

SYNTHESIZING PRIVACY-PRESERVING TEXT DATA VIA FINETUNING *without* FINETUNING BILLION-SCALE LLMs

Bowen Tan²*, Zheng Xu¹, Eric Xing^{2,4}, Zhiting Hu³, Shanshan Wu¹

¹Google Research, ²Carnegie Mellon University, ³UC San Diego,

⁴Mohamed bin Zayed University of Artificial Intelligence

{btan2, epxing}@andrew.cmu.edu, zhh019@ucsd.edu,

{xuzheng, shanshanw}@google.com

ABSTRACT

Synthetic data offers a promising path to train models while preserving data privacy. Differentially private (DP) finetuning of large language models (LLMs) as data generator is effective, but is impractical when computation resources are limited. Meanwhile, prompt-based methods such as private evolution Xie et al. (2024); Hou et al. (2024), depend heavily on the manual prompts, and ineffectively use private information in their iterative data selection process. To overcome these limitations, we propose CTCL (Data Synthesis with ConTrollability and CLustering), a novel framework for generating privacy-preserving synthetic data without extensive prompt engineering or billion-scale LLM finetuning. CTCL pretrains a lightweight 140M conditional generator and a clustering-based topic model on large-scale public data. To further adapt to the private domain, the generator is DP finetuned on private data for fine-grained textual information, while the topic model extracts a DP histogram representing distributional information. The DP generator then samples according to the DP histogram to synthesize a desired number of data examples. Evaluation across five diverse domains demonstrates the effectiveness of our framework, particularly in the strong privacy regime. Systematic ablation validates the design of each framework component and highlights the scalability of our approach.

1 INTRODUCTION

Many artificial intelligence (AI) applications improves their model performances by leveraging user data. For example, models are improved by adapting to the typing text in user’s mobile virtual keyboard (Hard et al., 2018; Xu et al., 2023), and aligning with user preference in a chatbot (OpenAI, 2024; Google, 2024; Llama Team, 2024). However, training models on user data raises privacy concerns, particularly in domains involving highly sensitive information, such as healthcare records Milmo & Stacey (2025) and chat messages (Hogan, 2025). Researchers have shown that the training data can be memorized and potentially extracted from models (Carlini et al., 2021; Nasr et al., 2023; Carlini et al., 2023). Synthesizing privacy-preserving user data has emerged as a promising approach to mitigating these privacy risks. A popular approach is to differentially-private (DP) finetune a generative language model (LM) on user data, followed by generating synthetic data using the finetuned model Bommasani et al. (2019); Putta et al. (2022); Mattern et al. (2022a); Yue et al. (2023). Benefiting from the development of open-sourced billion-scale large language models (LLMs) such as Llama Touvron et al. (2023), DP-finetuned generators have demonstrated effectiveness in the downstream classification Kurakin et al. (2023) and instruction tuning tasks Yu et al. (2024). However, DP finetuning is both computationally expensive and resource-intensive, because it requires per-sample gradient operations in every training batch and large batch size to get good privacy-utility trade-off (Ponomareva et al., 2023). This results in higher memory usage and slower training speeds compared to the non-DP finetuning. Moreover, when the user data are decentralized across their own devices, and no centralized data collection is allowed following the data minimization privacy

*Work done during an internship at Google.

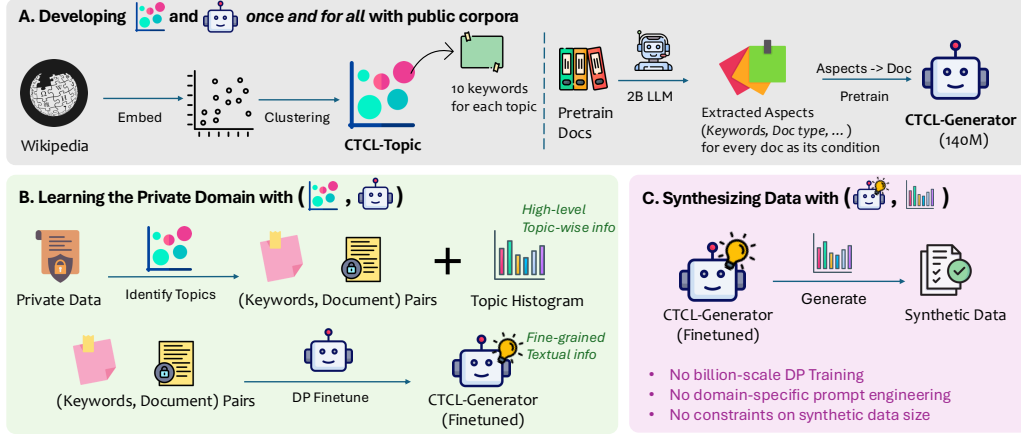


Figure 1: Overview of CTCL (Data Synthesis with ConTrollability and CLustering) framework: (A) A universal topic model and a lightweight 140M generator with strong controllability are developed *once and for all* on large-scale public corpora (§3.1 and §3.2); (B) To learn the private domain, we collect a DP topic histogram, and DP finetune the generator on the private data (§3.3); (C) Privacy-preserving synthetic data is generated based on the topic histogram and the finetuned generator (§3.4).

principle (McMahan et al., 2017; Kairouz et al., 2021; Daly et al., 2024), the devices performing local computations typically lack the necessary resources to finetune billion-scale LLMs.

To address the resource limitations, recent work has explored generating synthetic data that only require LLM API access, exemplified by the Private Evolution (PE) framework Lin et al. (2024); Xie et al. (2024); Hou et al. (2024). These methods use an iterative process where samples are drawn from the LLMs using human-crafted prompts, and then filtered based on their similarity to the private data. This line of work has several limitations. First, they require prompt writers to have deep domain knowledge of the private data, a requirement that can be unrealistic across diverse scenarios. They also heavily rely on the LLM’s creativity and extensive prompt engineering tailored to the specific LLMs. More critically, the PE framework only uses the private data in the embedding space for example selection and filtration, and fails to fully leverage the fine-grained word-level information. This inherently limits the performance of the synthetic data in the downstream tasks, particularly the challenging generative tasks. As we will show in our experiments, unlike the standard classification tasks, these generative tasks are evaluated by next-word prediction accuracy, and hence, demand a finer-grained approximation of the private data distributions.

In this work, we introduce CTCL (Data Synthesis with ConTrollability and CLustering), a novel framework for generating synthetic privacy-preserving data without finetuning billion-scale LLMs or domain-specific prompt engineering. As illustrated in Figure 1, CTCL comprises two key components: a lightweight 140M parameter generator and a universal topic model. Both components are pre-trained on the large-scale public corpora, SlimPajama Soboleva et al. (2023) and Wikipedia Foundation (2023), respectively. When adapting to the private domains, the topic model produces a DP topic histogram to capture high-level distributional information, while the generator is DP finetuned to learn fine-grained, textual information. During the data generation phase, the DP-finetuned generator is sampled proportionally for each topic according to the DP topic histogram. An arbitrary amount of synthetic data can be generated by our CTCL-generator without paying additional privacy costs, because of the post-processing property of DP Dwork et al. (2014).

We validate our framework across five diverse downstream domains, including the medical contexts and human-to-human conversations, covering both generative tasks evaluated by the next-word prediction accuracy, and the standard classification tasks. Our framework demonstrates significant advantages over previous approaches, particularly under strict privacy constraints. Through a comprehensive analysis, we highlight the importance of each component in our design, and demonstrate the scalability of CTCL compared to prompting-based methods such as PE Xie et al. (2024).

2 RELATED WORK

Differential Privacy (DP) Our operations on the private data adhere to the standard (ϵ, δ) -DP guarantee Dwork et al. (2006), ensuring that the inclusion or exclusion of a single record has minimal

Prompt for OpenReview on GPT-3.5 Xie et al. (2024)	Prompt for GB. Dialogues on PaLM Wu et al. (2024a)
Given the area and final decision of a research paper, you are required to provide a **detailed and long** review consisting of the following content: 1. briefly summarizing the paper in 3-5 sentences; 2. listing the strengths and weaknesses of the paper in details; 3. briefly summarizing the review in 3-5 sentences.	Imagine you are a female at age 23. You are using the Android Messages APP to message your family on your mobile phone on the afternoon of a vacation day. You want to chat about the following topic: I can't wait to come home and tell you all about it. Generate the conversation between you and your message receiver.
Prompt used in our pretraining data construction on Gemma-2-2B (§3.1)	
Describe this document in multiple aspects. Make sure "Document Type" and "Keywords" are two of the aspects. {document}	

Table 1: The prompts used in existing synthetic data approaches versus in our pretraining data construction. Prompts in existing work usually requires in-depth domain knowledge and intensive prompt engineering specific to dataset and the LLM being prompted, while the one used in our data construction is simple and generally applicable on whatever types of documents in pretraining corpus.

impact on the algorithm’s output. This constraint limits the model to learning generalizable patterns rather than memorizing individual data points. Specifically, we employ DP-Adam Li et al. (2022); Yu et al. (2022) for DP finetuning, which clips per-sample gradients and injects Gaussian noise into each gradient update during training. We also add Gaussian noise to every bin when collecting DP histogram. For more details about the DP mechanism and the DP parameters used in our experiments, see Appendix A and E.

Synthetic Data via DP Finetuning of LMs This line of work DP finetunes an LM on the private data, and the finetuned LM is then used to generate synthetic data Bommasani et al. (2019); Putta et al. (2022); Mattern et al. (2022a); Yue et al. (2023); Kurakin et al. (2023); Yu et al. (2024); Wang et al. (2024); Ochs & Habernal (2024); Carranza et al. (2024). To preserve model capability under the DP training noise, these approaches often rely on billion-scale models, particularly for generative tasks. For instance, Yu et al. (2024) finetune LLaMA-7B Touvron et al. (2023) with DP to generate short (usually single-sentence) human-to-machine instructions. In contrast, our framework incorporates a carefully designed learning process on the private data while using a significantly smaller backbone LM with only 140M parameters in DP finetuning. This substantially reduces the computational costs, making the approach more feasible for real-world resource-constrained applications.

Synthetic Data via LLM API Prompting This line of research explores data synthesis using only LLM inference APIs, typically leveraging prompt engineering with domain-specific knowledge, such as specifying document structures or assuming roles Wu et al. (2024a). The Private Evolution (PE) framework Lin et al. (2024); Xie et al. (2024); Hou et al. (2024) integrates the private information into the synthetic data through an iterative sample selection process. Specifically, the API-generated outputs are selected based on their proximity to private data measured by the differentially private nearest neighbors (DP-NN). In this setup, DP-NN serves as the sole mechanism for extracting information from the private data, limiting the extent to which its information is fully captured. Furthermore, the synthetic data size (which determines the number of bins in the DP-NN histogram) is often constrained in order to better tolerate the DP noise (see discussion in Appendix B). For instance, the synthetic datasets in Xie et al. (2024) contain typically 2,000 to 5,000 examples across the experiments. Unlike the prompting-based methods, our framework does not require prompt engineering and prior domain knowledge when applied to downstream data. Additionally, our synthetic dataset size is not constrained, offering significantly greater scalability compared to the PE approach. We discuss more related work including private inference in Appendix B.

3 CTCL FRAMEWORK

In this work, we propose CTCL (Data Synthesis with **Con**Trollability and **CL**ustering), a framework for generating synthetic private data without requiring billion-scale DP finetuning or domain-specific prompt engineering. Figure 1 gives an overview of CTCL.

Our framework consists of two key components: CTCL-Generator and CTCL-Topic. Both are developed only *once* using the large-scale public corpora. CTCL-Generator is a lightweight 140M-parameter conditional generator that supports free-form text input, allowing users to specify attributes

Document

MORGANTOWN, W.Va. (November 11, 2015) – West Virginia University golf coach Sean Covich announced Wednesday that Ty Olinger (Blacksburg, Va./North Cross HS) and Etienne Papineau (St-Jean sur Richelieu, Quebec St-Lawrence) have committed to joining the Mountaineers starting in the fall of 2016. [...]

Extracted Aspects by Gemma-2-2B

Tone : Informative, positive, celebratory, and official.
Style : Simple, straightforward, and direct.
Keywords : West Virginia University, Golf, Recruiting, College Golf.
Purpose : To announce a new recruiting class for WVU golf.
Structure : Follows a standard journalistic format
Document Type : Article, Sports News

Table 2: Example of generated document description (which is used to form the `condition` part in the pretraining `(condition, document)` data corpus). This only extracts existing information in the document, so it doesn’t rely on large LLMs with super strong creativity to achieve. The `aspects` marked in blue are *automatically* generated instead of pre-defined.

such as keywords and document type (§3.1). The second component, CTCL-Topic, is a topic model that categorizes a given document into a predefined topic, represented by ten keywords (§3.2). To use these two components for learning a specific private domain: the topic model constructs a topic-wise histogram to capture high-level distributional information, while the generator is DP finetuned on private training data to retain low-level textual details (§3.3). After that, we use the DP finetuned generator and the DP topic histogram to produce an arbitrary number of synthetic samples without additional privacy costs (§3.4).

The design of our framework offers several advantages. First, compared with the existing billion-scale LLM DP finetuning, our backbone LM contains only 140M parameters, making DP finetuning practical for real-world resource-constrained applications. Second, unlike the prompting-based approaches that depend on hand-crafted domain-specific prompts that require in-depth expertise, our framework is applicable to any private domain regardless of prior domain knowledge. Third, PE-based methods need to balance between data quality and synthetic data size (see discussions in Appendix B), while our framework naturally allows for unlimited data samples using the DP finetuned generator, without additional privacy costs during generation.

The remainder of this section provides a detailed explanation of CTCL, covering its components (§3.1, §3.2), and the private learning and data synthesis processes (§3.3, §3.4).

3.1 CTCL-GENERATOR

In our framework, CTCL-Generator is a lightweight (140M-parameter) conditional LM designed for strong controllability. Specifically, it accepts one or more feature assignments as input, and generates documents that adhere to these specifications. The assignments can include free-text inputs, such as “*Document Type: daily dialogue.*” To enable this functionality within a small LM, we construct a large-scale dataset and perform continual pretraining of an unsupervisedly pretrained LM.

Pretraining Data Curation We introduce a simple yet effective approach for constructing a large-scale condition-to-document corpus. Our method builds on SlimPajama Soboleva et al. (2023), a large unsupervised pretraining corpus, and leverages a relatively small LLM, Gemma-2-2B Team et al. (2024). Specifically, we employ a domain-agnostic and LLM-independent prompting strategy for each document in SlimPajama: “Describe the document in multiple aspects.” This document description task is straightforward and not requiring the LLM’s creativity, making it well-suited for a small LLM like Gemma-2-2B to efficiently handle the data construction process. As a result, we generate a large-scale pretraining dataset comprising 430M `(condition, document)` pairs, where the Gemma-2-2B generated document description is used as the `condition` part.

Tables 1 and 2 present the exact prompt we use and an example of the generated document description. Notably, our prompt encourages the inclusion of “Document Type” and “Keywords” as aspects in the prompting output. This is designed to match how we use topic keywords to obtain high-level topic distributions, when adapting to a specific private domain (§3.3 and §3.4). Additionally, the document

type is encouraged because it is the simplest high-level information to extract from the private data domain.

Pretraining Setup We perform continual pretraining on top of BART-base Lewis (2019), a 140M-parameter sequence-to-sequence LM previously pretrained in an unsupervised manner. The model’s encoder-decoder architecture is well suitable for conditioning on inputs through the encoder while generating outputs via the decoder. Optimization was performed using the AdamW optimizer with a batch size of 4096 and a cosine learning rate schedule starting at 5×10^{-5} . The implementation of the pretraining is based on RedCoast Tan et al. (2023) using bf16 mixed precision and the pretraining takes approximately 24 hours on 256 TPU-v4 cores Jouppi et al. (2023).

3.2 CTCL-TOPIC

Another key component of CTCL is a high-quality and diverse clustering schema: a universal topic model based on document embeddings. This model is designed to identify a topic index for a given document, along with 10 representative keywords associated with the identified topic.

The topic model is used to capture high-level distributional information from the private training data. To ensure universality, the model is designed to generalize well across a wide range of downstream documents, always identifying a relevant topic. To achieve this, we constructed the topic model using Wikipedia Foundation (2023), a large-scale, diverse, and commonly recognized high-quality corpus.

Topic Model Setup Specifically, we utilized the November 2023 version of Wikipedia, which contains over 6 million pages. A publicly available 20M-parameter document embedding model¹ was applied to the entire Wikipedia corpus, followed by HDBSCAN clustering McInnes et al. (2017), resulting in the identification of 1,300 clusters, each treated as a distinct topic. To represent each topic, we employed the KeyBERT Sharma & Li (2019) to annotate 10 keywords for each cluster. The implementation of the pipeline above is based on BERTopic² Grootendorst (2022).

3.3 LEARNING THE PRIVATE DOMAIN

When applying CTCL to the downstream private domains (shown by Part B in Figure 1), we use CTCL-Topic to capture the high-level distributional information across the entire private corpus (via DP topic histogram), and adapt CTCL-Generator (via DP finetuning) to learn fine-grained text information from the private data.

DP Topic Histogram Using the topic model built by CTCL-Topic, we first assign a topic to each document in the private training data, by applying the same 20M document embedding model on every document and finding the closest topic embedding (among the 1,300 topic embeddings obtained from §3.2). A histogram representing the topic-wise distribution of the private corpus (i.e., the proportion of documents associated with each topic) is then constructed. Gaussian noises are added properly to every bins in the histogram, and the result is a private topic histogram.

DP Finetuning After the “DP Topic Histogram” process, each document in the private dataset has been assigned to a topic. Recall that in CTCL-Topic, each topic is represented by 10 keywords. Now we transform the private dataset into the (condition, document) pairs, where the condition part consists of 10 keywords corresponding to the topic assigned to the document. This dataset is then used to DP finetune the CTCL-Generator. Note that the condition part is slightly different between the constructed pretraining data in §3.1 and private finetuning data here. The pretraining data has free-form text condition (obtained from Gemma-2-2B) while the finetuning data has 10 keywords as the condition. That said, if available, additional domain-specific knowledge, such as document types, can be incorporated into the condition as well. These constructed condition-document pairs align with the pretraining condition-to-document task in §3.1. This alignment is a key to benefit from our pretraining, which enables the model to effectively learn private information while being more robust to the noise in training compared to vanilla DP finetuning Yue et al. (2023); Kurakin et al. (2023).

¹<https://huggingface.co/sentence-transformers/all-MiniLM-L6-v2>

²<https://maartengr.github.io/BERTopic/>

PubMed (Medical Paper Abstract)								
Setting	$\epsilon = \infty$		$\epsilon = 4$		$\epsilon = 2$		$\epsilon = 1$	
	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}
GPT2 _{XL} -1.5B (Upper Bound)	39.6	42.9	37.7	40.5	37.3	40.2	36.8	39.7
GPT2 _{XL} -1.5B-LoRA (Upper Bound)	39.4	42.5	34.7	37.7	34.9	37.9	34.9	37.9
Downstream DPFT (No Synthetic Data)	44.3	46.0	30.7	34.1	28.9	32.5	26.7	30.4
Private Evolution (PE) Lin et al. (2024)	29.7	31.8	29.6	31.8	29.7	31.9	29.8	31.9
AUG-PE + Mixtral-8x7B Xie et al. (2024)	24.9	27.6	-	-	-	-	24.5	27.1
AUG-PE + GPT-3.5 Xie et al. (2024)	30.4	32.7	30.3	32.5	30.2	32.5	30.1	32.4
GPT2 _{Small} Yue et al. (2023)	38.1	41.6	35.0	37.4	32.0	34.4	26.8	29.3
GPT2 _{Small} + Resample Yu et al. (2024)	39.0	42.4	35.3	37.5	33.0	35.1	27.6	29.1
BART _{Base} Yue et al. (2023)	40.9	43.9	30.5	32.4	28.9	30.8	26.7	28.5
BART _{Base} + Resample Yu et al. (2024)	41.3	44.2	30.7	32.5	29.0	30.7	26.5	28.0
Ours	41.5	44.6	35.9	38.1	35.4	37.6	34.5	36.7

Table 3: Performance of PubMed evaluated by next-word prediction accuracy of downstream models (BERT_{Mini} and BERT_{Small}). A smaller privacy budget (ϵ) corresponds to stricter privacy constraints. See §4.1.2 for details of ”Downstream DPFT.”

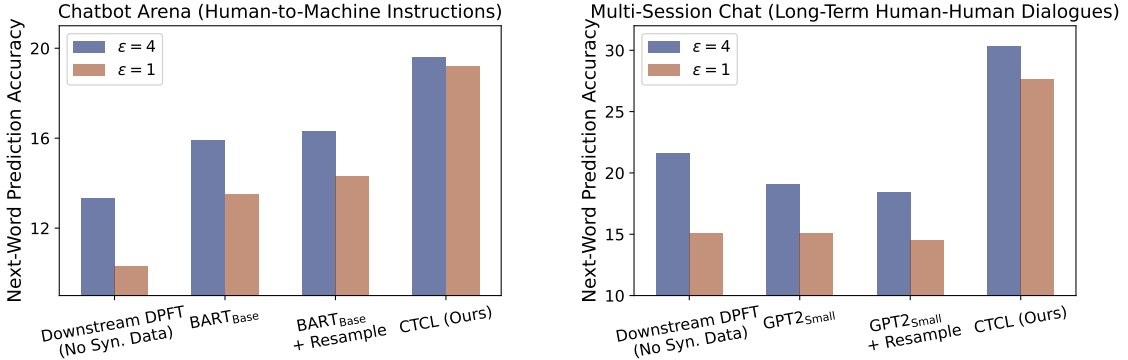


Figure 2: Next-word prediction accuracy of the downstream model BERT_{Mini} in the *Chatbot Arena Instruction* and *Multi-Session Chat* domains. Comparing the the blue and yellow bars, our framework demonstrates greater improvements over the baselines under the stricter privacy constraint $\epsilon = 1$ compared to the setting of $\epsilon = 4$.

3.4 SYNTHETIC DATA GENERATION

The DP finetuned CTCL-Generator is sampled to generate synthetic data based on the DP topic histogram (see Part C in Figure 1). Specifically, given the desired size of the synthetic dataset (say, N) and the topic proportions specified by the DP topic histogram (say, $x\%$ for Topic 1, $y\%$ for Topic 2, etc), we know the number of target samples for each topic (i.e., xN for Topic 1, yN for Topic 2, etc). For each topic, we use the corresponding 10 keywords as input to the DP finetuned CTCL-Generator to generate data.

4 EXPERIMENTS

4.1 EXPERIMENTAL SETUP

4.1.1 DOWNSTREAM TASKS

Our experiments contain three generative tasks and two classification tasks. The downstream generative tasks are evaluated by the next-word prediction accuracy, which needs the synthetic data to preserve fine-grained textual information from the private data. In contrast, the downstream classification tasks usually rely on co-occurrence patterns between labels and words in the synthetic data. Therefore, generative tasks tend to be more challenging than classification tasks.³

Generative Tasks Three generative downstream tasks are chosen to cover a diverse set of the practical scenarios. Specifically, we include *PubMed* Yu et al. (2023) to represent the academic

³Size of the training datasets can be found in Appendix C.

	Yelp				OpenReview			
Setting	$\epsilon = \infty$	$\epsilon = 4$	$\epsilon = 2$	$\epsilon = 1$	$\epsilon = \infty$	$\epsilon = 4$	$\epsilon = 2$	$\epsilon = 1$
GPT2 _{XL} -1.5B (Upper Bound)	71.1	69.4	68.2	68.2	49.1	46.6	46.3	45.4
GPT2 _{XL} -1.5B-LoRA (Upper Bound)	70.3	67.7	67.6	67.7	51.0	46.2	45.3	46.0
Downstream DPFT (No Synthetic Data)	76.0	67.5	67.2	66.8	50.8	32.0	32.0	32.0
Private Evolution (PE) Lin et al. (2024)	67.9	67.1	67.2	67.6	42.4	43.5	43.7	42.9
AUG-PE Xie et al. (2024)	68.4	68.1	67.8	67.9	43.5	44.6	44.5	43.1
GPT2 _{Small} Yue et al. (2023)	71.0	68.2	67.9	67.9	52.1	41.1	38.5	35.1
BART _{Base} Yue et al. (2023)	70.7	66.3	66.9	66.9	52.6	44.7	42.2	25.7
Ours	70.5	68.1	68.0	67.7	53.9	46.5	47.1	46.2

Table 4: Accuracy of downstream models in the classification tasks. A smaller privacy budget (ϵ) corresponds to stricter privacy constraints. See §4.1.2 for details of "Downstream DPFT."

medical domain, *Chatbot Arena* Zheng et al. (2023) for human-to-machine interactions, and *Multi-Session Chat* Xu (2021) for human-to-human everyday dialogues. Following the evaluation setup in Xie et al. (2024), we train 10M-level downstream causal LMs on the synthetic datasets, and use next-word prediction accuracy on the real test data as the primary quality metric.

Classification Tasks We conduct experiments on two classification tasks: Yelp Yelp, Inc. and OpenReview Xie et al. (2024), both of which are 5-way classification, with Yelp focusing on business reviews and OpenReview on academic paper reviews. The performance is measured by the accuracy of a downstream classifier trained on the synthetic data.

To mitigate concerns regarding data contamination, we use a search engine Liu et al. (2024) indexed on RedPajama Computer (2023) (a superset of our pretraining corpus) to identify potential overlaps between our downstream and pretraining data. Our analysis detects no overlap between our training data and the five downstream datasets. Additionally, for the PubMed dataset, all included samples are dated within August 2023, ensuring they were published after the release of our pretraining corpus in June 2023.

4.1.2 BASELINES

Direct DP Finetuning Downstream Models A straightforward approach to obtain a downstream model is to directly perform DP finetuning of the downstream model on the private data, without using the synthetic data. For simplicity, we refer to this baseline as "Downstream DPFT" throughout this paper.

Vanilla DP Finetuning We conduct standard DP finetuning Yue et al. (2023) on BART_{Base} Lewis (2019) and GPT2_{Small} Radford et al. (2019), both of which have comparable O(100M) model sizes as that of the generator in our framework. Additionally, we include DP finetuning of GPT2_{XL}-1.5B Radford et al. (2019) as an upper bound. Given prior findings that LoRA finetuning can outperform full-model finetuning under DP constraints Kurakin et al. (2023), we also evaluate a LoRA DP-finetuned variant of GPT2_{XL}-1.5B as another upper bound. Notably, while LoRA reduces trainable parameters, it does not significantly decrease resource demands since backpropagation is still required through the full backbone LLM.

Post-Generation Resampling Yu et al. (2024) proposes to refine the synthesized dataset by a resampling technique, in order to better align with statistical properties derived from the private data.

Private Evolution (PE) We include results from the original PE Lin et al. (2024) and its augmented variant, AUG-PE Xie et al. (2024), as the exemplar of LLM prompting based data synthesis approach.

4.1.3 HYPERPARAMETERS

DP Finetuning and Sample Generation For all settings involving DP finetuning, we use DP-Adam for 2000 steps with a batch size of 4096, a gradient norm clip of 1.0, and a weight decay of 0.1. The learning rate follows a linear decay schedule with 100 warmup steps, and the peak learning rate is selected from the range $[1, 4] \times [10^{-3}, 10^{-4}, 10^{-5}]$ based on validation performance. The privacy budget accounts for both DP model finetuning and the collection of DP topic histogram statistics. We apply a Gaussian noise multiplier of 10 to the DP topic histogram. The noise multipliers for DP finetuning vary across settings depending on the training data size and the presence of a topic

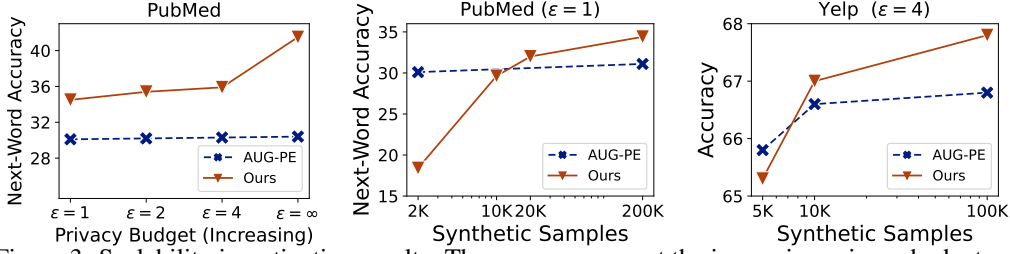


Figure 3: Scalability investigation results. The x-axes represent the increasing privacy budget or the number of synthetic examples, while the y-axes indicate the performance of downstream models trained on synthetic data.

histogram (see Appendix E). For the sample generation process, we generate 400K synthetic examples using nucleus sampling with top-p = 0.95 and a maximum sequence length of 512 tokens. For upper-bound experiments with GPT2_{XL}-1.5B, we reduce the batch size to 256 to mitigate computational costs. The implementation of DP finetuning is based on RedCoast Tan et al. (2023) using full fp32 precision.

Downstream Model Training and Evaluation We follow the evaluation of Xie et al. (2024) for both generative and classification tasks. For generative tasks, we train the causal versions of BERT_{Mini} and BERT_{Small} using a linear learning rate schedule from 0.0003 to 0, a batch size of 64, and a total of 6000 steps, with a weight decay of 0.01. For classification tasks, we finetune a RoBERTa-base model under the same hyperparameter settings as in generative tasks above, except for a learning rate of 3×10^{-5} .

4.2 RESULTS

4.2.1 GENERATIVE TASKS

Table 3 and Figure 2 present the results of three generative tasks⁴. Our framework consistently outperforms baselines under different DP constraints and achieves performance close to the upper bounds. Moreover, as shown in Figure 2, the performance gap between our framework and the baselines widens under tighter privacy constraints (i.e., comparing the patterns of blue and yellow bars), highlighting its robustness. This can be attributed to our framework’s ability to simultaneously learn both high-level and fine-grained information from private data.

Our results also reflect the limitations of the baselines. Specifically, when there is no DP constraint, direct downstream finetuning on the real data (without synthetic data) achieves the best performance across all three tasks. However, adding DP training noise leads substantial performance drop, indicating the vulnerability towards DP noise of small downstream models. Additionally, the performance of PE methods Xie et al. (2024); Lin et al. (2024) remains almost unchanged across different privacy constraints, which also indicates that these methods do not fully exploit the increased privacy budget. This limitation may stem from their constrained capacity (i.e., only via the nearest neighbors) to effectively capture information in the private data. Moreover, a comparison of different LMs within the AUG-PE framework reveals a significant performance gap between GPT-3.5 API and the open-source Mistral-8x7B, despite the latter also being considered a strong model. This suggests that the effectiveness of PE methods heavily relies on the exceptional capacity and creativity of the backbone LLM.

4.2.2 CLASSIFICATION TASKS

As shown in Table 4, our model still achieves performance that is either superior to or on par with the best-performing methods. PE-based approaches demonstrate stronger results in classification tasks compared to their performance on generative tasks. This may be because that classification primarily relies on synthetic data to capture associations between labels and specific words or phrases, which is an objective that PE methods can effectively achieve by prompting LLMs properly. In contrast, generative tasks require a deeper resemble of finer-grained textual information from private data, which poses greater challenges for PE methods.

⁴A complete result table is available in the Appendix D.

Setting	$\epsilon = \infty$	$\epsilon = 1$
BART _{Base}	63.1	572.9
BART _{Base} + Keywords	49.3	291.6
BART _{Base} + Keywords + Pretraining (Ours)	48.4	125.6

Table 5: Ablation study results evaluated by the downstream language model’s perplexity (lower values indicate better performance). A privacy budget of $\epsilon = \infty$ means no DP training noise during the finetuning of the data generator.

4.3 ANALYSIS AND ABLATION STUDY

4.3.1 SCALABILITY

The privacy budget and the size of the generated synthetic data are two key factors influencing the performance of data synthesis. In this study, we examine the effect of these factors, focusing on a comparative analysis between our framework and AUG-PE, an exemplar prompting-based approach. To investigate the impact of synthetic data size, we follow the experimental setup of Xie et al. (2024) and extend AUG-PE’s sample sizes to 200K for the PubMed dataset and 100K for the Yelp dataset. The PubMed expansion is achieved by combining two runs of data synthesis using GPT-3.5 and Llama-3-8b-Instruct, while the Yelp expansion uses GPT2-Large as reported in Xie et al. (2024).

Regarding privacy budget scalability, as illustrated in the leftmost plot of Figure 3 and briefly discussed in §4.2.1, AUG-PE does not benefit from an increased privacy budget, whereas our framework continues to improve under the same conditions. For synthetic data size, the second and third plots in Figure 3 show that when the number of synthetic examples remains in the thousand-level range, AUG-PE produces higher-quality datasets. However, its performance plateaus beyond 10K examples. In contrast, our framework exhibits continuous improvement as the dataset size increases. These findings align with our discussion in §2 and Appendix B on the size limitations of PE method.

Overall, our approach demonstrates superior scalability in terms of both privacy budget and synthetic data size.

4.3.2 ABLATION STUDY

In this study, we validate the importance of two key components in our framework: 1) pretraining the generator and 2) incorporating keyword-based conditions during DP finetuning. Specifically, starting from standard DP finetuning, we sequentially introduce these components and measure the downstream model’s perplexity. The results, presented in Table 5, demonstrate the following: first, a comparison between “BART_{Base}” and “BART_{Base} + Keywords” reveals that incorporating keywords during finetuning significantly improves performance, regardless of the presence of DP training noise. Second, a comparison between “BART_{Base} + Keywords” and “BART_{Base} + Keywords + Pretraining” indicates that pretraining offers limited benefits in noise-free settings but provides a clear advantage when the DP training noise is added.

We also compute the MAUVE score Pilutla et al. (2021) to measure the distribution similarity between the generated synthetic data and the PubMed test set. As shown in Figure 4, our method achieves the highest MAUVE score among the compared methods, showing the effectiveness of our topic-wise distribution alignment during the generation process (§3.1). Moreover, a comparison between GPT2_{XL}-1.5B and GPT2_{Small} reveals that DP finetuning on a larger model better captures high-level distributional patterns. Furthermore, we find that the high-level distribution similarity measured by MAUVE is not the sole determinant of synthetic data quality. For instance, while the synthetic data from GPT2_{XL}-1.5B has a lower MAUVE score

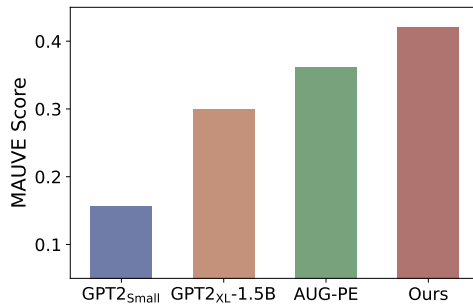


Figure 4: MAUVE scores on PubMed dataset under $\epsilon = 4$. Note that only the relative rankings instead of the absolute scores matters here, because the score scale can change a lot with slightly different evaluation configurations.

BART-Base (Downstream Model Performance: 30.5%): We explored the relationship between molecular interaction, **NCT-2 and NCT-3 (NCT-1)**, **NCT-4, NCT and NCT-1.5 (NCT)**, **NCT-, NCT-6, and NCT[4]**. In a recent clinical trial, we described an enzyme in NCT-10 that enabled novel processes to explore novel approaches for NCT- 2.3 to NCT-III. [...]

GPT2_{XL}-1.5B (Upper Bound, Downstream Model Performance: 37.7%) The ability of leptin to induce weight loss, to stimulate ectothermic thermogenesis, and to augment activity of the AMPK system and the AMPK-dependent lipoprotein lipase activity, was examined. Circulating concentrations of leptin were assessed in the femoral adipose fat pad of the lean and obese [...]

AUG-PE + GPT-3.5 (Downstream Model Performance: 30.3%) An increasing incidence of aneurysmal subarachnoid hemorrhage (SAH) remains high, necessitating prompt intervention. The recognition and treatment options, including both surgical and endovascular approaches, have emerged as key components of tertiary management. [...]

Ours (Downstream Model Performance: 35.9%): To develop a therapeutic formula to reduce rates of morbidity that occur in people with a combination of cardiovascular problems. We used a multi-state, multidisciplinary approach to the research of the clinical manifestations of cardiovascular problems with the introduction of a biocontrol. [...]

Table 6: Synthetic data samples on PubMed under $\epsilon = 4$. (Randomly Sampled.) Obvious disfluent cuts are highlighted in **red**.

than that of our approach, the model trained on it achieves a higher downstream performance (37.7% vs. 35.9%) in Table 3.

4.3.3 SYNTHETIC SAMPLES

Table 6 presents synthetic samples generated by our framework and several baselines. Under the DP training noise, the BART_{Base} model tends to produce repetitive content. In contrast, our framework, built on the same lightweight model architecture, maintains the sentence fluency well. Interestingly, while the AUG-PE method generates fluent sentences using the powerful GPT-3.5, its downstream performance is only comparable to that of the DP-finetuned BART_{Base}. This suggests that in the context of data synthesis, the quality of the surface form (e.g., fluency and coherence) may not be the most critical factor. Generating synthetic data that is useful for the downstream model development is more important than generating fluent data.

5 CONCLUSION

In this work, we propose a novel framework for synthesizing private domain data, which integrates a universal topic model with a lightweight 140M conditional language model. This framework captures both high-level, topic-specific information and fine-grained, context-sensitive details of the private domain in a modular and efficient manner. Through evaluations across five diverse downstream domains, we demonstrate that the synthesized data generated by our framework outperforms baseline methods, including vanilla differential privacy finetuning and prompting-based approaches such as private evolution.

REFERENCES

- Martin Abadi, Andy Chu, Ian Goodfellow, H. Brendan McMahan, Ilya Mironov, Kunal Talwar, and Li Zhang. Deep learning with differential privacy. In *Proceedings of the 2016 ACM SIGSAC Conference on Computer and Communications Security*. ACM, 2016. doi: 10.1145/2976749.2978318. URL <http://dx.doi.org/10.1145/2976749.2978318>.
- Kareem Amin, Alex Bie, Weiwei Kong, Alexey Kurakin, Natalia Ponomareva, Umar Syed, Andreas Terzis, and Sergei Vassilvitskii. Private prediction for large-scale synthetic text generation. In Yaser Al-Onaizan, Mohit Bansal, and Yun-Nung Chen (eds.), *Findings of the Association for Computational Linguistics: EMNLP 2024*, Miami, Florida, USA, November 2024. Association for Computational Linguistics. URL <https://aclanthology.org/2024.findings-emnlp.425/>.

- Raef Bassily, Adam Smith, and Abhradeep Thakurta. Differentially private empirical risk minimization: Efficient algorithms and tight error bounds. 2014. URL <https://arxiv.org/abs/1405.7085>.
- Rishi Bommasani, Zhiwei Steven Wu, and Alexandra Schofield. Towards private synthetic text generation. In *NeurIPS 2019 Machine Learning with Guarantees Workshop*, 2019.
- Nicholas Carlini, Florian Tramer, Eric Wallace, Matthew Jagielski, Ariel Herbert-Voss, Katherine Lee, Adam Roberts, Tom Brown, Dawn Song, Ulfar Erlingsson, et al. Extracting training data from large language models. In *30th USENIX Security Symposium (USENIX Security 21)*, pp. 2633–2650, 2021.
- Nicholas Carlini, Jamie Hayes, Milad Nasr, Matthew Jagielski, Vikash Sehwal, Florian Tramer, Borja Balle, Daphne Ippolito, and Eric Wallace. Extracting training data from diffusion models. In *32nd USENIX Security Symposium (USENIX Security 23)*, pp. 5253–5270, 2023.
- Aldo Carranza, Rezsa Farahani, Natalia Ponomareva, Alexey Kurakin, Matthew Jagielski, and Milad Nasr. Synthetic query generation for privacy-preserving deep retrieval systems using differentially private language models. In Kevin Duh, Helena Gomez, and Steven Bethard (eds.), *Proceedings of the 2024 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (Volume 1: Long Papers)*, pp. 3920–3930, Mexico City, Mexico, June 2024. Association for Computational Linguistics. doi: 10.18653/v1/2024.naacl-long.217. URL <https://aclanthology.org/2024.naacl-long.217/>.
- Ricardo Silva Carvalho, Theodore Vasiloudis, and Oluwaseyi Feyisetan. Tem: High utility metric differential privacy on text. In *Proceedings of the 2023 SIAM International Conference on Data Mining (SDM)*, pp. 883–890. SIAM, 2023.
- Together Computer. Redpajama: An open source recipe to reproduce llama training dataset, 2023. URL <https://github.com/togethercomputer/RedPajama-Data>.
- Katharine Daly, Hubert Eichner, Peter Kairouz, H Brendan McMahan, Daniel Ramage, and Zheng Xu. Federated learning in practice: reflections and projections. In *2024 IEEE 6th International Conference on Trust, Privacy and Security in Intelligent Systems, and Applications (TPS-ISA)*, pp. 148–156. IEEE, 2024.
- DP Team. Google’s differential privacy libraries., 2022. <https://github.com/google/differential-privacy>.
- Haonan Duan, Adam Dziedzic, Nicolas Papernot, and Franziska Boenisch. Flocks of stochastic parrots: Differentially private prompt learning for large language models. In *Thirty-seventh Conference on Neural Information Processing Systems*, 2023. URL <https://openreview.net/forum?id=u6Xv3FuF8N>.
- Cynthia Dwork, Krishnaram Kenthapadi, Frank McSherry, Ilya Mironov, and Moni Naor. Our data, ourselves: Privacy via distributed noise generation. In *Annual International Conference on the Theory and Applications of Cryptographic Techniques*, 2006.
- Cynthia Dwork, Aaron Roth, et al. The algorithmic foundations of differential privacy. *Foundations and Trends® in Theoretical Computer Science*, 9(3–4):211–407, 2014.
- Oluwaseyi Feyisetan, Borja Balle, Thomas Drake, and Tom Diethe. Privacy- and utility-preserving textual analysis via calibrated multivariate perturbations. In *Proceedings of the 13th International Conference on Web Search and Data Mining, WSDM ’20*, pp. 178–186, 2020.
- Wikimedia Foundation. Wikimedia downloads, 2023. URL <https://dumps.wikimedia.org>.
- Gemini Team Google. Gemini: A family of highly capable multimodal models, 2024. URL <https://arxiv.org/abs/2312.11805>.
- Maarten Grootendorst. Bertopic: Neural topic modeling with a class-based tf-idf procedure. *arXiv preprint arXiv:2203.05794*, 2022.

Andrew Hard, Kanishka Rao, Rajiv Mathews, Swaroop Ramaswamy, Françoise Beaufays, Sean Augenstein, Hubert Eichner, Chloé Kiddon, and Daniel Ramage. Federated learning for mobile keyboard prediction. *arXiv preprint arXiv:1811.03604*, 2018.

Fintan Hogan. LinkedIn ‘used private messages illegally’ to train ai. *The Times*, 2025. URL <https://www.thetimes.com/business-money/companies/article/linkedin-used-private-messages-illegally-to-train-ai-bqp2ql8nf>. Accessed: 2025-01-29.

Charlie Hou, Akshat Shrivastava, Hongyuan Zhan, Rylan Conway, Trang Le, Adithya Sagar, Giulia Fanti, and Daniel Lazar. Pre-text: Training language models on private federated data in the age of llms. *ICML*, 2024.

Norm Jouppi, George Kurian, Sheng Li, Peter Ma, Rahul Nagarajan, Lifeng Nai, Nishant Patil, Suvinay Subramanian, Andy Swing, Brian Towles, Clifford Young, Xiang Zhou, Zongwei Zhou, and David A Patterson. Tpu v4: An optically reconfigurable supercomputer for machine learning with hardware support for embeddings. In *Proceedings of the 50th Annual International Symposium on Computer Architecture, ISCA ’23*, New York, NY, USA, 2023. Association for Computing Machinery. ISBN 9798400700958. doi: 10.1145/3579371.3589350. URL <https://doi.org/10.1145/3579371.3589350>.

Peter Kairouz, H Brendan McMahan, Brendan Avent, Aurélien Bellet, Mehdi Bennis, Arjun Nitin Bhagoji, Kallista Bonawitz, Zachary Charles, Graham Cormode, Rachel Cummings, et al. Advances and open problems in federated learning. *Foundations and Trends in Machine Learning*, 14 (1–2):1–210, 2021.

Alexey Kurakin, Natalia Ponomareva, Umar Syed, Liam MacDermed, and Andreas Terzis. Harnessing large-language models to generate private synthetic text. *arXiv preprint arXiv:2306.01684*, 2023.

Mike Lewis. Bart: Denoising sequence-to-sequence pre-training for natural language generation, translation, and comprehension. *arXiv preprint arXiv:1910.13461*, 2019.

Xuechen Li, Florian Tramèr, Percy Liang, and Tatsunori Hashimoto. Large language models can be strong differentially private learners. In *International Conference on Machine Learning (ICML)*, 2022.

Zinan Lin, Sivakanth Gopi, Janardhan Kulkarni, Harsha Nori, and Sergey Yekhanin. Differentially private synthetic data via foundation model apis 1: Images. *ICLR*, 2024.

Jiacheng Liu, Sewon Min, Luke Zettlemoyer, Yejin Choi, and Hannaneh Hajishirzi. Infini-gram: Scaling unbounded n-gram language models to a trillion tokens. *arXiv preprint arXiv:2401.17377*, 2024.

AI@Meta Llama Team. The llama 3 herd of models, 2024. URL <https://ai.meta.com/research/publications/the-llama-3-herd-of-models/>.

Justus Mattern, Zhijing Jin, Benjamin Weggenmann, Bernhard Schoelkopf, and Mrinmaya Sachan. Differentially private language models for secure data sharing. In *Conference on Empirical Methods in Natural Language Processing (EMNLP)*, 2022a.

Justus Mattern, Benjamin Weggenmann, and Florian Kerschbaum. The limits of word level differential privacy. In Marine Carpuat, Marie-Catherine de Marneffe, and Ivan Vladimir Meza Ruiz (eds.), *Findings of the Association for Computational Linguistics: NAACL 2022*, pp. 867–881, Seattle, United States, July 2022b. Association for Computational Linguistics. doi: 10.18653/v1/2022.findings-naacl.65. URL <https://aclanthology.org/2022.findings-naacl.65/>.

Leland McInnes, John Healy, Steve Astels, et al. hdbscan: Hierarchical density based clustering. *J. Open Source Softw.*, 2(11):205, 2017.

Brendan McMahan, Eider Moore, Daniel Ramage, Seth Hampson, and Blaise Agüera y Arcas. Communication-efficient learning of deep networks from decentralized data. In *Artificial intelligence and statistics*, pp. 1273–1282. PMLR, 2017.

- Dan Milmo and Kiran Stacey. What does ai plan mean for nhs patient data and is there cause for concern? *The Guardian*, 2025. URL <https://www.theguardian.com/politics/2025/jan/13/labour-ai-action-plan-nhs-patient-data-why-causing-concern>. Accessed: 2025-01-29.
- Supriya Nagesh, Justin Y. Chen, Nina Mishra, and Tal Wagner. Private text generation by seeding large language model prompts. In *GenAI for Health: Potential, Trust and Policy Compliance*, 2024. URL <https://openreview.net/forum?id=rw25QGrkNy>.
- Milad Nasr, Nicholas Carlini, Jonathan Hayase, Matthew Jagielski, A Feder Cooper, Daphne Ippolito, Christopher A Choquette-Choo, Eric Wallace, Florian Tramèr, and Katherine Lee. Scalable extraction of training data from (production) language models. *arXiv preprint arXiv:2311.17035*, 2023.
- Sebastian Ochs and Ivan Habernal. Private synthetic text generation with diffusion models. 2024. URL <https://arxiv.org/abs/2410.22971>.
- OpenAI. Gpt-4 technical report, 2024. URL <https://arxiv.org/abs/2303.08774>.
- Krishna Pillutla, Swabha Swayamdipta, Rowan Zellers, John Thickstun, Sean Welleck, Yejin Choi, and Zaid Harchaoui. Mauve: Measuring the gap between neural text and human text using divergence frontiers. In *NeurIPS*, 2021.
- Natalia Ponomareva, Hussein Hazimeh, Alex Kurakin, Zheng Xu, Carson Denison, H. Brendan McMahan, Sergei Vassilvitskii, Steve Chien, and Abhradeep Guha Thakurta. How to dp-fy ml: A practical guide to machine learning with differential privacy. *Journal of Artificial Intelligence Research*, 77, 2023. ISSN 1076-9757. doi: 10.1613/jair.1.14649. URL <http://dx.doi.org/10.1613/jair.1.14649>.
- Pranav Putta, Ander Steele, and Joseph W Ferrara. Differentially private conditional text generation for synthetic data production. 2022. URL <https://openreview.net/forum?id=LUql3ZOFwFD>.
- Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, Ilya Sutskever, et al. Language models are unsupervised multitask learners. *OpenAI blog*, 1(8):9, 2019.
- Prafull Sharma and Yingbo Li. Self-supervised contextual keyword and keyphrase retrieval with self-labelling. 2019.
- Daria Soboleva, Faisal Al-Khateeb, Robert Myers, Jacob R Steeves, Joel Hestness, and Nolan Dey. SlimPajama: A 627B token cleaned and deduplicated version of RedPajama. <https://www.cerebras.net/blog/slimpajama-a-627b-token-cleaned-and-deduplicated-version-of-redpajama>, 2023. URL <https://huggingface.co/datasets/cerebras/SlimPajama-627B>.
- Shuang Song, Kamalika Chaudhuri, and Anand D. Sarwate. Stochastic gradient descent with differentially private updates. In *2013 IEEE Global Conference on Signal and Information Processing*, pp. 245–248, 2013.
- Bowen Tan, Yun Zhu, Lijuan Liu, Hongyi Wang, Yonghao Zhuang, Jindong Chen, Eric Xing, and Zhiting Hu. Redcoast: a lightweight tool to automate distributed training of llms on any gpu/tpus. *arXiv preprint arXiv:2310.16355*, 2023.
- Xinyu Tang, Richard Shin, Huseyin A Inan, Andre Manoel, Fatemehsadat Mireshghallah, Zinan Lin, Sivakanth Gopi, Janardhan Kulkarni, and Robert Sim. Privacy-preserving in-context learning with differentially private few-shot generation. In *The Twelfth International Conference on Learning Representations*, 2024. URL <https://openreview.net/forum?id=oZtt0pRnO1>.
- Gemma Team, Morgane Riviere, Shreya Pathak, Pier Giuseppe Sessa, Cassidy Hardin, Surya Bhupatiraju, Léonard Hussenot, Thomas Mesnard, Bobak Shahriari, Alexandre Ramé, et al. Gemma 2: Improving open language models at a practical size. *arXiv preprint arXiv:2408.00118*, 2024.

- Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, et al. Llama: Open and efficient foundation language models. *arXiv preprint arXiv:2302.13971*, 2023.
- Saiteja Utpala, Sara Hooker, and Pin-Yu Chen. Locally differentially private document generation using zero shot prompting. In Houda Bouamor, Juan Pino, and Kalika Bali (eds.), *Findings of the Association for Computational Linguistics: EMNLP 2023*, pp. 8442–8457, Singapore, December 2023. Association for Computational Linguistics. doi: 10.18653/v1/2023.findings-emnlp.566. URL <https://aclanthology.org/2023.findings-emnlp.566/>.
- WenHao Wang, Xiaoyu Liang, Rui Ye, Jingyi Chai, Siheng Chen, and Yanfeng Wang. KnowledgeSG: Privacy-preserving synthetic text generation with knowledge distillation from server. In Yaser Al-Onaizan, Mohit Bansal, and Yun-Nung Chen (eds.), *Proceedings of the 2024 Conference on Empirical Methods in Natural Language Processing*, pp. 7677–7695, Miami, Florida, USA, November 2024. Association for Computational Linguistics. doi: 10.18653/v1/2024.emnlp-main.438. URL <https://aclanthology.org/2024.emnlp-main.438/>.
- Shanshan Wu, Zheng Xu, Yanxiang Zhang, Yuanbo Zhang, and Daniel Ramage. Prompt public large language models to synthesize data for private on-device applications. *COLM*, 2024a.
- Tong Wu, Ashwinee Panda, Jiachen T. Wang, and Prateek Mittal. Privacy-preserving in-context learning for large language models. In *The Twelfth International Conference on Learning Representations*, 2024b. URL <https://openreview.net/forum?id=x40PJ7lHVU>.
- Chulin Xie, Zinan Lin, Arturs Backurs, Sivakanth Gopi, Da Yu, Huseyin A Inan, Harsha Nori, Haotian Jiang, Huishuai Zhang, Yin Tat Lee, et al. Differentially private synthetic data via foundation model apis 2: Text. *ICML*, 2024.
- J Xu. Beyond goldfish memory: Long-term open-domain conversation. *arXiv preprint arXiv:2107.07567*, 2021.
- Zheng Xu, Yanxiang Zhang, Galen Andrew, Christopher Choquette, Peter Kairouz, Brendan McMahan, Jesse Rosenstock, and Yuanbo Zhang. Federated learning of gboard language models with differential privacy. In Sunayana Sitaram, Beata Beigman Klebanov, and Jason D Williams (eds.), *Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics (Volume 5: Industry Track)*, pp. 629–639, Toronto, Canada, July 2023. Association for Computational Linguistics. doi: 10.18653/v1/2023.acl-industry.60. URL <https://aclanthology.org/2023.acl-industry.60/>.
- Yelp, Inc. Yelp Open Dataset. <https://business.yelp.com/data/resources/open-dataset/>. Accessed: YYYY-MM-DD.
- Da Yu, Saurabh Naik, Arturs Backurs, Sivakanth Gopi, Huseyin A. Inan, Gautam Kamath, Janardhan Kulkarni, Yin Tat Lee, Andre Manoel, Lukas Wutschitz, Sergey Yekhanin, and Huishuai Zhang. Differentially private fine-tuning of language models. In *International Conference on Learning Representations (ICLR)*, 2022.
- Da Yu, Arturs Backurs, Sivakanth Gopi, Huseyin Inan, Janardhan Kulkarni, Zinan Lin, Chulin Xie, Huishuai Zhang, and Wanrong Zhang. Training private and efficient language models with synthetic data from llms. In *Socially Responsible Language Modelling Research*, 2023.
- Da Yu, Peter Kairouz, Sewoong Oh, and Zheng Xu. Privacy-preserving instructions for aligning large language models. *ICML*, 2024.
- Xiang Yue, Huseyin A Inan, Xuechen Li, Girish Kumar, Julia McAnallen, Hoda Shajari, Huan Sun, David Levitan, and Robert Sim. Synthetic text generation with differential privacy: A simple and practical recipe. *ACL*, 2023.
- Wenhao Zhao, Shaoyang Song, and Chunlai Zhou. Generate synthetic text approximating the private distribution with differential privacy. In Kate Larson (ed.), *Proceedings of the Thirty-Third International Joint Conference on Artificial Intelligence, IJCAI-24*, pp. 6651–6659. International Joint Conferences on Artificial Intelligence Organization, 8 2024. doi: 10.24963/ijcai.2024/735. URL <https://doi.org/10.24963/ijcai.2024/735>. Main Track.

Lianmin Zheng, Wei-Lin Chiang, Ying Sheng, Siyuan Zhuang, Zhanghao Wu, Yonghao Zhuang, Zi Lin, Zhuohan Li, Dacheng Li, Eric. P Xing, Hao Zhang, Joseph E. Gonzalez, and Ion Stoica. Judging llm-as-a-judge with mt-bench and chatbot arena, 2023.

A BACKGROUND ON DIFFERENTIAL PRIVACY

We use the standard (ϵ, δ) -differential privacy (DP) guarantee Dwork et al. (2006) to measure the privacy risk of an ML algorithm memorizing individual records in the sensitive training data. For simplicity, we present a brief description below, and defer to Dwork et al. (2014); Ponomareva et al. (2023) for more details.

Definition A.1 ((ϵ, δ) -DP Dwork et al. (2006)). A randomized algorithm \mathcal{M} satisfies (ϵ, δ) -DP if for any two neighboring datasets \mathbb{D}, \mathbb{D}' (defined by adding or removing one record from the dataset), and for any $\mathcal{S} \subseteq \text{Range}(\mathcal{M})$, where $\text{Range}(\mathcal{M})$ is the set of all outcomes of \mathcal{M} :

$$\Pr[\mathcal{M}(\mathbb{D}) \in \mathcal{S}] \leq e^\epsilon \Pr[\mathcal{M}(\mathbb{D}') \in \mathcal{S}] + \delta.$$

At the high level, (ϵ, δ) -DP provides a formal definition that adding or removing a single record from the dataset should not have a large influence on the algorithm output. This indicates that the algorithm only learns the common knowledge from the entire dataset.

To help readers better understand our paper, we now briefly describe two important facts about (ϵ, δ) -DP. First, one popular approach to DP training is DP-SGD Song et al. (2013); Bassily et al. (2014); Abadi et al. (2016) or variants such as DP-Adam Li et al. (2022); Yu et al. (2022), which modifies the standard SGD algorithm by clipping per-sample gradients and adding noise to each gradient updates during training. We use DP-Adam to train LMs throughout this paper. Second, any post-processing of a private algorithm’s output cannot make it less private Dwork et al. (2014). In our case, this property means that the synthetic dataset generated by a DP finetuned LM has the same (ϵ, δ) -DP guarantee as that of the DP finetuned LM.

B SUPPLEMENTARY DISCUSSION ON RELATED WORK

This paper focuses on generating privacy-preserving text data that resemble a private data source. We discuss more about prior work here in addition to §2.

Synthetic text data generated by DP-finetuned LMs. This is a popular approach: an LM is first finetuned on the private data with DP, and then sampled to generate synthetic data Bommasani et al. (2019); Putta et al. (2022); Mattern et al. (2022a); Yue et al. (2023); Kurakin et al. (2023); Yu et al. (2024); Wang et al. (2024); Ochs & Habernal (2024); Carranza et al. (2024). While this paper follows a similar approach, we primarily focus on improving the data generation quality from a small O(100M)-scale LM. By carefully finetuning on the public and private data, the synthetic data generated by our method have significantly better quality than that obtained by the previous DP finetuning approaches for the O(100M)-scale LMs.

Existing DP finetuning-based approaches for synthetic data generation often rely on the strong capability and generalability of billion-scale LLMs, especially when the downstream tasks are the challenging generative tasks as opposed to the simpler classification tasks. For instance, Yu et al. (2024) DP finetune LLaMA-7B to synthesize Chatbot Arena-style short (often one-sentence) human-to-machine instructions. While CTCL also incorporates a DP finetuning step on private data, it significantly reduces the computational cost by using a backbone LM with only 140M parameters, making it much more acceptable for real-world resource-constrained applications.

Synthetic text data that only require LLM API access. Because DP finetuning can be expensive and sometimes impossible (e.g., for non-public models), this line of work explores data synthesis assuming only access to the LLM inference APIs. Simply relying on the high-level knowledge about a private domain to design proper LLM prompts is not enough to generate synthetic data that well represent the actual private domain Wu et al. (2024a). The Private Evolution (PE) framework, initially developed by Lin et al. (2024) for the image domain, and later extended to the text domain in Xie et al. (2024); Hou et al. (2024), proposes to “refine” (i.e., select good examples from) the current synthetic dataset according to the closeness of each example with respect to the private dataset. A similar idea is also explored by Zhao et al. (2024). The key idea behind PE is to measure closeness using DP nearest neighbor histogram: if an example is close to the private distribution, then it would receive a lot nearest neighbor votes from the private examples. PE starts with an initial dataset generated by the state-of-the-art LLMs via API access (using domain knowledge to design LLM prompts). PE

then works by iteratively selecting good examples (measured by the DP nearest neighbor histogram) and using LLMs to generate more similar examples. In our experiments, we show that PE performs worse than DP finetuning especially for generative tasks, even when we are only allowed to finetune a small (million parameters) LMs.

Unlike prompting-based approaches such as PE, CTCL does not depend on prompting advanced LLMs or external APIs when applied on downstream data. Although a prompting step is involved during CTCL’s pretraining phase in §3.1 (note that this step only needs to be done once), our prompt is only designed for summarizing a public document, without requiring the strong creativity capabilities from advanced LLMs. In practice, for this step, we only need a lightweight 2B-parameter LLM, ensuring that the constructed data can be scaled up to pretraining level. Table 1 presents the examples of prompts used in existing prompting-based data synthesis approaches as well as the one used in our pretraining data construction.

The size of the synthetic dataset in PE-based approaches is often constrained due to the sample-wise noise introduced by the differential-private nearest neighbor (DP-NN) process. In this setup, DP-NN serves as the only mechanism for incorporating the information from the private data into the synthetic dataset. Specifically, DP-NN identifies the nearest neighbors of each private data sample within the synthetic dataset. To preserve privacy, DP noise is added to each synthetic sample. In this process, each synthetic sample acts as a bin, with its count indicating how many private data samples identify it as their nearest neighbor. Noise is then applied to these counts to ensure privacy. However, this process requires each bin to contain a sufficiently large number of counts; otherwise, the noise overwhelms the signal, making it difficult to distinguish between zero and small counts. Consequently, the synthetic dataset size must be limited, as an excessive number of bins would make DP-NN ineffective. To overcome this limitation, a variation process is often employed, where LLM APIs are prompted to generate additional samples based on the initially selected subset. However, since the private data does not directly influence this generation process, the final synthetic dataset theoretically contains no more information from the private data than the small subset originally selected.

Nagesh et al. (2024) propose an approach that privately learns the probability distribution of keyphrases via kernel density estimation, followed by sampling sequences of keyphrases to seed LLM prompts. To better capture the correlations between the sampled keyphrases, this method requires estimating the distribution of keyphrases at varying lengths. As a result, it is hard to scale this method to generating very long documents.

Another line of work explores generating private-preserving few-shot examples for in-context learning (ICL), e.g., Tang et al. (2024); Wu et al. (2024b); Duan et al. (2023). Given a reasonable DP guarantee, these methods can only generate a limited amount of synthetic data, e.g., a few for ICL, or at most thousand Amin et al. (2024). By contrast, our method (based on DP finetuning) can generate a much larger dataset of synthetic examples.

Text privatization based on word or sentence perturbations. These approaches, e.g., Feyisetan et al. (2020); Mattern et al. (2022b); Carvalho et al. (2023); Utpala et al. (2023), usually use a different DP notion and perform worse than the approaches discussed above. We defer interested readers to Appendix C.11 in Xie et al. (2024) for more details.

C DATASET SIZES

Dataset	Train	Valid	Test
PubMed	75,316	14,423	4,453
Chatbot Arena	180,000	5,000	3,819
Multi-Session Chat	17,940	3,000	2,505
Yelp	1,939,290	5,000	5,000
OpenReview	8,396	2,798	2,798

Table 7: Sizes of datasets in our experiments.

D FULL RESULTS OF GENERATIVE TASKS

PubMed (Medical Paper Abstract)								
Setting	$\epsilon = \infty$		$\epsilon = 4$		$\epsilon = 2$		$\epsilon = 1$	
	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}
GPT2 _{XL} -1.5B (Upper Bound)	39.6	42.9	37.7	40.5	37.3	40.2	36.8	39.7
GPT2 _{XL} -1.5B-LoRA (Upper Bound)	39.4	42.5	34.7	37.7	34.9	37.9	34.9	37.9
Downstream DPFT (No Syn. Data)	44.3	46.0	30.7	34.1	28.9	32.5	26.7	30.4
Private Evolution (PE) Lin et al. (2024)	29.7	31.8	29.6	31.8	29.7	31.9	29.8	31.9
AUG-PE + Mixtral-8x7B Xie et al. (2024)	24.9	27.6	-	-	-	-	24.5	27.1
AUG-PE + GPT-3.5 Xie et al. (2024)	30.4	32.7	30.3	32.5	30.2	32.5	30.1	32.4
GPT2 _{Small} Yue et al. (2023)	38.1	41.6	35.0	37.4	32.0	34.4	26.8	29.3
GPT2 _{Small} + Resample Yu et al. (2024)	39.0	42.4	35.3	37.5	33.0	35.1	27.6	29.1
BART _{Base} Yue et al. (2023)	40.9	43.9	30.5	32.4	28.9	30.8	26.7	28.5
BART _{Base} + Resample Yu et al. (2024)	41.3	44.2	30.7	32.5	29.0	30.7	26.5	28.0
Ours	41.5	44.6	35.9	38.1	35.4	37.6	34.5	36.7

Chatbot Arena (Human-to-Machine Instructions)								
Setting	$\epsilon = \infty$		$\epsilon = 4$		$\epsilon = 2$		$\epsilon = 1$	
	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}
GPT2 _{XL} -1.5B (Upper Bound)	26.6	29.4	19.6	21.9	19.4	21.8	19.2	21.6
GPT2 _{XL} -1.5B-LoRA (Upper Bound)	28.5	31.1	22.9	25	22.8	24.9	22.8	25.0
Downstream DPFT (No Syn. Data)	28.9	31.9	13.3	12.5	11.9	10.9	10.3	9.2
GPT2 _{Small} Yue et al. (2023)	26.1	28.8	18.8	20.7	17.7	19.5	16.0	17.6
GPT2 _{Small} + Resample Yu et al. (2024)	26.8	29.3	18.7	20.0	17.6	18.6	15.9	17.1
BART _{Base} Yue et al. (2023)	21.8	24.1	15.9	16.8	14.9	16.1	13.5	14.5
BART _{Base} + Resample Yu et al. (2024)	23.4	25.6	16.3	17.4	15.3	16.7	14.3	15.1
Ours	22.5	24.9	19.6	21.5	19.4	21.2	19.2	20.7

Multi-Session Chat (Long-Term Human-Human Conversations)								
Setting	$\epsilon = \infty$		$\epsilon = 4$		$\epsilon = 2$		$\epsilon = 1$	
	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}	BERT _{Mini}	BERT _{Small}
GPT2 _{XL} -1.5B (Upper Bound)	33.2	35.5	27.5	30.2	25.3	28.7	23.9	27.0
GPT2 _{XL} -1.5B-LoRA (Upper Bound)	38.3	40.8	28.4	30.7	28.4	31.1	28.8	31.1
Downstream DPFT (No Syn. Data)	38.8	40.1	21.6	17.7	18.9	11.8	15.1	6.7
GPT2 _{Small} Yue et al. (2023)	34.6	37.2	19.1	19.9	20.2	21.4	15.1	17.3
GPT2 _{Small} + Resample Yu et al. (2024)	34.6	37.3	18.4	17.3	19.9	18.7	14.5	13.4
BART _{Base} Yue et al. (2023)	34.2	36.9	27.8	29.1	23.8	25.0	10.8	11.2
BART _{Base} + Resample Yu et al. (2024)	34.8	37.4	28.1	29.1	24.2	25.1	9.1	9.8
Ours	34.3	36.4	30.3	32.6	29.1	29.7	27.6	29.3

Table 8: Performance of generative tasks evaluated by next-word prediction accuracy of downstream models (BERT_{Mini} and BERT_{Small}). A smaller privacy budget (ϵ) corresponds to a stricter privacy constraint.

E NOISE MULTIPLIERS

The table below gives the noise multipliers used when DP finetuning LMs in our experiments. Following Yue et al. (2023), we set $\delta = \frac{1}{N \log N}$, where N is the size of private training set. Given a desired (ϵ, δ) -DP guarantee, the noise multipliers are computed using the standard *dp_accounting* package (DP Team, 2022). As pointed out in Appendix A, we use DP-Adam for DP finetuning and follow the standard Gaussian mechanism to obtain (ϵ, δ) -DP guarantee. Compared to the “Vanilla DP Finetune” approach, the noise multiplier used by our method is slightly larger, because we need to allocate some privacy budget to the DP topic histogram (see §3.3 and §4.1.3, where we follow Yu et al. (2024) to apply a Gaussian noise multiplier of 10 to the DP topic histogram). Besides, GPT2_{XL}-1.5B has much smaller noise multipliers because we reduce the training batch size from 4096 to 256 to save computational resources. For other non-DP training hyperparameters, see §4.1.3.

	$\epsilon = \infty$	$\epsilon = 4$	$\epsilon = 2$	$\epsilon = 1$
PubMed				
Vanilla DP Finetune (BART _{Base} and GPT2 _{Small})	0	3.01	5.49	10.3
GPT2 _{XL} -1.5B (reduced batch size)	0	0.63	0.77	0.97
Ours	0	3.03	5.63	11.33
Chatbot Arena				
Vanilla DP Finetune (BART _{Base} and GPT2 _{Small})	0	1.47	2.5	4.58
GPT2 _{XL} -1.5B (reduced batch size)	0	0.56	0.67	0.78
Ours	0	1.48	2.57	5.08
Multi-Session Chat				
Vanilla DP Finetune (BART _{Base} and GPT2 _{Small})	0	11.38	21.01	39.41
GPT2 _{XL} -1.5B (reduced batch size)	0	1	1.52	2.61
Ours	0	11.45	21.47	42.7
Yelp				
Vanilla DP Finetune (BART _{Base} and GPT2 _{Small})	0	0.63	0.77	0.91
GPT2 _{XL} -1.5B (reduced batch size)	0	0.51	0.6	0.69
Ours	0	0.63	0.77	0.94
OpenReview				
Vanilla DP Finetune (BART _{Base} and GPT2 _{Small})	0	23.3	42.87	80.05
GPT2 _{XL} -1.5B (reduced batch size)	0	1.64	2.81	5.11
Ours	0	23.44	43.72	86.05

Table 9: The noise multipliers used when DP finetuning LMs in our experiments.