Your Context Is Not an Array: Unveiling Random Access Limitations in Transformers

Anonymous Author(s) Affiliation Address email

Abstract

 Despite their recent successes, Transformer-based large language models show surprising failure modes. A well-known example of such failure modes is their inability to length-generalize: solving problem instances at inference time that are longer than those seen during training. In this work, we further explore the root cause of this failure by performing a detailed analysis of model behaviors on the simple parity task. Our analysis suggests that length generalization failures are intricately related to a model's inability to perform random memory accesses within its context window. We present supporting evidence for this hypothesis by demonstrating the effectiveness of methodologies that circumvent the need for indexing or that enable random token access indirectly, through content-based addressing. We further show where and how the failure to perform random memory access manifests through attention map visualizations.

13 1 Introduction

 The evolution of Transformer-based large language models (LLMs) has marked a new era in how machines understand and interact with human language. Their capabilities extend far beyond natural [l](#page-9-0)anguage tasks, encompassing instruction following [\(Ouyang et al.,](#page-8-0) [2022\)](#page-8-0), code generation [\(Zhang](#page-9-0) [et al.,](#page-9-0) [2023\)](#page-9-0), theorem proving [\(Wu et al.,](#page-9-1) [2022\)](#page-9-1), and common sense and multi-step reasoning [\(Yu](#page-9-2) [et al.,](#page-9-2) [2023\)](#page-9-2). This has made LLMs play a pivotal role as the backbone of AI agents [\(Xi et al.,](#page-9-3) [2023\)](#page-9-3), and even has sparked discussions around their ability to exhibit glimpses of general intelligence [\(Bubeck et al.,](#page-8-1) [2023\)](#page-8-1).

 Despite these remarkable capabilities, surprisingly, the same models struggle with seemingly simple arithmetic tasks, such as multi-digit addition and multiplication [\(Dziri et al.,](#page-8-2) [2024\)](#page-8-2). Specifically, the models fail to learn simple algorithms to perform these arithmetic operations. This becomes apparent when models are applied to problems of greater length than those encountered during training [\(Hupkes et al.,](#page-8-3) [2020\)](#page-8-3), a problem setting generally referred to as *length generalization*.

 Arithmetic tasks fundamentally differ from natural language tasks in two key aspects. First, unlike natural language, responses to arithmetic tasks are objective and unambiguous, corresponding to the exact execution of a sequence of algorithmic steps. The second difference, and the focus of our work, is their reliance on formatting: arithmetic expressions are represented using a limited vocabulary, such as digits, with each token holding equal significance.

Crucially, in the representation of arithmetic tasks, a token's position is as important as its value.

This stands in stark contrast to natural language expressions, in which the coupling between token or

word positions on the one hand and the meaning of the expression on the other is much weaker and

much more flexible. In the context of language modeling this has been demonstrated, for example,

Submitted to the "System 2 Reasoning at Scale Workshop" (NeurIPS 2024). Do not distribute.

 by [Sinha et al.](#page-9-4) [\(2021\)](#page-9-4), who show that permuting word orders has a surprisingly small effect on the performance of BERT models in natural language processing tasks.

 In other words, the meaning of natural language utterances depends largely on the meaning of their constituents (*e.g.*, words) and only partially on their positions. This well-known influence of meaning (semantics) over pure syntax is exemplified in expressions, such as "He saw the cat with the binoculars", in which the phrase "with the binoculars" is more likely subordinate to "He", even though syntactically it could equally be subordinate to "the cat". The precise position of individual words becomes even less informative when references stretch over larger distances, such as across sentences.

 As illustrated in Figure [1,](#page-1-0) when predicting the next token in a natural language task, token references which are *"content-based"* in this way are well represented by the common attention mechanism prevalent in the Transformer, and they are further reinforced through pre-training on natural language. This is in contrast to arithmetic tasks, which rely exclusively on *"index-based addressing"* (random access memory) into the context window to retrieve the information necessary for generating the next algorithmic step.

Figure 1: **Top:** Prediction in natural language tasks. To predict the pronoun him, the model needs to access previously used pronouns in the context, among other tokens, regardless of the exact position of the token He in the context (content-based addressing). Bottom: Prediction in an arithmetic task. The model returns the running parity of the binary sequence after $==$. For the third output, the model must precisely attend to the token in position 3 of the context window (index-based addressing).

In this work, we provide an in-depth study of this addressing dichotomy and present evidence for

its role in the failure of Transformer language models in algorithmic tasks. We focus on the binary

parity task as it is, arguably, the simplest sequential arithmetic task, making it well-suited to study the

underlying computational requirements of Transformers applied to it. When properly formatted, the

state needed to carry over at each step is only one bit, and the key operation required to learn is XOR.

 Yet, Transformer models struggle to learn a length generalizable algorithm for this task [\(Anil et al.,](#page-8-4) [2022\)](#page-8-4).

 Our detailed empirical study of the parity task across models with various positional embedding methods strongly supports the hypothesis that Transformers pre-trained on natural language learn to retrieve tokens using content-based addressing, leading them to fail on algorithmic tasks which, as discussed, depend on random memory access.

 In Section [3](#page-2-0) and Appendix Section [C,](#page-12-0) we further demonstrate how the addition of *"mnemonics"* to leverage content-based addressing as a workaround for index-based addressing allows models to learn length generalizable algorithms for the parity and addition tasks, both of which were previously shown to be hard for Transformer language models. While the introduction of mnemonics is not proposed as a practical fix, it highlights the underlying issue and reinforces our hypothesis. Our work suggests that equipping models with effective index-based addressing mechanisms could be a key to learning algorithms that can length-generalize.

68 2 Related work

Length generalization is a well-known problem in the context of Transformer-based sequence models

[\(Qian et al.,](#page-8-5) [2022;](#page-8-5) [Newman et al.,](#page-8-6) [2020;](#page-8-6) [Zhang et al.,](#page-9-5) [2022b;](#page-9-5) [Zhou et al.,](#page-9-6) [2024;](#page-9-6) [Xiao & Liu,](#page-9-7) [2023\)](#page-9-7).

Notably, [Anil et al.](#page-8-4) [\(2022\)](#page-8-4) conducted careful empirical studies exploring the length generalization

capabilities of Transformer-based LLMs with a focus on the boolean variable assignment and binary

parity task. They demonstrated that models, even when fine-tuned on these tasks using a scratchpad

format, struggle significantly with generalization, regardless of a model's scale.

The study by [Dziri et al.](#page-8-2) [\(2024\)](#page-8-2) examines the ability of Transformers to length-generalize in compo-

sitional tasks, such as multi-digit multiplication, and highlights their generalization failures across

zero/few-shot and fine-tuning regimes, both with and without the use of a scratchpad. It suggests that

Transformers may approach compositional tasks by simplifying multi-step reasoning into a form of

linearized subgraph matching, rather than developing systematic problem-solving skills.

 The work by [Zhou et al.](#page-9-8) [\(2022\)](#page-9-8) examines the extent of in-context learning for algorithmic tasks through the strategic use of meticulously designed prompting techniques, called algorithmic prompt-

ing. As we shall show, our work suggests an alternative interpretation for the results of that work

83 based on indexing. Similarly, [Zhou et al.](#page-9-9) [\(2023\)](#page-9-9) build on the RASP computational model proposed

by [Weiss et al.](#page-9-10) [\(2021\)](#page-9-10), and focuses on identifying algorithmic tasks learnable by transformers. It

conjectures that Transformers demonstrate strong length generalization for tasks that can be solved

by a concise RASP program across various input lengths.

 The work presented in [Kazemnejad et al.](#page-8-7) [\(2024\)](#page-8-7) involves a systematic comparison of length general- ization performance across Transformers with various positional encoding schemes. It reveals that none of the commonly used positional embedding methods effectively solve the length generalization problem in downstream tasks. Surprisingly, having no positional embedding outperforms these 91 methods, echoing a finding previously identified by [Shen et al.](#page-9-11) [\(2023\)](#page-9-11). This observation further indicates that current positional embedding approaches fail to equip the model with the capability for proper index-based addressing. Moreover, [Shen et al.](#page-9-11) [\(2023\)](#page-9-11) propose a modification to the positional

embedding itself, by marking tokens with random tags. This allows the model to distinguish identical

tokens appearing in different positions, offering a slight improvement in generalization.

 A study similar in spirit to our work is [Dubois et al.](#page-8-8) [\(2019\)](#page-8-8), albeit using recurrent sequence-to- sequence models instead of Transformers. That work hypothesizes that models equipped with separate content and location-based attention mechanisms are more likely to be able to extrapolate. It evaluates this hypothesis through variants of the Lookup Table task, designed to directly assess a model's performance in index-based addressing. Finally, the work by [Mohtashami & Jaggi](#page-8-9) [\(2024\)](#page-8-9) proposes a method for handling long contexts by using sparse learnable "landmark tokens" to retrieve relevant token blocks. These landmark tokens bear some similarity with our use of "mnemonics" we shall discuss below.

3 Random accessing in LLMs – a case study

 In this section, we focus on the binary parity task as a case study on learning algorithmic tasks with Transformers. We chose the parity task for its simplicity as one of the most basic sequential arithmetic tasks. With the correct scratchpad format, it requires carrying over just one bit of state at each step, and the primary operation to learn is XOR. However, it is known that Transformer-based models struggle to learn the correct algorithm as their solution fails for sequences longer or shorter than those seen during training [\(Anil et al.,](#page-8-4) [2022\)](#page-8-4).

 We begin with a brief note on the usage of scratchpads. When the model is asked to directly output the final answer, such as the parity of a sequence, we encounter a potential complication: Transformers execute a fixed amount of computation for each token generated, yet the problem size can vary. In other words, the model must simulate a for-loop over the entire sequence in a single forward pass. Note that this represents a distinct contaminating issue that falls outside the scope of this work. This challenge can be addressed by incorporating a "scratchpad" (which is also referred to as chain-of-thought) [\(Nye et al.,](#page-8-10) [2021;](#page-8-10) [Wei et al.,](#page-9-12) [2022\)](#page-9-12). The scratchpad enables the effective use of the context window to explicitly simulate a for-loop and output intermediate results.

 Adopting the format used in [Anil et al.](#page-8-4) [\(2022\)](#page-8-4) for the parity task, we begin with a start-of-sequence symbol >>>, followed by a binary sequence, an end-of-sequence symbol ===, and the sequence's running parity. For instance:

 Throughout the paper, blue bold tokens are used to indicate tokens over which the loss is calculated during training, and thus also the tokens that the model predicts during inference. Meanwhile, other tokens are added externally into the model's context during generation (via "environment forcing" [\(Recchia,](#page-9-13) [2021\)](#page-9-13)). Also, we ensure that the start-/end-of-sequence symbols are converted to single tokens and bits within the sequence are represented by single fixed tokens, preventing any merging due to tokenization.

3.1 Interleaved scratchpad

 In essence, a length generalizable solution to generate the running parity in the specified format involves three steps: 1) Reading the current active bit; 2) Reading the current running parity, and; 3) Performing XOR between the active bit and the current parity. We hypothesize that the failure of Transformers can be attributed to the first step, since the subsequent two steps are straightforward: the current running parity is the last token generated, and the XOR operation is trivial to learn.

 To support this claim with empirical evidence, we implement an *interleaved* scratchpad format where sequence bits and running parities are alternated, ensuring that at each step, the current active bit is the last token, and the current running parity appears immediately before the last token in the context. This arrangement dramatically simplifies the first step (reading the current active bit), which, as we will see shortly, lets the model learn a length generalizable solution.

Interleaved Scratchpad >>> 1 1 0 1 1 0 0 0 0 0 1 1 1 0

 We fine-tuned several small Transformer models with different positional embedding methods: BLOOMZ-560M with AliBi [\(Muennighoff et al.,](#page-8-11) [2022;](#page-8-11) [Le Scao et al.,](#page-8-12) [2023;](#page-8-12) [Press et al.,](#page-8-13) [2021\)](#page-8-13), Pythia-410M with RoPe [\(Biderman et al.,](#page-8-14) [2023;](#page-8-14) [Su et al.,](#page-9-14) [2024\)](#page-9-14), and OPT-350M with learned positional embedding [\(Zhang et al.,](#page-9-15) [2022a\)](#page-9-15). All models were initialized with their pre-trained weights and fine-tuned on task sequences of length 10 to 20 bits. They were tested on sequences of up to 60 146 bits. Refer to Section [A](#page-10-0) for experiment setup information.

Figure 2: Length generalization performance of fine-tuned BLOOMZ-560M models on sequences of length 10 to 20 bits, using standard and interleaved scratchpad formats, as well as without a scratchpad.

 Figure [2](#page-3-0) illustrates the length generalization performance of fine-tuned BLOOMZ models using both standard and interleaved scratchpad formats, using training sequence lengths indicated by the shaded region. While the standard scratchpad method exhibits minimal improvement over not using a scratchpad, the interleaved version demonstrates perfect generalization. Notably, the sole difference between the two formats lies in the placement of the tokens in the context. The standard scratchpad format requires the model to perform index-based addressing to fetch the value of the current active bit, while the interleaved format eliminates this requirement. Section [B.1](#page-10-1) shows similar results for other models. The observation above supports the hypothesis that the models' inability to learn arithmetic tasks

stems from their failure to accurately perform index-based addressing of the input bits. In contrast,

¹⁵⁷ content-based addressing is inherently natural for Transformers through the attention mechanism and ¹⁵⁸ natural language pre-training. Next, we will further reinforce this hypothesis by introducing another

¹⁵⁹ modification to the standard scratchpad.

¹⁶⁰ 3.2 Mnemonics

 We can leverage content-based addressing in Transformers to indirectly perform index-based address- ing, by adding matching "anchor" tokens before every pair of corresponding tokens in the standard scratchpad format. As they allow a model to revisit earlier information in the context window, we shall refer to these as *mnemonics*. Similar approaches are discussed in [Bueno et al.](#page-8-15) [\(2022\)](#page-8-15), [Qian et al.](#page-8-5) [\(2022\)](#page-8-5) and [Zhou et al.](#page-9-9) [\(2023\)](#page-9-9).

166 During training and inference, for each example of length n, we first randomly sample n tokens from

[1](#page-4-0)67 a pool of mnemonic tokens¹, then add the mnemonics before each bit in the input sequence and the ¹⁶⁸ running parity bits:

169

¹⁷⁰ Note that in the non-environment-forced version, the model is trained to first place the matching ¹⁷¹ mnemonics from the input sequence, and then use them to address the active bit at each step.

¹⁷² Conversely, in the environment-forced version, at each step, we first append the matching mnemonic

¹⁷³ from the input sequence to the context, after which the model predicts the running parity.

Figure 3: Length generalization performance of fine-tuned BLOOMZ-560M models with and without using mnemonics in the scratchpad.

¹⁷⁴ Figure [3](#page-4-1) compares the length generalization performance of fine-tuned BLOOMZ models with and ¹⁷⁵ without using mnemonics in the scratchpad. The results illustrate that adding mnemonics enables ¹⁷⁶ the model to learn the correct algorithm for solving the task, leading to perfect length generalization

¹⁷⁷ for sequences of up to 60 bits, while being trained on sequences of only 10 to 20 bits. Additionally, ¹⁷⁸ Appendix Section [B.3](#page-11-0) investigates the in-context learning performance of the parity task using

¹⁷⁹ mnemonics.

¹⁸⁰ These results suggest that equipping a model with effective index-based addressing could be a key to ¹⁸¹ enabling it to learn correct arithmetic algorithms. Interestingly, the performance of the model using

¹⁸² non-environment-forced mnemonics is nearly identical to that of the environment-forced version,

¹We used all space-preceded tokens containing only English characters for the mnemonics pool.

¹⁸³ indicating the model's capability to both place and utilize mnemonics for indexing effectively. Similar ¹⁸⁴ results are reported in Appendix Section [B.1](#page-10-1) for other models. Additionally, we explore the effects of ¹⁸⁵ varying the interval between mnemonic tokens in Section [B.2.](#page-10-2)

 Using these scratchpad strategies, we also trained the same model initialized randomly instead of pre-trained on natural language. The results are shown in Figure [4.](#page-5-0) Notably, when training from random initialization, mnemonic scratchpads are ineffective. This could be attributed to the fact that successful utilization of mnemonics requires the model to perform both, *global* addressing of the

¹⁹⁰ relevant mnemonic, followed by *local* addressing of adjacent tokens. The latter may be an ability that

¹⁹¹ persists in the length generalization setting only due to pre-training on natural language.

Figure 4: BLOOMZ-560M models trained from random initialization on the parity task using twice the number of epochs.

¹⁹² 3.3 Analysis of attention patterns

 To further analyze how the model's attention changes with and without mnemonics, we present input attribution visualizations in Figure [5,](#page-6-0) using the gradient×input method [\(Shrikumar et al.,](#page-9-16) [2016\)](#page-9-16). These visualizations show aggregate attention maps, with columns representing output tokens (after ===) and rows showing all tokens in the context window. Since the model's task is to produce the 197 running parity of the input sequence, at step i, it only needs to attend to the current bit (bit i of the input) and the previous running parity (the last bit generated). Thus, the ideal attention map would show two diagonal lines, corresponding to these two relevant tokens. The attention maps are calculated on a sequence of length 40 for a model trained on sequences of length 10 to 20 bits.

 As shown in Figure [5](#page-6-0) on the left, immediately following the 20th bit (in-distribution length), the model fails to attend to the current bit when calculating the parity. In other words, the model has not learned a length generalizable method for indexing the correct bit at each step, thus failing at indexing outside of its training regime. In contrast, as seen in the right plot of Figure [5,](#page-6-0) when mnemonic bits are added, a near-perfect attention map is observed beyond the training regime.

²⁰⁶ 3.4 Mnemonics variations

²⁰⁷ Finally, we study several variations of the introduced mnemonic tokens, which further support our ²⁰⁸ hypothesis, as discussed below:

Numeric \Rightarrow >> 1 b 2 a 3 b 4 a 5 a 6 b === 1 b 2 b 3 a 4 a 5 a 6 b Constant >>> # 1 # 0 # 1 # 0 # 0 # 1 === # 1 # 1 # 0 # 0 # 0 # 1 **Non-aligned** >>> M_1 1 M_2 0 M_3 1 M_4 0 M_5 0 M_6 1 === M_7 1 M_8 1 M_9 0 M_{10} 0 M_{11} 0 M_{12} 1 Cyclic >>> red 1 green 0 yellow 1 red 0 green 0 yellow 1 === red 1 green 1 yellow 0 red 0 green 0 yellow 1

209

Figure 5: Input attribution visualized through the gradient \times input method during performing the parity task. Models were trained on sequences of 10 to 20 bits while predicting the parity of a 40-bit sequence, shown with (right) and without (left) mnemonics. Columns represent output tokens (after ===) and rows represent all tokens in the context window. Observe the scrambled attention pattern in the left figure, after the 20th output.

Numeric Mnemonics: We use consecutive numeric indices $(1, 2, 3, \dots)$ as mnemonic tokens for all samples. To avoid confusion between mnemonics and binary values in the sequence, we use a, b instead of 0, 1 to represent the bits. Note that this form of mnemonics corresponds to absolute positional encoding.

 Constant Mnemonics: A single fixed character (#) is used as the mnemonic token for all samples, during training and testing. This approach allows us to test whether the effectiveness of mnemonics is related to the attention sink phenomenon [\(Xiao et al.,](#page-9-17) [2023\)](#page-9-17), or if the model uses the mnemonic tokens as "placeholders" allowing it to store intermediate calculations in their activations.

Non-aligned Mnemonics: This variant is similar to the original mnemonics, except the random tokens used in the input and output do not match. Specifically, for a sequence of size n bits, we 220 sample $2n$ tokens to serve as mnemonics. We use this variant to test whether the impact of mnemonics results from making each digit unique for the model, rather than acting as positional anchors.

 Cyclic Mnemonics: We cycle through a predetermined array of mnemonic tokens, fixed across all samples in training and testing. We used 10 color names as mnemonics in our experiment.

Figure 6: Length generalization performance of fine-tuned BLOOMZ-560M models on the parity task, trained on sequences of length 10 to 20 bits, using different variants of mnemonics.

 Figure [6](#page-7-0) shows the failure of the aforementioned mnemonic variants at length generalization. Note that in the environment-forced versions, all mnemonic tokens are placed in the context window of the model externally. Compared to the original randomly sampled aligned mnemonics, each variation corrupts the mnemonics' utility as positional anchors.

228 In the numeric mnemonics variant, the model is exposed to mnemonic tokens $1, 2, \dots, 20$ during 229 training, while at test time, it encounters unseen mnemonics $21, 22, \dots$. We further explore the impact of unseen mnemonics at test time in Appendix Section [B.4.](#page-12-1) Additionally, the fixed nature of numeric mnemonics across training examples may hinder length generalization: in contrast to the original mnemonic scheme, which randomly selects mnemonics from a large pool of tokens for each training instance, the numeric variant uses the same mnemonics for all training samples.

²³⁴ In the constant and non-aligned variant, anchor-based alignment between the sequence and scratchpad ²³⁵ is eliminated entirely. Finally, cyclic mnemonics are repeating and thereby create ambiguities ²³⁶ regarding the correct next bit to read.

²³⁷ Overall, these results further support our hypothesis that Transformers struggle with performing ²³⁸ random token accesses, and demonstrate how random mnemonics can mitigate this by facilitating ²³⁹ random access through content-based addressing of the relevant mnemonic.

²⁴⁰ 4 Conclusions

 We argue that, while the attention mechanism of Transformers is well-suited to perform content-based addressing into the context window, it struggles with random token accesses—a crucial capability in virtually all algorithmic reasoning tasks. We present supporting evidence for this hypothesis by demonstrating the effectiveness of methodologies that either circumvent the need for indexing, such as the interleaved scratchpad, or enable indirect random token access through content-based addressing via mnemonics. Additionally, we illustrate where and how failures in index-based retrieval manifest using attention map visualizations.

 Our work demonstrates that Transformers can in fact learn to length-generalize in algorithmic tasks, such as parity and addition, as long as they are able to perform random memory access. This suggests that equipping these models with the ability to perform such index-based addressing—either into their own context window, or into an external memory—may be key to enabling them to learn algorithmic tasks more generally.

References

- Cem Anil, Yuhuai Wu, Anders Andreassen, Aitor Lewkowycz, Vedant Misra, Vinay Ramasesh, Ambrose Slone, Guy Gur-Ari, Ethan Dyer, and Behnam Neyshabur. Exploring length generalization
- in large language models. *Advances in Neural Information Processing Systems*, 35:38546–38556, 2022.
- Stella Biderman, Hailey Schoelkopf, Quentin Gregory Anthony, Herbie Bradley, Kyle O'Brien, Eric Hallahan, Mohammad Aflah Khan, Shivanshu Purohit, USVSN Sai Prashanth, Edward Raff, et al.
- Pythia: A suite for analyzing large language models across training and scaling. In *International*
- *Conference on Machine Learning*, pp. 2397–2430. PMLR, 2023.
- Sebastien Bubeck, Varun Chandrasekaran, Ronen Eldan, Johannes Gehrke, Eric Horvitz, Ece Kamar, ´ Peter Lee, Yin Tat Lee, Yuanzhi Li, Scott Lundberg, et al. Sparks of artificial general intelligence: Early experiments with gpt-4. *arXiv preprint arXiv:2303.12712*, 2023.

 Mirelle Candida Bueno, Carlos Gemmell, Jeff Dalton, Roberto Lotufo, and Rodrigo Nogueira. Induced natural language rationales and interleaved markup tokens enable extrapolation in large language models. In *Proceedings of the 1st Workshop on Mathematical Natural Language Processing (MathNLP)*, pp. 17–24, 2022.

- Yann Dubois, Gautier Dagan, Dieuwke Hupkes, and Elia Bruni. Location attention for extrapolation to longer sequences. *arXiv preprint arXiv:1911.03872*, 2019.
- Nouha Dziri, Ximing Lu, Melanie Sclar, Xiang Lorraine Li, Liwei Jiang, Bill Yuchen Lin, Sean
- Welleck, Peter West, Chandra Bhagavatula, Ronan Le Bras, et al. Faith and fate: Limits of
- transformers on compositionality. *Advances in Neural Information Processing Systems*, 36, 2024.
- Dieuwke Hupkes, Verna Dankers, Mathijs Mul, and Elia Bruni. Compositionality decomposed: How do neural networks generalise? *Journal of Artificial Intelligence Research*, 67:757–795, 2020.
- Amirhossein Kazemnejad, Inkit Padhi, Karthikeyan Natesan Ramamurthy, Payel Das, and Siva Reddy. The impact of positional encoding on length generalization in transformers. *Advances in Neural Information Processing Systems*, 36, 2024.
- Teven Le Scao, Angela Fan, Christopher Akiki, Ellie Pavlick, Suzana Ilic, Daniel Hesslow, Roman ´ 280 Castagné, Alexandra Sasha Luccioni, Francois Yvon, Matthias Gallé, et al. Bloom: A 176b-parameter open-access multilingual language model. 2023.
- Amirkeivan Mohtashami and Martin Jaggi. Random-access infinite context length for transformers. *Advances in Neural Information Processing Systems*, 36, 2024.
- Niklas Muennighoff, Thomas Wang, Lintang Sutawika, Adam Roberts, Stella Biderman, Teven Le Scao, M Saiful Bari, Sheng Shen, Zheng-Xin Yong, Hailey Schoelkopf, et al. Crosslingual generalization through multitask finetuning. *arXiv preprint arXiv:2211.01786*, 2022.
- Benjamin Newman, John Hewitt, Percy Liang, and Christopher D Manning. The eos decision and length extrapolation. *arXiv preprint arXiv:2010.07174*, 2020.
- Maxwell Nye, Anders Johan Andreassen, Guy Gur-Ari, Henryk Michalewski, Jacob Austin, David
- Bieber, David Dohan, Aitor Lewkowycz, Maarten Bosma, David Luan, et al. Show your work: Scratchpads for intermediate computation with language models. *arXiv preprint arXiv:2112.00114*, 2021.
- Long Ouyang, Jeffrey Wu, Xu Jiang, Diogo Almeida, Carroll Wainwright, Pamela Mishkin, Chong Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, et al. Training language models to follow instructions with human feedback. *Advances in Neural Information Processing Systems*, 35: 27730–27744, 2022.
- Ofir Press, Noah A Smith, and Mike Lewis. Train short, test long: Attention with linear biases enables input length extrapolation. *arXiv preprint arXiv:2108.12409*, 2021.
- Jing Qian, Hong Wang, Zekun Li, Shiyang Li, and Xifeng Yan. Limitations of language models in arithmetic and symbolic induction. *arXiv preprint arXiv:2208.05051*, 2022.
- Gabriel Recchia. Teaching autoregressive language models complex tasks by demonstration. *CoRR*, abs/2109.02102, 2021. URL <https://arxiv.org/abs/2109.02102>.
- Ruoqi Shen, Sebastien Bubeck, Ronen Eldan, Yin Tat Lee, Yuanzhi Li, and Yi Zhang. Positional ´ description matters for transformers arithmetic. *arXiv preprint arXiv:2311.14737*, 2023.
- Avanti Shrikumar, Peyton Greenside, Anna Shcherbina, and Anshul Kundaje. Not just a black box: Learning important features through propagating activation differences. *arXiv preprint arXiv:1605.01713*, 2016.
- Koustuv Sinha, Robin Jia, Dieuwke Hupkes, Joelle Pineau, Adina Williams, and Douwe Kiela. Masked language modeling and the distributional hypothesis: Order word matters pre-training for little. *arXiv preprint arXiv:2104.06644*, 2021.
- Jianlin Su, Murtadha Ahmed, Yu Lu, Shengfeng Pan, Wen Bo, and Yunfeng Liu. Roformer: Enhanced transformer with rotary position embedding. *Neurocomputing*, 568:127063, 2024.
- Hugo Touvron, Louis Martin, Kevin Stone, Peter Albert, Amjad Almahairi, Yasmine Babaei, Nikolay Bashlykov, Soumya Batra, Prajjwal Bhargava, Shruti Bhosale, et al. Llama 2: Open foundation and fine-tuned chat models. *arXiv preprint arXiv:2307.09288*, 2023.
- Jason Wei, Xuezhi Wang, Dale Schuurmans, Maarten Bosma, Fei Xia, Ed Chi, Quoc V Le, Denny Zhou, et al. Chain-of-thought prompting elicits reasoning in large language models. *Advances in Neural Information Processing Systems*, 35:24824–24837, 2022.
- Gail Weiss, Yoav Goldberg, and Eran Yahav. Thinking like transformers. In *International Conference on Machine Learning*, pp. 11080–11090. PMLR, 2021.
- Yuhuai Wu, Albert Qiaochu Jiang, Wenda Li, Markus Rabe, Charles Staats, Mateja Jamnik, and Christian Szegedy. Autoformalization with large language models. *Advances in Neural Information Processing Systems*, 35:32353–32368, 2022.
- Zhiheng Xi, Wenxiang Chen, Xin Guo, Wei He, Yiwen Ding, Boyang Hong, Ming Zhang, Junzhe Wang, Senjie Jin, Enyu Zhou, et al. The rise and potential of large language model based agents: A survey. *arXiv preprint arXiv:2309.07864*, 2023.
- Changnan Xiao and Bing Liu. Conditions for length generalization in learning reasoning skills. *arXiv preprint arXiv:2311.16173*, 2023.
- Guangxuan Xiao, Yuandong Tian, Beidi Chen, Song Han, and Mike Lewis. Efficient streaming language models with attention sinks. *arXiv preprint arXiv:2309.17453*, 2023.
- Fei Yu, Hongbo Zhang, and Benyou Wang. Nature language reasoning, a survey. *arXiv preprint arXiv:2303.14725*, 2023.
- Susan Zhang, Stephen Roller, Naman Goyal, Mikel Artetxe, Moya Chen, Shuohui Chen, Christopher Dewan, Mona Diab, Xian Li, Xi Victoria Lin, et al. Opt: Open pre-trained transformer language models. *arXiv preprint arXiv:2205.01068*, 2022a.
- Yi Zhang, Arturs Backurs, Sebastien Bubeck, Ronen Eldan, Suriya Gunasekar, and Tal Wagner. ´ Unveiling transformers with lego: a synthetic reasoning task. *arXiv preprint arXiv:2206.04301*, 2022b.
- Ziyin Zhang, Chaoyu Chen, Bingchang Liu, Cong Liao, Zi Gong, Hang Yu, Jianguo Li, and Rui Wang. Unifying the perspectives of nlp and software engineering: A survey on language models
- for code. *arXiv preprint arXiv:2311.07989*, 2023.
- Hattie Zhou, Azade Nova, Hugo Larochelle, Aaron Courville, Behnam Neyshabur, and Hanie Sedghi. Teaching algorithmic reasoning via in-context learning. *arXiv preprint arXiv:2211.09066*, 2022.
- Hattie Zhou, Arwen Bradley, Etai Littwin, Noam Razin, Omid Saremi, Josh Susskind, Samy Bengio, and Preetum Nakkiran. What algorithms can transformers learn? a study in length generalization. *arXiv preprint arXiv:2310.16028*, 2023.
- Yongchao Zhou, Uri Alon, Xinyun Chen, Xuezhi Wang, Rishabh Agarwal, and Denny Zhou. Trans- formers can achieve length generalization but not robustly. *arXiv preprint arXiv:2402.09371*, 2024.

³⁵⁰ A Details of experiments

 We initialized with the pre-trained weights for training, except when specified otherwise. We used a 352 learning rate of $1e - 6$ for parity and $2e - 6$ for addition, with a 1000-step warm-up. The training consists of 4 epochs, each containing 8000 training steps, with batch sizes of 64 for parity and 32 for addition tasks. We ensured an equal number of training examples for each problem length, reserving 200 samples for parity and 32 for addition from each length for evaluation. When training from random initialization, we used 8 epochs, twice the number of epochs used in our fine-tuning settings.

³⁵⁷ During training, the loss is calculated only for the target tokens (indicated by bold blue tokens in the ³⁵⁸ main text). During inference, when the next token is a target, we perform greedy decoding from the ³⁵⁹ model; otherwise, we place the correct token into the context window.

³⁶⁰ B Additional experiment results on the parity task

³⁶¹ B.1 Additional models

³⁶² Here, we present results similar to those shown as in Figure [3](#page-4-1) for Pythia-410M with RoPe, and ³⁶³ OPT-350M with learned positional embeddings.

Figure 7: Length generalization performance of the OPT-350M model on the parity task using different scratchpad strategies. Left: fine-tuning; Right: training from scratch.

Figure 8: Length generalization performance of the Pythia-410M model on the parity task using different scratchpad strategies. Left: fine-tuning; Right: training from scratch.

³⁶⁴ B.2 Exploring mnemonic intervals

³⁶⁵ Here, we investigate the effectiveness of reducing the number of mnemonics within the parity 366 scratchpad. At a mnemonic interval of i, mnemonic tokens are inserted before every i-th bit in the ³⁶⁷ input and output sequences. Therefore, a mnemonic interval of 1 token corresponds to the original ³⁶⁸ mnemonic format described in the main text. For instance, with a mnemonic interval of 2, the format ³⁶⁹ would be as follows:

Mnemonics with interval of 2 >>> M_1 1 0 M_2 1 0 M_3 0 1 M_4 0 0 === M_1 1 1 M_2 0 0 M_3 0 1 M_4 1 1

371 As shown in Figure [9,](#page-11-1) length generalization performance remains largely unaffected with mnemonic ³⁷² intervals of up to 3 tokens. However, when the interval exceeds 5 tokens, the impact of mnemonics

³⁷³ begins to diminish.

370

Figure 9: Length generalization performance of fine-tuned BLOOMZ-560M models with nonenvironment-forced mnemonics of different intervals in the scratchpad.

³⁷⁴ B.3 In-context learning with mnemonics

Figure 10: Length generalization performance of a Llama2-7B model on the parity task, with in-context examples (3 examples per length) with and without mnemonics.

 We investigate the in-context learning capabilities, without fine-tuning, of a larger Transformer model, Llama2-7B [\(Touvron et al.,](#page-9-18) [2023\)](#page-9-18), in performing the parity task with and without mnemonics. We use examples of lengths 10 to 20, with three examples for each length. Additionally, we preface the examples with the problem statement prompt: "Calculate the running parity of the sequence after $==$. Figure [10](#page-11-2) illustrates the model's performance with and without the use

³⁸⁰ of mnemonics (refer to Section [3.2\)](#page-4-2). Similar results were also observed with Llama2-7B-chat and ³⁸¹ BLOOMZ-7.1B models.

³⁸² B.4 Unseen (OOD) mnemonics at test time

 In this section, we investigate whether the model treats mnemonics merely as positional anchors, disregarding their values, or if it learns to memorize the mnemonic tokens for indexing. Following the methodology described in Section [3.2,](#page-4-2) we fine-tune a model using single-token English words as mnemonics. In contrast, at test time, we use single-token integers as mnemonics.

³⁸⁷ Figure [11](#page-12-2) presents the results of length generalization performance for models evaluated on in-³⁸⁸ distribution and out-of-distribution mnemonics. It shows that performance degrades when a model ³⁸⁹ is evaluated on unseen, semantically novel mnemonics. This suggests that the learned approach to

³⁹⁰ using mnemonics still relies on token values.

Figure 11: Length generalization performance of fine-tuned BLOOMZ-560M models, tested using in-distribution (ID) and out-of-distribution (OOD) mnemonics. Note that the y-axis is truncated, with values ranging from 0.7 to 1.

 391 C Solving the multi-digit addition task

 This section extends our results to another arithmetic task: multi-digit addition. This task has been explored extensively in the literature with different scratchpad formats [\(Qian et al.,](#page-8-5) [2022;](#page-8-5) [Nye et al.,](#page-8-10) [2021;](#page-8-10) [Kazemnejad et al.,](#page-8-7) [2024;](#page-8-7) [Zhou et al.,](#page-9-6) [2024;](#page-9-6) [Xiao & Liu,](#page-9-7) [2023;](#page-9-7) [Zhou et al.,](#page-9-8) [2022\)](#page-9-8), among others. We focus on the length generalization performance of the addition task with mnemonics in three different formats.

 In our format, the addition result is initially presented in reverse order, from the least to the most significant digits. Following the symbols ###, the model then reverses this to produce the final addition result. It is important to mention that every single digit is converted to an individual token. We fine-tuned the BLOOMZ-560M model on the addition task using the specified format, training on operands with 5 to 10 digits and testing on operands with up to 14 digits. We use the same mnemonics for corresponding digits in both operands, as demonstrated below:

Digit-aligned Mnemonics No Mnemonics \Rightarrow >> 1 2 + 9 === 1 2 0 ### 0 2 1
Mnemonics \Rightarrow >> M₁ 1 M₂ 2 M₃ + M₂ 9 M₃ === M **Mnemonics** >>> M_1 1 M_2 2 M_3 + M_2 9 M_3 === M_2 1 M_1 2 M_3 0 ### M_3 0 M_1 2 M_2 1
Env. Forced >>> M_1 1 M_2 2 M_3 + M_2 9 M_3 === M_2 1 M_1 2 M_3 0 ### M_3 0 M_1 2 M_2 1 \Rightarrow >>> M₁ 1 M₂ 2 M₃ + M₂ 9 M₃ === M₂ 1 M₁ 2 M₃ 0 ### M₃ 0 M₁ 2 M₂ 1

403

⁴⁰⁴ In another format, we first zero-pad the operands to ensure they have the same number of digits, then ⁴⁰⁵ insert digit-aligned mnemonics:

Digit-aligned Mnemonics + Zero Padding

No Mnemonics >>> 1 2 + 0 9 === 1 2 0 ### 0 2 1 Mnemonics >>> M_1 1 M_2 2 M_3 + M_1 0 M_2 9 M_3 === M_2 1 M_1 2 M_3 0 ### M_3 0 M_1 2 M_2 1 Env. Forced >>> M_1 1 M_2 2 M_3 + M_1 0 M_2 9 M_3 === M_2 1 M_1 2 M_3 0 ### M_3 0 M_1 2 M_2 1

406

⁴⁰⁷ Lastly, we explore a format in which the mnemonics for corresponding digits of the two operands are ⁴⁰⁸ not identical, as depicted below:

Figure 12: Accuracy of the addition task tested on operands with up to 14 digits, with models trained and evaluated with and without digit-aligned, zero-padded, and non-aligned mnemonic formats. The red box indicates the number of digits used during training.

 The length generalization performance of the addition task, both with and without the specified mnemonic formats, is shown in Figure [12.](#page-13-0) As expected, aligned mnemonics guide the model in selecting the correct digits for addition at each step. Furthermore, zero-padding simplifies the task's format by ensuring an equal number of mnemonics and digits in both operands. Overall, our findings show that similar to the simpler case of binary parity, by utilizing content-based addressing to

- enable index-based addressing via mnemonics, Transformer models can successfully learn the correct
- algorithm for the addition task.