DETECTING AND PERTURBING PRIVACY-SENSITIVE NEURONS TO DEFEND EMBEDDING INVERSION AT-TACKS

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ABSTRACT

This paper introduces Defense through Perturbing Privacy Neurons (DPPN), a novel approach to protect text embeddings against inversion attacks. Unlike existing methods that add noise to all embedding dimensions for general protection, DPPN identifies and perturbs only a small portion of privacy-sensitive neurons. We present a differentiable neuron mask learning framework to detect these neurons and a neuron-suppressing perturbation function for targeted noise injection. Experiments across six datasets show DPPN achieves superior privacy-utility tradeoffs. Compared to baseline methods, DPPN reduces more privacy leakage by 5-78% while improving downstream task performance by 14-40%. Tests on real-world sensitive datasets demonstrate DPPN's effectiveness in mitigating sensitive information leakage to 17%, while baseline methods reduce it only to 43%.

1 INTRODUCTION

Text embeddings are general representations of textual data, allowing users to conduct various 028 downstream learning without having to access or reveal the raw text data. Advancements in pre-029 trained models like Sentence-T5 Ni et al. (2022a) and Sentence-BERT Reimers & Gurevych (2019) allow users to leverage these models for generating high-quality embeddings. These embeddings 031 power a wide range of NLP applications. Retrieval-augmented generation (RAG) systems Lewis et al. (2020) are a prime example that has fueled the adoption of online embedding database services like 033 Chroma¹ and Faiss Johnson et al. (2019). In these databases, only the text embeddings are shared with 034 third-party services, not the actual text. Since only encoded data (i.e., embeddings) is shared, there 035 is a common misconception that privacy is well-preserved through this mechanism. Nevertheless, research has shown that attackers can infer sensitive information by conducting embedding inversion attacks with a reasonable success rate Li et al. (2023); Pan et al. (2020); Song & Raghunathan (2020). 037 Recent work Vec2text Morris et al. (2023) further reveals that an adversary can recover 92% of a 32-token text input given embeddings from a T5-based pre-trained transformer. Such vulnerabilities are particularly concerning in scenarios where sensitive information like medical records or financial 040 data is embedded. 041

To defend against embedding inversion attacks, perturbing text embeddings by injecting random 042 noises is a widely used approach. For instance, previous works Pan et al. (2020); Morris et al. (2023) 043 often add Laplace noises in text embeddings to counteract embedding inversion attacks. Existing 044 noisy embedding methods often add random noise to all embedding dimensions for general protection. 045 The drawbacks of this approach are twofold. First, although adding noise to all dimensions can 046 protect sensitive information, it can also alter non-sensitive information embedded within the text, 047 thereby degrading the performance of downstream tasks. Second, adding random noise uniformly 048 across all dimensions might not be ideal, as some parts of the embeddings might require larger perturbations while others do not. Therefore, this work aims to address a key research question: 050

Research Question: Is it possible to manipulate as few embedding dimensions as possible to protect sensitive information while minimizing perturbation to the non-sensitive parts?

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¹https://docs.trychroma.com/



Figure 1: Illustration of the privacy-utility tradeoff on text embeddings. (a): The sensitive information 065 could be easily identified with non-protected text embedding. (b): Perturbing text embedding on all 066 dimensions prevents privacy leakage but damages the textual semantics. (c): Our DPPN perturbs text 067 embedding selectively on privacy neurons, which protects privacy while maintaining non-sensitive 068 textual semantics. 069

In essence, we aim to identify a set of so-called privacy neurons (i.e., embedding dimensions) within 071 the representations that correlate with the private information to be protected. Take Figure 1 as 072 an example: (a) demonstrates an example of privacy leakage caused by an embedding inversion attack. (b) represents previous models that add random noise to all dimensions. If the noise is large 073 enough, it is possible to protect the sensitive information (i.e., depression in this example) at the cost 074 of seriously altering the original meaning of the data. (c) illustrates our solution that identifies the 075 privacy neurons associated with the term *depression* and selectively perturbs these dimensions to 076 obfuscate the attack model. The ultimate goal is to not only protect the sensitive information but also 077 ensure the non-sensitive information is still correctly encoded in the embeddings.

To achieve the above goal, this work focuses on addressing two follow-up research questions. First, 079 how to identify a subset of neurons associated with a given sensitive concept; and second, after identifying such neurons, how to manipulate their values to defend the attack. In this work, we present 081 the Defense through Perturbing Privacy Neurons (DPPN) framework for a better privacy-preserving text embedding. Specifically, we first leverage a differentiable neuron mask learning framework 083 to identify the top-k privacy neurons associated with a target token t to be protected. Given the 084 detected neurons, we introduce a neuron-suppressing perturbation function to obfuscate the privacy 085 information through directional noise injection. To fully evaluate the effectiveness of DPPN, we conduct comprehensive experiments and summarize the findings as follows:

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- Better privacy-utility tradeoffs. We evaluated DPPN on six datasets across various perturbation levels. Compared to baseline methods, DPPN reduced relative privacy leakage by 5% to 78% while improving downstream utility by 14% to 40%.
- DPPN achieves comparable performance to white-box defense. Our black-box neuron detection method performs comparably to a white-box method. On the STS12 dataset, DPPN shows only a 3-6% absolute difference in privacy leakage metrics and less than a 5% relative difference in downstream task performance.
- Effectiveness against real-world privacy threats. We test DPPN on two real-world privacysensitive datasets: PII-masking 300K and MIMIC-III clinical notes. The results show that DPPN 096 can significantly mitigate the leakage of sensitive information (e.g., sex, disease name) to 17% while baseline methods reduce it only to 43%. 098
- 099 100
- 2 BACKGROUND
- 101 102
- 2.1 ATTACK SCENARIO 103

104 Text embeddings, which are dense vector representations of textual data, pose significant privacy 105 risks due to their ability to inadvertently encode sensitive information Li et al. (2023); Morris et al. (2023). One primary concern is that these embeddings can reveal personal or confidential details 106 present in the input text. In this work, we focus on a specific embedding inversion attack where 107 the adversary aims to reconstruct the input text from the corresponding text embedding. Formally,

108 given a sequence of text tokens x and the text embedding model $\Phi: x \to \mathbb{R}^d$, where d denotes the 109 embedding dimension, the attacker seeks to find a function f to approximate the inversion function 110 of Φ as: $f(\Phi(x)) \approx \Phi^{-1}(\Phi(x)) = x$. These inversion attacks can be classified into two categories 111 based on their target: (i) token-level inversion Pan et al. (2020); Song & Raghunathan (2020), which 112 focuses on retrieving individual tokens from the original text, and (ii) sentence-level inversion Li et al. (2023); Morris et al. (2023), which attempts to reconstruct the entire ordered sequence of 113 text. Regardless of the attack model employed, our study prioritizes understanding whether private 114 information (e.g., names, diseases) within the original text is revealed. 115

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2.2 PRIVACY-PRESERVING TEXT EMBEDDING

Privacy Definition. Preserving privacy is crucial with the rise of powerful pretrained language models. The first step is defining the scope of what constitutes private information. While the concept of privacy can be broad and context-dependent Brown et al. (2022), for practical purposes, a narrower definition is often adopted Sousa & Kern (2023). This definition focuses on personal identifiable information (PII) as privacy concerns, including names, ID numbers, phone numbers, and other similar entities. This definition can extend to named entities in text, such as locations or organizations, depending on the specific privacy requirements of the task.

Goals. To clarify the scope of this work, our privacy-preserving text embedding aims to achieve the following two goals:

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• Goal 1 (Defending against sensitive token inference attack): For the threat model \mathcal{A} and text embedding $\Phi(x)$, where x is a sentence that contains sensitive information and Φ is the embedding model. The data owner defines a set of sensitive tokens $T = \{t_1, t_2, \ldots, t_{|T|}\}$ that require to be protected. The objective is to generate an obfuscated embedding $\Phi'(x)$ that prevents the threat model \mathcal{A} from accurately reconstructing or identifying the tokens in T.

• *Goal 2 (Maintaining downstream utility)*: The secondary objective is to ensure that the protective measures, while securing the embeddings from inversion attacks, do not compromise the utility of the embeddings in downstream tasks.

Defender's Knowledge. Our work primarily addresses a black-box setting, where the defender lacks prior knowledge of the specific attack model employed by adversaries. We focus on developing a robust noise injection mechanism capable of defending against a broad spectrum of inversion attacks without requiring insight into adversarial strategies. However, to comprehensively evaluate our approach, we also explore a white-box scenario in Section 5.1.

3 Methodology

145 3.1 OVERVIEW

147 We present DPPN, a novel defense framework against embedding inversion attacks. The core concept 148 of our approach is twofold. **Identify privacy neurons**: We employ a differentiable neuron masking learning method to assess the importance of each embedding dimension in carrying token-specific 149 information. The top-k dimensions with the highest importance scores are selected as privacy neurons. 150 **Obfuscate privacy-sensitive information:** We introduce a neuron suppressing perturbation function 151 that adds directional noise to the identified privacy neurons. In constrat to conventional isotropic 152 noise, we show that this perturbation enhances the indistinguishability of embeddings and thus leads 153 to better defense performance. Next, we define the concept of privacy neurons and the associated 154 perturbation framework. 155

Definition 1 (Privacy Neurons). Consider an input text x and an embedding model $\Phi : x \to \mathbb{R}^d$. We assume there is a subset of dimensions $\mathcal{N}_t \subseteq \mathcal{V} = \{1, \ldots, d\}$ that encapsulates the sensitive information associated with a token t. Consequently, the embedding $\Phi(x)$ can be expressed as:

$$\Phi(x) = (\Phi_{\mathcal{N}_t}(x), \Phi_{\mathcal{V} \setminus \mathcal{N}_t}(x)),$$

161 where $\Phi_{\mathcal{N}_t}(x)$ represents the privacy-sensitive neuron activations and $\Phi_{\mathcal{V}\setminus\mathcal{N}_t}(x)$ the privacyinvariant neuron activations. For simplicity, we assume the number of privacy neurons (i.e., $|\mathcal{N}_t|$) is a constant k across all tokens. Given the privacy neurons \mathcal{N}_t , the data owner shares the perturbed text embedding with:

$$\mathcal{M}(x;\mathcal{N}_t) = \mathcal{F}(\Phi_{\mathcal{N}_t}(x)) \| \Phi_{\mathcal{V} \setminus \mathcal{N}_t}(x),\tag{1}$$

where \mathcal{F} is a randomized perturbation function on selected dimensions \mathcal{N}_t , and \parallel is the concatenation operation.

168 **Preliminary analysis on privacy-sensitive dimensions.** As described in Eq. 1, embeddings can be decomposed into privacy-sensitive and privacy-invariant activations. To verify the hypothesis, we cal-169 170 culate the dimension-wise sensitivity as: $\Delta_i = \max(\{|\Phi(x^+)_i - \Phi(x^-)_i|; x^+ \in D^+, x^- \in D^-\}).$ $\Phi(\cdot)_i$ represents the activation of the *i*-th dimension of the embedding. The sensitivity captures 171 the largest change observed in dimension i, with a higher value indicating greater responsiveness 172 to the presence of token t. We present a pilot study of the sensitivity distribution of the top and 173 bottom 10% of privacy neurons detected by our approach in Figure 2. Empirically, we found that 174 top privacy neurons exhibit significantly higher sensitivity (average 0.04) compared to tail neurons, 175 whose sensitivity is close to zero. Given this observation, we believe it possible to manipulate only a 176 small portion of dimensions to defend against inversion attacks.

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3.2 PRIVACY NEURON DETECTION THROUGH NEURON MASKING LEANING

180 To detect the privacy neurons associated with a sensitive token t, we propose a neuron mask learning 181 framework to assess the importance of each neuron. Our objective aims to determine a binary neuron mask, $\mathbf{m} \in \{0,1\}^d$, which filters irrelevant dimensions and retains the most informative neurons 182 responsible for token t when applied to an embedding e. The masked embedding is represented as 183 $e \odot \mathbf{m}$, where \odot denotes the Hadamard product operator. Ideally, a perfect mask would have values of 184 0 for privacy-irrelevant dimensions and 1 for privacy-related dimensions. However, since the training 185 loss is not differentiable for binary masks, we first introduce a differentiable neuron mask learning 186 framework, followed by a description of the optimization process. 187

Differentiable neuron mask learning. Our goal is to learn a binary mask m associated with a token t, however, the training loss is not differentiable for binary masks. Therefore, we resort to a practical method that employs a smoothing approximation of the discrete Bernoulli distribution Maddison et al. (2017). In our method, we assume each mask m_i follows a hard concrete distribution HardConcrete($\log \alpha_i, \beta_i$) with location α_i and temperature β_i Louizos et al. (2018) as:

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$$s_i = \sigma\left(\frac{1}{\beta_i}\left(\log\frac{\mu_i}{1-\mu_i} + \log\alpha_i\right)\right), m_i = \min\left(1, \max\left(0, s_i\left(\xi - \gamma\right) + \gamma\right)\right), \tag{2}$$

195 where σ denotes the sigmoid function. ξ and γ are constants, and $\mu_i \sim \mathcal{U}(0, 1)$ is the random sample 196 drawn from the uniform distribution. α_i and β_i are learnable parameters. The random variable s_i 197 follows a binary concrete (or Gumbel Softmax) distribution, which is an approximation of the discrete 198 Bernoulli distribution. Samples from the binary concrete distribution are identical to samples from a 199 Bernoulli distribution with probability α_i as $\beta_i \to 0$, and the location α_i allows for gradient-based 200 optimization through reparametrization tricks Jang et al. (2022). During the inference stage, the mask 201 m_i could be derived from a hard concrete gate:

$$m_i = \min\left(1, \max\left(0, \sigma\left(\log\alpha_i\right)\left(\xi - \gamma\right) + \gamma\right)\right). \tag{3}$$

Learning Objective. Given a target token t to be protected, we construct a sub-dataset $D^+ = \{x_1, \ldots, x_{|D^+|}\} \subseteq D$ containing sentences with t. To measure the embedding change associated with the removal of the token t, we create a negative set $D^- = \{\mathcal{R}(x_i, t) \mid x_i \in D^+\}$, where $\mathcal{R}(x_i, t)$ denotes the removal of t from sentence x_i . Formally, the objective function could be expressed as:

$$\mathcal{L}(\mathbf{m},\theta) = -\Sigma_{x^+ \in D^+} \log P_\theta \left(\Phi(x^+) \odot \mathbf{m} \right) - \Sigma_{x^- \in D^-} \left(1 - \log P_\theta \left(\Phi(x^-) \odot \mathbf{m} \right) \right), \quad (4)$$

where $P_{\theta}(\cdot)$ represents the predicted probability generated by a multi-layer neural network parameterized by θ , and $\Phi(x)$ denotes the embedding of a sentence x. To encourage the sparsity, we penalize the L_0 complexity of the mask scores by introducing the following regularization term:

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$$\mathcal{L}_{reg}(\mathbf{m}) = -\frac{1}{|\mathbf{m}|} \sum_{i=1}^{|\mathbf{m}|} \sigma(\log \alpha_i - \beta_i \log \frac{-\gamma}{\xi}).$$
(5)

Finally, we jointly optimize Eq. 4 and Eq. 5. The top-k privacy neurons $\mathcal{N}_t = \text{Top}_k(\mathbf{m})$ are identified by selecting the dimensions with the largest values in \mathbf{m} .

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Figure 2: Sensitivity distribution of the top and tail privacy neurons. The Wilcoxon Signed Rank Test indicates a significant difference be-235 tween the two distributions with a p-value of $1.30e^{-21}$.

Figure 3: Comparison of isotropic perturbation (left) and neuron-suppressing perturbation (right) in embedding space. **Top:** Scatter plots showing perturbation results, with solid points representing original data and lighter points showing perturbed data. Bottom: Corresponding angle distributions.

3.3 **EMBEDDING PERTURBATION FOR SUPPRESSING PRIVACY INFORMATION**

After the privacy neurons are identified, the next step is to perturb these neurons for obfuscating 241 privacy information against the adversary. A common approach is to inject isotropic noise from 242 the Laplace distribution as follows: $\mathbf{e}' = \mathbf{e} + \nu$. Here, $\nu \in \mathbb{R}^d$ is a noise vector with elements 243 $\nu \sim \mathsf{Lap}(0, 1/\epsilon)$ sampled from the Laplace distribution. However, we found that adding isotropic 244 noise fails to effectively obfuscate private information as it perturbs data points towards all directions. 245 Instead of employing the typical DP approach, we propose a novel neuron-suppressing perturbation 246 function that adds random noise that pushes each embedding dimension toward its negative direction. 247 Formally, this can be expressed as: 248

$$\mathcal{F}(\mathbf{e}) = \mathbf{e} - \mathbf{sign}(\mathbf{e}) \odot \nu'. \tag{6}$$

250 The perturbation function in Eq. 6 samples one-sided Laplace noise where $\nu'_i = |\nu_i|$ and multiplied by the negative sign of the embedding e. To elucidate the distinction between isotropic noise and 251 neuron-suppressing perturbation, Figure 3 illustrates two distributions represented by red and green 252 data points, along with their perturbed counterparts (in lighter shades) under different perturbation 253 functions. The red and green dots can be conceptualized as the text embeddings sampled from the 254 D^+ and D^- datasets in \mathbb{R}^2 . We also include kernel density estimation (KDE) plots of the angles (i.e., $\arctan(\mathbf{y}, \mathbf{x})$) below each scatter plot for visualization. As depicted in Figure 3, the isotropic 256 noise applies perturbations in all directions, which is ineffective in obfuscating the data points. 257 In contrast, our proposed neuron-suppressing perturbation introduces noise predominantly in the 258 negative direction that makes the data more indistinguishable. Finally, we apply the perturbation 259 function \mathcal{F} in Eq. 6 and the detected neuron \mathcal{N}_t with Eq. 1 to release the perturbed text embedding.

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4 EXPERIMENT

4.1 EXPERIMENT SETUP

265 Datasets. Our dataset selection aims to address two key objectives: assessing real-world privacy 266 threats and evaluating the privacy-utility tradeoff of defense methods. We utilize PII-Masking-300K Team (2023) and MIMIC-III clinical notes Johnson et al. (2018) to represent distinct real-world 267 threat domains, encompassing 27 Personally identifiable information (PII) classes and medical 268 information, respectively. These datasets, however, do not include specific downstream tasks or 269 labels. To meet the second objective, we select six widely used datasets with downstream labels, extracting named entities as sensitive information using named entity recognition models. Due to space constraints, we only present results from the STS12 Agirre et al. (2012) and FIQA Maia et al. (2018) datasets in the main experiment, with additional results in Appendix B.

Attack models. Three attack models are employed to access the privacy risks of text embedding, including Vec2text Morris et al. (2023), GEIA Li et al. (2023), and MLC Song & Raghunathan (2020). Vec2text and GEIA are sentence-level attack methods that leverage pre-trained GPT models to reconstruct the input sentence. MLC utilizes a three-layer MLP to predict the existence of individual words. Due to its superior performance, Vec2text serves as our primary attack model in subsequent experiments.

Defense methods. We compare our DPPN with two noise injection approaches: Laplace mechanism Feyisetan et al. (2020) (LapMech) and Purkayastha mechanism Du et al. (2023) (PurMech). LapMech samples noise from the Laplace distribution, while PurMech utilizes Purkayastha directional noise to perturb embeddings. While these baselines perturb all embedding dimensions and DPPN targets specific dimensions, the perturbation level ϵ for DPPN is scaled by $\sqrt{k/d}$. This adjustment ensures consistent noise variance with full-dimension methods. In the following experiment, we set kto $d \times 0.2$, selecting the top 20% of privacy neurons as the default configuration.

Evaluation metrics. We evaluate privacy leaks in text embeddings using two metrics: Leakage and
 Confidence. Leakage measures the attack model's accuracy in predicting sensitive tokens, with lower
 values indicating better defense. Confidence represents the attack model's maximum probability
 of predicting sensitive tokens, where lower values suggest reduced likelihood of generating target
 sensitive information. As an indicator for downstream utility, we report dataset-specific downstream
 performance as our utility metric.

Embedding models. Following the research by previous works Morris et al. (2023); Huang et al. (2024), we include three widely used embedding models: GTR-base Ni et al. (2022b), Sentence-T5 Ni et al. (2022a), and SBERT Reimers & Gurevych (2019) to validate the robustness of DPPN. GTR-base is used by default due to its higher vulnerability to the Vec2text attack.

Table 1: Privacy-utility tradeoff across various defense methods. Privacy leakage is evaluated using the Leakage and Confidence metrics, with lower values indicating stronger privacy protection. Utility is measured by the downstream performance on specific data tasks. The mean and standard deviation of 5 runs are reported in percentages(%).

				Privacy	1	Utility Metri	e			
			Leakage \downarrow			Confidence ↓	,	Downstream ↑		
Dataset	$ \epsilon$	LapMech	PurMech	DPPN	LapMech	PurMech	DPPN	LapMech	PurMech	DPPN
	1	7.36 ±0.61	7.42 ±0.49	1.61 ±0.16	6.70 ±0.32	6.80 ±0.29	6.05 ±0.31	29.28 ±0.00	29.31 ±0.00	40.78 ±0.00
	2	22.34 ±1.38	22.66 ±1.15	13.44 ± 0.60	9.39 ±0.17	9.42 ±0.17	8.25 ±0.34	60.72 ±0.00	60.72 ±0.00	67.05 ±0.00
00010	4	38.17 ±0.86	38.04 ±0.71	33.49 ±0.67	24.70 ±0.75	24.74 ±0.71	23.80 ±0.55	72.47 ±0.00	72.47 ±0.00	73.40 ±0.00
51512	6	44.74 ±0.43	44.76 ±0.49	42.59 ±0.82	34.59 ±0.32	34.59 ±0.24	34.14 ±0.67	73.68 ±0.00	73.68 ±0.00	73.95 ±0.00
	8	48.48 ±0.60	$48.34{\scriptstyle~\pm 0.57}$	47.11 ± 0.66	38.75 ±0.80	38.82 ± 0.79	$\textbf{38.49} \pm 0.76$	73.98 ±0.00	73.98 ± 0.00	74.09 ±0.00
	∞		60.09			47.81			74.25	
	1	12.56 ±0.98	13.01 ±1.40	2.01 ±0.22	6.67 ±0.51	6.70 ±0.49	5.84 ±0.33	10.64 ±0.24	10.63 ±0.25	15.05 ±0.31
	2	35.17 ±1.46	35.31 ±0.86	20.15 ±1.34	16.70 ±0.74	16.55 ±0.66	11.92 ±0.62	21.74 ±0.36	21.76 ±0.29	25.96 ±0.33
	4	55.69 ±1.05	55.38 ±1.26	51.26 ±1.18	35.32 ±0.74	35.25 ±0.78	31.36 ±0.63	32.22 ±0.14	32.23 ±0.13	32.84 ±0.23
FIQA	6	64.12 ±0.82	64.13 ±0.85	62.79 ±1.71	43.35 ±1.50	43.56 ±1.53	41.57 ±1.41	33.24 ±0.03	33.26 ±0.04	33.58 ±0.13
	8	68.85 ±1.26	68.63 ± 1.36	67.99 ± 0.50	48.07 ±1.08	47.77 ± 0.78	46.25 ± 0.86	33.50 ± 0.14	33.52 ± 0.15	33.73 ± 0.10
	∞		77.35			54.48			33.56	

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4.2 PRIVACY-UTILITY TRADE-OFF ANALYSIS

To evaluate the privacy-utility tradeoff among different defense methods and privacy levels, we present experimental results for the STS12 and FIQA datasets in Table 1. Note that $\epsilon = \infty$ represents the non-protected embedding. Our findings demonstrate that DPPN exhibits a superior privacy-utility tradeoff compared to baseline methods such as LapMech and PurMech. For instance, with the STS12 dataset at $\epsilon = 2$, DPPN reduces Leakage from 60% (unprotected) to 13%, while baseline methods only achieve a reduction to 22%. Importantly, while DPPN effectively mitigates privacy leakage, it also maintains or enhances the downstream performance relative to baseline methods. It is worth noting that the baseline methods perturb all embedding dimensions as a general defense





Figure 5: Top-r% neuron overlap ratio calculated between black-box and whitebox detected neurons.

Figure 4: Comparison of different privacy neuron detection methods under various perturbation neuron ratios and perturbation levels of ϵ .

mechanism. However, the results for DPPN suggest that selectively perturbing specific privacy neurons could be a more effective approach when the goal is to protect a particular privacy concept without compromising downstream utility.

5 FURTHER DISCUSSION ON PRIVACY NEURONS

5.1**EVALUATION ON NEURON DETECTION METHODS**

347 Our work rests on the fundamental assumption that privacy neurons can be detected and perturbed to 348 defend against inversion attacks. This premise raises two critical questions: (i) How effectively can 349 we defend against inversion attacks using ground truth privacy neurons? and (ii) To what extent can our black-box detection model approach this ideal defense? To address this, we examine a white-box 350 defense scenario where the defender possesses complete knowledge of the attack model's parameters. 351

352 White-box privacy neuron detection. Under white-box access to the attack model, we employ the 353 Fast Gradient Sign Method (FGSM) Goodfellow et al. (2014) to identify the most influential neurons 354 for privacy protection. Our approach involves computing the gradient of the attack model's loss with 355 respect to the input text embedding for a specific sensitive token. Neurons with the highest average gradient magnitudes are identified as privacy neurons. This white-box defense method is referred to 356 as DPPN-Oracle in subsequent experiments. 357

358 **Comparison of neuron detection methods.** Figure 4 presents experimental results evaluating 359 various privacy neuron detection methods, including our black-box method (DPPN), the white-box 360 approach (DPPN-Oracle), and a random selection method (DPPN-Rand), alongside LapMech and 361 non-protected baselines for reference. The white-box method consistently achieves the best privacyutility tradeoff, confirming that perturbing the most informative neurons significantly reduces privacy 362 leakage. Notably, our black-box method performs comparably to the white-box approach; at $\epsilon = 2$, it 363 exhibits an absolute Leakage difference of only 3% to 6%, with less than a 5% relative difference 364 in downstream metrics. In contrast, the random selection method is significantly less effective. Furthermore, Figure 5 depicts the top-r% overlap ratio between the black-box and white-box neurons. 366 The results indicate that our black-box detection methods successfully identify neurons with 32% 367 and 51% accuracy for the top 10% and 20% of neurons, respectively. To conclude, these results 368 demonstrate the effectiveness of DPPN in approximating the ideal white-box scenario. 369

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5.2 PERTURBATION FUNCTIONS ON PRIVACY NEURONS

372 A key component of DPPN is the use of the neuron-suppressing perturbation function. To evaluate 373 the impact of various perturbation functions applied to privacy neurons, Table 2 presents results 374 for applying these functions across all dimensions (r = 100%) and to the top-r% privacy neurons. 375 We found that isotropic perturbation functions like LapMech and PurMech have minimal impact on performance when perturbing privacy neurons. For example, applying LapMech to perturb 10% 376 and 20% of privacy neurons results in slight increases in leakage by 0.48% and 1.16%, respectively. 377 A similar trend is observed with PurMech. This limited impact could be attributed to the weak

Table 2: Defense and downstream performance using different perturbation functions with $\epsilon = 2$. We vary the ratio r to select the top-r% sensitive neurons detected by DPPN. We report all evaluation metrics in percentage (%). The relative improvement compared to the full perturbation is reported within the parentheses.

Perturb. Ratio	Full ($r = 100\%$)		r =	10%	r = 20%		
Perturb. Function	Leakage ↓	Downstream †	Leakage ↓	Downstream ↑	Leakage ↓	Downstream †	
LapMech	14.53	45.95	14.60 (+0.48%)	45.93 (-0.04%)	14.70 (+1.16%)	45.85 (-0.22%)	
PurMech	14.33	45.97	14.57 (+1.67%)	45.94 (-0.07%)	14.60 (+1.88%)	45.87 (-0.22%)	
Suppress (Ours)	8.29	40.69	7.01 (-15.44%)	59.05 (+45.12%)	5.45 (-34.26%)	56.97 (+40.01%)	

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perturbation as illustrated in Figure 3. In contrast, our suppress method yields significant reductions in leakage and notable improvements in downstream performance. Specifically, at r = 10%, leakage decreases by 15.44%, and downstream performance enhances by 45.12%.

5.3 QUALITATIVE ANALYSIS ON DETECTED NEURONS

We present a qualitative analysis to examine the 394 quality of privacy neurons identified by DPPN 395 for individual words, as visualized in Figure 6. 396 We selected six groups of semantically simi-397 lar words: weekdays, countries, months, USA-398 related terms, gender-related terms, and num-399 bers. The x-axis displays the union of the top-5 400 neuron indices associated with each word. We 401 have the following two findings: 1) Semantic similar words share similar privacy neurons. 402 As depicted in Figure 6, we found that words 403 with similar semantics, such as weekdays or 404 countries, tend to cluster around the same neu-405 ron dimensions. This indicates that the privacy 406 neurons identified by DPPN effectively capture 407 contextually relevant and meaningful informa-408 tion. 2) DPPN provides implicit protection 409 on semantically similar words. Given the pre-



Figure 6: Visualization of the neuron mask for individual tokens, where larger weights represent higher neuron importance.

vious finding, when DPPN suppresses privacy neurons for a specific word, it implicitly extends
protection to semantically related words. As shown in Table 9 in the Appendix, we calculate the
indirect leakage performance to assess the level of implicit protection. For semantically similar
tokens, the leakage mitigation rate reaches up to 36% to 46%, while for other unrelated tokens only
reduces by 11% to 29%.

Table 3: Defense performance w.r.t. different attack models. We report the leakage metric in percentage (%) on the STS12 dataset. In addition, we highlight the relative performance compared to non-protected in red.

		$ _{\epsilon=\infty}$		$\epsilon = 1$			$\epsilon = 2$	
	Attack Models	1	LapMech	PurMech	DPPN	LapMech	PurMech	DPPN
	Vec2text Morris et al. (2023)	60.09	6.94 (-88.45%)	7.05 (-88.27%)	1.29 (-97.85%)	22.97 (-61.77%)	22.39 (-62.74%)	11.65 (-80.61%)
	GEIA Li et al. (2023)	25.34	12.30 (-51.46%)	12.36 (-51.22%)	7.08 (-72.06%)	20.60 (-18.71%)	21.21 (-16.30%)	15.82 (-37.57%)
Ν	ALC Song & Raghunathan (2020)	53.20	49.39 (-7.16%)	49.80 (-6.39%)	47.63 (-10.47%)	52.74 (-0.86%)	52.68 (-0.97%)	49.59 (-6.79%)

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6 ROBUSTNESS ANALYSIS OF DPPN

6.1 DEFENDING AGAINST DIFFERENT ATTACK MODELS

Given that our privacy neuron detection process is attack-model agnostic, it is crucial to evaluate
the robustness of DPPN across various adversarial scenarios. We evaluated the defense capabilities
of DPPN against three distinct attack methods: MLC Song & Raghunathan (2020), GEIA Li et al.
(2023), and Vec2text Morris et al. (2023). As shown in Table 3, DPPN consistently outperforms

LapMech and PurMech across all attack models by a significant margin. Our findings reveal that complex attack models, such as Vec2text and GEIA, are more susceptible to embedding perturbation, exhibiting substantial leakage reductions of 88% and 51% respectively at $\epsilon = 1$. In contrast, the shallow MLC model demonstrates less vulnerability to our defense method. These experimental results validate the efficacy of DPPN in mitigating information leakage across diverse adversarial settings.

Table 4: Defense performance on different categories of sensitive information. We report the leakage metric in percentage (%) with $\epsilon = 2$.

Dataset	PII-300K					MIMIC-III				STS12		
Category	Sex	City	State	Country	Age	Sex	Disease	Symptom	Name	Location	Random	
Non-protected	86.12	68.45	75.43	84.07	58.49	88.40	70.43	82.76	62.20	49.69	78.24	
LapMech	42.35	33.39	36.63	40.37	31.88	43.38	23.32	38.17	21.60	16.15	49.01	
PurMech	43.53	34.10	38.45	41.45	31.89	43.38	22.86	31.30	20.73	15.53	49.24	
DPPN	28.24	15.13	21.47	24.21	25.91	17.43	15.57	26.83	4.18	8.70	35.29	

6.2 EFFECTIVENESS AGAINST REAL-WORLD PRIVACY THREATS

We evaluated DPPN's resilience to inversion attacks across various data domains and privacy categories. This evaluation used the PII-Masking 300K dataset Team (2023), MIMIC-III clinical notes Johnson et al. (2018), and the STS12 datasets. For STS12, we selected 100 random words to represent a broad spectrum of privacy scenarios. Table 4 presents our experimental results. The results show the significant vulnerability of unprotected embeddings to inversion attacks. For example, in the MIMIC-III dataset, the attack model inferred sensitive information with high accuracy. It achieved 88% accuracy for sex, 70% for diseases, and 82% for symptoms. In contrast, DPPN shows a notable improvement in reducing privacy leaks compared to existing methods. With the same level of perturbation, DPPN lowers sex information leakage from 88% to 17%. Meanwhile, LapMech and PurMech remain much higher at 43%. This trend is consistent across other privacy categories.

Table 5: Defense and downstream performance w.r.t. different embedding models under $\epsilon = 2$. We use STS12 dataset and report the mean and standard deviation of 5 runs for all evaluation metrics.

Embedding Models	GT	R-base	Sent	ence-T5	SBERT		
Metrics	Leakage ↓	Downstream †	Leakage ↓	Downstream †	Leakage ↓	Downstream †	
Non-protected	60.09	74.25	43.83	86.79	42.11	81.36	
LapMech	22.66 ±0.62	60.72 ± 0.00	31.71 ±0.62	63.16±0.00	23.82 ± 0.89	77.89 ±0.00	
PurMech	22.88 ± 0.67	60.72 ± 0.00	32.11 ±0.47	63.15 ±0.00	23.59 ± 0.78	77.89 ±0.00	
DPPN	13.11 ±0.81	67.05 ±0.00	22.38 ±0.44	74.45 ±0.00	17.15 ±0.74	79.42 ±0.00	

6.3 DEFENSE PERFORMANCE ON VARIOUS EMBEDDING MODELS

While previous experiments utilized GTR-base as the default embedding model, Table 5 extends the evaluation to two additional embedding models to validate the robustness of DPPN. When using LapMech and PurMech perturbations, leakage is reduced to approximately 20% to 30%, with downstream performance dropping to 60% to 70%. In contrast, DPPN reduces leakage to 13% with GTR-base and 17% with SBERT while preserving higher downstream performance compared to other defense methods. The results verify that the effectiveness of DPPN is consistent regardless of the embedding models.

CASE STUDY ON MIMIC-III DATASET

To demonstrate the privacy risks in a specific threat domain, we conducted a case study using MIMIC-III clinical notes Johnson et al. (2018). Table 6 presents the results of embedding inversion attack on two types of sensitive tokens ("age" and "disease name") with different noise levels. We assessed the semantic fidelity of the reconstructed sentences by comparing their similarity to the original text using cosine similarity from an external embedding model.

486 Table 6: Case study on the MIMIC-III dataset with two sensitive words and perturbation level ϵ . We 487 highlight the leakage of sensitive words and demonstrate the semantic similarity of the reconstructed 488 sentence to the ground truth.

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490	Example 1: Protect age with strong noise $\epsilon = 1$											
491	Method Defense Semantic			Reconstructed Sentence								
492 493 494 495	Ground truth Non-private LapMech PurMech DPPN	Failed Success Success Success	0.98 0.11 0.11 0.62	this 68-year-old white male has a history of diabetes, hyperlipidemia and hypertension this 68-year-old white male has a history of hypertension, hyperlipidemia, and diabetes. age (e.g., blood edemas in males of African PH whose history has been hyperesoteric age (e.g., blood edemas in males of African PH whose history has been hyperesoteric a white male with diabetes has existing Hyperlipidemia history								
496	Example 2: P	rotect disea	ise name wit	h weak noise $\epsilon = 2$								
497 498 499 500	Ground truth Non-private LapMech PurMech DPPN	Failed Failed Failed Success	0.95 0.23 0.18 0.54	this male has had known coronary disease and prior silent myocardial infarction. this male has known silent coronary disease and has had prior myocardial infarction. male has known coronary myopathy. Silent rib syndrome, white-fiddled gyne, and ca male has known coronary myopathy. Silent-fidged heart attacks. White-fidged-fid an active male with myocardial infarction, congestive heart disease.								

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In Example 1, we applied a strong perturbation level of $\epsilon = 1$ to perturb the text embeddings. Under this condition, all three defense methods (LapMech, PurMech, and DPPN) effectively prevented the leakage of sensitive age information. However, LapMech and PurMech significantly degraded the semantic quality of the embeddings with only 11% of the original semantic similarity. In contrast, DPPN maintained 62% semantic similarity. In Example 2, we used a lower perturbation level of $\epsilon = 2$. Here, both LapMech and PurMech failed to protect against privacy leakage and further compromised the semantic integrity of the embeddings. Conversely, DPPN successfully safeguarded the sensitive information while preserving semantic quality of the embeddings.

- 8 **RELATED WORK**
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Inversion attacks on embeddings. Embedding inversion attacks pose significant privacy risks in 513 both computer vision and natural language processing (NLP). These attacks exploit the unintended 514 memorization capabilities of neural models, allowing adversaries to reconstruct original data from 515 embeddings. In computer vision, high-fidelity reconstructions of images from embeddings have been 516 demonstrated Bordes et al. (2022); Dosovitskiy & Brox (2016); Teterwak et al. (2021). Similarly, in 517 NLP, embeddings can reveal sensitive text data and even demographic information about authors Pan et al. (2020); Song & Shmatikov (2019); Lyu et al. (2020); Coavoux et al. (2018). The recent 518 work Morris et al. (2023) shows that embeddings from services like OpenAI's can be accurately 519 inverted to recover the original text. 520

521 **Privacy-preserving text embeddings.** Two lines of work were explored for generating privacy-522 preserving text embeddings: adversarial training and noisy embedding. The noisy embedding 523 approach defends against inversion attacks by adding random noise to the embeddings. For instance, Laplace noise has been widely used to defend against inversion attacks Morris et al. (2023), member-524 ship inference Song & Raghunathan (2020), and attribute inference attacks Coavoux et al. (2018). 525 Advanced techniques like the Purkayastha mechanism Du et al. (2023) further enhances the Laplace 526 method for superior defense performance against inference attacks. On the other hand, adversarial 527 training Coavoux et al. (2018); Elazar & Goldberg (2018); Li et al. (2018) involves creating a simu-528 lated adversary that tries to infer sensitive information while the main model is optimized to confuse 529 this adversary. However, this approach's success largely depends on the quality of the simulated 530 adversary Zhang et al. (2018).

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9 CONCLUSION

534 535 In this work, we addressed the privacy risks of text embeddings, particularly against embedding 536 inversion attacks. By identifying and suppressing privacy neurons, our method enhances defense with 537 minimal impact on downstream tasks. Extensive experiments validate the effectiveness of DPPN across various attack models, embedding models, and real-world privacy threats. As privacy risks 538 grow and attack models advance, we aim for our work to establish a robust framework for protecting sensitive information in text embeddings.

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702 A LIMITATIONS

While DPPN demonstrates significant effectiveness in protecting sensitive information within text embeddings, it lacks the theoretical guarantees provided by differential privacy (DP). DP offers a formal framework to quantify privacy, ensuring that the inclusion or exclusion of a single data point does not significantly affect an algorithm's output. This gap between DPPN and DP is particularly relevant for applications involving highly sensitive data, such as medical records or financial information.

The primary challenge in bridging this gap lies in adapting DPPN's targeted perturbation approach to
meet DP's rigorous standards. DP requires that all released data be perturbed to provide a strong,
provable privacy guarantee. However, DPPN only perturb a subset of dimensions while keeping the
remaining dimensions intact. To bridge this gap, future research could explore developing a hybrid
approach that applies DP-compliant noise to all dimensions while concentrating higher magnitude
perturbations on privacy-sensitive neurons such as Mahalanobis mechanism Xu et al. (2020) or Rényi
differential privacy Mironov (2017).

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B COMPLETE DEFENSE PERFORMANCE ACROSS ALL DATASETS

720 In addition to the STS12 Agirre et al. (2012) and FIQA Maia et al. (2018) datasets used in the 721 main experiment, Table 8 also presents statistics of other datasets, including STSB Cer et al. (2017), 722 STS14 Agirre et al. (2014), Quora Bondarenko et al. (2020), and NFCorpus Boteva et al. (2016). Figure 7 and Figure 8 show the complete defense performance on all datasets. Besides using Leakage, 723 we also utilize Confidence to assess the defense performance. This metric reflects the certainty of the 724 attack model's predictions. A higher Confidence score indicates that the model is more confident 725 in its prediction of the sensitive token. For the semantic textual similarity (STS) task, downstream 726 performance is measured using the Pearson correlation of Cosine Similarity (Pearson corr.). In 727 the context of information retrieval, we employ the ranking metric NDCG@10. As described in 728 Section 4.2, DPPN consistently demonstrates superior performance over LapMech and PurMech 729 across all levels of perturbation and datasets, both in defense and downstream task metrics.

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C EXTRACTING SENSITIVE WORDS

MIMIC-III clinical notes Johnson et al. (2018) is an anonymous electronic health record database containing extensive clinical data from intensive care units. We use the biomedical Named Entity Recognition (NER) model Raza et al. (2022) to extract privacy-related medically named entities including age, sex, disease, and symptom. For the STS12 dataset, name and location are extracted by leveraging the named entity recognition tool from the Spacy library². In addition, we select 100 random words to represent broader privacy scenarios.

D IMPLEMENTATION DETAILS OF ATTACK MODELS

To better measure defense performance, we load pre-trained vec2text³ and fine-tuned attack models for 50 epochs individually for different perturbation methods, specifically LapMech, PurMech, and DPPN. This approach simulates the scenario where attackers train their models and allows for a comprehensive assessment of Leakage and Confidence.

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^{754 &}lt;sup>2</sup>https://github.com/explosion/spacy-models/releases/tag/en_core_web_ 755 sm-3.7.0

³https://huggingface.co/ielabgroup/vec2text_gtr-base-st_inversion

760 761 **Privacy Metrics Utility Metric** 762 Leakage \downarrow **Confidence** \downarrow Downstream ↑ 763 DPPN DPPN PurMech PurMech PurMech DPPN Dataset LapMech LapMech LapMech ϵ 764 40.03 40.05 1 20.75 19.03 2.68 1.89 1.98 1.78 48.17 53.79 49.95 32.39 15.40 13 97 8.07 71 87 71.85 2 76.14 765 4 64.79 38.44 36.33 71.82 69.15 41.76 80.95 80.95 81.00 STSB 766 6 76.15 74.98 73.10 52.36 49.28 48.63 81.08 81.08 80.91 767 8 78.94 77.40 76.42 56.84 54.02 53.98 80.95 80.95 80.81 768 86.75 66.57 80.64 ∞ 769 1.03 1.55 0.30 20.42 20.88 18.05 39.76 39.71 48.47 1 770 2 4.044.13 2.41 21.86 21.84 21.10 70.28 70.25 74.44 79.16 4 8.64 28.05 27.73 79.16 79.31 8.77 9.46 28.56 771 STS14 6 11.22 11.26 14.70 30.38 30.39 32.95 79.47 79.47 79.37 772 8 13.67 13.50 16.12 32.09 32.05 34.81 79.43 79.43 79.32 773 79.25 21.97 35.99 ∞ 774 25.96 25.87 2.85 2.71 2.70 1.57 11.89 11.78 72.33 1 775 57.44 54.78 33.67 15.92 9.94 70.04 82.19 18.62 70.19 2 4 75.56 68.00 41.21 82.75 75.80 50.87 51.00 82.79 83.94 776 Quora 6 81.65 81.65 76.75 58.99 59.08 53.43 83.70 83.72 84.02 777 8 83.69 83.64 79.79 62.28 62.06 57.32 83.90 83.91 83.97 778 89.30 68.30 84.01 ∞ 779 7.77 8.45 0.68 1.27 1.06 0.83 23.70 23.61 19.94 1 780 2 29.73 31.42 12.16 15.92 15.51 6.73 27.31 27.38 29.61 56.76 55.41 46.96 45.70 46.26 35.36 30.76 30.75 31.04 4 781 NFCorpus 6 69.93 69.26 57.77 58.27 58.00 48.09 31 32 31.32 31.37 782 8 78.72 79.05 66.55 63.89 63.83 53.85 31.56 31.56 31.52 783 88.18 75.54 31.63 ∞ 784

Table 7: Privacy-utility tradeoff across different defense Methods. Privacy leakage is assessed using Leakage and Confidence metrics, where lower values indicate stronger privacy protection. Utility is measured by data-specific downstream performance. All metrics are presented as percentages (%).

Dataset	STS12	FIQA	STSB	STS14	Quora	NFCorpus	MIMIC-III	PII-300K
Downstream task	STS	Retrieval	STS	STS	Retrieval	Retrieval	-	-
Domain	SemEval	Financial	SemEval	SemEval	QA	Medical	Medical	PII
Sentences	10684	5500	17256	3000	10000	2590	4244	177677
Average sentence length	14.53	10.80	10.17	9.77	9.53	3.31	15.03	47.12
Unique named entities	123	41	228	41	90	13	290	491
Evaluation metric	Pearson Corr.	NDCG@10	Pearson Corr.	Pearson Corr.	NDCG@10	NDCG@10	-	-

Table 8:	Statistics	of datasets.
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Table 9: Leakage mitigation rate (%) compared to non-protected embeddings. We report the leakge metric using DPPN with $\epsilon = 2$.

	Weekdays	Country	City
Target tokens	-76.2%	-64.3%	-72.5%
Semantic similar tokens	-46.2%	-36.2%	-42.8%
Other tokens	-11.7%	-29.1%	-12.6%

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Figure 7: Privacy-utility tradeoff across various datasets with different perturbation levels of ϵ . For each dataset, the left and middle sections evaluate defense effectiveness through Leakage and Confidence metrics, where lower values indicate better defense. The right section illustrates downstream performance, where a higher score is better.



Figure 8: Privacy-utility tradeoff across various datasets with different perturbation levels of ϵ . For each dataset, the left and middle sections evaluate defense effectiveness through Leakage and Confidence metrics, where lower values indicate better defense. The right section illustrates downstream performance, where a higher score is better.