jiang, Hanxue Liang, Jingheng Chen, Xiaodan Liang, Yamin Li, Chaoqiang Ye, Wei Zhang, Zhenguo Li, et al. One million scenes for autonomous driving: Once dataset. *arXiv preprint arXiv:2106.11037*, 2021.
- [28] Holger Caesar, Varun Bankiti, Alex H Lang, Sourabh Vora, Venice Erin Liong, Qiang Xu, Anush Krishnan, Yu Pan, Giancarlo Baldan, and Oscar Beijbom. nuscenes: A multimodal dataset for autonomous driving. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 11621–11631, 2020.

- [29] Pei Sun, Henrik Kretzschmar, Xerxes Dotiwalla, Aurelien Chouard, Vijaysai Patnaik, Paul Tsui, James Guo, Yin Zhou, Yuning Chai, Benjamin Caine, et al. Scalability in perception for autonomous driving: Waymo open dataset. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 2446–2454, 2020.
- [30] J. Behley, M. Garbade, A. Milioto, J. Quenzel, S. Behnke, C. Stachniss, and J. Gall. SemanticKITTI: A Dataset for Semantic Scene Understanding of LiDAR Sequences. In *Proc. of the IEEE International Conf. on Computer Vision (ICCV)*, 2019.
- [31] Jiakang Yuan, Bo Zhang, Xiangchao Yan, Botian Shi, Tao Chen, Yikang Li, and Yu Qiao. Ad-pt: Autonomous driving pre-training with large-scale point cloud dataset. *Advances in Neural Information Processing Systems*, 36, 2024.
- [32] Xiangchao Yan, Runjian Chen, Bo Zhang, Jiakang Yuan, Xinyu Cai, Botian Shi, Wenqi Shao, Junchi Yan, Ping Luo, and Yu Qiao. Spot: Scalable 3d pre-training via occupancy prediction for autonomous driving. *arXiv preprint arXiv:2309.10527*, 2023.
- [33] Yanhao Wu, Tong Zhang, Wei Ke, Sabine Süsstrunk, and Mathieu Salzmann. Spatiotemporal self-supervised learning for point clouds in the wild. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 5251–5260, 2023.
- [34] Bo Pang, Hongchi Xia, and Cewu Lu. Unsupervised 3d point cloud representation learning by triangle constrained contrast for autonomous driving. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 5229–5239, 2023.
- [35] Weijie Wei, Fatemeh Karimi Nejadasl, Theo Gevers, and Martin R Oswald. T-mae: Temporal masked autoencoders for point cloud representation learning. arXiv preprint arXiv:2312.10217, 2023.
- [36] Zetong Yang, Li Chen, Yanan Sun, and Hongyang Li. Visual point cloud forecasting enables scalable autonomous driving. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 14673–14684, 2024.
- [37] Corentin Sautier, Gilles Puy, Spyros Gidaris, Alexandre Boulch, Andrei Bursuc, and Renaud Marlet. Image-to-lidar self-supervised distillation for autonomous driving data. In *Proceedings* of the IEEE/CVF Conference on Computer Vision and Pattern Recognition, pages 9891–9901, 2022.
- [38] Xiang Xu, Lingdong Kong, Hui Shuai, Liang Pan, Ziwei Liu, and Qingshan Liu. Limoe: Mixture of lidar representation learners from automotive scenes. In *Proceedings of the Computer Vision and Pattern Recognition Conference*, pages 27368–27379, 2025.
- [39] Xiaoyang Wu, Daniel DeTone, Duncan Frost, Tianwei Shen, Chris Xie, Nan Yang, Jakob Engel, Richard Newcombe, Hengshuang Zhao, and Julian Straub. Sonata: Self-supervised learning of reliable point representations. In *Proceedings of the Computer Vision and Pattern Recognition Conference*, pages 22193–22204, 2025.
- [40] Siming Yan, Zhenpei Yang, Haoxiang Li, Chen Song, Li Guan, Hao Kang, Gang Hua, and Qixing Huang. Implicit autoencoder for point-cloud self-supervised representation learning. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pages 14530–14542, 2023.
- [41] Christoph Vogel, Konrad Schindler, and Stefan Roth. 3d scene flow estimation with a piecewise rigid scene model. *International Journal of Computer Vision*, 115:1–28, 2015.
- [42] Xingyu Liu, Charles R Qi, and Leonidas J Guibas. Flownet3d: Learning scene flow in 3d point clouds. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, pages 529–537, 2019.
- [43] Sundar Vedula, Peter Rander, Robert Collins, and Takeo Kanade. Three-dimensional scene flow. *IEEE transactions on pattern analysis and machine intelligence*, 27(3):475–480, 2005.

- [44] Zirui Wang, Shuda Li, Henry Howard-Jenkins, Victor Prisacariu, and Min Chen. Flownet3d++: Geometric losses for deep scene flow estimation. In *Proceedings of the IEEE/CVF winter conference on applications of computer vision*, pages 91–98, 2020.
- [45] Moritz Menze and Andreas Geiger. Object scene flow for autonomous vehicles. In *Proceedings* of the IEEE conference on computer vision and pattern recognition, pages 3061–3070, 2015.
- [46] Ye Zhang and Chandra Kambhamettu. On 3d scene flow and structure estimation. In *Proceedings* of the 2001 IEEE Computer Society Conference on Computer Vision and Pattern Recognition. CVPR 2001, volume 2, pages II—II. IEEE, 2001.
- [47] Qingwen Zhang, Yi Yang, Peizheng Li, Olov Andersson, and Patric Jensfelt. Seflow: A self-supervised scene flow method in autonomous driving. In *European Conference on Computer Vision*, pages 353–369. Springer, 2024.
- [48] Kyle Vedder, Neehar Peri, Ishan Khatri, Siyi Li, Eric Eaton, Mehmet Kocamaz, Yue Wang, Zhiding Yu, Deva Ramanan, and Joachim Pehserl. Scene flow as a partial differential equation. *arXiv e-prints*, pages arXiv–2410, 2024.
- [49] Jiuming Liu, Guangming Wang, Weicai Ye, Chaokang Jiang, Jinru Han, Zhe Liu, Guofeng Zhang, Dalong Du, and Hesheng Wang. Difflow3d: toward robust uncertainty-aware scene flow estimation with iterative diffusion-based refinement. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 15109–15119, 2024.
- [50] Shaoshuai Shi, Xiaogang Wang, and Hongsheng Li. Pointrenn: 3d object proposal generation and detection from point cloud. In *The IEEE Conference on Computer Vision and Pattern Recognition (CVPR)*, June 2019.
- [51] Shaoshuai Shi, Zhe Wang, Jianping Shi, Xiaogang Wang, and Hongsheng Li. From points to parts: 3d object detection from point cloud with part-aware and part-aggregation network. *IEEE transactions on pattern analysis and machine intelligence*, 43(8):2647–2664, 2020.
- [52] Hui Zhou, Xinge Zhu, Xiao Song, Yuexin Ma, Zhe Wang, Hongsheng Li, and Dahua Lin. Cylinder3d: An effective 3d framework for driving-scene lidar semantic segmentation. *arXiv* preprint arXiv:2008.01550, 2020.
- [53] Yuenan Hou, Xinge Zhu, Yuexin Ma, Chen Change Loy, and Yikang Li. Point-to-voxel knowledge distillation for lidar semantic segmentation. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, pages 8479–8488, 2022.
- [54] Hengshuang Zhao, Li Jiang, Jiaya Jia, Philip HS Torr, and Vladlen Koltun. Point transformer. In *Proceedings of the IEEE/CVF international conference on computer vision*, pages 16259–16268, 2021.
- [55] Xiaoyang Wu, Yixing Lao, Li Jiang, Xihui Liu, and Hengshuang Zhao. Point transformer v2: Grouped vector attention and partition-based pooling. Advances in Neural Information Processing Systems, 35:33330–33342, 2022.
- [56] Xiaoyang Wu, Li Jiang, Peng-Shuai Wang, Zhijian Liu, Xihui Liu, Yu Qiao, Wanli Ouyang, Tong He, and Hengshuang Zhao. Point transformer v3: Simpler faster stronger. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 4840–4851, 2024.
- [57] Xin Lai, Yukang Chen, Fanbin Lu, Jianhui Liu, and Jiaya Jia. Spherical transformer for lidar-based 3d recognition. In *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*, pages 17545–17555, 2023.
- [58] Guolin Ke, Di He, and Tie-Yan Liu. Rethinking positional encoding in language pre-training. In *International Conference on Learning Representations*, 2021.
- [59] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. *Advances in neural information processing systems*, 30, 2017.

- [60] Shengyu Huang, Zan Gojcic, Zian Wang, Francis Williams, Yoni Kasten, Sanja Fidler, Konrad Schindler, and Or Litany. Neural lidar fields for novel view synthesis. In *Proceedings of the IEEE/CVF International Conference on Computer Vision*, pages 18236–18246, 2023.
- [61] Zehan Zheng, Fan Lu, Weiyi Xue, Guang Chen, and Changjun Jiang. Lidar4d: Dynamic neural fields for novel space-time view lidar synthesis. In *Proceedings of the IEEE/CVF Conference* on Computer Vision and Pattern Recognition, pages 5145–5154, 2024.
- [62] Tang Tao, Longfei Gao, Guangrun Wang, Yixing Lao, Peng Chen, Hengshuang Zhao, Dayang Hao, Xiaodan Liang, Mathieu Salzmann, and Kaicheng Yu. Lidar-nerf: Novel lidar view synthesis via neural radiance fields. *arXiv preprint arXiv:2304.10406*, 2023.
- [63] Tony Chan and Wei Zhu. Level set based shape prior segmentation. In 2005 IEEE Computer Society Conference on Computer Vision and Pattern Recognition (CVPR'05), volume 2, pages 1164–1170. IEEE, 2005.
- [64] Ravi Malladi, James A Sethian, and Baba C Vemuri. Shape modeling with front propagation: A level set approach. *IEEE transactions on pattern analysis and machine intelligence*, 17(2):158–175, 1995.
- [65] Adam Paszke, Sam Gross, Francisco Massa, Adam Lerer, James Bradbury, Gregory Chanan, Trevor Killeen, Zeming Lin, Natalia Gimelshein, Luca Antiga, et al. Pytorch: An imperative style, high-performance deep learning library. Advances in neural information processing systems, 32, 2019.
- [66] Han Wang, Chen Wang, and Lihua Xie. Intensity-slam: Intensity assisted localization and mapping for large scale environment. *IEEE Robotics and Automation Letters*, 6(2):1715–1721, 2021.
- [67] Yoshua Bengio, Jérôme Louradour, Ronan Collobert, and Jason Weston. Curriculum learning. In Proceedings of the 26th annual international conference on machine learning, pages 41–48, 2009.
- [68] Xin Wang, Yudong Chen, and Wenwu Zhu. A survey on curriculum learning. *IEEE transactions on pattern analysis and machine intelligence*, 44(9):4555–4576, 2021.
- [69] Naftali Tishby, Fernando C Pereira, and William Bialek. The information bottleneck method. *arXiv preprint physics/0004057*, 2000.
- [70] Alessandro Achille and Stefano Soatto. Emergence of invariance and disentanglement in deep representations. *Journal of Machine Learning Research*, 19(50):1–34, 2018.
- [71] Yao-Hung Hubert Tsai, Yue Wu, Ruslan Salakhutdinov, and Louis-Philippe Morency. Self-supervised learning from a multi-view perspective. *arXiv* preprint arXiv:2006.05576, 2020.
- [72] Haoqing Wang, Xun Guo, Zhi-Hong Deng, and Yan Lu. Rethinking minimal sufficient representation in contrastive learning. In *Proceedings of the IEEE/CVF conference on computer vision and pattern recognition*, pages 16041–16050, 2022.
- [73] Alexandre Boulch, Corentin Sautier, Björn Michele, Gilles Puy, and Renaud Marlet. ALSO: Automotive lidar self-supervision by occupancy estimation. In *CVPR*, 2023.
- [74] Chen Min, Liang Xiao, Dawei Zhao, Yiming Nie, and Bin Dai. Occupancy-mae: Self-supervised pre-training large-scale lidar point clouds with masked occupancy autoencoders. *IEEE Transac*tions on Intelligent Vehicles, 2023.
- [75] OpenPCDet Development Team. Openpcdet: An open-source toolbox for 3d object detection from point clouds. https://github.com/open-mmlab/OpenPCDet, 2020.
- [76] Kaiming He, Ross Girshick, and Piotr Dollár. Rethinking imagenet pre-training. In *Proceedings* of the IEEE/CVF international conference on computer vision, pages 4918–4927, 2019.
- [77] Benjamin Graham and Laurens Van der Maaten. Submanifold sparse convolutional networks. *arXiv preprint arXiv:1706.01307*, 2017.

- [78] Laurens Van der Maaten and Geoffrey Hinton. Visualizing data using t-sne. *Journal of machine learning research*, 9(11), 2008.
- [79] Benedikt Mersch, Xieyuanli Chen, Ignacio Vizzo, Lucas Nunes, Jens Behley, and Cyrill Stachniss. Receding moving object segmentation in 3d lidar data using sparse 4d convolutions. *IEEE Robotics and Automation Letters*, 7(3):7503–7510, 2022.
- [80] Guikun Chen and Wenguan Wang. A survey on 3d gaussian splatting. arXiv preprint arXiv:2401.03890, 2024.
- [81] Tong Wu, Yu-Jie Yuan, Ling-Xiao Zhang, Jie Yang, Yan-Pei Cao, Ling-Qi Yan, and Lin Gao. Recent advances in 3d gaussian splatting. Computational Visual Media, 10(4):613–642, 2024.
- [82] Ben Fei, Jingyi Xu, Rui Zhang, Qingyuan Zhou, Weidong Yang, and Ying He. 3d gaussian splatting as new era: A survey. *IEEE Transactions on Visualization and Computer Graphics*, 2024.

NeurIPS Paper Checklist

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [Yes].

Justification: In Abstract and Section 1, we discuss the contributions and scope of the paper. Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [Yes]

Justification: We discuss the limitation in Appendix I.

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory assumptions and proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [NA]

Justification: There is no theoretical result in this paper.

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental result reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: We provide implementation details in Section 4.1 and Appendix A.

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general, releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
- (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
- (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
- (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
- (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [Yes]

Justification: We will publish code and models.

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (https://nips.cc/public/guides/CodeSubmissionPolicy) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so "No" is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (https://nips.cc/public/guides/CodeSubmissionPolicy) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental setting/details

Question: Does the paper specify all the training and test details (e.g., data splits, hyper-parameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: We discuss the setting in Section 4.1.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment statistical significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [Yes]

Justification: We discuss it in Section 4.2 and 4.3.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).
- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error
 of the mean.

- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments compute resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

Justification: We discuss this in Appendix A and Section 3.6.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code of ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics https://neurips.cc/public/EthicsGuidelines?

Answer: [Yes]
Justification:
Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [Yes]

Justification: We discuss broader impacts in Appendix H.

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.
- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to

generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.

- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]
Justification:
Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do
 not require this, but we encourage authors to take this into account and make a best
 faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [Yes]

Justification: We cite the related papers.

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.
- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.
- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. New assets

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [NA]
Justification:
Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. Crowdsourcing and research with human subjects

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]
Justification:
Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. Institutional review board (IRB) approvals or equivalent for research with human subjects

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]
Justification:
Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.

16. Declaration of LLM usage

Question: Does the paper describe the usage of LLMs if it is an important, original, or non-standard component of the core methods in this research? Note that if the LLM is used only for writing, editing, or formatting purposes and does not impact the core methodology, scientific rigorousness, or originality of the research, declaration is not required.

Answer: [NA]

Justification:

Guidelines:

- The answer NA means that the core method development in this research does not involve LLMs as any important, original, or non-standard components.
- Please refer to our LLM policy (https://neurips.cc/Conferences/2025/LLM) for what should or should not be described.

A More Implementation Details.

During pre-training of TREND, we set the curriculum learning epoch as $N_{\rm curri}^1=12$ and $N_{\rm curri}^2=36$. We use mask augmentation for TREND with a masking rate of 0.9. The ego-motion (action) information is directly computed from the ego-vehicle poses provided in standard autonomous driving datasets (from IMU or GPS). All experiments are implemented with Pytorch framework. All pre-trainings are conducted on 8 A100 GPUs with batch size equals to 3 per GPU. All downstream tasks are trained on 4 A100 GPUs with default settings in OpenPCDet [75] except for training iterations. We will release code and pre-trained models.

B Repeated Evaluation.

We use the same random seed in all experiments in the main paper for reproducibility. As repeated evaluation can further reveal the training robustness, we further repeat the experiment on Once (20% downstream data) for 5 times and compute the mean and standard deviation of the results for randomly initialization, UniPAD and TREND, which are shown in Table 7. It can be found that TREND still achieves the best performance in mAP while largely reducing the standard deviation. This means pre-training by TREND alleviates the influence of random seed and makes training more stable.

Init.	mAP	Vehicle	Pedestrian	Cyclist
Rand.	57.29±0.29	68.99 ± 0.10	43.29 ± 0.93	59.59 ± 0.23
UniPAD	58.00 ± 0.32	71.78 ± 0.16	41.78 ± 0.70	60.44 ± 0.48
TREND	58.74 ± 0.11	73.07 ± 0.16	42.02 ± 0.39	61.12 ± 0.22

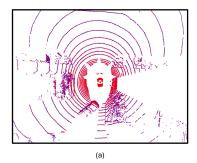
Table 7: Results for repeated evaluation on Once dataset [27] with 20% downstream data. Mean and variance are in %.

C More Experiments on NuScenes

In this section, we conduct more fine-tuning experiments on NuScenes dataset. Specifically, we randomly sample 2.5% and 5% of NuScenes training set and train the randomly initialization model [6] until convergence is observed. Then we apply the pre-trained weight by TREND to initialize the model [6] and fine-tune it with the same training iterations. Results are shown in Table 8. It can be found that TREND consistently improve the performance in downstream 3D object detection task with different ratio of downstream training data.

Init.	F.T.	mAP	NDS	Car	Truck	Bus	Barrier	Mot.	Bic.	Ped.	T.C.
Rand.	2.5%	45.35	55.36	76.74	40.89	50.07	57.48	41.58	26.13	76.67	55.77
TREND	2.5%	45.79 ^{+0.64}	56.23 ^{+0.87}	77.74	42.96	50.78	59.39	40.37	23.48	77.22	57.51
Rand.		51.56									
TREND	370	52.02 ^{+0.46}	61.02 ^{+0.78}	80.54	48.15	57.93	63.57	52.59	36.92	79.99	60.94

Table 8: Results for few shot fine-tuning on NuScenes [28] dataset. We randomly sample 2.5% and 5% of labeled point clouds in the training set and use Transfusion [6] as the downstream model for all the experiments here. Results of overall performance (mAP) and different categories (APs) are provided. "Init." indicates the initialization methods. "Rand" indicates the results where we gradually increase training iterations for train-from-scratch model until convergence is observed. Mot., Bic., Ped. and T.C. are abbreviations for Motorcycle, Bicycle, Pedestrian and Traffic Cone. We use green color to highlight the performance improvement brought by different initialization methods and bold fonts for best performance in mAP and NDS. All the results are in %.



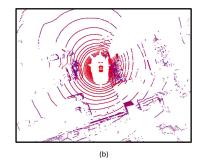


Figure 4: Forecasting Results. Blue points are raw observation from the dataset and red ones are prediction from TREND.

D Pre-training Decoder Choice Discussion.

There exists several other decoder choice including occupancy decoder [22, 32] and 3DGS (gaussian splatting) [80, 81, 82]. However, we consider neural-field decoder a more reasonable choice because the rendering process actively involve empty space into pre-training, of which information is actually crucial in LiDAR perception: a) In 3D perception, not only does the detectors need to detect where the objects are but also need to predict characteristics of objects (category, size, velocity and so on). Only use occupied space will harm the performance of 3D detectors. b) Almost all of the current SOTA 3D detectors [1, 2, 3, 4, 5, 6, 7] first generate a dense BEV-view feature map and predict the bounding boxes and object characteristics based on the dense feature map, which describes both occupied and empty space. c) Neural fields models signed distance values and model the entire scene including occupied and empty space, capturing the relationship between points and their surrounding space. This is significant for understanding scene geometry and potential object trajectories, providing a complete understanding of the environment. We further conduct experiments with 3DGS, occupancy, Copilot4D [24] and ViDAR [36] decoder on NuScenes. Downstream results of mAPs are 31.06% (random init), 30.84% (occupancy), 31.90% (GS-TREND), 31.08% (Copilot4D), 30.76% (ViDAR) and 33.17% (TREND). It can be found that replacing neural field in TREND with 3DGS or occupancy decoder degrades the representation quality.

E More Visualizations

Forecasting results of TREND. Qualitative visualization would enhance understanding of how TREND learns object motions. We first generate a visualization of forecasting results from TREND in Figure 4, where blue points are observation from dataset and red ones are prediction from TREND. As the forecasting error is small, it can be found that the difference is hard to be observed on the figure. Furthermore, we compute Mean Square Error on range prediction. Furthermore, we compute this error for moving objects and static objects respectively. Results (in meters) are: 0.0140. It can be found that the error is in centi-meter scale.

F Experiments on Hyper-parameter Sensitivity and Curriculum Learning.

Forecasting Length. In our experiments, we used a maximum forecast horizon of 4 frames (approximately 2 seconds). We conduct experiments on shorter and longer horizons on Once with 100% downstream labels. Results are 65.65% (3 frames), 66.09% (4 frames) and 65.33% (5 frames). We observe that representation quality first increases and then decreases when we add more timestamps for forecasting. First of all, it demonstrates that temporal information helps representation learning. Then, as longer sequence are not as predictable as shorter ones, the representation quality degrades after 2 seconds.

Curriculum Learning Strategy. The curriculum learning strategy is indeed important for TREND's performance. We conducted an ablation experiment training TREND without curriculum learning on Once dataset with 100% downstream labels. Result of mAP is 65.34%. It show that without

curriculum learning, performance of TREND drops by 0.75%, demonstrating that gradually increasing forecasting complexity is crucial for effective representation learning.

Masking Rate. The 90 % masking rate is determined empirically. We further conduct sensitivity study on masking rates on Nuscenes. Results of mAP are 32.56% for 80 percent masking rate, 33.17% for 90 percent, and 32.88% for 95 percent. While masking contributes to performance improvement, the temporal forecasting remains the primary driver of TREND's effectiveness.

Sampling Strategy. When sampling rays for rendering, we first filter ground LiDAR points (most of the ground points are background and less informative in pre-training) using the height of LiDAR sensor, which is originally provided in the datasets. Then we conduct uniform sampling. To investigate the influence of sampling strategy, we conduct ablation study of different sampling strategies including fully uniform sampling, farthest point sampling, uniform sampling with ground points filtering and farthest point sampling with ground points filtering. The experiments are conducted on NuScenes dataset. Results on mAP are 31.65% for FPS, 31.68% for uniform sampling, 32.52% for FPS with ground point filtering and 33.17% for uniform sampling with ground point filtering. It can be found that different sampling strategies make little difference but filtering ground points matters because ground points are background and less informative for the backbone pre-training.

G Comparison to pre-training method leveraging 2D images.

Leveraging 2D image priors to pre-traing LiDAR encoder also serves as a promising direction. Research efforts include SLiDR [37], LiMoE [38] and Sonota [39]. We further conduct experiments comparing these methods. As LiMoE([38])'s official repository only publish the first stage of its training, our experiment here utilize this part of code to pre-train the same LiDAR backbone we use. We also apply Sonota [39] to pre-train the same LiDAR backbone we use. Experiments are conducted on NuScenes dataset. Results are as belows:

Init.	mAP	NDS
From-scratch	31.06	44.75
LiMoE (first stage)	32.21	45.61
Sonota	32.32	46.07
TREND	33.17	46.21

It can be found that incorporating 2D prior yields similar results (a bit lower; <1% difference in mAP and NDS) as TREND, demonstrating the effectiveness of distillation from 2D prior. Meanwhile, as TREND only uses LiDAR modality for pre-training, it can be demonstrated that incorporating temporal information helps learn good 3D representations for downstream perception task. It would be a promising direction to bring both 2D prior and temporal information for pre-training.

H Broader Impact

This paper presents TREND, an unsupervised 3D representation learning method for LiDAR perception tasks in autonomous driving. There are three potential societal consequences of our work.

Enhanced Safety and Robustness. As experiment results show, TREND is able to improve performance on different tasks in autonomous driving, which enables autonomous vehicles (AVs) to better understand and adapt to complex environments without relying on extensive labeled datasets. This can lead to improved generalization across diverse road conditions, reducing the risk of accidents caused by unseen scenarios or edge cases.

Environmental and Economic Benefits. By reducing the reliance on manually annotated data, TREND lowers the computational and labor costs associated with dataset creation. Also, improved AV perception can lead to more energy-efficient driving behaviors, reducing fuel consumption.

Job Displacement and Workforce Transition. The adoption of unsupervised 3D pre-training in AVs could accelerate automation in the transportation sector, potentially displacing jobs in trucking, taxi services, and delivery industries.

I Limitations

While TREND demonstrates significant improvements over previous unsupervised 3D representation learning methods, two limitations should be acknowledged.

- (1) Our approach shows varying effectiveness across different object classes. As observed in our experiments, TREND achieves substantial improvements for vehicle and cyclist classes but on Once dataset shows limited gains for pedestrian detection in low-data regimes. This is likely because pedestrians appear as cylinder-like shapes in LiDAR point clouds, making them less distinguishable from other similar structures in the environment.
- (2) Our method currently focuses on the geometric aspects of temporal forecasting without explicitly modeling semantic. Incorporating semantic priors from other sensors like camera could potentially enhance the learned representations.