

# Tricks for Training Sparse Translation Models

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## Abstract

Multi-task learning with an unbalanced data distribution skews learning towards high resource tasks, especially when model capacity is fixed and fully shared across all tasks. Sparse scaling architectures, such as BASELayers, provide flexible mechanisms for tasks to have a variable number of parameters, which can be useful to counterbalance skewed data distributions. However, we find that that BASELayers sparse model for multilingual machine translation can perform poorly out of the box, and propose two straightforward techniques to mitigate this — a temperature heating mechanism and dense pre-training. Overall, these methods improve performance on two multilingual translation benchmarks compared to standard BASELayers and dense scaling baselines, and in combination, more than 2x model convergence speed.

## 1 Introduction

Training a universal model capable of handling many different tasks is a longstanding ambition in natural language processing (Collobert and Weston, 2008; Ruder, 2017; McCann et al., 2018), with recent progress driven by training transformer models on a wide range of tasks (Xue et al., 2021; Khashabi et al., 2020; Lu et al., 2020). A central challenge in multi-task learning is accounting for the dramatically varying amounts of training data available for different tasks, which can lead to overfitting on low-resource tasks whilst simultaneously underfitting on tasks with abundant training data.

In this work, we study multilingual machine translation as a multi-task learning problem (Dong et al., 2015; Firat et al., 2016), where a single model is trained to translate between many language pairs (Fan et al., 2021). Multilingual learning has the potential of crosslingual transfer, allowing low-resource languages to benefit from high-resource

data (Conneau et al., 2020). However, in practice, this positive transfer is often mitigated by interference between languages (Arivazhagan et al., 2019; Tan et al., 2019; Zhang et al., 2020). This is because all languages, irrespective of the amount of data, are trained with a fixed model capacity (Lepikhin et al., 2020), leading to insufficient specialized capacity. Recent efforts have focused on sparse architectures (Lewis et al., 2021) to train high capacity models, but these overfit to low-resource languages and have worse performance than dense architectures (Fan et al., 2021; Tran et al., 2021). We analyze the learning patterns of experts throughout training and identify a fundamental problem: experts specialize early on and rarely change specialization.

We propose two straightforward techniques to improve BASELayers-based sparse architectures (Lewis et al., 2021) for multitask learning: first, we slowly ramp the number of instances from low-resource tasks over epochs rather than having a fixed sampling ratio (Arivazhagan et al., 2019). This promotes cross-lingual transfer and reduces over-fitting as the model witnesses low-resource task instances in the later epochs. Second, we train a dense architecture before switching to sparse training. Intuitively, we learn a generalized representation that can transfer across all tasks first with a dense model and then gradually sparsify and specialize the experts to different tasks. Overall with these two modifications, we observe improvement in low-resource performance by 0.6 BLEU on WMT-15 benchmark and 1.1 BLEU on ML-50 benchmark — whilst halving the training time.

## 2 Methods

We motivate the need for preventing early expert specialization and describe our proposal to circumvent it and more than double convergence speed.

## 2.1 Expert Utilization Rarely Changes

Sparse scaling schemes, such as BASELayers or Mixture-of-Experts, enable sparse computation by distributing model capacity across sets of experts. In each forward pass, only a small subset of experts are utilized, leading to incredibly compute-efficient scaling. The challenge, however, is the *routing function* — or how experts can be balanced so they actually specialize to learn different things (Kudugunta et al., 2020). When the routing mechanism is unbalanced, all the tasks degenerate to using only a single specific expert for all tasks (Lepikhin et al., 2020) — essentially wasting parameters. BASELayers (Lewis et al., 2021) employ a simple mechanism that learns a balanced routing without the need for additional auxiliary losses. We focus on BASELayers as it has straightforward and simple training and has previously been shown to have strong performance.

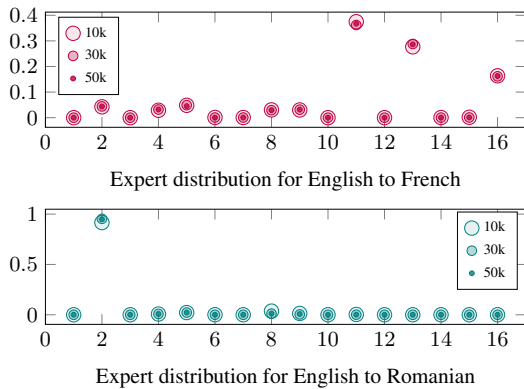


Figure 1: Expert distribution for Romanian and French as training progresses (10k, 30k and 50k updates) on WMT-15 benchmark, where Romanian is low-resource and French is high-resource.

Even though BASELayers leads to effective utilization of all parameters, it limits parameter sharing across tasks, which is crucial when the data distribution is unbalanced — if the number of tasks and experts are the same, all tasks end up using a different set of experts. As a result, when applied to multilingual machine translation, the performance is worse than a corresponding dense architecture. Figure 1 demonstrates that the main reason for limited parameter sharing is that expert assignment is fixed incredibly early on in training and rarely changes. Instead of learning how to better utilize capacity across high and low-resource languages over the training process, expert capacity is essentially frozen. We describe two strategies for more

effective utilization of expert capacity, which can be easily applied to improve both low and high-resource translation performance.

## 2.2 Balancing Low-Resource Tasks

**Temperature Sampling:** To ensure that low-resource tasks are well represented during model training, temperature sampling (Arivazhagan et al., 2019) is used to upsample low-resource tasks. If the data distribution across different tasks is  $p$ , then temperature sampling re-scales this distribution:

$$p \leftarrow \frac{p_n^{1/T}}{\sum_{n \in |\text{tasks}|} p_n^{1/T}} \quad (1)$$

As we increase temperature from 1 to  $\infty$ , the sampling distribution changes from the original data distribution (e.g. highly skewed) to a uniform distribution (e.g. tasks are equally represented).

**Temperature Heating:** Instead of keeping the temperature fixed while sampling data for each task, we define temperature as a function of current epoch,  $t = f(e)$

We define a minimum starting temperature  $t_s$ , which is gradually increased at each epoch  $e$ , with a square root factor defined over maximum number of epochs  $C$ . The conduction coefficient  $k$  influences the rate at which the temperature is increased over epochs.

$$t_e = \left( \sqrt{1 + \frac{k}{C} e} \right) t_s \quad (2)$$

In particular, we adopt square root scaling of temperature with each epoch, instead of linear to allow for gradual changes to the sampling distribution. During the initial steps of training, this trains with lower temperatures, meaning high-resource tasks are better represented than low-resource tasks. As a result, the experts are more uniformly assigned across high-resource tasks. Upon slowly introducing low-resource tasks by increasing temperature during the learning process, the gating mechanism learns to route low-resource tasks through experts which were initially trained with high-resource tasks. This promotes positive cross-lingual transfer from high-resource languages to linguistically similar low-resource languages.

## 2.3 Dense Pre-training

Architecturally, the sparsity in the output feed-forward layer of the transformer block can be viewed as a version of the same transformer on multiple GPUs with two main differences: the sparse

Model	WMT-15			ML-50			
	Low	High	All	Low	Mid	High	All
Dense	13.3	25.4	19.8	10.7	23.7	24.7	22.5
BASELayers	12.7	25.3	19.4	8.7	22.6	26.5	22.3
+ heat. ( $t_s=0.8$ )	12.9	26.4	20.1	8.9	22.9	26.5	22.5
+ heat. ( $t_s=1.0$ )	13.2	26.1	20.1	8.5	22.7	26.5	22.3
+ heat. ( $t_s=1.5$ )	13.1	26.1	20.0	9.3	22.9	26.5	22.4
+ heat. ( $t_s=2.0$ )	13.3	25.5	19.8	10.1	23.7	26.0	22.9

Table 1: Average BLEU over **Low** resource, **High** resource and All languages for different starting temperatures with a fixed conduction coefficient,  $k=1$ . The baselines are from our best performing dense and BASELayers models

feed-forward layers do not share parameters (have different initialization and gradients) and an additional gating mechanism decides which token should be routed to which expert. The alternative dense architecture would fully share parameters, so all parameters are utilized for each training example rather than routing to sparse parameters.

We propose first training a dense model for a fixed number of updates. Afterwards, we add a randomly initialized gating module and continue training the (output) feed-forward layers with sparsity, e.g. we do not average their gradients across compute nodes before back-propagating but update the weights individually in each node. As the sparse weights slowly diverge, they become more specialized towards specific tasks. Thus, models first learn a generalized representation when all parameters are fully shared, and then gradually specialize to handle different tasks. Training in this fashion not only improves the learning of specialized experts, but also increases convergence.

### 3 Experiments and Results

We experiment with English  $\rightarrow$  Many multi-tasking on two benchmarks, WMT-15<sup>1</sup> and ML-50 (Tang et al., 2020) — the first includes 15 languages and the second 50 languages. We use a Transformer (Vaswani et al., 2017) sequence-to-sequence model with 6 encoder and decoder layers. We replace the final feed-forward layer of every alternate transformer block with a BASELayer. For ML50, we increase model capacity to 12 Transformer layers following Tang et al. (2020). We implement our methods in fairseq (Ott et al., 2019) and evaluate performance with BLEU.

<sup>1</sup><http://www.statmt.org/wmt15/translation-task.html>

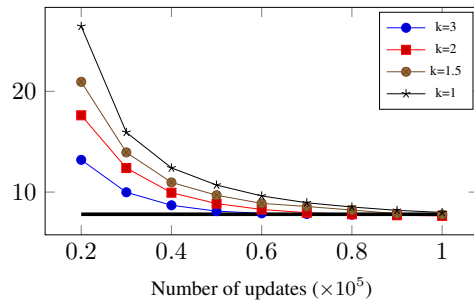


Figure 2: Validation ppl on ML-50 ( $t_s=0.8$ ). Higher  $k$  show faster convergence.

#### 3.1 Effectiveness of Temperature Heating

On WMT-15, training with BASELayers as a baseline has worse low-resource performance compared to a similarly sized dense model, losing 0.6 BLEU. However, as we increase temperature, we recover the loss in low-resource task performance and also see improvements in the high-resource languages. The heating technique improves the overall BASELayers model performance by +0.7 BLEU (at  $t_s = 0.8$ ) (see Table 1). We observe similar trends in ML-50, where adding heating improves low-resource performance by +1.4 BLEU. Furthermore, temperature heating improves convergence speed. Given fixed  $t_s$ , the higher the  $k$ , the faster the model converges. As shown in Figure 2, the model converges to same validation perplexity with  $k=3$  at 50k updates as 100k updates with  $k=1$ .

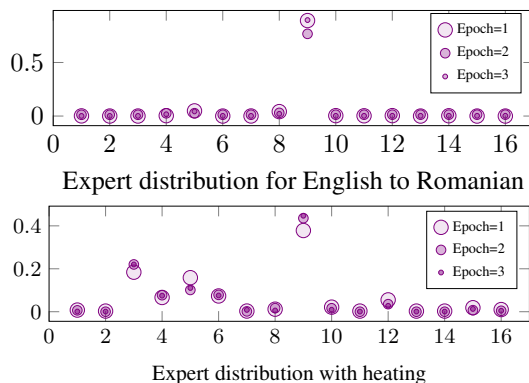


Figure 3: Expert distribution for low-resource English to Latvian as temperature is increased for WMT-15.

#### 3.2 Dense Pre-training with Heating

For the WMT-15 benchmark, Table 2 demonstrates that with dense pre-training, the best performing model improves by +0.75 BLEU over baseline BASELayers model but at the cost of 12% more compute time. To resolve this, we reduce compu-

Model	WMT-15					ML-50					
	High	Low	All (BLEU)	All (ChrF++)	Walltime	High	Mid	Low	All (BLEU)	All (ChrF++)	Walltime
Dense	25.2	12.7	19.4	49.1	76K	24.7	23.7	10.7	22.5	49.8	596K
+ heating	25.5	13.2	19.8	50.9	42K	25.9	23.4	10.5	<b>22.7</b>	50.3	173K
BASELayers	25.2	12.9	19.5	50.5	77K	26.6	22.6	8.7	22.2	49.7	221K
+ heating	26.0	13.0	19.9	52.3	42K	26.5	23.0	9.3	22.4	51.2	151K
Dense Pre-Train	26.3	13.3	<b>20.2</b>	54.7	86K	26.8	22.9	9.6	22.6	52.2	122K
+ heating	26.0	13.4	<b>20.1</b>	53.4	<b>31K</b>	26.7	23.1	9.8	<b>22.7</b>	53.0	<b>87K</b>

Table 2: Average BLEU across High and Low resource languages and Walltime (min) on WMT-15 and ML-50, with increasing number of dense pre-training steps at a starting fixed temperature of 1.5. Wall clock time is the total training time including dense pre-training and sparse fine-tuning until the model reaches validation perplexity of 5.99 for WMT-15 and 7.6 for ML-50.

tation time by increasing the temperature, keeping the +0.7 BLEU improvement but reducing the computation time by  $\sim 60\%$ . Table 2 confirms a similar trends on ML-50. By combining Dense Pre-training with heating, we improve over baseline BASELayers model by +0.5 BLEU and 2.5x in convergence speed. However, heating can also be applied to the baselines. In those cases, on both benchmarks, we find that utilizing Dense Pre-training in combination with heating still has slightly better performance with significantly faster convergence.

### 3.3 Effect on Expert Distribution

In standard BASELayer training, the learned expert distributions rarely change over training (see Figure 1). This prevents effective expert capacity utilization resulting in low-resource overfitting. In contrast, with our proposed techniques, the expert distribution changes and learns over training. Figure 3 compares expert distribution between fixed temperature sampling and temperature heating over epochs for a low-resource language, demonstrating that temperature heating leads experts to change and learn over time. Figure 4 shows that by utilizing dense pre-training, we observe a high entropy in the expert distribution and increased expert sharing, indicating positive cross-lingual transfer from similar high to low-resource languages.

## 4 Related Work

**Data Sampling** Low-resource tasks are upsampled to balance their representation when pooled with high-resource tasks. Temperature sampling (Arivazhagan et al., 2019) upsamples the data distribution based on fixed temperature, but can result in overfitting. Dynamic sampler (Gotumukkala et al., 2020) selects instances based on current performance of task on dev set, which is

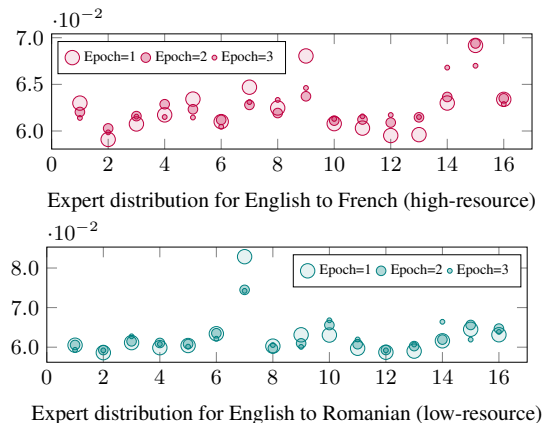


Figure 4: Expert distribution as sparse fine-tuning progresses on a dense pre-trained model.

useful in case of catastrophic forgetting. Learned data samplers (Wang et al., 2020) choose better are sample efficient but computationally expensive.

**Sparse Scaling** Sparsely-gated MoE models (Shazeer et al., 2017; Du et al., 2021) use a routing mechanism that decides which expert a task should be routed to. This is the key element that governs effective (better representation) and efficient (balanced assignment) resource utilization. To promote a balanced assignment, routing techniques (Shazeer et al., 2017; Lepikhin et al., 2020; Fedus et al., 2021) add a number of auxiliary task to encourage the use of diverse set of experts. BASELayers (Lewis et al., 2021) circumvents this by treating the routing mechanism as a linear expert-to-task assignment problem, without the need of auxiliary loss. Routing networks (Rosenbaum et al., 2018) learn better task representations by clustering and disentangling parameters conditioned on input.

## 5 Conclusion

We analyze the problem of balancing shared and specialized capacity in multitask learning, focusing on multilingual machine translation. We present two straightforward tricks to significantly increase convergence rate of mixture-of-expert models and improve their performance relative to dense baselines on two benchmarks.

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