

# Multi-Task End-to-End Training Improves Conversational Recommendation

Anonymous ACL submission

## Abstract

In this paper, we analyze the performance of a multitask end-to-end transformer model on the task of conversational recommendations, which aim to provide recommendations based on a user’s explicit preferences expressed in dialogue. While previous works in this area adopt complex multi-component approaches where the dialogue generation and entity recommendation tasks are handled by separate components, we show that a unified transformer model, based on the T5 text-to-text transformer model, can perform competitively in both recommending relevant items and generating conversation dialogue. We fine-tune our model on the ReDIAL conversational movie recommendation dataset, and create additional training tasks derived from MovieLens (such as the prediction of movie attributes and related movies based on an input movie), in a multitask learning setting. Using a series of probe studies, we demonstrate that the learned knowledge in the additional tasks is transferred to the conversational setting, where each task leads to a 9% – 52% increase in its related probe score.

## 1 Introduction

The modern recommendation systems found in commercial applications are largely based on implicit preferences, such as a user’s history of web page clicks, item purchases, or media streams, with the record of these actions used to retrieve relevant recommendations (Rendle et al., 2012). This approach often works, but in the case where a user might not have an extensive history, or might desire a recommendation which doesn’t match their usual niche, we might want a system which can take advantage of explicit preferences. With the growing success of deep learning language models which are now state-of-the-art methods for natural dialogue generation, it has become possible to design conversational recommendation models which can

communicate with a user directly while at the same time be able to retrieve custom recommendations based on the user’s explicit wants and desires.

Most previous work on conversational recommender systems adopts a multi-component approach. These models often are implemented using a recommendation component, which analyzes the mentioned entities in order to predict a related item, and a dialogue component, which analyzes the input phrases and generates a conversational response (Jannach et al., 2020). Multi-component approaches are appealing because they can be built directly from standard models in the dialogue and recommendation fields. However, the knowledge learned by each component is not immediately available to the other components (i.e., the item recommendation model does not benefit directly from conversation state, and vice versa), preventing these approaches from taking advantage of the data to its fullest extent. Ideally, a conversational recommendation model should be able to both use descriptive language in the dialogue to retrieve relevant items and generate engaging dialogue about the items simultaneously. To address this problem, in this paper we investigate whether an end-to-end approach to conversational recommendations using a single component model can improve dialogue and recommendation generation by allowing the model to fully utilize the conversation features for both tasks.

We present a fully end-to-end approach to the problem of conversational recommendations, leveraging a single large transformer model to generate both relevant recommendations and natural dialogue. To determine whether single end-to-end model match or outperform multi-component approaches, we train our model on several standard datasets in the domain of movie recommendations and compare our results to previous work. To measure the benefit of generating dialogue and recommendations in the same model, we follow a com-

mon procedure in related work (Penha and Hauff, 2020) and design a series of *probes* to assess how the model leverages different types of information to generate dialogue and recommendations. One potential problem of a single component system is the reliance on a large dataset of sample dialogues containing both recommendation and language information. To bypass the need for a single large dialogue dataset, we finetune the pretrained T5 model on a relatively small dataset of dialogues, and incorporate movie relationship, attribute, and description information from additional datasets using a multitask training setup.

The main contributions of this paper are:

- Presenting a fully end-to-end approach to conversational recommendation that uses a unified model for both dialogue and item recommendation.
- Conducting a series of probe studies that shows how conversational recommendation tasks benefits from knowledge learned by the model via multi-task training on a number of separate, small datasets.

The remainder of this paper is structured as follows. First, we briefly present some related work on conversational recommender systems, transformer models and probes studies. After that, we describe our T5-based approach, and the datasets, tasks and training procedure used to train our model. We then describe our experimental methodology, and a series of probe studies showing how dialogue and recommendation mutually improved by sharing a common model.

## 1.1 Related Work

The section presents a brief background on conversational recommendations, multitask transformer models, and the evaluation of conversational recommendation models through probe studies.

While, to the best of our knowledge, there are currently no single-component end-to-end conversational recommendation systems, there have been a few systems which have taken steps toward a more unified model architecture. Dialogue generation has historically been approached in many ways, with recent efforts focusing on RNNs models (like LSTMs (Hochreiter and Schmidhuber, 1997)), and transformers (Vaswani et al., 2017). Recommendation systems typically perform collaborative filtering on a set of user-item associations using a range

of models such as matrix factorization systems or autoencoders (Ricci et al., 2011). Li et al. (2018) proposed an approach to combining these two areas into a functional conversational recommendation model, using an autoencoder recommender in conjunction with a GRU based hierarchical encoder-decoder system to generate the dialogue. There is some interplay between components, with mentioned movies and sentiments being fed into the autoencoder in order to retrieve a relevant recommendation based on a user’s liked and disliked movies, but the generation of dialogues and recommendations are still largely separate. This paper also introduced the ReDial dataset, a dataset of movie recommendation dialogues collected through Amazon Mechanical Turk. Chen et al. (2019) took this approach one step further, creating a conversational recommendation system which would use mentioned entities in the dialogue to conduct a knowledge graph search of related items. This system, referred to as the Knowledge-Based Recommendation Dialogue or KBRD system, uses information of non-movie entities (genres, adjectives, actors, etc) from DBpedia (Auer et al., 2007) in order to allow recommendations to be informed by a larger range of dialogue details. In order to support the reverse direction, where the recommendations influence the dialogue generated, the authors add a vocabulary bias based on the user representation in recommendation-context to the top layer of the decoder, enabling their transformer-based language model to generate dialogue with some amount of recommendation context. Although this model demonstrates the potential for transfer between dialogue and recommendation tasks, it requires a complex structure where incomplete representations of both the dialogue and recommendation features are passed to separate components and then joined with a switching network. In this paper we attempt to fully leverage this same cross-task transfer without the need for a complicated linkage between separate components.

In recent years, many studies have demonstrated the effectiveness of large, pre-trained transformer-based language models on a range natural language generation tasks. The architecture, which makes use of self attention blocks in order to model language, was proposed by Vaswani et al. (2017) and achieved state-of-the-art performance on a variety of benchmarks. When pretrained on a large corpus of text, transformer models such as BERT (De-

183 vlin et al., 2018), GPT-3 (Brown et al., 2020), and  
 184 UniT (Hu and Singh, 2021) have shown the abil-  
 185 ity to handle multiple language-based tasks with  
 186 minimal finetuning. The T5 model, introduced by  
 187 (Raffel et al., 2019), has demonstrated a distinct  
 188 ability to incorporate different types of knowledge  
 189 from multiple sources and handle several disparate  
 190 tasks in the text-to-text format.

191 In regards to evaluating these large transformer  
 192 models on the task of conversational recommenda-  
 193 tions, one effective approach proposed by Penha  
 194 and Hauff (2020) is to use *probe studies* to measure  
 195 the model’s ability to score the likelihood of cer-  
 196 tain entities when conditioned on a set of generated  
 197 inputs. Penha and Hauff evaluate BERT’s perform-  
 198 ance on conversational recommendation tasks  
 199 by using BERT’s prediction, similarity, and next  
 200 sentence prediction function to score the model’s  
 201 ability to associate a book, movie, or song with a  
 202 related item or attribute.

## 203 2 Our Approach

204 The main idea of our approach is to formulate the  
 205 conversational recommendation task as an instance  
 206 of the text-to-text problem. We finetune a pre-  
 207 trained transformer model on the movie recommen-  
 208 dation dialogues contained in the ReDial dataset,  
 209 and improve the model’s ability to utilize movie at-  
 210 tributes and descriptive details within the dialogues  
 211 through the introduction of additional training tasks  
 212 in a multi-task learning setting. In this section we  
 213 present a background on the T5 transformer model,  
 214 a summary of each of the training datasets, and an  
 215 overview of the finetuning parameters used in the  
 216 study.

### 217 2.1 T5 Model

218 T5 is a large, publicly available, encoder-decoder  
 219 transformer model created by Raffel et al. (2019).  
 220 The model was trained and structured with the in-  
 221 tent to support as many different use cases as pos-  
 222 sible using a text-to-text format. In the context of  
 223 recommendation systems, T5 and related models  
 224 are attractive because they perform well on natu-  
 225 ral language generation tasks and demonstrate the  
 226 ability to train on multiple disparate types of text  
 227 data within one model.

### 228 2.2 ReDial Dialogue Task

229 The ReDial (Recommendation Dialogues) dataset  
 230 is an annotated set of 11248 dialogues collected

#### REDIAL CONVERSATION:

```

Sender: I'm in the mood to watch
a romantic comedy. What do you
suggest?

Responder: @ 50 First Dates (2004)
@ Have you seen that one?

Sender: Oh, I've seen that one.
I really like Drew Barrymore.

Responder: Yes she is good. Do
you like @ The Wedding Singer (1998)
@
...
  
```

Figure 1: An example of the beginning of a ReDial conversation, randomly selected from the ReDial dataset.

231 through Amazon Mechanical Turk (Li et al., 2018).  
 232 Each dialogue contains the movies and messages  
 233 sent between two parties acting as either a "recom-  
 234 mender" or a "recommendation seeker". Although  
 235 this dataset is relatively small, and doesn’t necessar-  
 236 ily capture as much movie relationship and attribute  
 237 data as other recommendation-focused datasets, we  
 238 have found that it provides enough examples for the  
 239 T5 to learn the style and structure of conversational  
 240 recommendations.

241 For each conversation in the dataset we create a  
 242 training example corresponding to each response  
 243 from the human recommender. The model inputs  
 244 contain the conversation up to a certain recom-  
 245 mender utterance, with the outputs containing the  
 246 next utterance from the recommender party. Using  
 247 this format the T5 model can learn to parse relevant  
 248 movie, attribute, and dialogue details from the pre-  
 249 vious messages in the conversation and formulate  
 250 an appropriate response. We use the T5’s standard  
 251 vocabulary, so movie titles are processed by the  
 252 word, the same as any other piece of the input. To  
 253 help the model learn these titles, @ signs are used to  
 254 separate movie titles from the rest of the dialogues.

255 Each message in the ReDial conversation is pre-  
 256 ceded by either a [USER] or a [ASSISTANT]  
 257 tag to indicate its source. The redial conversation  
 258 from Figure 1 has been processed into multiple  
 259 training examples corresponding to each response  
 260 by the recommender. Table 1 shows a sample train-  
 261 ing example from this process.

### 262 2.3 MovieLens Sequences Task

263 The MovieLens 25m dataset is a collection of 25  
 264 million ratings and one million tag associations

	ReDial Dialogues	MovieLens Sequences	MovieLens Tags	MovieLens Reviews
<b>Training Inputs</b>	[User] I'm in the mood to watch a romantic comedy. What do you suggest? [Assistant] @ 50 First Dates (2004) @ Have you seen that one? [User] Oh, I've seen that one. I really like Drew Barrymore.	@ The Incredibles (2004) @ Harry Potter and the Chamber of Secrets (2002) The Hunger Games Mockingjay - Part 1 (2014) @	drama, based on a book, adapted from:book	Review for @ Alice in Wonderland (1951) @:
<b>Training Targets</b>	Yes she is good. Do you like @ The Wedding Singer (1998) @	Underworld: Awakening (2012)	The Book Thief (2013)	Perhaps because its surrealism matched the hippy culture of psychedelia, Alice in Wonderland (1951) <sup>1</sup> enjoyed a welcome theatrical return engagement in the '60s . . .
<b>Knowledge</b>	Dialogue	Recommendation	Attributes	Description

Table 1: Comparison of the primary training task (ReDial Dialogues) and the three auxiliary training tasks designed to increase recommendation, attribute, and description knowledge.

often used to quantify movie relationships and attributes (Harper and Konstan, 2015). We utilize this data for multiple tasks, as it can be used to quantify different types of movie information. The first additional training task is to recommend a movie given a sequence of 1-9 related movies. This task is referred to as the ML Sequences task.

In order to use the user ratings contained in the MovieLens 25m dataset to generate movie associations, we create sequences of movies wherever there are 10 movies rated higher than 4.0 / 5.0 by the same user. From these sequences we create examples for each  $n$  where  $1 < n < 10$  by mapping the first  $n$  movies as the inputs and the movie in position  $(n + 1)$  as the target. An example of this format is shown in Table 1.

## 2.4 MovieLens Tags Task

The MovieLens 25m dataset contains a tag genome which scores each movie's relevance across a set of 1,129 tags (Vig et al., 2012). These tags are movie attributes or descriptive words which often correspond to genres ("horror", "action", "mystery"), plot elements ("alien invasion", "character study", "father daughter relationship"), opinion ("excellent script", "boring", "over the top"), or general infor-

mation ("oscar (best actor)", "based on a book", "stanley kubrick"). For each movie, we add each tag with a relevance score over 0.8 to the movies tag list. From these tag lists we randomly sample examples containing 1-5 tags as the input and the related movie as a the target. Table 1 displays an example of a tag-to-movie mapping.

## 2.5 MovieLens Reviews Task

The final training task, referred to as the MovieLens Review task, uses a joint dataset created by Penha and Hauff (2020) to incorporate additional movie description and opinion data. The training examples for this task are generated from the reviews portion of Penha and Hauff (2020)'s search dataset, which contains the IMDB user reviews associated with each movie in the MovieLens database. These reviews contain movie attribute data written in the kind of casual, natural dialogue style found in the ReDial dataset, so they aid the model's natural text generation and descriptive capabilities. As shown in Table 1, these reviews are processed into examples where the model is asked to predict the next sentence of a review given a movie title and the

truncated review <sup>1</sup>.

## 2.6 Multitask Training

The T5 module supports multitask training, where examples from training dataset are loaded through their own preprocessing steps (in our case only lowercasing). We opt to finetune the base size (220 million parameters) with a learning rate of 0.003 for 40,000 steps and batch size 128. Texts longer than the maximum sequence length, i.e., 512 for inputs and 128 for targets are truncated. We train variants with different combinations of the four training tasks in order to isolate their effects. Examples from each task were loaded equally often. As suggested by Raffel et al. (2019), we prepend the inputs to each task with a task label: "redial conversation:", "movielens sequence:", "movielens tags:", or "movielens review:". From this point, the name T5 will be used to refer to the out-of-the-box pretrained T5 model and the name T5-CR will be used to refer to our custom T5 model with all four finetuning tasks.

## 3 Baseline Evaluations

In order to determine whether our end-to-end approach can perform competitively on dialogue and recommendation, we compare our performance using BLEU score and Recall. These metrics are both run on the evaluation set provided with the ReDial dataset. The BLEU score acts as a measure of dialogue quality, by measuring the similarity of the model and human responses. The Recall metric is calculated by comparing the movies mentioned by the model in the evaluation dialogues to the set of movies mentioned by the human recommender. All the evaluation data is loaded and run through the ReDial Dialogue task in order to measure the model's performance in a dialogue setting. These two metrics were selected as they are standards which have been run on the KBRD (Chen et al., 2019) and ReDial models (Li et al., 2018), both of which were trained and evaluated on the same set of ReDial conversations.

### 3.1 BLEU

BLEU score is a standard metric used in machine translation and text generation tasks which quantifies how similar a generated phrase is to the ex-

<sup>1</sup>Because movie titles and title fragments in the MovieLens Reviews dataset are not delimited, the MovieLens Reviews training task does not use '@' signs to separate movie titles.

pected target phrase (Papineni et al., 2002). Following examples in Li et al. (2018), we postprocess our ReDial predictions to replace movie titles with a "\_\_unk\_\_" token before calculating the metric. This ensures that our BLEU score only captures information on the closeness of the dialogue to our target, and isn't influenced by correct/incorrect movie titles and recommendations. Our T5-CR model, trained on all four training tasks was able to significantly outperform both previous approaches, achieving a BLEU score of 15.39, which represents a 84% improvement over ReDial and a 40% improvement over KBRD. The increase in BLEU score is likely a result of the introduction of movie description and attribute data through the multitask training setup as well as general increased fluency of large pre-trained language models such as the T5.

### 3.2 Recall

In order to evaluate the quality of the recommendations we use the approach specified in Li et al. (2018), where Recall is calculated as the percent of movies generated by the model in-dialogue which correspond to one of the known recommendations given by the human recommender in the corresponding redial dialogue. The multitask T5-CR model performed well in this area as well, achieving a Recall score of 6.93, which represents a 201% improvement over ReDial and a 131% improvement over KBRD. The increase in Recall is likely due to the end-to-end structure of the model allowing it to use dialogue features to retrieve better recommendations, as well as the movie relationship and attribute training tasks allowing for more accurate analysis of user preferences.

## 4 Probe Studies

Although the BLEU and Recall scores on the ReDial Evaluation Dataset prove that an end-to-end model can outperform multi-component approaches, the scores do not give us insight on the extent to which our multitask training setup benefited the model's ability to generate dialogue and recommendations. Also, the ReDial evaluation slice covers a small selection of movies and dialogue interactions. In order to determine the contribution of each of the training tasks, as well as any measurable advantages of cross-task transfer within the same T5 architecture, we present four probe studies in the style of Penha and Hauff (2020). Each probe

Model Name	Model Type	BLEU	Recall
ReDial	Autoencoder ( <i>recommender</i> ) + LSTM ( <i>dialogue</i> )	8.38	2.30
KBRD	Knowledge Graph ( <i>recommender</i> ) + Transformer ( <i>dialogue</i> )	11.00	3.00
T5-CR	Finetuned T5 (4 Tasks)	15.39	6.93

Table 2: BLEU and Recall@1 metric comparisons between T5-CR, our T5 variant finetuned on 4 tasks, and the previous approaches to conversational recommendations. All evaluation scores are calculated based on the model’s performance on the ReDial validation dialogues.

tests a specific dialogue interaction by measuring the T5-CR’s ability to distinguish between relevant and unrelated information conditioned on different types of sample dialogues. In order to filter out misspellings, alternate titles, and rare movies which the model has little information on, the probes are generated using the set of movies which occur over 30 times in the ML Sequences dataset. With probe examples generated from this set of around 5,000 movies, we are able to run evaluations on a much larger range of data than the limited ReDial evaluation set. These probes are designed to measure the model’s ability to apply the information gained through its multitask training in a dialogue setting, therefore all probe data is evaluated through the ReDial Dialogue tasks.

#### 4.1 Recommendation Probe

The recommendation probe measures the model’s ability to distinguish a related movie from a popular movie chosen at random. In order to quantify related movies based on cooccurrence in the ML Sequences dataset, we rank movies based on  $PMI^2$  (Role and Nadif, 2011), a variation on pointwise mutual information ( $PMI$ ).  $PMI^2$  is a commonly used variation on  $PMI$  which reduces  $PMI$ ’s known bias toward rare and infrequent items (Role and Nadif, 2011). For each of the top ten related movies we sample a random popular movie from the top 10% of movies (ranked by frequency in the ML Sequences dataset). For each of the ten ( $related_i$ ,  $popular_i$ ) pairs generated for each movie, we create a probe by swapping in the movies to a generic piece of dialogue, as seen in Table 4. The probe score is calculated as the percent of probes where the model’s log-likelihood score,  $L(\theta)$ , of the target containing the related movie was higher than that of the random popular movie. Note that different phrasings and dialogue formats were tested with little effect on the probe results.

As shown in Figure 3, the introduction of the ML Sequences task improved the model’s ability to

differentiate between related and random movies, reflected by a 30% increase in the recommendation probe scores between the ReDial-only model and the ReDial + ML Sequences model. This increase demonstrates that the patterns in the movie sequences fed in the ML Sequences tasks can be generalized and applied within the dialogue tasks. Interestingly, the ReDial + ML Tags model also outperformed the ReDial-only model, with an increase of 23% in recommendation probe scores over the ReDial-only model.

This increase demonstrates an advantage of the end-to-end format: data incorporated to help the understanding of descriptive words in the dialogues also boosted performance on movie-to-movie recommendation, despite the additional data not directly specifying any movie relationships. Because recommendation and dialogue are handled in the same model, it can leverage patterns in seemingly unrelated data. Here, the model is likely using the overlap of tags associated with different movies to help determine whether they are related. In the combined model, where all four training tasks were included, the model performed the best (+37% over ReDial-only), a score which demonstrates the viability of multitask transformers to incorporate many different data sources and tasks without losing performance.

Overall the performance of the recommendation probe represents a transfer of movie-relationship knowledge between training tasks, but this transfer is not perfect. While the probes (fed into the ReDial Dialogues task) achieved a score of .6599 in the Combined model, the same pairs fed into the ML Sequences task without any dialogue achieved a score of .7711. This increase indicates either an incomplete transfer of knowledge from the MovieLens Sequences task to the ReDial Dialogues task, or a bias from the the movie recommendation data already present in the ReDial Conversations. Similarly, the T5’s performance on the movie recommendation probes is lower than that of a purely Ma-

T5 Finetuning Tasks	Rec Probe	Attr Probe	Combo Probe	Desc Probe
None (T5)	0.5493	0.4908	0.5597	0.5936
ReDial	0.4716	0.5046	0.5731	0.7097
ReDial + ML Sequences	0.6359	0.5869	0.7367	0.7307
ReDial + ML Tags	0.5670	<b>0.7826</b>	0.8016	0.7133
ReDial + ML Reviews	0.4771	0.5091	0.5833	0.7763
All (T5-CR)	<b>0.6599</b>	0.7678	<b>0.8418</b>	<b>0.7928</b>

Table 3: Comparison of probe scores across T5 models with different finetuning tasks.

	Recommendation Probe	Attribute Probe	Combination Probe	Description Probe
<b>Input 1</b> (Related)	[User] Can you recommend me a movie like @ <b>Zootopia (2016)</b> @	[User] Can you recommend me a vampire movie?	[User] Can you recommend me a science fiction movie like @ <b>Looper (2012)</b> @?	[User] What is your opinion on @ <b>Ringling Bell (1978)</b> @?
<b>Input 2</b> (Rand. Popular)				[User] What is your opinion on @ <b>Robin Hood: Men in Tights (1993)</b> @?
<b>Target 1</b> (Related)	Sure, have you seen @ <b>Inside Out (2015)</b> @?	Sure, have you seen @ <b>Interview with the Vampire: the Vampire Chronicles (1994)</b> @?	Sure, have you seen @ <b>Edge of Tomorrow (2014)</b> @?	Watching this several times as a child was quite the experience 15 years ago, and now that I've found it...
<b>Target 2</b> (Rand. Popular)	Sure, have you seen @ <b>I Am Sam (2001)</b> @?	Sure, have you seen @ <b>Sicko (2007)</b> @?	Sure, have you seen @ <b>Zoolander (2001)</b> @?	
<b>MovieLens Data Source</b>	Sequences	Tags	Sequences + Tags	Reviews
<b>Metric</b>	$L(T_1   I_1) > L(T_2   I_1)$	$L(T_1   I_1) > L(T_2   I_1)$	$L(T_1   I_1) > L(T_2   I_1)$	$L(T_1   I_1) > L(T_1   I_2)$

Table 4: Comparison of the four probe sets, which determine whether the model can correctly rank related entities as more likely than random negatives.

trix Factorization model, which achieved a score of .8096 on the movie pairs.

## 4.2 Attributes Probe

The attributes probe measures the model’s ability to use details and descriptive words appearing in dialogue to retrieve relevant movies. As shown in Table 4, a probe is generated for each movie-tag association in the MovieLens Tags dataset, with a ran-

dom popular movie used as the negative. Because many of the most popular tags (such as "action" or "excellent") might apply to a large portion of the popular movies, we filter the negative to ensure it isn’t associated with the given tag.

The attribute probe scores also demonstrated the effectiveness of multitask learning, with the introduction of the ML Tags task leading to a 52% increase in performance over the ReDial-only model.

This probe directly shows one of the advantages of end-to-end learning. Because dialogue analysis and recommendation generation occurs in the same model, the descriptive attributes mentioned in the input dialogue (or movie "tags") can help the model retrieve a movie relevant to that attribute, even when no movie titles are mentioned in the input. While the Combined model didn't out-perform the RD Tags model, it did perform consistently, with an accuracy of .7689 over the probe set.

### 4.3 Combination Probe

The combination probe measures the multitask capabilities of the model, determining whether attribute and movie entity data can be used simultaneously to generate a relevant response. As shown in Table 4, a probe is generated for each shared tag among each of a movies top 10 most related movies. As in the attribute probe, we filter out the popular negative to ensure it does not match the given tag.

The combination probe extends the findings of the previous two probes: not only can the model use mentioned movies or movie attributes to influence its recommendations, it can do both at the same time. Whereas a multi-component approach to the problem would base its recommendation solely on the previously mentioned movies or the attributes mentioned in-dialogue, an end-to-end approach uses these pieces of information together. The Combined model was able to differentiate 84.18% of the probe pairs when given a movie and a tag in the input dialogue, an improvement over its performance on either the recommendation or attribute probes. This improvement demonstrates that when using both types of information together, the model can more accurately recommend a related movie.

### 4.4 Movie Description Probe

The previous three probes test whether the model can retrieve a relevant movie title when conditioned on a dialogue. The movie description probe tests the reverse direction: can the model retrieve a piece of relevant or descriptive dialogue when conditioned on a certain movie title. To do this, we measure the likelihood of a given review snippet taken from the first four sentences of a review in the ML Reviews dataset. In previous probes, we have ranked two different targets based on likelihood, but because review snippets differ greatly in length, phrasing, style of language, and other factors which can influence likelihood, we opt to

keep the target the same and compare the likelihood of a given review snippet when conditioned on a related/unrelated movie. As shown in Table 4, for a related input  $I_1$ , a random popular input  $I_2$ , and a review snippet  $T$  we compare the log likelihood scores and measure how often  $L(T | I_1) > L(T | I_2)$ .

The description probe demonstrates that in an end-to-end model, mentioning a movie can prompt the model to retrieve relevant dialogue. This functionality wouldn't be in traditional multi-component approaches where mentioned movies are processed separately from dialogue. The ML Reviews training task led to a 9.38% increase over the ReDial-only model, while the combined model was able to achieve a score of 0.7929, an 11.72% increase over the ReDial-only model.

## 5 Conclusion

In this paper, we presented a multitask approach to end-to-end conversational recommendations. In direct comparison to two previously published models in the domain, our T5-based architecture outperformed the baselines in both its quality of dialogue and recommendation. When probed on recommendation, attribute knowledge, and description, our model demonstrates that dialogues and recommendations can be mutually improved by sharing a model architecture. Specifically, the probes prove that the model is able to use dialogue features to inform its recommendations and movie mentions to influence its dialogue generation. These findings support a general trend in current natural language processing landscape, where large pretrained transformer models are rapidly becoming the state-of-the-art in many domains. In fact, our research has implication on the broader area of multitask models, highlighting how a limited dataset (such as ReDial) can be injected with information from several auxiliary datasets, regardless of format. In the future, this effect might shift the focus from training and combining optimized components for each functionality of a system, to simply incorporating all desired information as different tasks in a pretrained multitask model.

## References

Sören Auer, Christian Bizer, Georgi Kobilarov, Jens Lehmann, Richard Cyganiak, and Zachary Ives. 2007. Dbpedia: A nucleus for a web of open data. In *The semantic web*, pages 722–735. Springer.



606	Tom B. Brown, Benjamin Mann, Nick Ryder, Melanie	Steffen Rendle, Christoph Freudenthaler, Zeno Gant-	660
607	Subbiah, Jared Kaplan, Prafulla Dhariwal, Arvind	ner, and Lars Schmidt-Thieme. 2012. Bpr: Bayesian	661
608	Neelakantan, Pranav Shyam, Girish Sastry, Amanda	personalized ranking from implicit feedback. <i>arXiv</i>	662
609	Askill, Sandhini Agarwal, Ariel Herbert-Voss,	<i>preprint arXiv:1205.2618</i> .	663
610	Gretchen Krueger, Tom Henighan, Rewon Child,		
611	Aditya Ramesh, Daniel M. Ziegler, Jeffrey Wu,	Francesco Ricci, Lior Rokach, Bracha Shapira, and	664
612	Clemens Winter, Christopher Hesse, Mark Chen,	Paul B. Kantor. 2011. <i>Recommender Systems Hand-</i>	665
613	Eric Sigler, Mateusz Litwin, Scott Gray, Benjamin	<i>book</i> . Springer.	666
614	Chess, Jack Clark, Christopher Berner, Sam Mc-		
615	Candlish, Alec Radford, Ilya Sutskever, and Dario	François Role and Mohamed Nadif. 2011. Handling	667
616	Amodei. 2020. <a href="#">Language models are few-shot learners</a> .	the impact of low frequency events on co-occurrence	668
617	<i>CoRR</i> , abs/2005.14165.	based measures of word similarity - a case study of	669
		pointwise mutual information.	670
618	Qibin Chen, Junyang Lin, Yichang Zhang, Ming Ding,		
619	Yukuo Cen, Hongxia Yang, and Jie Tang. 2019. <a href="#">To-</a>	Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob	671
620	<a href="#">wards knowledge-based recommender dialog sys-</a>	Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz	672
621	<a href="#">tem</a> . <i>CoRR</i> , abs/1908.05391.	Kaiser, and Illia Polosukhin. 2017. Attention is all	673
		you need. In <i>Advances in neural information pro-</i>	674
622	Jacob Devlin, Ming-Wei Chang, Kenton Lee, and	<i>cessing systems</i> , pages 5998–6008.	675
623	Kristina Toutanova. 2018. <a href="#">BERT: pre-training of</a>		
624	<a href="#">deep bidirectional transformers for language under-</a>	Jesse Vig, Shilad Sen, and John Riedl. 2012. <a href="#">The tag</a>	676
625	<a href="#">standing</a> . <i>CoRR</i> , abs/1810.04805.	<a href="#">genome: Encoding community knowledge to sup-</a>	677
		<a href="#">port novel interaction</a> . <i>ACM Trans. Interact. Intell.</i>	678
626	F. Maxwell Harper and Joseph A. Konstan. 2015. <a href="#">The</a>	<i>Syst.</i> , 2(3).	679
627	<a href="#">movielens datasets: History and context</a> . <i>ACM</i>		
628	<i>Trans. Interact. Intell. Syst.</i> , 5(4).		
629	Sepp Hochreiter and Jürgen Schmidhuber. 1997.		
630	Long short-term memory. <i>Neural computation</i> ,		
631	9(8):1735–1780.		
632	Ronghang Hu and Amanpreet Singh. 2021. <a href="#">Trans-</a>		
633	<a href="#">former is all you need: Multimodal multitask</a>		
634	<a href="#">learning with a unified transformer</a> . <i>CoRR</i> ,		
635	abs/2102.10772.		
636	Dietmar Jannach, Ahtsham Manzoor, Wanling Cai, and		
637	Li Chen. 2020. <a href="#">A survey on conversational recom-</a>		
638	<a href="#">mender systems</a> . <i>CoRR</i> , abs/2004.00646.		
639	Raymond Li, Samira Kahou, Hannes Schulz, Vincent		
640	Michalski, Laurent Charlin, and Chris Pal. 2018. <a href="#">To-</a>		
641	<a href="#">wards deep conversational recommendations</a> . <i>arXiv</i>		
642	<i>preprint arXiv:1812.07617</i> .		
643	Kishore Papineni, Salim Roukos, Todd Ward, and Wei-		
644	Jing Zhu. 2002. <a href="#">Bleu: A method for automatic eval-</a>		
645	<a href="#">uation of machine translation</a> . In <i>Proceedings of the</i>		
646	<i>40th Annual Meeting on Association for Computa-</i>		
647	<i>tional Linguistics</i> , ACL '02, page 311–318, USA.		
648	Association for Computational Linguistics.		
649	Gustavo Penha and Claudia Hauff. 2020. <a href="#">What does</a>		
650	<a href="#">bert know about books, movies and music? probing</a>		
651	<a href="#">bert for conversational recommendation</a> . In <i>Four-</i>		
652	<i>teenth ACM Conference on Recommender Systems</i> ,		
653	RecSys '20, page 388–397, New York, NY, USA.		
654	Association for Computing Machinery.		
655	Colin Raffel, Noam Shazeer, Adam Roberts, Katherine		
656	Lee, Sharan Narang, Michael Matena, Yanqi Zhou,		
657	Wei Li, and Peter J Liu. 2019. <a href="#">Exploring the limits</a>		
658	<a href="#">of transfer learning with a unified text-to-text trans-</a>		
659	<a href="#">former</a> . <i>arXiv preprint arXiv:1910.10683</i> .		