
Lua-LLM: Learning Unstructured-Sparsity Allocation for Large Language Models

Mingge Lu Jingwei Sun* Junqing Lin Zechun Zhou Guangzhong Sun*

University of Science and Technology of China

mingge@mail.ustc.edu.cn, sunjw@ustc.edu.cn, linjunqing@mail.ustc.edu.cn,
zhouzechun@mail.ustc.edu.cn, gzsun@ustc.edu.cn

Abstract

Large Language Models (LLMs) have demonstrated remarkable capabilities, yet their extensive parameter scales pose significant challenges for practical deployment. Unstructured pruning has emerged as an effective model compression strategy with minimal performance loss, which introduces fine-grained sparsity for weight parameters. While existing methods employ a layer-wise pruning strategy to avoid the complexity of global pruning for billion-scale LLMs, they require appropriate sparsity allocation for the layer-wise pruning objectives and often lead to suboptimal solutions for the overall model. In this paper, we propose Lua-LLM (Learning unstructured-sparsity allocation in LLMs), a learning-based global pruning framework that explores the optimal unstructured sparsity allocation. Unlike existing pruning methods, which primarily focus on allocating per-layer sparsity, Lua-LLM achieves flexible allocation for both layer-wise and intra-layer sparsity. Furthermore, Lua-LLM leverages a soft Top-K operator to approximate the importance-based mask selection mechanism, enabling efficient binary mask learning. Experimental results on LLaMA and OPT families demonstrate significant performance improvements over existing methods.

1 Introduction

Large Language Models (LLMs) [1, 25, 67, 79] have demonstrated remarkable performance across a wide range of downstream tasks in natural language processing [9, 68, 69]. However, their ever-increasing parameter scales require substantial memory and computational resources, posing major challenges for their practical deployment on various platforms and applications. For instance, LLaMA-3.1-405B model [24] requires more than 754 GB memory to store its parameters in half-precision (FP16) format, far surpassing available memory on resource-constrained devices. To make LLMs more accessible and efficient, considerable efforts have been made to compress these models, including pruning [3, 19, 33, 48, 64], quantization [20, 31, 73], and knowledge distillation [36, 56, 65]. Pruning is an effective model compression approach and has been applied successfully in various model structures [10, 32, 45, 60].

Unstructured pruning [18, 26, 50] selectively removes less critical weight parameters at the element granularity. This process introduces element-wise sparsity in weight matrices while maintaining minimal degradation in model performance. Conventional pruning methods [49, 61] propose a global pruning strategy, which solves an optimization problem to minimize the overall model loss. However, given the massive parameter scale in LLMs, these methods become impractical due to the substantial computational overhead. To address this, recent studies like SparseGPT [19] and Wanda [64] split the global pruning objective into multiple local subproblems, each of which focuses on minimizing layer-wise pruning error that can be solved faster. Despite their efficiency gains, these methods

*Corresponding authors

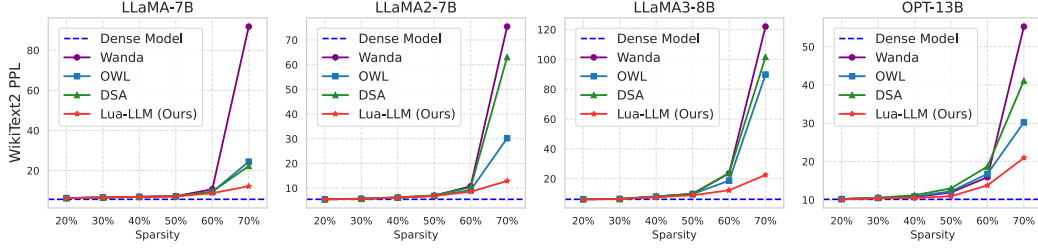


Figure 1: Model perplexity \downarrow results on WikiText2 dataset with 2048 sequence length.

employ uniform pruning ratios across all layers and only focus on minimizing the local errors, posing the risk of removing important weights for more sensitive layers and leading to suboptimal solutions. Especially at high sparsity levels, these methods suffer severe performance degradation, undermining their practical applicability.

Recent studies like OWL [76] have thus focused on layer-adaptive sparsity allocation [29, 37, 38, 47, 76] to fulfill the potential of LLM pruning. While these techniques have demonstrated noticeable performance improvements over uniform pruning strategies, two substantial challenges remain: **First, existing layer-adaptive approaches overlook intra-layer sparsity allocation.** Following the pruning strategy in prior work [19, 64], these methods divide the weight matrix of a layer into finer-grained comparison groups, each employing a uniform sparsity level. Although BESA [74] aims to learn sparsity for these fine-grained groups, the substantial computational overhead caused by neural architecture search forces it to minimize the error of each transformer block, which still yields suboptimal solutions. **Second, layer-wise importance statistics are insufficient to indicate the inherent distribution of unstructured sparsity.** OWL uses layer outlier ratio as a heuristic proxy for per-layer sparsity allocations, which requires empirical sparsity mapping and lacks a solid theoretical foundation. DSA [37] conducts an evolutionary algorithm to find the optimal allocation function based on importance scores, yet the search process takes at least 12 hours for LLaMA-7B model, and the searched allocation function faces generalization issues for other models.

To address these challenges, we propose **Lua-LLM**, a gradient-based global pruning framework that learns fine-grained sparsity allocation to minimize the overall model performance loss. Our key insight is that Wanda’s pruning strategy primarily focuses on activation sparsity in the input dimension, while its uniform row-wise sparsity configuration overlooks output sparsity, leading to an imbalanced sparsity distribution. Thus, we develop our Lua-LLM method by building upon an **adaptive row-wise sparsity allocation problem**. Lua-LLM decomposes the selection of overall pruning masks into row-wise pruning subproblems, each of which leverages a Top-K selection operator to **represent the mask selection process based on existing element-wise importance metric**. The non-differentiable Top-K operator is approximated using Sigmoid function, where the row-wise sparsity is transformed into a single pruning threshold parameter, enabling parameter-efficient mask learning. After optimizing the row-wise threshold parameters with end-to-end model performance loss, Lua-LLM identifies a sub-network within the original model, which achieves adaptive sparsity allocation for both layer-wise and intra-layer sparsity. For LLaMA-7B model, Lua-LLM learns sparsity allocation in only 1 hour on $2 \times$ NVIDIA A100 GPUs, which demonstrates superior efficiency compared to DSA.

We evaluate Lua-LLM on several LLMs, including LLaMA-7B/13B, LLaMA2-7B/13B, LLaMA3-8B, and OPT-6.7B/13B. Compared to existing sparsity allocation methods, Lua-LLM achieves significant performance improvements for compressed models, particularly at high sparsity ratios. As shown in Figure 1, Lua-LLM reduces the perplexity on LLaMA3-8B under a 70% pruning ratio by 99.5, 67.29, and 79.14 compared to Wanda, OWL, and DSA, respectively. Under a 60% pruning ratio, Lua-LLM improves the average accuracy on LLaMA-7B by 4.92%, 3.47%, 4.09%, compared to Wanda, OWL, and DSA, respectively, while incurring only a 3.18% accuracy degradation from the original model. When integrated with SpInfer [16], a GPU inference framework for sparse LLMs, Lua-LLM achieves end-to-end inference speedup for the compressed models with 50% - 70% sparsity levels on an NVIDIA A100 80 GB GPU, ranging from $1.18\times$ to $1.73\times$. Our experiment results demonstrate that Lua-LLM achieves more adaptive sparsity allocation, enhancing the practical applicability for LLM pruning at high sparsity levels.

2 Related Work

Unstructured Pruning for LLMs. Pruning has a long history [27, 34] and has been successfully applied to compress neural networks of various structures [10, 28, 32, 45, 60]. Compared to structured [2, 6, 21, 48, 55, 72, 78, 81, 84] and semi-structured [17, 80, 82] pruning strategies, unstructured pruning [4, 19, 52, 64, 83] introduces finer-grained sparsity and leads to minimal performance loss. SparseGPT [19] proposes a post-training pruning framework that computes Hessian metrics for weight elimination and update. Wanda [64] designs a novel pruning metric and pattern, which outperforms SparseGPT at 50% sparsity without any weight updates. SparseLLM [4] leverages auxiliary variables for the decomposition of the global pruning problem and achieves alternating optimization into the subproblems with global optimality. To achieve practical inference speedup for unstructured sparse neural networks on GPUs, multiple works [16, 22, 41, 71] have been proposed to optimize the kernel latency of sparse matrix-matrix multiplication (SpMM) operation.

Adaptive Layer-wise Sparsity. To address the limitation of prior uniform pruning methods [4, 19, 52, 64], recent studies have thus focused on layer-adaptive sparsity allocation techniques. Based on observation of the correlation between activation outliers and performance of LLMs, OWL [76] adjusts the per-layer sparsity ratio according to layerwise outlier distribution. ALS [38] estimates inter-layer correlations using information orthogonality and then employs linear optimization to selectively prune features in intermediate layers. DSA [37] conducts an evolutionary algorithm to find an allocation function that establishes a mapping from element-wise scores to sparsity ratios and generalizes across different models. AlphaPruning [47] uses heavy-tailed self regularization to allocate layerwise sparsity in a theoretical manner. ATP [29] reduces the process of determining sparsity rates for multiple layers to the determination of a single common difference hyperparameter with a monotonically increasing arithmetic progression.

NAS-based Pruning for LLMs. Neural Architecture Search (NAS) [15, 39, 43, 51, 58, 66] is a pivotal technique in machine learning that automates the design of optimal neural network architectures. Recent studies have applied NAS to automatically identify the optimal sparsity pattern in pre-trained LLMs. Using the evolutionary algorithm, Pruner-Zero [14] evolves symbolic pruning metrics. DSA [37] searches for effective sparsity allocation functions. Search-LLM [63] identifies structured sub-networks using mask mutation. The gradient-based method also serves as an important NAS strategy. DISP-LLM [23] formulates dimension-independent structured pruning as an optimization problem and uses Straight-Through gradient estimator [44] to enable mask learning. MaskLLM [17] incorporates Gumbel Softmax for differentiable sampling of semi-structured pruning masks. BESA [74] parameterizes local sparsity objectives with learnable combinations of candidate pruning rates and minimizes block-wise reconstruction error. Given the massive parameter scale of LLMs, searching for element-wise unstructured pruning masks is much more challenging due to prohibitively high computational costs.

3 Preliminary

3.1 Problem Formulation

Given a pre-trained large language model with parameter \mathbf{W} , pruning is formulated as a constrained optimization problem, which utilizes inputs \mathbf{X} to derive a sparse model with a binary pruning mask \mathbf{M} and possibly updated weights $\widehat{\mathbf{W}}$ that minimizes the task performance loss:

$$\min_{\mathbf{M}, \widehat{\mathbf{W}}} \mathcal{L}(\mathbf{X}; \mathbf{M} \odot \widehat{\mathbf{W}}) \quad \text{s.t.} \quad \text{Sparsity}(\mathbf{M}) = p, \quad (1)$$

where the pruning mask \mathbf{M} is constrained with target sparsity p . Since jointly optimizing both the pruning mask and the remaining weights is an NP-hard problem [8], a popular approach is to separate the pruning problem into mask selection and weight reconstruction.

Challenges. The massive parameter scale of LLMs introduces significant computational overhead for global pruning optimization problems. To reduce the complexity of the global pruning problem, prior methods [4, 19, 52, 64] split the full-model pruning problem into layer-wise subproblems. Despite the efficiency gains, the layer-wise pruning strategy presents two primary limitations. Firstly, it focuses on minimizing the local pruning error of each linear layer, while the non-linear operations

in LLMs suggest that such a layer-wise approach may yield a suboptimal solution for the entire network [40]. Secondly, it requires handcrafting an appropriate sparsity ratio p_ℓ for each layer, as the individual contributions of layers to the final model performance exhibit significant variation [23, 48, 76]. In this paper, we aim to address these challenges with an end-to-end learning method, which achieves adaptive sparsity allocation while minimizing the overall performance loss.

3.2 Revisit Wanda Pruning

Wanda [64] is a one-shot layer-wise pruning method, which prunes model weights without the weight reconstruction process. However, it achieves superior model performance at 50% sparsity level compared to methods that require weight update, such as SparseGPT [19]. The success of Wanda underscores the effectiveness of a better mask selection strategy, including careful design of the weight importance metric and the comparison group.

First, to capture the importance of model weight, Wanda proposes a novel pruning metric. Formally, given weight matrix $\mathbf{W} \in \mathbb{R}^{C_{out} \times C_{in}}$ and its input activation $\mathbf{X} \in \mathbb{R}^{L \times C_{in}}$, where L is the input sequence length and C_{out} , C_{in} are the output and input dimension, respectively, the importance score for weight element \mathbf{W}_{ij} is computed as below:

$$S_{ij} = |\mathbf{W}_{ij}| \cdot \|\mathbf{X}_{:,j}\|_2, \quad (2)$$

where $|\mathbf{W}_{ij}|$ is the weight magnitude and $\|\mathbf{X}_{:,j}\|_2$ is the ℓ_2 norm of the j -th column of input feature.

Second, Wanda chooses output channels, which refer to the rows in a weight matrix, as the groups for weight importance comparison and applies a uniform sparsity level to all rows. Through extensive experiments, Wanda demonstrated that using row-wise comparison groups outperforms alternative configurations, including the entire matrix, single columns, multiple columns, or multiple rows.

Observations. Prior works [13, 42, 76] have demonstrated that activations in LLMs exhibit heavy-tailed distributions, characterized by a subset of features with exceptionally large outliers. These outliers have been proven to play a vital role in the remarkable performance of LLMs. From Figure 2, we notice that Wanda’s sparsity pattern maintains low sparsity in the input dimensions corresponding to these outliers, which provides an intrinsic explanation for its effectiveness. However, Wanda misses a potential issue that the output dimensions also contain outlier magnitudes, while using the same row-wise pruning ratio might eliminate important weights in the output dimensions that are extremely sensitive, particularly at high sparsity levels.

Motivating Study. To verify our hypothesis, we perform a preliminary study for the output sparsity in weight matrices. Formally, given weight matrix $\mathbf{W} \in \mathbb{R}^{C_{out} \times C_{in}}$ and its input $\mathbf{X} \in \mathbb{R}^{L \times C_{in}}$, the output $\mathbf{Y} \in \mathbb{R}^{L \times C_{out}}$ is computed as below:

$$\mathbf{Y} = \mathbf{X}\mathbf{W}^\top. \quad (3)$$

We collect the output magnitude $\mathbf{y} \in \mathbb{R}^{C_{out}}$, where its j -th element $\mathbf{y}_j = \|\mathbf{Y}_{:,j}\|_2$ is the ℓ_2 norm of the j -th column of output feature.

We first apply the Wanda pruning strategy to uniformly prune the rows with 80% sparsity level for weight matrices in LLaMA-2-7B model. Then we adjust the row-wise sparsity ratios for each layer as follows: according to the output magnitude \mathbf{y} , we reduce the sparsity to 60% for the top 128 rows, and increase the sparsity to 100% for the bottom 128 rows. Although completely removing some output channels will introduce structured sparsity, which empirically leads to lower performance than unstructured sparsity, we still observe that the perplexity on WikiText2 [53] dataset decreases from 2335 to 1532, indicating that our heuristic strategy enhances the model performance. This non-uniform importance distribution in the output dimension motivates our pruning approach towards an adaptive row-wise sparsity pattern.

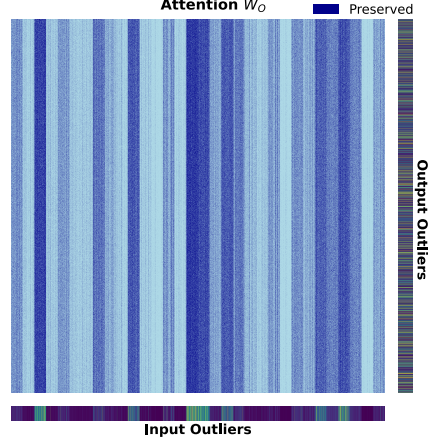


Figure 2: Visualization of the weight matrix W_O under Wanda sparsity pattern and the corresponding input and output magnitude outliers.

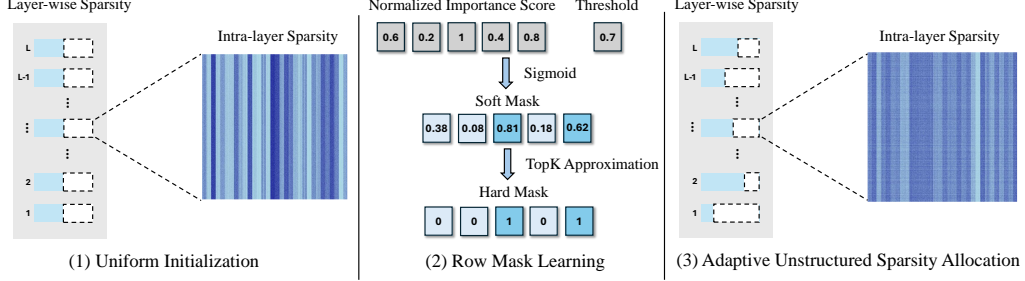


Figure 3: Overview of the proposed Lua-LLM pruning framework.

4 Lua-LLM: Learning Unstructured-Sparsity Allocation for LLMs

In this section, we motivate and describe our pruning method, Lua-LLM, which learns a more flexible and balanced sparsity allocation pattern from a global optimization perspective. Lua-LLM splits the global pruning mask selection problem into multiple row-wise subproblems, each of which is transformed into a single learnable threshold parameter using a soft Top-K operator, thereby enabling the end-to-end optimization of the model performance through gradient descent. An overview of our pruning framework is presented in Figure 3.

4.1 Row-Wise Mask Selection with Weight Importance Metric

To address the limitations of layer-wise pruning, we aim to identify optimal pruning masks by solving the global pruning optimization problem. However, directly learning the binary pruning masks for LLMs is prohibitively expensive. Motivated by our observation in Section 3.2, we reformulate the global pruning problem as an adaptive row-wise sparsity allocation problem. Within each row of weight matrices, we directly use the Wanda importance metric, which is introduced in Equation (2), and prune the less important weights according to the allocated sparsity. We first formulate the row-wise mask selection process as below.

Formally, let $\mathbf{w} \in \mathbb{R}^{C_{in}}$ denote a row in the weight matrix of a model layer, and let $\mathbf{s} \in \mathbb{R}^{C_{in}}$ represent the corresponding importance scores derived from the Wanda pruning metric. For a target sparsity ratio $p \in [0, 1]$, we define the pruning threshold $t \in \mathbb{R}$ as the K -th largest value in \mathbf{s} , where

$$K = \lfloor (1 - p) \cdot C_{in} \rfloor, \quad (4)$$

ensuring that exactly K weights are retained. This threshold t enforces the sparsity constraint:

$$p = \frac{1}{C_{in}} \sum_{i=1}^{C_{in}} \mathbb{I}(s_i < t), \quad (5)$$

where $\mathbb{I}(\cdot)$ is the indicator function. A mask selection function f , which retains the Top- K most important weights, maps the importance score \mathbf{s} to a binary mask $\mathbf{m} \in \{0, 1\}^{C_{in}}$ as follows:

$$m_i = f(s_i, t) = 0.5 \cdot \text{sign}(s_i - t) + 0.5 = \begin{cases} 1, & \text{if } s_i \geq t, \\ 0, & \text{otherwise,} \end{cases} \quad (6)$$

where $m_i = 1$ indicates that the corresponding weight w_i will be preserved, and $m_i = 0$ indicates that the weight w_i will be pruned.

4.2 Soft Approximation for Top-K Selection Function

After constructing pruning masks for each row of the weight matrices, we need to solve the adaptive row-wise sparsity allocation problem. However, the non-differentiable nature of the discontinuous mask selection function f poses a major challenge for generating differentiable masks, preventing the use of common optimization solvers such as the gradient descent technique.

Algorithm 1 The multi-stage Lua-LLM pruning algorithm.

Input: training dataset \mathcal{X} , pre-trained LLM model, and target sparsity p .

Output: unstructured sparse model.

- 1: **Initialization:** integrate mask modules into Attn and MLP layers, prepare uniform importance scores within $[0, 1]$, initialize all threshold parameters to target sparsity p .
 - 2: **for** $t \leftarrow 1$ to T **do**:
 - 3: **for** each weight matrix \mathbf{W}^l **do**:
 - 4: Generate soft pruning mask \mathcal{M}^l with row-wise thresholds $\{t_j\}_{j=1}^{C_{out}}$ by Eqn.(7),
 - 5: **end for**
 - 6: Forward propagation: $\mathcal{L}_{total} = \mathcal{L}_{task}(\mathcal{X}; \mathcal{M} \odot \mathbf{W}) + \lambda_{reg} \mathcal{L}_{reg}(\mathcal{M}; p)$,
 - 7: Update row-wise threshold parameters during back-propagation,
 - 8: **end for**
 - 9: Save the row-wise threshold parameters for each weight matrix,
 - 10: **Pruning:** computing hard masks for pruning by Eqn.(6).
-

To address this challenge, we employ the straight-through-estimator (STE) technique [5], enabling gradient computation via a soft approximation when processing non-differentiable functions. Intuitively, we employ the sigmoid function to construct a soft approximation of the Top-K selection function, so that each row-wise pruning mask can be generated with a single learnable threshold parameter t . To mitigate the training instability caused by importance outliers (Section 3.2), we map the importance scores to a uniform distribution within $[0, 1]$. Then, given a row of weights $\mathbf{w} \in \mathbb{R}^{C_{in}}$ with the uniformly distributed importance scores $\mathbf{s} \in [0, 1]^{C_{in}}$, we reparameterize the target sparsity p as a learnable threshold parameter t , and compute the soft pruning mask $\tilde{\mathbf{m}} \in [0, 1]^{C_{in}}$ with a differentiable mask selection function \tilde{f} :

$$\tilde{m}_i = \tilde{f}(s_i, t) = \sigma(\lambda(s_i - t)), \quad (7)$$

where $\sigma(x) = (1 + e^{-x})^{-1}$ is the sigmoid function and λ controls the approximation level. We set the value of parameter λ to C_{in} , which is large enough to provide a precise approximation. The soft alternative for the Top-K selection function makes the loss function \mathcal{L} differentiable with respect to the pruning threshold t , and the global pruning problem can be optimized with a gradient descent method. Formally, the gradient for parameter t can be computed as:

$$\frac{\partial \mathcal{L}}{\partial t} = \sum_{i=1}^{C_{in}} \frac{\partial \mathcal{L}}{\partial \tilde{m}_i} \frac{\partial \tilde{m}_i}{\partial t}, \quad \frac{\partial \tilde{m}_i}{\partial t} = \frac{\partial \sigma(\lambda(s_i - t))}{\partial t}. \quad (8)$$

Remark. Equation (7) provides a row-wise differentiable mask constructed from the underlying pruning threshold t and importance score s . Since the operation patterns across all rows are identical, we can leverage the broadcast computation mechanism in PyTorch to compute row-wise pruning masks in parallel for each weight matrix. Another gradient-based unstructured pruning method for LLMs, BESA [74], requires a customized CUDA operator to support the parallel generation for intra-layer probabilistic pruning masks, while Lua-LLM does not induce any backend modification.

4.3 Learning Row-wise Sparsity Allocation

With the proposed soft mask selection technique, we can formulate the global pruning optimization problem based on row-wise sparsity allocation and conduct the end-to-end learning process with the gradient descent method. To reduce our search cost and improve convergence, we employ a uniform initialization strategy, and then enforce the target sparsity with a regularization term.

Initialization. Before training, we first employ Wanda pruning method to obtain the importance scores for weight parameters. As introduced in Section 4.2, we map the importance scores to a uniform distribution within $[0, 1]$ to mitigate the training instability caused by importance outliers. With this preprocessing approach, we can directly initialize the row-wise threshold parameter t as the target sparsity p , leading to a uniform sparsity initialization pattern.

Learning Objective. For a pre-trained large language model, we integrate learnable mask modules into the Attention and MLP layers while freezing the original model parameters, thus facilitating

Table 1: Model Perplexity \downarrow results of different unstructured sparsity allocation methods evaluated on WikiText2 dataset with 2048 sequence length.

| Method | Sparsity | WikiText2 Perplexity \downarrow | | | | | | |
|---------|----------|-----------------------------------|--------------|--------------|--------------|--------------|---------------|---------------|
| | | LLaMA-7B | LLaMA-13B | LLaMA2-7B | LLaMA2-13B | LLaMA3-8B | OPT-6.7B | OPT-13B |
| Dense | 0% | 5.68 | 5.09 | 5.47 | 4.88 | 6.14 | 10.86 | 10.13 |
| Wanda | 50% | 7.25 | 6.15 | 6.92 | 5.97 | 9.82 | 11.98 | 11.93 |
| OWL | | 7.22 | 6.08 | 6.86 | 5.92 | 9.68 | 12.21 | 12.23 |
| DSA | | 7.26 | 6.11 | 7.07 | 6.11 | 9.81 | 12.40 | 13.00 |
| Lua-LLM | | 7.12 | 6.05 | 6.85 | 5.89 | 8.87 | 11.96 | 10.94 |
| Wanda | 60% | 10.73 | 8.77 | 10.79 | 8.38 | 23.58 | 15.22 | 15.90 |
| OWL | | 9.35 | 7.67 | 9.18 | 7.56 | 18.68 | 15.54 | 16.77 |
| DSA | | 9.48 | 7.71 | 10.36 | 8.27 | 23.80 | 16.65 | 18.69 |
| Lua-LLM | | 8.75 | 6.97 | 8.54 | 6.89 | 12.21 | 14.46 | 13.79 |
| Wanda | 70% | 91.83 | 56.26 | 75.42 | 45.63 | 122.02 | 157.48 | 55.26 |
| OWL | | 24.46 | 16.95 | 30.23 | 20.57 | 89.81 | 42.92 | 30.23 |
| DSA | | 22.31 | 16.37 | 63.05 | 35.85 | 101.66 | 47.86 | 41.14 |
| Lua-LLM | | 12.21 | 9.26 | 12.92 | 8.98 | 22.52 | 37.45 | 20.96 |
| Wanda | 80% | 2889.94 | 4008.78 | 2334.70 | 1134.94 | 894.99 | 4259.55 | 12516.03 |
| OWL | | 1192.58 | 411.04 | 587.19 | 214.25 | 763.36 | 15370.94 | 5785.20 |
| DSA | | 934.29 | 316.81 | 1436.02 | 864.85 | 894.01 | 9664.09 | 533.89 |
| Lua-LLM | | 37.65 | 26.52 | 30.27 | 24.76 | 85.39 | 537.12 | 414.81 |

an end-to-end mask training process. To control the overall sparsity ratio, we introduce a sparsity regularization loss \mathcal{L}_{reg} as below:

$$\mathcal{L}_{reg} = \begin{cases} \log(N(\mathcal{M})/(pN_{\text{total}})), & \text{if } N(\mathcal{M}) > pN_{\text{total}}, \\ 0, & \text{if } N(\mathcal{M}) = pN_{\text{total}}, \\ -\log(N(\mathcal{M})/(pN_{\text{total}})), & \text{if } N(\mathcal{M}) < pN_{\text{total}}, \end{cases} \quad (9)$$

where $N(\mathcal{M})$ is the number of removed weight parameters with pruning mask \mathcal{M} , p is the target sparsity ratio, and N_{total} is the number of weight parameters in the original model. The sparsity regularization loss encourages the sparsity of the overall model to converge to the target sparsity level p . The training objective is to minimize the language modeling loss \mathcal{L}_{task} of next token prediction computed via the cross-entropy loss function. Combining the regularization loss \mathcal{L}_{reg} with the language modeling loss \mathcal{L}_{task} , our total training loss in mask learning stage is:

$$\mathcal{L}_{total} = \mathcal{L}_{task}(\mathcal{X}; \mathbf{M} \odot \mathbf{W}) + \lambda_{reg} \mathcal{L}_{reg}(\mathcal{M}; p), \quad (10)$$

where \mathcal{X} denotes the input tokens from training data, \mathbf{W} represents the original weights, and λ_{reg} is used to control the penalty for deviating from the target sparsity. Section 5.5 presents an ablation study on λ_{reg} , showing that our method achieves stable performance when λ_{reg} is large enough.

5 Experiments

5.1 Experimental Settings

Models. We evaluate our Lua-LLM method on several LLMs as follows: LLaMA family [67]: LLaMA-7/13B, LLaMA-2-7/13B, LLaMA-3-8B; OPT family [79]: OPT-6.7B, OPT-13B.

Datasets and Evaluation. To train the learnable pruning masks, we use 2048-token segments from C4 [59] dataset, which is also used to sample calibration data in previous works. We evaluate the language modeling perplexity on the validation set of raw-WikiText2 [53] dataset. To ensure a fair comparison, the sequence length for all models is set to 2048. Following previous works, we also evaluate the zero-shot accuracy of pruned models on seven downstream tasks, including BoolQ [11], PIQA [7], HellaSwag [77], WinoGrande [62], ARC-easy [12], ARC-challenge [12], and OpenbookQA [54], based on the EleutherAI LM-Evaluation-Harness [35] framework.

Baselines. We compare our Lua-LLM method against Wanda [64], the uniform pruning method introduced in Section 3.2, and four adaptive sparsity allocation methods, OWL [76], DSA [37], AlphaPruning [47] and ATP [29].

Table 2: Zero-shot accuracy \uparrow results on seven downstream tasks for the pruned LLaMA-7B, LLaMA2-7B and LLaMA3-8B models at 70% sparsity level.

| Model | Method | BoolQ \uparrow | PIQA \uparrow | HellaSwag \uparrow | WinoGrande \uparrow | ARC-e \uparrow | ARC-c \uparrow | OBQA \uparrow | Mean \uparrow |
|-----------|---------|------------------|-----------------|----------------------|-----------------------|------------------|------------------|-----------------|-----------------|
| LLaMA-7B | Dense | 73.12 | 78.67 | 56.41 | 67.09 | 67.30 | 38.31 | 28.20 | 58.44 |
| | Wanda | 61.83 | 57.62 | 28.49 | 50.83 | 31.06 | 19.03 | 12.80 | 37.38 |
| | OWL | 62.97 | 64.53 | 34.78 | 56.67 | 42.30 | 24.74 | 16.40 | 43.20 |
| | DSA | 62.45 | 63.44 | 34.59 | 55.80 | 42.09 | 24.83 | 16.60 | 42.83 |
| | ATP | 65.79 | 67.46 | 37.44 | 61.43 | 50.63 | 25.68 | 20.80 | 47.03 |
| | Lua-LLM | 63.74 | 69.15 | 42.02 | 58.62 | 56.79 | 27.22 | 20.20 | 48.25 |
| LLaMA2-7B | Dense | 71.13 | 78.07 | 56.69 | 67.17 | 69.28 | 39.93 | 31.60 | 59.12 |
| | Wanda | 49.14 | 55.39 | 27.99 | 49.49 | 30.77 | 18.17 | 11.80 | 34.68 |
| | OWL | 62.23 | 62.19 | 31.88 | 55.41 | 43.77 | 20.39 | 16.80 | 41.81 |
| | DSA | 58.81 | 57.40 | 28.48 | 49.80 | 32.28 | 17.58 | 12.60 | 36.71 |
| | ATP | 62.39 | 66.81 | 36.08 | 61.01 | 50.76 | 23.38 | 20.40 | 45.83 |
| | Lua-LLM | 66.12 | 68.88 | 42.69 | 58.96 | 58.50 | 26.79 | 22.00 | 49.13 |
| LLaMA3-8B | Dense | 81.25 | 79.71 | 60.18 | 72.69 | 80.09 | 50.51 | 34.80 | 65.60 |
| | Wanda | 55.35 | 56.09 | 27.38 | 47.20 | 32.07 | 17.66 | 12.60 | 35.48 |
| | OWL | 61.90 | 58.22 | 28.37 | 50.43 | 35.35 | 17.15 | 13.20 | 37.80 |
| | DSA | 61.44 | 55.88 | 31.90 | 48.30 | 33.71 | 17.15 | 12.60 | 37.28 |
| | ATP | 61.79 | 62.18 | 31.46 | 54.93 | 41.79 | 20.39 | 16.40 | 41.28 |
| | Lua-LLM | 65.23 | 65.83 | 37.29 | 57.06 | 50.08 | 23.38 | 17.20 | 45.15 |

Table 3: WikiText2 perplexity \downarrow results compared to AlphaPruning and ATP.

| Method | LLaMA-7B | | LLaMA2-7B | |
|--------------|--------------|--------------|--------------|--------------|
| | 70% | 80% | 70% | 80% |
| AlphaPruning | 23.86 | 698.56 | 28.87 | 1672.49 |
| ATP | 20.16 | 176.80 | 22.16 | 425.12 |
| Lua-LLM | 12.21 | 37.65 | 12.92 | 30.27 |

Table 4: End-to-end inference throughput (token/s) and speedup of sparse models.

| Model | Sparsity | Dense | 50% | 60% | 70% |
|----------|-----------------------|-------|---------------|---------------|---------------|
| OPT-6.7B | Throughput \uparrow | 696.6 | 842.8 | 1012.7 | 1202.3 |
| | Speedup \uparrow | - | 1.22 \times | 1.45 \times | 1.73 \times |
| OPT-13B | Throughput \uparrow | 401.6 | 472.8 | 563.4 | 685.1 |
| | Speedup \uparrow | - | 1.18 \times | 1.40 \times | 1.71 \times |

Implementation. We implement Lua-LLM in PyTorch [57] and use HuggingFace transformers library [70] for the evaluated LLMs. We integrate learnable mask modules into the Attention and MLP layers while freezing the original model parameters, thus facilitating an end-to-end mask learning process. We utilize the AdamW [46] optimizer with the learning rate set to 5×10^{-3} and weight decay set to 0.05. The learnable threshold parameters are trained for 500 iterations, conducted on NVIDIA A100 80 GB GPUs.

5.2 Model Performance

In Table 1, we report the language modeling perplexity of pruned LLaMA and OPT models from 50% to 80% sparsity levels. We also compare our method to AlphaPruning and ATP in Table 3. Our Lua-LLM consistently outperforms the uniform Wanda pruning baseline and the state-of-the-art sparsity allocation methods. For example, under a 70% pruning ratio, Lua-LLM reduces the perplexity on LLaMA3-8B by 99.5, 67.29, and 79.14 compared to Wanda, OWL, and DSA, respectively. The performance improvement is particularly larger at higher sparsity levels. At the 80% sparsity level, Lua-LLM reduces the perplexity on LLaMA2-7B by 1642.22 and 394.85 compared to AlphaPruning and ATP, respectively. Notably, Lua-LLM achieves a perplexity result of 30.27 for the LLaMA-2-7B model at the 80% sparsity level, which is comparable to the performance of other methods at a lower 70% sparsity. Our experimental results demonstrate that directly extracting an unstructured sub-network from the original LLM can maintain acceptable performance with minimal degradation, even at higher sparsity levels.

In addition to model perplexity results, we report the zero-shot accuracy of LLaMA models at 70% sparsity level in Table 2. More results for 60% and 80% sparsity levels are shown in Table 11 and Table 12 of Appendix C.1, respectively. Under a 60% pruning ratio, Lua-LLM improves the average accuracy on LLaMA-7B by 4.92%, 3.47%, 4.09%, compared to Wanda, OWL, and DSA, respectively, while incurring only a 3.18% accuracy degradation from the original model. Our method achieves superior performance than baselines under various models, tasks, and sparsity levels, verifying our effectiveness in preserving important weights with adaptive sparsity allocation.

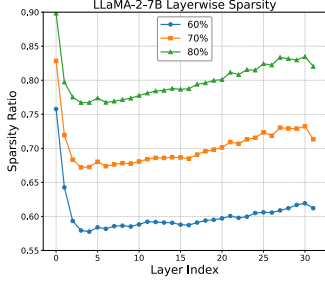


Figure 4: Layer-wise sparsity distribution for LLaMA2-7B.

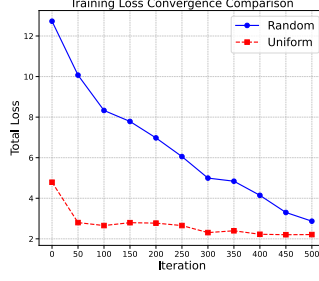


Figure 5: Convergence for different initialization strategies.

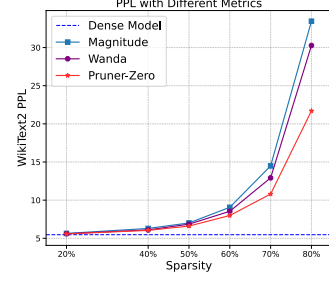


Figure 6: WikiText2 PPL under different importance metrics.

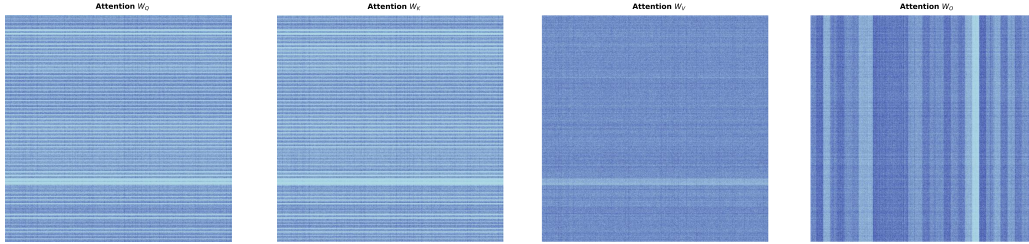


Figure 7: Intra-layer sparsity allocation for Attention layers in the 70% sparse LLaMA2-7B model.

5.3 Inference Speedup

Unstructured pruning achieves high sparsity levels while maintaining minimal performance degradation, but the element-wise sparsity pattern it introduces cannot achieve a direct inference speedup on GPUs. Fortunately, a recent study proposes SpInfer [16], a sparse LLM inference framework specifically designed to optimize the kernel latency of sparse matrix-matrix multiplication (SpMM) operations at moderate sparsity levels on GPU Tensor Core architectures. We evaluate the pruned OPT-6.7B and OPT-13B models on an NVIDIA A100 80GB GPU and report the end-to-end inference throughput across different sparsity levels in Table 9. We use the testcase with batch size set to 8, input sequence length set to 32, and output sequence length set to 256. The compressed models achieve practical speedups on the GPU at sparsity levels from 50% to 70%, ranging from $1.18\times$ to $1.73\times$ compared to the dense model.

5.4 Sparsity Allocation

In Figure 4, we visualize the layer-wise average sparsity distribution of the pruned LLaMA2-7B model under varying global sparsity levels. Our results show that the distribution maintains a consistent pattern across different sparsity ratios, like prior studies [29, 37, 76], with layer-wise sparsity generally increasing across layers. Notably, we identify a distinct pattern that the first layer demonstrates substantially higher redundancy, and then the layer-wise sparsity exhibits a sharp decline within subsequent layers. The potential reason is that the sparsity levels of MLP modules in the first few layers are significantly higher than the average level. Additionally, we observe that the final layer exhibits a decreasing trend, deviating from the overall pattern.

We also show the intra-layer sparsity pattern in Figure 7, 10. Our method achieves adaptive intra-layer sparsity allocation for different types of modules, which provides an intuitive explanation for the significant performance improvement over prior methods. From Figure 7, we can observe the fine-grained channel-wise sparsity pattern within each self-attention head, which is compatible with findings in prior literature [30, 75]. Results for the layer-wise distribution of other models and the intra-layer sparsity pattern for MLP modules are shown in Appendix A. We hope that these observations can help researchers to gain insights into the inherent sparsity pattern of LLMs and design more adaptive one-shot model compression strategies in future work.

5.5 Ablation Study

Search Efficiency. We demonstrate the effectiveness of the initialization stage (Section 4.3) in helping Lua-LLM obtain the prior sparsity pattern of Wanda. We build a baseline that, instead of uniformly initializing the threshold parameters with the target pruning ratio, adopts randomly initialized thresholds. Figure 5 shows the convergence efficiency of the training loss within 500 iterations. We observe that training with uniformly initialized parameters significantly helps to reduce the training loss at the beginning, which proves the effectiveness of our initialization strategy. We further report the training costs of our Lua-LLM method in Table 5.

Impact of Regularization Hyperparameter λ_{reg} . As discussed in Section 4.3, the regularization loss hyperparameter λ_{reg} is used to control the penalty for deviating from the target sparsity. In Table 6, we show the perplexity at 70% sparsity level with various hyperparameter values for LLaMA2-7B model. The results show that the performance of the learned sparsity pattern is stable when the hyperparameter λ_{reg} is large enough. Specifically, if the regularization hyperparameter is not large enough, the regularization loss fails to converge to zero. This indicates that the pruning mask learned by our method does not meet the target sparsity, and the overall sparsity level is actually lower than the target one, since this can lead to better model performance and lower training loss. We mark the case as Not Converge, since it leads to an unfair comparison.

Importance Metric. We further explore whether an appropriate importance metric can enhance the performance of our methods. Specifically, we integrate Lua-LLM with three pruning metrics to prune LLaMA2-7B model, including Magnitude, Wanda[64], and Pruner-Zero [14]. In Figure 6, we compare the perplexity of three pruning metrics across different sparsity ratios. We observe that Pruner-Zero consistently outperforms other metrics, which demonstrates that careful designs for weight importance metrics can further improve the resulting sparsity pattern.

Table 5: Training costs for different model sizes.

| Training Cost (Time / GPUs) | | | |
|-----------------------------|---------------|---------------|--------------|
| 6.7B | 7B | 8B | 13B |
| 37.7 min / 2× | 42.5 min / 2× | 60.5 min / 2× | 2 hours / 4× |

Table 6: Impact of hyperparamter λ_{reg}

| LLaMA-2-7B WikiText2 Perplexity (PPL) Dense:5.47 | | | | | | | |
|--|-----|-----|-----|-------|-------|-------|-------|
| λ_{reg} | 2.0 | 4.0 | 8.0 | 12.0 | 16.0 | 20.0 | 24.0 |
| PPL | NC | NC | NC | 13.18 | 12.92 | 13.11 | 12.96 |

6 Conclusion

In this paper, we propose Lua-LLM, a gradient-based global pruning framework that learns unstructured sparsity allocation for large language models. Lua-LLM splits the global mask selection problem into multiple row-wise subproblems, and leverages a soft Top-K operator to generate differentiable pruning masks for each row with a single learnable threshold, which enables efficient end-to-end optimization for the model performance. Extensive experiments show that Lua-LLM outperforms existing methods in perplexity and accuracy, especially at high sparsity levels. Our pruning framework achieves adaptive allocation for both layer-wise and intra-layer sparsity, which reveals the inherent sparsity distribution in LLMs and enhances their practical applicability under high sparsity levels.

7 Limitation and Future Work

While Lua-LLM achieves significant improvements in model performance over existing methods, there are still some limitations for our methods. First, the end-to-end sparsity learning process for LLMs requires sufficient GPU resources to fulfill the training efficiency, and the learning-based pruning approach is computationally more expensive than metric-based one-shot pruning. Second, similar to other adaptive unstructured pruning methods, the element-wise sparsity pattern requires specific inference frameworks to achieve practical speedup on GPUs, and the SpInfer framework employed in our paper still faces limitations during the prefill phase when batch size and sequence length are large, which leads to higher memory access overhead for the SpMM operations. Future work will explore flexible one-shot pruning methods and advanced techniques for optimizing inference performance for sparse LLMs.

Acknowledgement

This research was supported by the 2023 Top-Notch Student Training Program 2.0 for Basic Disciplines (20231008).

References

- [1] Josh Achiam, Steven Adler, Sandhini Agarwal, Lama Ahmad, Ilge Akkaya, Florencia Leoni Aleman, Diogo Almeida, Janko Altschmidt, Sam Altman, Shyamal Anadkat, et al. Gpt-4 technical report. *arXiv preprint arXiv:2303.08774*, 2023.
- [2] Yongqi An, Xu Zhao, Tao Yu, Ming Tang, and Jinqiao Wang. Fluctuation-based adaptive structured pruning for large language models. In *Proceedings of the AAAI Conference on Artificial Intelligence*, volume 38, pages 10865–10873, 2024.
- [3] Saleh Ashkboos, Maximilian L. Croci, Marcelo Gennari Do Nascimento, Torsten Hoefer, and James Hensman. SliceGPT: Compress large language models by deleting rows and columns. In *The Twelfth International Conference on Learning Representations, ICLR 2024, Vienna, Austria, May 7-11, 2024*.
- [4] Guangji Bai, Yijiang Li, Chen Ling, Kibaek Kim, and Liang Zhao. Sparsellm: Towards global pruning for pre-trained language models. *Advances in neural information processing systems*, 2024.
- [5] Yoshua Bengio, Nicholas Léonard, and Aaron Courville. Estimating or propagating gradients through stochastic neurons for conditional computation. *arXiv preprint arXiv:1308.3432*, 2013.
- [6] Adithya Bhaskar, Alexander Wettig, Dan Friedman, and Danqi Chen. Finding transformer circuits with edge pruning. *Advances in Neural Information Processing Systems*, 37:18506–18534, 2024.
- [7] Yonatan Bisk, Rowan Zellers, Jianfeng Gao, Yejin Choi, et al. Piqa: Reasoning about physical common-sense in natural language. In *Proceedings of the AAAI conference on artificial intelligence*, volume 34, pages 7432–7439, 2020.
- [8] Thomas Blumensath and Mike E Davies. Iterative thresholding for sparse approximations. *Journal of Fourier analysis and Applications*, 14:629–654, 2008.
- [9] Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. Language models are few-shot learners. *Advances in neural information processing systems*, 33:1877–1901, 2020.
- [10] Christos Chatzikonstantinou, Dimitrios Konstantinidis, Kosmas Dimitropoulos, and Petros Daras. Recurrent neural network pruning using dynamical systems and iterative fine-tuning. *Neural Networks*, 143:475–488, 2021.
- [11] Christopher Clark, Kenton Lee, Ming-Wei Chang, Tom Kwiatkowski, Michael Collins, and Kristina Toutanova. Boolq: Exploring the surprising difficulty of natural yes/no questions. In *Proceedings of the 2019 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, NAACL-HLT 2019, Minneapolis, MN, USA, June 2-7, 2019, Volume 1 (Long and Short Papers)*, pages 2924–2936.
- [12] Peter Clark, Isaac Cowhey, Oren Etzioni, Tushar Khot, Ashish Sabharwal, Carissa Schoenick, and Oyvind Tafjord. Think you have solved question answering? try arc, the ai2 reasoning challenge. *arXiv preprint arXiv:1803.05457*, 2018.
- [13] Tim Dettmers, Mike Lewis, Younes Belkada, and Luke Zettlemoyer. Gpt3. int8 (): 8-bit matrix multiplication for transformers at scale. *Advances in Neural Information Processing Systems*, 35:30318–30332, 2022.
- [14] Peijie Dong, Lujun Li, Zhenheng Tang, Xiang Liu, Xinglin Pan, Qiang Wang, and Xiaowen Chu. Pruner-zero: Evolving symbolic pruning metric from scratch for large language models. In *Proceedings of the 41st International Conference on Machine Learning*, volume 235 of *Proceedings of Machine Learning Research*, pages 11346–11374. PMLR, 21–27 Jul 2024.
- [15] Xuanyi Dong and Yi Yang. Network pruning via transformable architecture search. *Advances in Neural Information Processing Systems*, 32, 2019.
- [16] RuiBo Fan, Xiangrui Yu, Peijie Dong, Zeyu Li, Gu Gong, Qiang Wang, Wei Wang, and Xiaowen Chu. Spinfer: Leveraging low-level sparsity for efficient large language model inference on gpus. In *Proceedings of the Twentieth European Conference on Computer Systems*, pages 243–260, 2025.

- [17] Gongfan Fang, Hongxu Yin, Saurav Muralidharan, Greg Heinrich, Jeff Pool, Jan Kautz, Pavlo Molchanov, and Xinchao Wang. Maskllm: Learnable semi-structured sparsity for large language models. *Advances in neural information processing systems*, 2024.
- [18] Jonathan Frankle and Michael Carbin. The lottery ticket hypothesis: Finding sparse, trainable neural networks. In *7th International Conference on Learning Representations, ICLR 2019, New Orleans, LA, USA, May 6-9, 2019*.
- [19] Elias Frantar and Dan Alistarh. Sparsegpt: Massive language models can be accurately pruned in one-shot. In *International Conference on Machine Learning*, pages 10323–10337. PMLR, 2023.
- [20] Elias Frantar, Saleh Ashkboos, Torsten Hoefer, and Dan Alistarh. Gptq: Accurate post-training quantization for generative pre-trained transformers. *arXiv preprint arXiv:2210.17323*, 2022.
- [21] Yonggan Fu, Zhongzhi Yu, Junwei Li, Jiayi Qian, Yongan Zhang, Xiangchi Yuan, Dachuan Shi, Roman Yakunin, and Yingyan Celine Lin. Amoeballm: Constructing any-shape large language models for efficient and instant deployment. *Advances in Neural Information Processing Systems*, 37:78299–78319, 2024.
- [22] Trevor Gale, Matei Zaharia, Cliff Young, and Erich Elsen. Sparse gpu kernels for deep learning. In *SC20: International Conference for High Performance Computing, Networking, Storage and Analysis*, pages 1–14. IEEE, 2020.
- [23] Shangqian Gao, Chi-Heng Lin, Ting Hua, Zheng Tang, Yilin Shen, Hongxia Jin, and Yen-Chang Hsu. Disp-llm: Dimension-independent structural pruning for large language models. *Advances in Neural Information Processing Systems*, 37:72219–72244, 2024.
- [24] Aaron Grattafiori, Abhimanyu Dubey, Abhinav Jauhri, Abhinav Pandey, Abhishek Kadian, Ahmad Al-Dahle, Aiesha Letman, Akhil Mathur, Alan Schelten, Alex Vaughan, et al. The llama 3 herd of models. *arXiv preprint arXiv:2407.21783*, 2024.
- [25] Daya Guo, Dejian Yang, Haowei Zhang, Junxiao Song, Ruoyu Zhang, Runxin Xu, Qihao Zhu, Shirong Ma, Peiyi Wang, Xiao Bi, et al. Deepseek-r1: Incentivizing reasoning capability in llms via reinforcement learning. *arXiv preprint arXiv:2501.12948*, 2025.
- [26] Song Han, Jeff Pool, John Tran, and William Dally. Learning both weights and connections for efficient neural network. *Advances in neural information processing systems*, 28, 2015.
- [27] Babak Hassibi, David G Stork, and Gregory J Wolff. Optimal brain surgeon and general network pruning. In *IEEE international conference on neural networks*, pages 293–299. IEEE, 1993.
- [28] Yihui He, Xiangyu Zhang, and Jian Sun. Channel pruning for accelerating very deep neural networks. In *Proceedings of the IEEE international conference on computer vision*, pages 1389–1397, 2017.
- [29] Weizhong Huang, Yuxin Zhang, Xiawu Zheng, Fei Chao, and Rongrong Ji. Determining layer-wise sparsity for large language models through a theoretical perspective. In *Proceedings of the 42nd International Conference on Machine Learning*, volume 267 of *Proceedings of Machine Learning Research*, pages 26175–26201. PMLR, 13–19 Jul 2025.
- [30] Mingyu Jin, Kai Mei, Wujiang Xu, Mingjie Sun, Ruixiang Tang, Mengnan Du, Zirui Liu, and Yongfeng Zhang. Massive values in self-attention modules are the key to contextual knowledge understanding. In *Proceedings of the 42nd International Conference on Machine Learning*, volume 267 of *Proceedings of Machine Learning Research*, pages 28063–28096. PMLR, 13–19 Jul 2025.
- [31] Sehoon Kim, Coleman Richard Charles Hooper, Amir Gholami, Zhen Dong, Xiuyu Li, Sheng Shen, Michael W Mahoney, and Kurt Keutzer. Squeezellm: Dense-and-sparse quantization. In *International Conference on Machine Learning*, pages 23901–23923. PMLR, 2024.
- [32] Woosuk Kwon, Sehoon Kim, Michael W Mahoney, Joseph Hassoun, Kurt Keutzer, and Amir Gholami. A fast post-training pruning framework for transformers. *Advances in Neural Information Processing Systems*, 35:24101–24116, 2022.
- [33] Qi Le, Enmao Diao, Ziyan Wang, Xinran Wang, Jie Ding, Li Yang, and Ali Anwar. Probe pruning: Accelerating llms through dynamic pruning via model-probing. In *The Thirteenth International Conference on Learning Representations, ICLR 2025, Singapore, April 24-28, 2025*.
- [34] Yann LeCun, John Denker, and Sara Solla. Optimal brain damage. *Advances in neural information processing systems*, 2, 1989.
- [35] Stella Biderman ... Leo Gao, Jonathan Tow. A framework for few-shot language model evaluation, 2022.

- [36] Lei Li, Yongfeng Zhang, and Li Chen. Prompt distillation for efficient llm-based recommendation. In *Proceedings of the 32nd ACM International Conference on Information and Knowledge Management*, pages 1348–1357, 2023.
- [37] Lujun Li, Peijie Dong, Zhenheng Tang, Xiang Liu, Qiang Wang, Wenhan Luo, Wei Xue, Qifeng Liu, Xiaowen Chu, and Yike Guo. Discovering sparsity allocation for layer-wise pruning of large language models. *Advances in Neural Information Processing Systems*, 37:141292–141317, 2024.
- [38] Wei Li, Lujun Li, Mark Lee, and Shengjie Sun. Adaptive layer sparsity for large language models via activation correlation assessment. *Advances in Neural Information Processing Systems*, 37:109350–109380, 2024.
- [39] Yanyu Li, Pu Zhao, Geng Yuan, Xue Lin, Yanzhi Wang, and Xin Chen. Pruning-as-search: Efficient neural architecture search via channel pruning and structural reparameterization. In *Thirty-First International Joint Conference on Artificial Intelligence*, 2022.
- [40] Yingyu Liang, Jiangxuan Long, Zhenmei Shi, Zhao Song, and Yufa Zhou. Beyond linear approximations: A novel pruning approach for attention matrix. In *The Thirteenth International Conference on Learning Representations, ICLR 2025, Singapore, April 24-28, 2025*.
- [41] Junqing Lin, Jingwei Sun, Xiaolong Shi, Honghe Zhang, Xianzhi Yu, Xinzhi Wang, Jun Yao, and Guangzhong Sun. Lo-spm: Low-cost search for high-performance spmm kernels on gpus. *ACM Transactions on Architecture and Code Optimization*, 21(4):1–25, 2024.
- [42] James Liu, Pragaash Ponnusamy, Tianle Cai, Han Guo, Yoon Kim, and Ben Athiwaratkun. Training-free activation sparsity in large language models. In *The Thirteenth International Conference on Learning Representations, ICLR 2025, Singapore, April 24-28, 2025*.
- [43] Kai Liu, Ruohui Wang, Jianfei Gao, and Kai Chen. Differentiable model scaling using differentiable topk. In *Proceedings of the 41st International Conference on Machine Learning, ICML’24. JMLR*, 2024.
- [44] Liyuan Liu, Chengyu Dong, Xiaodong Liu, Bin Yu, and Jianfeng Gao. Bridging discrete and backpropagation: Straight-through and beyond. *Advances in Neural Information Processing Systems*, 36:12291–12311, 2023.
- [45] Zhuang Liu, Jianguo Li, Zhiqiang Shen, Gao Huang, Shoumeng Yan, and Changshui Zhang. Learning efficient convolutional networks through network slimming. In *Proceedings of the IEEE international conference on computer vision*, pages 2736–2744, 2017.
- [46] Ilya Loshchilov and Frank Hutter. Decoupled weight decay regularization. In *7th International Conference on Learning Representations, ICLR 2019, New Orleans, LA, USA, May 6-9, 2019*.
- [47] Haiquan Lu, Yefan Zhou, Shiwei Liu, Zhangyang Wang, Michael W Mahoney, and Yaoqing Yang. Alphapruning: Using heavy-tailed self regularization theory for improved layer-wise pruning of large language models. *Advances in Neural Information Processing Systems*, 37:9117–9152, 2024.
- [48] Xinyin Ma, Gongfan Fang, and Xinchao Wang. Llm-pruner: On the structural pruning of large language models. *Advances in neural information processing systems*, 36:21702–21720, 2023.
- [49] Arun Mallya and Svetlana Lazebnik. Packnet: Adding multiple tasks to a single network by iterative pruning. In *Proceedings of the IEEE conference on Computer Vision and Pattern Recognition*, pages 7765–7773, 2018.
- [50] Gabryel Mason-Williams and Fredrik Dahlqvist. What makes a good prune? maximal unstructured pruning for maximal cosine similarity. In *The Twelfth International Conference on Learning Representations, ICLR 2024, Vienna, Austria, May 7-11, 2024*.
- [51] Joe Mellor, Jack Turner, Amos Storkey, and Elliot J Crowley. Neural architecture search without training. In *International conference on machine learning*, pages 7588–7598. PMLR, 2021.
- [52] Xiang Meng, Kayhan Behdin, Haoyue Wang, and Rahul Mazumder. Alps: Improved optimization for highly sparse one-shot pruning for large language models. *Advances in neural information processing systems*, 2024.
- [53] Stephen Merity, Caiming Xiong, James Bradbury, and Richard Socher. Pointer sentinel mixture models. *arXiv preprint arXiv:1609.07843*, 2016.
- [54] Todor Mihaylov, Peter Clark, Tushar Khot, and Ashish Sabharwal. Can a suit of armor conduct electricity? a new dataset for open book question answering. *arXiv preprint arXiv:1809.02789*, 2018.

- [55] Juan Pablo Muñoz, Jinjie Yuan, and Nilesh Jain. Mamba-shedder: Post-transformer compression for efficient selective structured state space models. In *Proceedings of the 2025 Conference of the Nations of the Americas Chapter of the Association for Computational Linguistics: Human Language Technologies, NAACL 2025 - Volume 1: Long Papers, Albuquerque, New Mexico, USA, April 29 - May 4, 2025*, pages 3851–3863. Association for Computational Linguistics, 2025.
- [56] Haojie Pan, Chengyu Wang, Minghui Qiu, Yichang Zhang, Yaliang Li, and Jun Huang. Meta-kd: A meta knowledge distillation framework for language model compression across domains. In *Proceedings of the 59th Annual Meeting of the Association for Computational Linguistics and the 11th International Joint Conference on Natural Language Processing (Volume 1: Long Papers)*, pages 3026–3036, 2021.
- [57] A Paszke. Pytorch: An imperative style, high-performance deep learning library. *Advances in Neural Information Processing Systems*, 2019.
- [58] Hieu Pham, Melody Guan, Barret Zoph, Quoc Le, and Jeff Dean. Efficient neural architecture search via parameters sharing. In *International conference on machine learning*, pages 4095–4104. PMLR, 2018.
- [59] Colin Raffel, Noam Shazeer, Adam Roberts, Katherine Lee, Sharan Narang, Michael Matena, Yanqi Zhou, Wei Li, and Peter J Liu. Exploring the limits of transfer learning with a unified text-to-text transformer. *Journal of machine learning research*, 21(140):1–67, 2020.
- [60] Nitin Rathi, Priyadarshini Panda, and Kaushik Roy. Stdp-based pruning of connections and weight quantization in spiking neural networks for energy-efficient recognition. *IEEE Transactions on Computer-Aided Design of Integrated Circuits and Systems*, 38(4):668–677, 2018.
- [61] Alex Renda, Jonathan Frankle, and Michael Carbin. Comparing rewinding and fine-tuning in neural network pruning. *arXiv preprint arXiv:2003.02389*, 2020.
- [62] Keisuke Sakaguchi, Ronan Le Bras, Chandra Bhagavatula, and Yejin Choi. Winogrande: An adversarial winograd schema challenge at scale. *Communications of the ACM*, 64(9):99–106, 2021.
- [63] Xuan Shen, Pu Zhao, Yifan Gong, Zhenglun Kong, Zheng Zhan, Yushu Wu, Ming Lin, Chao Wu, Xue Lin, and Yanzhi Wang. Search for efficient large language models. *Advances in Neural Information Processing Systems*, 2024.
- [64] Mingjie Sun, Zhuang Liu, Anna Bair, and J. Zico Kolter. A simple and effective pruning approach for large language models. In *The Twelfth International Conference on Learning Representations, ICLR 2024, Vienna, Austria, May 7-11, 2024*.
- [65] Siqi Sun, Zhe Gan, Yuwei Fang, Yu Cheng, Shuohang Wang, and Jingjing Liu. Contrastive distillation on intermediate representations for language model compression. In *Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing (EMNLP)*, pages 498–508, 2020.
- [66] Mingxing Tan and Quoc Le. Efficientnet: Rethinking model scaling for convolutional neural networks. In *International conference on machine learning*, pages 6105–6114. PMLR, 2019.
- [67] Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, et al. Llama: Open and efficient foundation language models. *arXiv preprint arXiv:2302.13971*, 2023.
- [68] Jason Wei, Yi Tay, Rishi Bommasani, Colin Raffel, Barret Zoph, Sebastian Borgeaud, Dani Yogatama, Maarten Bosma, Denny Zhou, Donald Metzler, et al. Emergent abilities of large language models. *Transactions on Machine Learning Research*, 2022.
- [69] Jason Wei, Xuezhi Wang, Dale Schuurmans, Maarten Bosma, Fei Xia, Ed Chi, Quoc V Le, Denny Zhou, et al. Chain-of-thought prompting elicits reasoning in large language models. *Advances in neural information processing systems*, 35:24824–24837, 2022.
- [70] Thomas Wolf, Lysandre Debut, Victor Sanh, Julien Chaumond, Clement Delangue, Anthony Moi, Pierric Cistac, Tim Rault, Rémi Louf, Morgan Funtowicz, et al. Transformers: State-of-the-art natural language processing. In *Proceedings of the 2020 conference on empirical methods in natural language processing: system demonstrations*, pages 38–45, 2020.
- [71] Haojun Xia, Zhen Zheng, Yuchao Li, Donglin Zhuang, Zhongzhu Zhou, Xiafei Qiu, Yong Li, Wei Lin, and Shuaiwen Leon Song. Flash-llm: Enabling cost-effective and highly-efficient large generative model inference with unstructured sparsity. *Proceedings of the VLDB Endowment*, 17(2):211–224, 2023.

- [72] Mengzhou Xia, Tianyu Gao, Zhiyuan Zeng, and Danqi Chen. Sheared llama: Accelerating language model pre-training via structured pruning. In *The Twelfth International Conference on Learning Representations, ICLR 2024, Vienna, Austria, May 7-11, 2024*.
- [73] Guangxuan Xiao, Ji Lin, Mickael Seznec, Hao Wu, Julien Demouth, and Song Han. Smoothquant: Accurate and efficient post-training quantization for large language models. In *International Conference on Machine Learning*, pages 38087–38099. PMLR, 2023.
- [74] Peng Xu, Wenqi Shao, Mengzhao Chen, Shitao Tang, Kaipeng Zhang, Peng Gao, Fengwei An, Yu Qiao, and Ping Luo. BESA: pruning large language models with blockwise parameter-efficient sparsity allocation. In *The Twelfth International Conference on Learning Representations, ICLR 2024, Vienna, Austria, May 7-11, 2024*.
- [75] Yuhui Xu, Zhanming Jie, Hanze Dong, Lei Wang, Xudong Lu, Aojun Zhou, Amrita Saha, Caiming Xiong, and Doyen Sahoo. Think: Thinner key cache by query-driven pruning. In *The Thirteenth International Conference on Learning Representations, ICLR 2025, Singapore, April 24-28, 2025, 2025*.
- [76] Lu Yin, You Wu, Zhenyu Zhang, Cheng-Yu Hsieh, Yaqing Wang, Yiling Jia, Gen Li, Ajay Kumar Jaiswal, Mykola Pechenizkiy, Yi Liang, et al. Outlier weighed layerwise sparsity (owl): A missing secret sauce for pruning llms to high sparsity. In *International Conference on Machine Learning*, pages 57101–57115. PMLR, 2024.
- [77] Rowan Zellers, Ari Holtzman, Yonatan Bisk, Ali Farhadi, and Yejin Choi. Hellaswag: Can a machine really finish your sentence? In *Proceedings of the 57th Conference of the Association for Computational Linguistics, ACL 2019, Florence, Italy, July 28- August 2, 2019, Volume 1: Long Papers*, pages 4791–4800. Association for Computational Linguistics, 2019.
- [78] Mingyang Zhang, Hao Chen, Chunhua Shen, Zhen Yang, Linlin Ou, Xinyi Yu, and Bohan Zhuang. Lo-raprune: Structured pruning meets low-rank parameter-efficient fine-tuning. In *Findings of the Association for Computational Linguistics ACL 2024*, pages 3013–3026, 2024.
- [79] Susan Zhang, Stephen Roller, Naman Goyal, Mikel Artetxe, Moya Chen, Shuohui Chen, Christopher Dewan, Mona Diab, Xian Li, Xi Victoria Lin, et al. Opt: Open pre-trained transformer language models. *arXiv preprint arXiv:2205.01068*, 2022.
- [80] Yingtao Zhang, Haoli Bai, Haokun Lin, Jialin Zhao, Lu Hou, and Carlo Vittorio Cannistraci. Plug-and-play: An efficient post-training pruning method for large language models. In *The Twelfth International Conference on Learning Representations, ICLR 2024, Vienna, Austria, May 7-11, 2024*.
- [81] Bowen Zhao, Hannaneh Hajishirzi, and Qingqing Cao. Apt: adaptive pruning and tuning pretrained language models for efficient training and inference. In *Proceedings of the 41st International Conference on Machine Learning*, pages 60812–60831, 2024.
- [82] Kang Zhao, Tao Yuan, Han Bao, Zhenfeng Su, Chang Gao, Zhaofeng Sun, Zichen Liang, Liping Jing, and Jianfei Chen. Beyond 2: 4: exploring v: N: M sparsity for efficient transformer inference on gpus. *arXiv preprint arXiv:2410.16135*, 2024.
- [83] Pu Zhao, Fei Sun, Xuan Shen, Pinrui Yu, Zhenglun Kong, Yanzhi Wang, and Xue Lin. Pruning foundation models for high accuracy without retraining. In *Findings of the Association for Computational Linguistics: EMNLP 2024*, pages 9681–9694, 2024.
- [84] Haizhong Zheng, Xiaoyan Bai, Xueshen Liu, Zhuoqing Morley Mao, Beidi Chen, Fan Lai, and Atul Prakash. Learn to be efficient: Build structured sparsity in large language models. *Advances in Neural Information Processing Systems*, 37:101969–101991, 2024.

NeurIPS Paper Checklist

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [\[Yes\]](#)

Justification: See Abstract and Introduction. The main claims made in the abstract and introduction clearly discuss the findings and challenges in prior work, as well as our method and achievement.

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [\[Yes\]](#)

Justification: See Section 7. We discuss the limitation of our method and provide insights for possible improvements in future work.

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory assumptions and proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [NA]

Justification: Our paper does not include theoretical assumptions and results.

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental result reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: See Experiments. We provide the information needed for the reproduction of main experiment results, including setup, hyperparameters, libraries, and devices.

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general, releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
 - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
 - (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
 - (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
 - (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [Yes]

Justification: See supplemental material. We provide our code with sufficient instructions to enable reproduction for the experiment results.

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so “No” is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental setting/details

Question: Does the paper specify all the training and test details (e.g., data splits, hyperparameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: See Experiments. We provide the experimental settings and test details.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment statistical significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [No]

Justification: Error bars are not reported because it would be too computationally expensive.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).

- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.
- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments compute resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

Justification: See Experiments. We provide the computational cost of our method, including devices, memory, and execution time.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code of ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics <https://neurips.cc/public/EthicsGuidelines>?

Answer: [Yes]

Justification: The research confirm with the NeurIPS Code of Ethics.

Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [No]

Justification: This paper only focuses on technical reports.

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.

- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]

Justification: The paper poses no such risks.

Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [Yes]

Justification: We cite the code, datasets and models used in the paper.

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.

- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.
- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. **New assets**

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [NA]

Justification: The paper does not release new assets.

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. **Crowdsourcing and research with human subjects**

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]

Justification: The paper does not involve human subjects.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. **Institutional review board (IRB) approvals or equivalent for research with human subjects**

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]

Justification: The paper does not involve human subjects.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.

16. Declaration of LLM usage

Question: Does the paper describe the usage of LLMs if it is an important, original, or non-standard component of the core methods in this research? Note that if the LLM is used only for writing, editing, or formatting purposes and does not impact the core methodology, scientific rigorousness, or originality of the research, declaration is not required.

Answer: [NA]

Justification: The core method proposed in our paper does not involve LLMs as important components.

Guidelines:

- The answer NA means that the core method development in this research does not involve LLMs as any important, original, or non-standard components.
- Please refer to our LLM policy (<https://neurips.cc/Conferences/2025/LLM>) for what should or should not be described.

A Adaptive Unstructured Sparsity Allocation

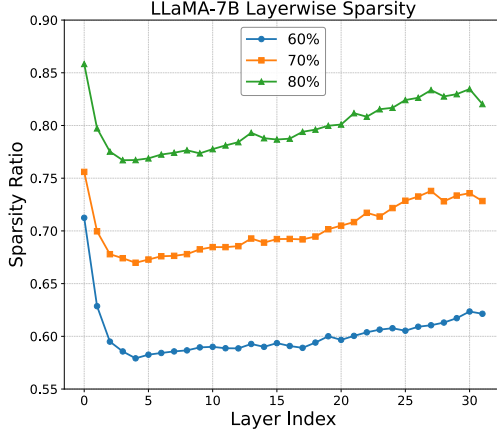


Figure 8: Layer-wise sparsity distribution for LLaMA-7B.

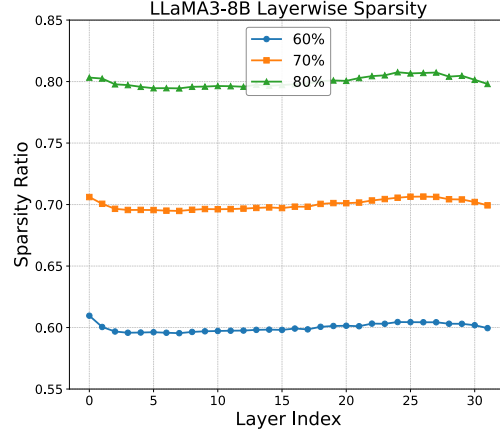


Figure 9: Layer-wise sparsity distribution for LLaMA3-8B.

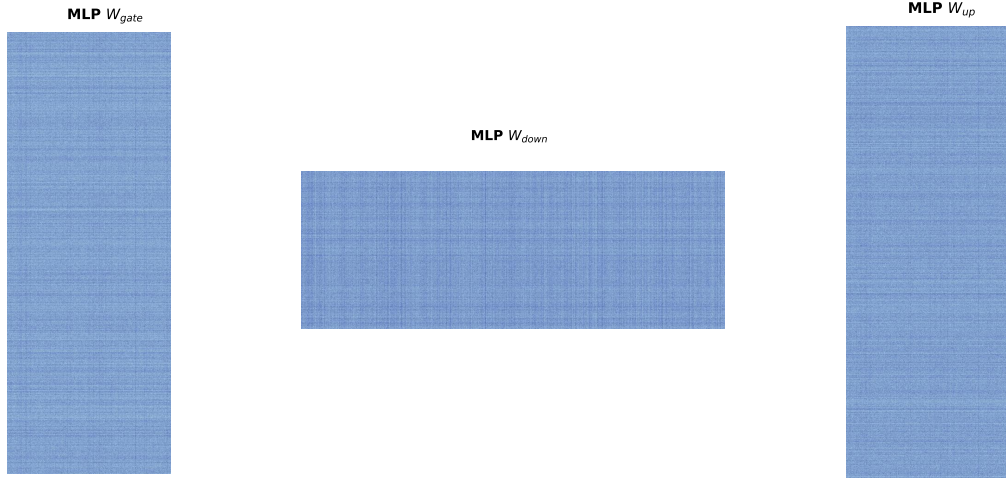


Figure 10: Intra-layer sparsity allocation for MLP layers in the 70% sparse LLaMA2-7B model.

B Additional Ablation Studies

Pruning Granularity. To verify the scalability of the observation in Wanda that row-wise pruning is the optimal choice for weight importance comparison, we conduct an ablation experiment under different pruning granularities, i.e. different comparison group selection strategies. The results in Table 7 demonstrate that row-wise comparison remains the optimal choice for the adaptive sparsity allocation scenario, aligning with Wanda’s observations for the uniform pruning strategy, which is discussed in Section 3.2 of our paper.

Training Datasets Size and Domain. Furthermore, we explore the sensitivity of our method to the training dataset used (e.g., the size of the training set and its domain/distribution). We conduct an ablation study on the LLaMA2-7B model with different size of C4 training dataset across different sparsity levels. The results demonstrate that the performance improves with larger training datasets.

Table 7: Perplexity of sparse LLaMA2-7B on WikiText-2 under different pruning granularities.

| Granularity | 50% | 60% | 70% | 80% |
|-------------|-------------|-------------|--------------|--------------|
| Row-wise | 6.85 | 8.54 | 12.92 | 30.27 |
| Column-wise | 7.16 | 9.42 | 14.17 | 51.76 |
| Layer-wise | 7.32 | 14.40 | 210.48 | 28599.64 |

Table 8: Perplexity on Wikitext2 with different training samples and domains.

| Samples | 128 | 256 | 512 | 1024 | Datasets | 50% | 60% | 70% |
|---------|--------|-------|-------|-------|-------------|------|------|-------|
| 70% | 60.82 | 22.52 | 16.05 | 12.92 | C4 | 6.85 | 8.54 | 12.92 |
| 80% | 211.17 | 68.38 | 38.46 | 30.27 | WikiText103 | 6.20 | 7.45 | 10.57 |

We also conduct an ablation study on the LLaMA2-7B model with C4 and WikiText103 datasets across different sparsity levels. The results in Table 8 reveal that our method on two different training datasets achieve similar performance, demonstrating the robustness and generalization capability of our method across different datasets. Moreover, we notice that the perplexity of the sparsity pattern learned from WikiText103 is better than C4. A potential reason is that the WikiText103 training dataset has a more similar distribution to the validation data, which is obtained from WikiText2.

Speedups for Different Pruning Methods. We evaluate the inference speedups of Wanda and Lua-LLM for OPT-6.7B model at 50%-70% sparsity levels. The results in Table 9 shows that the speedups of different pruning methods are quite the same for each sparsity level, which is compatible with the parameter counts. The results demonstrate that although adaptive unstructured pruning methods introduce a more irregular pattern for the sparse weight matrices, we can achieve meaningful speedup for the overall model with multiple performance optimization techniques employed in SpMM kernels like SpInfer, such as efficient sparse format and fine-grained execution pipeline.

Relative Order for Importance Metric. We conduct an ablation study on LLaMA2-7B (Multi-Head Attention architecture) and Mistral-7B (Grouped-Query Attention architecture) models at 70% sparsity with different importance metrics (Magnitude, Wanda), and allocation strategies (with or without Lua-LLM). The results in Table 10 demonstrate that: (1) When integrated with Lua-LLM, the relative order among Magnitude and Wanda importance metrics is preserved under different models and metrics. (2) For different importance metrics and model architectures, our Lua-LLM consistently outperforms the uniform pruning baseline Wanda.

Table 9: End-to-end inference throughput (token/s) speedups for different pruning methods.

| Method | Sparsity | Dense | 50% | 60% | 70% |
|---------|-----------------------|-------|---------------|---------------|---------------|
| Wanda | Throughput \uparrow | 696.6 | 844.9 | 1013.5 | 1200.6 |
| | Speedup \uparrow | - | 1.21 \times | 1.45 \times | 1.72 \times |
| Lua-LLM | Throughput \uparrow | 696.6 | 842.8 | 1012.7 | 1202.3 |
| | Speedup \uparrow | - | 1.21 \times | 1.45 \times | 1.73 \times |

Table 10: Perplexity evaluation for importance metrics, model architectures and allocation strategies.

| Metric | Magnitude | | Wanda | |
|------------|-------------|------------|-------------|------------|
| Model | w/o Lua-LLM | w/ Lua-LLM | w/o Lua-LLM | w/ Lua-LLM |
| LLaMA2-7B | 141643 | 24.14 | 75.42 | 12.92 |
| Mistral-7B | 221.88 | 16.10 | 60.03 | 11.54 |

C Additional Evaluation Results

C.1 Zero-shot Accuracy Evaluation

Table 11: Zero-shot accuracy \uparrow results on seven downstream tasks for the pruned LLaMA-7B, LLaMA2-7B and LLaMA3-8B models at 60% sparsity level.

| Model | Method | BoolQ \uparrow | PIQA \uparrow | HellaSwag \uparrow | WinoGrande \uparrow | ARC-e \uparrow | ARC-c \uparrow | OBQA \uparrow | Mean \uparrow |
|-----------|---------|------------------|-----------------|----------------------|-----------------------|------------------|------------------|-----------------|-----------------|
| LLaMA-7B | Dense | 73.12 | 78.67 | 56.41 | 67.09 | 67.30 | 38.31 | 28.20 | 58.44 |
| | Wanda | 67.92 | 72.20 | 43.45 | 59.75 | 56.57 | 29.95 | 24.20 | 50.58 |
| | OWL | 69.30 | 72.80 | 46.21 | 61.88 | 56.36 | 31.57 | 25.20 | 51.90 |
| | DSA | 68.26 | 72.52 | 45.97 | 61.88 | 54.46 | 31.83 | 24.40 | 51.33 |
| | Lua-LLM | 70.89 | 73.88 | 52.82 | 64.17 | 62.04 | 35.24 | 26.20 | 55.03 |
| LLaMA2-7B | Dense | 71.13 | 78.07 | 56.69 | 67.17 | 69.28 | 39.93 | 31.60 | 59.12 |
| | Wanda | 65.29 | 71.70 | 43.77 | 64.17 | 64.60 | 30.97 | 25.80 | 52.33 |
| | OWL | 66.85 | 72.74 | 46.64 | 66.77 | 67.76 | 32.34 | 27.60 | 54.39 |
| | DSA | 71.71 | 72.63 | 45.39 | 65.75 | 65.32 | 31.91 | 28.80 | 54.50 |
| | Lua-LLM | 70.28 | 73.29 | 52.69 | 65.51 | 65.70 | 37.29 | 30.00 | 56.39 |
| LLaMA3-8B | Dense | 81.25 | 79.71 | 60.18 | 72.69 | 80.09 | 50.51 | 34.80 | 65.60 |
| | Wanda | 68.10 | 67.95 | 37.75 | 60.30 | 59.51 | 27.56 | 20.00 | 48.74 |
| | OWL | 71.22 | 70.95 | 41.65 | 64.01 | 62.29 | 31.66 | 23.40 | 52.17 |
| | DSA | 65.32 | 68.88 | 37.08 | 60.06 | 60.52 | 27.13 | 22.00 | 48.71 |
| | Lua-LLM | 75.41 | 73.12 | 44.32 | 70.48 | 63.11 | 32.06 | 28.60 | 55.30 |

Table 12: Zero-shot accuracy \uparrow results on seven downstream tasks for the pruned LLaMA-7B, LLaMA2-7B and LLaMA3-8B models at 80% sparsity level.

| Model | Method | BoolQ \uparrow | PIQA \uparrow | HellaSwag \uparrow | WinoGrande \uparrow | ARC-e \uparrow | ARC-c \uparrow | OBQA \uparrow | Mean \uparrow |
|-----------|---------|------------------|-----------------|----------------------|-----------------------|------------------|------------------|-----------------|-----------------|
| LLaMA-7B | Dense | 73.12 | 78.67 | 56.41 | 67.09 | 67.30 | 38.31 | 28.20 | 58.44 |
| | Wanda | 37.86 | 53.43 | 26.44 | 48.38 | 26.56 | 20.82 | 13.40 | 32.41 |
| | OWL | 49.82 | 53.70 | 26.54 | 50.67 | 26.35 | 19.71 | 11.00 | 33.97 |
| | DSA | 37.83 | 54.08 | 26.68 | 49.80 | 27.61 | 20.73 | 10.40 | 32.45 |
| | Lua-LLM | 61.71 | 64.36 | 35.03 | 51.78 | 40.70 | 22.35 | 17.80 | 41.96 |
| LLaMA2-7B | Dense | 71.13 | 78.07 | 56.69 | 67.17 | 69.28 | 39.93 | 31.60 | 59.12 |
| | Wanda | 37.83 | 52.61 | 25.87 | 48.54 | 26.64 | 19.54 | 13.40 | 32.06 |
| | OWL | 37.86 | 54.35 | 26.43 | 49.96 | 27.65 | 19.28 | 13.00 | 32.65 |
| | DSA | 37.83 | 53.86 | 26.38 | 49.09 | 27.06 | 19.80 | 12.00 | 32.29 |
| | Lua-LLM | 61.38 | 61.32 | 32.18 | 55.01 | 38.93 | 19.62 | 17.80 | 40.89 |
| LLaMA3-8B | Dense | 81.25 | 79.71 | 60.18 | 72.69 | 80.09 | 50.51 | 34.80 | 65.60 |
| | Wanda | 37.83 | 52.77 | 26.52 | 49.64 | 28.19 | 19.37 | 10.80 | 32.16 |
| | OWL | 42.29 | 53.54 | 26.80 | 47.75 | 28.32 | 20.48 | 13.60 | 33.25 |
| | DSA | 37.83 | 53.26 | 26.56 | 48.70 | 27.99 | 19.20 | 16.60 | 32.88 |
| | Lua-LLM | 58.10 | 58.38 | 28.73 | 51.07 | 34.22 | 18.77 | 14.00 | 37.47 |

C.2 Few-shot Knowledge Reasoning Evaluation

We evaluate the 5-shot accuracy on the MMLU dataset for LLaMA-7/13B and LLaMA2-7/13B models pruned by Wanda, OWL and Lua-LLM, with 50%-70% sparsity levels as well as the dense baselines. The results show that:

(1) Compared to the dense LLaMA2-7B model (45.8%), the 60% sparse LLaMA2-13B model pruned with Lua-LLM demonstrates superior performance (46.6%), while Wanda (35.3%) and OWL (40.4%) cannot achieve this superiority at 60% sparsity level. A similar trend is observed for the LLaMA-V1 pair (35.1% vs. 38.4%). These findings demonstrate that in terms of the so-called “large+sparse vs. small+dense” comparison, Lua-LLM achieves useful improvements at the higher sparsity level, which enhances its practical benefits.

(2) For larger models with 13B parameters, the performance gains of Lua-LLM are much larger than that of smaller models. This indicates that when pruning the smaller models (e.g., with 7B

Table 13: 5-shot MMLU accuracies (%) across different models, sparsity levels, and methods.

| Method | Sparsity | LLaMA-7B | LLaMA-13B | LLaMA2-7B | LLaMA2-13B |
|---------|----------|-------------|-------------|-------------|-------------|
| Dense | 0% | 35.1 | 47.0 | 45.8 | 55.7 |
| Wanda | 50% | 30.5 | 38.7 | 34.2 | 48.3 |
| OWL | | 30.9 | 40.6 | 33.6 | 48.7 |
| Lua-LLM | | 31.3 | 41.2 | 34.8 | 49.4 |
| Wanda | 60% | 27.2 | 31.8 | 28.9 | 35.3 |
| OWL | | 28.5 | 34.3 | 30.3 | 40.4 |
| Lua-LLM | | 30.1 | 38.4 | 32.1 | 46.6 |
| Wanda | 70% | 24.6 | 25.3 | 24.5 | 26.8 |
| OWL | | 25.8 | 27.3 | 25.6 | 28.2 |
| Lua-LLM | | 26.7 | 30.6 | 26.5 | 32.0 |

parameters), their MMLU accuracies are relatively harder to preserve. Moreover, OWL faces performance degradation (33.6%) compared to Wanda (34.2%) for the 50% sparse LLaMA2-7B model, while Lua-LLM (34.8%) consistently outperforms the Wanda baseline, which demonstrates the robustness of our method across different sparsity levels and models.

C.3 Integrate with Post-training Pruning Techniques

Lua-LLM is compatible with post-training pruning techniques like SparseGPT. Although SparseGPT iteratively selects block-wise masks and updates the other unpruned weights to compensate the pruning error, which is expensive to integrate into the training process, we can use our Lua-LLM to search for block-wise sparsity, and then apply the sparsity allocation to SparseGPT.

To verify this, we adopt a block-wise mask selection granularity for Lua-LLM, and search for the optimal sparsity allocation for these intra-layer groups. After the learning process, we consider the searched sparsity allocation as the block-wise sensitivity statistics to weight pruning, and apply it to SparseGPT, which uses the searched sparsity to select block-wise masks and updates the other weights. The results show that our allocation strategy achieves superior performance at different sparsity levels. However, the results also demonstrate that the weight update strategy adopted by SparseGPT is not sufficient to recover model performance at higher sparsity ratios, which underscores the importance of mask selection granularity.

Table 14: Wikitext2 perplexity of sparse LLaMA2-7B models pruned with SparseGPT.

| Method | 50% | 60% | 70% | 80% |
|-----------------------------|-------------|-------------|--------------|--------------|
| SparseGPT | 6.99 | 10.18 | 28.50 | 113.36 |
| SparseGPT w. OWL | 6.94 | 9.21 | 20.32 | 90.44 |
| SparseGPT w. Lua-LLM | 6.83 | 9.05 | 16.14 | 43.63 |

C.4 Integrate with Fine-tuning Process

LoRA fine-tuning. We conduct an ablation study on sparse LLaMA2-7B pruned with Wanda and Lua-LLM for LoRA fine-tuning with Alpaca training dataset. Each model is fine-tuned on 1 A100 GPU for 3 epochs, which takes about 13 hours. We report the training loss and evaluate the model performance after fine-tuning. The results show that: (1) The loss converges in around 3 epochs, ensuring a meaningful comparison. (2) Compared to the final converged training loss of Wanda (in around 13 hours), our Lua-LLM achieves comparable values in 0.5 epoch (in around 2 hours). (3) Compared to Wanda, our Lua-LLM improves model quality after fine-tuning.

Full Parameter Fine-tuning. We conduct full parameter fine-tuning on the auxiliary_train subset of MMLU datasets for the sparse weights in 70% sparse LLaMA2-7B models, pruned by Wanda and Lua-LLM, respectively. Each model is fine-tuned on 4 A100 GPUs for 20000 steps (in around 25 hours). The results show that Lua-LLM achieves superior performance compared to Wanda under further fine-tuning, which is consistent with the findings in prior literature that optimizing the mask selection strategy helps to improve the performance of pruned models under re-training/fine-tuning

process. Moreover, the experiment also demonstrates the substantial computational overhead of the full parameter fine-tuning process on large language models, and we would like to explore other cost-effective weight reconstruction strategies with global optimality in our future study.

Table 15: Training loss convergence of sparse LLaMA2-7B during the LoRA fine-tuning process.

| Epoch | 0 | 0.5 | 1 | 1.5 | 2 | 2.5 | 3 |
|---------------|------|------|------|------|------|------|------|
| Wanda (70%) | 4.09 | 1.21 | 1.16 | 1.14 | 1.13 | 1.12 | 1.12 |
| Lua-LLM (70%) | 2.50 | 1.10 | 1.07 | 1.05 | 1.04 | 1.03 | 1.02 |
| Wanda (80%) | 7.03 | 1.92 | 1.78 | 1.72 | 1.68 | 1.66 | 1.66 |
| Lua-LLM (80%) | 3.10 | 1.42 | 1.35 | 1.32 | 1.31 | 1.29 | 1.28 |

Table 16: MMLU (%) evaluation with and without fine-tuning process.

| Method | MMLU (%) |
|--------------------|----------|
| Wanda | 24.5 |
| Wanda w. LoRA FT | 26.8 |
| Wanda w. Full FT | 31.3 |
| Lua-LLM | 26.5 |
| Lua-LLM w. LoRA FT | 30.3 |
| Lua-LLM w. Full FT | 35.6 |