000 001 002 003 ULTRA-LOW ACCUMULATION PRECISION INFERENCE WITH BLOCK FLOATING POINT ARITHMETIC

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ABSTRACT

Block Floating Point (BFP) quantization offers a hardware-efficient numerical range trade-off. Previous studies have quantized weights and activations to an extremely low precision using the BFP arithmetic. However, as the precision of weights and activations diminishes, we identify that accumulation becomes a hardware bottleneck in the BFP MAC. Nevertheless, existing attempts to decrease the precision of accumulation in matrix multiplication generally preserve model performance through training with a pre-selected, fixed accumulation precision. Nonetheless, selecting an unduly low precision leads to notable performance degradation, and these studies lack an effective approach to establish the lower precision limit, potentially incurring considerable training costs. Hence, we propose a statistical method to analyze the impact of reduced accumulation precision on the inference of deep learning applications. Due to the presence of fixed-point accumulation and floating-point accumulation in BFP matrix multiplication, we formulate a set of equations to relate the data range of fixed-point multiply-accumulate operations and the effects of floating-point swamping to the parameters of BFP quantization, the length of accumulation, model weights, and the minimum number of bits required for accumulation, thereby determining the appropriate accumulation precision. Applied to MMLU Llama2-7B, SQuAD-v1.1 BERT-Large and BERT-Base and CIFAR-10 ResNet-50, our precision settings yield performance close to the FP32 baseline. Meanwhile, further precision reduction degrades performance, indicating our approach's proximity to precision limits. Guided by our equations, the hardware exhibits a 13.7%-28.7% enhancement in area and power efficiency over high-precision accumulation under identical quantization configuration, and it demonstrated a $10.3\times$ area reduction and an $11.0\times$ power reduction compared to traditional BFP implementations.

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1 INTRODUCTION

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038 039 040 041 042 043 044 045 046 047 048 049 050 Deep learning technology has achieved significant success in a wide range of applications through the training of large-scale deep models with extensive datasets. Concurrently, this approach has imposed substantial storage and computational burdens. Quantization emerges as a promising method to reduce the cost of deep learning by diminishing the bit-width of data flow within models, thereby reducing storage and computational overhead [\(Deng et al., 2020\)](#page-10-0). As an effective numerical system for deep learning, Block Floating Point (BFP) strikes a favorable balance between dynamic range and hardware cost [\(Drumond et al., 2018\)](#page-10-1). Specifically, previous studies have demonstrated that low-precision BFP formats can achieve accuracy comparable to FP32 under various deep learn-ing workloads [\(Darvish Rouhani et al., 2020;](#page-10-2) [Drumond et al., 2018;](#page-10-1) [Soloveychik et al., 2022;](#page-11-0) Köster [et al., 2017;](#page-10-3) [Zhang et al., 2022\)](#page-11-1). However, it is observed that as the quantization precision decreases, accumulation becomes a hardware bottleneck in BFP MAC. As illustrated in Figure [1\(](#page-1-0)b), the area occupied by the accumulation component accounts for 17.8%, 33.7%, and 64.4% for BFP16, BFP8, and BFP4, respectively. Therefore, reducing accumulation precision can further enhance hardware efficiency on top of lowering quantization precision.

051 052 053 In BFP MAC, both fixed-point and floating-point accumulations are present. For fixed-point accumulation, a decrease in precision is accompanied by an increased likelihood of overflow. Previous works have focused on avoiding overflow occurrences or mitigating their impact [\(Colbert et al.,](#page-10-4) [2023;](#page-10-4) [Ni et al., 2020;](#page-10-5) [Xie et al., 2020;](#page-11-2) [Li et al., 2022\)](#page-10-6). Nevertheless, methods to mitigate the impact **054 055 056 057 058 059 060 061 062 063 064 065** of overflow are not guaranteed to maintain accuracy when overflows occur frequently. Hence, we employ the 3σ principle to predict data ranges and select accumulation precision to prevent overflow permanently. For floating-point accumulation, the phenomenon of swamping [\(Higham, 1993\)](#page-10-7) becomes more pronounced as precision decreases. Previous work has attempted to correlate the numerical precision loss and model performance degradation due to swamping through variance [\(Wang](#page-11-3) [et al., 2018;](#page-11-3) [Sakr et al., 2019\)](#page-11-4). Alternatively, our research centers on the inference phase, where we leverage the Frobenius norm[\(Suh et al., 2022;](#page-11-5) [Yuan et al., 2020\)](#page-11-6) to gauge matrix similarity before and after precision reduction in accumulation. Grounded in the Frobenius norm, we propose the metric Frobenius norm retention rate ($FnRR$) to quantify the degree of swamping resulting from reduced floating-point mantissa precision. Furthermore, we derive a formula $f(n)$ from $FnRR$ to assess the impact of data precision loss on model performance, establishing a connection between floating-point accumulation accuracy and model performance. I here $\sin \theta$

066 067 068 069 070 071 072 073 Utilizing the derived formula for $FnRR$, our analysis identifies accumulation length as the pivotal factor influencing floating-point accumulation precision. Leveraging this insight, we introduce a segmented accumulation approach to mitigate precision loss. Experimental validation affirms the method's efficacy across diverse model and quantization paradigms. Furthermore, integrating the theoretically deduced precision into hardware yields a $13.7-28.7\%$ reduction in area and power relative to high-precision accumulation under identical quantization conditions, and nearly a $10\times$ enhancement in area and power efficiency compared to FP32 accumulation in BF16 MAC operations.

074 075 076 077 078 079 080 Our research contributes both theoretical and practical insights. Firstly, we present a theoretical framework for determining the minimum fixed-point accumulation bit-width, emphasizing overflow avoidance based on variance and mean. Secondly, we introduce the $FnRR$ and $\overline{f}(n)$ metrics to link floating-point accumulation precision with model performance. Our analysis shows that accumulation length is a key determinant in precision selection. To further reduce precision, we employ a segmented accumulation technique. We then validate the accumulation precision boundary through experiments. Finally, we design BFP multiply-accumulators within the established boundaries and experiments. assess the improvements in area and power efficiency. see the improvements in area provements in area and power efficiency $\ddot{}$ ower efficiency.

2 RELATED WORK AND BACKGROUND

103 104 2.1 RELATED WORK

105 106 107 Our work endeavors to establish a theoretical framework for determining the boundary of accumulator bit-width for the BFP format. Although this topic has not been previously discussed, there has been extensive exploration of fixed-point accumulator bit-width and floating-point accumulator bit-width.

108 109 110 111 112 113 114 115 116 117 118 Fixed-point accumulator bit-width WrapNet [\(Ni et al., 2020\)](#page-10-5) leverages the cyclic nature of integer computer arithmetic by inserting a differentiable cyclic activation function, rendering neural networks robust to integer overflow. This allows for the selection of ultra-low-precision fixed-point accumulator bit-width. However, they also note that high overflow rates can lead to training instability. A2Q [\(Colbert et al., 2023\)](#page-10-4) adheres to the principle of avoiding overflow and approach the determination of fixed-point accumulator bit-width boundaries from both the data type and weight perspectives. Xie et al. introduce a quantization range mapping factor α to maximize data representation capabilities while avoiding overflow under a specified accumulator bit-width [\(Xie et al.,](#page-11-2) [2020\)](#page-11-2). While their training method can ensure model accuracy at an appropriate accumulator bitwidth, they do not provide an efficient approach to determine the boundary of the accumulator bit-width.

119 120 121 122 123 124 125 126 127 Floating-point accumulation bit-width Wang et al. illustrate that the phenomenon of swamping significantly limits the potential for reducing accumulation precision [\(Wang et al., 2018\)](#page-11-3). To address this issue, they propose two novel techniques: chunk-based accumulation and floating-point stochastic rounding. These methods allow for the training of Deep Neural Networks (DNNs) even when the accumulation bit-width is decreased to FP16, thereby circumventing the constraints imposed by swamping. Additionally, Sakr et al. establish a connection between the decrease in accumulation precision and the training efficiency of DNNs by examining how the exacerbation of swamping phenomena, due to reducing accumulation precision, affects the variance of matrix multiplication outcomes [\(Sakr et al., 2019\)](#page-11-4). Based on this analysis, they select an appropriate accumulation bitwidth.

2.2 BFP FORMAT, BFP QUANTIZATION AND BFP MAC

131 132 133 134 135 136 137 138 139 BFP format is a numerical representation method wherein a group of data shares one exponent. Quantization methods that adhere to this data format can be classified as fixed-point uniform quantization [\(Jacob et al., 2018\)](#page-10-8). Fixed-point uniform quantization can be categorized into multiple levels of methods based on the granularity of quantization. Quantization granularity varies, with per-tensor being the coarsest, using a single scaling factor for the entire matrix. Finer granularity is achieved through per-channel or per-token scaling. Block-wise quantization further refines this by dividing channels or tokens into blocks with a step size, yielding BFP quantization as a distinct variant with scaling factors as powers of two. Therefore, BFP quantization [\(Rouhani et al., 2023;](#page-11-7) [Darvish Rouhani et al., 2023\)](#page-10-9) can be expressed as:

$$
\mathbf{X}_q = \lceil \frac{\mathbf{X}}{2^s} \rceil, s = max(\lfloor \log_2^{|\mathbf{X}|} \rfloor) - N + 1 \tag{1}
$$

141 142 143 144 where $\lceil \cdot \rceil$ is the rounding function, **X** is the object to be quantized, **X**_q is the corresponding quantized result, s is the scaling factor obtained through quantization, and N is the number of bits used for the low-precision representation.

145 146 147 148 149 150 151 152 153 154 155 The BFP multiplier-accumulator architecture is bifurcated into two primary modules: the INT-MAC (Integer Multiply and Accumulate) and the FP-ACC (Floating Point Accumulate). The INT-MAC comprises a set of signed fixed-point multipliers, an addition tree, and an exponent summing adder, corresponding to the fixed-point multiplication and accumulation within the BFP inner product. This phase is termed **intra-block** computation. Conversely, the FP-ACC module includes normalization, an exponent alignment unit, an adder, and a fixed-to-floating-point conversion block, handling the floating-point accumulation of the BFP inner product. This stage is identified as **inter-block** computation. In Figure [1\(](#page-1-0)d), we elucidate the implications of BFP format, intra-block and inter-block operations using a straightforward example. SE denotes the shared exponent, A and B represent the two matrices involved in the matrix multiplication computation, respectively, C denotes the resulting matrix, INT signifies the fixed-point result after intra-block fixed-point accumulation, and F indicates the number that has been normalized and is ready for floating-point accumulation.

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3 MOTIVATION

159 3.1 HARDWARE BOTTLENECK ANALYSIS

161 The BFP MAC can be broadly categorized into fixed-point multiplication, fixed-point addition, and floating-point addition, corresponding to INT-MUL, INT-ACC, and FP-ACC as depicted in Figure **162 163 164 165 166 167 168** [1\(](#page-1-0)d). When weights and activations are quantized at a higher precision, INT-MUL constitutes the predominant area due to the inclusion of K (where K represents the block size) high-precision fixedpoint multipliers. However, when weights and activations are quantized at an ultra-low precision, INT-MUL requires only ultra-low precision fixed-point multipliers, whereas the high-precision INT-ACC and FP-ACC become the primary area overhead. As illustrated in the Figure [1\(](#page-1-0)b), in the BFP4 MAC with K=16, the area allocated to accumulation reaches 64.4%, indicating that reducing the precision of accumulation could yield significant hardware efficiency gains in this scenario.

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3.2 MEAN, VARIANCE AND THE FROBENIUS NORM

171 172 173 174 175 176 177 178 Accumulation overflow is a critical issue to be addressed in the context of fixed-point quantization, which can have a significant impact on model performance. As shown in the Table [1,](#page-3-0) we observe that minor overflow rates cause slight performance decline, but increased rates lead to significant degradation in model performance. In the design of the MAC unit, it is common practice to calculate the theoretical maximum data range that the partial sums can reach based on the input data format to prevent overflow. Equation [2](#page-3-1) is a formula for calculating the maximum bit width required for the partial sums based on the input data format. Here, both A and W are signed numbers.

$$
K(2^{\min(A_{width}-1,W_{width}-1)} - 2^{A_{width}+W_{width}-2}) \leq Partial \, Sum \leq K2^{A_{width}+W_{width}-2} \tag{2}
$$

180 181 182 183 184 In deep learning models, partial sums rarely reach the theoretical extreme values because it is nearly impossible for all input tensors to be quantized to the extreme values. Consequently, the range derived from Equation [2](#page-3-1) typically exceeds the actual data distribution. By the 3σ principle, the vast majority of data falls within ($\mu - 3\sigma$, $\mu + 3\sigma$). Thus, bounding the partial sums by their mean and variance can mitigate data range wastage.

185 186 187 188 189 190 191 In the inference phase of deep learning models, the FP32 precision matrix multiplication is regarded as the benchmark for state-of-the-art performance. The inference quality is inferred to be superior when the outcomes of matrix multiplications using alternative precisions are closer to the FP32 results. Consequently, the challenge of correlating data precision with model accuracy can be reframed as one of determining the proximity between the reduced-precision result matrix and the FP32 precision result matrix. For this purpose, we focus on numerical approximation and employ the Frobenius norm [\(Suh et al., 2022;](#page-11-5) [Yuan et al., 2020\)](#page-11-6) as the metric for comparison.

Table 1: Average overflow rate for BERTbase in different accumulation widths and corresponding EM and F1-score on the SQuAD-v1.1 question-answering task

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4 ACCUMULATION PRECISION ANALYSIS

In BFP format inner product computations, the process is divided into intra-block and inter-block stages. We ensure ample allocation for both the intra-block shared exponent width and the interblock floating-point exponent width(We chose to allocate 8 bits like Microscaling[\(Rouhani et al.,](#page-11-7) [2023\)](#page-11-7)). Our research focuses on estimating the mean and variance of block-wise partial sums to determine the bit width for fixed-point multiplication and accumulation, and on relating the Frobenius norm to the mantissa precision of inter-block accumulations.

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4.1 INTRA-BLOCK PARTIAL SUM MEAN AND VARIANCE ANALYSIS

214 215 Intra-block multiplication and accumulation refers to the process of performing multiplication and accumulation operations on weight elements(W_e) and input elements(I_e) that have been quantized using the BFP format. We note (with observations detailed in Appendix E) that the weights and **216 217 218 219 220 221 222 223** inputs participating in matrix multiplication are approximately distributed according to a Laplace distribution. To facilitate analysis, we hypothesize that the inputs conform to a Laplace distribution with a location parameter of 0 and a scale parameter of 1 (The W and I below represent the original weights and inputs, respectively). Hence, we have $\mathbb{E}[I] = 0$. Furthermore, since BFP quantization is an unbiased estimator, it follows that $\mathbb{E}[I_e] = \mathbb{E}[I] = 0$. Additionally, W_e and I_e are independent of each other, and thus $\mathbb{E}[I_e \cdot W_e] = \mathbb{E}[I_e] \cdot \mathbb{E}[W_e] = 0$. Consequently, we can estimate the mean of the partial sums within the block to be 0. The variance calculation formula for the dot product terms within the block is as follows:

$$
\text{Var}[I_e \cdot W_e] = \mathbb{E}[I_e^2] \cdot \mathbb{E}[W_e^2] - \mathbb{E}[I_e]^2 \cdot \mathbb{E}[W_e]^2 \tag{3}
$$

From the aforementioned analysis, we know that $\mathbb{E}[I_e] = 0$, thus we can express the variance as

$$
Var[I_e \cdot W_e] = Var[I_e] \cdot \mathbb{E}[W_e^2]
$$
\n(4)

According to the assumptions made in the preceding text, we can determine $\text{Var}[I], \mathbb{E}[W^2]$ and the mean of the shared exponent(How to calculate $\mathbb{E}[exp]$ is provided in the appendix A).

$$
\text{Var}[I_e] = \frac{\text{Var}[I]}{2^{2(\mathbb{E}[I_{exp}]-bit+1)}}, \quad \mathbb{E}[W_e^2] = \frac{\mathbb{E}[W^2]}{2^{2(\mathbb{E}[W_{exp}]-bit+1)}} \tag{5}
$$

With the mean and variance of the partial sums within the block, according to the 3σ principle, we consider each inner product term obtained from the intra-block inner product to fall within the range of $(-3\sigma, 3\sigma)$. Consequently, the range of the partial sums is $(-3K\sigma, 3K\sigma)$, where K is the number of terms in the sum. At this point, we can estimate the bit width required for fixed-point multiplication and accumulation. We have visualized the estimated bit width in the Figure [2.](#page-4-0)

Figure 2: Intra-block Fixed-Point Accumulation Precisions for Llama2-7B

Figure 3: Intra-block Fixed-Point Accumulation Precisions for Llama2-7B

4.2 INTER-BLOCK ACCUMULATION MANTISSA PRECISION ANALYSIS

Let p_i represent the i-th term for inter-block accumulation, s_i denote the partial sum obtained from the i-th inter-block accumulation, m_p and m_{acc} correspond to the mantissa bit widths for p_i and s_i , respectively, and n denotes the length of the accumulation. Our key contribution lies in the proposal of a formula,

$$
F n R R = \sqrt{\frac{\mathbb{E}[S_{n\,swampling}^2]}{\mathbb{E}[S_{n\,ideal}^2]}} \tag{6}
$$

264 265 266 267 268 269 which correlates mantissa precision with model performance, where $F n R R$ is a function of n, m_n , m_{acc} , $\mathbb{E}[W]$, $\text{Var}[W]$ and K, all precomputable parameters. In order to maintain performance under reduced precision, we aim for $FnRR \rightarrow 1$. As illustrated in the Figure [3,](#page-4-0) it can be observed intuitively that once $m_p, \mathbb{E}[W], \text{Var}[W]$, and K are determined, the FnRR at a fixed mantissa precision is a waterfall-like curve with respect to the accumulation length n . The accumulation length for $F nRR$ is limited due to potential mantissa truncation caused by floating-point alignment during addition. This overflow leads to loss of significant digits, necessitating the introduction of

270 271 272 273 274 275 "swamping" to analyze its impact on $FnRR$ performance. As illustrated in the Figure [1\(](#page-1-0)c), a single floating-point addition can be categorized into three scenarios: 1) "no swamping", which occurs when $|s_i| \le 2^{m_{acc}-m_p}|p_{i+1}|$. 2) "full swamping," which occurs when $|s_i| > 2^{m_{acc}}|p_{i+1}|$. 3) "partial swamping," which occurs when $2^{m_{acc}-m_p}|p_{i+1}| < |s_i| \leq 2^{m_{acc}}|p_{i+1}|$. Subsequently, we will establish a connection between the Frobenius norm and the mantissa precision of inter-block summation from the perspective of swamping.

276 277 278 279 280 Theorem 1. The $F nRR$, Using n, m_p , and m_{acc} to denote the accumulation length, the mantissa $\sqrt{KVar[I \cdot W]}$ where K and $Var[W]$ are the block size for BFP quantization and the average precision of accumulation terms, and the mantissa precision of the partial sum, respectively, $\sigma =$ variance of the weights selected from large models participating in quantization, is given as follows:

$$
F nRR = \sqrt{\frac{\sum_{i=1}^{n} P(A_i) \mathbb{E}[S_{i\ swamping}^2] + P(B) \mathbb{E}[S_{n\ swamping}^2]}{n\sigma^2}}
$$

\n
$$
P(A_i) = \begin{cases} 2Q(\frac{2^{m_{acc}+1}}{\sqrt{2\pi}}), i = 1\\ 2Q(\frac{2^{m_{acc}+1}}{\sqrt{2i\pi}}) \prod_{j=1}^{i-1} (1 - 2Q(\frac{2^{m_{acc}+1}}{\sqrt{2j\pi}})), i = 2, 3, ..., n - 1 \end{cases}
$$

\n
$$
P(B) = \prod_{j=1}^{n} (1 - 2Q(\frac{2^{m_{acc}+1}}{\sqrt{2j\pi}})), \quad \mathbb{E}[S_{n\ swamping}^2] = n\sigma^2 - \sum_{i=1}^{n} \mathbb{E}[f_i^2],
$$

\n
$$
\mathbb{E}[f_i^2] = \sum_{j=1}^{m_p} P(C_{ij}) \mathbb{E}[f_{ij}^2], \quad P(C_{ij}) = 2(Q(\frac{2^{m_{acc}-j+m_p+1}}{\sqrt{2i\pi}}) - Q(\frac{2^{m_{acc}-j+m_p+2}}{\sqrt{2i\pi}})),
$$
\n(7)

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298 299 300 301 302 303 304 305 306 307 308 309 310 The proof of this theorem is provided in the appendix B. Using Theorem 1, we endeavor to analyze the relationship between the precision of accumulation and the length of the cumulative process. When we set a very large m_{acc} , $P(A_i)$ will be close to 0, while $P(B)$ will be close to 1 and $\mathbb{E}[S_{nswampling}^2]$ will be close to $n\sigma$, which causes $FnRR \rightarrow 1$ as expected when the mantissa is maintained at high precision. When we set a very small m_{acc} , $P(B)$ will be close to 0, and $\mathbb{E}[S_{nswampling}^2]$ will be approximately equal to the sum of $P[A_i]\mathbb{E}[S_{iswampling}^2]$. When i is large, $P[A_i]$ will be close to 0. Consequently, in this case, $\mathbb{E}[S^2_{nswampling}]$ will be approximately equal to the sum of the first few terms of $P[A_i] \mathbb{E}[S_i^2_{swampling}]$ when i is small. In other words, as n increases, $\mathbb{E}[S_{nswampling}^2]$ will remain largely unchanged after an initial increase, leading $FnRR$ to rapidly approach 0 as n increases. This indicates that with limited precision, there is little hope of maintaining computational accuracy when the length of accumulation is large. Similarly, because $F nRR$ exhibits a clear trend from 1 to 0 as n increases at a fixed accumulation precision, $F nRR$ can provide a definitive decision boundary for the accuracy of accumulation.

 $\frac{1}{3}$ $(2^{j} - 1)(2^{j+1} - 1)\mathbb{E}[2^{exp}]^{2}$.

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4.3 PARAMETER SIGNIFICANCE ANALYSIS

 $\mathbb{E}[f_{ij}^2] = \frac{2^{-2m_p-1}}{2}$

314 315 316 317 318 319 320 321 322 323 Within Theorem 1, the computation of $F nRR$ is influenced by four parameters: n, m_p, m_{acc} , and σ , each exerting a distinct level of influence on the resulting calculation. Firstly, analyzing the parameter sigma reveals that $\mathbb{E}[2^{exp}]^2$ is approximately equal to σ^2 , leading to $\mathbb{E}[S_{nswampling}^2] =$ $f(n, m_p, m_{acc})\sigma^2$. Consequently, σ has negligible impact on the computation of $FnRR$. Subsequently, we observe that the parameter m_p is only employed in the calculation of $\mathbb{E}[f_i^2]$, and through scaling, we find that $\mathbb{E}[f_i^2] < \frac{\sigma^2}{6}$ $\frac{\sigma^2}{6}$ (the proof of this conclusion is provided in the appendix C). Therefore, the parameter m_p can, at most, decrease $\mathbb{E}[S^2_{nswamping}]$ to $\frac{5}{6}\mathbb{E}[S^2_{nideal}]$, which in turn reduces FnRR to around 0.913 at its lowest. The impact of m_p on the computation of FnRR is similarly insignificant. In summary, given a fixed mantissa precision, m_{acc} , n is the predominant factor influencing the calculation of $F nRR$.

324 325 4.4 MANTISSA PRECISION ANALYSIS IN SEGMENTED INTER-BLOCK ACCUMULATION

326 327 328 329 330 331 332 As established in Section [4.3,](#page-5-0) the accumulation length n is the most critical factor affecting the precision of inter-block accumulation. To achieve a lower inter-block accumulation precision while minimizing additional hardware overhead, a segmented approach to accumulation is adopted. Assuming $n = n \times n$, the floating-point accumulation of length n is segmented into n2 accumulations of length n1, which are then summed to yield the final computational result. Both segments of floatingpoint accumulation utilize the same mantissa precision to allow for the reuse of the floating-point addition unit. The proof of the formula is provided in the appendix D.

333 334 335 Theorem 2. Using a segmented accumulation method with $n = n1 \times n2$, where n1 is the segment length and n^2 is the number of segments, the $F n R R$, with m_p and m_{acc} as the mantissa precision for the accumulation terms and partial sums, respectively, is provided in the subsequent sections:

$$
FnRR_{segment} = FnRR(n1, m_p, m_{acc}, \sigma_{n_1}) \times FnRR(n2, m_{acc}, m_{acc}, \sigma_{n_2})
$$
\n(8)

4.5 USAGE OF THEOREM

We can ascertain the suitability of a certain inter-block accumulation precision by calculating its FnRR and evaluating its degree of convergence to 1, thereby predicting the most appropriate accumulation precision. The results indicate that when measured as a function of the accumulation length n with a fixed precision, there exists a breakdown region for FnRR. This breakdown region is clearly observable when considering the normalized exponential loss:

$$
f(n) = e^{n(1 - F nRR)}\tag{9}
$$

In the Figure [4,](#page-6-0) we plot the $f(n)$ values at different inter-block accumulation precisions with ac-

Figure 4: (a) and (b) utilizes weight information from the Llama2-7B, with a block size of K equal to 32. The dashed line indicates the location of the breakdown point. It is readily apparent that below the dashed line, $f(n)$ rapidly approaches 1, whereas above it, $f(n)$ increases swiftly.

366 367 368 369 370 371 cumulation using segments of length 32 and no segmented accumulation. Here, we set m_p to 9 (in practical applications, we can determine the corresponding m_p value using the method described in section [4.1\)](#page-3-2), and we use the weight data from Llama2-7B [\(Touvron et al., 2023\)](#page-11-8) to calculate the FnRR. We can observe that $f(n)$ increases rapidly when it exceeds 1000, and it quickly approaches 1 when it is below 1000. Consequently, we select 1000 as the point of breakdown, such that accumulation precisions resulting in $f(n)$ values less than 1000 are considered suitable precisions.

5 EXPERIMENTS

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375 5.1 EXPERIMENT SETUP

377 Through the aforementioned analysis, we predict the intra-block multiplication and accumulation bit widths, the inter-block accumulation mantissa bit widths, and the inter-block segmented accu**378 379 380 381 382 383 384 385 386 387 388 389 390** mulation mantissa bit widths required for inference under different quantization configurations and segment lengths for the models (Llama2-7B, BERT-Large-Cased, BERT-Base-Cased, ResNet-50). We select these models due to their long accumulation lengths and because they belong to different applications, thereby enabling them to effectively validate our work. We aim to: 1) assess overflow occurrence in intra-block multiplication and accumulation at predicted precision, 2) evaluate and compare model performance with inter-block accumulation at predicted precision to FP32 baseline, and 3) evaluate and compare model performance with inter-block segmented accumulation at predicted precision to FP32 baseline. We employ MMLU [\(Hendrycks et al., 2020\)](#page-10-10) testing to evaluate the performance of Llama2-7B, for BERT-Large-Cased and BERT-Base-Cased [\(Devlin et al., 2018\)](#page-10-11), we use the SQuAD-v1.1 dataset [\(Rajpurkar et al., 2016\)](#page-10-12) to finetuning and evaluate and for ResNet-50 [\(He et al., 2016\)](#page-10-13), we use the CIFAR-10 [\(Krizhevsky et al., 2009\)](#page-10-14) dataset to train and evaluate. Specifically, we utilize the Microsoft open-source MX Pytorch Emulation Library for quantization and choose 8-bit as the BFP quantization and accumulation exponent bit width.

391 392 393 394 To discuss the overflow situation of block-wise multiplication and accumulation and to implement the rounding of the partial sum during the inter-block accumulation process, we implement the BFPformat GEMM using PyTorch and CUDA, and we have inserted a rounding function at the location of partial sum accumulation to simulate the reduction in bit width.

395 396 5.2 OVERFLOW RATE IN INTRA-BLOCK OPERATIONS

397 398 399 400 401 402 403 404 We utilize the SQuAD-v1.1 to assess the model performance of BERT-Large and BERT-Base and the CIFAR-10 to assess the model performance of ResNet-50 following precision reduction. During inference, the matrix multiplication operations are then processed in BFP format, and the frequency of overflow events during computation is recorded to calculate the overflow rate. The results are presented in Table [2.](#page-7-0) BERT-Large and BERT-Base are evaluated using SQuAD-v1.1 across 48 topics, and the overflow rate is 0 in all cases. ResNet-50 is evaluated using CIFAR-10 and the overflow rate is also 0 in all cases. The experimental results confirm that no overflow occurs at the predicted fixed-point accumulation precision.

Table 2: The OR in this table represents the overflow rate. The data in the tuple is the result of BERT-Large and BERT-Base in SQuAD-v1.1 and ResNet-50 in CIFAR-10, respectively

5.3 MODEL PERFORMANCE UNDER REDUCED INTER-BLOCK ACCUMULATION PRECISION

Table 3: The predicted inter-block accumulation bit width for our considered networks. Each table entry is an ordered tuple representing the bit widths for Llama2-7B, BERT-Large and ResNet-50, respectively. '-' signifies that we do not conduct tests on this quantitative configuration.

427 428 429 430 431 The predicted bit width for each network and quantization precision are listed in Table [3](#page-7-1) for the case The predicted bit width for each network and quantization precision are fisted in Table 5 for the case
of BFP and BFP segmented accumulation with the segment length calculated by \sqrt{n} . To elucidate that the inter-block accumulation precision identified by our method is precisely at the critical point, or as close as possible to the critical point while ensuring model performance (the critical point refers to the threshold at which a significant degradation in model performance is imminent), we evaluate the model performance under multiple sets of different accumulation precisions for each selected

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432 433 434 435 436 437 438 439 model under various quantization configurations. Figure [7](#page-15-0) reveals that as the accumulation precision decreases, there is a pronounced decline in model performance at the critical point. However, it is worth noting that when the accumulation precision is higher than the precision at the critical point, the change in model performance is not monotonic; it oscillates within a narrow range. This implies that there is no linear correlation between model performance and accumulation precision, as performance fluctuates around a certain level within a specific range of accumulation precision. When the accumulation precision is reduced below the critical point, there is a marked deterioration in model performance, which is consistent with the properties of FnRR.

(c) No Segmented Accumulation results for BERTlarge (d) Segmented Accumulation results for BERTLarge

475 477 Figure 5: The horizontal axis represents the inter-block accumulation precision, while the vertical axis indicates the score for the corresponding task. The dashed lines in the graphs denote the Baseline performance under the respective quantization configurations

5.4 MODEL PERFORMANCE UNDER REDUCED INTER-BLOCK SEGMENTED ACCUMULATION **PRECISION**

481 482 483 484 485 We select $\lfloor \sqrt{n} \rfloor$ as the segment length and evaluated the model performance under multiple sets of different accumulation precisions for each chosen model under every quantization configuration. Figure [7](#page-15-0) demonstrates that as the accumulation precision decreases, there is a marked decline in model performance at the critical point. Furthermore, we can also find that employing segmented accumulation allows for at least a 1-bit reduction in precision while maintaining equivalent model performance compared to the no segmented accumulation method. In particular, the segmented

486 487 488 489 490 accumulation precision of 5 bits for BFP4 quantization of Llama2-7B with a block size of 16 outperforms the non-segmented method with a precision of 9 bits, achieving at least a 4-bit reduction. Both segmented and non-segmented methods at the predicted precision maintain performance close to the baseline, demonstrating the efficacy of our method in identifying minimal accumulation precision without substantial performance degradation.

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5.5 HARDWARE IMPLEMENTATION

494 495 496 497 498 499 500 501 502 503 504 We utilize the formula derived in the preceding section to predict the accumulation precision for the Llama2-7B model with a block size of 16, for both BFP4 and BFP8 quantization precisions. The hardware design is completed based on the obtained accumulation precision, and we evaluate the area and power consumption using synthesis tools. As indicated in the evaluation, in terms of area, the BFP4 and BFP8 quantization precisions result in reductions of 28.7% and 13.8%, respectively. Notably, the reduction in area for the FP-ACC and Other components is significant. However, the area optimization for the INT-MAC is not pronounced due to the multitude of multiplier units, which do not decrease in area with the reduction in accumulation precision. Regarding power consumption, the BFP4 and BFP8 quantization precisions lead to decreases of 25.2% and 13.7%, respectively. Additionally, compared to the BFP16 Baseline, our optimized implementation of the BFP MAC at lower precision achieves significant improvements in area and power consumption, reaching up to $10.3\times$ and $11.0\times$ respectively.

Table 4: Analysis of area and power with varying quantization precisions, with the bolded segment reflecting area and power data derived from hardware design utilizing formula-predicted accumulation precision, contrasted with the non-bolded segment which is based on conventional accumulation precision for hardware design.

(a) Area Analysis

6 CONCLUSION

526 527 528 529 530 531 532 533 534 We present an analytical approach to predict the optimal accumulation precision for BFP GEMM operations in deep learning inference, balancing performance with precision. Our experiments confirm that this precision is near the limit while maintaining comparable performance to the baseline. Additionally, we demonstrate the effectiveness of segmented accumulation in further reducing floating-point precision. An interesting phenomenon is observed, where the decline in model performance with decreasing accumulation precision varies under different quantization configurations. Notably, highly quantized models exhibit a lower robustness and are more susceptible to reaching the precision boundary. Therefore, incorporating the impact of quantization on model robustness into our theoretical analysis could further improve our theoretical framework. We believe that our work provides theoretical support for the design of MAC units in deep learning inference.

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A THE CALCULATION METHOD FOR $\mathbb{E}[exp]$

Let K, μ, σ denote the block size, quantization precision, mean, and variance, respectively, of the matrix selected for BFP quantization. In the main text, we assume that the means of the matrices participating in quantization follow a laplace distribution. The event A_{ei} is defined as having i out of K numbers within a block whose exponent is e, while the exponents of the remaining numbers are all less than e.

$$
L(x, \mu, \gamma) = \begin{cases} 0.5e^{\frac{x-\mu}{\gamma}}, x < \mu \\ 1 - 0.5e^{-\frac{x-\mu}{\gamma}}, x \ge \mu \end{cases}
$$
 (10)

$$
P(A_{ei}) = C_K^i \left[L\left(\frac{-2^{e-1} - \mu}{\sigma}\right) - L\left(\frac{2^{e-1} - \mu}{\sigma}\right) \right]^{K-i}
$$

2^{e-1} - \mu\n
$$
2^e - \mu \qquad -2^e - \mu \qquad -2^{e-1} - \mu \qquad (11)
$$

$$
\times [L(\frac{2^{e-1}-\mu}{\sigma})-L(\frac{2^{e}-\mu}{\sigma})+L(\frac{-2^{e}-\mu}{\sigma})-L(\frac{-2^{e-1}-\mu}{\sigma})]^i
$$

$$
\mathbb{E}[exp] = \sum_{e=-\infty}^{+\infty} [2^e \sum_{i=1}^K P(A_{ei})]
$$
 (12)

From Equation [12,](#page-12-0) $E[exp]$ can be calculated. Our experiments have shown that when $e \in$ $(-\infty, -50) \bigcup (50, +\infty), P(A_{ei}) \rightarrow 0$. Therefore, Equation (9) can be simplified to

$$
\mathbb{E}[exp] = \sum_{e=-50}^{50} [2^{e-bit+1} \sum_{i=1}^{K} P(A_{ei})]
$$
 (13)

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B PROOF OF THEOREM 1

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> **675** First, we present the assumptions that will be utilized in the subsequent derivations.

676 677 678 Assumption 1: BFP quantization does not alter the mean and variance of the matrix and the inner product terms obtained within the block are assumed to be independently and identically distributed.

679 680 This assumption is made for the convenience of determining the variance and mean of the floatingpoint numbers involved in the inter-block accumulation.

681 682 Assumption 2: We assume that the accumulation process stops when the first full swamping event occurs.

683 684 685 When full swamping occurs, the partial sum becomes sufficiently large relative to the accumulation terms. Although it is possible to recover from the full swamping event, the impact on the result is negligible.

686 687 688 Assumption 3: We consider that each bit of the mantissa of p_i and s_i is equally likely to be either 0 or 1.

689 690 This assumption is made for the convenience of determining the impact of discarding partial mantissa precision on Frobenius norm.

691 692 693 694 695 In order to calculate $F nRR$, we first need to compute the Frobenius norm when swamping occurs. To discuss the impact of swamping events on the Frobenius norm, we define the event A_i as the first occurrence of full swamping during the accumulation process at the i-th accumulation. This definition also implies that full swamping do not happen in the accumulations for $i = 1, 2, \ldots, i-1$. The event A_i happens if

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$$
|S_i| > 2^{m_{acc}} |p_{i+1}| \& |S_{i'}| \le 2^{m_{acc}} |p_{i'+1}|, i' = 1, 2, \dots, i-1
$$
\n(14)

698 699 700 701 To calculate the probability of event A_i occurring, we first need to determine the distribution of S_i and p_i . p_i represents the i-th term in inter-block accumulation, which is essentially the result of a single block-wise multiplication and accumulation. According to Assumption 1, we calculate that $p_i \sim \mathcal{N}(0, K \text{Var}[I \cdot W])$ based on the central limit theorem. Similarly, s_i is the sum of p_i , thus $s_i \sim \mathcal{N}(0, iK \text{Var}[I \cdot W])$. In the subsequent proof, we denote $K \text{Var}[I \cdot W]$ as σ^2 .

702 703 704 Next, we aim to calculate the mean of $|p_i|$ to facilitate the computation of the probability of event A_i occurring.

$$
\mathbb{E}[|p_i|] = \int_{-\infty}^{+\infty} |x| \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{x^2}{2\sigma^2}} dx \tag{15}
$$

From Equation [15,](#page-13-0) we can compute that $\mathbb{E}[|p_i|] = \frac{2\sigma}{\sqrt{2}}$ $\frac{\sigma}{2\pi}$. Therefore, we can derive the formula for calculating the probability of event A_i occurring.

$$
P(A_i) = P(|S_i| > 2^{m_{acc}} \mathbb{E}[|p_i|]) \cdot \prod_{j=1}^{i-1} P(|S_j| \le 2^{m_{acc}} \mathbb{E}[|p_j|]) \tag{16}
$$

$$
\begin{array}{c} 711 \\ 712 \\ 713 \end{array}
$$

714 715 716

720

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729 730 731

733 734 735

> $P(A_i) =$ $\sqrt{ }$ \int $\overline{\mathcal{L}}$ $2Q(\frac{2^{m_{acc}+1}}{\sqrt{2}})$ $\frac{1}{2\pi}$), $i=1$ $2Q(\frac{2^{m_{acc}+1}}{\sqrt{2}}$ $2i\pi$) i−1
∏ $j=1$ $(1-2Q(\frac{2^{m_{acc}+1}}{\sqrt{2j\pi}})), i=2,3,\ldots,n-1$ (17)

717 718 719 Next, we calculate $\mathbb{E}[S_{nswampling}^2]$. First, we observe that partial swamping is possible in every accumulation, and we define the event C_{ij} as the occurrence of stage j partial swamping during the i-th accumulation. Thus, event C_{ij} happens if

$$
2^{m_{acc-j}+mp}|p_{i+1}| < |Si| \le 2^{m_{acc-j}+mp+1}|p_{i+1}| \tag{18}
$$

721 722 723 Similar to the method for calculating the probability of event A_i occurring, we derive the formula for calculating $P(C_{ij})$ as follows:

$$
P(C_{ij}) = 2(Q(\frac{2^{m_{acc}-j+m_p+1}}{\sqrt{2i\pi}}) - Q(\frac{2^{m_{acc}-j+m_p+2}}{\sqrt{2i\pi}}))
$$
\n(19)

725 726 727 728 Subsequently, we discuss the loss in Frobenius norm caused by stage j partial swamping. According to Assumption 3, the probability of a truncated bit being either 0 or 1 is equal. Consequently, we can calculate the truncation loss $\mathbb{E}[f_{ij}^2]$ occurring at the i-th accumulation.

$$
\mathbb{E}[f_{ij}^2] = 2^{-2m_p + 2\mathbb{E}[exp']}\sum_{r=1}^{2^j - 1} \frac{r^2}{2^j}
$$
 (20)

732 Here, $\mathbb{E}[exp']$ represents the mean of the exponent of pi, and its calculation method is similar to that of $\mathbb{E}[exp']$ and will not be elaborated further. Equation [20](#page-13-1) can be simplified to:

$$
\mathbb{E}[f_{ij}^2] = 2^{-2m_p + 2\mathbb{E}[exp'] - 1} \frac{(2^j - 1)(2^{j+1} - 1)}{3}
$$
 (21)

736 737 After the aforementioned analysis, we can compute the loss $E[f_i^2]$ in the Frobenius norm caused by partial swamping at the i-th iteration and $\mathbb{E}[S_{i\ swamping}^2]$.

$$
\mathbb{E}[f_i^2] = \sum_{j=1}^{m_p} P(C_{ij}) \mathbb{E}[f_{ij}^2]
$$
 (22)

$$
\begin{array}{c} 740 \\ 741 \\ 742 \end{array}
$$

743

750 751 752

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$$
\mathbb{E}[S_{i\ swamping}^2] = i\sigma^2 - \sum_{l=1}^{i} \mathbb{E}[f_l^2]
$$
\n(23)

744 745 746 747 748 749 We proceed to discuss the impact of full swamping on the Frobenius norm. As per Assumption 2, when full swamping occurs, the accumulation process is halted. This implies that if full swamping occurs during the i-th accumulation, then $\mathbb{E}[S_{nswamping}^2|A_i] = \mathbb{E}[S_{iswamping}^2]$. Furthermore, we must also consider the scenario where full swamping does not occur throughout the entire accumulation process. The event B is defined as the absence of full swamping in n accumulations. Event B happens if

$$
|S_i| \le 2^{m_{acc}} |p_{i+1}|, i = 1, 2, \dots, n
$$
\n(24)

$$
P(B) = \prod_{i=1}^{n} (1 - 2Q(\frac{2^{m_{acc}+1}}{\sqrt{2i\pi}}))
$$
\n(25)

753 754 In summary,

$$
\mathbb{E}[S_{nswamping}^2] = \sum_{i=1}^{n} P(A_i) \mathbb{E}[S_{i\ swamping}^2] + P(B) \mathbb{E}[S_{nswamping}^2]
$$
(26)

C THE CALCULATION OF THE UPPER BOUND OF $\mathbb{E}[f_i^2]$

As indicated by Equation [22,](#page-13-2) $\mathbb{E}[f_i^2] = \sum_{j=1}^{m_p} P(C_{ij}) \mathbb{E}[f_{ij}^2]$. Firstly, we analyze $\mathbb{E}[f_{ij}^2]$, where we observe that $2^{\mathbb{E}[exp'] }$ and σ^2 are approximately equal, thus leading to the conclusion that $\mathbb{E}[f_{ij}^2]$ will reach its maximum value $\frac{1-2^{-m_p-1}-2^{-m_p}+2^{-2m_p-1}}{3}\sigma^2$ at $j = m_p$. Therefore, we can infer that $\mathbb{E}[f_i^2] < \frac{\sigma^2}{3}$ $\frac{\sigma^2}{3} \sum_{j=1}^{m_p} P(C_{ij})$. Furthermore, from Equation [19,](#page-13-3) we can deduce that $\sum_{j=1}^{m_p} P(C_{ij}) =$ $Q(\frac{2^{m_{acc}+1}}{\sqrt{2i\pi}}) - Q(\frac{2^{m_{acc}++mp+1}}{\sqrt{2i\pi}})$. Due to $\frac{2^{m_{acc}+1}}{\sqrt{2i\pi}} > 0$, then $Q(\frac{2^{m_{acc}+1}}{\sqrt{2i\pi}}) < \frac{1}{2}$. Therefore, $\mathbb{E}[f_i^2] < \frac{\sigma^2}{2}$ 3 $\sum_{i=1}^{m_p}$ $j=1$ $P(C_{ij}) < \frac{\sigma^2}{c}$ 6 (27)

D PROOF OF THEOREM 2

As readily apparent from Appendix B, the Frobenius norm for an accumulation segment of length n_1 is $\mathbb{E}[\dot{S}_{n_1\,swampling}^2]$. Let the variance of the data for an accumulation of length n_1 be denoted as σ_{n_1} . Then, the variance σ_{n_2} of the data participating in the accumulation of length n_2 is $n_1\sigma_{n_1}^2[FnRR(n_1, m_p, m_{acc}, \sigma_{n_1})]^2$. Furthermore, since $\mathbb{E}[S_{n_2\,swampling}^2]$ can be approximated as $f(n_2, m_p, m_{acc})\sigma_{n_2}^2$.

777 Therefore, when employing segmented processing, the calculated result FnRR is:

$$
FnRR_{segment} = \sqrt{\frac{\mathbb{E}[S_{n_2\,swampling}^2]}{n_1 n_2 \sigma_{n_1}^2}}
$$

=
$$
\sqrt{\frac{f(n_2, m_p, m_{acc}) n_1 \sigma_{n_1}^2 [FnRR(n_1, m_p, m_{acc}, \sigma_{n_1})]^2}{n_1 n_2 \sigma_{n_1}^2}}
$$
 (28)
=
$$
FnRR(n1, m_p, m_{acc}, \sigma_{n_1}) \times FnRR(n2, m_{acc}, m_{acc}, \sigma_{n_2})
$$

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E APPLYING THEOREM TO TRAINING TASKS

787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 We endeavor to apply our theoretical framework to training tasks. As illustrated in the Figure [6,](#page-15-1) we trained ResNet-18 on the CIFAR-10 image classification task with a block size of 128 under BFP8 quantization configuration for 90 epochs with a learning rate of 0.1. Given that the maximum accumulation lengths for ResNet-18 in forward, backward, and gradient computation matrix multiplications are 4608, 4608, and 131072, respectively, our theoretical analysis (Theorem 1) deduces that the corresponding floating-point accumulation mantissa widths for these three types of matrix multiplications are 4, 4, and 8 bits. We used the training results with FP32 accumulation as a baseline and conducted ablation studies on the forward floating-point accumulation mantissa width, backward floating-point accumulation mantissa width, and gradient computation floating-point accumulation precision mantissa width by controlling variables. The experimental results are depicted in the figure. Based on these results, we observed that reducing accumulation precision within an appropriate range does not affect the convergence of model training. Specifically, the accumulation precision for backward and gradient computation has a minimal impact on model convergence, while the forward accumulation precision has a relatively greater influence. The forward results serve as the foundation for gradient computation and backward propagation, demanding higher precision. Therefore, when intolerable loss occurs due to an overly small accumulation bit width, the model struggles to converge to a satisfactory local optimum. In summary, our experiment reveals that the data precision requirement for the forward process is higher than that for backward and gradient computation, thus validating the applicability of our theory in selecting accumulation precision for training tasks.

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F THE EXPERIMENTAL RESULTS USING STOCHASTIC ROUNDING

809 In the image classification task on CIFAR-10, ResNet-18 exhibits an identical maximum accumulation length to that of ResNet-50. Consequently, the bit-width of the accumulation tail number for

(a) The impact of forward bit width (b) The impact of backward bit width (c) The impact of gradient bit width

Figure 6: In the legend, fXbYgZ denotes the forward accumulation bit-width as X, backward as Y, and gradient as Z. For an instance, 'f4b4g8' signifies the training result curve obtained with a 4 bit forward accumulation bit-width, a 4-bit backward accumulation bit-width, and an 8-bit gradient computation accumulation bit-width.

ResNet-50, as presented in the Table [3,](#page-7-1) can be employed to deduce the corresponding accumulation precision for ResNet-18. The experimental outcomes are depicted in the Figure [7a,](#page-15-0) revealing a consistent trend between the quantization experiments utilizing stochastic rounding and those employing nearest rounding. Namely, as the accumulation precision diminishes, the model performance experiences a pronounced decline at a critical threshold.

(a) No Segmented Accumulation results for ResNet-18 Using Stochastic Rounding

Figure 7: The horizontal axis represents the inter-block accumulation precision, while the vertical axis indicates the score for the corresponding task. The dashed lines in the graphs denote the Baseline performance under the respective quantization configurations

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- **862**
- **863**

 Figure 8: Each subplot visually represents the distribution of inputs and weights involved in matrix multiplication, randomly sampled from BERT-Large and ResNet-50, respectively.

918 919 H EXPERIMENTAL DATA DETAILS

The following section provides detailed experimental results for the Llama2-7B model and the BERT-Large model.

Table 5: Experimental results of BERT-Large

Table 5: Experimental results of BERT-Large

Table 6: Experimental results of Llama2-7B

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Table 7: Experimental results of ResNet-50