
From Stroke to Finite Automata: An Offline Recognition Approach

Abstract

1 A major challenge in making online education easier and more effective lies in
2 developing automatic recognition, interpretation, and grading systems that can
3 provide meaningful feedback to lecturers and students. Formal Languages and
4 Automata Theory is a major module for many computer science and computing
5 programmes worldwide. In such a module, students are taught how to design a
6 finite state machine to recognise words in any given language. Despite the wide
7 acceptance of this module by most universities across the globe, most students
8 find this module difficult, boring and too abstract. Several research has been
9 conducted on how to make this module interesting, but there still exist some gap.
10 In this work, we propose and implement a system that assistant learners in learning
11 this module. The system is in two units: unit one focus on offline recognition
12 of hand-drawn finite automata diagram and the second unit focus on the tutor
13 system. The system produced a 97% recognition rate. In future work, we intend
14 to use formal grammars (second unit) to represent the recognised FA components,
15 this will be used to automatically parse the output of the recognition system to
16 determine if valid FA has been drawn.

17 1 Introduction and Problem Statement

18 Research in the area of handwritten document recognition is gradually shifting from normal text to
19 the recognition of structured diagrams such as maths notation [1], music notation [2], engineering
20 drawings [3], and UML¹ [4]. Structured diagram recognition systems can be distinguished by the
21 systems taking online input in an interactive manner from the system user (e.g. the user draws
22 using electronic input devices such as tablets, electronic pens), or the systems that perform image
23 processing on scanned paper documents obtained offline. This paper focuses on how to perform offline
24 recognition on finite automata (FA) diagrams, which will be done using scanned or photographed
25 paper documents obtained from students. Few structured diagram recognition are in existence, but to
26 the best of our knowledge, this work will be the first to implement a system that can automatically
27 recognise an hand-drawn FA image and automatically generate its corresponding *transition table*.

28 This work attempts to solve a major problem faced by computer science (CS) students taking a course
29 in formal language and automata theory (FLAT). We conducted a survey and realised that over 95%
30 of Africa universities offer FLAT course in CS. Also, over 80% of the students find this course too
31 difficult, abstract and boring. Numerous research has been conducted on how to make the course
32 content of FLAT interesting and help learners on how to conceptualise the different topics [5–7]. The
33 research conducted in [8] attempted to find out the difficulties experienced by FLAT learners; they
34 identified problem-solving as the major challenge. FLAT tools used for visualization such as JFLAP²
35 [9] helps learners get an idea of the given problem and also check their solutions, however, these
36 tools do not help them to further develop their problem-solving skills to a certain acceptable stage.
37 To further develop their skills, most learners work on extra problems with feedback at each stage. In
38 this work, we propose a tool that can serve as a tutor and help overcome all this challenge.

¹Unified modelling Language

²Java Formal Language and Automata Packages

39 The developed tool focuses on the offline ap-
 40 proach to learning FAs (see Figure 1). In this,
 41 we present an image recogniser trained to recog-
 42 nise hand-drawn FA diagrams (using machine
 43 learning approaches). Learners are allowed to
 44 draw FA images using their pen and paper and
 45 then take a picture/ capture of their drawn im-
 46 ages using either their phone camera or scanners.

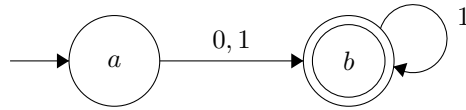


Figure 1: A Finite Automaton.

47 The captured FA image is uploaded into the tutor and the corresponding recognition button is pressed
 48 and the process begins. Once the process is done, the learner is given the option to save the recognised
 49 image as a .PNG or proceed to the next unit (within the tutoring system) where the corresponding
 50 transition table of the recognized image (output) can be generated by pressing the generate transition
 51 table button (using formal grammar technique). Once this button is pressed, the system checks if a
 52 valid FA diagram has been drawn and it automatically grades the student and give back suggestions
 53 and feedback on how to improve on her drawing in the future.

54 Due to the theme of this workshop, we focus on the recognition aspect (machine learning) of this
 55 work and leave the formal computing (grammar) aspect out. The recognition system is implemented
 56 and tested in phases: Data Acquisition and Annotation → Pre-processing and Thinning → Stroke
 57 Extraction → Text and Graphics Separation → Segmentation → Arrow Detection and Recognition
 58 → Shape Detection and Recognition → Text Recognition and Evaluation.

59 2 Methodology and Technical Contributions

60 To the best of our knowledge, this work will be the first to create a database³ and recognise FA
 61 diagrams using an offline approach. We collected 500 FA images (BB_a) from students and split
 62 them into — 300 training images, 150 test images, and 50 validation images. We developed a tool to
 63 annotate these diagrams. During pre-processing, we removed noise and perform thresholding on the
 64 images. We improved on the existing Zhang-Suen thinning algorithm because of the nature of our
 65 drawing. The stroke extraction phase involves the extraction, merging and labelling of strokes. We
 66 used Markov Random Fields (energy function – unary and pairwise energy function) to perform this
 67 task. During the text and graphics separation, we used bidirectional long short-term memory recurrent
 68 neural network (BLSTM-RNN). We biased the result of this classifier during our experiment and got
 69 a stroke classification accuracy of 97.2 on our dataset i.e. 96.8 for the graphics/shape class and 97.5
 70 for the class text. The classifier was tested on the IAM Online Database document, it achieved an
 accuracy of 96.5% on the database.

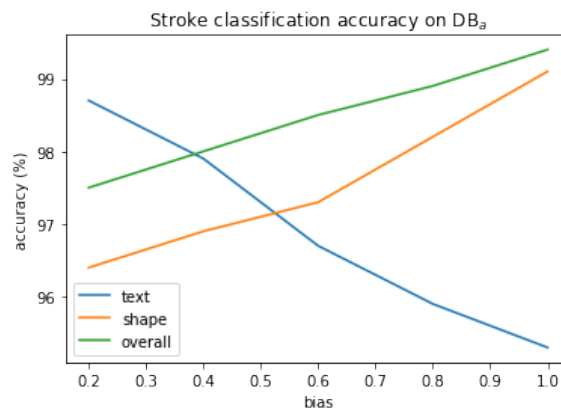


Figure 2: Text and stroke classification accuracy on DB_a .

71

72 During the segmentation phase, the characteristics of an FA diagram components (various components
 73 are made up of strokes that are somehow connected to each other, hence forming a closed structure

³will be made publicly available soon

74 of strokes) was utilised. We focus on finding the distance and convexity between the endpoints of
 75 consecutive strokes that form the FA structure. The values from the distance and convexity are used
 76 to reject improper strokes in the structure and to process and compute the confidence of promising
 77 strokes. To detect arrows or transitions, we developed an algorithm that searches and classifies an
 78 arrowhead and the shaft, the classifier accuracy is 98%. To detect and recognise circles or states,
 79 we used multiclass SVM classifier. We base the classifier on hybrid features capturing dynamic
 80 information and the visual appearance of symbols. Text recognition was done last. The input at this
 81 phase is the bounding box of the text layer extracted from the whole diagram during the text and
 82 graphics separation phase. We used Tesseract OCR [10] to process the content of the bounding boxes.
 83 The accuracy of the text recogniser was tested by comparing its output with the values of the input
 84 text and we got 92%.

Table 1: FA datasets

Database	Writers	Patterns	Diagrams	Strokes	Symbols
DB _a	50	10	500	40,855	19,568
DB _b	100	2	200	11,102	3,801

85 Table 2 shows a detailed overview of the datasets in Table 1 after separating them into training,
 86 validation and test dataset.

Table 2: FA database overview

		Writer	Patterns	Diagrams	Strokes	Symbols
DB _a	Training set	50	10	300	20,426	11,562
	Validation set	25	5	50	6,808	1,986
	Test set	50	10	150	13,621	6,020
DB _b	Training set	100	2	150	8,320	2,852
	Test set	50	2	50	2,782	949

87 After the whole recognition phase, to test how easy and quick our algorithm can learn to recognise
 88 more difficult diagrams, we went further to collect 200 images from students examination papers. We
 89 created a database for this called DB_b. The result of the whole recognition process is presented in
 90 Table 3.

91 The recognition system achieved high precision (see Table 3). We test the online FA dataset (we call
 92 it DB_{on}) of [11] using our algorithm. We went further to compare the performance of DB_{on} with
 93 DB_a and DB_b. The results are presented in Table 3.

Table 3: Stroke and symbol comparison between our algorithm and DB_{on}.

Symbol Class	Stroke Recognition			Symbol Recognition		
	DB _{on}	DB _a	DB _b	DB _{on}	DB _a	DB _b
State	96.1	96.2	90.4	95.0	94.5	87.9
Final State	94.4	96.8	91.0	95.2	95.7	87.6
Text	99	90	90	99	90	90
Start Arrow	96.2	97.4	89.8	94.1	96.0	87.6
Arrow	92.2	96.6	87.6	93.1	94.0	93.1

94 3 Future Work

95 We intend to extend the developed system for flowcharts and UML diagrams.

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