## Breaking the False Sense of Security in Backdoor Defense through Re-Activation Attack

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### Abstract

Deep neural networks face persistent challenges in defending against backdoor attacks, leading to an ongoing battle between attacks and defenses. While existing backdoor defense strategies have shown promising performance on reducing attack success rates, can we confidently claim that the backdoor threat has truly been eliminated from the model? To address it, we re-investigate the characteristics of the backdoored models after defense (denoted as defense models). Surprisingly, we find that the original backdoors still exist in defense models derived from existing post-training defense strategies, and the backdoor existence is measured by a novel metric called *backdoor existence coefficient*. It implies that the backdoors just lie dormant rather than being eliminated. To further verify this finding, we empirically show that these dormant backdoors can be easily re-activated during inference stage, by manipulating the original trigger with well-designed tiny perturbation using universal adversarial attack. More practically, we extend our backdoor re-activation to black-box scenario, where the defense model can only be queried by the adversary during inference stage, and develop two effective methods, *i.e.*, query-based and transfer-based backdoor re-activation attacks. The effectiveness of the proposed methods are verified on both image classification and multimodal contrastive learning (*i.e.*, CLIP) tasks. In conclusion, this work uncovers a critical vulnerability that has never been explored in existing defense strategies, emphasizing the urgency of designing more robust and advanced backdoor defense mechanisms in the future.

### 1 Introduction

The pervasive application of Deep Neural Networks (DNNs) across safety-critical domains like facial recognition and autonomous driving [23, 36] has underlined their significance and profound impact in industrial and academic spheres. Despite their transformative potential, DNNs are known to be vulnerable to malicious threats [5, 27], which compromise the integrity and reliability of advanced systems. One of the representative threats is backdoor attacks [18, 31], where an adversary pre-defines a "trigger" and embeds it within limited training data such that the backdoored model will misclassify trigger-containing inputs into specific target categories while appropriately processing benign inputs.

A successful backdoor attack consists of two stages: (1) the embedding of the backdoor within the model during training; and (2) its subsequent activation during inference stage [62]. To identify [14] and mitigate the harmful impacts of backdoor attacks, substantial efforts have been made ranging from dataset segmentation [7, 50], trigger inversion [53, 56], model pruning [64, 71], and fine-tuning based defenses [30, 67]. While these existing defense mechanisms aim at decreasing the attack success rates (ASR) [59] of corresponding backdoored models, a fundamental question arises: *can* 

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we confidently claim that the backdoor threat has truly been eliminated from the model? In this work, we use the term **defense model**(s) to denote those models which have initially been poisoned to backdoored models and subsequently defended using some defensive techniques, for convenience.

To answer above question, we introduce an innovative concept, backdoor existence coefficient (BEC) to quantify the extent of backdoor presence within models. Using BEC, we can re-investigate the backdoor existence in existing defense models [30, 58, 67]. Specifically, the BEC measures the similarity of activation among backdoor-related neurons in the poisoned samples between the backdoored model and its corresponding defense model. Fig. 1 presents the relationship between BEC and backdoor activation (indicated by ASR) across three different attack and defense methods for comparison. In this figure, distinct shapes and colors denote various attack and defense methods, respectively. As depicted in the figure, even though the ASRs decline nearly to zero which implies that defense models perform comparably to clean models, the BECs in the defense models remain significantly high. This notable observation implies

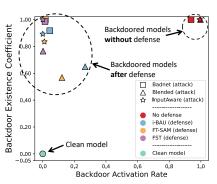


Figure 1: Comparative analysis of backdoor existence coefficient and backdoor activation rate across different models.

that the original backdoors just lie dormant rather than being eliminated in defense models.

Inspired by above observations, we pose a question: Since the original trigger fails to activate the original backdoor, is it possible to unearth a variant of the original trigger that is capable of re-activating the backdoor? Given that in real-world scenarios where the adversary cannot modify the defense model, our objective is to modify the original trigger, thereby facilitating backdoor re-activation in defense models during inference stage. To verify this feasibility, we formulate the backdoor re-activation task as constrained optimization problem with the goal of searching for a minimal universal adversarial perturbation on the original trigger. Consequently, this general technique can be seamlessly combined with any prevailing backdoor attacks to re-activate backdoor effect in defense models in their inference time. To demonstrate the real-world threat posed by backdoor re-activation attack, we also expand our method to black-box and transfer attack scenarios, where adversaries are limited to querying the model without access to its internal mechanisms. Nowadays, multimodal contrastive learning (MMCL) has impressed us with its performance across a range of tasks and backdoor threats in MMCL have also been broadly studied. In this work, we consider both image classification and multimodal tasks, demonstrating the universality and adaptability of our approach. Extensive experimental results on nine different attacks and eight state-of-the-art defenses across four benchmark datasets and three model architectures demonstrate the effectiveness of our method. Our work reveals a new vulnerability in existing defense strategies, emphasizing the need for more robust and advanced defense mechanisms in the future.

Our main contributions are threefold: 1) We re-investigate existing defense methods, and reveal that the original backdoor still exists in the model even after defense, though it cannot be activated by the original trigger. 2) We develop a novel optimization problem to re-activate the original backdoor during inference by perturbing the original trigger, under white-box, black-box, and transfer attack scenarios. 3) We demonstrate the effectiveness of the proposed method with extensive experiments on both image classification and the emerging multi-modal contrastive learning tasks.

### 2 Related work

**Backdoor attacks.** Backdoor attacks [15, 16, 22, 48, 55, 59, 75] are a significant security threat in DNNs. As summarized by Wu *et al.* [60, 62], a successful backdoor attack consists of two components: *backdoor injection* during pre-training or training stage, and *backdoor activation* during inference stage. Backdoor injection could be divided into data poisoning attack at pre-training stage and training-controllable attack at training stage. During a data poisoning attack, an adversary releases a poisoned dataset to plant backdoors. Representative works include BadNets [18], Blended [10], LF [68], SSBA [31], and Trojan [37]. For training-controllable attack [70], an adversary takes control of the training process to optimize triggers and inject backdoors. Notable examples are Input-Aware [40] and WaNet [41]. In inference stage, the adversary uses the poisoned samples to activate backdoors in the backdoored model, thereby achieving a successful attack.

While backdoor attacks are prevalent in supervised learning, backdoor threats also exist in domain of multi-modal contrastive learning (MMCL) [32, 33]. Carlini *et al.* [6] are the pioneers to unveil backdoor threats in MMCL, demonstrating that as few as 0.0001% of images can trigger a successful attack. More recently, sophisticated approaches have been introduced [2]. For instance, TrojanVQA [52] is designed for the multi-modal visual question answering task, while BadCLIP [35] shows that their attack can persist in effectiveness against backdoor defenses.

While a variety of attack methods have been proposed, they primarily focus on enhancing attack success rate during backdoor injection stage and employ the same trigger to activate backdoors in inference stage. They did not consider that the model might be fine-tuned or defended by users, and the original triggers fail to activate backdoors in inference stage. Although Qi *et al.* [42] attempted to enhance backdoor signal during inference stage, they did not consider defensive techniques in depth, and their attack lacks universality. In this work, we focus on a general backdoor attack method during inference time, researching on how to re-activate the dormant backdoors in defense models.

**Backdoor defenses.** A range of works [21, 24, 29, 39, 69] focusing on backdoor defenses have been put forward to address the threat of backdoor attacks. Considering defense stages, four main categories emerge: pre-processing defenses, training-stage defenses, post-training defenses, and inference stage defenses [61]. Pre-processing defenses [7, 24, 76] aim to filter out poisoned samples from poisoned dataset. Training-stage strategies [9, 21, 29, 57] consider that the defender has access to both training samples and the model, and mitigates backdoor effects during training process. They leverage discrepancies between poisoned and benign samples to filter out suspicious instances. Post-training defenses [11, 39, 54, 69, 73] focus on removing backdoor effect from backdoored models through pruning potential backdoor neurons [64], backdoor triggers reversion and unlearning [53], or enhancing fine-tuning processes for backdoor mitigation [72]. Inference stage defenses aim at preventing backdoor activation with samples detection or samples recovery techniques [76]. In the domain of MMCL, there are a range of works [3, 34, 65]. CleanCLIP [3] is the first to defend the MMCL model using MMCL loss and self-supervised learning within each modality with clean samples. Additionally, RoCLIP [66] introduces a robust pre-training approach, which focuses on disrupting the link between poisoned image-caption pairs. In this work, we focus on backdoor re-activation attack and thus mainly consider our attack against post-training backdoor defenses.

### 3 Methodology

In this section, we introduce our threat model and methods for image classification task for clarity. For the formulation and methods for multimodal contrastive learning, please refer to **Appendix** A.

#### 3.1 Threat model

**Notations.** For the image classification task, the training dataset is  $\mathcal{D} = \{(\boldsymbol{x}^{(i)}, y^{(i)})\}_{i=1}^n \subseteq \mathcal{X} \times \mathcal{Y}$ , where  $\mathcal{X} \subset \mathbb{R}^d$  and  $\mathcal{Y} = \{1, \dots, K\}$  are input space and label set, respectively. Given an input  $\boldsymbol{x}$ , we define a deep neural network with L layers as:

$$f(\boldsymbol{x}) = f^{(L)} \circ f^{(L-1)} \circ \dots \circ f^{(1)}(\boldsymbol{x}), \tag{1}$$

where  $f^{(l)}$  is the function in the  $l^{th}$  layer of the network,  $1 \le l \le L$ . The feature map of the  $l^{th}$  layer is denoted as  $m^{(l)}(\boldsymbol{x}) \in \mathbb{R}^{c_l \times h_l \times w_l}$ , and  $f_k(\boldsymbol{x})$  represents the logit of the  $k^{th}$  class.

Before introducing our methods, we first outline the pipeline of backdoor attack and defense. As summarized in [62] and shown in Tab. 1, the whole pipeline of backdoor attack and defense involves four stages:

- I. **Pre-training stage**: An adversary conducts data poisoning backdoor attack, which involves revising a small fraction of  $\mathcal{D}$  to generate poisoned dataset  $\mathcal{D}_p = \{(\boldsymbol{x}_{\boldsymbol{\xi}}^{(i)}, t)\}_{i=1}^{n_p}$  by injecting a trigger  $\boldsymbol{\xi}$  into the image and changing the corresponding label into target label t.
- II. Training stage: An adversary controls the training process to inject backdoors into model  $f_{\theta_{\Lambda}}$ .
- III. **Post-training stage**: A defender receives the poisoned model, and can gather some benign samples to remove the backdoor effect from the model, denoted as  $f_{\theta_{\rm D}}$ .

Table 1: Illustration of the pipeline of backdoor attack and defense.

Stage	Task description	Input/Output	Goal
Reference I: Pre-training & II: Training III: Post-training IV: Inference	Clean model training Backdoor injection Backdoor defense Backdoor re-activation	$\mathcal{D}/f_{oldsymbol{ heta}_{ ext{C}}} \ \mathcal{D}/f_{oldsymbol{ heta}_{ ext{A}}}, \mathcal{D}_{p} \ f_{oldsymbol{ heta}_{ ext{A}}}/f_{oldsymbol{ heta}_{ ext{D}}} \ x, oldsymbol{\xi}, f_{oldsymbol{ heta}_{ ext{D}}}/f_{oldsymbol{ heta}_{ ext{D}}}(x_{oldsymbol{\xi}'})$	$ \begin{array}{l} f_{\boldsymbol{\theta}_{\mathrm{C}}}(\boldsymbol{x}) = y,  f_{\boldsymbol{\theta}_{\mathrm{C}}}(\boldsymbol{x}_{\boldsymbol{\xi}}) \neq t \\ f_{\boldsymbol{\theta}_{\mathrm{A}}}(\boldsymbol{x}) = y,  f_{\boldsymbol{\theta}_{\mathrm{A}}}(\boldsymbol{x}_{\boldsymbol{\xi}}) = t \\ f_{\boldsymbol{\theta}_{\mathrm{D}}}(\boldsymbol{x}) = y,  f_{\boldsymbol{\theta}_{\mathrm{D}}}(\boldsymbol{x}_{\boldsymbol{\xi}}) \neq t \\ f_{\boldsymbol{\theta}_{\mathrm{D}}}(\boldsymbol{x}) = y,  f_{\boldsymbol{\theta}_{\mathrm{D}}}(\boldsymbol{x}_{\boldsymbol{\xi}'}) = t \end{array} $

IV. Inference stage: With the defense model  $f_{\theta_D}$ , the original trigger fails to activate the backdoor, *i.e.*,  $f_{\theta_D}(x_{\xi}) \neq t$ . The goal is to re-activate backdoors, *i.e.*,  $f_{\theta_D}(x_{\xi'}) = t$ , where  $\xi' = \xi + \Delta_{\xi}$ .

Existing backdoor attacks primarily focus on achieving high attack success rates (ASR) in backdoor injection stages (I and II), with little consideration for the defensive impact in stage III. Given the failures of  $x_{\xi}$  in attacking  $f_{\theta_D}$ , our work focuses on the backdoor re-activation attack in stage IV.

#### 3.2 Backdoor existence coefficient

While the model performance in Tab. 1 suggests that  $f_{\theta_{\rm D}}$  and  $f_{\theta_{\rm C}}$  are analogous, we argue that in terms of the backdoor effect,  $f_{\theta_{\rm D}}$  and  $f_{\theta_{\rm A}}$  are actually more closely aligned, which indicates the persistent existence of backdoor in model  $f_{\theta_{\rm D}}$ . To verify this, we need a metric to measure the quantity of backdoor existence within a model. An effective indicator should be capable of quantifying the similarity of backdoor effect between backdoored model  $f_{\theta_{\rm A}}$  and the target defense model  $f_{\theta_{\rm D}}$  across the entire models. To achieve this, we propose a new metric, *Backdoor Existence Coefficient* (BEC), which is calculated through the following three steps:

- Backdoor neuron identification: Firstly, we need to identify backdoor-related neurons. Zheng et al. [71] proposed Trigger-activated Change (TAC) to quantify the correlation between backdoor impact and neurons (see Appendix C for details). With this metric, backdoor-related neurons in f<sub>θ<sub>A</sub></sub> are identified for each layer. Thus, the feature maps corresponding to these neuron indices are selected for each model, denoted as m̃<sub>A</sub><sup>(l)</sup>(x<sub>ξ</sub>), m̃<sub>D</sub><sup>(l)</sup>(x<sub>ξ</sub>), and m̃<sub>C</sub><sup>(l)</sup>(x<sub>ξ</sub>), respectively. Denote the feature maps across dataset D<sub>p</sub> as m̃<sup>(l)</sup>(D<sub>p</sub>) ∈ ℝ<sup>n<sub>p</sub>×(c<sub>l</sub> × h<sub>l</sub>×w<sub>l</sub>).
  </sup>
- 2. Backdoor effect similarity metric: In order to measure the backdoor effect similarity between models, we employ Centered Kernel Alignment (CKA) [25] (see Appendix C for details) to quantify the similarity between these matrices. The similarity in backdoor effects between  $f_{\theta_D}$  and  $f_{\theta_A}$ , calculated through the use of corresponding features, can be computed as:

$$S_{\mathbf{D},\mathbf{A}}^{(l)}(\mathcal{D}_p) = \mathbf{C}\mathbf{K}\mathbf{A}\left(\tilde{m}_{\mathbf{D}}^{(l)}(\mathcal{D}_p), \tilde{m}_{\mathbf{A}}^{(l)}(\mathcal{D}_p)\right),\tag{2}$$

and  $S_{C,A}^{(l)}(\mathcal{D}_p)$  is computed accordingly.

3. Backdoor existence coefficient computation: The BEC is the average of normalized backdoor effect similarity across all layers. By assigning the BEC of  $f_{\theta_A}$  a value of 1 and  $f_{\theta_C}$  a value of 0, the computation can proceed as follows:

$$\rho_{\text{BEC}}(f_{\theta_{\text{D}}}, f_{\theta_{\text{A}}}, f_{\theta_{\text{C}}}; \mathcal{D}_p) = \frac{1}{N} \sum_{l=1}^{N} \frac{S_{\text{D},\text{A}}^{(l)}(\mathcal{D}_p) - S_{\text{C},\text{A}}^{(l)}(\mathcal{D}_p)}{S_{\text{A},\text{A}}^{(l)}(\mathcal{D}_p) - S_{\text{C},\text{A}}^{(l)}(\mathcal{D}_p)} \in [0, 1].$$
(3)

**Remark.**  $S_{A,A}^{(l)}(\mathcal{D}_p) = 1$ . The second and third arguments in  $\rho_{BEC}$  serve as two reference models to measure the backdoor existence of the model corresponding to the first argument  $f_{\theta_D}$ . Denote  $\rho_{BEC}(f_{\theta_D}, f_{\theta_A}, f_{\theta_C}; \mathcal{D}_p)$  as  $\rho_{BEC}(f_{\theta_D})$  for simplicity. The higher the value  $\rho_{BEC}(f_{\theta_D})$ , the stronger the existence of backdoors in the model. We utilize BEC to signify backdoor existence and employ ASR to quantify the extent of backdoor activation. As shown in Fig. 1, the BEC remains consistently high across various defenses, despite backdoor activation being low.

### 3.3 Backdoor re-activation attack

Motivated by the fact analyzed above that the original backdoor still exists in the defense model  $f_{\theta_D}$ , here we explore the possibility to re-activate the backdoor during inference. Since the adversary cannot

modify  $f_{\theta_D}$  during inference, one feasible solution is to modify the original trigger  $\boldsymbol{\xi}$ . Specifically, we propose to pursue a new trigger  $\boldsymbol{\xi}'$  by perturbing  $\boldsymbol{\xi}$ , *i.e.*,  $\boldsymbol{\xi}' = \boldsymbol{\xi} + \Delta_{\boldsymbol{\xi}}$ , such that  $\boldsymbol{\xi}'$  could re-activate the original backdoor, *i.e.*,  $f_{\theta_D}(\boldsymbol{x}_{\boldsymbol{\xi}'}) = t$ . In the following, we will present how to obtain a successful trigger perturbation  $\Delta_{\boldsymbol{\xi}}$  under white-box, black-box, and transfer attack scenarios, respectively.

White-box backdoor re-activation attack. In white-box scenario, the adversary has access to the parameters of f but cannot manipulate them. In this case, we could obtain  $\Delta_{\boldsymbol{\xi}}$  by solving the constrained optimization problem  $\min_{\|\Delta_{\boldsymbol{\xi}}\|_{p} \leq \rho} \mathcal{L}_{tot}(\Delta_{\boldsymbol{\xi}}; \mathcal{D}_{p}, f)$ , where

$$\mathcal{L}_{tot}(\Delta_{\boldsymbol{\xi}}; \mathcal{D}_{p}, f) = \sum_{(\boldsymbol{x}_{\boldsymbol{\xi}}, t) \in \mathcal{D}_{p}} \mathcal{L}_{CE}(f(\boldsymbol{x}_{\boldsymbol{\xi}+\Delta_{\boldsymbol{\xi}}}), t) - \lambda \log\left(1 - \max_{k \neq t} \frac{e^{f_{k}(\boldsymbol{x}_{\boldsymbol{\xi}+\Delta_{\boldsymbol{\xi}}})}}{\sum_{i=1}^{N} e^{f_{i}(\boldsymbol{x}_{\boldsymbol{\xi}+\Delta_{\boldsymbol{\xi}}})}}\right), \quad (4)$$

where  $\|\cdot\|_p$  means  $\ell_p$  norm,  $\rho$  is the perturbation bound,  $\mathcal{L}_{CE}$  is cross-entropy loss, and  $\lambda > 0$  is a hyper-parameter. This problem can be easily solved using project gradient descent (PGD) [38].

**Black-box backdoor re-activation attack.** Although the re-activation attack under the white-box scenario is easy to implement, it may be impractical. Thus, we also consider the practical black-box scenario, where the adversary lacks information to the defense model and can only query the model and obtain the predicted score. Consequently, the above problem (4) is no longer directly optimized by the PGD algorithm. Inspired by existing black-box adversarial attacks [1, 8], we propose a novel random search based optimization algorithm. Specifically, we extend the query-based black-box adversarial attack method Square Attack [1] that was designed for optimizing sample-specific perturbation, to solve problem (4), dubbed Universal Square Attack. Its overall procedure is summarized in Alg. 1 in **Appendix**.

**Transfer-based backdoor re-activation attack.** In addition to the query-based black-box attack, we also explore transfer-based attack scenario. In this scenario, the adversary trains a backdoored model  $f_{\theta_A}$  and releases it to downstream users. The user receives model  $f_{\theta_A}$ , and obtains a defense model  $f_{\theta_D}$  based on  $f_{\theta_A}$  by some post-training defense. Thus, the adversary does not know the exact defense method, but has full information about the original trigger  $\boldsymbol{\xi}$  and model  $f_{\theta_A}$  which has same model architecture as  $f_{\theta_D}$ . The adversary also has restricted query limits. Consequently, leveraging transfer attacks becomes a viable strategy for attacking. The main idea is that the adversary can imitate defense process to get some defense models  $f_{\theta_{D_i}}$  themselves, where  $i = 1, \ldots, M$ . Then these defense models can serve as surrogate models to generate perturbation  $\Delta_{\boldsymbol{\xi}}$  as follows:

$$\Delta_{\boldsymbol{\xi}}^* = \underset{\|\Delta_{\boldsymbol{\xi}}\|_p \le \rho}{\arg\min} \sum_{i=1}^M \mathcal{L}_{tot}(\Delta_{\boldsymbol{\xi}}; \mathcal{D}_p, f_{\boldsymbol{\theta}_{\mathsf{D}_i}}).$$
(5)

Overall, we propose a universal backdoor re-activation attack that aims to enhance the performance of existing backdoor attack methods during inference. We have explored three scenarios—white-box attack (WBA), query-based black-box attack (BBA), and transfer attack (TA). Besides, we would like to emphasize again that the proposed attack can be naturally extended to multi-modal learning tasks, other than the classification task demonstrated above. The details are presented in **Appendix** A.

### 4 **Experiments**

### 4.1 Implementation details

**Models and datasets.** For image classification task, we evaluate all our attacks on three benchmark datasets CIFAR-10 [26], Tiny ImageNet [28], and GTSRB [49] over two network architectures, PreAct-ResNet18 [20] and VGG19-BN [47]. We utilize the setup in BackdoorBench [59]. For MMCL task, we use the open-sourced CLIP model from OpenAI [44] as the pre-trained model. Following the setting of CleanCLIP [3], the model is poisoned on the CC3M dataset [45] and subsequently tested through zero-shot evaluation on ImageNet-1K validation set [13].

**Backdoor attacks.** For image classification task, we adopt seven widely used backdoor attacks including: (1) five data poisoning attack: BadNets [18], Blended [10], LF [68], SSBA [31], and

Trojan [37]; and (2) two training-controllable attacks: Input-Aware [40] and WaNet [41]. We follow the default attack configuration as in BackdoorBench [59] and the  $0^{th}$  label is set to be the target label. For MMCL task, we adopt four backdoor attacks including: BadNets, Blended, SIG [4], and TrojanVQA [52]. In data poisoning phase, 1500 samples out of 500K image-text pairs from CC3M dataset are poisoned and the target label is banana as in [3].

**Backdoor defenses.** For image classification task, we adopt six state-of-the-art post-training defense methods: NC [53], NAD [30], i-BAU [67], FT-SAM [72], SAU [58], and FST [39]. For MMCL task, we consider two defense methods: (1) FT [3]: fine-tuning the model with multimodal contrastive loss using clean dataset; and (2) CleanCLIP [3]: a fine-tuning defense method for CLIP models. All the detailed introduction about the above attack and defense methods can be found in **Appendix D**.

**Implementation details.** At backdoor injection phase, the poisoning ratio is set to 10%, following the configuration in BackdoorBench [59]. At defense phase, 5% clean samples are given to defend models. At backdoor re-activation phase, we consider defense models as our target model. The adversary is given 2% (*i.e.*, 1000) poisoned samples to conduct attacks. We consider both  $\ell_{\infty}$  and  $\ell_2$  norm attacks, and the perturbation bounds are set to 0.05 and 2, respectively. The loss hyper-parameter  $\lambda$  is 1 for all our experiments. For query-based black-box attack, the maximum query limit is 10,000 for each image. For transfer attack, the adversary is given 10% poisoned samples to conduct backdoor re-activation attack. The  $\ell_2$  norm bound is set to 1 for transfer attack. We simply assume three surrogate models can be used and we just divided these defenses into two groups: (1) NC, NAD, i-BAU; and (2) FT-SAM, SAU, FST. Specifically, we generate perturbation in each group and test the ASRs in the other group. All the ASRs are tested on testing dataset. For MMCL tasks, we assume the adversary lacks knowledge of the downstream task. Therefore, attacks are executed in the upstream task for both white-box and transfer attacks and subsequently tested in downstream zero-shot task. More details about the implementations can be found in **Appendix** D. We have provided the PyTorch<sup>2</sup> implementation of our method on Github.

### 4.2 Main results

**Backdoor re-activation attack.** Tab. 2 shows the performance of our backdoor re-activation attack under white-box attack (WBA) and query-based black-box attack (BBA) settings in comparison with ASRs of original backdoored models (No Defense) and defense models (Defense). By observing the table, the following profound insights emerge: (1) Compared to defense models, our attacks show a striking level of efficacy. Both our WBA and BBA have exhibited an impressively absolute improvement of 76.94% and 42.95% on average, respectively when compared against defense mechanisms, which shows the effectiveness of our re-activation attack method. (2) The close performance of our WBA compared to "No Defense" underscores the efficacy of our backdoor re-activation mechanism, affirming the recoverability of the backdoors in defense models. By setting WBA as an upper bound for backdoor recovery, the more realistic BBA reveals substantial attack performance. Despite a gap between the two approaches, we posit that this disparity can be lessened through a sophisticated black-box attack strategy. (3) In terms of specific defenses, our attack against SAU and FST exhibits relatively poor ASRs. This suggests that SAU's backdoor removal efficiency is significant, which aligns with the subsequent analysis of Fig. 3. In contrast, FST's BBA seems comparatively subdued. It may be attributed to the reinitialized FC layers, effectively cutting backdoor activations. These insights serve as valuable pointers for crafting defense strategies in the future.

**Backdoor re-activation attack via transfer attack.** In this experiment, we group these defenses into two distinct groups: (1) weak group (NC, NAD, i-BAU) and (2) strong group (FT-SAM, SAU, FST) to better to observe the impact of defense methods on the performance of transfer-based re-activation attacks (TA). Two key findings emerged from results in Tab. 3: (1) Transfer attacks generally exhibit strong performance in comparison with results in Tab. 2. The ensemble attack strategies applied on the weak group demonstrate better attack effectiveness on strong defense models than that in BBAs. (2) Utilizing ensemble strategies on strong defense methods results in Tab. 2. This outcome raises concerns: if adversaries simulate stronger defenses to derive substitute models for launching transfer attacks, it could lead to serious security threats.

<sup>&</sup>lt;sup>2</sup>https://github.com/JulieCarlon/Backdoor-Reactivation-Attack

Table 2: Performance (%) of backdoor re-activation attack on both white-box (WBA) and blackbox (BBA) scenarios with  $\ell_{\infty}$ -norm bound  $\rho = 0.05$  against different defenses with CIFAR-10 on PreAct-ResNet18. The best results are highlighted in **boldface**.

A 44 1	No Defense	N	IC [53]		NA	AD [30]		i-B.	AU [ <mark>67</mark>	]	FT-S	SAM [7	2]	SA	U [58]		FS	ST [ <mark>39</mark> ]	
Attacks	No Defense	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA
BadNets [18]	93.79	2.01	96.78	27.91	1.96	94.78	49.66	4.48	97.42	54.37	1.63	94.71	51.23	1.30	93.10	37.91	1.46	97.93	42.69
Blended [10]	99.76	99.76	99.93	99.13	47.64	99.82	14.14	26.83	99.63	85.80	12.17	99.56	87.29	5.20	98.37	73.06	0.20	99.62	82.97
Input-Aware [40]	99.30	0.70	92.04	54.33	0.92	93.80	70.44	0.02	21.78	19.56	1.07	96.19	80.16	1.26	85.39	22.26	0.00	90.72	44.65
LF [68]	99.06	99.06	99.41	80.51	75.47	99.41	17.01	11.99	99.04	75.48	6.43	97.40	89.28	2.49	90.74	23.08	5.43	98.18	1.16
SSBA [31]	97.07	97.07	99.90	94.38	70.77	99.72	88.53	2.89	91.29	70.71	4.06	92.80	69.18	2.16	89.86	38.59	0.54	94.11	52.71
Trojan [37]	99.99	2.76	95.26	45.57	5.77	96.38	60.87	0.54	89.58	40.18	4.12	96.18	69.88	1.39	87.61	47.37	8.93	97.28	80.47
WaNet [41]	98.90	98.90	100.00	99.64	0.73	96.21	77.65	0.88	94.67	75.91	0.96	94.95	78.66	0.82	95.33	60.36	0.26	97.56	82.22
Avg	98.26	57.18	97.62	71.64	29.04	97.16	54.04	6.80	84.77	60.29	4.35	95.97	75.10	2.09	91.48	43.23	2.40	96.49	55.27

Table 3: Attack performance (%) on target models of transfer-based re-activation attack (TA) with  $\ell_2$ -norm bound  $\rho = 1$  against different defenses with CIFAR-10 on PreAct-ResNet18.

Attack	No Defense	NC [	53]	NAD [30]		i-BAU	[67]	FT-SAN	1 [72]	SAU [58]		FST [	39]
Attack	NO Defense	Defense	TA	Defense	TA	Defense	TA	Defense	TA	Defense	TA	Defense	TA
BadNets [18]	93.79	2.01	95.43	1.96	98.42	4.48	97.90	99.17	97.42	1.30	90.17	1.46	96.21
Blended [10]	99.76	99.76	100.00	47.64	99.98	26.83	99.83	98.64	99.63	5.20	93.36	0.20	24.07
Input-Aware [40]	99.30	0.70	99.98	0.92	99.98	0.02	99.77	96.92	21.78	1.26	15.56	0.00	95.28
LF [68]	99.06	99.06	99.93	75.47	99.84	11.99	98.35	93.85	99.04	2.49	96.62	5.43	80.09
SSBA [31]	97.07	97.07	99.27	70.77	99.38	2.89	20.44	98.06	91.29	2.16	95.03	0.54	76.21
Trojan [37]	99.99	2.76	99.76	5.77	99.09	0.54	96.18	96.57	89.58	1.39	83.67	8.93	21.79
WaNet [41]	98.90	98.90	99.72	0.73	99.86	0.88	83.79	98.90	94.67	0.82	89.49	0.26	98.69
Avg	98.26	57.18	99.16	29.04	99.51	6.80	85.18	97.44	84.77	2.09	80.55	2.40	70.33

**Effectiveness of attacks on CLIP models.** Tab. 4 lists the performance of our backdoor reactivation attack under white-box attack (**WBA**) and transfer-based attack (**TA**) on the CLIP model. Our attacks yield significant improvements, with ASR enhancements of 34.87% and 43.35% on average, respectively, compared to defense models. The results for TA and WBA are very close. One possible reason is that the similarity between the FT and CleanCLIP methods leads to strong transfer performance. We advocate for the development of stronger defenses on CLIP to combat attacks. Due to space constraints, attack results and analysis on Tiny ImageNet (Tab. 12) and GTSRB (Tab. 13) datasets, and results on VGG19-BN models (Tab. 14) are provided in **Appendix E**.

### 4.3 Ablation study

Influence of norm bound and norm type. We studied the impact of norm type and norm bound on the attack performance. The results are shown in (a) and (b) of Fig. 2. It can be observed that it is difficult to achieve high success rates under smaller norm bounds. However, when the norm bound is sufficiently large, the attack effectiveness converges and approaching nearly 100% for both  $\ell_{\infty}$ -norm and  $\ell_2$ -norm types against all defense models.

**Influence of the size of poisoned samples.** We investigated the impact of the size of poisoned samples on attack performance for Blended attack. As shown in (c) and (d) of Fig. 2, increasing the number of training samples in WBA shows significant improvement in attack results. However, in

Table 4: Performance (%) of our attack on both white- Table 5 box (WBA) and transfer-based (TA) attacks with  $\ell_{\infty}$ -norm m bound  $\rho = 0.05$  against different defenses with ImageNet- w 1K on CLIP. Best results are highlighted in **boldface**.

)-	Table 5: Our attacks (%) on defense
1	models in comparison with clean ones
t-	with $\ell_{\infty}$ -norm bound $\rho = 0.05$ under
	different model structures and datasets.

Attack	No Defense	1	FT [3]		Clea	nCLIP [	3]	Setup	Clean	Model	Defens	e Model
Allack	No Defelise	Defense	WBA	TA	Defense	WBA TA		Jetup	WBA	BBA	WBA	BBA
BadNets [18]	96.65	64.60	82.05	82.73	17.29	57.76	47.30	Res18+CIFAR-10	85.00	56.98	93.92	59.93
Blended [10]	97.71	49.77	96.57	98.64	18.57	89.61	72.65	Res18+Tiny	39.76	14.02	71.04	40.81
SIG [4]	77.71	30.91	92.56	87.99	21.68	87.04	82.55	Res18+GTSRB	53.33	50.87	67.14	61.81
TrojanVQA [52]	98.21	82.07	97.14	97.46	49.82	87.43	78.25	VGG+CIFAR-10	68.80	43.60	85.15	51.04
Avg	92.57	56.84	92.08	91.71	26.84	80.46	70.19	Avg	61.72	41.37	79.31	53.40

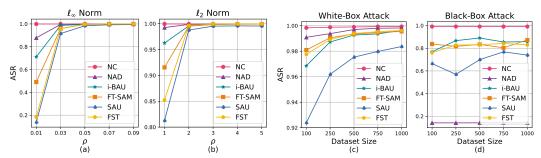


Figure 2: (a) and (b) show attack results under different norm types p and bounds  $\rho$  for WBA. (c) and (d) show attack results under different number of poisoned samples for WBA and BBA.

Table 6: Detection performance (TPR %) on dif-<br/>Table 7: Performance (%) against test-time de-<br/>ferent  $\langle model, poisoned samples \rangle$  pairs.Performance (%) against test-time de-<br/>fenses.

Attack↓	Detection ↓	$f_{\mathrm{A}}, {\mathcal{D}}_p$	$f_{ ext{D,FT-SAM}}, {\mathcal D}_p$	$f_{ ext{D,FT-SAM}}, \mathcal{D}_{p,\Delta\xi}$		$f_{\mathrm{D,SAU}}, \mathcal{D}_{p,\Delta\xi}$	Defense $\rightarrow$	SCALE-UP	SCALE-UP	STRIP	STRIP	ZIP	ZIP
	SCALE-UP	39.6	79.6	68.6	79.5	49.5							
BadNets	SentiNet	37.7	3.6	2.2	0.2	0.9	Attack↓	ASR	ACC	ASR	ACC	ASR	ACC
	STRIP	88.3	0.7	5.5	10.3	6.5							
-	SCALE-UP	92.6	84.9	73.1	81.6	55.4	BadNets	29.8	53.7	83.4	9.3	23.6	80.7
Trojan	SentiNet	2.9	1.1	1.05	2.1	1.5	Blended	34.1	46.2	49.2	92	48.1	81.5
	STRIP	99.9	1.9	29.8	4.2	1.2	Biendeu	0.11	1 .0.2	1.0.2	9.2		, 01.0

the BBA setting, the ASRs remains relatively stable and does not exhibit significant enhancements with the increase of training samples. This suggests that the difficulty in BBA lies in finding a good universal perturbation, especially when dealing with a large number of training samples. However, the successful attacks with minimal samples also highlight the significant potency of the attack method.

Attacks performance against clean models. To demonstrate the specific vulnerability of defense models, we contrast the performance of our attacks on the defense models in comparison with clean models. Tab. 5 provides a summary of our method's performance across all backdoor attacks and defense methods, in comparison of the ASRs on clean models. It can be observed that, although some effectiveness is achieved on the clean models, the vulnerability of defense models is significantly higher than that of the clean model, with this gap being more pronounced in particular defenses. This indicates that defense models are indeed more fragile in comparison with clean models.

#### 4.4 Further analysis

**Backdoor existence analysis.** We provide more experimental demonstration on the existence of backdoors in defense models. We employ our BEC metric to quantify the existence of backdoors in all defense models and visualize the relationship between BEC and backdoor activation rates, as depicted in (a) of Fig. 3. We observe that backdoors persist across defense models, albeit with low backdoor activation rates. The BECs in SAU, SAM, and i-BAU are relatively low, while FST exhibits a notably high BEC. This contrast may stem from the former's optimization objectives resembling adversarial training, whereas the latter primarily disrupts activations through layers re-initialization.

**Relationship between BECs and ASRs.** We validate the relationship between the ASR of reactivation attack (WBA) and the residual of backdoors. We computed the Pearson Correlation Coefficients (PPC) between BECs of different defense models and their white-box ASRs among all attacks, as shown in (b) of Fig. 3. It is evident that in most cases, there is a strong correlation between the two. In other words, the more backdoors remain in models, the easier it is for attacks to succeed. Therefore, our metrics can serve as an indicator of backdoored model security.

**Feature map visualization.** Here we visualize the feature maps between different models to directly observe their similarities. Fig. 3 (c) displays the visualizations of activations from the final four convolutional layers of three models, sorted in descending order according to backdoored model's TAC value, with each subplot arranged from top to bottom. It can be observed that the defense model and backdoored model exhibit similar patterns: highlighting activations in backdoor-related neurons. This directly indicates the persistence of backdoors within defense models.

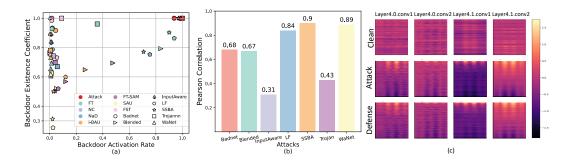


Figure 3: (a).Visualization of the correlation between backdoor activation rate and BEC. (b). Pearson correlation coefficients of ASR and BEC under different attacks. (c). Visualization of feature maps.

Attack against test-time detection and defenses. Given that our attack is conducted during the test phase, it is essential to assess whether it can evade backdoor detection and defenses at test phase. To this end, we test three test-time backdoor detection methods: SCALE-UP [19], SentiNet [12], and STRIP [17], as well as three test-time defenses: STRIP [17], ZIP [46], and SCALE-UP [19].

The detection task requires two input arguments, including the model and the query datasets. We evaluate five pairs, including (the original backdoored model  $f_A$ , the original poisoned dataset  $\mathcal{D}_p$ ), (the defense model with FT-SAM  $f_{D,FT-SAM}$ ,  $\mathcal{D}_p$ ),  $\langle f_{D,FT-SAM}$ , the re-activation dataset  $\mathcal{D}_{p,\Delta\xi}$ ), (the defense model with SAU  $f_{D,SAU}$ ,  $\mathcal{D}_p$ ),  $\langle f_{D,SAU}$ , the re-activation dataset  $\mathcal{D}_{p,\Delta\xi}$ ). The result in Tab. 6 shows that our attacks do not markedly increase the TPR compared to the other two pairs. More detection performance on our BBA and TA are shown in Tab. 18 in Appendix.

Tab. 7 shows the defense results. It shows that our attack maintains a certain level of ASR against ZIP. However, for SCALE-UP and STRIP, there is a significant drop in ASR. Meanwhile, the model's ACC is also notably low. This experiment highlights the potential for future attack method designs aimed at evading test-time defenses. Possible strategies could include techniques to better align with feature distributions of clean data and to avoid triggering excessively strong activations.

Attack against adaptive defense. Considering defenders are aware of adversary' strategies, they can introduce random perturbations for queries so as to disrupt the adversary's ability. We assess both adversary's ASR and the model accuracy on clean samples under varying perturbation bound. As depicted in Tab. 8, minor noise has slight impact on ASR. However, with larger noise amplitudes, despite failed attacks, the model's accuracy is significantly affected.

Table 0. Describe	(01)		a damatina	1-6
Table 8: Results	(%)	against	adaptive	derense.

					+			
$\text{Defense} \rightarrow$	FST	[39]	FT-SA	M [72]	i-BAU	J [ <mark>67</mark> ]	SAU	[58]
Noise $\downarrow$	ACC	ASR	ACC	ASR	ACC	ASR	ACC	ASR
0.00	92.61	82.97	92.88	87.29	89.43	85.80	91.75	73.06
0.01	91.64	82.24	92.13	90.89	88.85	77.88	91.31	71.99
0.02	88.35	70.89	89.53	88.04	86.15	79.02	88.38	84.11
0.03	82.59	73.03	84.84	86.36	81.32	75.83	83.33	67.42
0.04	75.87	56.76	78.04	81.60	75.51	72.72	76.19	54.40
0.05	67.15	58.17	70.12	74.57	68.09	72.28	67.95	56.74

#### 4.5 Comparison among OBA, RBA, and gUAA

To verify that our re-activation attack method finds a highly correlated backdoor with the original backdoor, and to distinguish it from general universal adversarial perturbation attack (gUAA), we systematically compare the original backdoor attack (OBA), our re-activation attack (RBA), and gUAA from three key perspectives.

To facilitate the understanding of our analysis, we firstly clarify the definitions and settings. **OBA** refers to an existing backdoor attack following the standard backdoor injection and activation pro-

Table 9: CKA scores between OBA, RBA, and g
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$\mathbf{Defense} \Rightarrow$		i-BAU			FT-SAM			
Attack $\downarrow$	$S_{\text{RBA,OBA}}$	$S_{\rm gUAA,OBA}$	$S_{\rm RBA,gUAA}$	$S_{\text{RBA,OBA}}$	$S_{\rm gUAA,OBA}$	$S_{\rm RBA,gUAA}$		
BadNets	0.607	0.192	0.170	0.599	0.194	0.169		
Blended	0.712	0.196	0.192	0.712	0.197	0.193		

cess; **RBA** means that, given the defense model, we aim to re-activate the injected backdoor of OBA by searching for a new trigger  $\xi'$ , starting from original trigger  $\xi$ , based on some original poisoned samples  $\mathcal{D}_p$ ; **gUAA** refers to a targeted universal adversarial perturbation attack (same class as OBA and RBA) where, given  $f_{\theta_D}$ , we aim to find a perturbation starting from clean samples  $\mathcal{D}_c$ . The searched UAP is denoted as  $\Delta$ , and the perturbed dataset as  $\mathcal{D}_{c,\Delta}$ . Our analyses are as follows:

Table 10: ASR (%) of RBA and gUAA with differ-Table 11: ASR (%) of OBA, RBA, and gUAA ent query numbers. under different  $l_{\infty}$ -norm of random noise.

Attack+Defense	Query number $\Rightarrow$	1000 3000	5000 7000			Norm $\Rightarrow$	0		0.06	
				-	OBA	Blended+NAD	99.8	99.8	99.6	97.3
Blended+i-BAU	RBA	77.3 89.3	92.1 94.6		UБА	LF+NAD	99.1	98.9	98.4	98.6
Dicilded IT Bite	gUAA	14.2 41.4	49.5 56.4		RBA	Blended+NAD	99.8	99.7	98.7	84.0
		411 774	79.8 85.6		KDA	LF+NAD	99.4	99.1	98.1	96.6
Blended+FT-SAM	RBA	1 1			- 1 1 4 4	Blended+NAD	95.5	92.7	79.4	35.4
	gUAA	16.3 42.2	56.5 65.5		gUAA	LF+NAD	96.5	89.5	55.8	16.7

• Activation mechanism of backdoor effect: We analyze the backdoor activation mechanism in each attack. As demonstrated in Sec. 3.2, we adopt the CKA metric to measure backdoor effect similarity between models. Here we calculate the following three CKA scores:  $S_{\text{RBA,OBA}} = \frac{1}{N} \sum_{l=1}^{N} \text{CKA}(\tilde{m}_{\text{D}}^{(l)}(\mathcal{D}_{p,\Delta_{\xi}}), \tilde{m}_{\text{A}}^{(l)}(\mathcal{D}_{p})),$   $S_{\text{gUAA,OBA}} = \frac{1}{N} \sum_{l=1}^{N} \text{CKA}(\tilde{m}_{\text{D}}^{(l)}(\mathcal{D}_{c,\Delta}), \tilde{m}_{\text{A}}^{(l)}(\mathcal{D}_{p})), \quad S_{\text{RBA,gUAA}} = \frac{1}{N} \sum_{l=1}^{N} \text{CKA}(\tilde{m}_{\text{D}}^{(l)}(\mathcal{D}_{c,\Delta}), \tilde{m}_{\text{A}}^{(l)}(\mathcal{D}_{p})),$  $\frac{1}{N}\sum_{l=1}^{N} \operatorname{CKA}(\tilde{m}_{\mathrm{D}}^{(l)}(\mathcal{D}_{p,\Delta_{\xi}}), \tilde{m}_{\mathrm{D}}^{(l)}(\mathcal{D}_{c,\Delta})). \text{ As shown in Tab. 9, } S_{\mathrm{RBA,OBA}} \gg S_{\mathrm{gUAA,OBA}} \approx S_{\mathrm{RBA,gUAA}} \text{ across all attack-defense pairs. This demonstrates that$ **the backdoor activation** mechanisms between RBA and OBA are highly similar, and both differ significantly from that of gUAA.

- Starting from the original trigger  $\xi$ , it is easier and faster to find a new trigger  $\xi'$  that achieves a high attack success rate (ASR): As shown in Tab. 10, given the same query numbers, the ASR of RBA is much higher than that of gUAA, and RBA increases in speed faster than gUAA. This indicates that **RBA is much closer to OBA than gUAA**.
- Compared to  $\Delta$ , both the original trigger  $\xi$  and the new trigger  $\xi'$  are more robust to random noise: We discovered that the robustness to random noise can distinguish the trigger of an intended backdoor from the trigger of a natural backdoor (*i.e.*, gUAP). Specifically, we perturb  $\boldsymbol{\xi}, \boldsymbol{\xi}'$ , and  $\Delta$  with the same level of random noise and record the ASR of these attacks. As shown in Tab. 10, both OBA and RBA are more robust than gUAA. This confirms that RBA produces an intended backdoor trigger similar to OBA, rather than a gUAP.

In conclusion, our analyses verify that our RBA method finds a backdoor highly correlated with the original backdoor, rather than a less correlated one (new backdoor) or a general UAP (natural backdoor). Thus, we assert that our RBA effectively re-activates the original backdoor.

#### 5 Conclusion

This paper illuminates the false sense of security in backdoor defenses and proposes a new threat to enhance existing backdoor attacks in inference-time. Our pioneering introduction of the backdoor existence coefficient unveils the residual presence of backdoors within defense models. Moreover, we propose a novel optimization problem to re-activate these dormant backdoors and craft distinct algorithms tailored specifically to white-box, black-box, and transfer attack scenarios. The proposed method can be integrated with existing backdoor attacks to boost their attack success rate during the inference stage. The efficacy of our method is evidenced through exhaustive evaluation on both image classification and multi-modal contrastive learning tasks. The threat revealed by this study underscores the pressing need for designing advanced defense mechanisms in the future.

**Limitations and future work.** Despite the efficacy of our proposed method, its effectiveness is limited when confronted with defenders that inject noise into each query. Promising future work is to devise more sophisticated attacks that can bypass this defenses. Another limitation is that if defenders aim to decrease both ASR and BEC, our attacks will become challenging, even though directly optimizing the BEC is not feasible. This serves as another direction for our future work.

**Broader Impacts.** As deep neural networks sourced from untrusted origins face significant risks from backdoor attacks, this study provides a meaningful exploration into the false security in backdoor defense models. This could spark further advancements in backdoor defenses. Nonetheless, the potential misuse by ill-intended entities should be cautiously considered.

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### Appendix

**Structure of Appendix.** We provide more analysis and experimental results in Appendix, which includes: (1) Formulation of multi-modal contrastive learning, backdoor attacks and our re-activation attack for MMCL in **Appendix A**. (2) More description and algorithms details in **Appendix B**. (3) Introduction of TAC and CKA in **Appendix C**. (4) Experimental implementation details in **Appendix D**. (5) More experimental results in **Appendix E**. (6) Running time analysis in **Appendix F**. (7) Visualization in **Appendix G**. (8) Additional experimental results in **Appendix H**.

### A Backdoor multi-modal contrastive learning

#### A.1 Formulation of multi-modal contrastive learning task.

For multi-modal contrastive learning task, the training dataset is image-text pairs  $\mathcal{D} = \{(\boldsymbol{v}^{(i)}, \boldsymbol{t}^{(i)})\}_{i=1}^n \subseteq \mathcal{V} \times \mathcal{T}$ , where  $\mathcal{V} \subset \mathbb{R}^{d_v}$  and  $\mathcal{T} \subset \mathbb{R}^{d_t}$  are image space and text space, respectively. For the network, we choose CLIP as our primary MMCL model for the attack. CLIP is composed of a visual encoder  $f_{\boldsymbol{\theta}_v} : \mathcal{V} \to \mathbb{R}^d$  and a textual encoder  $f_{\boldsymbol{\theta}_t} : \mathcal{T} \to \mathbb{R}^d$ , each with parameters  $\boldsymbol{\theta}_v$  and  $\boldsymbol{\theta}_t$  representing their respective encoders. Denote the image embedding and text embedding as  $\boldsymbol{v}_e^{(i)} = f_{\boldsymbol{\theta}_v}(\boldsymbol{v}^{(i)}), \boldsymbol{t}_e^{(i)} = f_{\boldsymbol{\theta}_t}(\boldsymbol{t}^{(i)})$ , respectively, for convenience. Given a batch of training pairs  $\{(\boldsymbol{v}^{(i)}, \boldsymbol{t}^{(i)})\}_{i=1}^{n_1}$ , CLIP is optimized using the InfoNCE loss [63] as follows:

$$\min_{\{\boldsymbol{\theta}_{v},\boldsymbol{\theta}_{t}\}} - \sum_{i=1}^{n_{1}} \log \frac{\exp\left(\boldsymbol{v}_{e}^{(i)} \cdot \boldsymbol{t}_{e}^{(i)}/\tau\right)}{\sum_{j=1}^{n_{1}} \exp\left(\boldsymbol{v}_{e}^{(i)} \cdot \boldsymbol{t}_{e}^{(j)}/\tau\right)},\tag{6}$$

where  $\tau$  is the temperature parameter. Given an input image  $v^{(i)}$ , denote the output text of the model be  $h_{\Theta}(v^{(i)})$  for convenience, where  $\Theta = \{\theta_v, \theta_t\}$ .

### A.2 Backdoor attacks for multi-modal contrastive learning.

For MMCL task, backdoor attacks could also be divided into data poisoning attack and training controllable attack. For data poisoning attack, an adversary creates poisoning pairs  $(\boldsymbol{v}^{(i)} + \boldsymbol{\xi}, T)$  by patching a backdoor trigger  $\boldsymbol{\xi}$  on the image  $\boldsymbol{v}^{(i)}$  and revising the corresponding label into the target label T (for example, "a photo of banana" in [3] and in our work). In training controllable backdoor attack, the adversary can control the training process to inject backdoors into the model. The goal of the adversary is to train a poisoned model such that  $h_{\Theta_A}(\boldsymbol{v}^{(i)}) = \boldsymbol{t}^{(i)}$  and  $h_{\Theta_A}(\boldsymbol{v}^{(i)} + \boldsymbol{\xi}) = T$ . And the goal of the defender is to purify the poisoned model such that the new model performs normally as:  $h_{\Theta_D}(\boldsymbol{v}^{(i)}) = \boldsymbol{t}^{(i)}$  and  $h_{\Theta_D}(\boldsymbol{v}^{(i)} + \boldsymbol{\xi}) \neq T$  in inference time. Denote the encoder of poisoned image and the target label as  $(\boldsymbol{v}^{(i)}_{\boldsymbol{\xi}})_e$  and  $T_e$ , respectively for convenience. Our goal is to search for a perturbation  $\Delta^*_{\boldsymbol{\xi}}$  onto the original trigger  $\boldsymbol{\xi}$  such that  $h_{\Theta_D}(\boldsymbol{v}^{(i)} + \boldsymbol{\xi} + \Delta^*_{\boldsymbol{\xi}}) = T$ 

Existing backdoor attacks primarily focus on achieving high attack success rates (ASR) in backdoor injection stages (I and II), with little consideration for the defensive impact in stage III. Given the failures of  $v^{(i)} + \xi$  in attacking  $h_{\Theta_D}$ , our work focuses on the re-activation attack in stage IV (please refer to Sec. 3.2 for formal definition of different stages of backdoors).

### A.3 Re-activation attacks for multi-modal contrastive learning.

In this section we introduce our optimization formulation to learn the new trigger  $\xi' = \xi + \Delta_{\xi}$ . Since CLIP uses multi-modal contrastive learning instead of supervised learning to train the model, we also optimize the perturbation  $\Delta_{\xi}$  by optimizing it with multi-modal contrastive learning loss. Given a number of  $n_p$  pairs  $(\boldsymbol{v}_{\xi}^{(i)}, T)$  from the poisoned dataset  $\mathcal{D}_p$  and  $n_c$  pairs  $(\boldsymbol{v}^{(j)}, \boldsymbol{t}^{(j)}) \in \mathcal{D}_c$  from the clean dataset  $\mathcal{D}_c$ , the optimization problem is formulated as follows:

$$\Delta_{\boldsymbol{\xi}}^{*} = \underset{\|\Delta_{\boldsymbol{\xi}}\|_{p} \leq \rho}{\arg\min} - \sum_{(\boldsymbol{v}_{\boldsymbol{\xi}}^{(i)}, T) \in \mathcal{D}_{p}} \log \frac{\exp\left((\boldsymbol{v}_{\boldsymbol{\xi}}^{(i)})_{e} \cdot T_{e}/\tau\right)}{\exp\left((\boldsymbol{v}_{\boldsymbol{\xi}}^{(i)})_{e} \cdot T_{e}/\tau\right) + \sum_{(\boldsymbol{v}^{(j)}, \boldsymbol{t}) \in \mathcal{D}_{c}} \exp\left((\boldsymbol{v}_{\boldsymbol{\xi}}^{(i)})_{e} \cdot \boldsymbol{t}_{e}^{(j)}/\tau\right)},$$
(7)

Algorithm 1 Black-box Backdoor Re-Activation Attack via Universal Square Attack (BBA) [1]

1: Input: Defense model f, training dataset  $\mathcal{D}_p$ , image shape c, h, w, norm p, perturbation bound  $\rho$ , target label  $t \in 1, \ldots, K$ , number of iterations N, termination condition  $\epsilon$ . 2: **Output:** Perturbation  $\Delta_{\boldsymbol{\xi}}^*$  as in Eq. 4. 3:  $\hat{\boldsymbol{x}} \leftarrow \boldsymbol{x} + \operatorname{init}(\Delta_{\boldsymbol{\xi}}) \text{ for } \boldsymbol{x} \in \mathcal{D}_p, \quad l^* \leftarrow \mathcal{L}_{tot}(\mathcal{D}_p, \Delta_{\boldsymbol{\xi}}).$ 4: for i = 0, ..., N - 1 do if  $ASR > 1 - \epsilon$  then return  $\Delta_{\xi}$ . 5: 6: else  $h^{(i)} \leftarrow$  side length of the square to modify (according to some schedule [1]); 7:  $\Delta_{\boldsymbol{\xi}}^{\text{new}} \sim P\left(\rho, h^{(i)}, w, c, \Delta_{\boldsymbol{\xi}}, \hat{\boldsymbol{x}}, \boldsymbol{x}\right) \text{ for } \boldsymbol{x} \in \mathcal{D}_{p} \text{ (see Appendix B for details);}$  $\hat{\boldsymbol{x}}_{\text{new}} \leftarrow \text{Project } \hat{\boldsymbol{x}} + \Delta_{\boldsymbol{\xi}}^{\text{new}} \text{ onto } \left\{ z \in \mathbb{R}^{d} : \|z - x\|_{p} \leq \rho \right\} \cap [0, 1]^{d} \text{ for } \boldsymbol{x} \in \mathcal{D}_{p};$ 8: 9:  $\begin{array}{l} l_{\mathrm{new}} \leftarrow \mathcal{L}_{tot}(\hat{\boldsymbol{x}}_{\mathrm{new}},t) \text{ for } \boldsymbol{x} \in \mathcal{D}_p; \\ \text{ if } l_{\mathrm{new}} < l^* \text{ then } \Delta_{\boldsymbol{\xi}} \leftarrow \Delta_{\boldsymbol{\xi}}^{\mathrm{new}}, l^* \leftarrow l_{\mathrm{new}} \text{ , compute ASR;} \end{array}$ 10: 11: 12:  $i \leftarrow i + 1;$ 13: end if 14: end for 15: return  $\Delta_{\boldsymbol{\varepsilon}}^*$ .

where  $\|\cdot\|_p$  means  $\ell_p$  norm, and  $\rho$  is the perturbation bound. This problem can be solved using project gradient descent (PGD) [38] algorithm. Then the optimized  $\Delta_{\boldsymbol{\xi}}^*$  is attached to poisoned samples and the ASR is the probability of successful attacks out of the total number of new poisoned samples.

### **B** Algorithms details

In this work, we provide some description and details of our attack algorithms.

White-box attack setting. As shown in Eq. 4, this is a constrained optimization problem, which can be solved by using the classical project gradient descent (PGD) [38] algorithm to solve it. The main idea of PGD involves updating the perturbation using stochastic gradient descent in the initial step. Subsequently, in the following stage, the perturbation is constrained within the  $\rho$ -ball employing  $\ell_p$  norm projection. We provide the algorithm description in Alg. 2. For additional insights, please refer to [38] for more details.

**Black-box attack setting.** In this work, to solve our optimization problem in black-box setting, we utilize a randomized search strategy as emphasized in Square Attack [1]. Square Attack utilizes a randomized search scheme where it selects localized square-shaped updates at random positions. This approach ensures that in each iteration, the perturbation is positioned near the boundary of the feasible set. A significant difference between our attack and Square Attack lies in their objective: Square Attack searches for a perturbation for each image, terminating the query upon successful attack, while our objective is to discover a highly generalizable universal perturbation to restore the effectiveness of the backdoor utility. Therefore, we extend it to a universal Square Attack approach:

- 1. Firstly, initialize a universal perturbation.
- 2. In each iteration, we randomly update our perturbation following the strategy in Square Attack. Apply the perturbation onto the image and then query the model with the new images.
- 3. Compare the loss: if the current loss is lower than the best loss, update the perturbation; otherwise, do not update and restart the search.

The above three steps represent the main concept of our algorithm. Details on the specific square update technique can be found in work [1].

**Transfer attack setting.** The main idea of the transfer attack is to compute the averaged loss across models for each mini-batch. The detailed algorithm is shown in Alg. 3.

Algorithm 2 White-box Backdoor Re-Activation Attack (WBA)

- 1: **Input:** Defense model f, training dataset  $\mathcal{D}_p$ , norm p, perturbation bound  $\rho$ , target label  $t \in 1, \ldots, K$ , number of iterations N.
- 2: **Output:** Perturbation  $\Delta_{\boldsymbol{\xi}}^*$  as in Eq. 4.
- 3: initialize( $\Delta_{\boldsymbol{\xi}}$ ).
- 4: for i = 0, ..., N 1 do
- 5: for mini-batch  $\mathcal{B} = \{(\boldsymbol{x}^i_{\boldsymbol{\xi}}, t)\}_{i=1}^b \subset \mathcal{D}_p$  do
- 6: Given f and input  $\{(x_{\xi}^{i} + \Delta_{\xi}, t)\}_{i=1}^{b}$ , compute the loss l of Eq. 4;
- 7: Update  $\Delta_{\boldsymbol{\xi}}$  by minimizing *l* via PGD algorithm;
- 8: end for
- 9: end for
- 10: return  $\Delta_{\boldsymbol{\xi}}^*$ .

Algorithm 3 Re-Activation Attack via Transfer Attack (TA)

1: **Input:** Surrogate models  $f_m, m = 1, \dots, M$ , training dataset  $\mathcal{D}_p$ , norm p, perturbation bound  $\rho$ , target label  $t \in 1, \dots, K$ , number of iterations N.

2: **Output:** Perturbation  $\Delta_{\boldsymbol{\xi}}^*$  as in Eq. 5. 3: initialize( $\Delta_{\boldsymbol{\xi}}$ ). 4: for i = 0, ..., N - 1 do for mini-batch  $\mathcal{B} = \{(\boldsymbol{x}_{\boldsymbol{\xi}}^i, t)\}_{i=1}^b \subset \mathcal{D}_p$  do 5: 6: l = 0;7: for m = 0, ..., M - 1 do Given  $f_m$  and input  $\{(x_{\boldsymbol{\xi}}^i + \Delta_{\boldsymbol{\xi}}, t)\}_{i=1}^b$ , compute the total loss  $l_m$  of Eq. 5; 8: 9:  $l \leftarrow l + l_m;$ 10: end for Update  $\Delta_{\boldsymbol{\xi}}$  by minimizing *l* via PGD algorithm; 11: 12: end for 13: end for 14: return  $\Delta_{\boldsymbol{\varepsilon}}^*$ 

### C Introduction of TAC and CKA

We provide the detailed introduction of Trigger-activated Change (TAC) [71] and Centered Kernel Alignment (CKA) [25] in this section.

**Trigger-activated Change.** To measure the correlation of neurons with backdoors, Zheng *et al.* [71] proposed the TAC metric to quantify the correlation between the impact of backdoors and neurons. Given the poisoned dataset  $\mathcal{D}_p = \{(\boldsymbol{x}_{\boldsymbol{\xi}}^{(i)}, y^{(i)})\}$ , let the original clean dataset of  $\mathcal{D}_p$  to be  $\mathcal{D}_c$ , *i.e.*,  $\mathcal{D}_c = \{(\boldsymbol{x}^{(i)}, y^{(i)}) | \boldsymbol{x}_{\boldsymbol{\xi}}^{(i)} \in \mathcal{D}_p\}$ . Then the TAC can be computed as follows:

$$TAC_{k}^{(l)}(\mathcal{D}_{p},\mathcal{D}_{c}) = \frac{1}{|\mathcal{D}_{p}|} \sum_{(\boldsymbol{x}_{\boldsymbol{\xi}},\boldsymbol{x})\in(\mathcal{D}_{p},\mathcal{D}_{c})} \left\| f_{k}^{(l)}(\boldsymbol{x}) - f_{k}^{(l)}(\boldsymbol{x}_{\boldsymbol{\xi}}) \right\|_{2},$$
(8)

where k is the index of channel of the  $l^{th}$  layer. A higher TAC value assigned to a neuron indicates a stronger association with backdoors. In this work, with this metric, we first assign each neuron with a TAC value. In order to select neurons relevant to backdoors and considering their sparsity nature, the top 10% of neurons based on their descending TAC values are chosen as the backdoor related neurons. Then the Backdoor Existence Coefficient can be computed accordingly.

**Centered Kernel Alignment.** The Centered Kernel Alignment (CKA) [25] measures the similarity between representations, which utilizes HSIC to measure the independence between two distributions. It quantifies how well neural networks preserve similarity relations in the data across different layers. It is a valuable tool in feature analysis and understanding DNNs especially for high-dimensional features. In this work we employ CKA to quantify the similarity between features in different

networks. As the work [25] shows, the Centered Kernel Alignment (CKA) is defined as follows: Let  $X \in \mathbb{R}^{n \times d}$  and  $Y \in \mathbb{R}^{n \times d}$  be two representations from neural networks, where *n* represent number of samples and *d* is the feature dimension. The empirical estimator of Hilbert-Schmidt Independence Criterion (HSIC) is defined as:

$$HSIC(K,L) = \frac{1}{(n-1)^2} tr(KHLH),$$
(9)

where *H* is the centering matrix  $H_n = I_n - \frac{1}{n} \mathbf{1} \mathbf{1}^{\mathrm{T}}$ . The *K* and *H* are linear kernels:  $K_{ij} = k(\mathbf{x}_i, \mathbf{y}_j) = \mathbf{x}_i^{\mathrm{T}} \mathbf{y}_i$ ,  $L_{ij} = l(\mathbf{x}_i, \mathbf{y}_j) = \mathbf{x}_i^{\mathrm{T}} \mathbf{y}_i$  as defined in [25]. Then the Centered Kernel Alignment is defined as:

$$CKA(K,L) = \frac{HSIC(K,L)}{\sqrt{HSIC(K,K) HSIC(L,L)}}.$$
(10)

More details could be found in [25].

### **D** Experimental implementation details

In this section, we delve into the implementation details, covering the evaluation datasets, specifics of the attacks and defenses compared, and implementation of our proposed methods. All experiments are executed five times with varying random seeds and the averaged results are displayed in this work.

### **D.1** Datasets

For image classification task, we use three benchmark datasets: CIFAR-10 [26], Tiny ImageNet [28], and GTSRB [49] to assess the performance of our approach, following the benchmarks outlined in [59]. For MMCL task, a subset of CC3M dataset [45] is selected for backdoor injection and the poisoned models are tested through zero-shot evaluation on ImageNet-1K validation set [13]. All dataset splits are aligned in our experiments.

- CIFAR-10: Total number of 60,000 images distributed among ten classes, with 5,000 images per class in the training set and 1,000 images per class in the testing set. Each image in CIFAR-10 is sized 32 × 32 pixels.
- Tiny ImageNet: A subset of ImageNet [13] containing 200 classes, 500 training samples and 50 testing samples per class. Each image in Tiny ImageNet is sized 64 × 64 pixels.
- GTSRB: A total of 39,209 training images and 12,630 testing images among 43 classes. Each image in GTSRB is sized  $32 \times 32$  pixels.
- CC3M: The CC3M dataset has about 3300K, 15K, 12K image-text pairs for the training, validation, and testing dataset, respectively. Each image in CC3M is sized 224 × 224 pixels. Following [3], 500K image-text pairs from the CC3M are selected in backdoor injection phase.
- ImageNet-1K: the ImageNet-1K dataset is a subset of ImageNet dataset, which has a total of 1000 classes. Each image in ImageNet-1K is sized 224 × 224 pixels.

### D.2 Backdoor attack details.

We introduce the different backdoor attack methods first, followed by the experimental settings.

Fig. 4 and 5 show the visualization of poisoned samples in comparison with clean image for different backdoor attacks on CIFAR-10 and ImageNet-1K dataset, respectively. The attack details for image classification task are as follows:

- BadNets [18]: BadNets is trigger-additive attack which inserts a patch of fixed pattern (a  $3 \times 3$  white square patch in our work) to replace some pixels in the image. The patch size is  $3 \times 3$  on CIFAR-10 and GTSRB, and  $6 \times 6$  on Tiny ImageNet, following BackdoorBench.
- Blended backdoor attack (Blended) [10]: Blended attack blends a pre-defined image (Hello Kitty in our work) with the original image. The blend coefficient  $\alpha$  is 0.2, following BackdoorBench.

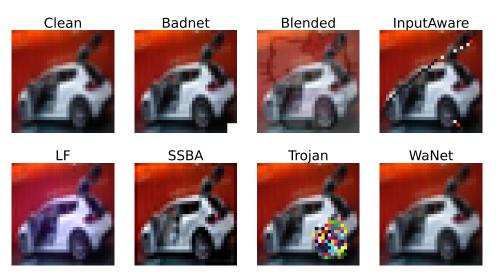


Figure 4: Visualization of poisoned samples for different backdoor attacks on CIFAR-10 dataset.

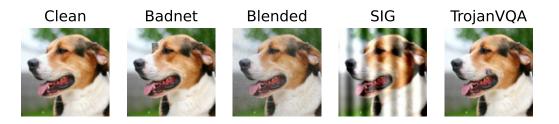


Figure 5: Visualization of poisoned samples for different backdoor attacks on ImageNet-1K dataset.

- Input-aware dynamic backdoor attack (Input-Aware) [40]: Input-Aware is a trainingcontrollable attack that first learns a trigger generator by adversarial training. Then the generator is used to produce sample-specific triggers during model training.
- Low frequency attack (LF) [68]: LF first learns a universal adversarial perturbation (UAP) and filters the high-frequency artifacts. Then the filtered UAP is the trigger and patched onto the clean samples to generate poisoned samples.
- Sample-specific backdoor attack (SSBA) [31]: SSBA first trains an autoencoder. Then the autoencoder is used to fuse triggers with clean samples to generate poisoned samples.
- Trojan backdoor attack (Trojan) [37]: Trojan first learns a universal adversarial perturbation (UAP), and then patches it onto the clean samples to generate poisoned samples.
- Warping-based poisoned networks (WaNet) [41]: WaNet first defines a warping function to perturb the clean samples to generate poisoned samples. Then the adversary controls the training process to make sure the model learns the specific warping.

More details can be found in BackdoorBench [59].

For MMCL task, we follow CleanCLIP's settings[3]. The attack details for MMCL task are as follows:

- BadNets [18]: BadNets is trigger-additive attack which inserts a patch of fixed pattern (a  $16 \times 16$  random noise patch in our work) to replace some pixels in the image.
- Blended backdoor attack (Blended) [10]: Blended attack blends a pre-defined image (a global random noise patch in our work) with the original image. The blend coefficient  $\alpha$  is 0.2.
- SIG [4]: SIG attack designs a sine wave pattern noise as a trigger, which has a same size with the image. The blend coefficient *α* is 0.2.

• TrojanVQA [52]: TrojanVQA is a training-controllable attack in which the adversary utilizes both modalities to generate triggers, which has a size of  $16 \times 16$ .

To poison image classification task, we use a poisoned dataset with 10% poisoning ratio to train the poisoned model. To poison the MMCL model, we start with the pre-trained CLIP model which is trained on 400M image-text pairs. After that, a total of 500K image-text pairs within which 1500 samples are poisoned pairs is used for backdoor injection. The model is trained for 10 epochs with a learning rate of 1e-6, and a batch size of 128.

### D.3 Backdoor defense details.

We introduce the different backdoor defense methods in this section.

- Neural cleanse (NC) [53]: NC first searches for a minimal UAP to detect backdoors. If the model is detected as a backdoor model, it purifies the model by unlearning the optimized UAP.
- Neural attention distillation (NAD) [30]: NAD use knowledge distillation strategy which distills the attention across the model to acquire a new clean model.
- Implicit backdoor Adversarial unlearning (i-BAU) [67]: I-BAU designs a implicit hypergradient method to solve the adversarial training optimization.
- FT-SAM [72]: It utilizes sharpness-aware minimization to fine-tune the poisoned model.
- Shared adversarial unlearning (SAU) [58]: SAU first generates shared adversarial examples and then unlearns these adversarial examples to purify the model.
- Feature shift tuning (FST) [39]: FST encourages feature shifts by re-iniltialization the linear classifier and fine-tuning the model.
- CleanCLIP [3]: CleanCLIP use both multi-modal contrastive loss and in-modal selfsupervised loss to fine-tune the model.
- FT [3]: FT uses multi-modal contrastive loss to fine-tune the model.

More details about the implementation of defenses can be found in BackdoorBench [59]. For CleanCLIP, we follow the work's setting [3] that the CLIP model is trained for 10 epochs with 50 steps of warm-up using a learning rate of 4.5e-6, and a batch size of 64. A total of 10K training pairs are selected from CC3M to train.

### D.4 Backdoor re-activation attack details.

During the inference phase, we implement our re-activation attack by searching for a global universal perturbation (same size as images) without altering model parameters. For image classification tasks, we employ the same optimized hyperparameters to learn the perturbation across various models and datasets. Specifically, the details are as follows:

- For white-box attack, we use the SGD optimizer with a learning rate of 0.05, update the adversarial perturbation within the inner loops for 5 steps, and train for a total of 50 epochs. The hyperparameter  $\lambda$  for the loss is fixed at 1. The training dataset is 1000 poisoned samples that are randomly selected from the original poisoned samples. The batch size is set to 256.
- For black-box attack, we follow the original hyperparameters as in [1]. The updated criterion is based on the decrease in loss rather than the improvement in ASR, as we have found that this approach yields better results.
- For transfer attack, we maintain same hyperparameters to those used in white-box attacks except for training epochs, which is set to 100. We also use a smaller norm bound 1. The training samples are set to 5000. For ensembling these surrogate models, we average their losses in each mini-batch.

For MMCL task, we use the SGD optimizer with a learning rate of 0.01, update the adversarial perturbation within the inner loop for one steps, and train for a total of 40 epochs. We use the  $\ell_{\infty}$ -norm with a 0.05 norm bound. We use 1500 poisoned image-text pairs and some clean reference data for optimization.

### E Experimental results on different datasets and models

In this section, we showcase the performance of our attacks under various settings to demonstrate the superior performance of our method.

Table 12: Performance (%) of backdoor re-activation attack on both white-box (WBA) and querybased black-box (BBA) attacks with  $\ell_{\infty}$ -norm bound  $\rho = 0.05$  against different defenses with Tiny ImageNet on PreAct-ResNet18. The best results are highlighted in **boldface**.

Attacks	No Defense	N	C [53]		NA	D [30]		i-B	AU [ <mark>67</mark>	]	FT-S	AM [7	2]	SA	U [58]		FS	ST [ <mark>39</mark> ]	
Attacks	Defense	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA
BadNets [18]	99.90	99.90	99.93	99.97	0.27	55.58	26.21	3.61	99.40	98.44	0.21	45.96	23.73	0.28	57.88	30.87	0.02	49.96	17.15
Blended [10]	99.67	95.34	99.97	99.69	94.78	99.30	94.58	95.58	99.01	96.32	37.22	99.07	75.26	0.01	76.38	31.18	1.32	91.75	0.48
Input-Aware [40]	99.60	0.30	99.90	9.38	0.15	36.48	25.03	52.13	24.99	10.64	0.49	37.37	0.81	0.08	19.04	7.32	0.02	6.36	2.20
LF [68]	98.51	88.63	98.76	97.29	58.00	97.90	37.05	3.77	97.18	85.02	5.14	97.30	30.59	2.47	78.98	50.42	2.66	78.37	1.39
SSBA [31]	97.69	0.05	98.17	14.75	69.47	97.05	69.07	9.14	96.26	80.52	0.38	34.04	0.05	0.03	4.19	0.30	0.73	66.59	51.11
Trojan [37]	99.97	0.27	99.99	13.75	1.01	61.31	26.77	0.80	99.44	99.67	0.21	50.08	25.09	0.01	18.80	5.56	0.58	68.92	0.31
WaNet [41]	96.50	96.50	66.36	69.59	0.87	79.89	51.89	18.57	63.68	47.65	0.79	73.61	53.77	41.83	80.59	25.35	0.15	77.89	27.74
Avg	98.83	54.43	94.73	57.77	32.08	75.36	47.23	26.23	82.85	74.04	6.35	62.49	29.90	6.39	47.98	21.57	0.78	62.84	14.34

Attack performance on Tiny ImageNet dataset. Tab. 12 presents the performance of our reactivation attack with both WBA and BBA applied on the Tiny ImageNet dataset with PreAct-ResNet18, compared with ASRs of original attack models (**No Defense**) and defense models (**Defense**). Careful observation and analysis of this table furnishes some important insights:

- 1. While not achieving the same high level efficacy as in previous experiments, our attacks still show reasonable effectiveness against defense models. On average, our WBA and BBA improve ASRs by 50.00% and 19.77% respectively when compared against defense mechanisms, which is actually high than that on CIFAR-10 dataset. This highlights some potential security vulnerabilities in these defense models, although the final ASRs is less severe than those exposed in the previous dataset.
- 2. The performance of our WBA establishes the viability of our backdoor recovery mechanism in a more challenging setting. It further verifies the latent recoverability of backdoors in defense models. Despite the existing gap between our WBA and the more realistic BBA, we suggest that this gap can be reduced with further optimization of our black-box attack strategy.
- 3. When it comes to defense mechanisms, similar to previous observations, attacks on three defenses FT-SAM, SAU and FST show less impressive ASRs. This can be seen as an indication of the significant efficiency of their backdoor removal mechanism. While these mechanisms are more effective in this dataset, these insights are still crucial for developing future defense strategy development.
- 4. It's worth mentioning some failed cases in our experiment on the Tiny ImageNet dataset. Although our attack methods generally show promising results, the performance in some particular instances falls short of expectations. Future work can gain valuable insights from scrutinizing these instances more closely. Such failures in specific settings serve as a stepping stone toward the development of more effective and robust attack strategies, such as increasing the diversity of random searches.

Attack performance on GTSRB dataset. Tab. 13 presents the performance of our re-activation attack with both WBA and BBA applied on the GTSRB dataset with PreAct-ResNet18, compared with ASRs of original attack models (**No Defense**) and defense models (**Defense**). A meticulous examination of the results in Tab. 13 provides pivotal insights:

- 1. Consistent with earlier experiments, our attacks display impressive effectiveness against these defense models. In these tests, our WBA and BBA average ASRs show an improvement of 50.58% and 45.25% respectively compared to the defense mechanisms. This achievement exposes vulnerabilities in the current defense models that were previously unnoticed and highlights the robustness of our attacks.
- 2. The effective performance of our WBA affirms the potency of our backdoor recovery mechanism. The backdoors' resilience and latent recoverability in defense models are

Table 13: Performance (%) of backdoor re-activation attack on both white-box (WBA) and querybased black-box (BBA) attacks with  $\ell_{\infty}$ -norm bound  $\rho = 0.05$  against different defenses with GTSRB on PreAct-ResNet18. The best results are highlighted in **boldface**.

A ++= =1==	Na Defense	N	C [53]		NA	AD [ <mark>30</mark> ]		i-B	AU [67	]	FT-S	SAM [7	2]	SA	U [58]		FS	ST [ <mark>39</mark> ]	
Attacks	Attacks No Defense	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA
BadNets [18]	95.02	0.02	62.43	58.59	79.94	94.66	92.57	0.00	48.04	40.93	0.17	59.43	55.15	0.01	36.11	30.01	0.02	57.49	48.30
Blended [10]	100.00	8.76	80.18	76.50	99.30	100.00	98.99	96.39	100.00	99.10	9.50	89.56	88.48	29.99	87.75	84.56	77.26	99.94	97.89
Input-Aware [40]	95.85	0.03	53.76	48.01	65.55	96.23	94.51	0.00	50.29	46.42	0.02	64.04	59.21	0.00	29.74	19.78	0.00	37.15	33.06
LF [68]	99.58	0.06	70.06	67.30	79.76	99.28	98.49	7.43	77.56	73.25	2.55	69.34	61.99	0.04	44.43	27.14	0.82	71.95	67.21
SSBA [31]	99.77	2.43	66.97	63.22	96.95	99.69	97.37	0.18	36.30	30.70	0.70	57.14	53.65	1.95	37.13	31.36	32.55	92.99	91.29
Trojan [37]	100.00	0.36	64.14	60.00	0.10	55.46	48.33	0.00	33.51	18.68	0.11	62.82	61.14	0.06	55.44	49.81	1.95	79.16	74.30
WaNet [41]	98.20	0.15	89.03	77.65	0.04	82.73	80.42	0.26	56.68	44.98	0.00	63.94	56.61	0.08	41.61	30.97	0.00	65.74	57.96
Avg	98.35	1.69	69.51	64.47	60.23	89.72	87.24	14.90	57.48	50.58	1.86	66.61	62.32	4.59	47.46	39.09	16.08	72.06	67.14

further substantiated. Additionally, the performance gap between our WBA and the more realistic BBA suggests room for further enhancement of our black-box attack strategy.

3. Concerning the defense mechanisms, the ASRs against i-BAU and SAU continue to be relatively less impressive. The indication of these defense mechanisms' efficiency in backdoor removal remains constant. Despite the improved effectiveness witnessed in the GTSRB dataset, these results works as reference for the design of more robust defense strategies in the future.

Table 14: Performance (%) of backdoor re-activation attack on both white-box (WBA) and querybased black-box (BBA) attacks with  $\ell_{\infty}$ -norm bound  $\rho = 0.05$  against different defenses with CIFAR-10 on VGG19-BN. The best results are highlighted in **boldface**.

Attacks	No Defense	NC [53]		NAD [30]			i-BAU [67]			FT-S	SAM [7	2]	SAU [58]			
Attacks	No Defense	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA	Defense	WBA	BBA
BadNets [18]	94.43	5.08	95.31	34.57	5.77	94.80	64.86	3.13	92.69	39.68	1.29	68.77	24.84	4.28	85.84	66.59
Blended [10]	99.50	99.50	99.72	98.52	86.98	99.78	78.47	51.67	99.94	88.22	8.23	98.56	75.13	7.81	96.81	62.33
Input-Aware [40]	97.02	97.02	99.34	97.37	14.04	83.38	19.13	78.93	99.38	97.41	3.41	79.14	27.47	1.19	60.07	27.07
LF [68]	13.83	1.26	91.66	15.38	3.07	88.88	43.07	6.66	69.79	7.27	2.17	0.73	0.76	1.56	79.07	25.54
SSBA [31]	95.10	95.10	98.23	87.69	52.22	98.79	26.60	12.37	95.82	72.07	1.84	59.81	25.17	3.03	67.79	33.77
Trojan [37]	100.00	100.00	100.00	100.00	5.18	95.00	58.59	2.69	85.93	38.76	5.13	78.03	2.34	0.19	41.67	21.95
WaNet [41]	96.49	96.49	99.97	69.32	10.23	97.27	68.97	2.40	92.67	62.94	1.10	92.21	68.22	1.72	93.48	56.47
Avg	85.20	70.64	97.75	71.83	25.35	93.99	51.38	22.55	90.89	58.05	3.31	68.18	31.99	2.83	74.96	41.96

Attack performance on VGG19-BN network. Tab. 14 presents the performance of our reactivation attack with both WBA and BBA applied on the CIFAR-10 dataset with VGG19-BN architecture, compared with ASRs of original attack models (**No Defense**) and defense models (**Defense**). Detailed analysis of the results provides the following key takeaways:

- 1. Our attacks display remarkable potency against the VGG19-BN network, with both our WBA and BBA demonstrating impressive average ASRs. Specifically, our WBA achieves a significantly high ASR, further emphasizing the backdoor's recoverability, even in this more complex network architecture. As for BBA, although its ASR doesn't reach the same level as WBA, it presents a commendable rate, denoting a successful real-world adversarial scenario.
- The superior performance of our WBA attests to the robust and tenacious nature of our backdoor recovery mechanism, showcasing our approach's adaptability and effectiveness across different network structures. The observable gap in ASR between WBA and BBA can be an impetus for refining the black-box attack strategy.

Attack performance with different norm types under different backdoor attacks. In (a) and (b) of Fig. 3 in the main script, we display the ASR results under different norm types and bounds using Blended attack model. Here, we do more experiments on different attacks and the results are shown in Fig. 6. As can be seen from the figure, our re-activation attack demonstrates a consistent trend across various attack models, showing stable high ASRs when the bound approaches 2 and 0.05 for  $\ell_2$ -norm and  $\ell_{\infty}$ -norm attacks, respectively.

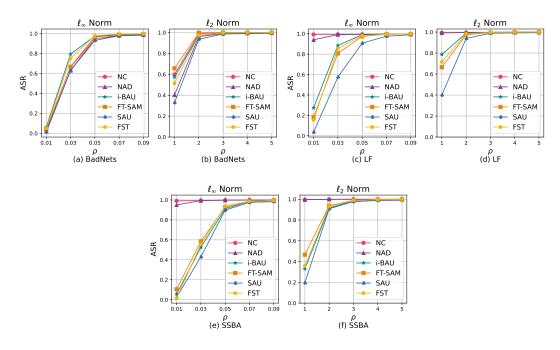


Figure 6: (a) and (b) show the ASR results under different norm type p and bound  $\rho$  for BadNets. (c) and (d) show the ASR results under different norm type p and bound  $\rho$  for LF. (e) and (f) show the ASR results under different norm type p and bound  $\rho$  for SSBA.

### **F** Running time analysis

Table 15	Running	time ana	lysis.
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Set up (min.)	Res18+CIFAR10	Res18+Tiny	Res18+GTSRB	VGG+CIFAR10	CLIP+CC3M
WBA	2.5	4.4	1.7	2.3	32.5
BBA	20.1	36.8	10.4	30.0	N/A
TA	11.3	23.5	8.4	14.8	32.5

In this section, we conduct an analysis of algorithm complexity based on running time statistics. Except for the initial training phase for attack and defense, all our re-activation attacks are trained on a single 3090Ti GPU. We provide a comparative view of the running times. Since the running time of our attack is only related to trainning dataset and network, while independent with specific backdoor attack or defense methods, we didn't specify the particular method, as the running time is consistent across methods. As displayed in Tab. 15, our attack achieves impressive speed. This can be attributed primarily to our attack requiring a smaller number of training samples, and our approach's efficiency in computing adversarial samples, needing only a few inner-loop iterations to achieve satisfactory performance. As a result, the training speed is expedited. Unlike in traditional adversarial attacks, our attack will require no further training once the optimal UAP solution is found. This condition poses a considerable threat in reality. It is noted that "N/A" appears for BBA on the CLIP models and CC3M dataset in the table, as we did not conduct black-box attacks for the CLIP models. For query-based black-box attack, the attacker cannot directly access the target model (such as weights or gradients) and CLIP models only return the final matching score or ranking results. This limits the ability of query-based black-box attacks. Moreover, there are no relevant studies for reference. Thus, we marked related result as "N/A". Additionally, we want to emphasize that BBA requires a large number of queries to the target model to achieve satisfactory attack performance, whereas TA only needs a single query to launch an attack. Clearly, TA is both more efficient and practical compared to BBA.

### **G** Visualization

In this section, we provide two visualization techniques to showcase the existence of backdoors: feature map visualization and t-SNE visualization.

**Feature maps visualization.** We visualize the feature maps, *i.e.*, the features after all convolutional layers, of LF attack and different defense models. We rank these features in descending order of the TAC value of the attack model, meaning that, in each image's subplot from top to bottom, it illustrates a sort from high to low of backdoor effect. From Figures7 to 12, we can make the following observations:

- In the attack model, the highlighted part of the feature maps (the upper sections of each subplot) indicates the existence of a backdoor in the model.
- The highlighted corresponding section in the defense model suggests that the defense model is still sensitive to backdoor samples. This sensitivity manifests as an ability to primarily activate neurons related to the backdoor, even when presented with such samples.
- We have also visualized the feature maps of the clean model and have found that no such phenomenon exists in the clean model. This comparison indicates a stark difference in behavior between the defense model and the clean model.

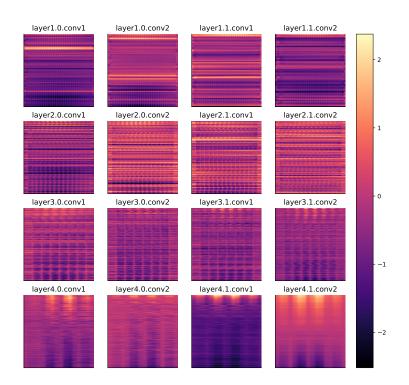


Figure 7: Sorted feature map visualization for all convolutional layers on PreAct-ResNet18 with the features in descending order of TAC values for **LF** backdoor attack.

**T-SNE visualization.** We attempt to observe the backdoor effect in defense models by visualizing the features of poisoned and clean samples via t-SNE visualization [51]. As illustrated in Figures 13 to 15, black dots denote poisoned samples while different colors signify various classes of clean samples. Several observations can be drawn from these figures:

- Across these attacks, the backdoor samples are clustered in the feature space.
- In the defense models, numerous backdoor samples still cluster together, indicating that the backdoor traits of these samples continue to dominate the network's recognition of backdoor images, even though these are no longer classified into the target class.

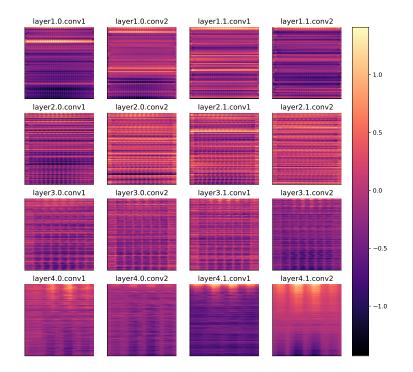


Figure 8: Sorted feature map visualization for all convolutional layers on PreAct-ResNet18 with the features in descending order of TAC values for **NAD** defense against **LF** backdoor attack.

Thus, viewing from the t-SNE visualization, we may also infer that backdoors still exist within these defense models.

### H Additional experimental results

#### H.1 Attack performance against recent defenses

To test the attack performance against recent defenses, we evaluate the performance against two defense methods: SEAM [74] and CT [43], respectively. The evaluations are conducted on CIFAR-10 dataset with PreAct-ResNet18 network, and the results are shown in Table 16. It is found that both SEAM and CT are vulnerable to the proposed re-activation attack. We would like to emphasize that we have not claimed all post-training defenses are vulnerable to re-activation attacks. The primary objectives of our work are: (1) to reveal this new threat, which has been validated against several classic post-training defenses, and (2) to provide effective tools for evaluating the vulnerability of both existing and future post-training defenses. Therefore, future post-training defense strategies should take this threat into account and aim to mitigate the proposed re-activation attack.

Post-training defense $ ightarrow$	SEA	Μ		СТ			
Original attack $\downarrow,\!Re\text{-}activation attack \rightarrow$	No re-activation	WBA	BBA	No re-activation	WBA	BBA	
BadNets	5.33	97.53	33.51	0.00	99.58	92.21	
Blended	6.79	98.40	69.79	1.34	100.00	99.00	
Input-Aware	1.27	92.10	48.59	70.95	99.96	85.80	
LF	13.22	97.61	65.63	3.28	99.63	99.23	

Table 16:	ASR	(%) (	of our	attack	against	SEAM	and CT.

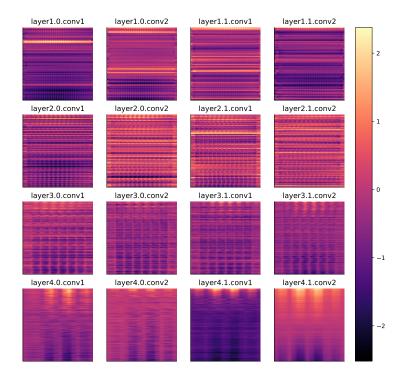


Figure 9: Sorted feature map visualization for all convolutional layers on PreAct-ResNet18 with the features in descending order of TAC values for NC defense against LF backdoor attack.

### H.2 Transfer attack across model architectures

In the previously discussed transfer-based re-activation attack, we considered a scenario where the attacker is the publisher of the backdoored model. Here, we explore a more strict scenario, where the attacker is only the publisher of the poisoned dataset and has no knowledge of the defender's model architecture or training process. Therefore, when carrying out a transfer-based re-activation attack, the adversary can perform a transfer attack across different model architectures. We study this problem as follows.

- **Threat model:** Here we present a more strict setting where the adversary can only manipulate the training dataset, while having no access to the training and post-training stages. Thus, the adversary only knows the original trigger  $\xi$ , but has no knowledge of  $f_{\theta_A}$  or  $f_{\theta_D}$ . Compared to the previous threat model, **one major challenge is the unknown architecture of the target model**  $f_D$ .
- Main attack steps: Compared to the steps in the previous setting, there is one additional step where the adversary must first train a backdoored model  $f'_{\theta_A}$  based on  $\mathcal{D}_p$ , which has a different architecture than  $f_{\theta_D}$ . All remaining steps are the same as those in the previous setting.
- Experimental results: As shown in Table 17, although the transfer attack across model architectures does not achieve as high ASR as the transfer attack with the same architecture (*i.e.*, the results in Table 3 of the main manuscript), it still demonstrates a certain degree of backdoor transferability. This is an intriguing phenomenon worthy of further exploration.

#### H.3 More experimental results on test-time detection

In Table 6 of the main manuscript, we analyzed the performance of our WBA attack against test-time detection. Here, we present additional experimental results, focusing on more backdoor attack methods, and evaluating the performance of our WBA, BBA, and TA attacks. As shown in Tab. 18, our three kinds of attacks do not markedly increase the TPR compared the defense models. These findings provide insights to develop more stealthy re-activation backdoor attacks in the future.

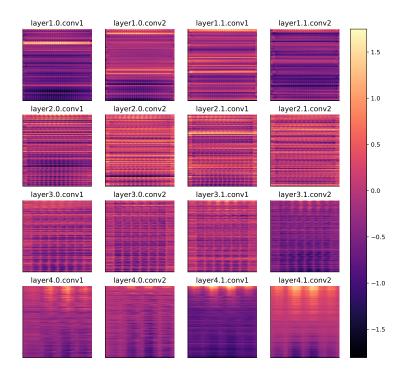


Figure 10: Sorted feature map visualization for all convolutional layers on PreAct-ResNet18 with the features in descending order of TAC values for **FST** defense against **LF** backdoor attack.

Table 17: Transfer re-activation attack preformance (ASR %) against the target model PreAct-ResNet18, using different architectures of source models.

Source Model	Wi	deResNet28	-2		ResNet18		T	VGG19-BN	
$Attack \downarrow Defense \rightarrow$	i-BAU	FT-SAM	SAU	i-BAU	FT-SAM	SAU	i-BAU	FT-SAM	SAU
BadNets	95.6	84.1	60.0	53.4	30.9	26.5	89.2	71.7	48.6
Blended	98.5	98.5	83.2	79.1	75.5	64.1	97.9	92.8	90.1

Attack↓	Detection↓	$Backdoored \downarrow$	FT-SAM (Defense)	WBA (Ours)	BBA (Ours)	TA (Ours)	SAU (Defense)	WBA (Ours)	BBA (Ours)	TA (Ours)
	SCALE-UP	0.3955	0.7959	0.6858	0.6225	0.8222	0.7949	0.4954	0.4648	0.5924
BadNets	SentiNet	0.3770	0.0000	0.0000	0.0000	0.0000	0.0018	0.0000	0.0011	0.0000
	STRIP	0.8834	0.0073	0.0553	0.0027	0.2576	0.1033	0.0647	0.0144	0.0760
	SCALE-UP	0.6077	0.5420	0.6577	0.5358	0.7635	0.7500	0.7138	0.6398	0.6942
Blended	SentiNet	0.0292	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
	STRIP	0.5946	0.0076	0.5007	0.0007	0.7727	0.0086	0.0742	0.0002	0.1087
	SCALE-UP	0.6106	0.9323	0.8058	0.7891	0.8843	0.8632	0.6387	0.6216	0.5810
Input-aware	SentiNet	0.4030	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
	STRIP	0.0085	0.0369	0.2380	0.0199	0.6853	0.0368	0.0536	0.0108	0.0682
	SCALE-UP	0.8663	0.8480	0.8355	0.7652	0.8913	0.4286	0.2748	0.5714	0.3308
LF	SentiNet	0.0002	0.0000	0.0000	0.0000	0.0000	0.9829	0.9080	0.9322	0.9700
	STRIP	0.8438	0.0141	0.2911	0.0127	0.2872	0.2484	0.2011	0.1743	0.6934
	SCALE-UP	0.4744	0.8082	0.7217	0.6903	0.8555	0.8505	0.7188	0.7187	0.7646
SSBA	SentiNet	0.0186	0.0000	0.0000	0.0000	0.0000	0.2827	0.1029	0.2611	0.2022
	STRIP	0.7567	0.0060	0.2668	0.0612	0.6591	0.1099	0.0249	0.0810	0.2030
	SCALE-UP	0.9264	0.8491	0.7311	0.6765	0.8838	0.8160	0.5536	0.4644	0.5326
Trojan	SentiNet	0.0292	0.0110	0.0105	0.0000	0.0000	0.0211	0.0147	0.0089	0.0044
	STRIP	0.9999	0.0194	0.2984	0.0143	0.7993	0.0419	0.0116	0.0150	0.0009
	SCALE-UP	0.5012	0.9070	0.8207	0.8137	0.8888	0.7568	0.6980	0.6607	0.7655
Wanet	SentiNet	0.1757	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
	STRIP	0.0086	0.0404	0.2231	0.0112	0.6535	0.1651	0.1580	0.0277	0.2799

Table 18: ASR of our three attack methods against three test-time backdoor detection methods.

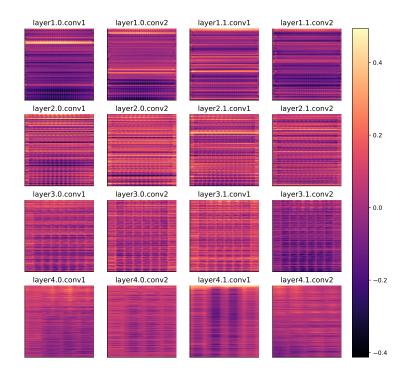


Figure 11: Sorted feature map visualization for all convolutional layers on PreAct-ResNet18 with the features in descending order of TAC values for **FT-SAM** defense against **LF** backdoor attack.

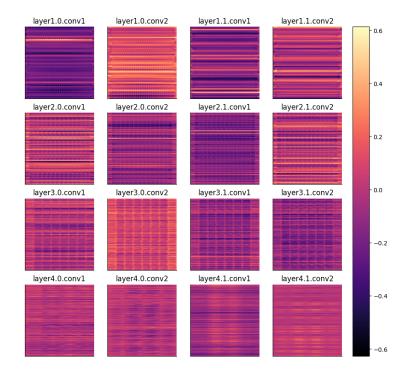


Figure 12: Sorted feature map visualization for all convolutional layers on PreAct-ResNet18 with the features in descending order of TAC values for **clean** model.

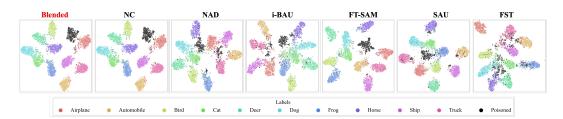


Figure 13: Comprison of T-SNE visualization between **Blended** attack model and different defense models on CIFAR-10.

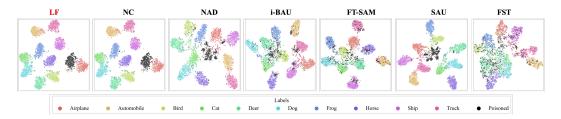


Figure 14: Comprison of T-SNE visualization between LF attack model and different defense models on CIFAR-10.

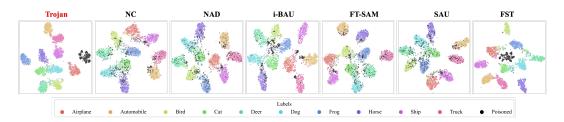


Figure 15: Comprison of T-SNE visualization between **Trojan** attack model and different defense models on CIFAR-10.

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