# Beyond Words: A Comprehensive Survey of Sentence Representations

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#### Abstract

 Sentence representations are a critical compo- nent in several applications such as retrieval, question answering, and text classification. 004 They capture the meaning of a sentence, en- abling machines to understand and reason over human language. In recent years, significant progress has been made in developing methods for learning sentence representations, including unsupervised, supervised, and transfer learn- ing approaches. In this paper, we provide an overview of the different methods for sentence representation learning, focusing mostly on deep learning models. We provide a systematic organization of the literature on sentence repre- sentation learning, highlighting the key contri- butions and challenges in this area. Overall, our review highlights the importance of this area in natural language processing, the progress made in sentence representation learning, and the challenges that remain. We conclude with directions for future research, suggesting po- tential avenues for improving the quality and efficiency of sentence representations.

### 024 1 Introduction

 The *sentence*, together with the *word*, are the two fundamental grammatical units of human lan- guages. Representing sentences for machine learn- ing, which involves transforming a sentence into a vector or a fixed-length representation is a fun- damental component of NLP. The quality of these representations affects the performance of down- stream NLP tasks like text classification and text similarity [\(Conneau and Kiela,](#page-8-0) [2018\)](#page-8-0).

 Deep learning models have played a major role in obtaining sentence representations. While there have been significant advancements in the devel- opment of large language models (LLMs) such as 038 GPT-3 [\(Brown et al.,](#page-8-1) [2020\)](#page-8-1), BLOOM [\(Workshop,](#page-11-0) [2023\)](#page-11-0), they learn through effective word represen- tations and modelling of the language at the (next) word level. Endowing the models the ability to

learn effective representations of higher linguistic **042** units beyond words – such as sentences – is useful. **043**

For instance, sentence representations are useful **044** in retrieving semantically similar documents prior **045** to generation. LangChain<sup>[1](#page-0-0)</sup> and various other frameworks, [\(Khattab et al.,](#page-9-0) [2023\)](#page-9-0), have underscored the **047** critical demand for proficient sentence representa- **048** tions. The documents retrieved serve as valuable **049** resources for generating fact-based responses, ac- **050** commodating custom documents to address user **051** queries, and fulfilling other essential functions. **052**

However, current language models exhibit draw- **053** backs in obtaining sentence representations out-of- **054** the-box. For instance, [Ethayarajh](#page-9-1) [\(2019\)](#page-9-1) showed **055** that out-of-the-box representations from BERT **056** [\(Devlin et al.,](#page-9-2) [2019\)](#page-9-2) are fraught with problems **057** such as anisotropy—representations occupying a  $058$ narrow cone, making every representation closer to  $059$ all others. Also, they are impractical for applica- **060** tion scenarios: finding the best match for a query **061** takes hours [\(Reimers and Gurevych,](#page-10-0) [2019\)](#page-10-0). **062**

To overcome the inadequacy of directly using **063** sentence representations from language models, 064 numerous methods have been developed. Several **065** works have proposed to post-process the represen- **066** [t](#page-10-1)ations from BERT to alleviate the anisotropy [\(Li](#page-10-1) **067** [et al.,](#page-10-1) [2020;](#page-10-1) [Huang et al.,](#page-9-3) [2021b\)](#page-9-3) or repurpose repre- **068** [s](#page-10-2)entations from different layers of the model [\(Kim](#page-10-2) **069** [et al.,](#page-10-2) [2021\)](#page-10-2). But there has been a steadily growing **070** body of works that move away from such post- **071** processing and introduce new methods. **072**

Perhaps due to the rapid advancements in the **073** field, there are no literature reviews discussing the **074** diverse range of techniques for learning sentence **075** representations. The present paper offers a review **076** of these techniques, with a specific emphasis on **077** deep learning methods. Our review caters to two **078** audiences: (a) Researchers from various fields seek- **079** ing to get insights into recent breakthroughs in sen- **080** tence representations, and (b) researchers aiming **081**

<span id="page-0-0"></span><sup>1</sup> https://github.com/hwchase17/langchain

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**082** to advance the field of sentence representations.

## **083** 1.1 Overview

**084** We structure our literature review as follows:

- **085** § [2](#page-1-0) provides a brief history of methods to learn **086** sentence representations and the different com-**087** ponents of a modern framework.
- **088** § [3](#page-2-0) provides a review of supervised sentence **089** representations that use labeled data to learn sen-**090** tence representations.
- **091** § [4](#page-3-0) reviews methods that use unlabeled data to **092** learn sentence representations (also called un-**093** supervised sentence representation learning), a **094** major focus of recent methods.
- **095** § [5](#page-5-0) describes methods that draw inspiration from **096** other fields such as computer vision and
- **097** § [6](#page-6-0) provides a discussion of trends and analysis.
- **098** § [7](#page-7-0) discusses the challenges and suggests some **099** future directions for research.

# <span id="page-1-0"></span>**<sup>100</sup>** 2 Background

# **101** 2.1 Sentence Representations

 Before the advent of neural networks, bag-of-words models were commonly used to represent sen- tences, but they suffered from limitations such as being unable to capture the relationships between words or the overall structure of the sentence.

 Numerous efforts have aimed to improve sen- tence representations through neural networks. In- spired by Word2Vec [\(Pennington et al.,](#page-10-3) [2014\)](#page-10-3), Skip-Thought Vectors [\(Kiros et al.,](#page-10-4) [2015\)](#page-10-4) were trained to predict the surrounding sentences of [a](#page-8-0) given target sentence. Subsequently, [Conneau](#page-8-0) [and Kiela](#page-8-0) [\(2018\)](#page-8-0) employed various RNN networks to produce sentence embeddings, exploring their linguistic attributes, including part-of-speech tags, verb tense and named entity recognition. Notably, this study utilized NLI data for neural network training, predating the emergence of extensive pre- trained models such as BERT [\(Devlin et al.,](#page-9-2) [2019\)](#page-9-2). BERT and similar models have since become a foundational framework for enhancing sentence representations.

<span id="page-1-2"></span>**123** 2.2 Components of Sentence Representations

 Neural networks have become the de-facto stan- dard for learning sentence representations. The network takes two sentences as input and creates a vector for each sentence. These vectors are then trained to be similar for sentences that mean the

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Figure 1: The components of an architecture to learn sentence representations. There are four main components: 1) *Data* - Obtaining positive and negative examples either using supervised data or some transformation 2) *Model* - Generally a pretrained model that has been trained on large quantities of gneeral text. 3) *Transform* - Some transformation applied to the representations from the model to obtain sentence representations and 4) *Loss* - Losses that bring semantically similar sentences closer together and others apart.

same thing and different for sentences with differ- **129** ent meanings. Learning sentence representations **130** using neural networks has the following generic **131** components (Figure [1\)](#page-1-1): **132** 

- 1. Data: Data used for learning sentence represen- **133** tations consists of pairs of semantically similar **134** sentences, which can be either annotated by hu- **135** mans or generated through transformations to **136** create positive and negative sentence pairs. (c.f. **137** §§ [4.1](#page-3-1) and [4.3\)](#page-5-1). **138**
- 2. Model: A sentence representation extraction **139** model is a neural network backbone model un- **140** less specified otherwise. The backbone model **141** can take the form of a RNN or pretrained trans- **142** former models like BERT [\(Devlin et al.,](#page-9-2) [2019\)](#page-9-2) **143** or T5 [\(Raffel et al.,](#page-10-5) [2020\)](#page-10-5). **144**
- 3. Transform: Neural network representations are **145** often not well suited for use as sentence repre- **146** sentations directly. While the [CLS] representa- **147** tions from BERT can serve as such, [Reimers and](#page-10-0) **148** [Gurevych](#page-10-0) [\(2019\)](#page-10-0) propose a pooling mechanism 149 to obtain sentence representations by aggregat- **150** ing the representations of tokens. The type of **151** transformation required depends on the type of **152** model. **153**
- 4. Loss: Contrastive learning is often used for **154** sentence representations. The objective is to **155** bring semantically similar examples closer to- **156** gether while pushing dissimilar examples fur- **157** ther apart. Specifically, given a set of example **158** pairs  $\mathcal{D} = \{x_i, x_i^p\}$  $\binom{p}{i}$ , a model is used to obtain 159 representations for each pair, denoted  $h_i$  and  $h_i^p$ i

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Figure 2: Overview of sentence representation methods. **161** The contrastive loss for an example is:

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l_i = -\log \frac{e^{sim(h_i, h_i^p)}}{\sum_{j=1}^{N} e^{sim(h_i, h_j)}}
$$

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163 where N is the size of a mini-batch,  $sim(\cdot, \cdot)$  is the similarity function which plays a crucial role. How- ever, when selecting an appropriate loss function, several factors need to be considered. These factors include the choice of similarity measures and the characteristics of the negative examples.

 In their influential paper, [Reimers and Gurevych](#page-10-0) [\(2019\)](#page-10-0) utilized this versatile framework to gener- ate highly effective sentence embeddings, which has subsequently served as a cornerstone for fur- ther research. This framework, commonly referred to as the bi-encoder approach, involves encoding the *query* and *candidate* separately. However, an alternative approach exists where the *query* and *candidate* can be concatenated and encoded by a single model, facilitating interactions between words. This variant is known as the cross encoder.

 Figure [2](#page-2-1) illustrates the progression of work aimed at improving sentence representations. Two primary approaches stand out: supervised and un- supervised methods. For a clearer understanding of innovations, we categorize these methods based on variations of common techniques. Each cat-egory identifies contributions that target specific

components (Figure [1\)](#page-1-1): The "better positives" cate- **187** gory focuses on refining augmentation techniques, **188** primarily addressing the "data" component. Con- **189** versely, the "alternate loss and objectives" category **190** explores improvements in the contrastive "loss" **191** function. These dynamic interactions between cat- **192** egories are further depicted in Table [1.](#page-6-1) **193**

#### <span id="page-2-0"></span>3 Supervised Sentence Representations **<sup>194</sup>**

Natural language understanding involves intricate **195** reasoning. One way to learn better sentence rep- **196** resentations is by excelling at tasks that demand **197** reasoning. Large-scale supervised datasets for nat- **198** ural language understanding have emerged over **199** the years: SNLI [\(Bowman et al.,](#page-8-2) [2015\)](#page-8-2), MNLI **200** [\(Williams et al.,](#page-11-1) [2018\)](#page-11-1), ANLI [\(Nie et al.,](#page-10-6) [2020\)](#page-10-6). To **201** that end, neural network methods utilize supervised **202** datasets to learn sentence representations. **203**

#### 3.1 Natural Language Inference **204**

Natural Language Inference (NLI) is the process **205** of determining the logical relationship between a **206** premise (an assumed true sentence) and a hypoth- **207** esis (a possibly true sentence). The objective of **208** NLI is to determine whether the hypothesis can be **209** logically inferred from the premise (entailment), **210** contradicts the premise (contradiction), or is neu- **211** tral with respect to it [\(Dagan et al.,](#page-8-3) [2013\)](#page-8-3). NLI **212** serves as a proxy for evaluating natural language **213** understanding. According to [Conneau et al.](#page-8-4) [\(2017\)](#page-8-4), **214** learning sentence representations using NLI data **215** can be effectively transferred to other NLP tasks, **216** demonstrating the generality of this approach. **217**

In § [2.2,](#page-1-2) we discussed Siamese-BERT networks **218** as presented by [Reimers and Gurevych](#page-10-0) [\(2019\)](#page-10-0). **219** There are two noteworthy components to this **220** model. First, processing inputs individually with- **221** out promoting interaction between words; second, **222** using an encoder like BERT that is not genera- **223** tive as its backbone model. The first component **224** is computationally efficient but has been found to **225** result in poorer performance compared to methods **226** [t](#page-10-0)hat promote interaction between words [\(Reimers](#page-10-0) **227** [and Gurevych,](#page-10-0) [2019\)](#page-10-0). This lack of interaction can **228** limit the network's ability to capture the nuances **229** of language, and may result in less accurate sen- **230** tence embeddings. In order to solve this, [Cheng](#page-8-5) **231** [\(2021\)](#page-8-5) incorporated word-level interaction features **232** into the sentence embedding while maintaining the **233** efficiency of Siamese-BERT networks. Their ap- **234** proach makes use of ideas from knowledge distilla- **235**

**236** tion [\(Hinton et al.,](#page-9-4) [2015\)](#page-9-4): using the rich knowledge **237** in pretrained cross-encoders and significantly im-**238** proving the performance of Siamese-BERT.

 Meanwhile, generative models – that generate text left to right, have been pretrained on huge amounts of data, and can perform a myriad of tasks. [Ni et al.](#page-10-7) [\(2022a\)](#page-10-7) examined the use of generative models as backbone for extracting sentence embed- dings. They consider three methods to obtain sen- tence representations from a pretrained T5 model: the representation of the first token of the encoder, the representation of the first generated token of the decoder, or the mean of the representations from the encoder. They found them to be performant showing the utility of generative models for obtain-ing sentence representations.

#### **252** 3.2 Generating Data

 Acquiring supervised data to train sentence repre- sentations is difficult task. However, in recent years, pre-trained models have emerged as a potential so- lution for generating training data. Furthermore, pre-trained models can serve as weak labelers to create silver data.

 Cross-encoders that are pretrained on NLI data can be used to obtain silver data. In order to do this, [Thakur et al.](#page-11-2) [\(2021a\)](#page-11-2) suggest Augmented-SBERT. Their approach involves using different strategies to mine sentence pairs, followed by labeling them using a cross-encoder to create silver data. The sil- ver data is then combined with the human-labelled training dataset, and a Siamese-BERT network is trained. However, this method requires mining ap-propriate sentence pairs first.

 Rather than relying solely on obtaining super- vised data, researchers are exploring the use of gen- erative language models to create large amounts of synthetic training data for sentence encoders. This approach has the potential to produce high-quality training data at scale, addressing some of the chal- lenges associated with supervised data acquisition. For instance, [Chen et al.](#page-8-6) [\(2022b\)](#page-8-6) demonstrate the use of a T5 model trained to generate entailment or contradiction pairs for a given sentence. However, this method still needs to provision a sentence to generate the entailment/contradiction pairs.

 DINO, introduced by [Schick and Schütze](#page-10-8) [\(2021\)](#page-10-8), automates the generation of NLI data instructions using GPT2-XL. This approach eliminates the need for providing a sentence to generate entailment or contradiction pairs. Models trained on the resulting

STS-Dino dataset outperform strong baselines on **286** multiple semantic textual similarity datasets. **287**

### <span id="page-3-0"></span>4 Unsupervised Sentence Representations **<sup>288</sup>**

Unsupervised sentence representation learning **289** does not require labeled data to learn sentence rep- **290** resentations. Thus this approach has garnered sig- **291** nificant attention in recent years. Unlike supervised **292** methods, unsupervised learning techniques do not **293** rely on explicit positive and negative examples but **294** instead employ alternative techniques to mine them. **295** Additionally, they may also modfiy the learning ob- **296** jectives. **297**

#### <span id="page-3-1"></span>4.1 Better Positives **298**

Contrastive learning techniques optimize sentence **299** representations by contrasting semantically simi- **300** lar examples against dissimilar ones (c.f § [2.2\)](#page-1-2). A **301** simple way to obtain a semantically similar exam- **302** ple is to make minimal changes to it. In contrast **303** to images, where simple transformations such as **304** rotation, clipping, and color distortion can generate **305** semantically similar examples, deleting or replac- **306** ing a random word in a sentence can drastically **307** change its meaning [\(Schlegel et al.,](#page-11-3) [2021\)](#page-11-3). There- **308** fore, it is crucial to carefully select positive and **309** negative examples for contrastive learning in NLP. **310**

### **4.1.1 Surface Level** 311

To create a sentence that carries the same meaning **312** as another, one can modify the words or characters **313** in the text. Recent research [\(Wang et al.,](#page-11-4) [2022;](#page-11-4) **314** [Liu et al.,](#page-10-9) [2021;](#page-10-9) [Wu et al.,](#page-11-5) [2022d\)](#page-11-5) suggests certain **315** transformations that preserve the semantic mean- **316** ing. [Wang et al.](#page-11-4) [\(2022\)](#page-11-4) propose randomly flipping **317** the case of some tokens, while [Liu et al.](#page-10-9) [\(2021\)](#page-10-9) **318** mask spans of tokens to get positive instances, **319** and [Wu et al.](#page-11-5) [\(2022d\)](#page-11-5) suggest to repeat certain **320** words or subwords. Besides generating positive in- **321** stances, these transformations help in fixing certain **322** biases in representations generated by transform- **323** ers. For example, [Jiang et al.](#page-9-5) [\(2022a\)](#page-9-5) found that **324** avoiding high-frequency tokens can result in better **325** sentence representations, and transformations that **326** mask them out while learning sentence representa- **327** tions can improve its quality. **328**

However, altering the surface characteristics of **329** sentences can lead to models relying on shortcuts **330** rather than learning semantics [\(Du et al.,](#page-9-6) [2021\)](#page-9-6). To **331** address this issue, [Wu et al.](#page-11-6) [\(2022a\)](#page-11-6) propose the **332** use of multiple augmentation strategies rather than **333**

 a single transformation. They use shuffling, repeat- ing, and dropping words as transformation strate- gies to improve model robustness. Additionally, they implement mechanisms to enhance learning from multiple positive examples.

#### **339** 4.1.2 Model Level

 Another approach to generating positive examples is by leveraging the distinctive characteristics of the backbone model utilized in contrastive learn- ing. These characteristics might be architectural choices, or using representation from certain com-ponents of the model.

 Dropout is a regularization technique used in deep learning to prevent overfitting of a model. During training, some neurons in the layer are ran- domly deactivated, resulting in slightly different representations when the same training instance is passed through the model multiple times. These different representations can be used as positive ex- amples for sentence representations. Recent studies such as [Gao et al.](#page-9-7) [\(2021\)](#page-9-7) have demonstrated the effectiveness of dropout as an augmentation strat- egy. Several other works have also incorporated this technique and improved upon it: promoting [d](#page-10-10)ecorrelation between different dimensions [\(Klein](#page-10-10) [and Nabi,](#page-10-10) [2022\)](#page-10-10) and adding dropout in the trans-formation arsenal [\(Wu et al.,](#page-11-6) [2022a,](#page-11-6)[d\)](#page-11-5).

 Specific components of language models can be trained to generate semantically similar representa- [t](#page-10-11)ions. One example is the use of prefix modules [\(Li](#page-10-11) [and Liang,](#page-10-11) [2021\)](#page-10-11), which are small, trainable mod- [u](#page-11-7)les added to a pretrained language model. [Wang](#page-11-7) [and Lu](#page-11-7) [\(2022\)](#page-11-7) attach two prefix modules to the siamese bert network (c.f § [2\)](#page-1-0) – one each for the two branches – and train them on NLI data. This enables the prefix modules to understand the nu- ances of the difference between representations. The authors show that representations from the two modules for the same sentence can then be used as positives.

#### **374** 4.1.3 Representation Level

 Examining the latent representation of sentences generated by a model yields a valuable benefit. In this scenario, one can discover positive examples by exploring the representation space. These ap- proaches offer the distinct advantage of obviating the need for any data augmentation.

**381** Although BERT's [CLS] representation is com-**382** monly used as a sentence representation, it has been **383** shown to be ineffective [\(Reimers and Gurevych,](#page-10-0) [2019\)](#page-10-0). In fact, [Kim et al.](#page-10-2) [\(2021\)](#page-10-2) demonstrated that **384** the various layers of BERT have differing levels **385** of performance on the STS dataset. To address **386** this issue, they propose reusing the intermediate **387** BERT representations as positive examples. In con- **388** trast, [Zhang et al.](#page-12-0) [\(2022a\)](#page-12-0) identify the k-nearest **389** neighbors of a sentence representation as positives. **390**

### 4.1.4 Alternative Methods **391**

Researchers have explored various other methods **392** for obtaining positive samples for unsupervised **393** sentence representations. One option is weak su- **394** pervision: using spans from the same document **395** [\(Giorgi et al.,](#page-9-8) [2021\)](#page-9-8), employing related entities **396** [\(Nishikawa et al.,](#page-10-12) [2022\)](#page-10-12), and utilizing tweets and **397** retweets-with-quotes [\(Di Giovanni and Brambilla,](#page-9-9) **398** [2021\)](#page-9-9). On the other hand, dialogue turns can be **399** used as semantically related pairs of text for learn- **400** ing sentence representations [\(Zhou et al.,](#page-12-1) [2022b\)](#page-12-1). 401

Other approaches use the capability of large **402** language models to perform tasks based on  $403$ instructions—a technique called "prompting". Re- **404** searchers have used prompts to obtain better sen- **405** tence representations, as demonstrated in stud- **406** ies such as [Jiang et al.](#page-9-5) [\(2022a\)](#page-9-5), which employs 407 the *"[X] means [MASK]"* prompt to extract sen- **408** tence representations from the representation of the **409** *"[MASK]"* token in a sentence. Another study by **410** [\(Zeng et al.,](#page-12-2) [2022\)](#page-12-2) combines prompt-derived sen- **411** tence representations with contrastive learning to **412** improve the quality of the representations. **413**

#### 4.2 Alternative Loss and Objectives **414**

In § [2](#page-1-0) we discuss Contrastive loss, which is widely **415** used in machine learning. However, this loss suf- **416** fers from several limitations: for instance it only **417** considers binary relationships between instances **418** and lacks a mechanism to incorporate "hard neg- **419** atives" (negatives that are difficult to distinguish **420** from positive examples). To overcome these draw- **421** backs, researchers have explored various strategies: **422**

Supplementary Losses: used in addition to con- **423** [t](#page-9-10)rastive losses. These include: *(1)* hinge loss [\(Jiang](#page-9-10) **424** [et al.,](#page-9-10) [2022b\)](#page-9-10), which enhances discrimination be- **425** tween positive and negative pairs; *(2)* losses for **426** reconstructing the original sentence from its rep- **427** resentation to better capture sentence semantics **428** [\(Wu et al.,](#page-11-8) [2022b\)](#page-11-8) ; *(3)* a loss to identify masked **429** words and improve sensitivity to meaningless se- **430** mantic transformations [\(Chuang et al.,](#page-8-7) [2022\)](#page-8-7); and **431** *(4)* a loss to minimize redundant information in **432**

**433** transformations by minimizing entropy [\(Chen et al.,](#page-8-8) **434** [2022a\)](#page-8-8).

 Modified Contrastive Loss: modifies the orig- [i](#page-11-9)nal contrastive loss to overcome drawbacks. [Wu](#page-11-9) [et al.](#page-11-9) [\(2022c\)](#page-11-9) proposed an additional term that in- corporates random noise from a Gaussian distri- bution as negative instances. Also, [Zhang et al.](#page-12-3) [\(2022d\)](#page-12-3) introduced two losses, angular loss and margin-based triplet loss, to address the intricacies of similarity between pairs of examples.

 Different Loss: move away from contrastive loss [t](#page-12-4)o use a different loss function. For instance, [Zhang](#page-12-4) [et al.](#page-12-4) [\(2020\)](#page-12-4) maximize the mutual information be- tween a local and a global representation of a sen- tence. [Min et al.](#page-10-13) [\(2021\)](#page-10-13) identify an alternative sub-manifold within the sentence representation space that considers the geometric structure of sen- tences. Other objectives to learn sentence represen- tations include disentangling the syntax and seman- tics from the representation [\(Huang et al.,](#page-9-11) [2021a\)](#page-9-11), generating important phrases from sentences in- stead of using contrastive learning [\(Wu and Zhao,](#page-11-10) [2022\)](#page-11-10), or using sentence representation as a strong inductive bias to perform Masked Language Mod-eling [\(Yang et al.,](#page-12-5) [2021\)](#page-12-5).

## <span id="page-5-1"></span>**458** 4.3 Better Negative Sampling

 The efficacy of contrastive learning hinges on the quality of negative samples used during training. While most methods prioritize selecting positive samples that bear similarity to the query text, it's equally crucial to include hard negatives that are dissimilar to the query text and pose a challenge for the model to classify. Failure to do so leads to a gradual diminution of the loss gradients, impeding the learning of useful representations [\(Zhang et al.,](#page-12-6) [2022c\)](#page-12-6). Additionally, using an adequate number of negative samples is also imperative for effective learning [\(Cao et al.,](#page-8-9) [2022\)](#page-8-9).

 Given the importance of incorporating hard neg- atives, several innovative strategies have emerged. Researchers have found that mixed-negatives—a combination of representations of a positive and a randomly chosen negative—serve as an excellent hard negative representation [\(Zhang et al.,](#page-12-6) [2022c\)](#page-12-6). Similarly, [Zhou et al.](#page-12-7) [\(2022a\)](#page-12-7) leveraged noise from a uniform Gaussian distribution to foster unifor- mity in the learned representation space—a metric to assess learned sentence representation. To fur- ther refine their approach, they also implemented techniques to identify and penalize false negative

instances, where similarity scores with the positives **483** exceed a threshold. **484**

#### 4.4 Post-Processing **485**

[Ethayarajh](#page-9-1) [\(2019\)](#page-9-1) suggest that the out-of-the-box **486** representations from LLMs are not effective sen- **487** tence representations. Consequently, several efforts **488** have addressed this issue. **489** 

[Almarwani et al.](#page-8-10) [\(2019\)](#page-8-10) utilize the Discrete Co- **490** sine Transform, a widely used technique in signal 491 processing, to condense word vectors into fixed- **492** length vectors. This approach has demonstrated its **493** effectiveness in capturing both syntax and seman- **494** tics. Similarly, [Li et al.](#page-10-1) [\(2020\)](#page-10-1) employ normaliz- **495** ing flows to convert BERT's token representations **496** into a Gaussian distribution, while [Huang et al.](#page-9-3) **497** [\(2021b\)](#page-9-3) propose a simpler 'whitening' technique **498** that enhances out-of-the-box sentence representa- **499** tions from LLMs by transforming the mean and **500** covariance matrix of the sentence vectors. **501**

### <span id="page-5-0"></span>5 Other Approaches **<sup>502</sup>**

Multimodal: Human experiences are complex **503** and involve multiple sensory modalities. Thus, **504** it is beneficial to incorporate multiple modalities **505** in learning sentence representations. Researchers **506** have explored different approaches to use images  $507$ to learn sentence representations: using contrastive **508** loss that utilizes both images and text [\(Zhang et al.,](#page-12-8) **509** [2022b\)](#page-12-8); optimizing a loss each for visual and tex- **510** tual representation [\(Jian et al.,](#page-9-12) [2022\)](#page-9-12); grounding **511** text into image [\(Bordes et al.,](#page-8-11) [2019\)](#page-8-11). Other modali- **512** ties like audio and video are yet to be incorporated **513** in learning sentence representation. **514**

Computer Vision Inspired: Momentum en- **515** coder, introduced by [He et al.](#page-9-13) [\(2020\)](#page-9-13), improves **516** training stability in contrastive learning. It utilizes **517** a queue of representations from previous batches **518** as negatives for the current batch, decoupling batch **519** size from the learning process. Several studies **520** have integrated momentum encoder into sentence **521** representation learning, leading to enhanced per- **522** [f](#page-11-11)ormance [\(Cao et al.,](#page-8-9) [2022;](#page-8-9) [Wu et al.,](#page-11-6) [2022a,](#page-11-6)[d;](#page-11-5) [Tan](#page-11-11) **523** [et al.,](#page-11-11) [2022\)](#page-11-11). **524**

Another popular technique, Bootstrap Your Own **525** Latent (BYOL) [\(Grill et al.,](#page-9-14) [2020\)](#page-9-14), is a self- **526** supervised learning method that dispenses with **527** negative samples. It trains a neural network to pre- **528** dict a set of 'target' representations from an input **529** data point, given an 'online' representation of the **530** same data point. BYOL employs a contrastive loss  $531$ 

<span id="page-6-1"></span>

Table 1: Comparison of methods. SENTEVAL indicates whether the work benchmarks against SentEval [\(Conneau](#page-8-0) [and Kiela,](#page-8-0) [2018\)](#page-8-0), COMPONENT indicates the component from Figure [1](#page-1-1) that the work targets, and AVERAGE shows the average score on the STS benchmark.

 function to encourage similarity between the on- line and target representations. An advantage of BYOL is the elimination of the need for negative samples; instead, it uses augmented versions of the same data point as positive samples. This method has been effectively applied to natural language processing by [Zhang et al.](#page-12-9) [\(2021\)](#page-12-9).

### <span id="page-6-0"></span>**<sup>539</sup>** 6 Trends & Analysis

 Limited advantages of supervision: Table [1](#page-6-1) summarizes all the results. Surprisingly, a simple [d](#page-9-7)ropout-based data augmentation technique [\(Gao](#page-9-7) [et al.,](#page-9-7) [2021\)](#page-9-7) demonstrates superior performance compared to most other methods, including those which use T5, which is trained on billions of tokens [\(Ni et al.,](#page-10-7) [2022a\)](#page-10-7). Leveraging unsupervised data first to learn sentence representations, followed by supervised training, may be more practical.

Downplaying downstream task evaluation: **549** The neglect of evaluating sentence representations **550** in downstream tasks, as exemplified in Table [1,](#page-6-1) is  $551$ noticeable. With LLMs demonstrating remarkable **552** zero-shot performance across various tasks, the **553** utility of sentence representations for tasks beyond **554** semantic similarity and retrieval seems to dwin- **555** dle. Nevertheless, recent research underscores how **556** sentence representations can enhance few-shot text **557** classification performance [\(Tunstall et al.,](#page-11-12) [2022\)](#page-11-12). **558** The ongoing debate regarding their practicality re- **559** mains unsettled, and further exploration of diverse **560** applications is essential. **561**

Data-centric innovations: Most innovations in **562** this field focus on improving the DATA aspect, **563** including obtaining better positives or negatives **564** and generating data using large language models **565**

 [\(Schick and Schütze,](#page-10-8) [2021;](#page-10-8) [Chen et al.,](#page-8-6) [2022b\)](#page-8-6). While generative models like T5 can boost per- formance, other LLMs like ChatGPT can bring additional benefits because of their scale.

 Keeping up with LLMs: We have identified sev- eral noteworthy endeavors using massive language models with billions of parameters for sentence rep- resentations. SGPT [\(Muennighoff,](#page-10-14) [2022\)](#page-10-14) has suc- cessfully trained an open-source GPT decoder-only model on the SNLI and MNLI datasets, surpassing OpenAI's 175B parameter model. Additionally, GTR [\(Ni et al.,](#page-10-15) [2022b\)](#page-10-15) examined scaling laws, re- vealing larger T5 models have better performance. [N](#page-10-16)onetheless, recent developments such as GTE [\(Li](#page-10-16) [et al.,](#page-10-16) [2023\)](#page-10-16) and BGE [\(Xiao et al.,](#page-12-10) [2023\)](#page-12-10) highlight that a collection of high-quality datasets for con- trastive training can yield significantly enhanced results compared to just using bigger models.

### <span id="page-7-0"></span>**<sup>584</sup>** 7 Challenges

 Practical Applications and the rise of Tools: Sentence representations are commonly employed for sentence retrieval in practical applications, as evidenced by the increasing number of benchmarks [\(Thakur et al.,](#page-11-13) [2021b\)](#page-11-13). However, their utility ex- tends beyond retrieval, as demonstrated by recent work [\(Schuster et al.,](#page-11-14) [2022\)](#page-11-14), which leverages sen- tence representations for identifying documents that share a similar stance on a topic and for isolat-ing documents that diverge from the consensus.

 The increasing use of sentence representations in practical applications such as retrieval requires efficient storage and indexing solutions that enable fast retrieval. These solutions are commonly re- ferred to as vector databases and include popular 600 options such as Pinecone<sup>[2](#page-7-1)</sup> and Milvus.<sup>[3](#page-7-2)</sup> These vec- tor databases can be integrated with other frame- works such as LangChain that facilitate the devel-opment of applications using LLMs.

 Adapting to different Domains: Research has shown that sentence representations learned in one domain may not accurately capture the semantic [m](#page-9-10)eaning of sentences in another domain [\(Jiang](#page-9-10) [et al.,](#page-9-10) [2022b;](#page-9-10) [Thakur et al.,](#page-11-2) [2021a\)](#page-11-2). Some solu- tions have been proposed in the literature, such as generating queries using a pretrained T5 model on a paragraph from the target domain, or using a pretrained cross-encoder to label the query and

[p](#page-11-15)aragraph, or using a denoising objective [\(Wang](#page-11-15) **613** [et al.,](#page-11-15) [2021\)](#page-11-15). Nonetheless, training models that **614** work well across domains remains challenging. **615**

Cross-lingual Sentence Representations: Cre- **616** ating sentence representations that can be used **617** across languages, especially those with limited an- **618** notated data, poses a significant challenge. New **619** solutions for cross-lingual retrieval are being devel- **620** oped and deployed for real-world use cases.[4](#page-7-3) Many **<sup>621</sup>** scholarly works [\(Nishikawa et al.,](#page-10-12) [2022;](#page-10-12) [Feng et al.,](#page-9-15) **622** [2022;](#page-9-15) [Wieting et al.,](#page-11-16) [2020\)](#page-11-16) have addressed cross- **623** lingual sentence representation learning in recent **624** times, but they require aligned data between lan- **625** guages, which is hard to obtain. **626**

How Universal are Sentence Representations? **627** The original purpose of sentence representations **628** was to serve as a versatile tool for various NLP **629** tasks. One prominent effort to evaluate the univer- **630** sality of sentence representations was the SentE- **631** val task [\(Conneau and Kiela,](#page-8-0) [2018\)](#page-8-0), which tested **632** the representations' performance on text classifica- **633** tion, natural language inference, and semantic text **634** similarity tasks. However, many recent works on **635** sentence representation tend to emphasize their ef- **636** fectiveness on semantic text similarity datasets (Ta- **637** ble [1\)](#page-6-1). This shift raises questions about the univer- **638** sal nature of these representations—are sentence **639** representations useful only for retrieval, or do they **640** indeed have other applications? Such questions are **641** put back into spotlight by recent benchmarks such **642** as MTEB [\(Muennighoff et al.,](#page-10-17) [2022\)](#page-10-17). **643**

### 8 Conclusions **<sup>644</sup>**

This survey offers an overview of sentence rep- **645** resentations, presenting a taxonomy of methods. **646** While major innovations focused on obtaining bet- **647** ter quality data for contrastive learning, modern **648** advances in generative technologies can accelerate **649** the automatic generation of supervised data at low **650** cost. Although LLMs play a crucial role in inform- **651** ing the advancement of sentence representations, **652** further enhancements in sentence representation **653** learning are necessary to personalize current LLMs **654** to achieve tailored results. We highlighted that **655** better multilingual and multidomain sentence rep- **656** resentations are needed, now that LLMs are being **657** deployed in different domains at a rapid pace. We **658** hope that this survey can accelerate advances in **659** sentence representation learning. 660

<span id="page-7-1"></span><sup>&</sup>lt;sup>2</sup>https://www.pinecone.io/

<span id="page-7-2"></span><sup>3</sup> https://milvus.io/

<span id="page-7-3"></span><sup>4</sup> https://txt.cohere.com/multilingual/

### **<sup>661</sup>** 9 Limitations

 While we have made an effort to encompass a com- prehensive range of literature on sentence repre- sentations, it is possible that certain papers may have been inadvertently excluded from our liter- ature review. Additionally, we acknowledge that our approach assumes the majority of methods pri- marily focus on sentences or a limited number of tokens, typically within a few hundred. However, it is important to note that representation learning for documents or longer contexts—an active area of research—utilizes similar techniques. This sur- vey does not cover those specific areas, which may warrant further attention.

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