FusionFormer: Fusing Operations in Transformer for Efficient Streaming Speech Recognition

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Abstract

The recently proposed Conformer architecture which combines convolution with attention to capture both local and global dependencies has become the de facto backbone model for Au-004 005 tomatic Speech Recognition (ASR). Inherited from the Natural Language Processing (NLP) tasks, the architecture takes Layer Normaliza-007 tion (LN) as a default normalization technique. However, through a series of systematic studies, we find that LN might take 10% of the inference time despite that it only contributes 011 to 0.1% of the FLOPs. This motivates us to replace LN with other normalization techniques, e.g., Batch Normalization (BN), to speed up 015 inference with the help of operator fusion methods and the avoidance of calculating the mean and variance statistics during inference. Af-017 ter examining several plain attempts which di-019 rectly remove all LN layers or replace them with BN in the same place, we find that the divergence issue is mainly caused by the unstable layer output. We therefore propose to append a BN layer to each linear or convolution layer where stabilized training results are observed. We also propose to simplify the activations in Conformer, such as Swish and GLU, by replacing them with ReLU. All these exchanged 027 modules can be fused into the weights of the adjacent linear/convolution layers and hence have zero inference cost. Therefore, we name it FusionFormer. Our experiments indicate that FusionFormer is as effective as the LN-based Conformer and is about 10% faster.

1 Introduction

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End-to-End Automatic Speech Recognition (ASR) has become the standard of state-of-the-art approaches (Li, 2021). While recurrent neural networks (RNN) (Graves et al., 2013; Chan et al., 2016) have drawn attention as popular backbone architectures for ASR models to generate acoustic representations (encoding) and predict characters at different time steps (decoding), The recurrent nature of RNN limits the parallelization of 043 computation and it becomes especially severe for 044 speech recognition task since speech sequences 045 are commonly long. To overcome these short-046 comings, (Dong et al., 2018) introduced the Trans-047 former (Vaswani et al., 2017) to ASR task, which achieved better performance with markedly less training cost and no-recurrence. The fundamental 050 module of Transformer is self-attention that relates 051 all the position-pairs of a sequence to generate a more expressive sequence representation. Since the self-attention dose not involve local context whereas Convolutional Neural Networks (CNN) are good at modeling such information, (Gulati et al., 2020) proposed to augment the Transformer 057 network with convolution to model both local 058 and global dependencies. This novel convolution-059 augmented Transformer architecture, called Con-060 former, has become the de facto model for ASR 061 tasks due to its ability to capture global and lo-062 cal features synchronously from audio signals (Li, 063 2021). It has also achieved state-of-the-art perfor-064 mance in combination with recent developments 065 in various end-to-end speech processing tasks as 066 well (Guo et al., 2021). 067

Indeed the availability of sufficient training resources and large scale hand-labeled datasets made it possible to train powerful deep neural network, i.e., Conformer, for ASR to reach very low Word Error Rate (WER) and break state-of-the-art results. One major drawback for using Conformer in realworld is the inference resource cost, especially for edge-devices that are widely used in production environment (Burchi and Vielzeuf, 2021). More fundamentally, this raises the question of whether there has room for optimizing Conformer and achieving comparative performance in ASR tasks. 068

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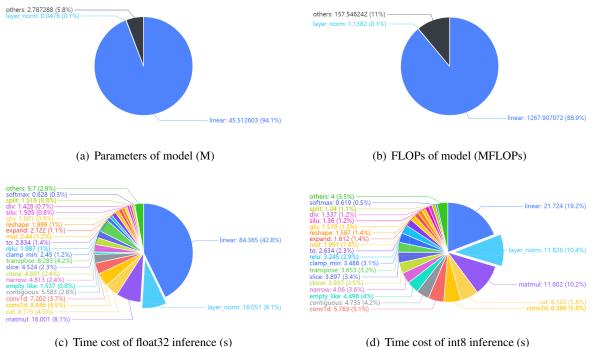
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In literature, many studies on efficient neural networks have just emerged in the past year (Menghani, 2021) and different approaches have been



(c) Time cost of float32 inference (s)

Figure 1: How does Conformer spend its time? This is a breakdown of parameters, FLOPs and latency in Conformer¹, reported to three significant digits. The Layer Normalization modules account for only 0.1% of the parameters and FLOPs while over 8% (float32) and 10% (int8) of the latency. This reveals the gap between the theoretical computation overhead (i.e., parameters or FLOPs) and the real-world inference latency, indicating that removing Layer Normalizations could be one of the most valuable optimization for Conformer.

proposed to address the problem of integrating neural networks as a production-ready technology. Those approaches may be gathered into several broad categories (Han et al., 2016), such as quantization (Guo, 2018), weights sharing (Dabre and Fujita, 2019), pruning (Molchanov et al., 2017), efficient architecture design (Tan and Le, 2019) and low-rank decomposition (Cheng et al., 2018). All these methods may help to reduce the computation requirements. In this paper, we choose to focus on the design of an efficient architecture to address the ASR problem.

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Empirically, we perform a careful and systematic analysis of the theoretical computation overhead (i.e., parameters or FLOPs) and the real-world inference latency for Conformer (see Figure 1) and find that the most valuable optimization for Conformer could be removing Layer Normalizations (Ba et al., 100 2016). Based on our analysis, we first perform two direct applications of using BN instead of LN or 102 simply exclude all LN. All these changes however 103 result in frequent divergence in model training. To 104 investigate this phenomenon, we proposed Layer 105 Trend Plots (LTPs) to adapt the difference of statis-106

tics calculation between standard Conformer and its variants. Then we monitor the LTPs during training and find that most of such divergences are due to the unstable layer output. We thus propose to append a BN layer to each linear or convolution layer. The effectiveness of this simple modification is proved not only by observed stabilized training (Table 4) but also the on-par results with LN-based Conformer on ASR tasks (Table 2). Besides, ascribed to the use of BN, our FusionFormer easily acquire 10% speed performance gain without any special optimizations.

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2 Methodology

We describe the exploration of LN-free Conformer design space in this section. First, we discuss the relationships of various techniques to achieve streaming Conformer and perform a systematic analysis of how steaming Conformer spends its time. Then we conduct two straightforward experiments to optimize the Conformer model and find that all these

¹To calculate FLOPs and profile inference time, we randomly sample 50 sentences from AISHELL-1 testset and run Conformer in a streaming way, the configuration of streaming decoding is identical to the one we used in Table 5.

plain attempts lead to divergence. Finally we use
our proposed Layer Trend Plots (LTPs) to analyze
the reasons for the divergence of the above initial
attempts and propose a solution to build a robust
LN-free Conformer.

2.1 Streaming Conformer

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In this paper, we mainly focus on streaming speech recognition. Streaming ASR is an important scenario in online application. It emits tokens as soon as possible after receiving a partial utterance from the speaker. However, the insufficient future context may lead to performance degradation and there exists a trade-off between latency and accuracy (Chen et al., 2021). The original Attentionbased Encoder-Decoder (AED) model, i.e. Transformer or Conformer, for ASR are not streaming in nature by default, as the global attention mechanism requires all input feature sequence for the calculation of monotonic attention alignment to generate context information. To address streaming issues for AED, several methods have been proposed:

- 1. Chunk-wise methods (Tian et al., 2020; Wang et al., 2020) segment the input into small chunks and recognize on each chunk individually.
- 2. Memory based methods (Wu et al., 2020; Inaguma et al., 2020) introduce a contextual vector to encode history information.
- 3. Time-restricted methods (Yu et al., 2020; Tripathi et al., 2020) control time cost by simply masking left and right context in Transformer.

All these existing methods have their own draw-159 backs. For chunk-wise methods, the accuracy drops 160 significantly as the relationship between different 161 162 chunks are ignored. For memory based methods, they break the parallel nature of Transformer in 163 training, requiring a longer training time. For time-164 restricted methods, a large latency is introduced as the reception field grows linearly with the number 166 of Transformer layers. To overcome these short-167 comings and reach a balance between training cost, 168 runtime cost, and accuracy, (Wu et al., 2021) combines chunk-wise processing and time-restricted 170 context to handle streaming scenario, where audio 171 signals are truncated into several segments and pro-172 cessed chunk by chunk with the accessibility to 173 previous chunks to model relationships between 174

chunks. Besides, to guarantee the training efficiency, there is no overlap between chunks in training. In order to conduct efficient decoding for the proposed streaming Conformer (called U2++), (Wu et al., 2021) also implemented an efficient decoder based on beam search with C++, coupled with a high-performance WebSocket server specially tailored for U2++ and can be used in real production environment². Due to its state-of-the-art accuracy, open sourced reproducibility and widespread adoption by industry, we choose U2++ in (Wu et al., 2021) as our baseline streaming Conformer and profile it in the next subsection. 175

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2.2 Is There Any Room for Optimizing Streaming Conformer?

In Figure 1, we show the breakdown of parameters, floating-point operations per second (FLOPs) and latency among the main components of the Conformer network (see Figure 3). We observe that calculations in the Layer Normalization modules account for only 0.1% of the total parameters and FLOPs. However, they account for 8.1% and 10.4% of the latency in float32 inference and int8 inference, respectively. Given that huge gap between FLOPs and latency, it's nature to turn our focus to removing the Layer Normalizations since it allows us to get the maximum benefit at the minimum cost.

2.3 Initial Attempts

As for initial attempts, we conduct two straightforward experiments by:

- 1. Simply removing all LN layers (denoted as Conformer-NoNorm).
- 2. Directly replacing all LN layers by BN layers at the same place (denoted as Conformer-BN).

Our model is based on standard version of U2++ Conformer (denoted as Conformer-LN), and all the other hyperparameters follow the settings in Appendix A.3. Unexpectedly, these plain designs lead to convergence problems, i.e., the model is very unstable to frequently crash during early-stage training or unable to exceed the current local optima. The validation and training curves in Figure 2 with three different models reveal that LN plays an important role to stabilize the training of Conformer and we have to dig out how LN works. We hypothesize these divergences are originated from

²https://github.com/wenet-e2e/wenet

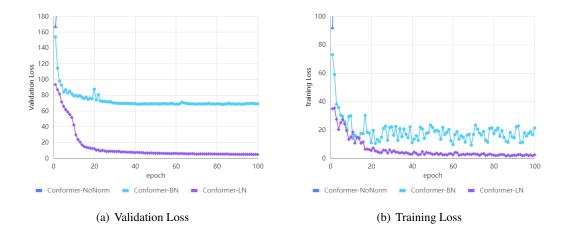


Figure 2: The validation loss and training loss of Conformer-NoNorm, Conformer-BN, Conformer-LN on AISHELL-1 for the first 100 epochs.

unstable layers, which may be observed with some abnormal statistics in the hidden outputs.

2.4 Analysis

The recently proposed Signal Propagation Plots (SPPs) (Brock et al., 2021) are proved to be help-226 ful to find out the key reason that contributing to 227 model divergence. SPPs are originally designed for deep ResNets where the statistics of the hidden activations, i.e., the activations of each residual block before training, are used as a simple set of 231 visualizations. We notice that although SPPs theoretically analyzed signal propagation in ResNets, it is a static analysis of a randomly initialized model and we find that practitioners rarely empirically evaluate the scales of different layer outputs across different training times when designing new models or proposing modifications to existing architectures. By contrast, we found that plotting the dynamic statistics of the layer outputs at differ-240 ent training steps on a batch of either real training 241 examples or random Gaussian inputs, can be ex-242 tremely beneficial. This practice not only allows us 243 to identify special phenomena which might be challenging to derive from scratch, but also enables us to immediately detect hidden bugs in our plain im-246 plementations. To formalize this good practice, we 247 propose Layer Trend Plots (LTPs), a simple graphical method for visualizing layer behaviours across 249 training stage on the forward pass in Conformer.

To monitor the trend of layer output, we plot the following statistics for every layer in Conformer:

1. Mean, computed as the average value of the layer output.

2. Variance, computed as the variance of all elements in the layer output. 255

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We generally find these statistics to be informative measure of the training magnitude, and to clearly show explosion or attenuation. To generate LTPs, we provide the network with a batch of input examples sampled from a standard normal distribution. We also experiment with feeding real data samples instead of random noise and find this does not affect the key trends. With LTPs, we observe several meaningful patterns as plotted in Appendix A.1 and Figure 4, where the y-axis denotes the values of corresponding statistics, and the x-axis denotes the index of the training epochs. For Conformer, there are 11 linear/convolution layers for each block, while detailed position of each layer can be found in Figure 3.

First, we find that most of the layers in Conformer-NoNorm changed rapidly and abnormally at the very beginning of training, which is consistent with our observation in Figure 2 that the model will face crash problems at early stage. Second, different from Conformer-NoNorm, models with normalization such as Conformer-BN and Conformer-LN have controllable statistics at beginning. However, Conformer-BN may suffer from "layer crash" at the later training stages, especially for layers in Feed Forward and Self Attention. These patterns are double-checked in LTPs of Variance and we show them in Appendix A.1 and Figure 5.

2.5 Solutions

Based on our observations in section 2.4, we argue that the convergence problem is mainly contributed

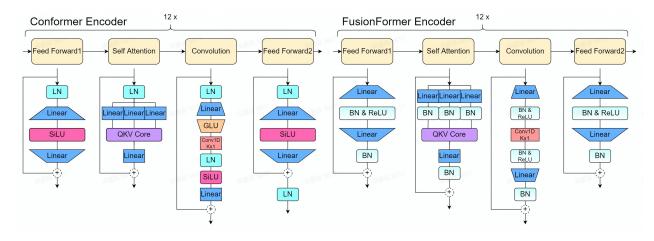


Figure 3: Schematic representations outlining the difference between Conformer Encoder and FusionFormer Encoder structures. Note that BN & ReLU are highlighted with color gradient, which means that they can be further fused into previous layers to accelerate inference.

Model	Architecture	Hidden	Heads	Params (M)
12CE + 6CD	12 Conformer Encoder + 6 Conformer Decoder	256	4	48
12FE + 6CD	12 FusionFormer Encoder + 6 Conformer Decoder	256	4	48
12FE + 6FD	12 FusionFormer Encoder + 6 FusionFormer Decoder	256	4	48
16CE + 6CD	16 Conformer Encoder + 6 Conformer Decoder	384	6	98
16FE + 6CD	16 FusionFormer Encoder + 6 Conformer Decoder	384	6	98
16FE + 6FD	16 FusionFormer Encoder + 6 FusionFormer Decoder	384	6	98

Table 1: Detailed architecture configurations for Conformer and FusionFormer.

to the unstable Mean and Variance of layer output and putting normalization in appropriate position can alleviate this problem to a certain extent, i.e., by placing LN at each residual branch like what standard Conformer has done or simply appending BN to each linear/convolution layer. We therefore propose our solution in the right part of Figure 3, where LN is removed and BN is added after each layer. Besides stabilizing the layer output, another advantage of appending BN is that we can fuse BN into preceding linear/convolution layers which is a quite mature technique for speedup (Duan et al., 2018). We can never do similar things for LN since LN needs to calculate the mean and variance statistics during inference while BN does not (Yao et al., 2021).

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We also propose to simplify the activation in Conformer for extra speedup. In the left part of Figure 3, Conformer uses Swish activation (also known as SiLU) for most of the modules. However, it switches to a Gated Linear Unit (GLU) for its convolution module. Such a heterogeneous design seems over-complicated and quantizationunfriendly (Kim et al., 2022). From a practical view, multiple activations complicates hardware deployment, as an efficient implementation of int8 activation requires custom approximations or look up tables (Kim et al., 2021; Yu et al., 2021). To address this, we propose to replace the GLU and Swish activation with ReLU (Agarap, 2018), unifying the choice of activation function throughout the entire model. We note that ReLU can also be fused into the previous layers (Stevewhims, 2021). 312

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Since Conformer decoder only contains Feed Forward and Attention modules, it is simple and straightforward to apply similar modifications to decoder, detailed structures of decoder can be seen in Appendix A.2.

It is well known that fewer layers means faster speed and less quantization accuracy loss (Guo, 2018). With all the above optimizations, we get a new architecture called FusionFormer, which is speed-oriented and quantization-friendly.

3 Experiments

Models. Following the architecture described in (Wu et al., 2021), we construct standard U2++ Conformer with 12 encoder blocks and 6 decoder

Model	1st-s-16-4	1st-s-16- ∞	1st-ns- ∞ - ∞	2nd-s-16-4	2nd-s-16- ∞	2nd-ns- ∞ - ∞
12CE + 6CD	6.15	5.96	5.33	5.12	5.09	4.72
12FE + 6CD	6.39	6.30	6.01	5.31	5.27	4.97
12FE + 6FD	6.43	6.35	5.83	5.32	5.27	4.98
16CE + 6CD	5.90	5.87	5.26	5.09	5.00	4.68
16FE + 6CD	6.17	6.08	5.54	5.19	5.10	4.82
16FE + 6FD	6.12	6.05	5.63	5.22	5.12	4.85

Table 2: WER (%) Comparison of different models with different decoding methods on AISHELL-1.

Model	Decoding Method	WER	Correct	Substitute	Delete	Insert
	1st-s-16-4	6.15	98429	6139	197	107
	1 st-s- $16-\infty$	5.96	98633	5975	157	110
	1 st-ns- ∞ - ∞	5.33	99290	5349	126	104
12CE + 6CD	2nd-s-16-4	5.12	99500	5128	137	101
	2 nd-s-16- ∞	5.09	99529	5096	140	98
	2 nd-ns- ∞ - ∞	4.72	99914	4730	121	93
	1st-s-16-4	6.39	98183	6407	175	112
	1 st-s- $16-\infty$	6.30	98264	6328	173	103
12EE + 6CD	1 st-ns- ∞ - ∞	6.01	98760	5839	166	294
12FE + 6CD	2nd-s-16-4	5.31	99299	5302	164	92
	2 nd-s-16- ∞	5.27	99327	5278	160	83
	2 nd-ns- ∞ - ∞	4.97	99635	4972	158	79
	1st-s-16-4	6.43	98132	6457	176	107
	1 st-s- $16-\infty$	6.35	98213	6380	172	103
12FE + 6FD	1 st-ns- ∞ - ∞	5.83	98756	5853	156	103
12FE + 0FD	2nd-s-16-4	5.32	99287	5314	164	97
	2 nd-s-16- ∞	5.27	99335	5269	161	87
	2 nd-ns- ∞ - ∞	4.98	99633	4975	157	88

Table 3: WER (%) comparison of different models with different decoding methods on AISHELL-1, including all kinds of misrecognitions.

blocks and scale it up to 16 encoder blocks. In par-335 ticular, we apply the proposed architecture changes 336 in Section 2.5 to construct FusionFormer from Con-337 former, retaining the model size. Detailed architec-338 ture configurations are described in Table 1. 339

Training Details. Because the training recipes and codes for Conformer have been fully opensourced³, we strictly follow the settings except that we use dynamic left chunks to simulate different 343 context for streaming decoding. We train both Conformer and FusionFormer on a public Man-345 darin speech corpus, named AISHELL-1 (Bu et al., 2017), for 700 epochs on 4 GeForce-RTX-3090, More details for the training setup are given in Appendix A.3. 349

Decoding Methods. U2++ supports two-pass de-

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coding where encoder is used to generate n-best hypotheses, either in a streaming manner or in a non-streaming manner, for the first pass and the hypotheses are then rescored by the decoder to get the second pass result. We therefore get 6 different decoding configurations:

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- 1. 1st-s-16-4: First pass streaming result with chunksize=16 and accessibility to previous 4 chunks.
- 2. **1st-s-16**- ∞ : First pass streaming result with chunksize=16 and accessibility to all previous chunks.
- 3. 1st-ns- ∞ - ∞ : First pass non-streaming result with chunksize= ∞ , it is equal to standard offline speech recognition.
- 4. 2nd-s-16-4: Second pass streaming result 366 with chunksize=16 and accessibility to pre-

³https://github.com/wenet-

e2e/wenet/blob/main/examples/aishell/s0/conf

Decoding Method	lr=0.003	lr=0.0025	lr=0.002	lr=0.0015	lr=0.001*	lr=0.0005
1st-s-16-4	6.50	6.46	6.43	6.53	6.61	6.68
1st-s-16- ∞	6.38	6.43	6.35	6.43	6.53	6.64
1st-ns- ∞ - ∞	5.89	5.88	5.83	5.90	6.06	6.21
2nd-s-16-4	5.34	5.37	5.32	5.40	5.42	5.48
2nd-s-16- ∞	5.27	5.34	5.27	5.29	5.35	5.44
2nd-ns- ∞ - ∞	4.98	5.07	4.98	5.01	5.10	5.16

Table 4: Analysis on different learning rate for different decoding methods. Experiments are conducted with **12FE** + **6FD**. We find that FusionFormer is trained smoothly without any crashes and is extremely robust to learning rate (lr). * indicates the best setting for LN-based model, it's also the default setting for training our Conformer-LN baseline.

vious 4 chunks.

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- 2nd-s-16-∞: Second pass streaming result with chunksize=16 and accessibility to all previous chunks.
- 6. **2nd-ns-∞-∞**: Second pass non-streaming result with chunksize=∞, it is equal to standard offline speech recognition.

3.1 Main Results

We use Word Error Rate (WER) as metric and the main results are shown in Table 2.

Conformer Encoder vs. FusionFormer Encoder. Compared to the LN-based Conformer (12CE + 6CD), BN-based FusionFormer (12FE + 6CD) suffers a significant drop in first pass decoding (6.15 / 5.96 / 5.33 vs. 6.39 / 6.30 / 6.01). We additionally check all types of misrecognitions in Table 3, and find that this gap is mainly due to higher substitution errors (6139 / 5975 / 5349 vs. 6407 / 6328 / 5839). However, after the second pass decoding, this situation is mitigated (5.12 / 5.09 / 4.72 vs. 5.31 / 5.27 / 4.97 and 5128 / 5096 / 4730 vs. 5302 / 5278 / 4972). Considering the initial divergence, these comparable results demonstrate the practicality of LTPs and the reliability of the proposed modifications.

393Conformer Decoder vs. FusionFormer Decoder.394To verify our proposed method works equally well395for the decoder, we also evaluate the performance396of FusionFormer Encoder + FusionFormer Decoder.397By comparing 12FE + 6CD and 12FE + 6FD, we398can clearly see that our methods generalize well on399Decoder since WER is almost the same.

400Deeper Conformer vs. Deeper FusionFormer.401As shown in the last three lines of Table 2, our402architecture scales well to larger models and con-403sistently achieves the on-par results with LN-based

counterparts.

3.2 Further Analysis

Stability. To validate our conjecture in Section 2.5 that the convergence problem is mainly caused by the unstable Mean and Variance of layer output and putting normalization in appropriate position can alleviate this problem, we present some WER comparisons with different hyper-parameter setups in Table 4. It is well known that Self Attentions are very sensitive to hyper-parameters, especially to learning rate (Popel and Bojar, 2018). Therefore, huge efforts have to be devoted to hyperparameter tuning. However, by applying our proposed modifications which append BN to each convolution/linear layers and simplify activation functions, there is no more crashes and the performance is much more stable. Besides, the results also suggest that it's better to use a higher learning rate (lr) when training our proposed FusionFormer while the optimal learning rate for Conformer is smaller. 404

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Speed. Run Time Factor (RTF) is obtained by calculating the ratio of the total decoding time to the total audio time and is widely adopted as a modellevel end-to-end speed metric in ASR. We run tests on AISHELL-1 testset for both Conformer and FusionFormer to evaluate the speed performance. As Table 5 shows, by fusing operations such as BN and ReLU into previous layers, the inference speed of FusionFormer consistently outperforms Conformer and achieves over 10% speedup, without noticeable WER changes.

4 Related Work

Transformer (Vaswani et al., 2017) is initially proposed for Natural Language Processing (NLP) tasks and the great success in this field encourages the researchers in Computer Vision (CV) community (Dosovitskiy et al., 2021) and Speech commu-

Model	RTF(float32)	RTF(int8)
12CE + 6CD	0.1053	0.07064
12FE + 6FD	0.08962	0.06047

Table 5: RTF comparison of different model with decoding method 2nd-s-16- ∞ . All our experiments are conducted on Intel(R) Core(TM) i7-10510U CPU @ 1.80GHz with single thread.

nity (Dong et al., 2018) to apply Transformer in 441 their own tasks. Along these directions, injecting 442 convolution into Transformer has been proved to 443 be a general enhancement for all tasks (Liu et al., 444 2021; Gulati et al., 2020), which results in a new 445 state-of-the-art backbone called Conformer. While 446 Conformer achieves strong performance, we note 447 that it still leverages Layer Normalization (LN) as 448 the de facto normalization scheme while incorporat-449 ing Batch Normalization (BN) is not well-studied 450 in speech areas. Although both BN and LN nor-451 malizes the activation of each layer by mean and 452 variance statistics, the main advange of BN is that 453 it is generally faster in inference than other batch-454 unrelated normalizations such as LN, due to an 455 avoidance of calculating the mean and variance 456 457 statistics during inference.

In NLP literature, early attempts of using BN in 458 NLP tasks faced significant performance degrada-459 460 tion (Shen et al., 2020). The conclusion of this paper is the same as ours that it is not feasible to 461 replace LN with BN directly in the original posi-462 tion. To address this problem, (Shen et al., 2020) 463 proposed Power Normalization (PN), an enhanced 464 version of BN, to reduce the variation of statistics. 465 By contrast, based on our observations on LTPs, 466 we alleviate the statistic issue with a simple but 467 effective method, i.e., instead of retaining the posi-468 tion of normalizations, we propose to remove LN 469 and append BN to every linear/convolution layer. 470 We note that our modifications are completely sup-471 plement to (Shen et al., 2020) that we can also put 472 PN to the aforementioned positions and we leave 473 this to our future works. 474

In CV literature, the effectiveness of BN when com-475 bined with Convolutional Neural Networks (CNNs) 476 is widely validated by the past success in vision 477 tasks. As for vision Transformer/Conformer (Doso-478 vitskiy et al., 2021; Liu et al., 2021), most of the 479 work just inherits LN from NLP and pays rare at-480 tentions on BN. We notice that (Yao et al., 2021) 481 is the first to introduce Batch Normalization to 482

Transformer-based vision architectures, by adding a BN layer in-between the two linear layers in the Feed Forward module. Since vision tasks usually only contains the encoder part and the structure of vision Transformer encoder is totally different from that used in NLP and Speech, i.e., each encoder block in vanilla Transformer is similar and homologous while encoder blocks in vision Transformer have different hidden dimensions across different stages, it is unknown whether we can directly apply the modifications in (Yao et al., 2021) to NLP or Speech encoder and whether those modifications work well to the decoder. However, in this paper, the experimental results in Section 3 show that our method is suitable for Transformers with homologous structures, while it also generalizes well to decoders.

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5 Conclusion

In this paper, we perform a careful study on inference time cost of Conformer and find that removing Layer Normalization could be one of the most valuable optimizations for Conformer. We propose Layer Trend Plots to analyze our initial attempts and find that the divergence issue is mainly caused by the unstable layer output. We therefore propose to remove LN layers and append BN to each linear/convolution layer. Besides, we also replace the activation function used in Conformer with ReLU to simplify hardware deployment and to further inherent the advantages of fusing operations such as BN and ReLU into previous layers. Our experiments indicated that our method successfully stabilizes the training process and is as effective as the LN-based counterpart while achieving over 10% faster inference speed.

Limitations

As mentioned in (Shen et al., 2020), there are clear differences in the batch statistics of NLP data versus CV data and we can draw the same conclusion when we switch to the field of Speech. We claim that our method works mostly for speech tasks, like speech recognition and speech translation, but its generalization in other tasks needs to be further verified.

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A	Appendix	7	32
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A.1 Layer Trend Plots

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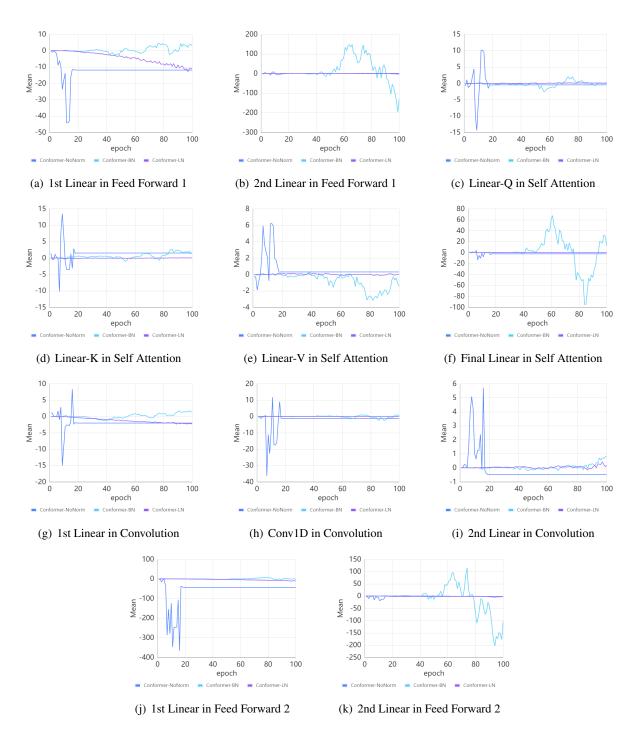
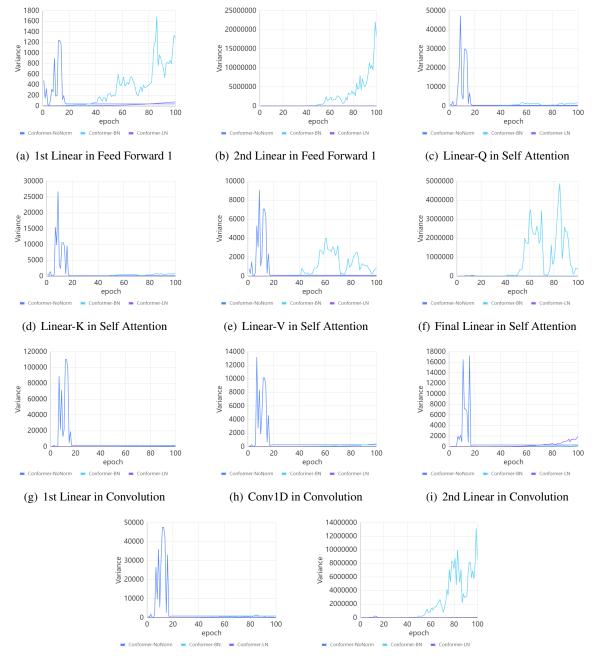


Figure 4: The Mean of layer output in the first Conformer encoder block for three different variants: Conformer-NoNorm (in blue), Conformer-BN (in cyan) and Conformer-LN (in purple). It is clear that both Conformer-NoNorm and Conformer-BN suffer from large numerical changes, either at the beginning or at the end of training, which result in unstable output while Conformer-LN keeps the output mean around zero throughout the whole training process. We note that after around 18 epochs, the gradient of Conformer-NoNorm becomes NAN and hence the optimizer stop to update parameters, the Mean remains unchanged thereafter.



(j) 1st Linear in Feed Forward 2

(k) 2nd Linear in Feed Forward 2

Figure 5: The Variance of layer output in the first Conformer encoder block for three different variants: Conformer-NoNorm (in blue), Conformer-BN (in cyan) and Conformer-LN (in purple). We can get similar conclusion to Figure 4 that Conformer-LN is much more stable than others.

A.2 FusionFormer Decoder

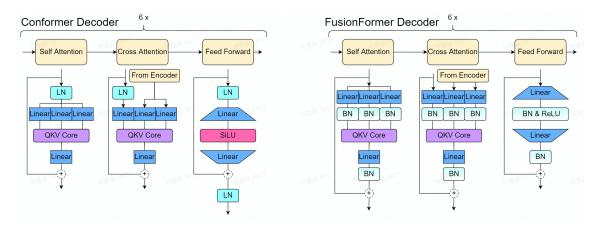


Figure 6: Schematic representations outlining the difference between Conformer Decoder and FusionFormer Decoder structures.

A.3 Training Setups

For learning rate scheduling, we modify the widely used Noam annealing (Vaswani et al., 2017) to decouple the hidden size and peak lr. That is,

$$lr = lr_{peak} * T_0^{0.5} * min(t^{-0.5}, t * T_0^{-1.5})$$
⁽¹⁾

where t is the step number, lr_{peak} is the peak learning rate, and T_0 is the warmup steps. We use the best setting for Conformer and FusionFormer where lr_{peak} is set to 0.001 and 0.002, respectively. For both models, the output alphabet of target text consists of 4233 classes, including 4230 chinese characters and three special tokens, such as $\langle SOS \rangle$, $\langle EOS \rangle$ and $\langle unk \rangle$. Finally, for data augmentation, the same settings in (Wu et al., 2021) are adopted for all our experiments.

The training set of AISHELL-1 (Bu et al., 2017) contains about 150 hours of speech (120,098 utterances)744recorded by 340 speakers. The development set contains about 20 hours (14,326 utterances) recorded745by 40 speakers. And about 10 hours (7,176 utterances) of speech is used as test set. AISHELL-1 can be746downloaded from https://www.openslr.org/33/.747

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