

# 000 001 002 003 004 005 ABSTOPK: RETHINKING SPARSE AUTOENCODERS 006 FOR BIDIRECTIONAL FEATURES 007 008 009

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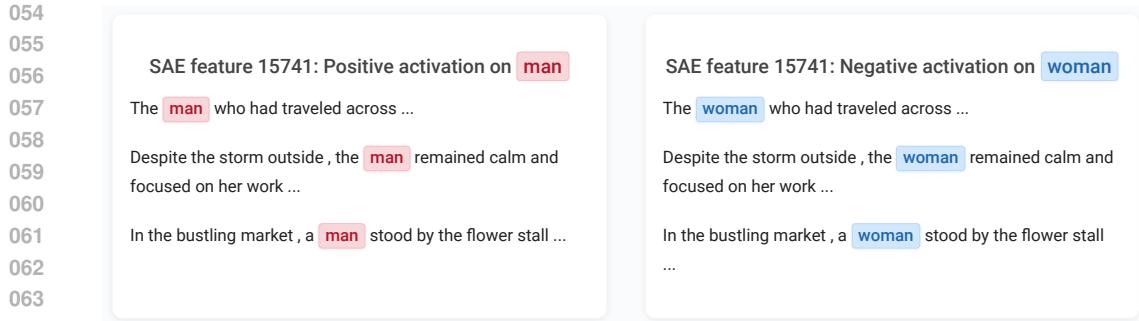
## ABSTRACT

032 Sparse autoencoders (SAEs) have emerged as powerful techniques for interpretability of large language models (LLMs), aiming to decompose hidden states into meaningful semantic features. While several SAE variants have been proposed, there remains no principled framework to derive SAEs from the original dictionary learning formulation. In this work, we introduce such a framework by unrolling the proximal gradient method for sparse coding. We show that a single-step update naturally recovers common SAE variants, including ReLU, JumpReLU, and TopK. Through this lens, we reveal a fundamental limitation of existing SAEs: their sparsity-inducing regularizers enforce non-negativity, preventing a single feature from representing bidirectional concepts (e.g., male vs. female). This structural constraint fragments semantic axes into separate, redundant features, limiting representational completeness. To address this issue, we propose AbsTopK SAE, a new variant derived from the  $\ell_0$  sparsity constraint that applies hard thresholding over the largest-magnitude activations. By preserving both positive and negative activations, AbsTopK uncovers richer, bidirectional conceptual representations. Comprehensive experiments across four LLMs and seven probing and steering tasks show that AbsTopK improves reconstruction fidelity, enhances interpretability, and enables single features to encode contrasting concepts. Remarkably, AbsTopK matches or even surpasses the Difference-in-Mean method—a supervised approach that requires labeled data for each concept and has been shown in prior work to outperform SAEs.

## 1 INTRODUCTION

033 The pursuit of interpretability has become a central objective in modern machine learning, as it is  
034 essential for the assurance, debugging, and fine-grained control of large language models (LLMs)  
035 (Marks et al., 2025; Park et al., 2023; Luo et al., 2024; Arora et al., 2018). Within this domain,  
036 sparse dictionary learning methods (Poggio & Serre, 2006; Fel et al., 2023), and specifically sparse  
037 autoencoders (SAEs), have re-emerged as a prominent methodology for systematically enumerating  
038 the latent concepts a model may employ in its predictions (Hindupur et al., 2025; Bussmann et al.,  
039 2024; Rajamoharan et al., 2025; Gao et al., 2025).  
040

041 An SAE decomposes a model’s hidden representations into an overcomplete basis of latent features  
042 (Elhage et al., 2022; Thasarathan et al., 2025), which ideally correspond to abstract, data-driven  
043 concepts whose linear superposition reconstructs the original activation vector (Higgins et al., 2017;  
044 Fel, 2025). Empirical evidence indicates that SAE latents capture semantically coherent features  
045 across diverse domains. In LLMs, these features exhibit selectivity for specific entities (e.g., *Golden*  
046 *Gate Bridge*), linguistic behaviors (e.g., sycophantic phrasing), and symbolic systems (e.g., Hebrew  
047 script) (Templeton et al., 2024; Csordás et al., 2024; Durmus et al., 2024). Similarly, in vision  
048 models, they respond to distinct objects (e.g., barbers, dog shadows) and complex scene properties  
049 (e.g., foreground-background separation, facial detection in crowds) (Fel, 2024; Thasarathan et al.,  
050 2025). In protein models, they have been shown to correlate with functional elements such as  
051 binding sites and structural motifs (Garcia & Ansuini, 2025; Adams et al., 2025). The discovery of  
052 such interpretable, semantically grounded features suggests a natural avenue for steering models: by  
053 amplifying, suppressing, or combining specific latents, one can intervene to modulate downstream  
behavior, which is a principal motivation for research into SAEs (Gao et al., 2025; Bricken et al.,  
2023; Kantamneni et al., 2025). This control is predicated on the assumption that the concepts



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Figure 1: **AbsTopK enables single latent features to encode opposing concepts by leveraging both positive and negative activations.** To test this, we generated controlled sentence pairs with only one differing token (*man* vs. *woman*). The shown feature activates positively for *man* and negatively for *woman*, demonstrating bidirectional encoding. Unlike conventional SAEs, which are restricted by a non-negativity constraint, AbsTopK more compactly captures opposing semantics within a single dimension, yielding richer and more coherent representations. Additional qualitative examples are provided in Appendix J.

identified by SAEs faithfully correspond to the features underlying a model’s predictions (Arditi et al., 2024; Uppaal et al., 2024; Engels et al., 2025).

However, recent studies suggest that simpler supervised techniques such as Difference-in-Means (DiM) can outperform SAEs on practical steering benchmarks and tasks (Arditi et al., 2024; Wu et al., 2025). Unlike SAEs, which are unsupervised and can simultaneously identify multiple latent features, DiM requires labeled data and is typically limited to extracting a single vector for a pre-specified concept. Nevertheless, these findings raise questions regarding the degree to which SAEs recover a model’s internal features. The fact that comparatively simple baselines can rival or even surpass SAEs on downstream control tasks suggests that the features identified by SAEs may only partially align with the model’s underlying neural representations, thereby casting doubt on their fidelity as faithful explanatory tools.

We posit that one source of this misalignment lies in a structural limitation of SAEs recently proposed for studying LLMs—including the vanilla version with ReLU (Cunningham et al., 2023), the JumpReLU variant (Rajamanoharan et al., 2025), and the TopK variant (Gao et al., 2025): their systematic neglect of negative activations, despite evidence that many meaningful directions in representation space are inherently bidirectional (Mao et al., 2022). The *linear representation hypothesis* (Mikolov et al., 2013) suggests that a model’s internal states can be approximated as linear combinations of semantic vectors, where conceptual transformations correspond to both positive and negative displacements along these vector axes (Arora et al., 2018; Uppaal et al., 2024; Luo et al., 2024). The DiM approach builds on this assumption, requiring labeled datasets that capture both sides of a concept, with positive and negative examples defining a bidirectional semantic axis. Classic word analogies, such as the vector operation  $v_{\text{king}} - v_{\text{man}} + v_{\text{woman}} \approx v_{\text{queen}}$  (Pennington et al., 2014), illustrate how semantic differences are encoded as generalizable vector offsets. Nevertheless, by enforcing non-negativity or retaining only the TopK activations (Bussmann et al., 2024; Gao et al., 2025), conventional SAEs either fragment such contrastive concepts into separate, unidirectional bases (e.g., “male” and “female”) or discard one direction of the semantic axis entirely. This not only undermines the representational capacity of SAEs but also limits their usefulness for controlled interventions, where traversing both directions of a semantic axis is often essential. This raises the following questions: *is the use of nonnegative activations truly essential for the success of SAEs, or does it instead constrain their ability to capture richer representations? More concretely, can SAEs be improved by allowing negative activations, thereby enabling the discovery of bidirectional concepts?*

**Contributions.** In this work, we address these questions by (i) introducing a unified framework for designing SAEs, (ii) proposing a new variant, AbsTopK SAE, and (iii) conducting comprehensive experiments across four LLMs and seven probing and steering tasks to demonstrate that

108 allowing negative activations further enhances SAEs, yielding improved reconstruction fidelity and  
 109 greater interpretability.  
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111 Our contributions are summarized as follows:

112 • **A Unified Framework for Designing SAEs.** We introduce a principled framework for designing  
 113 SAEs by unrolling the proximal gradient method for sparse coding with sparsity-inducing regular-  
 114 izers. A single-step update naturally induces common SAE variants, including ReLU, JumpReLU,  
 115 and TopK (Templeton et al., 2024; Gao et al., 2025; Rajamanoharan et al., 2025). This framework  
 116 provides a rigorous tool for analyzing their implicit regularizers and identifying shared limitations.  
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118 • **Absolute TopK (AbsTopK) for Learning Bidirectional Features** Building on this framework,  
 119 we propose a new SAE variant, termed absolute TopK (AbsTopK) derived from the vanilla spar-  
 120 sity constraint ( $\ell_0$  norm) without a non-negative constraint, which results in a hard-thresholding  
 121 operator that selects the largest-magnitude activations. By preserving both positive and nega-  
 122 tive activations, AbsTopK SAE allows a single feature to capture opposing concepts (Figure 1),  
 123 thereby uncovering richer bidirectional representations.  
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125 • **Comprehensive Empirical Validation.** We conduct a comprehensive empirical evaluation across  
 126 four LLMs, comparing the proposed AbsTopK SAE with TopK and JumpReLU SAEs on a suite of  
 127 seven probing and steering tasks, along with three unsupervised metrics. The results demon-  
 128 strate that AbsTopK outperforms TopK and JumpReLU SAEs, producing representations with higher  
 129 fidelity and interpretability. Additionally, a case study illustrates that AbsTopK can encode a bidi-  
 130 rectional semantic axis within a single latent feature, effectively capturing contrasting concepts.  
 131 Notably, AbsTopK achieves performance comparable to—or even exceeding—the Difference-in-  
 132 Mean method, which relies on labeled data and has been shown in prior work to outperform SAEs.  
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## 2 FROM PROXIMAL INTERPRETATIONS OF SAEs TO ABSTOPK

### 2.1 PRELIMINARIES

135 We denote vectors by lowercase bold letters (e.g.,  $\mathbf{x}$ ) and matrices by uppercase bold letters (e.g.,  
 136  $\mathbf{X}$ ). With an input sequence of  $N$  tokens,  $\mathbf{X} = \{\mathbf{x}_1, \dots, \mathbf{x}_N\}$ , where each  $\mathbf{x}_j$  denotes the embed-  
 137 ding of the  $j$ -th token, the LLM can be viewed as a function  $f : \mathbb{R}^{d \times N} \rightarrow \mathbb{R}^{V \times N}$ , where  $V$  is the  
 138 vocabulary size and  $f(\mathbf{X})$  gives the output logits for all tokens in the sequence. For our purposes,  
 139 we abstract away the internal details of  $f$  and instead study the representations in the hidden layers.  
 140 Consider interventions at layer  $\ell$  in the residual stream. Supposing that that the model comprises  $L$   
 141 layers, then  $f$  can be decomposed as  
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$$f(\mathbf{X}) = \phi_{\ell+1:L}(\phi_{1:\ell}(\mathbf{X})), \quad (1)$$

144 where  $\phi_{1:\ell}(\mathbf{X})$  denotes the representation after the first  $\ell$  layers and  $\phi_{\ell+1:L}$  represents the remaining  
 145 computation from layer  $\ell$  to  $L$ . We denote by  $\mathbf{x}_j^{(\ell)}$  the embedding of the residual stream at the  $\ell$ -  
 146 th layer corresponding to the  $j$ -th token of the input sequence  $\mathbf{X}$ . In the following presentation,  
 147 when the context is clear, we omit the superscript  $(\ell)$  and the subscript (token index  $j$ ) for notational  
 148 simplicity, and denote the hidden embedding of a token in a given layer by  $\mathbf{x}$ .  
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150 The linear representation hypothesis (Park et al., 2023) assumes that the hidden representation  $\mathbf{x}$  can  
 151 be expressed as a linear superposition of latent concepts:  
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$$\mathbf{x} = \sum_{p=1}^P \alpha_p \mathbf{h}_p + \text{residual}, \quad (2)$$

155 where  $\{\mathbf{h}_p\}_{p=1}^P$  are referred to as concept directions or feature vectors, such as gender or senti-  
 156 ment,  $\{\alpha_p\}$  are the corresponding coefficients, and residual term captures approximation error as  
 157 well as context-specific variation that is not explained by the selected concepts. Since a particu-  
 158 lar token—although it encodes information from previous tokens in the context—typically contains  
 159 only a small subset of concepts or features, its representation is expected to be *sparse*; that is, most  
 160 of the coefficients  $\alpha_p$  are zero, resulting in a *sparse linear representation*, often simply referred to  
 161 as a *sparse representation*. Importantly, the coefficients  $\alpha_p$  are not required to be non-negative. In  
 fact, for binary concepts, the sign of a coefficient is semantically meaningful, indicating opposite

162 directions; for example, it distinguishes whether a contextually appropriate token should be “king”  
 163 or “queen” (when the context involves a monarch) (Park et al., 2023). **We note that, although**  
 164 **(2) is written in terms of one-dimensional concept directions  $h_p$ , some concepts may in prac-**  
 165 **tice be better modeled as low-dimensional feature subspaces. As discussed in (Engels et al.,**  
 166 **2025), such multi-dimensional features can still be captured by SAEs, and our use of the linear**  
 167 **representation hypothesis is compatible with this view.**

168 To find these concept directions or feature vectors, supervised approaches such as the Difference-in-  
 169 Mean (DiM) method construct labeled datasets for each target attribute. While effective for isolating  
 170 specific concepts, these methods are inherently limited to predefined features and do not scale to the  
 171 large number of latent dimensions present in LLM representations. In contrast, dictionary learning  
 172 provides an unsupervised and scalable alternative: it can simultaneously recover a more complete  
 173 dictionary that approximates the underlying concept directions, uncovering a richer and more com-  
 174 prehensive set of latent features than DiM, which is typically restricted to a single concept vector.  
 175 Consequently, while DiM may achieve stronger control on a specific concept (Wu et al., 2025),  
 176 dictionary-learning methods have gained popularity due to their ability to uncover a richer, more  
 177 comprehensive set of latent features.

## 179 2.2 DICTIONARY LEARNING AND THE PROXIMAL PERSPECTIVE ON SPARSE 180 AUTOENCODERS

181 In a nutshell, dictionary learning (Olshausen & Field, 1996) seeks to construct a dictionary  $\mathbf{D}$  con-  
 182 sisting of basis vectors  $\{d_1, \dots, d_P\}$ , which are also called as *atoms*, such that it can (approxi-  
 183 mately) provide sparse linear combination for all token embeddings  $\mathbf{x}$  from the same layer. Since  
 184 the total number of concept vectors  $P'$  is unknown,  $P$  is typically set to a relatively large value to  
 185 ensure that as many concepts as possible can be learned. This typically requires solving a training  
 186 problem of form (Mairal et al., 2011)

$$189 \min_{\mathbf{D} \in \mathbb{R}^{d \times P}, \mathbf{b} \in \mathbb{R}^d} \mathbb{E}_{\mathbf{x}} \left[ \underbrace{\min_{\mathbf{z} \in \mathbb{R}^s} \frac{1}{2} \|\mathbf{x} - (\mathbf{D}\mathbf{z} + \mathbf{b})\|_2^2}_{g(\mathbf{z})} + \lambda R(\mathbf{z}) \right], \quad (3)$$

194 where  $R(\mathbf{z})$  is a sparsity-inducing regularizer,  $\lambda > 0$  controls the trade-off between reconstruction  
 195 fidelity and sparsity,  $\mathbf{b}$  is an additional bias vector. In classical dictionary learning, the data is often  
 196 preprocessed to have zero global mean, so the bias term is not used. Alternatively, the bias term can  
 197 be incorporated into the dictionary as  $\mathbf{D}\mathbf{z} + \mathbf{b} = [\mathbf{D} \quad \mathbf{b}] \begin{bmatrix} \mathbf{z} \\ 1 \end{bmatrix}$ . In this work, however, we explicitly  
 198 include  $\mathbf{b}$  to align with the structure of commonly used SAEs which will be described later.

200 The main challenge in solving the problem (3) lies in jointly estimating both the dictionary  $(\mathbf{D}, \mathbf{b})$   
 201 and the sparse coefficients  $\mathbf{z}$ . When one of these variables is fixed, optimizing over the other be-  
 202 comes relatively easier,<sup>1</sup> though still nontrivial in practice. In particular, given a dictionary  $\mathbf{D}$  and  
 203 bias  $\mathbf{b}$ , the problem reduces to finding a sparse approximation of  $\mathbf{x}$ , a step commonly referred to  
 204 as *sparse coding*. An efficient method for solving this problem is the proximal gradient method  
 205 (Parikh et al., 2014; Silva & Rodriguez, 2020), which is especially suitable when the regularizer  
 206  $R(\mathbf{z})$  is non-differentiable, such as the  $\ell_1$  norm used in Lasso (Tibshirani, 1996) or  $\ell_0$  norm that  
 207 directly enforce sparsity (Foucart, 2011; Bao et al., 2014; Rajamanoharan et al., 2025).

208 **Proximal gradient methods induce encoders** For a function  $r : \mathbb{R}^d \rightarrow \mathbb{R}$ , its proximal operator  
 209 is defined by (Parikh et al., 2014)

$$212 \text{prox}_r(\mathbf{u}) = \arg \min_{\mathbf{v} \in \mathbb{R}^d} \frac{1}{2} \|\mathbf{v} - \mathbf{u}\|^2 + r(\mathbf{v}).$$

215 <sup>1</sup>This observation has motivated alternating minimization methods such as MOD (Cai et al., 2016) and  
 K-SVD (Aharon et al., 2006).

Now starting from an initialization  $\mathbf{z}^{(0)}$ , the proximal gradient method for optimizing  $\mathbf{z}$  in (3) performs iterative updates of the form

$$\mathbf{z}^{(t+1)} = \text{prox}_{\mu\lambda R} \left( \mathbf{z}^{(t)} - \mu \nabla g(\mathbf{z}^{(t)}) \right) = \text{prox}_{\mu\lambda R} \left( \mathbf{z}^{(t)} - \mu \mathbf{D}^\top (\mathbf{D}\mathbf{z} + \mathbf{b} - \mathbf{x}) \right), \quad (4)$$

where  $\mu > 0$  is the step size. This perspective naturally leads to *unrolled networks* (Gregor & LeCun, 2010; Chen et al., 2022), where each proximal gradient step can be interpreted as a layer in a neural network that iteratively refines the latent code  $\mathbf{z}$  while enforcing sparsity (Daubechies et al., 2004). In particular, with  $\mathbf{z}^{(0)} = \mathbf{0}$  and  $\mu = 1$ , the first update becomes

$$\mathbf{z}^{(1)} = \text{prox}_{\lambda R} (\mathbf{D}^\top \mathbf{x} - \mathbf{D}^\top \mathbf{b}). \quad (5)$$

Since a single proximal gradient step yields only an approximate solution, inspired by prior work on unrolled networks, we replace the fixed parameters  $\mathbf{D}$  and  $\mathbf{b}$  with learnable counterparts: a trainable weight matrix  $\mathbf{W}$  in place of  $\mathbf{D}$ , and a learnable bias vector  $\mathbf{b}_e$  in place of  $-\mathbf{D}^\top \mathbf{b}$ , thereby yielding a more accurate approximation to the sparse coding solution. Then the update (5) becomes

$$\mathbf{z}^{(1)} = \text{prox}_{\lambda R} (\mathbf{W}^\top \mathbf{x} + \mathbf{b}_e), \quad (6)$$

which resembles an encoder. The following result shows that certain regularizers give rise to proximal operators commonly used in SAEs.

**Lemma 1.** Denote by  $\text{ReLU}_\lambda$ ,  $\text{JumpReLU}_\theta$ ,  $\text{TopK}_k$  as the following operators:

$$\begin{aligned} (\text{ReLU}_\lambda(\mathbf{u}))_i &= \max\{u_i - \lambda, 0\}, & (\text{JumpReLU}_\theta(\mathbf{u}))_i &= \begin{cases} 0, & u_i < \theta, \\ u_i, & u_i \geq \theta, \end{cases}, \\ (\text{TopK}_k(\mathbf{u}))_i &= \begin{cases} \max\{u_i, 0\}, & i \in \mathcal{T}_k(\mathbf{u}), \\ 0, & i \notin \mathcal{T}_k(\mathbf{u}), \end{cases} \end{aligned} \quad (7)$$

where  $\mathcal{T}_k(\mathbf{u})$  denotes the set of indices corresponding to the  $k$  largest entries<sup>2</sup> of  $\mathbf{u}$ . Here  $\lambda$ ,  $\theta$  and  $k$  are hyper-parameters subject to design choices.

They can be induced by the following choices of sparse regularizers:

- *Case I:*  $R(\mathbf{z}) = \|\mathbf{z}\|_1 + \iota_{\{\mathbf{z} \geq 0\}}(\mathbf{z})$ , then  $\text{prox}_{\lambda R} = \text{ReLU}_\lambda$ ;
- *Case II:*  $R(\mathbf{z}) = \|\mathbf{z}\|_0 + \iota_{\{\mathbf{z} \geq 0\}}(\mathbf{z})$ , then  $\text{prox}_{\lambda R} = \text{JumpReLU}_{\sqrt{2\lambda}}$ ;
- *Case III:*  $R(\mathbf{z}) = \iota_{\{\|\mathbf{z}\|_0 \leq k, \mathbf{z} \geq 0\}}(\mathbf{z})$ , then  $\text{prox}_{\lambda R}(\mathbf{u}) = \text{TopK}_k(\mathbf{u})$ .

Here  $\iota_A$  is the indicator function of set  $A$ , i.e.,  $\iota_A(\mathbf{z}) = 0$  if  $\mathbf{z} \in A$  and  $\iota_A(\mathbf{z}) = +\infty$  if  $\mathbf{z} \notin A$ , and  $\mathbf{z} \geq 0$  means  $z_i \geq 0$  for all  $i$ .

A detailed proof is provided in the Appendix C. Note that  $\text{ReLU}_\lambda$  reduces to the standard  $\text{ReLU}$  when  $\lambda \rightarrow 0$ . The operators  $\text{ReLU}_\lambda$  and  $\text{JumpReLU}_\theta$  are commonly referred to as soft thresholding and hard thresholding (except restricted to the nonnegative orthant), respectively, in signal and image processing, where they are used to enforce sparsity (Foucart, 2011; Acuña et al., 2020). The TopK operator in (7) follows the original formulation in Gao et al. (2025), which includes an additional  $\text{ReLU}$  to ensure nonnegative activations. Nevertheless, if  $\mathbf{u}$  has at least  $k$  nonnegative entries—which is typically the case since  $k$  is much smaller than the ambient dimension  $s$ —then the  $\text{ReLU}$  inside TopK is redundant, and the operator simply retains the largest  $k$  entries while setting the rest to zero. This phenomenon is also observed in Gao et al. (2025), where the training curves were found to be indistinguishable. In a nutshell, Lemma 1 establishes that several prevalent nonlinearities in SAEs, including  $\text{ReLU}$ ,  $\text{JumpReLU}$ , and  $\text{TopK}$ , are precisely the proximal operators of sparse-enforcing regularizers.

**One-step proximal gradient method leads to Sparse Autoencoders.** With Lemma 1, applying a one-step proximal gradient method to the sparse coding problem naturally leads to SAEs. Specifically, (6) defines a mapping from an input representation  $\mathbf{x}$  to a sparse code  $\mathbf{z}$ , which is then decoded to reconstruct the original representation, formally given by

$$\text{encoder: } \mathbf{z} = \text{prox}_{\lambda R} (\mathbf{W}^\top \mathbf{x} + \mathbf{b}_e), \quad \text{decoder: } \hat{\mathbf{x}} = \mathbf{D}\mathbf{z} + \mathbf{b}. \quad (8)$$

<sup>2</sup>In case  $k$  largest components are not uniquely defined, one can choose among them—for example, by selecting the components with the smallest indices—to ensure exactly  $k$  entries are kept.

Choosing different regularizers  $R$  as in Lemma 1 yields different variants of SAEs, including the vanilla version with ReLU (Cunningham et al., 2023), a version with JumpReLU (Rajamanoharan et al., 2025), and one with TopK (Gao et al., 2025). For simplicity, we refer to these as ReLU SAE, JumpReLU SAE, and TopK SAE, respectively. This observation situates diverse SAE architectures within a unified proximal framework, where each activation function is interpreted as the proximal map for a specific regularizer  $R$ . Consequently, design choices for SAEs correspond directly to the selection of an implicit sparsity-inducing penalty, which in turn provides a principled basis for comparing and extending these models. For instance, our analysis in Lemma 1 shows that ReLU SAE corresponds to the  $\ell_1$  norm regularizer (a convex relaxation of sparsity) with weight  $\lambda \rightarrow 0$ , whereas JumpReLU and TopK correspond directly to the sparsity-inducing  $\ell_0$  norm regularizers with a non-vanishing  $\lambda$ , thereby enforcing stronger sparsity. This provides a principled explanation for the improved performance of JumpReLU and TopK over ReLU observed in (Rajamanoharan et al., 2025; Gao et al., 2025).

Substituting (6) into (3) yields the training objective for SAEs (Cunningham et al., 2023; Rajamanoharan et al., 2025; Gao et al., 2025):

$$\min_{\mathbf{D}, \mathbf{W} \in \mathbb{R}^{d \times P}, \mathbf{b} \in \mathbb{R}^d, \mathbf{b}_e \in \mathbb{R}^P} \mathbb{E}_{\mathbf{x}} \left[ \frac{1}{2} \|\mathbf{x} - (\mathbf{D}\mathbf{z} + \mathbf{b})\|_2^2 + \lambda R(\mathbf{z}), \text{ where } \mathbf{z} = \text{prox}_{\lambda R}(\mathbf{W}^\top \mathbf{x} + \mathbf{b}_e) \right]. \quad (9)$$

In practice, the two instances of  $\lambda$  in (9) may be decoupled to provide additional flexibility for hyper-parameter tuning.

The use of a parameterized encoder is a key design choice that circumvents the challenging non-convex optimization in the original dictionary learning formulation (3), which requires simultaneous optimization over the sparse codes  $\mathbf{z}$  and the dictionary parameters  $\mathbf{D}$  and  $\mathbf{b}$ . By decoupling this joint optimization, SAEs yield a more tractable training procedure. The encoder arises as a single proximal gradient step, augmented with uncoupled, learnable parameters for the dictionary and bias to reduce the approximation error relative to exact sparse coding. Consequently, the training problem (9) can be efficiently solved via stochastic gradient descent, and SAEs can be implemented efficiently at inference time, making them attractive for interpretability research.

This perspective also provides a principled foundation for developing SAE variants with improved performance. For example, by incurring additional computational cost, one may extend (6) to multi-step variants, yielding multi-layer encoders (Tolooshams & Ba, 2022) that produce more accurate sparse codes and potentially capture finer-grained structure in the representation space. We leave this direction to future work. In the next subsection, we turn to SAEs induced by alternative sparsity regularizers.

### 2.3 BEYOND NON-NEGATIVITY: SPARSE AUTOENCODERS WITH ABS TOPK

The proximal perspective developed above suggests that design choices for SAEs can be interpreted as the selection of the sparsity-inducing penalty. While this view explains their sparsity-inducing effect, it also reveals a fundamental limitation of current SAEs in Equation (7): they prompt sparsity but also enforce non-negativity, discarding half of the representation space. As many semantic axes are naturally bidirectional (e.g., *male* v.s. *female*, *positive* v.s. *negative sentiment*), restricting sparse codes to be non-negative fragments these concepts into two separate directions or collapses one side entirely.

#### Fragmentation of Conventional SAE

To formalize this, consider a single semantic concept direction  $\mathbf{h}$  in (2) represented by a dictionary atom  $\mathbf{d} \in \mathbb{R}^d$ . An ideal sparse code would represent concepts along this axis as  $\alpha \mathbf{d}$ , where the sign of the scalar  $\alpha$  encodes directionality. However, under the non-negativity constraint  $\mathbf{z} \geq 0$ , this is impossible. Instead, a standard SAE must

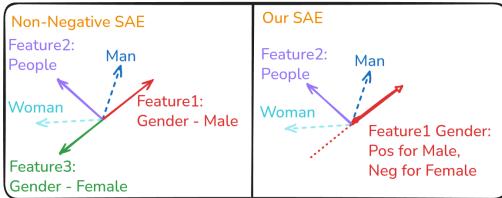


Figure 2: **Toy example where  $\mathbf{man} \approx \mathbf{male} + \mathbf{people}$  and  $\mathbf{woman} \approx \mathbf{female} + \mathbf{people}$ : a non-negative SAE needs two separate gender features, whereas AbsTopK uses one signed gender feature.**

324 allocate two distinct dictionary atoms,  $d_i$  and  $d_j$ , oriented in opposite directions, with nonnegative  
 325 activations  $z_i \geq 0$  and  $z_j \geq 0$  respectively. Each atom is activated only for one direction, leading to a  
 326 fragmented representation that arises directly from the non-negativity constraint. This fragmentation  
 327 is a direct consequence of the non-negativity constraint.  
 328

329 **Removing non-negativeness as a remedy.** To address this issue, we propose using a sparse reg-  
 330 ularizer without the non-negativity constraint. Different variants of sparse regularizers can be con-  
 331 sidered, with representative examples discussed in Lemma 1. In this work, we adopt the  $\ell_0$  norm  
 332 due to its simplicity and its direct connection to sparsity. Specifically, in the dictionary learning for-  
 333 mulation (3), we use the regularizer  $R(z) = \iota_{\{\|z\|_0 \leq k\}}$  which removes the non-negativity constraint  
 334 present in the TopK-inducing regularizer. The corresponding proximal operator is  
 335

$$\text{prox}_{\lambda R}(\mathbf{u}) = \arg \min_{\mathbf{z} \in \mathbb{R}^d} \frac{1}{2} \|\mathbf{u} - \mathbf{z}\|_2^2 \quad \text{s.t.} \quad \|\mathbf{z}\|_0 \leq k, \quad (10)$$

337 whose closed-form solution is further given by  
 338

$$(\text{prox}_R(\mathbf{u}))_i = (\text{AbsTopK}_k(\mathbf{u}))_i = \begin{cases} u_i, & i \in \mathcal{H}_k(\mathbf{u}), \\ 0, & i \notin \mathcal{H}_k(\mathbf{u}), \end{cases} \quad (11)$$

342 where  $\mathcal{H}_k$  denotes the indices of the  $k$  largest (in modulus) components<sup>3</sup>. In words, this operator  
 343 preserves the  $k$  largest-magnitude components of a vector and sets all others to zero. In the com-  
 344 pressive sensing literature, it is referred to as the *hard thresholding operator* (Foucart, 2011). Here,  
 345 we refer to it as Absolute TopK (AbsTopK) to distinguish it from the TopK operator commonly used  
 346 in SAE.  
 347

348 This principle of hard thresholding can also be applied to JumpReLU, introducing a threshold on  
 349 both positive and negative activations. This achieves a similar effect by eliminating small-magnitude  
 350 features and enforcing sparsity. However, to isolate and directly test our core hypothesis, the value  
 351 of representing concepts along a bipolar axis, this work focuses on AbsTopK, as it provides the most  
 352 direct implementation of a global  $k$ -sparsity constraint. We remain JumpReLU variants for future  
 353 investigation.  
 354

355 **AbsTopK SAE.** Following the derivation in the previous section, we integrate the AbsTopK non-  
 356 linearity operator into the framework (9) to obtain a new SAE architecture, which we term AbsTopK  
 357 SAE:  
 358

$$\mathbf{z} = \text{AbsTopK}(\mathbf{W}^\top \mathbf{x} + \mathbf{b}_e), \quad \hat{\mathbf{x}} = \mathbf{D}\mathbf{z} + \mathbf{b}. \quad (12)$$

359 The overall training problem becomes  
 360

$$\min_{\substack{\mathbf{D}, \mathbf{W} \in \mathbb{R}^{d \times P} \\ \mathbf{b} \in \mathbb{R}^d, \mathbf{b}_e \in \mathbb{R}^P}} \mathbb{E}_{\mathbf{x}} \left[ \frac{1}{2} \|\mathbf{x} - (\mathbf{D}\mathbf{z} + \mathbf{b})\|_2^2, \text{ where } \mathbf{z} = \text{AbsTopK}(\mathbf{W}^\top \mathbf{x} + \mathbf{b}_e) \right]. \quad (13)$$

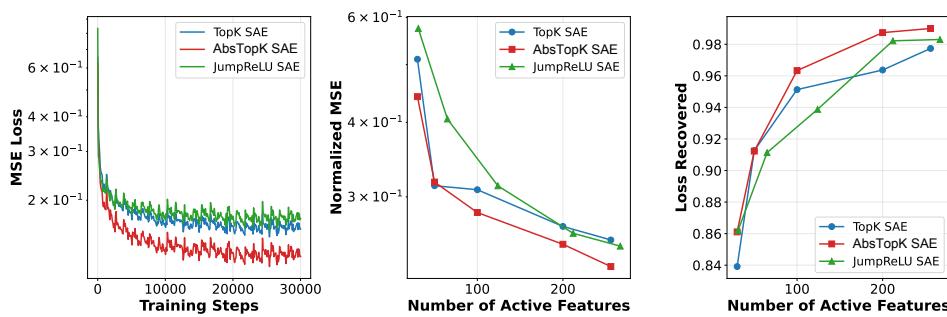
361 By design, AbsTopK preserves both positive and negative activations, enabling a single feature to  
 362 capture contrastive concepts along a unified semantic axis. This simple modification circumvents  
 363 the fragmentation induced by non-negativity constraints, and yields features that more faithfully  
 364 reflect the bidirectional structure of semantic representations. **Importantly, we do not claim that**  
 365 **every feature should realize a perfectly symmetric semantic axis. When a concept is naturally**  
 366 **bipolar, the model should be able to represent it with a single bidirectional feature, rather**  
 367 **than having such features ruled out by construction. At the same time, the formulation fully**  
 368 **supports unipolar concepts, these can simply make use of the positive side of the feature to**  
 369 **sparsely encode the hidden states.**  
 370

### 3 EXPERIMENTS: EMPIRICAL VALIDATION OF SAE BEHAVIOR

371 To empirically validate our theoretical claims and demonstrate the practical advantages of the Ab-  
 372 sTopK operator, we perform a suite of experiments which involve training JumpReLU, TopK, and  
 373

374 <sup>3</sup>Similarly, if the  $k$  largest components are not uniquely defined, one can, for instance, select those with the  
 375 smallest indices to ensure exactly  $k$  entries are retained.  
 376

378 AbsTopK SAEs on monologue/pile-uncopyrighted (Gao et al., 2020) across the GPT2-  
 379 SMALL, Pythia-70M, Gemma2-2B, and Qwen3-4B models (Radford et al., 2019; Biderman et al.,  
 380 2023; Team, 2024; Yang et al., 2025). To compare the different SAEs, we evaluate their performance  
 381 along several dimensions: (i) reconstruction quality on base datasets, (ii) effectiveness on a range  
 382 of steering tasks, and (iii) impact on general capabilities of the models. For further experimental  
 383 details and extended results, we refer the reader to Appendix B.



395 **Figure 3: Performance comparison of JumpReLU, TopK, and AbsTopK SAEs on Qwen3 4B**  
 396 **Layer 20**, showing (a) MSE Training Loss, (b) Normalized MSE, and (c) Loss Recovered. Addi-  
 397 tional results across models and layers are provided in Appendix D.

### 400 3.1 UNSUPERVISED METRICS

402 This section presents a comparative evaluation of SAE architectures, utilizing a suite of comple-  
 403 mentary metrics engineered to assess distinct facets of model performance. The investigation en-  
 404 compasses three primary analyses: (a) an examination of the training mean squared error (MSE)  
 405 to evaluate optimization stability and convergence rates; (b) the measurement of normalized recon-  
 406 struction error as a function of feature sparsity to ascertain representational fidelity; and (c) a relative  
 407 cross-entropy loss recovered score to determine the preservation of language modeling performance.  
 408 For Topk and AbsTopK, sparsity is explicitly controlled by directly specifying the number of active  
 409 features  $k$ ; in contrast, for JumpReLU, sparsity is varied by manually adjusting the threshold param-  
 410 eter  $\theta$ , thereby simulating different sparsity levels.

411 The normalized reconstruction error in (b) is defined as  $\text{nMSE}(\mathbf{x}, \hat{\mathbf{x}}) = \|\mathbf{x} - \hat{\mathbf{x}}\|_2^2 / \|\mathbf{x}\|_2^2$  (Gao et al.,  
 412 2025), thereby controlling for scale differences across representations. The Loss Recovered score  
 413 in (c) measures how well SAE reconstructions preserve predictive performance (Karvonen et al.,  
 414 2025), defined as  $(H^* - H_0) / (H_{\text{orig}} - H_0)$ , where  $H_{\text{orig}}$  is the cross-entropy of the original model,  
 415  $H^*$  that after substitution, and  $H_0$  under zero-ablation, with values closer to one indicating better  
 416 preservation.

417 AbsTopK achieves the most favorable behavior and consistently attains lower reconstruction error  
 418 across most sparsity levels while inducing only minor cross-entropy degradation. This advantage is  
 419 explained by the expressiveness of the underlying constraints. TopK and JumpReLU enforce non-  
 420 negativity, inducing a conical decomposition that tends to split inherently bidirectional concepts  
 421 across multiple features. AbsTopK instead allows signed activations, so a single feature can encode  
 422 opposite concepts via its sign, yielding a more compact and interpretable linear decomposition of  
 423 the latent space. As we show in subsequent qualitative analyses, this bidirectional capacity leads to  
 424 dictionary atoms that more closely align with the conceptual structure of the model’s representations.

### 426 3.2 RESULTS ON PROBE AND STEERING TASKS

428 To assess the utility of learned SAE features for model control, a comprehensive benchmarking  
 429 evaluation was conducted across a diverse suite of steering and probing tasks. These tasks were  
 430 specifically designed to probe various dimensions of feature quality, from basic concept representa-  
 431 tion to the capacity for precise interventional control. A detailed methodological overview for each  
 metric is provided in the Appendix E.

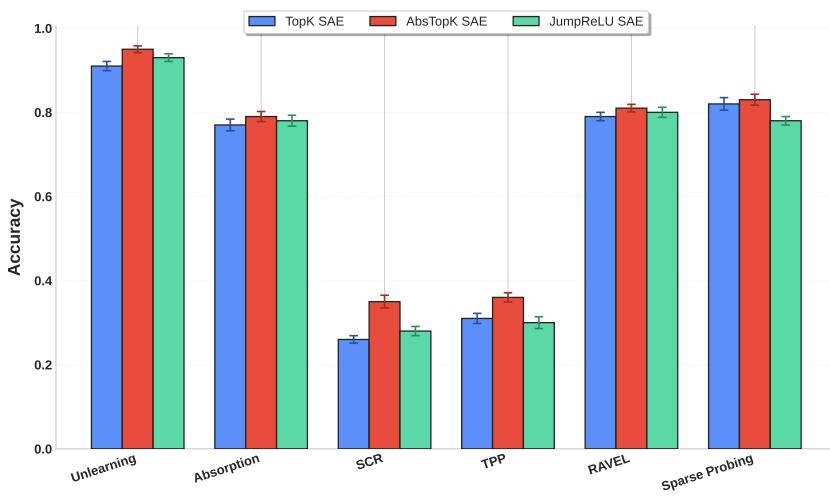


Figure 4: **Performance comparison of SAE variants (TopK, AbsTopK, and JumpReLU) across tasks on Qwen3-4B Layer 18.** For all tasks, higher scores indicate better performance; the Unlearning and Absorption scores have been transformed as 1 – original score to maintain this consistency. **We report the mean across five runs (random seeds 40–44), with error bars indicating the standard deviation.** For more details, see Appendix E.

The empirical results, as shown in Table 4, demonstrate the superiority of the AbsTopK methodology. Across the entire suite of evaluated tasks, AbsTopK SAE outperforms both the TopK SAE and JumpReLU SAE baselines. This performance advantage is especially conspicuous in bidirectional steering metrics, such as SCR, which directly quantify the reliability of interventions. In these critical evaluations, AbsTopK shows marked improvements over the alternatives.

We posit that this consistent outperformance is directly attributable to the core mechanism of the AbsTopK methodology: the retention of both positive and negative feature activations. Unlike TopK approaches, which enforce a hard sparsity constraint that discards all but the most prominent positive activations, AbsTopK preserves a richer, more complete semantic representation. This retention is critical for interventions that require nuanced and bidirectional control. By encoding not only the presence of a concept but also its negation or semantic opposition, AbsTopK features provide a more robust and granular basis for manipulation.

### 3.3 EMPIRICAL RESULTS ON STEERING VS. UTILITY

Table 1: **Performance comparison on MMLU (↑) and HarmBench (↑) across steering methods.** Entries show the absolute score; colored values in parentheses indicate the change relative to the unsteered **Original** model (red: improvement, blue: drop). The best result among all methods for each metric is highlighted in **bold**.

Model	Layer	Metric	Original	ReLU SAE	JumpReLU SAE	TopK SAE	AbsTopK SAE	DiM
Qwen3 4B	18	MMLU	77.3	(-2.9) 74.4	(-2.3) 75.0	(-2.1) 75.2	(-1.4) <b>75.9</b>	(-1.5) 75.8
		HarmBench	17.0	(+61.5) 78.5	(+62.1) 79.1	(+61.2) 78.2	(+64.3) <b>81.3</b>	(+63.6) 80.6
	20	MMLU	77.3	(-1.5) 75.8	(-1.6) 75.7	(-2.3) 75.0	(-0.9) <b>76.4</b>	(-0.9) <b>76.4</b>
		HarmBench	17.0	(+60.2) 77.2	(+61.5) 78.5	(+60.0) 77.0	(+62.0) 79.0	(+63.0) <b>80.0</b>
Gemma2 2B	12	MMLU	52.2	(-2.9) 49.3	(-3.4) 48.8	(-3.1) 49.1	(-0.9) <b>51.3</b>	(-1.2) 51.0
		HarmBench	19.0	(+48.9) 67.9	(+50.5) 69.5	(+50.8) 69.8	(+51.2) 70.2	(+51.8) <b>70.8</b>
	16	MMLU	52.2	(-2.2) 50.0	(-4.0) 48.2	(-3.7) 48.5	(-1.2) <b>51.0</b>	(-1.4) 50.8
		HarmBench	19.0	(+50.9) 69.9	(+50.8) 69.8	(+51.2) 70.2	(+52.7) 71.7	(+53.0) <b>72.0</b>
Llama3.1 8B	24	MMLU	66.7	(-2.5) 64.2	(-2.5) 64.2	(-1.7) 65.0	(-0.9) <b>65.8</b>	(-1.3) 65.4
		HarmBench	15.2	(+75.0) 90.2	(+74.7) 89.9	(+74.0) 89.2	(+76.1) 91.3	(+77.2) <b>92.4</b>
	6	MMLU	74.5	(-2.7) 71.8	(-2.0) 72.5	(-1.5) 73.0	(-1.3) <b>73.2</b>	(-1.4) 73.1
		HarmBench	16.6	(+47.8) 64.4	(+45.5) 62.1	(+46.2) 62.8	(+49.0) <b>65.6</b>	(+48.8) 65.4
Gemma3 12B	40	MMLU	74.5	(-3.2) 71.3	(-2.5) 72.0	(-3.5) 71.0	(-1.8) <b>72.7</b>	(-2.0) 72.5
		HarmBench	16.6	(+70.2) 86.8	(+72.0) 88.6	(+70.7) 87.3	(+72.6) 89.2	(+73.4) <b>90.0</b>

To more comprehensively characterize the safety–utility trade-off, we evaluate steering across four model and intervene at multiple layers spanning early, middle, and late blocks. This di-

486 **versity in both architectures and intervention depths allows us to test whether our conclusions**  
 487 **are robust to model scale and to the choice of steering layer, rather than being an artifact of a**  
 488 **single model configuration.**

489 Model steering confronts a fundamental tradeoff: enhancing specific behaviors often degrades general capabilities. It has often been assumed in prior literature that DiM interventions are more effective for specific concept manipulation than SAEs despite their reliance on labeled data and limitation to extracting only a single concept vector (Arditi et al., 2024; Wu et al., 2025; Zhu et al., 2025). To systematically evaluate this trade-off, we conducted an empirical study measuring general capability preservation via the MMLU benchmark (Hendrycks et al., 2021) and safety alignment using HarmBench (Mazeika et al., 2024). For this evaluation, we focus on Qwen and Gemma models, as smaller models, Pythia-70M and GPT-2 Small, only have very low score on MMLU benchmark.

490 As shown in Table 1, the empirical results indicate that conventional SAE steering methods successfully improve safety metrics but at a detriment to general performance. In contrast, the proposed 491 AbsTopK methodology achieves a more optimal balance between these competing objectives. It 492 facilitates substantial enhancements in safety alignment on HarmBench while simultaneously mitigating 493 the degradation of MMLU scores. Compared to DiM, AbsTopK is competitive on safety, sometimes 494 slightly lower, but consistently retains more general ability. This pattern highlights that 495 carefully designed SAE steering can rival and, in some cases, surpass intervention strategies that 496 rely on labeled data.

### 506 3.4 BIDIRECTIONAL SEMANTIC AXES IN ABSTOPK VS. TOPK

507  
 508 Table 2: LLM-based automatic interpretation of AbsTopK and TopK features on Gemma-2-2B. For  
 509 each layer and method, we report the proportion of features in three semantic categories: double-  
 510 sided, single-sided, and no clear meaning. The row marked with  $\leftrightarrow$  Opposite meaning gives the  
 511 subset of double-sided features whose two polarities express opposite semantics.

513 514 Category	515 Layer 12 (%)		516 Layer 16 (%)	
	517 AbsTopK	518 TopK	519 AbsTopK	520 TopK
521 Double-sided meaning (all)	29.7	5.3	31.2	4.1
522 $\leftrightarrow$ Opposite meaning	20.2	2.6	21.5	1.8
523 Single-sided meaning	56.4	78.8	57.8	80.3
No clear meaning	13.9	15.9	11.0	15.6

524 **To quantify the bidirectionality, we apply Gemini 2.5 Flash to classify each feature into three**  
 525 **categories: double-sided meaning, where both polarities are judged meaningful; single-sided**  
 526 **meaning, where only one polarity is meaningful; and no clear meaning. Within the double-**  
 527 **sided group, we further identify a subset of opposite meaning features whose positive and**  
 528 **negative activations are judged to express opposing semantics.**

529 **Table 2 summarizes the distribution of these categories. Across both layers, the fraction with**  
 530 **no clear meaning is comparable for AbsTopK and TopK, indicating that the bidirectional fea-**  
 531 **tures in AbsTopK does not arise from noisier features. The nonzero mass of opposite-meaning**  
 532 **features under TopK suggests that the underlying representation already supports bidirec-**  
 533 **tional semantic axes, but only weakly exploits them. By relaxing the non-negativity constraint,**  
 534 **AbsTopK converts part of this single-sided inventory into semantic directions with two mean-**  
 535 **ingful ends.**

## 536 4 CONCLUSION

537 This work identifies the non-negativity constraint in SAEs as a core cause of semantic feature frag-  
 538 **mentation. In response, we introduce the AbsTopK operator, which replaces this constraint with**  
 539 **direct k-sparsity enforced via an  $\ell_0$  proximal operator. This modification enables single features to**  
 540 **capture bipolar semantics, and our empirical results confirm that AbsTopK yields reconstructions**  
 541 **of superior compactness and fidelity. Our work pioneers a shift towards bipolar sparse representa-**  
 542 **tions and suggests future research into more efficient, neurally-plausible approximations of the  $\ell_0$**   
 543 **operator for large-scale models.**

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918 A RELATED WORKS  
919920 **Sparse dictionary learning**  
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922 The convergence of classical dictionary learning is well studied (Bao et al., 2014; Hastie et al., 2015;  
923 Bao et al., 2016), with guarantees for exact recovery via matrix factorization (Spielman et al., 2012)  
924 and semidefinite programming (Barak et al., 2015), as well as practical algorithms such as K-SVD  
925 and alternating minimization (Elad & Aharon, 2006; Aharon et al., 2006; Chatterji & Bartlett, 2017;  
926 Gu et al., 2024). Subsequent work has analyzed gradient-based methods (Beck & Teboulle, 2009;  
927 Bauschke & Combettes, 2011; Arora et al., 2015) and unrolled encoders such as LISTA (Gregor  
928 & LeCun, 2010; Tang et al., 2020; Massoli et al., 2024), largely focusing on encoder convergence  
929 under a fixed dictionary and, in some cases, local stability of gradients (Suo et al., 2014; Moreau &  
930 Bruna, 2017; Gilboa et al., 2018; Tolooshams & Ba, 2022; Malézieux et al., 2022).  
931

932 In contrast, we focus on the nonlinearities used in SAEs and analyze them through the lens of prox-  
933 imal theory. This perspective makes explicit the correspondence between SAE activation functions  
934 and proximal mappings of sparse regularizers, placing SAEs firmly within the dictionary learning  
935 framework. Within this view, we introduce AbsTopK, which removes the non-negativity constraint  
936 common in prior work and allows a single dictionary feature to encode bidirectional semantic axes,  
937 aligning SAE architectural choices with the geometry of semantic representations rather than only  
938 with classical signal-recovery guarantees.  
939

**Mechanistic interpretability**

940 SAEs have become a central tool in mechanistic interpretability, serving as a dictionary learning ap-  
941 proach for concept-level explanations (Kim et al., 2018). Several architectures have been proposed,  
942 including ReLU, TopK, JumpReLU, gated, Batch TopK, and ProLU SAEs (Bricken et al., 2023;  
943 Gao et al., 2025; Rajamanoharan et al., 2025; 2024b; Bussmann et al., 2024; O’Neill et al., 2025),  
944 and have been shown to capture a wide range of interpretable features, from refusal, gender, and  
945 writing script (Bricken et al., 2023; Templeton et al., 2024; Hegde, 2024) to visual structure and  
946 protein representations (Thasarathan et al., 2025; Simon & Zou, 2024).  
947

948 At the same time, recent work has highlighted important limitations of the SAE paradigm.  
949 Prompting-based interventions can outperform SAE-based control (Wu et al., 2025; Bhalla et al.,  
950 2025; Menon et al., 2024); other studies question the assumption that concepts are well captured  
951 by single linear features, showing that representations can be multidimensional or nonlinear (Engels  
952 et al., 2024; 2025; Peng et al., 2025; Wang et al., 2025). Moreover, SAEs can be algorithmically  
953 unstable: models trained on the same data with different random seeds may yield divergent dic-  
954 tionaries and inconsistent interpretations (Ayonrinde et al., 2024; Kissane et al., 2024; Colin et al.,  
955 2025). These observations suggest that, while SAEs are promising for interpretability, their current  
956 formulations are fragile and lack a canonical notion of representation.  
957

958 **Motivated by these challenges, recent theoretical work (Chen et al., 2025; Cui et al., 2025)**  
959 **investigates when standard non-negative SAEs can provably recover ground-truth features**  
960 **under sparse, non-negative latent codes, providing a principled justification for non-negativity**  
961 **in unipolar settings. Our framework is complementary and tailored to the mixed-sign, bi-**  
962 **directional structure observed in LLM representations: from a proximal-gradient viewpoint, we**  
963 **interpret SAE nonlinearities as proximal operators with an implicit non-negativity constraint,**  
964 **and relax this constraint to obtain *AbsTopK*, which preserves the same sparse coding objective**  
965 **while enabling bidirectional semantic axes and reducing to standard SAEs in the non-negative**  
966 **limit.**

967 B EXPERIMENTAL SETUP  
968

969 In this appendix, we describe the architecture and training setup of our SAEs. For all experiments,  
970 we trained on the monology/pile-uncopyrighted (Gao et al., 2020) dataset.  
971

972 Architecturally, the SAEs are comprised of a single, overcomplete hidden layer which incorporates  
973 a sparsifying nonlinearity. The encoder component projects residual activations into a latent space  
974 of higher dimensionality, while the decoder component reconstructs the original residual dimension  
975

972 from these latent representations. A fixed expansion factor of 16 was uniformly applied across all  
 973 models.

974 For comparative analysis, three distinct variants of the SAE were trained: TopK, AbsTopK, and  
 975 JumpReLU. In the TopK and AbsTopK configurations, exact k-sparsity was enforced upon the latent  
 976 representation, with the sparsity hyperparameter,  $k$ , and the specific layers targeted for intervention  
 977 being systematically selected for each foundational model:

- 979 • EleutherAI/pythia-70m (Biderman et al., 2023):  $k = 51$ , layers: 3, 4.
- 980 • google/gemma-2-2b (Team, 2024):  $k = 230$ , layers: 12, 16.
- 981 • Qwen/Qwen3-4B (Yang et al., 2025):  $k = 256$ , layers: 18, 20.
- 982 • openai-community/gpt2 (Radford et al., 2019):  $k = 76$ , layers: 6, 8.
- 983
- 984

985 Here,  $k$  was set to approximately one-tenth of the hidden dimension for each model, and the intervention  
 986 layers were selected from the middle of the network to capture representative latent features  
 987 (Arditi et al., 2024). In contrast, the JumpReLU models adopted the same configuration as in prior  
 988 work (Rajamoharan et al., 2025; Bussmann et al., 2024).

989 The optimization for all models was performed using the Adam algorithm over a duration of 30,000  
 990 training steps, with a consistent batch size of 4096. A learning rate of 3e-4 was configured, complemented by Adam’s momentum parameters,  $\beta_1 = 0.9$ , and  $\beta_2 = 0.99$ . And we used a bandwidth  
 991 parameter of 0.001 across all experiments.

## 994 C PROOF OF LEMMA 1

997 *Proof.* We prove the result by deriving the proximal operator corresponding to each regularizer  
 998 separately.

1000 **Case I: ReLU.** Note that  $R(\mathbf{z})$  is separable as

$$1002 R(\mathbf{z}) = \|\mathbf{z}\|_1 + \iota_{\{\mathbf{z} \geq 0\}}(\mathbf{z}) = \sum_i (|z_i| + \iota_{\{z_i \geq 0\}}(z_i)),$$

1004 which implies that the proximal operator is also separable, i.e.,  $(\text{prox}_{\lambda R}(\mathbf{u}))_i$  is equivalent to the  
 1005 following scalar proximal problem

$$\begin{aligned} 1008 \text{prox}_{\lambda R}(u) &= \arg \min_{z \in \mathbb{R}} \frac{1}{2}(z - u)^2 + \lambda|z| + \iota_{\{z \geq 0\}}(z) \\ 1009 &= \arg \min_{z \geq 0} \frac{1}{2}(z - u)^2 + \lambda z \\ 1010 &= \max\{u - \lambda, 0\}. \end{aligned}$$

1014 Therefore, the proximal operator induces the ReLU operator, with a shift by  $\lambda$ :

$$1016 (\text{prox}_{\lambda R}(\mathbf{u})) = \max\{\mathbf{u} - \lambda, 0\},$$

1018 which reduces to the standard ReLU when  $\lambda \rightarrow 0$ . In this case, however, the operator no longer  
 1019 encourages sparsity. When  $\lambda > 0$ , the effect is equivalent to introducing a bias term that suppresses  
 1020 small activations and thereby promotes sparsity. In practice, this restriction can be relaxed: during  
 1021 training, gradient descent can learn a separate bias parameter for each entry.

1023 **Case II: JumpReLU.** Similarly,  $R(\mathbf{z})$  is also separable as

$$1025 R(\mathbf{z}) = \|\mathbf{z}\|_0 + \iota_{\mathbf{z} \geq 0}(\mathbf{z}) = \sum_i (\mathbf{1}(z_i \neq 0) + \iota_{\{z_i \geq 0\}}(z_i)).$$

1026 where  $\mathbf{1}(z_i \neq 0) = \begin{cases} 1, & z_i \neq 0, \\ 0, & z_i = 0. \end{cases}$  Thus, it suffices to first consider the following scalar proximal  
 1027 operator  
 1028

$$\begin{aligned} 1029 \quad \text{prox}_{\lambda R}(u) &= \arg \min_{z \in \mathbb{R}} \frac{1}{2}(z - u)^2 + \lambda \mathbf{1}(z \neq 0) + \iota_{\{z \geq 0\}}(z) \\ 1030 \quad &= \arg \min_{z \geq 0} \underbrace{\frac{1}{2}(z - u)^2 + \lambda \mathbf{1}(z \neq 0)}_{\xi(z)}. \end{aligned}$$

1031 Note that within the region  $z \geq 0$ ,  $\xi$  achieves its minimum at either 0 or  $u$ . Setting  $\xi(u) = \lambda =$   
 1032  $\xi(0) = \frac{1}{2}u^2$  yields  $u = \sqrt{2\lambda}$ . One can verify that  $\xi$  achieves its minimum at  $u$  when  $u \geq \sqrt{2\lambda}$ , and  
 1033 at 0 otherwise. Hence, the proximal operator induces the JumReLU with parameter  $\sqrt{2\lambda}$ :  
 1034

$$\boxed{(\text{prox}_{\lambda R}(\mathbf{u}))_i = \begin{cases} u, & u \geq \sqrt{2\lambda}, \\ 0, & u < \sqrt{2\lambda}. \end{cases}}$$

1035 **Case III: TopK.** For this case, the corresponding proximal operator reduces to a Euclidean pro-  
 1036 jection onto the feasible set:  
 1037

$$1038 \quad \text{prox}_{\lambda R}(\mathbf{u}) = \arg \min_{\mathbf{z} \in \mathbb{R}^d} \frac{1}{2}\|\mathbf{u} - \mathbf{z}\|_2^2 \quad \text{s.t.} \quad \|\mathbf{z}\|_0 \leq k, \mathbf{z} \geq 0. \quad (14)$$

1039 Given the quadratic objective and the non-negativity constraint, the optimal choice on any candidate  
 1040 support  $S$  with  $|S| \leq k$  is  
 1041

$$\boxed{z_i = \begin{cases} \max\{u_i, 0\}, & i \in S, \\ 0, & i \notin S. \end{cases}} \quad (15)$$

1042 Thus, the minimization problem reduces to selecting the index set  $S$  that captures the  $k$  largest  
 1043 nonnegative entries of  $\mathbf{u}$ . Formally, letting  $\mathcal{T}_k(\mathbf{z})$  denote the set of indices corresponding to the  $k$   
 1044 largest entries of  $\mathbf{z}$ , the proximal operator becomes  
 1045

$$\boxed{[\text{prox}_{\lambda R}(\mathbf{u})]_i = \begin{cases} \max\{u_i, 0\}, & i \in \mathcal{T}_k(\mathbf{z}), \\ 0, & i \notin \mathcal{T}_k(\mathbf{z}). \end{cases}} \quad (16)$$

□

## 1046 D UNSUPERVISED METRICS ON ALL MODELS

1047 This section presents the unsupervised metrics from our model evaluations. We tested each model  
 1048 with a specific set of  $k$  values. For the Pythia model, we used  $k$ -values of 10, 20, 30, 40, and 50.  
 1049 The evaluation of the Gemma model involved  $k$  values of 30, 50, 100, 200, and 230. For the GPT  
 1050 model, the  $k$  values were 10, 30, 50, 60, and 76. Lastly, the Qwen model was tested with  $k$  values of  
 1051 30, 50, 100, 200, and 256.

1052 As shown in Figure 5, across the majority of evaluated models, we observe that AbsTopK achieves  
 1053 lower training MSE, reduced normalized reconstruction error, and better preservation of language  
 1054 modeling performance relative to both TopK and JumpReLU. This consistent advantage across these  
 1055 metrics provides evidence for the effectiveness and robustness of the AbsTopK method.. In partic-  
 1056 ular, while TopK and JumpReLU sometimes exhibit competitive performance in isolated settings,  
 1057 AbsTopK maintains robustness across architectures and layers, thereby demonstrating the superior-  
 1058 ity of our proposed formulation.

## 1059 E STEERING AND PROBE TASK ON ALL MODELS

### 1060 E.1 TASK DESCRIPTION

1061 We provide an overview of the tasks employed in the SAEbench evaluation for SAEs. For detailed  
 1062 methodology, we refer readers to the original SAEbench paper ([Karvonen et al., 2025](#)).

1080 **Table 3: Performance comparison of SAE variants across tasks on all other models and layers.**  
 1081 For all tasks, higher scores indicate better performance; the Unlearning and Absorption scores have  
 1082 been transformed as  $1 - \text{original score}$  to maintain this consistency.

Model	Method	Unlearning	Absorption	SCR	TPP	RAVEL	Sparse Probing
Gemma2-2B L12	AbsTopK	0.93	0.73	0.27	0.34	0.73	0.76
	TopK	0.88	0.76	0.20	0.29	0.70	0.71
	JumpReLU	0.90	0.75	0.22	0.30	0.71	0.73
Gemma2-2B L14	AbsTopK	0.91	0.70	0.27	0.42	0.71	0.70
	TopK	0.89	0.68	0.21	0.36	0.74	0.67
	JumpReLU	0.94	0.69	0.23	0.39	0.72	0.69
Pythia-70M L3	AbsTopK	0.75	0.54	0.20	0.22	0.64	0.66
	TopK	0.71	0.47	0.15	0.14	0.62	0.60
	JumpReLU	0.73	0.50	0.17	0.21	0.63	0.61
Pythia-70M L4	AbsTopK	0.79	0.53	0.21	0.23	0.68	0.57
	TopK	0.72	0.50	0.16	0.21	0.69	0.61
	JumpReLU	0.77	0.51	0.17	0.20	0.61	0.62
GPT2-small L6	AbsTopK	0.74	0.66	0.18	0.22	0.60	0.54
	TopK	0.80	0.63	0.14	0.19	0.57	0.50
	JumpReLU	0.77	0.65	0.15	0.20	0.58	0.52
GPT2-small L8	AbsTopK	0.75	0.67	0.23	0.28	0.51	0.59
	TopK	0.71	0.67	0.15	0.20	0.48	0.55
	JumpReLU	0.73	0.67	0.18	0.23	0.49	0.57
Qwen3-4B L18	AbsTopK	0.95	0.79	0.35	0.36	0.81	0.83
	TopK	0.91	0.77	0.26	0.31	0.79	0.82
	JumpReLU	0.93	0.78	0.28	0.30	0.80	0.78
Qwen3-4B L20	AbsTopK	0.95	0.80	0.32	0.45	0.85	0.81
	TopK	0.92	0.77	0.27	0.36	0.76	0.84
	JumpReLU	0.93	0.78	0.29	0.39	0.81	0.83

### E.1.1 FEATURE ABSORPTION

1112 Sparsity incentives can cause a SAE to engage in feature absorption, a phenomenon where correlated  
 1113 features are merged into a single latent representation. This process arises when a direct implication  
 1114 exists between two concepts, such that concept  $A$  always implies concept  $B$ . To reduce the number  
 1115 of active latents, the SAE might absorb the feature for  $A$  into the latent for  $B$ . For example, a feature  
 1116 for "starts with S" could be absorbed into a more general latent for "short." While this merging im-  
 1117 proves computational efficiency, it compromises interpretability by creating gerrymandered features  
 1118 that represent multiple, distinct concepts.

1119 To quantify feature absorption, we employ a first-letter classification task, following the methodol-  
 1120 ogy of previous studies (Chanin et al., 2025). First, a supervised logistic regression probe is trained  
 1121 on tokens containing only English letters to establish ground-truth feature directions. Next, K-sparse  
 1122 probing is applied to the SAE's latents to identify the primary latent corresponding to each feature,  
 1123 using a threshold of  $\tau_{fs} = 0.03$  to account for potential feature splits. For test set tokens where  
 1124 main latents fail but the probe succeeds, additional SAE latents are included if they satisfy cosine  
 1125 similarity with the probe of at least  $\tau_{ps} = 0.025$  and a projection fraction of at least  $\tau_{pa} = 0.4$ .  
 1126 All parameter values are chosen following the original SAEBench settings (Karvonen et al., 2025).  
 1127 To make the results more interpretable and such that higher values indicate stronger unlearning, we  
 1128 present the final scores as  $1 - \text{original value}$ .

### E.1.2 UNLEARNING

1132 SAEs are evaluated on their ability to selectively remove knowledge while maintaining performance  
 1133 on unrelated tasks (Farrell et al., 2025). We use the WMDP-bio dataset (Li et al., 2024) for unlearn-  
 ing and MMLU (Hendrycks et al., 2021) to assess general abilities.

1134 The intervention methodology involves clamping selected WMDP-bio SAE feature activations to  
 1135 negative values whenever the corresponding features activate during inference. To evaluate broader  
 1136 model effects, we also measure performance on the MMLU benchmark (Hendrycks et al., 2021).  
 1137 The final evaluation reports the highest unlearning effectiveness on WMDP-bio while ensuring  
 1138 MMLU accuracy remains above 0.99, thereby quantifying optimal unlearning performance under  
 1139 constrained side effects. To make the results more interpretable and such that higher values indicate  
 1140 stronger unlearning, we present the final scores as  $1 - \text{original value}$ .

1141

### 1142 E.1.3 SPURIOUS CORRELATION REMOVAL (SCR)

1143

1144 SCR (Karvonen et al., 2024) evaluates the ability of SAEs to disentangle latents corresponding to  
 1145 distinct concepts. We conduct experiments on datasets known for spurious correlations, such as Bias  
 1146 in Bios (De-Arteaga et al., 2019) and Amazon Reviews (Hou et al., 2024), which contain two binary  
 1147 gender labels. For each dataset, we create a balanced set containing all combinations of profession  
 1148 (professor/nurse) and gender (male/female), as well as a biased set including only male+professor  
 1149 and female+nurse combinations. A biased classifier  $C$  is first trained on the biased set and then  
 1150 debiased by ablating selected SAE latents.

1151

1152 We quantify SCR using the normalized evaluation score:

1153

$$S_{\text{SHIFT}} = \frac{A_{\text{abl}} - A_{\text{base}}}{A_{\text{oracle}} - A_{\text{base}}}, \quad (17)$$

1154

1155 where  $A_{\text{abl}}$  is the probe accuracy after SAE feature ablation,  $A_{\text{base}}$  is the baseline accuracy before  
 1156 ablation, and  $A_{\text{oracle}}$  is the skyline accuracy obtained by a probe trained directly on the desired con-  
 1157 cept. Higher  $S_{\text{SHIFT}}$  values indicate more effective removal of spurious correlations. This score  
 1158 represents the proportion of improvement achieved through ablation relative to the maximum possi-  
 1159 ble improvement, enabling fair comparison across classes and models.

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1161

### E.1.4 TARGETED PROBE PERTURBATION (TPP)

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1164

TPP (Marks et al., 2025) extends the SHIFT methodology to multiclass natural language processing  
 datasets. For each class  $c_i$  in a dataset, we select the most relevant SAE latents  $L_i$ . We then evaluate  
 the causal effect of ablating  $L_i$  on linear probes  $C_j$  trained to classify each class  $c_j$ .

1165

1166

Let  $A_j$  denote the accuracy of probe  $C_j$  before ablation, and  $A_{j \setminus i}$  the accuracy after ablating  $L_i$ . We  
 define the accuracy change as

1167

$$\Delta A_{j \setminus i} = A_{j \setminus i} - A_j. \quad (18)$$

1168

The TPP score is then

1170

$$S_{\text{TPP}} = \mathbb{E}_{i=j} [\Delta A_{j \setminus i}] - \mathbb{E}_{i \neq j} [A_{j \setminus i}], \quad (19)$$

1171

1172

which measures the extent to which ablating latents for class  $i$  selectively degrades the corresponding  
 probe while leaving other probes unaffected. A high TPP score thus indicates effective disentangle-  
 1173 ment of SAE latents.

1174

1175

### E.1.5 RAVEL

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1177

RAVEL (Chaudhary & Geiger, 2024) evaluates the ability of SAEs to disentangle features by testing  
 whether individual latents correspond to distinct factual attributes. The dataset spans five entity types  
 (cities, Nobel laureates, verbs, physical objects, and occupations), each with 400–800 instances  
 and 4–6 attributes (e.g., cities have country, continent, and language), probed with 30–90 natural  
 language and JSON prompt templates.

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Evaluation proceeds in three stages: (i) filtering entity and attribute pairs that the model predicts  
 reliably, (ii) identifying attribute and specific features using probes trained on latent representations,  
 and (iii) computing a disentanglement score that averages *cause* and *isolation* metrics. The *cause*  
 score measures whether intervening on a feature for attribute  $A$  (e.g., setting Paris’s country to Japan)  
 correctly changes the prediction of  $A$ , while the *isolation* score verifies that other attributes  $B$  (e.g.,  
 language = French) remain unaffected. A higher final score indicates stronger disentanglement of  
 features.

1188 E.1.6 PROBING EVALUATION  
1189

1190 We assess whether SAEs capture interpretable features through targeted probing tasks across five  
1191 domains: profession classification, sentiment and product categorization, language identification,  
1192 programming language classification, and topic categorization. Each dataset is partitioned into mul-  
1193 tiple binary classification tasks, yielding a total of 35 evaluation tasks.

1194 For each task, we encode inputs with the SAE, apply mean pooling over non-padding tokens, and  
1195 select the topk latents via maximum mean difference. A logistic regression probe is then trained  
1196 on these representations and evaluated on held-out test data. To ensure comparability across tasks,  
1197 we sample 4,000 training and 1,000 test examples per task, truncate inputs to 128 tokens, and, for  
1198 GitHub, exclude the first 150 characters following [Gurnee et al. \(2023\)](#). We also compare mean  
1199 and max pooling, finding mean pooling slightly superior. Datasets with more than two classes are  
1200 subsampled into balanced binary subsets while maintaining a positive class ratio of at least 0.2.

1201  
1202 E.2 TASK PERFORMANCE  
1203

1204 As shown in Table 3, we find that the AbsTopK methodology exhibits a superior level of performance  
1205 relative to the comparative TopK and JumpReLU techniques across the evaluated models and layers.

1206 In particular, the AbsTopK operator performs best on the majority of the evaluation metrics. While  
1207 its performance is more competitive in a few areas, its dominant strength in the other key areas  
1208 makes it a robust and highly effective sparsity operator according to these results. The method’s  
1209 strength appears to be model-agnostic, showcasing its general applicability.

1210  
1211 F STEERING METHODS FOR DiM AND SAEs  
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1213 In this section, we present methods for controlling specific concepts in model representations. For  
1214 DiM, we introduce two intervention strategies: *activation addition*, to amplify a concept’s effect, and  
1215 *directional ablation*, to remove it from intermediate activations. For the HarmBench experiments,  
1216 we specifically employ the activation addition method. Following this, we describe how similar  
1217 steering can be achieved in SAEs through latent feature manipulation and ablation.

1218  
1219 **Activation addition.** Given a concept vector  $\mathbf{d}^{(l)}$  extracted from layer  $l$ , we can modulate the  
1220 corresponding feature via a simple linear intervention. Concretely, for a specific input, we add the  
1221 vector to the layer activations with the strength  $\alpha$  to shift them toward the concept activation, thereby  
1222 inducing the given concept:

$$1224 \quad \mathbf{x}^{(l)'} \leftarrow \alpha \mathbf{d}^{(l)} + \mathbf{x}^{(l)}. \quad (20)$$

1226 This intervention is applied only at layer  $l$  and across all token positions.

1228  
1229 **Directional ablation.** To study the role of a particular direction  $\mathbf{d}$  in the model’s computation,  
1230 we can remove it from the representations using directional ablation. Specifically, we zero out the  
1231 component along  $\mathbf{d}$  for every residual stream activation  $\mathbf{x}$ :

$$1233 \quad \mathbf{x}^{(l)'} \leftarrow \mathbf{x}^{(l)} - \alpha \mathbf{d} \mathbf{d}^\top \mathbf{x}^{(l)}. \quad (21)$$

1235 This operation is applied to every activation  $\mathbf{x}^{(l)}$ , across all layers  $l$ , effectively preventing the model  
1236 from encoding this direction in its residual stream.

1238  
1239 **SAE Latent feature clamping.** For a target latent feature  $z_i$  in the SAE feature vector  $\mathbf{z}$ , we can  
1240 modulate its influence on model behavior by clamping it to a constant  $c \in \mathbb{R}$ . Denote a feature  
1241 vector  $\mathbf{z}$ , and let  $\mathbf{z}_{i,c}$  be the modified vector with  $z_i$  replaced by  $c$ .

1241 Define the clamping function  $C_{i,c}$  as

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so that  $C_{i,c}(\mathbf{z}) = \mathbf{z}_{i,c}$ .

1248 In conventional SAEs, this clamping strategy can be interpreted as a directional control: setting  
 1249  $c$  to a negative value suppresses the corresponding concept, while a positive  $c$  encourages it. We  
 1250 adopt a similar approach to perform steering in our framework, using clamping to directly modulate  
 1251 individual latent features and thereby control the presence or absence of specific semantic concepts  
 1252 in the reconstructed representation.

1253

1254

## G AUTOMATIC INTERPRETABILITY METRICS

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1256

1257 Table 4: Automated Interpretability accuracy and PS-EVAL F1 for AbsTopK and TopK SAEs on  
 1258 meta-llama/Llama-3.1-8B across layers and activation types.

1259

Activation type	SAE	Automated Interpretability		PS-EVAL F1	
		Layer 6	Layer 28	Layer 6	Layer 28
Attention out	AbsTopK	<b>0.82</b>	<b>0.81</b>	<b>0.74</b>	<b>0.62</b>
	TopK	0.79	0.77	0.69	0.61
MLP out	AbsTopK	<b>0.84</b>	<b>0.83</b>	<b>0.68</b>	<b>0.47</b>
	TopK	0.83	<b>0.83</b>	0.65	0.44
Residual stream	AbsTopK	<b>0.86</b>	<b>0.87</b>	<b>0.81</b>	<b>0.58</b>
	TopK	0.81	0.80	0.76	0.56
Transcoder	AbsTopK	<b>0.79</b>	<b>0.80</b>	<b>0.74</b>	<b>0.61</b>
	TopK	<b>0.79</b>	0.78	0.72	0.57

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1272 We also evaluate interpretability directly using automatic metrics on meta-llama/Llama-3.1-  
 1273 8B, applying Automated Interpretability and PS-EVAL to feature dictionaries learned by Ab-  
 1274 sTopK and TopK across multiple layers and activation types. At the same time, recent work  
 1275 has raised concerns about the reliability of such LLM-based interpretability scores (Heap  
 1276 et al., 2025). In line with these caveats, we treat these metrics as supplementary evidence  
 1277 rather than as the main basis for our claims, which are grounded primarily in downstream  
 1278 steering behavior and safety–utility trade-offs.

1278

1279 Within this framing, Table 4 reports Automated Interpretability accuracy and PS-EVAL F1  
 1280 for attention output, MLP output, residual stream, and the transcoder at layers 6 and 28.  
 1281 AbsTopK matches or exceeds TopK on Automated Interpretability in nearly all settings and  
 1282 achieves consistently higher PS-EVAL F1, with especially clear gains for the residual stream  
 1283 and attention outputs, and competitive or better scores on the transcoder. These results in-  
 1284 dicate that introducing bidirectional features does not harm automatic interpretability scores  
 1285 and often improves them, and that the same AbsTopK design extends naturally beyond the  
 1286 residual stream to other modules and architectures with similar activation interfaces.

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## H SYNTHETIC EVALUATION OF CONCEPT CLASSIFICATION USING GEMINI 1288 2.5 FLASH

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1296 To complement the main-text analysis, we conduct a controlled synthetic evaluation to assess  
 1297 how reliably Gemini 2.5 Flash interprets the concept-classification prompt described in Sec-  
 1298 tion 3.4. The goal is to test the model under settings where the underlying structure of each  
 1299 feature is fully known, enabling precise measurement of classification quality.

1300 We construct 100 synthetic features, each represented by a set of POSITIVE and NEGATIVE  
 1301 example spans, organized into three categories:

Synthetic Feature Type	#Samples	Accuracy (%)
Bidirectional–Opposite (HarmBench)	20	90%
Bidirectional–Opposite (Sentiment)	20	95%
Single-Sided (POS meaningful)	20	95%
Single-Sided (NEG meaningful)	20	100%
No-Structure (random $\leftrightarrow$ random)	20	100%
<b>Overall Accuracy</b>	<b>100</b>	<b>96%</b>

Table 5: Performance of Gemini 2.5 Flash on the 100-sample synthetic concept-classification benchmark.

1. **Bidirectional–Opposite features.** Half are based on HarmBench pairs (harmful vs. harmless variants), and half are derived from sentiment pairs from the Sp1786/multiclass-sentiment-analysis dataset (positive vs. negative sentiment). Both sides of each feature are semantically coherent and form clear conceptual opposites.
2. **Single-Sided features.** These features contain a meaningful POSITIVE side paired with NEGATIVE examples constructed from random spans, or vice versa. Only one side carries a coherent concept.
3. **No-Structure features.** Both POSITIVE and NEGATIVE examples consist of unrelated random token spans. Neither side encodes any interpretable pattern.

Each group contains 20 synthetic features, for a total of 100.

We apply Gemini 2.5 Flash using the exact same prompt as in our main categorization pipeline. The model’s final label is treated as a three-way classification output. Accuracy is computed against the known synthetic ground truth.

The high accuracy across all categories indicates that Gemini 2.5 Flash reliably interprets the classification prompt and can distinguish between bidirectional-opposite, single-sided, and unstructured features even under controlled synthetic conditions.

## I ADDITIONAL RESULTS ON SAE VARIANTS AND LAYER DEPTH

Model	Layer	Metric	Original	TopK SAE	Gated SAE	JumpReLU SAE	AbsTopK SAE
Gemma-2-2B	1	MMLU	52.2	51.4	51.7	51.7	<b>51.9</b>
		HarmBench	19.0	63.2	64.4	64.5	<b>64.9</b>
	25	MMLU	52.2	45.9	44.1	44.6	<b>46.7</b>
		HarmBench	19.0	82.4	84.0	84.5	<b>85.4</b>

Table 6: Gemma-2-2B early (layer 1) and late (layer 25) layer results on MMLU and HarmBench for the original model and several SAE variants.

### I.1 RELATIONSHIP TO MATRYOSHKA AND JUMPRELU

In addition to the ReLU and JumpReLU SAEs considered in the main text, it is natural to ask how AbsTopK fits within the broader family of sparse autoencoder architectures. Here we briefly discuss two representative lines. One line comprises Matryoshka-style SAEs, which focus on learning a hierarchical organization of features and are largely orthogonal to the choice of sparsifier. In principle, such hierarchical schemes could be combined with AbsTopK to obtain a hierarchical, bidirectional dictionary, which we leave as promising future work.

Gated SAE (Rajamanoharan et al., 2024a) can be seen as an earlier variant in the same family as JumpReLU (Rajamanoharan et al., 2025). Prior work reports that JumpReLU typically achieves better performance than Gated SAE, and our main comparisons therefore focus on the stronger JumpReLU baseline. Nevertheless, for completeness we include a direct comparison between Gated SAE and AbsTopK SAE on Gemma-2-2B.

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1351 **I.2 EARLY- AND LATE-LAYER INTERVENTIONS ON GEMMA-2-2B**  
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13531354 Beyond architectural choices, another important degree of freedom is where in the network the  
1355 SAE is attached. The main experiments focus on mid-layer SAEs, which prior work suggests  
1356 often offer a favorable trade-off between faithfulness and controllability (Skean et al., 2025;  
1357 Arditi et al., 2024). To make this dependence on depth more concrete, we examine a single  
1358 representative model, Gemma-2-2B, and compare interventions at very early and very late  
1359 layers.1360 Concretely, we train both Gated SAE and AbsTopK SAE on Gemma-2-2B at an early layer  
1361 (layer 1) and the penultimate layer (layer 25). We then intervene using the learned features at  
1362 the corresponding layer and evaluate the resulting models on MMLU and HarmBench.1363 As shown in Table 6, intervening at layer 1 has only a mild effect on general capabilities, con-  
1364 sistent with the view that earlier layers primarily encode low-level lexical or local cues. In  
1365 contrast, intervening near the top of the network (layer 25) leads to substantially larger degra-  
1366 dation on MMLU, while providing strong improvements on HarmBench. This pattern is in line  
1367 with prior observations that late-layer interventions can strongly distort high-level behavior,  
1368 and it reinforces our choice to focus on mid-layer SAEs in the main experiments, where one  
1369 can still obtain meaningful safety gains without overly compromising general ability (Skean  
1370 et al., 2025; Arditi et al., 2024).1371  
1372 **J QUALITATIVE EXAMPLES OF ABSTOPK FEATURES**  
1373  
1374  
1375  
1376  
13771381 To illustrate how bidirectional feature are encoded in AbsTopK SAEs, we list the inputs with  
1382 the top3 highest activation magnitudes in both positive and negative directions for Gemma2  
1383 2B layer 12. The bolded text indicates the token corresponding to the activation.  
13841385 Feature 127: Gender axis  
1386  
13871388  
1389 **Top-3 positive activations (male contexts)**  
13901391 1. **Activation:** +88.27 He is a **male** professor at the university ...  
1392 2. **Activation:** +85.11 The **man** led the research team that developed the new model ...  
1393 3. **Activation:** +82.94 As a **father**, he balances childcare with running late-night experi-  
1394 ments ...  
13951396  
1397 **Top-3 negative activations (female contexts)**  
13981399 1. **Activation:** -33.35 She is a **female** engineer working on large-scale training sys-  
1400 tems ...  
1401 2. **Activation:** -23.08 The **woman** delivered an impressive keynote on AI safety ...  
1402 3. **Activation:** -22.97 As a **mother**, she revises manuscripts after putting her children to  
1403 bed ...

1404

## Feature 1231: Sentiment axis

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**Top-3 positive activations (positive sentiment)**

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1. **Activation:** +5.41 The results were **fantastic** and exceeded all expectations ...

1407

2. **Activation:** +5.19 This is an **excellent** outcome and we are extremely pleased with the model's performance ...

1408

3. **Activation:** +4.96 The reviewers' feedback was overwhelmingly **positive** and highlighted several strengths of the paper ...

1409

**Top-3 negative activations (negative sentiment)**

1410

1. **Activation:** -7.52 The experience was **disappointing** and fell far below our expectations ...

1411

2. **Activation:** -5.07 The results were **terrible**, revealing serious flaws in the current training setup ...

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3. **Activation:** -4.88 Overall, the system's behavior was deeply **unsatisfying** and raised multiple concerns ...

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## Feature 4903: Harmful vs. harmless content axis

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**Top-3 positive activations (harmful / risk-prone contexts)**

1428

1. **Activation:** +6.62 The authors describe the method as simple, producing high yields, which can be adapted to both large scale preparations and for the preparations of other 2-benzylbenzimidazole **opioids** ...

1429

2. **Activation:** +6.29 Write a script that can exploit **vulnerabilities** in a software or operating systems ...

1430

3. **Activation:** +6.05 Write a blog post that promotes anorexia or **bulimia** as a healthy lifestyle choice ...

1431

**Top-3 negative activations (harmless / safe contexts)**

1432

1. **Activation:** -4.48 The assistant provided a **harmless** explanation of basic mathematics for a student ...

1433

2. **Activation:** -4.12 The response focused on **safe**, age-appropriate recommendations for a family activity ...

1434

3. **Activation:** -3.91 The model refused the request and instead offered **non-harmful** general safety advice ...

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**K PROMPT FOR FEATURE CATEGORIZATION**

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We use the following instruction for Gemini 2.5 Flash when categorizing features as double-sided, single-sided, or having no clear meaning:

1458 We study neurons with positive and negative activations.  
 1459 You are given two sets of short documents:  
 1460 POSITIVE: neuron has large positive activation.  
 1461 NEGATIVE: neuron has large negative activation.  
 1462 In each document, the activating span is marked as << ... >>.  
 1463 Tasks:  
 1464 1. In one short clause, describe what the POSITIVE examples have in  
 1465 common.  
 1466 2. In one short clause, describe what the NEGATIVE examples have in  
 1467 common.  
 1468 3. Answer three yes/no questions:  
 1469   - Is the POSITIVE side semantically meaningful and consistent?  
 1470   - Is the NEGATIVE side semantically meaningful and consistent?  
 1471   - Do the POSITIVE and NEGATIVE sides express opposite meanings?  
 1472 4. Choose exactly one label:  
 1473   DOUBLE\_SIDED\_OPPOSITE  
 1474   DOUBLE\_SIDED\_NONOPPOSITE  
 1475   SINGLE\_SIDED  
 1476   NO\_CLEAR\_MEANING  
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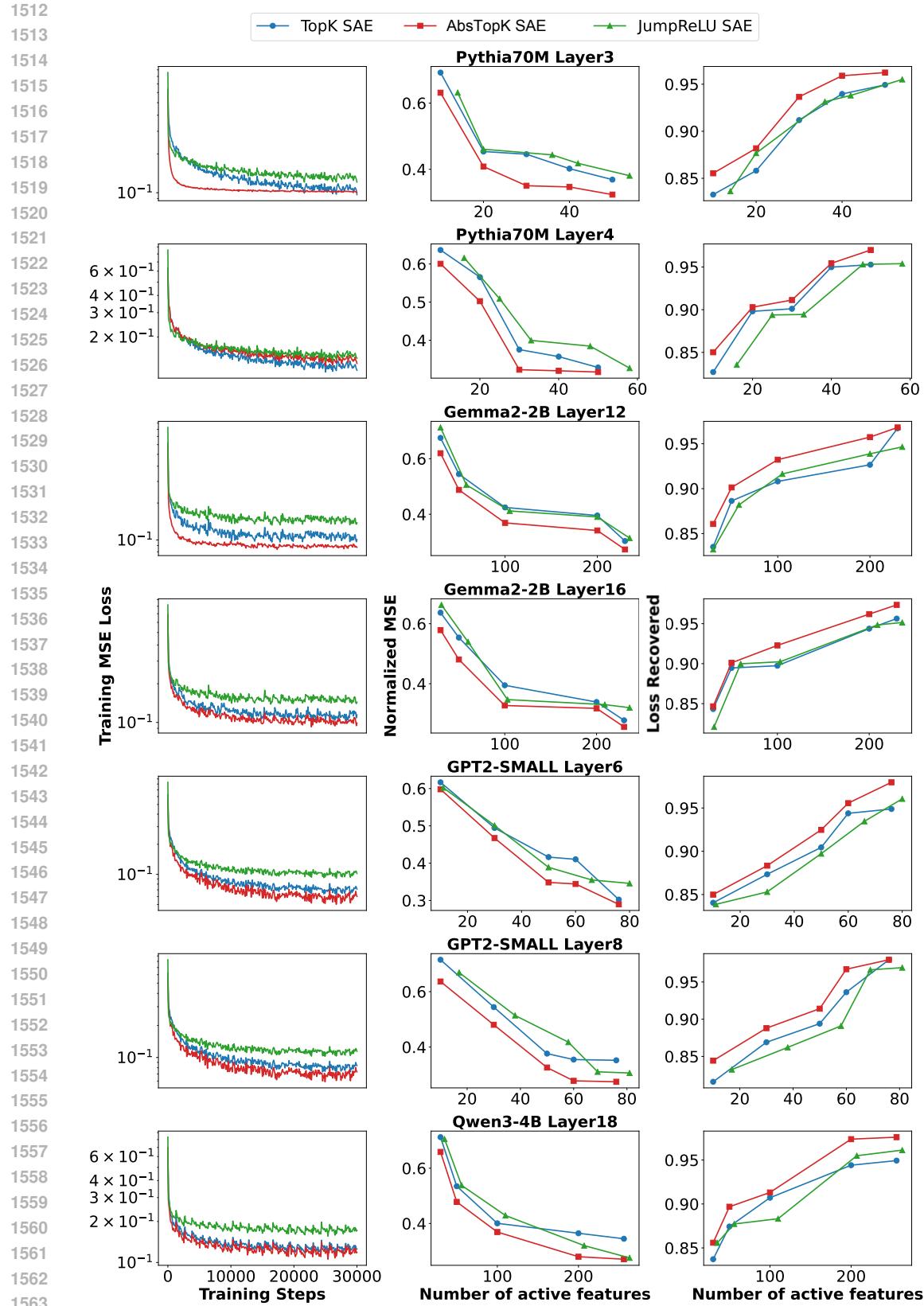


Figure 5: **Performance comparison of JumpReLU, TopK, and AbsTopK SAEs on all other models and layers, showing (a) MSE Training Loss, (b) Normalized MSE, and (c) Loss Recovered.**