# Reproducibility study of "Data-Driven Methods for Balancing Fairness and Efficiency in Ride-Pooling"

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# **Reproducibility Summary**

### 2 Scope of reproducibility

<sup>3</sup> Our work attempts to verify two methods to mitigate forms of inequality in ride-pooling platforms proposed in the paper

4 Data-Driven Methods for Balancing Fairness and Efficiency in Ride-Pooling [1]: (1) integrating fairness constraints

5 into the objective functions and (2) redistributing income of drivers. We extend this paper by testing for robustness to a 6 change in the neighbourhood selection process by using actual Manhattan neighbourhoods and we use corresponding

6 change in the neighbourhood selection process by using actual Manhattan neighbourhoods and we use corresponding

7 demographic data to examine differences in service based on ethnicity.

# 8 Methodology

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9 The authors of the paper provide preprocessed data and code implemented in TensorFlow, which we transform into

<sup>10</sup> PyTorch. Experiments in this reproducibility study can be divided into 3 parts: (1-2) we reproduce the results regarding

<sup>11</sup> objective functions and income redistribution using data and settings provided in the paper and code; (3) we apply this

12 approach to the same data grouped into Manhattan neighbourhoods. Further, we examine discrepancies between service 13 rates of different ethnicities using neighbourhood-specific demographic data as a proxy for this protected information.

# 14 **Results**

<sup>15</sup> The results in the original paper regarding different objective functions were reproduced within a margin of error. Also,

<sup>16</sup> income redistribution is able to reduce wage inequality, albeit to a lesser degree. The objective functions appear to be

17 sensitive to the neighbourhood selection mechanism. While the results of the rider-fairness objective functions are

18 maintained, performance of the driver-fairness objective functions declines. There appear to be only small differences

19 in service rates between ethnicities, while rider-side fairness seems to mitigate inequalities the most. However, this is

<sup>20</sup> only achieved by worsening the service for well-served neighbourhoods instead of improving it for underserved ones.

# 21 What was easy

<sup>22</sup> The simulation logic as well as the training and testing procedures in the provided code were straightforward to execute.

# 23 What was difficult

<sup>24</sup> To be able to run the authors' code we needed to make several changes to it. Moreover, specific parts of the original

research were not explicitly mentioned in the paper. Another point of difficulty was the absence of preprocessing code

which was not detailed properly and could not be fully reproduced. The reproducibility of the paper relied on the

<sup>27</sup> provided code, communication with the authors as well as previous works.

# 28 **Communication with original authors**

- <sup>29</sup> We contacted the authors about the preprocessed data that was not hosted online due to licensing issues. They supplied
- <sup>30</sup> it as well as responded very quickly and provided clarifications on the parameters and their values in the code.

# 31 **1 Introduction**

Ride-pooling, where drivers can service multiple requests from riders simultaneously, is becoming increasingly popular

<sup>33</sup> [2]. Since resources are shared, ride-pooling has the potential to reduce the aggregate VKT ("vehicle kilometres

travelled") and with that reduce petroleum usage and carbon dioxide emissions [3]. To efficiently perform the matching

<sup>35</sup> of riders and drivers, machine learning algorithms are used [4], which optimise for income maximisation. However, with <sup>36</sup> respect to ride pooling, previous works have observed a gender wage gap [5] as well as majority Asian and Hispanic

neighbourhoods being associated with less service compared to white neighbourhoods [6]. Therefore, alternative

<sup>38</sup> fairness notions could also be useful.

<sup>39</sup> Shah et al. [7] introduces an algorithm to solve the ride-pooling matching problem, which maximises the number of

rider requests serviced based on a Markov decision process (MDP) in combination with deep learning. The authors of

the paper Data-Driven Methods for Balancing Fairness and Efficiency in Ride-Pooling [1] extend this work to compare

<sup>42</sup> multiple objective functions, defined on different fairness metrics. Next to that, they investigate the use of income

43 redistribution. In this reproducibility study, we attempt to verify their results and extend their experiments.

# 44 **2** Scope of reproducibility

The main contribution of the paper is introducing and evaluating measures to deal with the fairness issues arising in ride-pooling. In our reproducibility study, we first focus on reimplementing their code (implemented in TensorFlow [8]) in PyTorch [9] and compare the results we achieve to their findings. The main claims made in the original paper are:

- The authors claim that they extend the MDP-based framework (introduced in [7]) by incorporating different definitions of fairness to perform non-myopic optimisation. By incorporating fairness measures into the objective function, driver and rider inequality can be reduced while maintaining or even improving profitability.
- The state-of-the-art objective function [7] can outperform the fairness objective functions in certain settings in terms of rider-fairness and increase the average income of drivers at the cost of a higher variance.
- Income redistribution can be used to reduce wage inequality while avoiding the free-rider problem and
   guaranteeing a minimum wage for drivers.

The mathematical proof guaranteeing the minimum wage is not verified in our study. In addition to testing for reproducibility, we examine the robustness of the approach to changes in the neighbourhood selection method using actual tabulation areas. Using demographic data, we investigate whether the fairness objective functions are fair to all ethnicities. To investigate these aspects of the paper, we followed these steps:

- <sup>58</sup> etimicities. To investigate these aspects of the paper, we followed these steps:
- <sup>59</sup> 1. We inspect the provided codebase and identify, analyse and solve any barriers to running the code.
- 2. Next, we transform the code to the PyTorch framework, matching the functionality as well as possible.
- 3. With the PyTorch version we attempt to reproduce the results using the dataset preprocessed by the authors.
   To investigate potential differences, we use different seeds to examine the effect of randomness.
- 4. To test the method's robustness we utilise the authors' approach on actual neighbourhoods in Manhattan
   and, using the neighbourhood demographic compositions (since individual protected data is confidential), we
   explore whether the introduced objective functions mitigate potential inequalities between ethnic groups.

# 66 **3** Theoretical background

The paper we are reproducing extends the method proposed in [7]. The latter presents Neural Approximate Dynamic Programming (NeurADP), which uses offline-online learning and approximates dynamic programming to match drivers and riders non-myopically. The following subsections explain NeurADP and the two extensions proposed in [1], fairness-based objective functions and income redistribution.

# 71 3.1 NeurADP: Neural Approximate Dynamic Programming

NeurADP uses neural network-based value function approximation and updates it using the Bellman equation [10]. To

<sup>73</sup> break temporal dependencies between samples, mini-batch experience replay is used [11].

- 74 The neural network is used to rank feasible actions for each agent. To receive the optimal choices, an integer linear
- <sup>75</sup> program (ILP) is solved considering the top 150 feasible actions. To update the neural network, the authors use a target
- network and Double Q-learning [12]. The value function over individual vehicles is learned offline. When the approach
- <sup>77</sup> is running online, the model computes the driver-rider assignment that maximises the value function computed in the
- <sup>78</sup> offline phase. Further details regarding the neural network inputs and its architecture are in Appendix A.

### 79 3.2 Fairness-based objective functions

Prior work used profitability metrics as objective functions. The authors introduce two new objective functions to improve both driver-side and rider-side fairness [1] and compare them using different evaluation strategies.

Profitability objectives There are two profitability measures used: the number of riders serviced  $(o_1)$  and the total income  $(o_2)$ .

$$o_1(R,W) = \sum_{i=1}^n |p_i| + |s_i|, \qquad o_2(R,W) = \sum_{i=1}^n \sum_{\substack{u \in p_i \cup s_i \\ u \in p_i \cup s_$$

The total number of rides serviced by driver *i* consists of the number of ongoing requests  $|p_i|$  and completed requests  $|s_i|$ . The total income is calculated by adding the incomes  $\pi_i$  of the individual drivers *i*. The income for any request *u* is the sum of the variable cost  $E_{g,e}$  (depending on the start and end locations *g* and *e*) and the fixed part of ride-pooling pricing, represented by the constant  $\delta$ 

pricing, represented by the constant  $\delta$ .

**Fairness objectives** The authors define two fairness metrics for rider-side  $(o_3)$  and driver-side  $(o_4)$  fairness.

$$o_3(R,W) = -\lambda \operatorname{Var}\left(\frac{h_j}{k_j}\right) + \sum_{i=1}^n \pi_i \qquad o_4(R,W) = -\lambda \operatorname{Var}(\pi_i) + \sum_{i=1}^n \pi_i \tag{2}$$

<sup>89</sup> The former is quantified by the variance of the success rates which is computed by the ratio between serviced and total <sup>90</sup> requests  $\left(\frac{h_j}{k_j}\right)$  originating in neighbourhood j. Each crossing is mapped to one of H neighbourhoods.  $o_4$  is based on <sup>91</sup> the spread of incomes  $\pi_i$ . Both objective functions incorporate the total income  $o_2$  into the equation,  $\lambda$  controls the <sup>92</sup> importance of the variance term.

<sup>93</sup> Evaluation strategy To measure the effect of different objective functions, the authors introduce two fairness metrics.

<sup>94</sup> They evaluate rider-fairness by comparing the overall and minimum success rates across neighbourhoods. By contrast,

<sup>95</sup> they utilise the income distributions to assess driver-fairness.

### 96 **3.3 Income redistribution**

<sup>97</sup> The authors also introduce an income redistribution scheme to mitigate income fluctuation and inequality in driver

wages. To help estimate the true contribution of each driver, Shapley values [13] are used. In this ride-pooling setting, a

<sup>99</sup> Shapley value can be intuitively interpreted as the average profit lost when a specific driver does not contribute.

To reduce the difference between a driver's pre-redistribution income  $\pi_i$ , and Shapley value  $v_i$ , the authors use a risk parameter,  $0 \le r \le 1$ , which designates what fraction of a driver's income is kept. The model collects  $\sum_{i=1}^{n} (1-r)\pi_i$  from all drivers and redistributes it proportional to the difference between their value and earnings, which is  $max(0, v_i - r\pi_i)$ . The driver's income after redistribution,  $q_i$ , is

$$q_i = rv_i + \frac{max(0, v_i - r\pi_i)}{\sum_{j=1}^n max(0, v_j - r\pi_j)} \sum_{j=1}^n (1 - r)v_j$$
(3)

**Evaluation strategy** To measure the correlation between the Shapley value and income after redistribution, the gain metric  $g_i$  is defined as the ratio of change in  $q_i$  to  $v_i$  when  $v_i$  is doubled. The gain g is calculated as the average over  $g_i$ . To test the effect of income redistribution, the authors determine gain and the standard deviation of the ratio of  $q_i$  to  $v_i$ for varying values of r. The most desirable outcome is that the driver's redistribution value is as close as possible to their Shapley value, i.e. std = 0 and that if they double their contribution, they double their earnings after redistribution, i.e. g = 1.

# 110 4 Methodology

<sup>111</sup> In this section, the approaches used in our reproducibility study are outlined.

### 112 4.1 Datasets

<sup>113</sup> The following shows the original dataset and the demographic data to the Manhattan neighbourhoods.

### 114 4.1.1 NYC yellow taxi data Manhattan

Similar to [1], we use the dataset 'Yellow taxi trip records' from New York City [14] for training and evaluation. The original dataset contains pick-up and drop-off coordinates for taxi passengers. We follow the assumption of the original paper that the spatial and temporal distribution of rider requests between ride-pooling and taxi rides are similar. The preprocessing done in [1] consists of the following steps. First, the dataset of New York City is filtered to only comprise trips starting and ending in Manhattan. Next, the coordinates are discretised into |L| locations, which are identified by taking the street network of the city from openstreetmap [15] using osmnx with 'drive' as network type. We take the largest strongly connected component of the network discarding nodes that do not have outgoing edges.

The resulting network has 4373 locations (street intersections) and 9540 edges. The pick-up time is converted to batches of requests corresponding to the minutes. Furthermore, the locations are grouped into 10 neighbourhoods using K-means clustering [16]. The dataset contains on average 322714 requests in a day (on weekdays) and 19820 requests during the peak hour. The preprocessed dataset was not publicly available, although mentioned otherwise in the paper. The authors confirmed that this was due to licensing issues and provided us with the preprocessed data. The model is trained using the data from March 26th - 28th 2016. The fairness objective functions are tested on the data from April

128 4th.

### 129 4.1.2 Demographics by Neighborhood Tabulation Area

The dataset "Demographics by Neighborhood Tabulation Area" for New York City [17] allows us to investigate 130 whether the ride demand of racial or ethnic minorities is indeed satisfied in the same way. It contains demographic 131 data for each neighborhood tabulation area (NTA) in New York City. A NTA is an area for which census data is 132 gathered. The demographic data relevant to this report are the race/ethnicity percentages per neighbourhood, namely 133 Hispanic/Latino, White, Black/African-American, Asian, Other. Instead of running K-means clustering to obtain the 134 neighbourhoods, we take the neighbourhoods corresponding to these NTA areas in Manhattan. This results in 29 instead 135 of 10 neighbourhoods for Manhattan. To be able to determine which nodes in the graph are situated in which NTA. 136 we made use of the "2010 Neighborhood Tabulation Areas" dataset [18] which contains coordinates specifying an 137 approximation of the polygon shape of each neighbourhood. 138

# 139 4.2 Code

Our implementation is based on the code of the paper which is publicly available at GitHub<sup>1</sup>. The repository was 140 updated after we started reproducing the paper, but we refer to the commit specified above unless stated otherwise. 141 The published code is not functioning and does not include the preprocessing steps. However, the main framework 142 for testing and training is provided and hyperparameters can be configured using setting files. We re-implemented the 143 model in the PyTorch framework [9], ensuring that the default behaviour of TensorFlow which was implicitly used in 144 the authors' implementation is replicated. This includes weight initialisation and hyperparameters of the optimiser. To 145 transfer the masking mechanism used to pad the sequences, we employed PyTorch's packed sequence implementation. 146 Since the new framework does not support backwards LSTM, we used a bidirectional LSTM and ignored the forward 147 pass to achieve the same functionality. In accordance with the original code, we used the CPLEX optimiser [19] to 148 solve the ILP. To support the number of drivers and, therefore, bigger linear systems, the academic or commercial 149 version is necessary. There were some rare situations in which the ILP failed to satisfy the constraints (one or two 150 agents were not assigned any actions) which led to an error. This was fixed by assigning the "take no action" action to 151 those agents. In addition, we implemented the preprocessing steps on the original dataset found at [14], as this code 152

<sup>&</sup>lt;sup>1</sup>https://github.com/naveenr414/ijcai-rideshare/tree/78d81d0f417ad4fd54ea2e967010bb221fc4e177

was not available. For this, we perform the same steps indicated in Section 4.1.1, but we simplified the estimation of the 153 travel times as this was not clear from the paper. Our code is available at GitHub.<sup>2</sup> 154

#### 4.3 Hyperparameters 155

Focusing on reproducing the original paper [1], we tried to stay close to the original paper's approach and did not perform 156 hyperparameter optimisation. Hyperparameter values missing in the paper (e.g. minimum number of experiences and 157 samples) were retrieved from the authors' code. Additionally, there were inconsistencies, when some hyperparameters 158 had different values in different parts of code (e.g. embedding dimension). In this case, we reached out to the authors 159 for clarification. More details on hyperparameters are in Appendix C. 160

#### 4.4 **Computational requirements** 161

To increase the available computational resources, we used multiple computers with different hardware (see Table 5 in 162 the Appendix). In general, the training time is dominated by the simulation of the environment and solving the ILP. The 163 training of the neural network plays only a minor role. Hence, GPUs are not crucial for training, the training time is 164 mostly determined by the single-core performance of the CPU. A run consisting of training on three days and testing on 165

one typically takes about 2.5 to 3 hours. In total, running all experiments took 202 hours. 166

#### **Experimental setup** 4.5 167

- Experiment 1 To reproduce the results regarding 168
- claims 1 and 2, different settings are needed, pre-169
- sented in Table 1. All combinations of these set-170
- tings are used. The requests and income objective 171
- functions do not have lambda values. Furthermore, 172 the embeddings are trained (further details are in
- 173 Appendix A.1). We use the same training/testing
- 174 split as in the paper (described in Section 4.1), and 175
- evaluate the results based on overall and minimum 176
- 177 success rates as well as income distribution.
- To test if the differences between our findings and 178
- the original results are caused by randomness, we rerun the experiments using different seeds. Due to limited resources, 179
- we rerun only a subset of setting combinations. Further details can be found in Appendix B. 180

Experiment 2 In accordance with the original paper, the results of the first experiments are reused to evaluate the 181

- income redistribution for claim 3. The analysis is focused on the 200 drivers with the requests objective function using 182
- gain and standard deviation (see Section 3.3). 183

**Experiment 3** To test robustness we use the 29 predefined neighbourhoods and train the models using the configurations 184 of experiment 1 for only 200 drivers. To incorporate the demographic data for the analysis presented in step 4 (see 185 Section 2), we map the results per neighbourhood to the five different ethnicities, under the assumption that the 186 distribution of ethnicities living in a neighbourhood corresponds to the distributions of riders' ethnicities. For each 187 group, we calculate the mean across all neighbourhoods weighted by the percentage of this group living in that area. This 188 results in five different values per objective function. The higher this value is, the more requests of the corresponding 189 group are serviced. Since we are interested in the difference across groups, we subtract the average of this rate. Values 190 above zero indicate a group that is serviced above average and, hence, could be interpreted as advantaged. In addition, 191 we evaluate the overall, minimum and per neighbourhood success rates. 192

#### 5 Results 193

In the following, we will present the results of the three different experiments. 194

Setting	Value
Number of drivers	50,200
Objective function	Driver, rider, requests, income
Lambda	Driver: 0, 1/6, 2/6, 3/6, 4/6, 5/6, 6/6
	Rider: $10^8, 10^9, 10^{10}$
Training days	3
Testing days	1

Table 1: Settings used for the experiments

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<sup>&</sup>lt;sup>2</sup>https://github.com/reproducibilityaccount/reproducing-ridesharing

### 195 5.1 Reproducibility result 1 - Fairness objective functions

Looking at our findings in Figure 1, we conclude that for 50 drivers the results for the rider-fairness metric can be reproduced, the success rates for the different objective functions match. Using the driver-fairness objective function improves both the success rate and the rider equality.



Figure 1: Comparison of objective functions for different number of drivers,  $\lambda$  not included, reflecting the original paper

For 200 drivers, there are minor discrepancies between our results and the original. They can, how-

- ever, be explained by stochasticity introduced by
- <sup>202</sup> different seeds. However, for rider-fairness with
- $\lambda = 10^{10}$ , the difference can not be explained by
- randomness. The requests objective function often
- results in more profit and better rider equality.
- 205 results in more proint and better rider equanty.

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For each objective function, the payment distribution for 200 drivers is shown in Figure 2. The variance of the distributions are similar in magnitude, the means however are slightly shifted. Looking



Figure 2: Comparison of income distributions ( $\lambda = \frac{4}{6}$  for driverside fairness and  $\lambda = 10^9$  for rider-side fairness)

seeds, this could be explained by randomness. The driver-fairness objective function is able to reduce the variance in income between drivers, but the profitability is also decreased. Appendix E shows the results presented in the original paper, the results of the different seeded runs are visualised in Figure 10.

### 214 5.2 Reproducibility result 2 - Income redistribution

at the differences between the results for different

The authors' findings regarding the effect that varying the risk parameter r has on the gain and the standard deviation of the ratio  $\frac{q_i}{v_i}$  were not reproducible on the basis of the information in the paper alone, nor were they immediately reproducible from the code itself. Upon further communication with the authors, they updated their code. There was also a typo in the formula given in Equation 3 (Equation 12 in [1]). The correct equation is:

$$q_i = r\pi_i + \frac{max(0, v_i - r\pi_i)}{\sum_{j=1}^n max(0, v_j - r\pi_j)} \sum_{j=1}^n (1 - r)\pi_j,$$
(4)

where it can be seen that the use of Shapley values in the first term and last factor have been replaced by the amounts 219 before redistribution. With these corrections in place, our experiments yielded the results seen in Figure 3. For values 220 of  $0.4 \le r \le 0.6$  the gain is non-zero whilst maintaining a spread close to zero for the redistribution income to Shapley 221 value ratio. In the original paper, this condition held for values of 0.5 < r < 0.9. Furthermore, the magnitude of the 222 gain is far smaller at the point at which the spread begins to increase. This indicates that when r = 0.6, drivers only 223 receive a 40% increase in their wages whilst still earning close to their true contribution. This is in contrast with the 224 original, where, for r = 0.9, drivers receive an 80% increase in their wages while minimising the free-rider problem. 225 This leads us to conclude that the results of this redistribution scheme were not reproducible in this setting. The original 226 results are shown in Figure 8 in the Appendix. 227



Figure 3: Comparison of the gain metric and the standard deviation of the income to value ratio for different values of risk parameter r

### 228 5.3 Results for Manhattan neighbourhoods and incorporating demographic data



Figure 4: Analysis of results incorporating demographic data

229 We retrained the model (see Section 4.5). Comparing the resulting Figure 4 to previous findings in Figure 1, we observe

that by changing the neighbourhoods the performance of the driver-fairness objective functions deteriorates the most.

The rider-fairness objective functions share some similarities between the two experiments but the latter now performs

best in terms of fairness across neighbourhoods (minimum request success rate).

The right plot in Figure 4 shows that there are small 233 differences in the percentage of requests serviced 234 per ethnicity. The rider-fairness objective function 235 for  $\lambda = 10^{10}$  seems to be best at mitigating inequal-236 ity. However, as seen in the left plot, rider-fairness 237 results in low success rates. This might indicate that 238 the objective function merely lowers success rates 239 for otherwise well-serviced neighbourhoods rather 240 than improving under-serviced ones. 241

To confirm this, we visualised the success rate per 242 neighbourhood and objective function (see Figure 5). 243 It can be seen that rider-fairness indeed exhibits no-244 tably reduced variance but also a lower mean when 245 compared to the other objective functions which 246 tend to have an upward skew. This shows that rather 247 than benefiting under-serviced neighbourhoods, ap-248 plying rider-fairness only lessens the success rate of 249 well-served ones. 250



Figure 5: Success rate per neighbourhood ( $\lambda = 0.5$  for driverfairness,  $\lambda = 10^{10}$  for rider-fairness)

#### Discussion 6 251

Combining the results from the reproducibility experiments (experiments 1 and 2 in Section 4.5), we find that the first 252

claim mentioned in Section 2 is supported by our results for 50 drivers. Furthermore, our results substantiate the second 253

claim. The 'requests' objective function can improve the rider-fairness for 200 drivers. Additionally, it results in the 254 highest average income per driver but exhibits a higher variance than the driver-fairness objective function. These

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observations are in accordance with the ones of the original paper. 256

For the 200 drivers setting, specific results were more sensitive to sources of stochasticity than for 50 drivers. After 257 inspecting the code, we found that the minimum number of experiences needed to start the training of the neural network 258 is never exceeded for the 50 drivers setup. In the 200 drivers configuration, it is reached and hence the neural network 259 is trained. Since the weights of the model are randomly initialised, it might converge to a different local minimum 260 which yields a different value function. This could explain the variance in the corresponding results. For 50 drivers, in 261 contrast, no learning is involved. Hence, the result goes through a randomly initialised model. Weights are typically 262 initialised to preserve the mean and variance of the input, which should be unaffected by the specific seed used. This 263

could explain the strong similarity between our results and the original results for the 50 drivers setup. 264

Differences found in reproducing the income redistribution scheme may also be accounted for by the above. However, 265 while our results are not exactly the same, the third claim still holds, although to a considerably lesser degree than in the 266 original paper. 267

When employing the actual Manhattan neighbourhoods, the relative standing of the various objective functions was 268

different compared to the ones determined by K-Means. This indicates that the proposed method is sensitive to the 269

neighbourhood selection mechanism. Looking at the demographic data, it can be seen that all objective functions 270 exhibit small differences between ethnicities. These, however, could be attributed to stochasticity. 271

In any case, rider-fairness results in the least variance across ethnicities at the price of mean success rate. However, 272

this result does not imply that rider-fairness achieves this low variance by better servicing neighbourhoods with a 273

lower percentage of accepted requests, but rather by servicing better-served neighbourhoods less well. Importantly, the 274

ethnicity-based analyses are built on the assumption that the distribution of the ethnicities of residents and riders in a 275

neighbourhood is similar. However, ride pooling might be used by other people like commuters or tourists. Furthermore, 276

there could be differences between the ethnic populations regarding the percentage of ride-sharing users. 277

#### 6.1 What was easy 278

Part of the code, namely the simulation logic, did not need any modifications. This logic is responsible for telling 279 drivers of possible rides to accept as well as executing the drivers' choices and keeping the simulation consistent with 280 respect to the existing constraints. The training and testing procedure was also straightforward to execute. 28

#### 6.2 What was difficult 282

The codebase was not originally executable and required modifications. In addition to that, several aspects of the 283 original research were not explicitly mentioned in the paper. Although, in the end, we were able to reproduce most 284 results, this would not have been possible without consulting either the code, the authors or the paper about NeurADP 285 [7]. Another challenge was the absence of preprocessing code which together with the lack of a detailed description in 286 the paper (specifically for travel time estimates) made its implementation difficult. With the limited time resources we 287 had, we did not succeed in testing if our preprocessing implementation affected the results. 288

#### Communication with original authors 6.3 289

The authors were very helpful, kind and responded very quickly, often within the same day. This was a very important 290 factor in the production of this reproducibility report as the preprocessed data could not be hosted online due to licensing 291 issues. Furthermore, they also provided useful clarifications with respect to the parameters used in the code and 292 discrepancies between different parameter values in different places. The authors also updated the codebase following 293 our discussions. 294

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# 340 Appendix

# 341 A Neural network details

The inputs to the neural network model are the current location of the vehicle, the information about the remaining delay, and locations for the current requests that have been accepted. First, authors order them according to their trajectory and feed them as inputs to an LSTM [20] after an embedding layer. The embeddings for the locations are calculated separately and are the byproduct of a two-layer neural network that attempts to estimate the travel times between two locations (see Appendix A.1).

Additional inputs to the neural network are the information about the current decision epoch, the number of vehicles in the vicinity of the vehicle of interest and the total number of requests that arrived in the epoch. This information is used to stabilise learning because the value of being in a given state is dependent on the competition it faces from other drivers when it is in that state. These inputs are concatenated with the output of the LSTM from the previous paragraph and, after 2 dense layers, used to predict the value. An overview of the details of the neural network can be seen in Table 2.

locations of vehicle and its		decision time	number of	total number			
accepted requests	delay						
$\downarrow$		$\downarrow$					
Embedding (100)		Linear Layer (100)	vehicles in visinity	of requests			
$\downarrow$		$\downarrow$	venicles in vicinity	orrequests			
locations embedding		time embedding					
↓ ↓							
LSTM							
$\downarrow$							
path embedding							
		$\downarrow$					
Linear Layer (300)							
$\downarrow$							
ELU							
↓ ↓							
Linear Layer (300)							
ELU							
Linear Layer (1)							
		↓ 1 .					
		value					

Table 2: Overview of the value approximation neural network. The model layers (with output dimensions in brackets) are presented in **bold**.

### 353 A.1 Embeddings training

In accordance with paper [7], the embedding model, shown in Table 3, was trained for 1000 epochs with batch size 1024 and Adam optimiser with default settings. The training also utilises early stopping with patience 15.



Table 3: Embedding Model. The model layers (with output dimensions in brackets) are presented in **bold**.

# 356 B Seeds

The settings selected for seeded runs, have to meet several conditions. First of all, we wanted to rerun at least one setting for all four objective functions. Next to that, for the rider-fairness, we rerun all lambda values because this objective function differed the most between our results and the original paper's. For the driver-fairness, we only chose a lambda value of 4/6, since all lambda values yield similar results and only this one is used to examine both, driverand rider-side fairness metrics.

By default, the seed 874 is used. If further seeds are used for experiments, the following four are utilised: 688701, 490013, 423376, 191758.

# 364 C Hyperparameters

Hyperparameter names	Values
number of locations: $ L $	4461
number of neighbourhoods: H	10
max. capacity of driver: m	4
ride-pooling pricing: $\delta$	5
pick up delay	300
drop off delay	600
min. replay buffer size	$5 * 10^5$ / (number of riders)
number of samples	3
gamma: $\gamma$	0.9

Table 4: Hyperparameter values.

# **365 D Hardware configurations**

Name	CPU	GPU	RAM		
Setup 1	i5-8600k	GTX1080	16 GB		
Setup 2	i7-1165G7	-	32 GB		
Setup 3	Apple-M1	-	16 GB		
LISA cluster <sup>a</sup>	Intel Xeon Silver 4110	GTX1080 Ti	32 GB		
Table 5: Hardware configurations used.					

"One Nvidia GTX1080Ti GPU with 3 CPUs provided by SURFsara's LISA cluster. For more info see: https://userinfo.surfsara.nl/systems/lisa/description

# **366** E Results of the original paper



Figure 6: Figure of the original paper [1] comparing objective functions for different number of drivers.



Figure 7: Figure of the original paper [1] comparing the distribution of incomes for different objective functions ( $\lambda = \frac{4}{6}$  for driver-side fairness and  $\lambda = 10^9$  for rider-side fairness.)



Figure 8: Figure of the original paper [1] comparing the gain metric to the standard deviation of the redistributed income to Shapley value ratio for different values of r.

### **367 F Results for different seeds**



Figure 9: Comparison of objective functions for 200 drivers with five different seeds. Each configuration is modelled as a bivariate Gaussian distribution. The  $\lambda$  values for the rider-fairness are (from left to right):  $10^{10}$ ,  $10^9$ ,  $10^8$ , for the driver-fairness:  $\lambda = \frac{4}{6}$ .



Figure 10: Comparing the distribution of incomes for different objective functions with five different seeds ( $\lambda = \frac{4}{6}$  for driver-side fairness and  $\lambda = 10^9$  for rider-side fairness.)