# MASKINVERSION: LOCALIZED EMBEDDINGS VIA OPTIMIZATION OF EXPLAINABILITY MAPS

Anonymous authors

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#### ABSTRACT

Vision-language foundation models such as CLIP have achieved tremendous results in global vision-language alignment, but still show some limitations in creating representations for specific image regions. To address this problem, we propose MaskInversion, a method that leverages the feature representations of pre-trained foundation models, such as CLIP, to generate a context-aware embedding for a query image region specified by a mask at test time. MaskInversion starts with initializing an embedding token and compares its explainability map, derived from the pretrained model, to the query mask. The embedding token is then subsequently refined to approximate the query region by minimizing the discrepancy between its explainability map and the query mask. During this process, only the embedding vector is updated, while the underlying foundation model is kept frozen allowing to use MaskInversion with any pre-trained model. As deriving the explainability map involves computing its gradient, which can be expensive, we propose a gradient decomposition strategy that simplifies this computation. The learned region representation can be used for a broad range of tasks, including openvocabulary class retrieval, referring expression comprehension, as well as for localized captioning and image generation. We evaluate the proposed method on all those tasks on several datasets such as PascalVOC, MSCOCO, RefCOCO, and OpenImagesV7 and show its capabilities compared to other SOTA approaches.

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#### 1 INTRODUCTION

032 Foundation models such as CLIP (Radford et al., 2021), pre-trained with a contrastive loss on large-033 scale image-text datasets, have significantly advanced vision-language understanding. However, 034 those models focus on a global vision-language alignment in training, matching the respective text and image class ([CLS]) tokens, thus only the globally pooled information. As a result, such models often struggle with tasks requiring precise localization or the recognition of specific image regions, 037 necessitating novel approaches to harness their full potential. In the following, we tackle the problem 038 of generating embeddings localized to specific image regions from pretrained vision-language models. While it is possible to obtain such embeddings via naïve solutions, e.g. by processing only the cropped region, or aggregating the local token embeddings over a mask, such simple approaches 040 often do not yield optimal results: cropping can remove important context, while token aggregation 041 over region features might not result in a good, aligned representation as local tokens do not always 042 correspond to the correct representation (Zhou et al., 2022). 043

Different approaches have been proposed to address the problem of localized vision-language tasks:
ReCLIP (Subramanian et al., 2022) uses colored boxes during training to localize the alignment
between vision and language. Fine-grained visual Prompting (FGVP) employs different masking
strategies to force the model to focus on the relevant object region. AlphaCLIP (Sun et al., 2024)
finetunes CLIP together with an alpha channel to highlight the region of interest. Finally, RIS (Yu
et al., 2023) proposes a token masking pipeline to achieve zero-shot referring image segmentation.

Following this line of works, we propose MaskInversion, inspired by Text Inversion (Gal et al., 2023),
as a method to learn a localized embedding for a query image region specified by a mask at test time.
MaskInversion differs from previous methods as it does not adapt the vision-language backbone, but
instead leverages the explainability map of a frozen backbone at test-time to optimize a representation,
namely a token that captures the localized embedding (localized embedding token), for a given region



Figure 1: **MaskInversion Applications:** The proposed MaskInversion method generates a localized embedding without modifying the vision encoder, thereby enabling seamless integration as a dropin replacement for the vision encoder output across various scenarios. (*Localized Classification*): classify each region of an image independently. (*Localized Captioning*): direct the attention of an LLM to specific parts of an image. (*Localized Diffusion*): used in conjunction with a diffusion model, generates variations of specific regions of images. All applications demonstrated here can be achieved by simply replacing the original vision encoder with MaskInversion, without further tuning.

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mask. We start with initializing the localized embedding token from the global class token produced by CLIP. This token representation is then used to compute the initial explainability map for its current representation. We then compute the difference between the explainability map and the query mask. The token representation is then subsequently updated so that its representation generates an explainability map that matches the query mask. In this manner, we learn a token representation specific to the image region covered by the query mask.

079 Note that the token representation learning process is done for each mask separately. Thus, several different localized embedding tokens are created from the same image when multiple object masks 081 are given. We can further enhance the computational efficiency for this case by exploiting the fact that 082 the derivation of the explainability map is fixed because of the frozen backbone, and is independent 083 of a query mask. Namely, we propose a gradient decomposition strategy that simplifies the gradient 084 computation associated with the explainability method. Finally, while the resulting region-based localized embedding tokens are optimized for their specific mask, it can sometimes be desirable to 085 also include global context. While e.g. for classification it does not matter if a bicycle is leaned to 086 a tree or floating in the sky, such context information can be critical for referring expressions. We 087 therefore further propose an add-on regularization loss that aligns the learned representation to the 088 global image representation and allow to balance between global and local representations if needed. 089

The resulting localized embeddings can be used in various downstream tasks as shown in Figure 1, including region-based localized classification, region-based localized captions (as in AlphaCLIP), and localized image generation. In all cases, we assume a zero-shot setting and use our localized embedding tokens as a drop-in replacement, e.g. for the CLIP ViT [CLS] token. This means e.g. for region-based zero-shot classification that we compute the localized embedding token and match it with the respective class prompts, e.g. "A photo of a dog". We evaluate the proposed method in all those scenarios, showing improved performance compared to other methods in each domain.

We summarize the contributions of our work as follows: (1) Given an image and a query mask, we learn a localized embedding at test time that captures the region characteristics within the mask in a single token. The learned can be used as a drop-in replacement for any application based on the same backbone. (2) We propose gradient decomposition to make the process computationally efficient for multiple query masks in the same image. (3) We evaluate the resulting representation on various region-based downstream tasks, showing improved results across a range of different applications.

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## 2 RELATED WORK

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Localized Representation Learning The task of enhancing the localized embedding of foundation
 models such as CLIP (Radford et al., 2021) has gained increased attention recently. While these
 models, trained on noisy image-text pairs scraped from the internet, have proven to be a rich source



Figure 2: MaskInversion: (*Step 0*): the input image is forwarded only once during the whole MaskInversion process. (*Step 1*): the localized embedding ( $LET_m$ ) is initialized to the vision encoder's [CLS] token. Then the  $LET_m$  embedding is trained such that its explainability map is similar to the query mask. (*Step K*): after K gradient descent iterations, we obtain the final localized embedding  $LET_m$  that can be used for downstream task.

of supervision for learning a broad range of concepts (Radford et al., 2021), their training method-132 ology, which matches the global feature representation of an entire image with its corresponding 133 caption, often falls short in the context of localized tasks. ReCLIP (Subramanian et al., 2022) uses 134 a combination of clipping and blurring to receive a region-specific embedding and further tries to 135 capture relations between those instances. Shtedritski (Shtedritski et al., 2023) found that a red circle 136 around an object can direct the model's attention to that region, thus producing a 'localized' CLS 137 token while maintaining global information. As an extension to those works, Yang et al. (Yang et al., 138 2024) explore different techniques for Fine-Grained Visual Prompting (FGVP), including outlining 139 the relevant object or blurring the rest of the image (Blur Reverse Mask) and using the resulting CLIP 140 CLS token for various downstream tasks. We find that especially the masked blurring provides a 141 strong baseline. Another line of work, CPT (Yao et al., 2024) fine-tunes an existing language model 142 to allow for a prompting based on different color patches. AlphaCLIP (Sun et al., 2024) takes a similar approach by retraining CLIP to take an alpha mask alongside the original image as input, 143 focusing the model's output feature representation on the area covered by the alpha mask. However, 144 this method requires millions of mask annotations to generalize effectively. Note that MaskInversion 145 differs from both streams of work: from current visual prompt tuning methods, as it does not seek to 146 change the input image directly to get a localized CLS token embedding, but instead learns a new 147 representation for the given maks, but also from methods that rely on masked-based pertaining as 148 MaskInversion is applied at test time and does not assume any adaptation of weights of the frozen 149 backbone. Finally, Gal et al. (Gal et al., 2023) proposed text inversion as an idea related to capture 150 embeddings, but for the case of learning a token that represents a certain object to be injected into a 151 text-to-image generator. While this idea is the conceptual inspiration for this work, MaskInversion 152 differs from this method as it captures regional properties via binary masks and respective explanation 153 maps, while text inversion focuses on learning general object properties from multiple images.

154 **Explainability Methods** The proposed MaskInversion method relies on the use of explainability 155 methods to guide the model to focus on the desired area in the image. These methods explain model 156 decisions by assigning a score to each image pixel representing its importance to the model's output. 157 Gradient-based methods, which compute explanations based on the gradient of the model's prediction 158 with respect to the model output, are computationally efficient and easy to understand since they are a direct function of the model's parameters and do not rely on additional models or image modifications. 159 They have been used successfully to identify reasoning, spurious correlation, and trustworthiness in traditional computer vision models (Erhan et al., 2009; Simonyan et al., 2014; Springenberg et al., 161 2015; Sundararajan et al., 2017; Selvaraju et al., 2017; Smilkov et al., 2017; Kapishnikov et al.,

162 2019). Furthermore, gradient-based methods are differentiable, making it possible to use them as an 163 objective function. For instance, (Chefer et al., 2022) uses the explainability map to supervise the 164 model training, enforcing the model to base its classification prediction on the part of the image that 165 contains the object, thus enhancing the model's robustness. Similarly, (Paiss et al., 2022) leverages the 166 explainability signal to force an image generation model to utilize the entirety of the text prompt given by the user. While early explainability methods were developed for Convolutional Networks, with 167 perhaps the most known one being GradCAM (Selvaraju et al., 2017), the widespread use of ViTs 168 has led researchers to adapt existing methods or develop new ones specifically for transformers. For instance, rollout (Abnar & Zuidema, 2020) combines all the attention maps via matrix multiplication 170 to trace the flow of importance through the transformer's layers. Chefer et al. (Chefer et al., 2021) 171 extended rollout by weighting the attention by their gradient, making the method class-specific. 172 Recently, LeGrad (Bousselham et al., 2024) proposed a gradient-based feature-attribution method 173 specifically designed for ViT architectures. The method relies solely on the gradient of the attention 174 maps, making it fast and easy to use. We chose LeGrad as the default explainability method used in 175 the evaluation, but note that MaskInversion is a general method and can be used in conjunction with 176 any differentiable explainability method.

## 3 Method

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The proposed method, coined as *MaskInversion*, aims to learn a localized embedding or feature vector that encapsulates an object's characteristics within an image specified by a query mask. This embedding should not solely represent the object's intrinsic properties but also capture the broader context of the entire image. For instance, the embedding of a mask of a cat should differ when the cat is situated in an empty field or when it is crossing a bustling road. To achieve this, we utilize representations provided by foundation models, such as CLIP. Our approach learns a token that captures the foundation model's feature representation on the image region specified by the mask. Hence, the foundation model remains fixed during our process.

187 As shown in Figure 2, we start with the initialization of an embedding vector that serves as a localized 188 embedding token of the mask. This vector is then refined through an iterative optimization process 189 guided by an explainability map generated from the foundation model. The explainability map 190 provides a visual indication of the areas within the image that are most influential on the initial 191 embedding, thereby allowing for targeted refinement. The optimization process is supervised by 192 enforcing the generated explainability map to be similar to the query mask. We can optionally use a 193 regularization loss to ensure the mask embedding is congruent with the model's learned manifold. Finally, we improve the computational load for this process, especially for the case of computing 194 multiple embeddings based of different masks for the same image, via gradient decomposition. 195

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## 3.1 BACKGROUND/PRELIMINARIES: EXPLAINABILITY METHODS

The proposed MaskInversion method relies on the use of explainability methods to guide the creation 199 of the localized embedding token. Here, we give a brief introduction to explainability methods, 200 focusing on "gradient-based" methods (e.g. GradCAM(Selvaraju et al., 2017)). We let  $\mathcal{F}$  denote a model that maps an input image  $x \in \mathbb{R}^{3 \times W \times H}$  to an output an activation  $\mathcal{F}(x) = s \in \mathbb{R}$ . In practice, 201 202 s could be derived from a classifier's score for a particular class or the cosine similarity between 203 image and text embeddings in a vision-language model (e.g., CLIP). For a given layer  $l \in \{1, \ldots, L\}$ 204 of  $\mathcal{F}$ , we denote  $\mathbf{A}^l$  the intermediate representation of the model.  $\mathbf{A}^l$  can be intermediate features 205 maps in the case of CNNs(Selvaraju et al., 2017), intermediate tokens or attention maps in the case of 206 Vision Transformers(Dosovitskiy et al., 2021). We also denote the partial derivative of the activation s w.r.t  $A^l$  as  $\nabla A^l = \frac{\partial s}{\partial A^l}$ . 207

Gradient-based explainability methods can be generally formulated as combination of operations between the intermediate representation  $\mathbf{A} = (A^1, \dots, A^L)$  and the gradients  $\nabla \mathbf{A} = (\nabla A^1, \dots, \nabla A^L)$ : and produces a 2D heatmap denoted,  $E = g(\mathbf{A}, \nabla \mathbf{A}) \in \mathbb{R}^{W \times H}$ . For instance, in GradCAM (Selvaraju et al., 2017), E is defined as  $E(\mathbf{A}, \nabla \mathbf{A}) = \text{ReLU}(\sum_k \alpha_k \cdot \mathbf{A}_k^L)$ , where  $\alpha_k = \sum_{ij} \nabla A_{k,i,j}^L$  are the weights for the feature maps  $\mathbf{A}^L$ .

In the context of Vision Transformers (ViTs), we employ LeGrad (Bousselham et al., 2024). It focuses on the attention mechanism's role in aggregating information into the [CLS] token, which is crucial for ViTs. It considers the intermediate representations  $\mathbf{A}^{l}$  to be the attention maps of the self-attention layers. For a given activation score s, the gradient  $\nabla A^l$  of s with respect to the attention map  $A^l$  is computed, and a ReLU function is applied to discard negative contributions:

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$$\hat{E}^{l}(s) = \frac{1}{hn} \sum_{h} \sum_{i} \operatorname{ReLU}\left(\frac{\partial s}{\partial \mathbf{A}_{h,i,.}^{l}}\right).$$
(1)

where h is the number of heads and n is the number of visual tokens. Then the explainability maps of each layers are averaged:  $\bar{E} = \frac{1}{L} \sum_{l} \hat{E}^{l}(s)$ . The final explainability map is then obtained by isolating the influence of the patch tokens, reshaping it into a 2D map, and applying min-max normalization to scale the scores between 0 and 1:  $E = \text{norm}(\text{reshape}(\bar{E}))$ . In practice, we utilize only the last attention map of the last layer to reduce computational cost.

#### 3.2 LOCALIZED EMBEDDING LEARNING VIA EXPLAINABILITY MAP OPTIMIZATION

The inputs to our method are an image  $x \in \mathbb{R}^{3 \times W \times H}$  and a binary query mask  $\mathbf{m} = (m_{i,j}) \in \mathbb{R}^{W \times H}$ ,  $m_{i,j} \in \{0,1\}$ , specifying a region of interest. Our objective is to derive a localized embedding token  $LET_{\mathbf{m}} \in \mathbb{R}^{d}$  that generates an explainability map that corresponds to the masked region.

**Embedding Token Initialization**. We initialize the localized embedding token  $LET_{\mathbf{m}}^{(0)}$  by copying the global [CLS] token produced by the foundation model,  $LET_{\mathbf{m}}^{(0)} = z^0 \in \mathbb{R}^d$ . We then compute the cosine similarity between the embedding token and the average of the [CLS] and all patch tokens following (Bousselham et al., 2024) as the activation score for the explainability map:

$$s^{(0)} = \cos\left(LET_{\mathbf{m}}^{(0)}, \bar{\mathbf{z}}\right) \in \mathbb{R},\tag{2}$$

where  $\bar{\mathbf{z}} = \frac{1}{n} \sum_{p} z_{p}$  represents the patch and [CLS] token of the ViT averaged across the spatial dimensions, and **cos** denotes the cosine similarity. Following the process described in Section 3.1, the score is used to compute the explainability map denoted as  $\mathbf{E}^{(0)} = E(s^{(0)}) \in \mathbb{R}^{W \times H}$ , with each element  $\mathbf{E}_{i,j}^{(0)} \in [0, 1]$ . This map  $\mathbf{E}^{(0)}$  indicates the regions within the image that the initial embedding  $LET_{\mathbf{m}}^{(0)}$  predominantly focuses on. Since the localized embedding is initialized with the [CLS] token our initial explainability map corresponds to the explainability map of the [CLS] token.

247 Embedding Token Optimization. To refine the initial guess and guide the embedding token 248 representation towards the query mask, we treat the mask localized embedding  $LET_{\mathbf{m}} \in \mathbb{R}^d$ , 249 corresponding to the query mask  $\mathbf{m}$ , as a *learnable vector* with d parameters. We supervise the learning of this vector by optimizing its parameters for K steps, with  $k \in \{0, ..., K\}$  so that, for each 250 optimization step k, the resulting explainability map  $\mathbf{E}^{(k)}$  for this token resembles the query mask 251 **m**. We achieved this through iterative gradient descent. Specifically, we quantify the discrepancy 252 between the explainability map and the query mask using a soft Dice loss, as commonly employed in 253 segmentation tasks (Milletari et al., 2016; Cheng et al., 2021) measuring region similarity: 254

$$\mathcal{L}_{\text{Dice}} = 1 - \frac{2 \times \text{intersection}(\mathbf{E}^{(k)}, \mathbf{m})}{\text{union}(\mathbf{E}^{(k)}, \mathbf{m}) + \epsilon},$$
(3)

where intersection( $\mathbf{E}^{(k)}, \mathbf{m}$ ) and union( $\mathbf{E}^{(k)}, \mathbf{m}$ ) are the intersection, realized by elementwise multiplications, and union, realized by elementwise addition, of the explainability map and the binary mask, respectively, and  $\epsilon$  is a small constant to avoid division by zero. The Dice loss is minimized by optimizing the localized embedding  $LET_{\mathbf{m}}$  parameters over K iterations of gradient descent to yield the final embedding  $LET_{\mathbf{m}} = LET_{\mathbf{m}}^{(K)}$ .

**Regularization Loss.** The method, as described so far, will capture the representation of the indicated region. This can lead to the effect that the final representation  $LET_{\rm m}$  is less aligned with the image itself, thus discarding any image information. But it can sometimes be helpful to have both a good region representation together with general image context. We, therefore, propose an add-on auxiliary regularization loss that forces the localized token embedding  $LET_{\rm m}^{(k)}$  at each step k to remain within the manifold of the image encoder:

$$\mathcal{L}_{\text{reg}} = 1 - \cos\left(LET_{\mathbf{m}}^{(k)}, z_0^L\right).$$
(4)

270 The final loss function is a weighted sum of the Dice loss equation 3 and the regularization loss: 271

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 $\mathcal{L} = \mathcal{L}_{\text{Dice}} + \alpha \cdot \mathcal{L}_{\text{reg}},$ (5)

where  $\alpha \in \mathbb{R}$  is a hyperparameter that modulates the influence of the regularization loss. It allows 273 us to regulate how much region vs. global information should be encoded in the output token 274 embedding. We found that this sepcifically helps for tasks that need context knowledge such as 275 referring expressions, while 'object-only' tasks such as region-based/localized classification do not 276 profit from such an alignment, thus setting  $\alpha = 0$  for those cases. 277

#### 3.3 FASTER MASK INVERSION VIA GRADIENT DECOMPOSITION

The derivation of the explainability map necessitates the calculation of a gradient, and similarly, each gradient descent iteration requires the computation of a gradient with respect to the loss function  $\mathcal{L}$ . 282 Consequently, this iterative process requires the evaluation of second-order derivatives of the form 283  $\frac{\partial \mathcal{L}}{\partial L ET_{\mathbf{m}}^{(k)}}(L ET_{\mathbf{m}}^{(k)}, \nabla \mathbf{A})$ , which can be computationally intensive and numerically unstable.

285 To enhance the computational efficiency of this process, it is advantageous to obviate the need 286 for backpropagation to generate explainability maps at each iteration. We propose a gradient decomposition strategy that simplifies the gradient computation associated with the explainability method. For a given iteration k, the gradient decomposition can be expressed as follows: 288

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 $\nabla \mathbf{A} = \frac{\partial s}{\partial \mathbf{A}} = \frac{\partial \bar{\mathbf{z}} \cdot \left( LET_{\mathbf{m}}^{(k)} \right)^T}{\partial \mathbf{A}} = \frac{\partial \bar{\mathbf{z}}}{\partial \mathbf{A}} \cdot \left( LET_{\mathbf{m}}^{(k)} \right)^T \in \mathbb{R}^{h \times n \times n}$ (6)

292 where h is the number of heads and n is the number of visual tokens. This equation holds true 293 because the mask  $LET_{\mathbf{m}}^{(k)}$  is not dependent on the activations  $\mathbf{A}^{L}$ . By decomposing the gradient in this manner, the task of generating the explainability map transitions from a gradient computation to a dot product operation between  $LET_{\mathbf{m}}^{(k)} \in \mathbb{R}^{d}$  and  $\frac{\partial \bar{\mathbf{z}}}{\partial \mathbf{A}} \in \mathbb{R}^{h \times n \times n \times d}$ . As a result, the proposed gradient decomposition approach significantly reduces the computational load by eliminating the 294 295 296 297 need to compute the gradient of the score function s with respect to the activations A multiple times. Instead, a single computation of the gradient  $\frac{\partial \bar{z}}{\partial A}$  suffices for all subsequent gradient descent steps, thereby expediting the mask inversion process and enhancing its numerical stability. 298 299

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#### **EXPERIMENTS** 4

#### 4.1 DOWNSTREAM TASKS

305 In the following, we will give a brief overview of these tasks, their metrics and datasets. Please see 306 the Appendix B for all details. 307

**Referring Expressions** To assess the proposed method's ability to capture localized properties, we 308 evaluate it for referring expression classification. Given an image and a set of masks, we generate 309 an embedding for each mask within an image and match the generated region embeddings to a set 310 of text queries (referring expressions) encoded with the respective text encoder. The query mask 311 whose localized embedding exhibits the highest cosine similarity with the text embedding is selected. 312 We employ standard referring expression datasets: PhraseCut (Wu et al., 2020), RefCOCO, and 313 RefCOCO+ (Kazemzadeh et al., 2014), reporting top-1, top-5, top-10 accuracy, mean Intersection 314 over Union (mIoU) and overall Intersection over Union (oIoU).

315 Class Retrieval Zero-shot classification requires classifying an image by matching its visual embed-316 ding with the textual description of the classes present in the dataset. Here, we propose to increase 317 the granularity by using it to *classify a specific region* of the image: given a query mask of an object, 318 classify it by matching its localized embedding to the text embeddings of the classes in the datasets. 319 For this, we leverage two semantic segmentation datasets, PascalVOC (Everingham et al., 2015) 320 and PascalContext (Mottaghi et al., 2014), and one instance segmentation dataset, MSCOCO (Lin 321 et al., 2014). The performance is evaluated using the top-1, top-5, and top-10 accuracy. Finally, we challenge the proposed method in a large-scale open-vocabulary setting. We utilize a subset of the 322 OpenImagesV7 (Benenson & Ferrari, 2022), which offers mask annotations for a diverse array of 323 objects across 350 unique classes.

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					PhraseCu	t	R	efCOCO		Re	fCOCO+	F
		Method	zero-shot	Acc@1	Acc@5	Acc@10	Acc@1	mIoU	oIoU	Acc@1	mIoU	oIoU
	CPT ‡	RN50x16 + ViT-B/32	1	-	-	-	32.2	-	-	31.9	-	-
	GradCAM‡	RN50x16 + ViT-B/32	1	-	-	-	42.9	-	-	47.8	-	-
	ReCLIP‡	RN50x16 + ViT-B/32	1	-	-	-	45.8	-	-	47.9	-	-
	RedCircle‡	RN50x16 + ViT-L/14@336	1	-	-	-	49.8	-	-	55.3	-	-
	FGVP‡	RN50x16 + ViT-B/32 +ViT-L/14@336	1	-	-	-	52.9	-	-	57.4	-	-
	AlphaCLIP ‡	ViT-B/16+ViT-L/14	×	-	-	-	55.7	-	-	55.6		-
_	RIS	ViT-B/32	1	-	-	-	-	-	42.6	-	-	37.1
	CLIP*	ViT-B/16	1	14.4	66.4	87.1	18.3	18.9	15.3	18.4	19.0	15.4
	Crop*	ViT-B/16	1	15.1	67.0	87.6	17.9	18.5	15.5	19.0	19.5	16.1
	Masked Crop*	ViT-B/16	1	48.3	89.7	97.2	52.3	52.9	41.2	58.7	59.4	47.5
	RedCircle*	ViT-B/16	1	21.5	72.3	90.3	42.5	43.2	32.7	42.5	43.3	33.5
	FGVP*	ViT-B/16	1	35.9	83.5	95.2	42.6	43.2	33.3	48.0	48.7	38.0
	AlphaCLIP*	ViT-B/16	×	34.0	80.0	93.6	43.4	44.0	38.1	44.2	44.7	39.7
	MaskInversion	ViT-B/32	1	54.8	93.0	98.5	54.1	54.7	42.3	55.8	56.5	44.3
	MaskInversion	ViT-B/16	1	57.2	93.3	98.3	56.1	56.8	44.5	58.3	59.0	46.5
	MaskInversion	ViT-L/14	1	60.2	94.9	98.7	56.1	56.7	42.0	60.2	60.9	47.5
	MaskInversion	ViT-H/14	1	64.0	96.0	99.2	61.2	61.8	47.5	65.0	65.7	52.6

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Table 1: Comparison with baselines on Referring Expression Retrieval. Given a query mask, the task is to retrieve the corresponding expression.  $\ddagger$  indicates deviating evaluation settings where a pretrained region proposal is used, in that setting if the matched region has an IoU > 0.5, the prediction is counted as a hit; note that in this setting, several proposals could result in a hit. \* indicates reproduced results.

341 Localized Captioning Traditionally, image captioning models generate captions for entire images 342 based on the visual representation provided by an image encoder. In contrast, we aim to evaluate 343 our method's ability to focus the captioner on a specific image region while maintaining contextual 344 relevance. To this end, we leverage a pretrained image captioner, CLIPCap (Mokady et al., 2021), 345 and provide it with the localized embedding token of a query mask to generate a caption. CLIPCap 346 is trained on top of the CLIP vision encoder and feeds its [CLS] token to GPT-2(Radford et al., 347 2019) to produce a caption. Here, we feed the localized embeddings of MaskInversion as a drop-in replacement of the CLIP [CLS] token to the captioner without any finetuning. As no dataset directly 348 supports this evaluation type, we adapted an existing dataset, PhraseCut. To quantitatively evaluate 349 the generated localized captions, we match the generated caption to the set of ground truth referring 350 expressions for this image using the text encoder from CLIP (ViT-L/14 by OpenAI). We consider the 351 caption correct if the cosine similarity between the generated caption and the ground truth referring 352 expression for this mask is the highest. The reported metric for this task is the top-1 accuracy. 353

**Implementation Details** The proposed method is evaluated using pretrained CLIP vision-language models. For ViT-B/32, ViT-B/16, and ViT-L/14, we used the original weight from OpenAI (Radford et al., 2021), and for ViT-H/14, we used the weights "laion2b\_s32b\_b79k" from the OpenCLIP library (Cherti et al., 2023; Schuhmann et al., 2022). For the MaskInversion process, we use AdamW optimizer(Kingma, 2014) with 10 gradient descent iterations. For the loss equation 5, we set  $\alpha$  to 5 for RefCOCO and RefCOCO+, and to 0 for all other datasets.

360 4.2 COMPARISON TO STATE-OF-THE-ART

**Referring Expression Retrieval** Table 1 presents the results on referring expression datasets. For 362 related approaches, as there is no directly comparable setting, we provide both, reported as well as 363 reproduced results. Note that the original evaluation settings can vary for different methods. For 364 reproduced results, indicated by \*, we adapt the evaluation setting to the case where ground truth masks are used as described in Sec. 4.1. We used the code provided by the authors of each method, 366 forward each image together with the groundtruth masks of MSCOCO, and match the resulting 367 representation to the text embedding of the respective backbone. We further compare with the 368 following baselines: CLIP refers to the general CLIP baseline by using the image CLS token, Crop uses the CLS token of cropped region by forwarding only this region through CLIP, and Masked Crop 369 refers to forwarding the full image, but keeping only the masked region and replacing all other pixels 370 with the average pixel value of the dataset. For PhraseCut, MaskInversion outperforms all entertained 371 baselines, regardless of the model size. On RefCOCO and RefCOCO+, MaskInversion also achieves 372 SOTA performance. In addition, MaskInversion performance scales well when the backbone size 373 increases, establishing a new SOTA on every data set when ViT-H/14 is used. 374

Class Retrieval Table 2 compares MaskInversion to other methods for the case of zero-shot class
 retrieval, keeping the same setting as detailed under *Referring Expression Retrieval*. MaskInversion again performs well compared to other methods on semantic segmentation datasets, such as PascalVOC and PascalContext.Furthermore, MaskInversion also exhibits good performance on the

		PascalVOC			PascalContext			COCO		OpenImagesV7			
	Method	Acc@1	Acc@5	Acc@10	Acc@1	Acc@5	Acc@10	Acc@1	Acc@5	Acc@10	Acc@1	Acc@5	Acc@10
	CLIP*	40.1	87.2	95.6	17.8	38.7	52.7	25.0	54.9	72.6	28.9	63.4	72.7
	Crop*	27.9	51.2	72.4	5.6	13.2	20.4	23.9	34.5	41.5	0.8	3.8	7.05
/16	Masked Crop*	75.0	91.4	96.4	40.4	65.9	75.8	38.2	57.7	65.2	33.8	61.9	73.7
н. Н.	RedCircle*	47.5	92.9	97.7	21.3	45.0	57.4	28.8	63.0	77.3	40.5	75.8	84.5
5	AlphaCLIP*	52.6	85.9	93.8	27.7	60.9	75.1	30.9	55.9	70.3	43.0	77.4	84.3
	FGVP*	71.8	93.6	98.3	32.6	58.9	72.4	35.9	62.2	72.6	39.4	75.6	84.6
	RIS*	78.0	95.2	98.1	38.1	62.7	74.3	43.6	65.3	72.4	34.5	66.5	75.8
B/32	MaskInversion	79.5	96.4	98.8	46.7	74.9	84.6	38.0	65.8	78.4	42.6	78.8	86.6
B/16	MaskInversion	85.4	96.4	98.8	58.1	83.7	90.5	44.7	71.6	83.0	46.3	80.4	87.9
L/14	MaskInversion	91.0	99.1	99.8	59.0	86.3	92.5	56.0	84.2	91.4	48.7	81.0	88.1
H/14	MaskInversion	93.5	99.4	99.7	61.8	86.0	91.8	63.7	88.3	93.5	51.2	85.2	91.4

Table 2: Comparison with baselines on Class Retrieval for Segmentation Datasets. Given a mask, the task is to retrieve the corresponding class. \* indicates reproduced results.



Figure 3: Localized Embedding Visualizations: Visualisation of the learned localized embedding using *(left)* a pretrained diffusion model; *(right)* an image captioner. In both cases, all the models are kept frozen and only the global feature representation of the vision encoder is replaced by the output of MaskInversion depending on the query mask.

instance segmentation dataset COCO. These results demonstrate that MaskInversion can effectively direct the attention of the foundation model to multiple instances of the same object class at the same time, as well as to a single instance. Here, MaskInversion also outperforms the recently proposed AlphaCLIP (Sun et al., 2024), which fine-tunes CLIP with millions of mask-text pairs annotations, thereby demonstrating its ability to excel without the need to fine-tune CLIP. Finally, looking at the results on OpenImagesV7, which features a significantly larger vocabulary of 350 classes, we can see that methods like AlphaCLIP, which are specifically trained for such tasks, perform well. However, MaskInversion still outperforms all other methods we compared, demonstrating its capability to handle large vocabularies.

4.3 LOCALIZED CAPTIONING ANALYSIS

Localized captions We further consider the performance of MaskInversion against CLIP and AlphaCLIP for localized captioning in Table 3. We use CLIPCap (Mokady et al., 2021) as the captioner and replace the CLIP image encoder with either AlphaCLIP or the output of MaskInversion without any finetuning. We observe that MaskInversion demonstrates the ability to focus the captioner on the area of interest, as the accuracy is

Method	Acc
CLIP	20.1
AlphaCLIP	<u>31.8</u>
MaskInversion	<b>48.4</b>

Table 3: **Localized captioning:** Given a query mask, the goal is to generate a caption that corresponds to the region highlighted by the mask. CLIPCap is used to generate the caption with CLIP-ViT-B/16.

432 more than doubled when using MaskInversion versus only using CLIP. Moreover, MaskInversion 433 also significantly AlphaCLIP despite not involving any fine-tuning of the CLIP model. 434

Qualitative Results Figure 3 presents further qualitative examples of the localized captions gener-435 ated by MaskInversion+CLIPCap for different query masks. These visualizations complement the 436 quantitative benchmarks. The proposed method demonstrates a high degree of precision in focusing 437 the captioning on specific image regions dictated by the query masks, as e.g. water and first are in are 438 highly separated. 439

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#### 44 MASK EMBEDDING FOR IMAGE DIFFUSION

443 To further visualize the concepts captured in the learned representation output by MaskInversion, we 444 employed  $\lambda$ -ECLIPSE (Patel et al., 2024), a state-of-the-art diffusion model. This model accepts a 445 visual embedding from a ViT-bigG/14 CLIP model along with a text prompt, producing variations 446 of the input image that correspond to the prompt. Utilizing the default settings of  $\lambda$ -ECLIPSE as 447 described in (Patel et al., 2024), we conducted several experiments to generate images based on 448 different query masks used for the MaskInversion process.

449 Figure 3 illustrates how the generated images vary depending 450 on the mask used. This variation shows the effectiveness of 451 MaskInversion in producing localized and contextualized em-452 453 of objects within the bounds of the query mask, confirming 454 that MaskInversion directs the model's attention to specific 455 456 ability map generated by LeGrad(Bousselham et al., 2024) is 457 effectiveness of the proposed optimization process. 458

Mask Type	Acc
Mask	<u>44.7</u>
Erosion	42.7
Dilation	44.3
Box	42.9
Box + SAM	<b>45.0</b>

Table 4: Mask Quality Ablation: assessment of the mask quality impact on MSCOCO for the Class Retrieval task.

#Mask	Decomp.	Sec.↓
5	X	0.10
5	1	0.13
10	X	0.15
10	1	0.14
50	X	0.65
50	1	0.27
100	X	1.27
100	1	0.44

Table 5: Gradient Decomposition Ablation: Runtime using or not using gradient decomposition as described Sec.3.3 (ViT-B/16) for different number of masks, for 10 gradient descent steps.

beddings. The images clearly focus on the objects or groups parts of the image. Moreover, we observe that the final explainfocused on the area covered by the query mask, validating the 459 460

4.5 Ablations

463 Impact of Mask Quality MaskInversion utilizes an input query 464 mask to direct the output of the foundation model toward the 465 area covered by the mask. Given that the mask is a critical component of our method, it is imperative to assess how vari-466 ations in mask quality affect MaskInversion's performance. To 467 this end, we evaluate different mask conditions for the task of 468 Class Retrieval on the MSCOCO dataset as shown in Table 4: 469 Box uses the bounding boxes instead of precise segmentation 470 masks, Box+SAM uses the bounding boxes to receive a mask 471 via segmentation using SAM (Kirillov et al., 2023), and Erosion 472 and Dilation apply the respective morphological operations to 473 the original masks. Figure 7 shows qualitative examples for 474 the different cases. Our findings indicate that eroding the mask 475 leads to a more substantial decrease in performance compared 476 to dilation. We further see a decrease in accuracy from 44.7%to 42.9% when using bounding boxes only, whereas the com-477

bination of bounding boxes and SAM to derive the mask achieves comparable performance to the 478 ground truth mask. This scenario is especially relevant for practical applications where users may 479 find it easier to draw bounding boxes rather than detailed masks. 480

481 Runtime Evaluation for Gradient Decomposition Table 5 presents a runtime comparison of the 482 vanilla MaskInversion, where the gradient gradient-based explainability map is computed at each 483 iteration and for each mask, versus the "gradient-decomposition" proposed in section 3.3 for K = 10steps. We observe that for any number of masks higher than 5 the proposed gradient decomposition is 484 faster than the vanilla way of computing the explainability map (see appendix Sec. F for an ablation 485 on the number of iterations).



Figure 4: Visualization of the Explainability Maps throughout the optimization steps.

4.6 OPTIMIZATION STEPS VISUALIZATION

Finally, Figure 4 provides a visualization of the explainability map throughout the optimization process employed by MaskInversion. It is observed that the explainability map increasingly concentrates on the region covered by the query mask as the optimization progresses. This observation is indicative of the method's ability to effectively focus the attention of the underlying foundation model on the designated areas of the image.

## 5 CONCLUSION

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In this work, we proposed MaskInversion as a method to create region embeddings that are grounded in the rich feature representations of foundation models without the need to fine-tune the model. To this end, we leverage the concept of explainability maps to learn an embedding vector that is focused on a respective region. We extend this idea by an add-on regularization loss to balance global and local representations as well as by a gradient decomposition to improve runtime in case of multiple masks per image. This approach holds promise for many applications in computer vision, where understanding and manipulating specific regions of an image in relation to their context is important.

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## A APPENDIX

In the Appendix, we first provide additional details on the different downstream task in Sec.B. Sec.E provides visualizations of the mask distortion used for our ablations. SecF provides a more thorough ablation of the proposed gradient decomposition technique. Sec. G presents an ablation on the explainability method used for MaskInversion. Sec. H and I respectively discuss the limitations of SOTA methods as well as the proposed MaskInversion. Eventually, we provide additional qualitative examples of localized captioning and diffusion in Sec. J and Sec. K.

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## **B** DOWNSTREAM TASKS

659 **Referring Expressions** To assess the proposed method's ability to capture localized properties, we 660 evaluate it for referring expression classification. Given an image and a set of masks, we generate 661 an embedding for each mask within an image and match the generated region embeddings to a set 662 of text queries (referring expressions) encoded with the respective text encoder. The query mask whose localized embedding exhibits the highest cosine similarity with the text embedding is selected. 663 We employ standard referring expression datasets, i.e. PhraseCut (Wu et al., 2020), RefCOCO, and 664 RefCOCO+ (Kazemzadeh et al., 2014). For RefCOCO and RefCOCO+, we use the mask annotations 665 from the MSCOCO (Lin et al., 2014) dataset, which has about 30 masks per image, thereby increasing 666 the difficulty of the task. For PhraseCut, we consider the masks of all annotated referring expressions 667 as candidates, reporting top-1, top-5, and top-10 accuracy. Additionally, following (Subramanian 668 et al., 2022; Sun et al., 2024; Yang et al., 2024; Shtedritski et al., 2023), for RefCOCO and RefCOCO+, 669 we report the mean Intersection over Union (mIoU) and overall Intersection over Union (oIoU). 670

671 **Class Retrieval** Second, we consider the task of zero-shot classification as a common benchmark 672 for vision-language models. In that task, an image is classified by matching its visual embedding 673 with the textual description of the classes present in the dataset. Here, we propose to increase 674 the granularity by using it to *classify a specific region* of the image: given a query mask of an 675 object, classify it by matching its localized embedding to the text embeddings of the classes in 676 the datasets. For this, we leverage two semantic segmentation datasets, PascalVOC (Everingham et al., 2015) and PascalContext (Mottaghi et al., 2014), with 19 and 59 classes, respectively, and 677 one instance segmentation dataset, MSCOCO (Lin et al., 2014), with 80 classes. The performance 678 is evaluated using the top-1, top-5, and top-10 accuracy metrics, denoted by Acc@1, Acc@5, and 679 Acc@10. Finally, we challenge the proposed method in a large-scale open-vocabulary setting by 680 using a dataset encompassing a substantially larger number of classes. We utilize a subset of the 681 OpenImagesV7 (Benenson & Ferrari, 2022) dataset, which offers mask annotations for a diverse 682 array of objects across 350 unique classes. The evaluation metrics are again top-1, top-5, and top-10 683 accuracy reported as Acc@1, Acc@5, and Acc@10.

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**Localized Captioning** Traditionally, image captioning models generate captions for entire images 686 based on the visual representation provided by an image encoder. In contrast, we aim to evaluate 687 our method's ability to focus the captioner on a specific image region while maintaining contextual 688 relevance. To this end, we leverage a pretrained image captioner, CLIPCap (Mokady et al., 2021), and provide it with the localized embedding token of a query mask to generate a caption. CLIPCap 689 is trained on top of the CLIP vision encoder and feeds its [CLS] token to GPT-2(Radford et al., 690 2019) to produce a caption. Here, we feed the localized embeddings of MaskInversion as a drop-in 691 replacement of the CLIP [CLS] token to the captioner *without any finetuning*. As no dataset directly 692 supports this evaluation type, we adapted an existing dataset, PhraseCut. To quantitatively evaluate 693 the generated localized captions, we match the generated caption to the set of ground truth referring 694 expressions for this image using the text encoder from CLIP (ViT-L/14 by OpenAI), consider the 695 caption correct if the cosine similarity between the generated caption and the ground truth referring 696 expression for this mask is the highest. The reported metric for this task is the top-1 accuracy. 697

## **C** INFLUENCE OF $\alpha$

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700 We conduct an extensive analysis of the hyperparameter  $\alpha$  to understand its role in balancing local and 701 global information within the learned embeddings. Figure 5 illustrates this effect through generated 705 captions for different  $\alpha$  values. When  $\alpha = 0$ , the model generates descriptions focused strictly on



the masked region (e.g., "woman in a boat"), while increasing  $\alpha$  progressively incorporates more 724 contextual information(e.g., "produce" or "vegetables"). Quantitatively, we observe that performance 725 on RefCOCO improves as  $\alpha$  increases from 0 (41.7%) to an optimal value around  $\alpha = 5.0$  (56.2%), 726 before gradually declining for larger values. This sweet spot ( $\alpha \approx 5.0$ ) represents an optimal balance 727 where the embedding retains sufficient local information while leveraging beneficial contextual cues. 728 Beyond  $\alpha > 7.5$ , performance deteriorates as the representation becomes increasingly similar to the 729 global [CLS] token, with a dramatic drop at  $\alpha = 20.0$  (20.5%). This analysis demonstrates that  $\alpha$ 730 effectively functions as a control mechanism for trading off local detail against global context in the 731 learned representations.

#### **MULTI-OBJECT** D

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While quantitative evaluation of multi-object scenarios presents inherent challenges, we demonstrate MaskInversion's capability to handle multiple objects through qualitative analysis. As shown in Figure D, our method effectively captures the relationships and context of multiple objects within a single mask. For instance, when given a mask covering multiple Pokémon characters, the generated diffusion outputs maintain coherent representations of all objects while preserving their spatial relationships and individual characteristics. The diffusion model successfully reconstructs multiple objects from the localized embedding, indicating that MaskInversion effectively encodes information about multiple entities and their relative positioning. This is particularly evident in cases where the mask encompasses groups of similar objects (e.g., multiple Pokémon) or diverse object combinations, 744 demonstrating the method's robustness in handling complex, multi-object scenarios without losing individual object details or their contextual relationships.

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#### E MASK QUALITY

Figure 7 provides a visualization of the different mask degradation settings entertained in Table 4.

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#### F **GRADIENT DECOMPOSITION**

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Figure 8 provides a more thorough comparison of the vanilla MaskInversion process described in 754 Section 3.2 against the gradient decomposition trick described in Section 3.3. Namely, Figure 8 755 extends Table 5 to different numbers of gradient descent iterations and to more number of masks.





## <sup>810</sup> G IMPACT OF THE EXPLAINABILITY METHOD Expl. Meth

812 Given that MaskInversion leverages an explainability method 813 to guide the inversion process, its dependency on the choice of 814 explainability method was evaluated. We experimented with 815 alternative gradient-based methods, such as GradCAM and 816 CheferCAM, in place of the originally used LeGrad. The com-817 parative results on the MSCOCO dataset are presented in Ta-818 ble 7. LeGrad significantly outperformed the other methods, 819 which can be attributed to its design specificity for ViT architectures, unlike GradCAM and CheferCAM, which are tailored 820 for CNNs and general transformers, respectively. This find-821 ing aligns with the observations in (Bousselham et al., 2024), 822

Expl. Method	Acc@1
GradCAM	34.6
GradCAM <sup>‡</sup>	47.6
CheferCAM	12.6
LeGrad	85.4

Table 7: Explanability Method Ablation: MaskInversion performance using different explainability methods on the class retrieval task on PascalVOC. ‡indicates a modified version of GradCAM without the ReLU operation.

where LeGrad demonstrated superior localization capabilities essential for the tasks addressed by
 MaskInversion. Thus, the selection of an appropriate explainability method is crucial for optimizing
 the performance of MaskInversion.

## H SOTA METHODS' LIMITATIONS

Table 8 provides a description of the different baselines we compare MaskInversion to.

Method	Finetune Model	Modify Img.	Description
Crop	×	1	Crop the input image, thus losing the context
RedCircle	X	1	Draw a red circle around the area of interest. Contingent on the biases
			in the training data and modifying the image can cause a domain gap.
Masked Crop	X	1	Crop the input image and mask the background.
FGVP(Yang et al., 2024)	X	1	Heavily blur the background, thus losing the context.
RIS(Yu et al., 2023)	×	1	Masks the features of the ViT after a certain number of layers to prevent the [CLS] token to aggregate information from outside the mask.
AlphaCLIP(Sun et al., 2024)	1	×	Finetunes CLIP to take as input an image and a mask. AlphaCLIP was trained on fine-grained mask/text pairs.

Table 8: On one hand, directly modifying the input pixels can cause a domain gap between what the model was trained on and what it is used for (e.g., RedCircle & Masked Crop). Moreover, it can also completely remove the context that can be crucial for downstream tasks (e.g., Crop & Masking). On the other hand, finetuning the model can not only result in forgetting the knowledge accumulated during pretraining but also requires fine-grained mask/text data (*e.g.* AlphaCLIP). Also, the training needs to be done for every model.

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## I LIMITATIONS

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Firstly, the efficacy of MaskInversion is inherently tied to the availability and quality of explainability
methods that integrate well with the foundation model used. Models lacking robust explainability
frameworks may not fully benefit from the MaskInversion approach, as the method relies on accurate
and interpretable explanations to guide the inversion process. Consequently, the performance of
MaskInversion may degrade when applied to models with suboptimal explainability methods.

Secondly, foundational models like CLIP are often trained on using small-resolution images, usually
224 × 224. This characteristic imposes a downstream limitation on the MaskInversion method,
particularly when the task involves focusing the model's attention on small objects within the image.
The reduced resolution can hinder the method's ability to accurately capture fine-grained details,
thereby affecting the overall performance in scenarios requiring high precision on small-scale features.
To mitigate that problem, in this work, we used bicubic interpolation on the pretrained positional
embedding of the ViT to increase the resolution at inference from 224 × 224 to 448 × 448.



917 embedding learned a rich representation of the queried area.