# LEARNING TO PERMUTE WITH DISCRETE DIFFUSION

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# ABSTRACT

The group of permutations  $S_n$ , also known as the finite symmetric groups, are essential in fields such as combinatorics, physics, and chemistry. However, learning a probability distribution over  $S_n$  poses significant challenges due to its intractable size and discrete nature. In this paper, we introduce *SymmetricDiffusers*, a novel discrete diffusion model that simplifies the task of learning a complicated distribution over  $S_n$  by decomposing it into learning simpler transitions of the reverse diffusion using deep neural networks. We identify the riffle shuffle as an effective forward transition and provide empirical guidelines for selecting the diffusion length based on the theory of random walks on finite groups. Additionally, we propose a generalized Plackett-Luce (PL) distribution for the reverse transition, which is provably more expressive than the PL distribution. We further introduce a theoretically grounded "denoising schedule" to improve sampling and learning efficiency. Extensive experiments show that our model achieves state-of-the-art or comparable performances on solving tasks including sorting 4-digit MNIST images, jigsaw puzzles, and traveling salesman problems.

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# 1 INTRODUCTION

**027 028 029 030 031** As a vital area of abstract algebra, finite groups provide a structured framework for analyzing symmetries and transformations which are fundamental to a wide range of fields, including combinatorics, physics, chemistry, and computer science. One of the most important finite groups is the *finite symmetric group*  $S_n$ , defined as the group whose elements are all the bijections (or permutations) from a set of  $n$  elements to itself, with the group operation being function composition.

**032 033 034 035 036 037 038 039 040 041 042** Classic probabilistic models for finite symmetric groups  $S_n$ , such as the Plackett-Luce (PL) model [\(Plackett, 1975;](#page-11-0) [Luce, 1959\)](#page-11-1), the Mallows model [\(Mallows, 1957\)](#page-11-2), and card shuffling methods [\(Diaconis, 1988\)](#page-10-0), are crucial in analyzing preference data and understanding the convergence of random walks. Therefore, studying probabilistic models over  $S_n$  through the lens of modern machine learning is both natural and beneficial. This problem is theoretically intriguing as it bridges abstract algebra and machine learning. For instance, Cayley's Theorem, a fundamental result in abstract algebra, states that every group is isomorphic to a subgroup of a symmetric group. This implies that learning a probability distribution over finite symmetric groups could, in principle, yield a distribution over any finite group. Moreover, exploring this problem could lead to the development of advanced models capable of addressing tasks such as permutations in ranking problems, sequence alignment in bioinformatics, and sorting.

**043 044 045 046** However, learning a probability distribution over finite symmetric groups  $S_n$  poses significant challenges. First, the number of permutations of n objects grows factorially with n, making the inference and learning computationally expensive for large  $n$ . Second, the discrete nature of the data brings difficulties in designing expressive parameterizations and impedes the gradient-based learning.

**047 048 049 050 051 052 053** In this work, we propose a novel discrete-time discrete (state space) diffusion model over finite symmetric groups, dubbed as *SymmetricDiffusers*. It overcomes the above challenges by decomposing the difficult problem of learning a complicated distribution over  $S_n$  into a sequence of simpler problems, *i.e*., learning individual transitions of a reverse diffusion process using deep neural networks. Based on the theory of random walks on finite groups, we investigate various shuffling methods as the forward process and identify the riffle shuffle as the most effective. We also provide empirical guidelines on choosing the diffusion length based on the mixing time of the riffle shuffle. Furthermore, we examine potential transitions for the reverse diffusion, such as inverse shuffling methods and the

**054 055 056 057 058 059 060** PL distribution, and introduce a novel generalized PL distribution. We prove that our generalized PL is more expressive than the PL distribution. Additionally, we propose a theoretically grounded "denoising schedule" that merges reverse steps to improve the efficiency of sampling and learning. To validate the effectiveness of our SymmetricDiffusers, we conduct extensive experiments on three tasks: sorting 4-Digit MNIST images, solving Jigsaw Puzzles on the Noisy MNIST and CIFAR-10 datasets, and addressing traveling salesman problems (TSPs). Our model achieves the state-of-the-art or comparable performance across all tasks.

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# 2 RELATED WORKS

**064 065 066 067 068 069 070 071 072 073 074** Random Walks on Finite Groups. The field of random walks on finite groups, especially finite symmetric groups, have been extensively studied by previous mathematicians [\(Reeds, 1981;](#page-11-3) [Gilbert,](#page-10-1) [1955;](#page-10-1) [Bayer & Diaconis, 1992;](#page-10-2) [Saloff-Coste, 2004\)](#page-11-4). Techniques from a variety of different fields, including probability, combinatorics, and representation theory, have been used to study random walks on finite groups [\(Saloff-Coste, 2004\)](#page-11-4). In particular, random walks on finite symmetric groups are first studied in the application of card shuffling, with many profound theoretical results of shuffling established. A famous result in the field shows that 7 riffle shuffles are enough to mix up a deck of 52 cards [\(Bayer & Diaconis, 1992\)](#page-10-2), where a riffle shuffle is a mathematically precise model that simulates how people shuffle cards in real life. The idea of shuffling to mix up a deck of cards aligns naturally with the idea of diffusion, and we seek to fuse the modern techniques of diffusion models with the classical theories of random walks on finite groups.

**075 076 077 078 079 080 081 082 083 084 085 086 087 088** Diffusion Models. Diffusion models [\(Sohl-Dickstein et al., 2015;](#page-12-0) [Song & Ermon, 2020;](#page-12-1) [Ho et al.,](#page-10-3) [2020;](#page-10-3) [Song et al., 2021\)](#page-12-2) are a powerful class of generative models that typically deals with continuous data. They consist of forward and reverse processes. The forward process is typically a discrete-time continuous-state Markov chain or a continuous-time continuous-state Markov process that gradually adds noise to data, and the reverse process learn neural networks to denoise. Discrete (state space) diffusion models have also been proposed to handle discrete data like image, text [\(Austin et al., 2023\)](#page-10-4), and graphs [\(Vignac et al., 2023\)](#page-12-3). However, existing discrete diffusion models focused on cases where the state space is small or has a special (*e.g*., decomposable) structure and are unable to deal with intractable-sized state spaces like the symmetric group. In particular, [\(Austin et al., 2023\)](#page-10-4) requires an explicit transition matrix, which has size  $n! \times n!$  in the case of finite symmetric groups and has no simple representations or sparsifications. Finally, other recent advancement includes efficient discrete transitions for sequences [\(Varma et al., 2024\)](#page-12-4), continuous-time discrete-state diffusion models [\(Campbell et al., 2022;](#page-10-5) [Sun et al., 2023;](#page-12-5) [Shi et al., 2024\)](#page-12-6) and discrete score matching models [\(Meng](#page-11-5) [et al., 2023;](#page-11-5) [Lou et al., 2024\)](#page-11-6), but the nature of symmetric groups again makes it non-trivial to adapt to these existing frameworks.

**089 090 091 092 093 094 095 096** Differentiable Sorting and Learning Permutations. A popular paradigm to learn permutations is through differentiable sorting or matching algorithms. Various differentiable sorting algorithms have been proposed that uses continuous relaxations of permutation matrices [\(Grover et al., 2018;](#page-10-6) [Cuturi](#page-10-7) [et al., 2019;](#page-10-7) [Blondel et al., 2020\)](#page-10-8), or uses differentiable swap functions [\(Petersen et al., 2021;](#page-11-7) [2022;](#page-11-8) [Kim et al., 2024\)](#page-11-9). The Gumbel-Sinkhorn method [\(Mena et al., 2018\)](#page-11-10) has also been proposed to learn latent permutations using the continuous Sinkhorn operator. Such methods often focus on finding the optimal permutation instead of learning a distribution over the finite symmetric group. Moreover, they tend to be less effective as  $n$  grows larger due to their high complexities.

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# 3 LEARNING DIFFUSION MODELS ON FINITE SYMMETRIC GROUPS

**099 100 101 102 103 104 105 106 107** We first introduce some notations. Fix  $n \in \mathbb{N}$ . Let [n] denote the set  $\{1, 2, \ldots, n\}$ . A *permutation*  $\sigma$  on  $[n]$  is a function from  $[n]$  to  $[n]$ , and we usually write  $\sigma$  as  $\begin{pmatrix} 1 & 2 & \cdots & n \\ \sigma^{(1)} & \sigma^{(2)} & \cdots & \sigma^{(n)} \end{pmatrix}$  $\sigma(1) \quad \sigma(2) \quad \cdots \quad \sigma(n)$  . The *identity permutation*, denoted by Id, is the permutation given by Id $(i) = i$  for all  $i \in [n]$ . Let  $S_n$  be the set of all permutations (or bijections) from a set of n elements to itself, called the *finite symmetric group*, whose group operation is the function composition. For a permutation  $\sigma \in S_n$ , the permutation matrix  $Q_{\sigma} \in \mathbb{R}^{n \times n}$  associated with  $\sigma$  satisfies  $e_i^{\top} Q_{\sigma} = e_{\sigma(i)}^{\top}$  for all  $i \in [n]$ . In this paper, we consider a set of *n* distinctive objects  $\mathcal{X} = {\mathbf{x}_1, \dots, \mathbf{x}_n}$ , where the *i*-th object is represented by a d-dimensional vector  $x_i$ . Therefore, a ranked list of objects can be represented as

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**126 127 128 129 130** Figure 1: This figure illustrates our discrete diffusion model on finite symmetric groups. The middle graphical model displays the forward and reverse diffusion processes. We demonstrate learning distributions over the symmetric group  $S_3$  via the task of sorting three MNIST 4-digit images. The top part of the figure shows the marginal distribution of a ranked list of images  $X_t$  at time t, while the bottom shows a randomly drawn list of images.

**131 132** a matrix  $X = [\mathbf{x}_1, \dots, \mathbf{x}_n]^\top \in \mathbb{R}^{n \times d}$ , where the ordering of rows corresponds to the ordering of objects. We can permute X via permutation  $\sigma$  to obtain  $Q_{\sigma}X$ .

**133 134 135 136 137 138 139 140 141 142 143 144 145** Our goal is to learn a distribution over  $S_n$ . We propose learning discrete (state space) diffusion models, which consist of a *forward process* and a *reverse process*. In the forward process, starting from the unknown data distribution, we simulate a random walk until it reaches a known stationary "noise" distribution. In the reverse process, starting from the known noise distribution, we simulate another random walk, where the transition probability is computed using a neural network, until it recovers the data distribution. Learning a transition distribution over  $S_n$  is often more manageable than learning the original distribution because: (1) the support size (the number of states that can be reached in one transition) could be much smaller than  $n!$ , and (2) the distance between the initial and target distributions is smaller. By doing so, we break down the hard problem (learning the original distribution) into a sequence of simpler subproblems (learning the transition distribution). The overall framework is illustrated in Fig. [1.](#page-2-0) In the following, we will introduce the forward card shuffling process in Section [3.1,](#page-2-1) the reverse process in Section [3.2,](#page-4-0) the network architecture and training in Section [3.3,](#page-5-0) denoising schedule in Section [3.4,](#page-6-0) and reverse decoding methods in Section [3.5.](#page-7-0)

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### <span id="page-2-1"></span>3.1 FORWARD DIFFUSION PROCESS: CARD SHUFFLING

**148 149 150 151 152 153 154 155 156** Suppose we observe a set of objects  $\mathcal X$  and their ranked list  $X_0$ . They are assumed to be generated from an unknown data distribution in an IID manner, *i.e.*,  $X_0$ ,  $\mathcal{X} \stackrel{\text{iid}}{\sim} p_{\text{data}}(X, \mathcal{X})$ . One can construct a bijection between a ranked list of n objects and an ordered deck of n cards. Therefore, permuting objects is equivalent to shuffling cards. In the forward diffusion process, we would like to add "random noise" to the rank list so that it reaches to some known stationary distribution like the uniform. Formally, we let  $S \subseteq S_n$  be a set of permutations that are realizable by a given shuffling method in one step.  $S$  does not change across steps in common shuffling methods. We will provide concrete examples later. We then define the *forward process* as a Markov chain,

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$$
q(X_{1:T}|X_0,\mathcal{X}) = q(X_{1:T}|X_0) = \prod_{t=1}^T q(X_t|X_{t-1}),
$$
\n(1)

**159 160 161** where  $q(X_t|X_{t-1}) = \sum_{\sigma_t \in \mathcal{S}} q(X_t|X_{t-1}, \sigma_t)q(\sigma_t)$  and the first equality in Eq. [\(1\)](#page-2-2) holds since  $X_0$ implies X. In the forward process, although the set X does not change, the rank list of objects  $X_t$ changes. Here  $q(\sigma_t)$  has the support S and describes the permutation generated by the underlying shuffling method. Note that common shuffling methods are time-homogeneous Markov chains, *i.e*.,

**162 163 164**  $q(\sigma_t)$  stays the same across time.  $q(X_t|X_{t-1}, \sigma_t)$  is a delta distribution  $\delta(X_t = Q_{\sigma_t} X_{t-1})$  since the permuted objects  $X_t$  are uniquely determined given the permutation  $\sigma_t$  and  $X_{t-1}$ . We denote the *neighbouring states* of X via one-step shuffling as  $N_S(X) := \{Q_{\sigma} X | \sigma \in S\}$ . Therefore, we have,

$$
q(X_t|X_{t-1}) = \begin{cases} q(\sigma_t) & \text{if } X_t \in N_{\mathcal{S}}(X_{t-1}) \\ 0 & \text{otherwise.} \end{cases}
$$
 (2)

Note that  $X_t \in N_{\mathcal{S}}(X_{t-1})$  is equivalent to  $\sigma_t \in \mathcal{S}$  and  $X_t = Q_{\sigma_t} X_{t-1}$ .

### 3.1.1 CARD SHUFFLING METHODS

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**172 173 174 175 176** We now consider several popular shuffling methods as the forward transition, *i.e*., *random transpositions*, *random insertions*, and *riffle shuffles*. Different shuffling methods provide different design choices of  $q(\sigma_t)$ , thus corresponding to different forward diffusion processes. Although all these forward diffusion processes share the same stationary distribution, *i.e*., the uniform, they differ in their mixing time. We will introduce stronger quantitative results on their mixing time later.

**177 178 179 180 181 182 183** Random Transpositions. One natural way of shuffling is to swap pairs of objects. Formally, a *transposition* or a *swap* is a permutation  $\sigma \in S_n$  such that there exist  $i \neq j \in [n]$  with  $\sigma(i) = j$ ,  $\sigma(j) = i$ , and  $\sigma(k) = k$  for all  $k \notin \{i, j\}$ , in which case we denote  $\sigma = (i \; j)$ . We let  $S =$  $\{(i \ j) : i \neq j \in [n] \} \cup \{\text{Id}\}.$  For any time t, we define  $q(\sigma_t)$  by choosing two indices from  $[n]$ uniformly and independently and swap the two indices. If the two chosen indices are the same, then this means that we have sampled the identity permutation. Specifically,  $q(\sigma_t = (i \ j)) = 2/n^2$ when  $i \neq j$  and  $q(\sigma_t = \text{Id}) = 1/n$ .

**184 185 186 187** Random Insertions. Another shuffling method is to insert the last piece to somewhere in the middle. Let insert<sub>i</sub> denote the permutation that inserts the last piece right before the i<sup>th</sup> piece, and let  $\mathcal{S} := \{\text{insert}_i : i \in [n]\}.$  Note that insert  $n = \text{Id}.$  Specifically, we have  $q(\sigma_t = \text{insert}_i) =$  $1/n$  when  $i \neq n$  and  $q(\sigma_t = \text{Id}) = 1/n$ .

**188 189 190 191 192 193 194 195 196 197** Riffle Shuffles. Finally, we introduce the riffle shuffle, a method similar to how serious card players shuffle cards. The process begins by roughly cutting the deck into two halves and then interleaving the two halves together. A formal mathematical model of the riffle shuffle, known as the *GSR model*, was introduced by Gilbert and Shannon [\(Gilbert, 1955\)](#page-10-1), and independently by Reeds [\(Reeds, 1981\)](#page-11-3). The model is described as follows. A deck of  $n$  cards is cut into two piles according to binomial distribution, where the probability of having k cards in the top pile is  $\binom{n}{k} 2^n$  for  $0 \le k \le n$ . The top pile is held in the left hand and the bottom pile in the right hand. The two piles are then riffled together such that, if there are A cards left in the left hand and  $B$  cards in the right hand, the probability that the next card drops from the left is  $A/(A + B)$ , and from right is  $B/(A + B)$ . We implement the riffle shuffles according to the GSR model. For simplicity, we will omit the term "GSR" when referring to riffle shuffles hereafter.

**199 200 201 202 203 204** There exists an exact formula for the probability over  $S_n$  obtained through one-step riffle shuffle. Let  $\sigma \in S_n$ . A *rising sequence* of  $\sigma$  is a subsequence of  $\sigma$  constructed by finding a maximal subset of indices  $i_1 < i_2 < \cdots < i_j$  such that permuted values are contiguously increasing, *i.e.*,  $\sigma(i_2) - \sigma(i_1) = \sigma(i_3) - \sigma(i_2) = \cdots = \sigma(i_j) - \sigma(i_{j-1}) = 1$ . For example, the permutation  $\sqrt{2}$ 1 2 3 4 5 1 4 2 5 3 has 2 rising sequences, *i.e*., 123 (red) and 45 (blue). Note that a permutation

 $\mathcal{L}(\mathcal{L})$ 

has 1 rising sequence if and only if it is the identity permutation. Denoting by  $q_{\rm RS}(\sigma)$  the probability of obtaining  $\sigma$  through one-step riffle shuffle, it was shown by [Bayer & Diaconis](#page-10-2) [\(1992\)](#page-10-2) that

$$
q_{\rm RS}(\sigma) = \frac{1}{2^n} {n+2-r \choose n} = \begin{cases} (n+1)/2^n & \text{if } \sigma = \text{Id} \\ 1/2^n & \text{if } \sigma \text{ has two rising sequences} \\ 0 & \text{otherwise,} \end{cases}
$$
 (3)

**212** where r is the number of rising sequences of  $\sigma$ . The support S is thus the set of all permutations with at most two rising sequences. We let the forward process be  $q(\sigma_t) = q_{\text{RS}}(\sigma_t)$  for all t.

#### <span id="page-3-0"></span>**213 214** 3.1.2 MIXING TIMES AND CUT-OFF PHENOMENON

**215** All of the above shuffling methods have the uniform distribution as the stationary distribution. However, they have different mixing times (*i.e*., the time until the Markov chain is close to its

**216 217 218 219 220** stationary distribution measured by some distance), and there exist quantitative results on their mixing times. Let  $q \in \{q_{\rm RT}, q_{\rm RJ}, q_{\rm RS}\}\)$ , and for  $t \in \mathbb{N}$ , let  $q^{(t)}$  be the marginal distribution of the Markov chain after  $t$  shuffles. We describe the mixing time in terms of the total variation  $(TV)$  distance between two probability distributions, *i.e.*,  $D_{\text{TV}}(q^{(t)}, u)$ , where  $u$  is the uniform distribution.

**221 222 223 224 225 226 227** For all three shuffling methods, there exists a *cut-off phenomenon*, where  $D_{\rm TV}(q^{(t)},u)$  stays around 1 for initial steps and then abruptly drops to values that are close to 0. The *cut-off time* is the time when the abrupt change happens. For the formal definition, we refer the readers to Definition 3.3 of [\(Saloff-](#page-11-4)[Coste, 2004\)](#page-11-4). In [\(Saloff-Coste, 2004\)](#page-11-4), they also provided the cut-off time for random transposition, random insertion, and riffle shuffle, which are  $\frac{n}{2} \log n$ ,  $n \log n$ , and  $\frac{3}{2} \log_2 n$  respectively. Observe that the riffle shuffle reaches the cut-off much faster than the other two methods, which means it has a much faster mixing time. Therefore, we use the riffle shuffle in the forward process.

### <span id="page-4-0"></span>3.2 THE REVERSE DIFFUSION PROCESS

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**230 231 232** We now model the *reverse process* as another Markov chain conditioned on the set of objects  $\mathcal{X}$ . We denote the set of realizable *reverse permutations* as  $\mathcal T$ , and the neighbours of X with respect to  $\mathcal T$  as  $N_{\mathcal{T}}(X) := \{Q_{\sigma} X : \sigma \in \mathcal{T}\}\.$  The conditional joint distribution is given by

$$
p_{\theta}(X_{0:T}|\mathcal{X}) = p(X_T|\mathcal{X}) \prod_{t=1}^T p_{\theta}(X_{t-1}|X_t),
$$
\n<sup>(4)</sup>

**235 236 237** where  $p_{\theta}(X_{t-1}|X_t) = \sum_{\sigma'_t \in \mathcal{T}} p(X_{t-1}|X_t, \sigma'_t) p_{\theta}(\sigma'_t|X_t)$ . To sample from  $p(X_T|\mathcal{X})$ , one simply samples a random permutation from the uniform distribution and then shuffle the objects accordingly to obtain  $X_T$ .  $p(X_{t-1}|X_t, \sigma'_t)$  is again a delta distribution  $\delta(X_{t-1} = Q_{\sigma'_t} X_t)$ . We have

$$
p_{\theta}(X_{t-1}|X_t) = \begin{cases} p_{\theta}(\sigma'_t|X_t) & \text{if } X_{t-1} \in N_{\mathcal{T}}(X_t) \\ 0 & \text{otherwise,} \end{cases}
$$
 (5)

**241 242** where  $X_{t-1} \in N_{\mathcal{T}}(X_t)$  is equivalent to  $\sigma'_t \in \mathcal{T}$  and  $X_{t-1} = Q_{\sigma'_t} X_t$ . In the following, we will introduce the specific design choices of the distribution  $p_{\theta}(\sigma_t'|X_t)$ .

#### <span id="page-4-1"></span>**244** 3.2.1 INVERSE CARD SHUFFLING

**245 246 247 248** A natural choice is to use the inverse operations of the aforementioned card shuffling operations in the forward process. Specifically, for the forward shuffling  $S$ , we introduce their inverse operations  $\mathcal{T} := \{ \sigma^{-1} : \sigma \in \mathcal{S} \},\$  from which we can parameterize  $p_{\theta}(\sigma_t' | X_t)$ .

**249 250 251** Inverse Transposition. Since the inverse of a transposition is also a transposition, we can let  $\mathcal{T} := \mathcal{S} = \{ (i \ j) : i \neq j \in [n] \} \cup \{ \text{Id} \}.$  We define a distribution of inverse transposition (IT) over  $\mathcal T$  using  $n + 1$  real-valued parameters  $\mathbf s = (s_1, \dots, s_n)$  and  $\tau$  such that

$$
p_{\text{IT}}(\sigma) = \begin{cases} 1 - \phi(\tau) & \text{if } \sigma = \text{Id}, \\ \phi(\tau) \left( \frac{\exp(s_i)}{\sum_{k=1}^n \exp(s_k)} \cdot \frac{\exp(s_j)}{\sum_{k \neq i} \exp(s_k)} \right. & \text{if } \sigma = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, i \neq j, \end{cases} \quad (6)
$$

**258 259 260 261 262 263 264** where  $\phi(\cdot)$  is the sigmoid function. The intuition behind this parameterization is to first handle the identity permutation Id separately, where we use  $\phi(\tau)$  to denote the probability of not selecting Id. Afterwards, probabilities are assigned to the transpositions. A transposition is essentially an *unordered* pair of *distinct* indices, so we use *n* parameters  $\mathbf{s} = (s_1, \ldots, s_n)$  to represent the logits of each index getting picked. The term in parentheses represents the probability of selecting the unordered pair i and j, which is equal to the probability of first picking i and then j, plus the probability of first picking  $j$  and then  $i$ .

**265 266 267 268** Inverse Insertion. For the random insertion, the inverse operation is to insert some piece to the end. Let <code>inverse\_insert</code>  $_i$  denote the permutation that moves the  $i^{\rm th}$  component to the end, and let  $\mathcal{T} := \{\text{inverse\_insert}_i : i \in [n]\}.$  We define a categorial distribution of inverse insertion (II) over T using parameters  $\mathbf{s} = (s_1, \dots, s_n)$  such that,

$$
p_{\text{II}}(\sigma = \text{inverse\_insert}_i) = \exp(s_i) / \left(\sum_{j=1}^n \exp(s_j)\right). \tag{7}
$$

**270 271 272 273 274 275** Inverse Riffle Shuffle. In the riffle shuffle, the deck of card is first cut into two piles, and the two piles are riffled together. So to undo a riffle shuffle, we need to figure out which pile each card belongs to, *i.e*., making a sequence of n binary decisions. We define the Inverse Riffle Shuffle (IRS) distribution using parameters  $\mathbf{s} = (s_1, \dots, s_n)$  as follows. Starting from the last (the  $n^{\text{th}}$ ) object, each object i has probability  $\phi(s_i)$  of being put on the top of the left pile. Otherwise, it falls on the top of the right pile. Finally, put the left pile on top of the right pile, which gives the shuffled result.

## <span id="page-5-2"></span>3.2.2 THE PLACKETT-LUCE DISTRIBUTION AND ITS GENERALIZATION

**278 279 280** Other than specific inverse shuffling methods to parameterize the reverse process, we also consider general distributions  $p_{\theta}(\sigma_t' | X_t)$  whose support are the whole  $S_n$ , *i.e.*,  $\mathcal{T} = S_n$ .

**281 282 The PL Distribution.** A popular distribution over  $S_n$  is the Plackett-Luce (PL) distribution [\(Plackett,](#page-11-0) [1975;](#page-11-0) [Luce, 1959\)](#page-11-1), which is constructed from *n* scores  $\mathbf{s} = (s_1, \ldots, s_n)$  as follows,

$$
p_{\rm PL}(\sigma) = \prod_{i=1}^n \exp\left(s_{\sigma(i)}\right) / \left(\sum_{j=i}^n \exp\left(s_{\sigma(j)}\right)\right),\tag{8}
$$

**285 286 287 288 289 290** for all  $\sigma \in S_n$ . Intuitively,  $(s_1, \ldots, s_n)$  represents the preference given to each index in [n]. To sample from PL<sub>s</sub>, we first sample  $\sigma(1)$  from Cat $(n, \text{softmax(s)})$ . Then we remove  $\sigma(1)$  from the list and sample  $\sigma(2)$  from the categorical distribution corresponding to the rest of the scores (logits). We continue in this manner until we have sampled  $\sigma(1), \ldots, \sigma(n)$ . By [\(Cao et al., 2007\)](#page-10-9), the mode of the PL distribution is the permutation that sorts s in descending order. However, the PL distribution is not very expressive. In particular, we have the following result, and the proof is given in Appendix [E.](#page-15-0)

**Proposition 1.** *The PL distribution cannot represent a delta distribution over*  $S_n$ .

**292 293 294 295 296** The Generalized PL (GPL) Distribution. We then propose a generalization of the PL distribution, referred to as *Generalized Plackett-Luce (GPL) Distribution*. Unlike the PL distribution, which uses a set of *n* scores, the GPL distribution uses  $n^2$  scores  $\{\mathbf{s}_1, \dots, \mathbf{s}_n\}$ , where each  $\mathbf{s}_i = \{s_{i,1}, \dots, s_{i,n}\}$ consists of n scores. The GPL distribution is constructed as follows,

$$
p_{\text{GPL}}(\sigma) := \prod_{i=1}^n \exp\left(s_{i,\sigma(i)}\right) / \left(\sum_{j=i}^n \exp\left(s_{i,\sigma(j)}\right)\right). \tag{9}
$$

**298 299 300 301 302 303** Sampling of the GPL distribution begins with sampling  $\sigma(1)$  using n scores  $s_1$ . For  $2 \le i \le n$ , we remove  $i-1$  scores from  $s_i$  that correspond to  $\sigma(1), \ldots, \sigma(i-1)$  and sample  $\sigma(i)$  from a categorical distribution constructed from the remaining  $n - i + 1$  scores in  $s_i$ . It is important to note that the family of PL distributions is a strict subset of the GPL family. Since the GPL distribution has more parameters than the PL distribution, it is expected to be more expressive. In fact, we prove the following significant result, and the proof is given in Appendix [E.](#page-15-0)

<span id="page-5-3"></span>**304 305** Theorem 2. *The reverse process parameterized using the GPL distribution (allowing* −∞ *scores) can model any data distribution over*  $S_n$ *.* 

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### <span id="page-5-0"></span>3.3 NETWORK ARCHITECTURE AND TRAINING

**309 310 311 312 313 314 315 316 317 318** We now briefly introduce how to use neural networks to parameterize the above distributions used in the reverse process. At any time t, given  $X_t \in \mathbb{R}^{n \times d}$ , we use a neural network with parameters θ to construct  $p_{\theta}(\sigma_t'|X_t)$ . In particular, we treat *n* rows of  $X_t$  as *n* tokens and use a Transformer architecture along with the time embedding of  $t$  and the positional encoding to predict the previously mentioned scores. For example, for the GPL distribution, to predict  $n^2$  scores, we introduce n dummy tokens that correspond to the  $n$  permuted output positions. We then perform a few layers of masked self-attention  $(2n \times 2n)$  to obtain the token embedding  $Z_1 \in \mathbb{R}^{n \times d_{\text{model}}}$  corresponding to n input tokens and  $Z_2 \in \mathbb{R}^{n \times d_{\text{model}}}$  corresponding to n dummy tokens. Finally, the GPL score matrix is obtained as  $S_{\theta} = Z_1 Z_2^{\top} \in \mathbb{R}^{n \times n}$ . Since the aforementioned distributions have different numbers of scores, the specific architectures of the Transformer differ. We provide more details in Appendix [B.](#page-13-0)

**319** To learn the diffusion model, we maximize the following variational lower bound:

<span id="page-5-1"></span>
$$
\mathbb{E}_{p_{\text{data}}(X_0, \mathcal{X})} \left[ \log p_{\theta}(X_0 | \mathcal{X}) \right] \geq \mathbb{E}_{p_{\text{data}}(X_0, \mathcal{X}) q(X_{1:T} | X_0, \mathcal{X})} \left[ \log p(X_T | \mathcal{X}) + \sum_{t=1}^T \log \frac{p_{\theta}(X_{t-1} | X_t)}{q(X_t | X_{t-1})} \right]. \tag{10}
$$

**323** In practice, one can draw samples to obtain the Monte Carlo estimation of the lower bound. Due to the complexity of shuffling transition in the forward process, we can not obtain  $q(X_t|X_0)$  analytically, **324 325 326 327** as is done in common diffusion models. Therefore, we have to run the forward process to collect samples. Fortunately, it is efficient as the forward process only involves shuffling integers. We include more training details in Appendix [G.](#page-18-0)

**328 329 330 331 332 333 334 335** Note that most existing diffusion models, such as those proposed by [Ho et al.](#page-10-3) [\(2020\)](#page-10-3) and [Austin](#page-10-4) [et al.](#page-10-4) [\(2023\)](#page-10-4), use an equivalent form of the above variational bound, which involves the analytical KL divergence between the posterior  $q(X_{t-1}|X_t, X_0)$  and  $p_\theta(X_{t-1}|X_t)$  for variance control. However, this variational bound cannot be applied to  $S_n$  because the transitions are not Gaussian, and  $q(X_{t-1}|X_t, X_0)$  is generally unavailable for most shuffling methods. Most existing diffusion models also sample a random timestep of the loss. While this technique is also available in our framework, it introduces more variance and does not improve efficiency all the time. And for riffle shuffles, the trajectory is usually short enough that we can compute the loss on the whole trajectory. A detailed discussion can be found in Appendix [C.](#page-14-0)

### <span id="page-6-0"></span>3.4 DENOISING SCHEDULE VIA MERGING REVERSE STEPS

**339 340 341 342** If one merges some steps in the reverse process, sampling and learning would be faster and more memory efficient. The variance of the training loss could also be reduced. Specifically, at time  $t$  of the reverse process, instead of predicting  $p_{\theta}(X_{t-1}|X_t)$ , we can predict  $p_{\theta}(X_{t'}|X_t)$  for any  $0 \le t' < t$ . Given a sequence of timesteps  $0 = t_0 < \cdots < t_k = T$ , we can now model the reverse process as

$$
p_{\theta}(X_{t_0},...,X_{t_k}|\mathcal{X}) = p(X_T|\mathcal{X}) \prod_{i=1}^k p_{\theta}(X_{t_{i-1}}|X_{t_i}).
$$
\n(11)

**345 346** To align with the literature of diffusion models, we call the list  $[t_0, \ldots, t_k]$  the *denoising schedule*. After incorporating the denoising schedule in Eq. [\(10\)](#page-5-1), we obtain the loss function:

<span id="page-6-3"></span>
$$
\mathcal{L}(\theta) = \mathbb{E}_{p_{data}(X_0, \mathcal{X})} \mathbb{E}_{q(X_1: T | X_0, \mathcal{X})} \left[ -\log p(X_T | \mathcal{X}) - \sum_{i=1}^k \log \frac{p_{\theta}(X_{t_{i-1}} | X_{t_i})}{q(X_{t_i} | X_{t_{i-1}})} \right].
$$
 (12)

**350 351 352 353 354 355 356 357 358 359 360** Note that although we may not have the analytical form of  $q(X_{t_i}|X_{t_{i-1}})$ , we can draw samples from it. Merging is feasible if the support of  $p_{\theta}(X_{t_{i-1}}|X_{t_i})$  is equal or larger than the support of  $q(X_{t_i}|X_{t_{i-1}})$ ; otherwise, the inverse of some forward permutations would be almost surely unrecoverable. Therefore, we can implement a non-trivial denoising schedule  $(i.e.,  $k < T$ ), when$  $p_{\theta}(\sigma_t^t | X_t)$  follows the PL or GPL distribution, as they have whole  $S_n$  as their support. However, merging is not possible for inverse shuffling methods, as their support is smaller than that of the corresponding multi-step forward shuffling. To design a successful denoising schedule, we first describe the intuitive principles and then provide some theoretical insights. 1) The length of forward diffusion T should be minimal so long as the forward process approaches the uniform distribution. 2) If distributions of  $X_t$  and  $X_{t+1}$  are similar, we should merge these two steps. Otherwise, we should not merge them, as it would make the learning problem harder.

**361 362 363 364 365 366 367 368** To quantify the similarity between distributions shown in 1) and 2), the TV distance is commonly used in the literature. In particular, we can measure  $D_{\text{TV}}(q^{(t)}, q^{(t')})$  for  $t \neq t'$  and  $D_{\text{TV}}(q^{(t)}, u)$ , where  $q^{(t)}$  is the distribution at time t in the forward process and u is the uniform distribution. For riffle shuffles, the total variation distance can be computed exactly. Specifically, we first introduce the *Eulerian Numbers*  $A_{n,r}$  [\(OEIS Foundation Inc., 2024\)](#page-11-11), *i.e.*, the number of permutations in  $S_n$ that have exactly r rising sequences where  $1 \le r \le n$ .  $A_{n,r}$  can be computed using the following recursive formula  $A_{n,r} = rA_{n-1,r} + (n-r+1)A_{n-1,r-1}$  where  $A_{1,1} = 1$ . We then have the following result. The proof is given in Appendix [F.](#page-18-1)

**369 Proposition 3.** Let  $t \neq t'$  be positive integers. Then

<span id="page-6-2"></span>
$$
D_{\rm TV}\left(q_{\rm RS}^{(t)}, q_{\rm RS}^{(t')}\right) = \frac{1}{2} \sum_{r=1}^n A_{n,r} \left| \frac{1}{2^{tn}} \binom{n+2^t-r}{n} - \frac{1}{2^{t'n}} \binom{n+2^{t'}-r}{n} \right|,
$$
(13)

**373 374** *and*

**370 371 372**

**375 376**

**336 337 338**

**343 344**

**347 348 349**

> <span id="page-6-1"></span> $D_{\text{TV}}\left( q_{\text{RS}}^{(t)}, u \right) = \frac{1}{2}$ 2  $\sum_{n=1}^{\infty}$  $r=1$  $A_{n,r}$ 1  $\frac{1}{2^{tn}}\binom{n+2^t-r}{n}$ n  $\setminus$ − 1 n!  $\begin{array}{c} \begin{array}{c} \begin{array}{c} \end{array} \\ \begin{array}{c} \end{array} \end{array} \end{array}$  $(14)$

**377** Note that Eq. [\(14\)](#page-6-1) was originally given by [Kanungo](#page-11-12) [\(2020\)](#page-11-12). We restate it here for completeness. Once the Eulerian numbers are precomputed, the TV distances can be computed in  $O(n)$  time instead

<span id="page-7-1"></span>

Figure 2: (a)  $D_{\text{TV}}(q_{\text{RS}}^{(t)}, u)$  computed using Eq. [\(14\)](#page-6-1). We choose  $T = 15$  (red dot) based on the threshold 0.005. (b) A heatmap for  $D_{\text{TV}}(q_{\text{RS}}^{(t)}, q_{\text{RS}}^{(t')})$  for  $n = 100$  and  $1 \le t < t' \le 15$ , computed using Eq. [\(13\)](#page-6-2). Rows are t and columns are t'. We choose the denoising schedule [0, 8, 10, 15].

of  $O(n!)$ . Through extensive experiments, we have the following empirical observation. For the principle 1), choosing T so that  $D_{\rm TV}(q_{\rm RS}^{(T)}, u) \approx 0.005$  yields good results. For the principle 2), a denoising schedule  $[t_0, ..., t_k]$  with  $D_{\text{TV}}(q_{\text{RS}}^{(t_i)}, q_{\text{RS}}^{(t_{i+1})}) \approx 0.3$  for most *i* works well. We show an example on sorting  $n = 100$  four-digit MNIST images in Fig. [2.](#page-7-1)

#### <span id="page-7-0"></span>**401** 3.5 REVERSE PROCESS DECODING

**402 403 404 405 406 407 408 409 410** We now discuss how to decode predictions from the reverse process at test time. In practice, one is often interested in the most probable state or a few states with high probabilities under  $p_\theta(X_0|\mathcal{X})$ . However, since we can only draw samples from  $p_{\theta}(X_0|\mathcal{X})$  via running the reverse process, exact decoding is intractable. The simplest approximated method is greedy search, *i.e*., successively finding the mode or an approximated mode of  $p_{\theta}(X_{t_{i-1}} | X_{t_i})$ . Another approach is beam search, which maintains a dynamic buffer of  $k$  candidates with highest probabilities. Nevertheless, for one-step reverse transitions like the GPL distribution, even finding the mode is intractable. To address this, we employ a hierarchical beam search that performs an inner beam search within  $n^2$  scores at each step of the outer beam search. Further details are provided in Appendix [D.](#page-15-1)

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## 4 EXPERIMENTS

**414 415 416 417** We now demonstrate the general applicability and effectiveness of our model through a variety of experiments, including sorting 4-digit MNIST numbers, solving jigsaw puzzles, and addressing traveling salesman problems. Additional details are provided in the appendix due to space constraints.

# 4.1 SORTING 4-DIGIT MNIST IMAGES

**420 421 422 423 424 425 426** We first evaluate our SymmetricDiffusers on the four-digit MNIST sorting benchmark, a wellestablished testbed for differentiable sorting [\(Blondel et al., 2020;](#page-10-8) [Cuturi et al., 2019;](#page-10-7) [Grover et al.,](#page-10-6) [2018;](#page-10-6) [Kim et al., 2024;](#page-11-9) [Petersen et al., 2021;](#page-11-7) [2022\)](#page-11-8). Each four-digit image in this benchmark is obtained by concatenating 4 individual images from MNIST, and our task is to sort  $n$  four-digit MNIST numbers. For evaluation, we employ several metrics to compare methods, including Kendall-Tau coefficient (measuring the correlation between rankings), accuracy (percentage of images perfectly reassembled), and correctness (percentage of pieces that are correctly placed).

**427 428 429 430 431** Ablation Study. We conduct an ablation study to verify our design choices for reverse transition and decoding strategies. As shown in Table [3,](#page-9-0) when using riffle shuffles as the forward process, combining PL with either beam search (BS) or greedy search yields good results in terms of Kendall-Tau and correctness metrics. In contrast, the IRS (inverse riffle shuffle) method, along with greedy search, performs poorly across all metrics, showing the limitations of IRS in handling complicated sorting tasks. At the same time, combining GPL and BS achieves the best accuracy in correctly sorting the

<b>Method</b>	<b>Metrics</b>	<b>Noisy MNIST</b>				<b>CIFAR-10</b>			
		$2\times 2$	$3 \times 3$	$4 \times 4$	$5 \times 5$	$6 \times 6$	$2\times 2$	$3 \times 3$	$4 \times 4$
Gumbel-	Kendall-Tau ↑	0.9984	0.6908	0.3578	0.2430	0.1755	0.8378	0.5044	0.4016
Sinkhorn	Accuracy $(\% )$	99.81	44.65	00.86	0.00	0.00	76.54	6.07	0.21
<b>Network</b>	Correct $(\% )$ RMSE $\downarrow$	99.91 0.0022	80.20 0.1704	49.51 0.4572	26.94 0.8915	14.91 1.0570	86.10 0.3749	43.59 0.9590	25.31 1.0960
(Mena et al., $2018$ )	$MAE \downarrow$	0.0003	0.0233	0.1005	0.3239	0.4515	0.1368	0.5320	0.6873
	Kendall-Tau $\uparrow$	0.9931	0.3054	0.0374	0.0176	0.0095	0.6463	0.1460	0.0490
DiffSort	Accuracy $(\% )$	99.02	5.56	0.00	0.00	0.00	59.18	0.96	0.00
(Petersen	Correct $(\%)$	99.50	42.25	10.77	6.39	3.77	75.48	27.87	12.27
et al., 2022)	RMSE $\downarrow$ $MAE \downarrow$	0.0689 0.0030	1.0746 0.4283	1.3290 0.6531	1.4883 0.8204	1.5478 0.8899	0.7389 0.2800	1.2691 0.8123	1.3876 0.9737
	Kendall-Tau $\uparrow$	0.9899	0.2014	0.0100	0.0034	$-0.0021$	0.6604	0.1362	0.0318
Error-free	Accuracy $(\% )$	98.62	0.82	0.00	0.00	0.00	60.96	0.68	0.00
DiffSort (Kim	Correct $(\% )$	99.28	32.65	7.40	4.39	2.50	75.99	26.75	10.33
et al., 2024)	RMSE $\downarrow$ $MAE \downarrow$	0.0814 0.0041	1.1764 0.5124	1.3579 0.6818	1.5084 0.8424	1.5606 0.9041	0.7295 0.2731	1.2820 0.8260	1.4095 0.9990
	Kendall-Tau $\uparrow$	0.9992	0.8126	0.4859	0.2853	0.1208	0.9023	0.8363	0.2518
Symmetric	Accuracy $(\% )$	99.88	57.38	1.38	0.00	0.00	90.15	70.94	0.64
<b>Diffusers</b>	Correct $(\%)$	99.94	86.16	58.51	37.91	18.54	92.99	86.84	34.69
(Ours)	RMSE $\downarrow$ $MAE \downarrow$	0.0026 0.0001	0.0241 0.0022	0.1002 0.0130	0.2926 0.0749	0.4350 0.1587	0.3248 0.0651	0.3892 0.0977	0.8953 0.5044

<span id="page-8-1"></span>**432** Table 1: Results (averaged over 5 runs) on solving the jigsaw puzzle on Noisy MNIST and CIFAR10.

<span id="page-8-0"></span>**452 453 454** Table 2: Results (averaged over 5 runs) on the four-digit MNIST sorting benchmark. For  $n = 200$ , due to efficiency reasons, we use PL for the reverse process, and we randomly sample a timestep when computing the loss (see Appendix [C.2\)](#page-14-1).



**466 467 468 469 470** entire sequence of images. Finally, we see that random transpositions (RT) and random insertions (RI) are both out of memory for large instances due to their long mixing time. Given that accuracy is the most challenging metric to improve, we select riffle shuffles, GPL and BS for all remaining experiments, unless otherwise specified. More ablation study (*e.g*., denoising schedule) is provided in Appendix [G.2.](#page-19-0)

**471 472 473 474 475 476** Full Results. From Table [2,](#page-8-0) we can see that Error-free DiffSort achieves the best performance in sorting sequences with lengths up to 32. However, its performance declines considerably with longer sequences (e.g., those exceeding 52 in length). Meanwhile, DiffSort performs the worst due to the error accumulation of its soft differentiable swap function [\(Kim et al., 2024;](#page-11-9) [Petersen et al., 2021\)](#page-11-7). In contrast, our method is on par with Error-free DiffSort in sorting short sequences and significantly outperforms others on long sequences.

**477** 4.2 JIGSAW PUZZLE

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**479 480 481 482 483 484** We then explore image reassembly from segmented "jigsaw" puzzles [\(Mena et al., 2018;](#page-11-10) [Noroozi](#page-11-13) [& Favaro, 2016;](#page-11-13) [Santa Cruz et al., 2017\)](#page-12-7). We evaluate the performance using the MNIST and the CIFAR10 datasets, which comprises puzzles of up to  $6 \times 6$  and  $4 \times 4$  pieces respectively. We add slight noise to pieces from the MNIST dataset to ensure background pieces are distinctive. To evaluate our models, we use Kendall-Tau coefficient, accuracy, correctness, RMSE (root mean square error of reassembled images), and MAE (mean absolute error) as metrics.

**485** Table [1](#page-8-1) presents results comparing our method with the Gumbel-Sinkhorn Network[\(Mena et al.,](#page-11-10) [2018\)](#page-11-10), Diffsort [\(Petersen et al., 2022\)](#page-11-8), and Error-free Diffsort [\(Kim et al., 2024\)](#page-11-9). DiffSort and

<span id="page-9-0"></span>**486 487 488 489** Table 3: Ablation study on transitions of reverse diffusion and decoding strategies. Results are averaged over three runs on sorting 52 four-digit MNIST images. GPL: generalized Plackett-Luce; IRS: inverse riffle shuffle; RT: random transposition; IT: inverse transposition; RI: random insertion; II: inverse insertion.



<span id="page-9-1"></span>Table 4: Results on TSP-20. We compare our method with operations research solvers such as Gurobi [\(Gurobi Optimization, LLC, 2023\)](#page-10-10), Concorde [\(Applegate et al., 2006\)](#page-10-11), LKH-3 [\(Helsgaun, 2017\)](#page-10-12), and 2-Opt [\(Lin & Kernighan, 1973\)](#page-11-14), as well as learning-based approaches including GCN [\(Joshi et al.,](#page-10-13) [2019\)](#page-10-13) and DIFUSCO [\(Sun & Yang, 2023\)](#page-12-8) on 20-node TSP instances. An asterisk (\*) indicates that post-processing heuristics were removed to ensure a fair comparison.



**505 509** Error-free DiffSort are primarily designed for sorting high-dimensional ordinal data which have clearly different patterns. Since jigsaw puzzles on MNIST and CIFAR10 contain pieces that are visually similar, these methods do not perform well. The Gumbel-Sinkhorn performs better for tasks involving fewer than  $4 \times 4$  pieces. In more challenging scenarios (*e.g.*,  $5 \times 5$  and  $6 \times 6$ ), our method significantly outperforms all competitors.

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# 4.3 THE TRAVELLING SALESMAN PROBLEM

**512 513 514 515 516 517 518 519 520** At last, we explore the travelling salesman problem (TSP) to demonstrate the general applicability of our model. TSPs are classical NP-complete combinatorial optimization problems which are solved using integer programming or heuristic solvers [\(Arora, 1998;](#page-10-14) [Gonzalez, 2007\)](#page-10-15). There exists a vast literature on learning-based models to solve TSPs [\(Kipf & Welling, 2017;](#page-11-15) [Kool et al., 2019;](#page-11-16) [Joshi](#page-10-13) [et al., 2019;](#page-10-13) [2021;](#page-10-16) [Bresson & Laurent, 2021;](#page-10-17) [Kwon et al., 2021;](#page-11-17) [Fu et al., 2021;](#page-10-18) [Qiu et al., 2022;](#page-11-18) [Kim](#page-11-19) [et al., 2023;](#page-11-19) [Sun & Yang, 2023;](#page-12-8) [Min et al., 2024;](#page-11-20) [Sanokowski et al., 2024\)](#page-11-21). They often focus on the Euclidean TSPs, which are formulated as follows. Let  $V = \{v_1, \ldots, v_n\}$  be points in  $\mathbb{R}^2$ . We need to find some  $\sigma \in S_n$  such that  $\sum_{i=1}^n ||v_{\sigma(i)} - v_{\sigma(i+1)}||_2$  is minimized, where we let  $\sigma(n+1) := \sigma(1)$ . Further experimental details are provided in Appendix [B.](#page-13-0)

**521 522 523 524 525** We compare with operations research (OR) solvers and other learning based approaches on TSP instances with 20 nodes. The metrics are the total tour length and the optimality gap. Given the ground truth (GT) length produced by the best OR solver, the optimality gap is given by  $($ predicted length  $-$ (GT length) /(GT length). As shown in Table [4,](#page-9-1) SymmetricDiffusers achieves comparable results with both OR solvers and the state-of-the-art learning-based methods.

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# 5 CONCLUSION

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**530 531 532 533 534 535 536 537 538 539** In this paper, we introduce a novel discrete diffusion model over finite symmetric groups. We identify the riffle shuffle as an effective forward transition and provide empirical rules for selecting the diffusion length. Additionally, we propose a generalized PL distribution for the reverse transition, which is provably more expressive than the PL distribution. We further introduce a theoretically grounded "denoising schedule" to improve sampling and learning efficiency. Extensive experiments verify the effectiveness of our proposed model. Despite significantly surpassing the performance of existing methods on large instances, our method still has limitations in larger scales. In the future, we would like to explore methods to improve scalability even more. We would also like to explore how we can fit other modern techniques in diffusion models like concrete scores [\(Meng et al., 2023\)](#page-11-5) and score entropy [\(Lou et al., 2024\)](#page-11-6) into our shuffling dynamics. Finally, we are interested in generalizing our model to general finite groups and exploring diffusion models on Lie groups.

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<span id="page-12-10"></span><span id="page-12-9"></span><span id="page-12-8"></span><span id="page-12-7"></span><span id="page-12-6"></span><span id="page-12-5"></span><span id="page-12-4"></span><span id="page-12-3"></span><span id="page-12-2"></span><span id="page-12-1"></span><span id="page-12-0"></span>

# A ADDITIONAL DETAILS OF THE GSR RIFFLE SHUFFLE MODEL

There are many equivalent definitions of the GSR riffle shuffle. Here we also introduce the *Geometric Description* [\(Bayer & Diaconis, 1992\)](#page-10-2), which is easy to implement (and is how we implement riffle shuffles in our experiments). We first sample  $n$  points in the unit interval  $[0, 1]$  uniformly and independently, and suppose the points are labeled in order as  $x_1 < x_2 < \cdots < x_n$ . Then, the permutation that sorts the points  $\{2x_1\}, \ldots, \{2x_n\}$  follows the GSR distribution, where  $\{x\} :=$  $x - |x|$  is the fractional part of x.

# <span id="page-13-0"></span>B DETAILS OF OUR NETWORK ARCHITECTURE

We now discuss how to use neural networks to produce the parameters of the distributions discussed in Section [3.2.1](#page-4-1) and [3.2.2.](#page-5-2) Fix time t, and suppose  $X_t = \left(\mathbf{x}_1^{(t)}, \ldots, \mathbf{x}_n^{(t)}\right)^\top \in \mathbb{R}^{n \times d}$ . Let encoder  $\theta$ be an object-specific encoder such that  $\epsilon_n \in \mathbb{R}^{n \times d_{\text{model}}}$ . For example,  $\epsilon_n \in \epsilon_n$  can be a CNN if  $X_t$  is an image. Let

$$
719 \\
$$

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> <span id="page-13-1"></span> $Y_t:=\texttt{encoder}_{\theta}(X_t)+\texttt{time\_embd}(t)=\big(\mathbf{y}_1^{(t)},\ldots,\mathbf{y}_n^{(t)}\big)^{\top}\in\mathbb{R}^{n\times d_{\text{model}}}$  $(15)$

where  $t$  ime embed is the sinusoidal time embedding. Then, we would like to feed the embeddings into a Transformer encoder [\(Vaswani et al., 2023\)](#page-12-9). Let transformer encoder<sub> $\theta$ </sub> be the encoder part of the Transformer architecture. However, each of the distributions we discussed previously has different number of parameters, so we will have to discuss them separately.

**Inverse Transposition.** For Inverse Transposition, we have  $n + 1$  parameters. To obtain  $n + 1$ tokens from transformer\_encoder $_{\theta}$ , we append a dummy token of 0's to  $Y_t$ . Then we input  $\left(\mathbf{y}_1^{(t)},\ldots,\mathbf{y}_n^{(t)},0\right)^\top$  into transformer\_encoder<sub> $\theta$ </sub> to obtain  $Z \in \mathbb{R}^{(n+1)\times d_{\text{model}}}$ . Finally, we apply an MLP to obtain  $(s_1, \ldots, s_n, k) \in \mathbb{R}^{n+1}$ .

Inverse Insertion, Inverse Riffle Shuffle, PL Distribution. These three distributions all require exactly n parameters, so we can directly feed  $Y_t$  into transformer\_encoder $\theta$ . Let the output of transformer encoder<sub> $\theta$ </sub> be  $Z \in \mathbb{R}^{n \times d_{\text{model}}}$ , where we then apply an MLP to obtain the scores  $\mathbf{s}_{\theta} \in \mathbb{R}^n$ .

**737 738 739 740 741 742 The GPL Distribution.** The GPL distribution requires  $n^2$  parameters. We first append n dummy tokens of 0's to  $Y_t$ , with the intent that the  $j^{\text{th}}$  dummy token would learn information about the  $j^{\text{th}}$ column of the GPL parameter matrix, which represents where the  $j<sup>th</sup>$  component should be placed. We then pass  $\big(\mathbf{y}_1^{(t)},\ldots,\mathbf{y}_n^{(t)},0,\ldots,0\big)^\top\in\mathbb{R}^{2n\times d_{\textsf{model}}}$  to  $\textsf{transfer\_encoder}_\theta.$  When computing attention, we further apply a  $2n \times 2n$  attention mask

$$
M := \begin{bmatrix} 0 & A \\ 0 & B \end{bmatrix}
$$
, where A is an  $n \times n$  matrix of  $-\infty$ ,  $B = \begin{bmatrix} -\infty & -\infty & \cdots & -\infty \\ 0 & -\infty & \cdots & -\infty \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & -\infty \end{bmatrix}$  is  $n \times n$ .

The reason for having B as an upper triangular matrix of  $-\infty$  is that information about the j<sup>th</sup> component should only require information from the previous components. Let

$$
\texttt{transformer\_encoder}_{\theta}(Y_t, M) = \begin{bmatrix} Z_1 \\ Z_2 \end{bmatrix},
$$

**754 755** where  $Z_1, Z_2 \in \mathbb{R}^{n \times d_{\text{model}}}$ . Finally, we obtain the GPL parameter matrix as  $S_{\theta} = Z_1 Z_2^{\top} \in \mathbb{R}^{n \times n}$ . For hyperparameters, we refer the readers to Appendix [G.4.](#page-21-0)

#### <span id="page-14-0"></span>**756** C DISCUSSIONS ON OTHER FORMS OF THE LOSS

### **758** C.1 USING KL DIVERGENCE

Many diffusion models will rewrite the variational bound Eq.[\(10\)](#page-5-1) in the following equivalent form of KL divergences to reduce the variance [\(Austin et al., 2023;](#page-10-4) [Ho et al., 2020\)](#page-10-3):

$$
\mathbb{E}_{p_{data}(X_0, \mathcal{X})q(X_{1:T}|X_0)} \left[ D_{\mathrm{KL}}(q(X_t|X_0) \parallel p(X_T|\mathcal{X})) + \sum_{t>1} D_{\mathrm{KL}}(q(X_{t-1}|X_t, X_0) \parallel p_{\theta}(X_{t-1}|X_t)) - \log p_{\theta}(X_0|X_1) \right] \tag{16}
$$

However, we cannot use this objective for  $S_n$  in most cases. In particular, since

$$
q(X_{t-1}|X_t, X_0) = \frac{q(X_t|X_{t-1})q(X_{t-1}|X_0)}{q(X_t|X_0)},
$$
\n(17)

 $\overline{a}$ 

**773 774 775 776 777 778 779** we can only derive the analytical form of  $q(X_{t-1}|X_t, X_0)$  if we know the form of  $q(X_t|X_0)$ . However,  $q(X_t|X_0)$  is unavailable for most shuffling methods used in the forward process except for the riffle shuffles. For riffle shuffle,  $q(X_t|X_0)$  is actually available and permits efficient sampling [\(Bayer & Diaconis, 1992\)](#page-10-2). However,  $D_{KL}(q(X_{t-1}|X_t, X_0) \parallel p_\theta(X_{t-1}|X_t))$  still does not have an analytical form, unlike in common diffusion models. As a result, we cannot use mean/score parameterization [\(Ho et al., 2020;](#page-10-3) [Song et al., 2021\)](#page-12-2) commonly employed in the continuous setting. Therefore, we need to rewrite the KL term as follows and resort to Monte Carlo (MC) estimation,

$$
\mathbb{E}_{q(X_t|X_0)}\big[D_{\text{KL}}(q(X_{t-1}|X_t,X_0) \| p_{\theta}(X_{t-1}|X_t))\big]
$$

$$
\begin{aligned}\n\mathcal{L}_{783} &= \mathbb{E}_{q(X_t|X_0)} \left[ \sum_{X_{t-1}} \frac{q(X_t|X_{t-1})q(X_{t-1}|X_0)}{q(X_t|X_0)} \cdot \log \frac{q(X_{t-1}|X_t, X_0)}{p_\theta(X_{t-1}|X_t)} \right]\n\end{aligned}
$$

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$$
= \mathbb{E}_{q(X_t|X_0)} \left[ \sum_{X_{t-1}} q(X_{t-1}|X_0) \cdot \frac{q(X_t|X_{t-1})}{q(X_t|X_0)} \cdot \log \frac{q(X_{t-1}|X_t, X_0)}{p_{\theta}(X_{t-1}|X_t)} \right]
$$

$$
= \mathbb{E}_{q(X_t|X_0)} \mathbb{E}_{q(X_{t-1}|X_0)} \left[ \frac{q(X_t|X_{t-1})}{q(X_t|X_0)} \cdot \log \frac{q(X_{t-1}|X_t, X_0)}{p_\theta(X_{t-1}|X_t)} \right].
$$
\n(18)

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**791 792 793 794 795** Note that  $X_t \sim q(X_t|X_0)$  and  $X_{t-1} \sim q(X_{t-1}|X_0)$  are drawn *independently*. However, there is a high chance that  $q(X_t|X_{t-1}) = 0$  for the  $X_t$  and  $X_{t-1}$  that are sampled. Consequently, if we only draw a few MC samples, the resulting estimator will likely be zero with zero-valued gradients, impeding the optimization of the training objective. Therefore, writing the loss in the form of KL divergences does not help in the case of discrete diffusion on  $S_n$ .

### <span id="page-14-1"></span>C.2 SAMPLING A RANDOM TIMESTEP

**799 800 801** Another technique that many diffusion models use is to randomly sample a timestep  $t$  and just compute the loss at time t. Our framework also allows for randomly sampling one timestep and compute the loss as

$$
\mathbb{E}_{p_{\text{data}}(X_0, \mathcal{X})} \mathbb{E}_t \mathbb{E}_{q(X_{t-1}|X_0)} \mathbb{E}_{q(X_t|X_{t-1})} \Big[ -\log p_{\theta}(X_{t-1}|X_t) \Big],\tag{19}
$$

**804 805** omitting constant terms with respect to  $\theta$ . With a denoising schedule of  $[t_0, \ldots, t_k]$ , the loss is

<span id="page-14-2"></span>
$$
\mathbb{E}_{p_{\text{data}}(X_0, \mathcal{X})} \mathbb{E}_i \mathbb{E}_{q(X_{t_{i-1}}|X_0)} \mathbb{E}_{q(X_{t_i}|X_{t_{i-1}})} \Big[ -\log p_{\theta}(X_{t_{i-1}}|X_{t_i}) \Big],\tag{20}
$$

**808 809** again omitting constant terms with respect to  $\theta$ . It is also worth noting that computing the loss on a subset of the trajectory could potentially introduce more variance during training, which leads to a tradeoff. For riffle shuffles, although we can sample  $X_{t-1}$  directly for arbitrary timestep  $t-1$  from

**810 811 812 813 814**  $X_0$  as previously mentioned in this section, the whole trajectory would be really short. For other shuffling methods, we would still have to run the entire forward process to sample from  $q(X_{t-1}|X_0)$ , which does not solve the inefficiency problem of other shuffling methods. Therefore, we opt to use the loss in Eq.[\(10\)](#page-5-1) in most cases, and we would resort to Eq.[\(20\)](#page-14-2) for riffle shuffling really large instances.

# <span id="page-15-1"></span>D ADDITIONAL DETAILS OF DECODING

**Greedy Search.** At each timestep  $t_i$  in the denoising schedule, we can greedily obtain or approximate the mode of  $p_{\theta}(X_{t_{i-1}}|X_{t_i})$ . We can then use the (approximated) mode  $X_{t_{i-1}}$  for the next timestep  $p_{\theta}(X_{t_{i-2}}|X_{t_{i-1}})$ . Note that the final  $X_0$  obtained using such a greedy heuristic may not necessarily be the mode of  $p_{\theta}(X_0|\mathcal{X})$ .

**823 824 825 826 827** Beam Search. We can use beam search to improve the greedy approach. The basic idea is that, at each timestep  $t_i$  in the denoising schedule, we compute or approximate the top- $k$ -most-probable results from  $p_{\theta}(X_{t_{i-1}}|X_{t_i})$ . For each of the top-k results, we sample top-k from  $p_{\theta}(X_{t_{i-2}}|X_{t_{i-1}})$ . Now we have  $k^2$  candidates for  $X_{t_{i-2}}$ , and we only keep the top k of the  $k^2$  candidates.

**828 829 830 831 832 833 834** However, it is not easy to obtain the top- $k$ -most-probable results for some of the distributions. Here we provide an algorithm to approximate top- $k$  of the PL and the GPL distribution. Since the PL distribution is a strict subset of the GPL distribution, it suffices to only consider the GPL distribution with parameter matrix  $S$ . The algorithm for approximating top- $k$  of the GPL distribution is another beam search. We first pick the  $k$  largest elements from the first row of  $S$ . For each of the  $k$  largest elements, we pick k largest elements from the second row of  $S$ , excluding the corresponding element picked in the first row. We now have  $k^2$  candidates for the first two elements of a permutation, and we only keep the top-k-most-probable candidates. We then continue in this manner.

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# <span id="page-15-0"></span>E THE EXPRESSIVENESS OF PL AND GPL

In this section, we prove the expressiveness results of the PL and GPL distribution. We first show that the PL distribution has limited expressiveness.

**841 Proposition 1.** The PL distribution cannot represent a delta distribution over  $S_n$ .

*Proof.* Assume for a contradiction that there exists some  $\sigma \in S_n$  and s such that  $PL_s = \delta_{\sigma}$ . Then we have

$$
\prod_{i=1}^{n} \frac{\exp(s_{\sigma(i)})}{\sum_{j=i}^{n} \exp(s_{\sigma(j)})} = 1.
$$

Since each of the term in the product is less than or equal to 1, we must have

<span id="page-15-2"></span>
$$
\frac{\exp\left(s_{\sigma(i)}\right)}{\sum_{j=i}^{n}\exp\left(s_{\sigma(j)}\right)} = 1\tag{21}
$$

for all  $i \in [n]$ . In particular, we have

$$
\frac{\exp (s_{\sigma(1)})}{\sum_{j=1}^{n} \exp (s_{\sigma(j)})} = 1,
$$

which happens if and only if  $s_{\sigma(j)} = -\infty$  for all  $j \ge 2$ . But this contradicts [\(21\)](#page-15-2).

 $\Box$ 

**858 859** We then prove that the reverse process using the GPL distribution can model any target distribution. We first introduce two lemmas.

### **860 861 862**

<span id="page-15-3"></span>**Lemma 4.** The GPL distribution can represent any delta distribution on  $S_n$  if allowing  $-\infty$  scores.

**863** *Proof.* Fix  $\sigma \in S_n$ . For all  $i \in [n]$ , we let  $s_{i,\sigma(i)} = 0$  and  $s_{i,j} = -\infty$  for all  $j \neq \sigma(i)$ . Then it is clear that  $GPL_{(s,j)} = \delta_{\sigma}$ . clear that  $\text{GPL}_{(s_{ij})} = \delta_{\sigma}$ .

<span id="page-16-0"></span>



<span id="page-16-1"></span>**Lemma 5.** Let  $\sigma \in S_n$  and let  $\pi := (n-1 \quad n) \circ \sigma$ , where  $(n-1 \quad n)$  is a transposition that swaps *the last two indices and*  $\circ$  *is function composition. That is,*  $\pi$  *is obtained from*  $\sigma$  *by swapping the last two components. Let* p *be any probability distribution on*  $S_n$  *whose support is a subset of*  $\{\sigma, \pi\}$ *. Then there exists scores*  $(s_{ij})_{i,j \in [n]}$  (possibly  $-\infty$ ) such that  $GPL_{(s_{ij})} = p$ .

*Proof.* Note that we have

$$
\pi = \begin{pmatrix} 1 & 2 & \cdots & n-1 & n \\ \sigma(1) & \sigma(2) & \cdots & \sigma(n) & \sigma(n-1) \end{pmatrix}.
$$

For all  $1 \le i \le n-2$ , we let  $s_{i,\sigma(i)} = 0$  and  $s_{i,j} = -\infty$  for all  $j \neq \sigma(i)$ . Let  $s_{n-1,\sigma(n-1)} = \ln p(\sigma)$ and let  $s_{n-1,\sigma(n)} = \ln p(\pi)$ . Finally, let  $s_{n,j} = 0$  for all  $j \in [n]$ . It is then easy to verify that  $GPL_{(s,j)} = p$ .  $GPL_{(s_{ij})} = p.$ 

<span id="page-16-2"></span>We then state the main expressiveness theorem.

**892 893 894 Theorem 6.** Let  $Y_0 \in S_n$  be a random variable with arbitrary distribution  $q(Y_0)$ . Let p be any *distribution over*  $S_n$ . Then there exists some  $k \in \mathbb{N}$  and random variables  $Y_1, \ldots, Y_k$  with GPL (allowing  $-\infty$  *scores*) transition distributions  $q(Y_i | Y_{i-1})$  for each  $i \in [k]$  such that  $q(Y_k) = p$ .

**895 896 897 898 899 900 901 902 903 904** Before proceeding to the proof, we first provide a small example illustrating the construction we are going to use. Consider Fig. [3](#page-16-0) on  $S_3$ . Suppose we start with  $q(Y_0)$  being the uniform distribution, and the target distribution p is listed at the top row of the diagram. We partition  $S_3$  into 3 pairs  $(\sigma_i, \pi_i)$ indicated by their color in the diagram. The permutations within each pair differ by one swap of the last two indices, so each pair is the pair considered in Lemma [5.](#page-16-1) The first step is to concentrate all probability mass on  $\pi_1$  using GPL and Lemma [4.](#page-15-3) Now note that we only need  $1/4 + 1/2 = 3/4$ probability for the first pair ( $\sigma_1, \pi_1$ ), so there is a 1/4 excess. We then use Lemma [5](#page-16-1) to move the excess amount to  $\sigma_1$ . Then we use Lemma [4](#page-15-3) to move the excess amount out of pair 1 to  $\pi_2$  in pair 2. Finally, we use Lemma [5](#page-16-1) to distribute the correct mass to  $\sigma_1$  and  $\pi_1$  from the 3/4 that  $\pi_1$  currently holds. We now present the formal construction.

**905 906 907** *Proof.* For  $\sigma, \pi \in S_n$ , we say that  $\sigma$  and  $\pi$  are a pair if  $\pi = (n-1 \quad n) \circ \sigma$ . Note that we can partition  $S_n$  into  $n!/2$  disjoint pairs. We write the pairs as  $(\sigma_1, \pi_1), \ldots, (\sigma_{n!/2}, \pi_{n!/2})$ .

**908 909 910** We now give an algorithm that explicitly constructs the transitions from  $Y_0$  to the target distribution p. The intuition of the algorithm is that we distribute the probability mass for one pair of permutations at a time. The variable  $p_{\text{leftover}}$  records how much mass we have yet to distribute.

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- 1. Let  $p_{\text{leftover}} := 1$ . Let  $q(Y_1 | Y_0) = \delta_{\pi_1}$ .
- 2. Iterate through all pairs  $(\sigma_i, \pi_i)$  for i from 1 to  $n!/2$ :

(a) Let 
$$
p_{\text{excess}} := p_{\text{leftover}} - p(\sigma_i) - p(\pi_i)
$$
.

**916 917**

(b) Define  $q(Y_{3i-1} | Y_{3i-2})$  such that  $q(Y_{3i-1} = \sigma_i \mid Y_{3i-2} = \pi_i) = \frac{p_{\text{excess}}}{p_{\text{leftover}}},$  $q(Y_{3i-1} = \pi_i \mid Y_{3i-2} = \pi_i) = 1 - \frac{p_{\text{excess}}}{p_{\text{totress}}}$  $p$ leftover , and  $q(Y_{3i-1} = \tau \mid Y_{3i-2} = \pi_i) = 0$  for all other  $\tau \notin {\sigma_i, \pi_i}$ . Let  $q(Y_{3i-1} \mid Y_{3i-2} = \pi_i)$  $Y_{3i-2} = \tau$ ) =  $\delta_{\tau}$  for  $\tau \notin {\pi_i}$ . (c) Define  $q(Y_{3i} | Y_{3i-1})$  such that  $q(Y_{3i} | Y_{3i-1} = \sigma_i) = \delta_{\pi_{i+1}}$  and  $q(Y_{3i} | Y_{3i-1} =$  $\tau$ ) =  $\delta_{\tau}$  for all  $\tau \neq \sigma_i$ . (d) Finally, define  $q(Y_{3i+1} | Y_{3i})$  such that  $q(Y_{3i+1} = \sigma_i \mid Y_{3i} = \pi_i) = \frac{p(\sigma_i)}{1 - p_{\text{excess}}},$  $q(Y_{3i+1} = \pi_i \mid Y_{3i} = \pi_i) = \frac{p(\pi_i)}{1 - p_{\text{excess}}},$ and  $q(Y_{3i+1} = \tau \mid Y_{3i} = \pi_i) = 0$  for all other  $\tau \notin \{\sigma_i, \pi_i\}$ . Let  $q(Y_{3i+1} \mid Y_{3i} =$  $\tau$ ) =  $\delta_{\tau}$  for  $\tau \notin {\pi_i}$ . (e) Update  $p_{\text{leftover}} := p_{\text{excess}}$ . 3. Return  $q(Y_1 | Y_0), \ldots, q(Y_{(3n!/2)+1} | Y_{3n!/2}).$ 

Note that  $q(Y_1 = \pi_1) = 1$ . Also note that by Lemma [4](#page-15-3) and [5,](#page-16-1) all transition distributions can be modeled by the GPL distribution. We claim that at the end of iteration  $i$ , we must have

(1) 
$$
p_{\text{leftover}} = \sum_{j=i+1}^{n!/2} p(\sigma_j) + p(\pi_j);
$$

(2) 
$$
q(Y_{3i+1} = \sigma_i) = p(\sigma_i)
$$
 and  $q(Y_{3i+1} = \pi_i) = p(\pi_i)$ ;

(3) 
$$
q(Y_{3i+1} = \pi_{i+1}) = p_{\text{leftover}}.
$$

**949 950 951 952 953 954** We proceed by induction on i. For  $i = 1$ , it is clear that  $p_{\text{leftover}} = \sum_{j=2}^{n!/2} p(\sigma_j) + p(\pi_j)$  at the end of the first iteration. We also note that  $q(Y_2 = \sigma_1) = p_{\text{excess}}$  and  $q(Y_2 = \pi_1) = 1 - p_{\text{excess}} = p(\sigma_1) + p(\sigma_2)$  $p(\pi_1)$ . After step 2(c) of the algorithm, we have  $q(Y_3 = \sigma_1) = 0$ ,  $q(Y_3 = \pi_1) = p(\sigma_1) + p(\pi_1)$ , and  $q(Y_3 = \pi_2) = p_{\text{excess}}$ . Finally, after step 2(d) and 2(e), we get  $q(Y_4 = \sigma_1) = p(\sigma_1)$ ,  $q(Y_4 = \pi_1) =$  $p(\pi_1)$ , and  $q(Y_4 = \pi_2) = p_{\text{leftover}}$ .

For the inductive step, let  $i \geq 2$ . We know by the inductive hypothesis that at the start of iteration *i*, we have  $p_{\text{leftover}} = \sum_{j=i}^{n!/2} p(\sigma_j) + p(\pi_j)$ . So  $0 \le p_{\text{excess}} \le 1$ , and all transition distributions in iteration  $i$  are well-defined. It is easy to verify that:

- After step 2(b),  $q(Y_{3i-1} = \sigma_i) = p_{\text{excess}}$  and  $q(Y_{3i-1} = \pi_i) = p(\sigma_i) + p(\pi_i)$ .
- After step 2(c),  $q(Y_{3i} = \sigma_i) = 0$ ,  $q(Y_{3i} = \pi_i) = p(\sigma_i) + p(\pi_i)$ , and  $q(Y_{3i} = \pi_{i+1}) =$ pexcess.
- After step 2(d)(e),  $q(Y_{3i+1} = \sigma_i) = p(\sigma_i)$ ,  $q(Y_{3i+1} = \pi_i) = p(\pi_i)$ , and  $q(Y_{3i+1} = \sigma_i)$  $\pi_{i+1}$ ) =  $p_{\text{leftover}} = \sum_{j=i+1}^{n!/2} p(\sigma_j) + p(\pi_j)$ .
- **967 968 969** The  $p_{\text{leftover}}$  at the end of iteration  $n!/2$  is  $p_{\text{excess}}-p(\sigma_{n!/2})-p(\pi_{n!/2})=0$ . This finishes the induction. Finally, we observe that after iteration i,  $q(Y_j = \sigma_i)$  and  $q(Y_j = \pi_i)$  will never be changed for  $j \geq i$ .<br>This finishes the proof. This finishes the proof.
- **970**

**971** Finally, Theorem [2,](#page-5-3) which is stated in the main paper, follows immediately from Theorem [6](#page-16-2) by setting  $q(Y_0)$  to be the uniform distribution and p to be the target distribution over  $S_n$ .

Theorem 2. *The reverse process parameterized using the GPL distribution (allowing* −∞ *scores) can model any data distribution over*  $S_n$ .

# <span id="page-18-1"></span>F RESULTS ON TV DISTANCES BETWEEN RIFFLE SHUFFLES

**Proposition 3.** Let  $t \neq t'$  be positive integers. Then

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$$
D_{\rm TV}\left(q_{\rm RS}^{(t)}, q_{\rm RS}^{(t')}\right) = \frac{1}{2} \sum_{r=1}^n A_{n,r} \left| \frac{1}{2^{tn}} \binom{n+2^t-r}{n} - \frac{1}{2^{t'n}} \binom{n+2^{t'}-r}{n} \right|,
$$
(13)

*and*

$$
D_{\rm TV}\left(q_{\rm RS}^{(t)}, u\right) = \frac{1}{2} \sum_{r=1}^{n} A_{n,r} \left| \frac{1}{2^{tn}} {n+2^t - r \choose n} - \frac{1}{n!} \right|.
$$
 (14)

 $\Box$ 

*Proof.* Let  $\sigma \in S_n$ . It was shown in [Bayer & Diaconis](#page-10-2) [\(1992\)](#page-10-2) that

$$
q_{\text{RS}}^{(t)}(\sigma) = \frac{1}{2^{tn}} \cdot \binom{n+2^t-r}{n},
$$

where r is the number of rising sequences of  $\sigma$ . Note that if two permutations have the same number of rising sequences, then they have equal probability. Hence, we have

$$
D_{\text{TV}}\left(q_{\text{RS}}^{(t)} - q_{\text{RS}}^{(t')} \right) = \frac{1}{2} \sum_{\sigma \in S_n} \left| q_{\text{RS}}^{(t)}(\sigma) - q_{\text{RS}}^{(t')}(\sigma) \right| = \frac{1}{2} \sum_{r=1}^n A_{n,r} \left| q_{\text{RS}}^{(t)}(\sigma) - q_{\text{RS}}^{(t')}(\sigma) \right|
$$

$$
= \frac{1}{2} \sum_{r=1}^n A_{n,r} \left| \frac{1}{2^{tn}} \binom{n+2^t - r}{n} - \frac{1}{2^{t'n}} \binom{n+2^{t'} - r}{n} \right|,
$$

<span id="page-18-0"></span>as claimed. For [\(14\)](#page-6-1), replace  $q_{\text{RS}}^{(t')}\left(\sigma\right)$  with  $u(\sigma) = \frac{1}{n!}$  in the above derivations.

**1002 1003** G ADDITIONAL DETAILS ON EXPERIMENTS

#### **1005** G.1 DATASETS

**1007 1008 1009 1010 1011 1012 1013 1014 Jigsaw Puzzle.** We created the Noisy MNIST dataset by adding *i.i.d.* Gaussian noise with a mean of 0 and a standard deviation of 0.01 to each pixel of the MNIST images. No noise was added to the CIFAR-10 images. The noisy images are then saved as the Noisy MNIST dataset. During training, each image is divided into  $n \times n$  patches. A permutation is then sampled uniformly at random to shuffle these patches. The training set for Noisy MNIST comprises 60,000 images, while the CIFAR-10 training set contains 10,000 images. The Noisy MNIST test set, which is pre-shuffled, also includes 10,000 images. The CIFAR-10 test set, which shuffles images on the fly, contains 10,000 images as well.

**1015 1016 1017 1018 1019** Sort 4-Digit MNIST Numbers. For each training epoch, we generate 60,000 sequences of 4-digit MNIST images, each of length  $n$ , constructed dynamically on the fly. These 4-digit MNIST numbers are created by concatenating four MNIST images, each selected uniformly at random from the entire MNIST dataset, which consists of 60,000 images. For testing purposes, we similarly generate 10,000 sequences of  $n$  4-digit MNIST numbers on the fly.

**1021 1022 1023 1024 TSP.** We take the TSP-20 dataset from [\(Joshi et al., 2021\)](#page-10-16)<sup>[1](#page-18-2)</sup>. The train set consists of 1,512,000 graphs with 20 nodes, where each node is an *i.i.d.* sample from the unit square  $[0, 1]^2$ . The labels are optimal TSP tours provided by the Concorde solver [\(Applegate et al., 2006\)](#page-10-11). The test set consists of 1,280 graphs with 20 nodes, with ground truth tour generated by the Concorde solver as well.

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**<sup>1025</sup>**

<span id="page-18-2"></span><sup>1</sup><https://github.com/chaitjo/learning-tsp?tab=readme-ov-file>

#### <span id="page-19-0"></span>**1026** G.2 ABLATION STUDIES

**1027**

**1028 1029 1030 1031 1032 1033 1034** Choices for Reverse Transition and Decoding Strategies. As demonstrated in Table [5,](#page-19-1) we have explored various combinations of forward and inverse shuffling methods across tasks involving different sequence lengths. Both GPL and PL consistently excel in all experimental scenarios, highlighting their robustness and effectiveness. It is important to note that strategies such as random transposition and random insertion paired with their respective inverse operations, are less suitable for tasks with longer sequences. This limitation is attributed to the prolonged mixing times required by these two shuffling methods, a challenge that is thoroughly discussed in Section [3.1.2.](#page-3-0)

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<span id="page-19-1"></span>**1036 1037 1038 1039** Table 5: More results on sorting the 4-digit MNIST dataset using different combinations of forward process methods and reverse process methods. Results averaged over 3 runs with different seeds. RS: riffle shuffle; GPL: generalized Plackett-Luce; IRS: inverse riffle shuffle; RT: random transposition; IT: inverse transposition; RI: random insertion; II: inverse insertion.



**1065 1066 1067 1068 1069 1070** Denoising Schedule. We also conduct an ablation study on how we should merge reverse steps. As shown in Table [6,](#page-19-2) the choice of the denoising schedule can significantly affect the final performance. In particular, for  $n = 100$  on the Sort 4-Digit MNIST Numbers task, the fact that  $[0, 15]$  has 0 accuracy justifies our motivation to use diffusion to break down learning into smaller steps. The result we get also matches with our proposed heuristic in Section [3.4.](#page-6-0)

<span id="page-19-2"></span>**1072 1073** Table 6: Results of sorting 100 4-digit MNIST images using various denoising schedules with the combination of RS, GPL and beam search consistently applied.



#### **1080 1081** G.3 LATENT LOSS IN JIGSAW PUZZLE

**1082 1083 1084 1085 1086 1087 1088** In the original setup of the Jigsaw Puzzle experiment using the Gumbel-Sinkhorn network [\(Mena](#page-11-10) [et al., 2018\)](#page-11-10), the permutations are latent. That is, the loss function in Gumbel-Sinkhorn is a pixel-level MSE loss and does not use the ground truth permutation label. However, our loss function [\(12\)](#page-6-3) actually (implicitly) uses the ground truth permutation that maps the shuffled image patches to their original order. Therefore, for fair comparison with the Gumbel-Sinkhorn network in the Jigsaw Puzzle experiment, we modify our loss function so that it does not use the ground truth permutation. Recall from Section [3.2](#page-4-0) that we defined

$$
1089\\
$$

$$
1090\\
$$

<span id="page-20-0"></span> $p_{\theta}(X_{t-1}|X_t) = \sum$  $\sigma'_t \in \mathcal{T}$  $p(X_{t-1}|X_t, \sigma'_t)p_\theta(\sigma'_t|X_t).$  (22)

**1091 1092 1093 1094 1095 1096** In our original setup, we defined  $p(X_{t-1}|X_t, \sigma'_t)$  as a delta distribution  $\delta(X_{t-1} = Q_{\sigma'_t} X_t)$ , but this would require that we know the permutation that turns  $X_{t-1}$  to  $X_t$ , which is part of the ground truth. So instead, we parameterize  $p(X_{t-1}|X_t, \sigma_t)$  as a Gaussian distribution  $\mathcal{N}(X_{t-1}|Q_{\sigma_t}X_t, I)$ . At the same time, we note that to find the gradient of [\(12\)](#page-6-3), it suffices to find the gradient of the log of [\(22\)](#page-20-0). We use the REINFORCE trick [\(Williams, 1992\)](#page-12-10) to find the gradient of  $\log p_{\theta}(X_{t-1}|X_t)$ , which gives us

$$
\frac{1097}{1098}
$$

 $\nabla_\theta \log p_\theta(X_{t-1}|X_t)$  $=\frac{1}{\sum_{x=1}^{x} (x + x)}$ P  $\frac{1}{\sum\limits_{\sigma'_t\in\mathcal{T}}p(X_{t-1}|X_t,\sigma'_t)p_\theta(\sigma'_t|X_t)}\cdot \sum\limits_{\sigma'_t\in\mathcal{T}}$  $t \in T$  $\sigma'_t \in \mathcal{T}$  $p(X_{t-1}|X_t, \sigma'_t) \nabla_\theta p_\theta(\sigma'_t | X_t)$ 

$$
= \frac{1}{\sum_{\sigma'_t \in \mathcal{T}} p(X_{t-1} | X_t, \sigma'_t) p_{\theta}(\sigma'_t | X_t)} \cdot \sum_{\sigma'_t \in \mathcal{T}} p(X_{t-1} | X_t, \sigma'_t) p_{\theta}(\sigma'_t | X_t) (\nabla_{\theta} \log p_{\theta}(\sigma_t | X_t))
$$

$$
\begin{array}{c} 1104 \\ 1105 \\ 1106 \end{array}
$$

**1107 1108 1109**  $= \frac{\mathbb{E}_{p_{\theta}(\sigma_t|X_t)}\left[p(X_{t-1}|X_t, \sigma'_t)\nabla_{\theta}\log p_{\theta}(\sigma_t|X_t)\right]}{\sigma_t}$  $\mathbb{E}_{p_{\theta}(\sigma_t|X_t)}\left[p(X_{t-1}|X_t, \sigma'_t)\right]$ 

$$
\approx \sum_{n=1}^{N} \frac{p\left(X_{t-1}|X_t, \sigma_t^{(n)}\right)}{\sum_{m=1}^{N} p\left(X_{t-1}|X_t, \sigma_t^{(n)}\right)} \cdot \nabla_{\theta} \log p_{\theta}\left(\sigma_t^{(n)}|X_t\right),
$$

**1110 1111**

**1112 1113 1114 1115** where we have used Monte-Carlo estimation in the last step, and  $\sigma_t^{(1)}, \ldots, \sigma_t^{(N)} \sim p_\theta(\sigma_t | X_t)$ . We further add an entropy regularization term  $-\lambda \mathbb{E}_{p_{\theta}(\sigma_t|X_t)} [\log p_{\theta}(\sigma_t|X_t)]$  to each of  $\log p_{\theta}(X_{t-1}|X_t)$ . Using the same REINFORCE and Monte-Carlo trick, we obtain

$$
\nabla_{\theta}\left(-\lambda \cdot \mathbb{E}_{p_{\theta}(\sigma_t|X_t)}\left[\log p_{\theta}(\sigma_t|X_t)\right]\right) \approx \sum_{n=1}^N -\lambda \log p_{\theta}\left(\sigma_t^{(n)}|X_t\right) \nabla_{\theta} \log p_{\theta}\left(\sigma_t^{(n)}|X_t\right),
$$

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**1116**

where  $\sigma_t^{(1)}, \ldots, \sigma_t^{(N)} \sim p_\theta(\sigma_t | X_t)$ . Therefore, we have

$$
\nabla_{\theta} \left( \log p_{\theta}(X_{t-1}|X_t) - \lambda \cdot \mathbb{E}_{p_{\theta}(\sigma_t|X_t)} \left[ \log p_{\theta}(\sigma_t|X_t) \right] \right)
$$
  

$$
\approx \sum_{n=1}^{N} \left( \frac{p\left(X_{t-1}|X_t, \sigma_t^{(n)}\right)}{\sum_{m=1}^{N} p\left(X_{t-1}|X_t, \sigma_t^{(m)}\right)} - \lambda \log p_{\theta} \left(\sigma_t^{(n)}|X_t\right) \cdot \nabla_{\theta} \log p_{\theta} \left(\sigma_t^{(n)}|X_t\right), \quad (23)
$$

**1126 1127 1128**

where  $\sigma_t^{(1)}, \ldots, \sigma_t^{(N)} \sim p_\theta(\sigma_t | X_t)$ . We then substitute in

$$
\begin{array}{c} 1129 \\ 1130 \end{array}
$$

**1131 1132 1133** for all  $n \in [N]$ . Finally, we also subtract the exponential moving average we ight as a control variate for variance reduction, where the exponential moving average is given by  $ema \leftarrow ema\_rate \cdot$ ema +  $(1 - e$ ma\_rate) · weight for each gradient descent step.

<span id="page-20-1"></span> $p\left(X_{t-1}|X_t, \sigma^{(n)}_t\right) = \mathcal{N}\left(X_{t-1}|Q_{\sigma^{(n)}_t}X_t, I\right)$ 

#### <span id="page-21-0"></span>**1134 1135** G.4 TRAINING DETAILS AND ARCHITECTURE HYPERPARAMETERS

**1136 1137 1138** Hardware. The Jigsaw Puzzle and Sort 4-Digit MNIST Numbers experiments are trained and evaluated on the NVIDIA A40 GPU. The TSP experiments are trained and evaluated on the NVIDIA A40 and A100 GPU.

**1140 1141 1142 1143 1144 1145 1146 1147 1148 1149 Jigsaw Puzzle.** For the Jigsaw Puzzle experiments, we use the AdamW optimizer (Loshchilov  $\&$ [Hutter, 2019\)](#page-11-22) with weight decay 1e-2,  $\varepsilon = 1e-9$ , and  $\beta = (0.9, 0.98)$ . We use the Noam learning rate scheduler given in [\(Vaswani et al., 2023\)](#page-12-9) with 51,600 warmup steps for Noisy MNIST and 46,000 steps for CIFAR-10. We train for 120 epochs with a batch size of 64. When computing the loss [\(12\)](#page-6-3), we use Monte-Carlo estimation for the expectation and sample 3 trajectories. For REINFORCE, we sampled 10 times for the Monte-Carlo estimation in [\(23\)](#page-20-1), and we used an entropy regularization rate  $\lambda = 0.05$  and an ema\_rate of 0.995. The neural network architecture and related hyperparameters are given in Table [7.](#page-21-1) The denoising schedules, with riffle shuffles as the forward process and GPL as the reverse process, are give in Table [8.](#page-21-2) For beam search, we use a beam size of 200 when decoding from GPL, and we use a beam size of 20 when decoding along the diffusion denoising schedule.

Table 7: Jigsaw puzzle neural network architecture and hyperparameters.



**1164 1165** Table 8: Denoising schedules for the Jigsaw Puzzle task, where we use riffle shuffle in the forward process and GPL in the revserse process.



**1174 1175 1176 1177 Sort 4-Digit MNIST Numbers.** For the task of sorting 4-digit MNIST numbers with  $n \leq 100$ , we use the exact training and beam search setup as the Jigsaw Puzzle, except that we do not need to use REINFORCE. The neural network architecture is given in Table [9,](#page-22-0) The denoising schedules, with riffle shuffles as the forward process and GPL as the reverse process, are give in Table [10.](#page-22-1)

**1178 1179 1180 1181 1182 1183** For  $n = 200$ , we use the cosine decay learning rate schedule with 2350 steps of linear warmup and maximum learning rate 5e-5. The neural network architecture is the same as that of  $n \leq 100$ , with the exception that we use  $d_{\text{model}} = d_{\text{feed-forward}} = 768, 12$  layers, and 12 heads for the transformer encoder layer. We use the PL distribution for the reverse process. When computing the loss, we randomly sample a timestep from the denoising schedule as in Eq.[\(20\)](#page-14-2) in Appendix [C.2](#page-14-1) due to efficiency reasons. All other setups are the same as that of  $n \leq 100$ .

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<span id="page-21-1"></span>**1150**

<span id="page-21-2"></span>**1163**

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**1169 1170**

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**1185 1186 1187** TSP. For solving the TSP, we perform supervised learning to train our SymmetricDiffusers to solve the TSP. Let  $\sigma^*$  be an optimal permutation, and let  $X_0$  be the list of nodes ordered by  $\sigma^*$ . We note that any cyclic shift of  $X_0$  is also optimal. Thus, for simplicity and without loss of generality, we always assume  $\sigma^*(1) = 1$ . In the forward process of SymmetricDiffusers, we only shuffle the second



<span id="page-22-0"></span>Table 9: Sort 4-digit MNIST numbers neural network architecture and hyperparameters.

<span id="page-22-1"></span>**1206 1207** Table 10: Denoising schedules for the Sort 4-Digit MNIST Numbers task, where we use riffle shuffle in the forward process and GPL in the revserse process.

1 4 U O 1209	Sequence Length $n$	<b>Denoising schedule</b>
1210		[0, 2, 7]
1211		0, 2,
1212		[0,3,8]
1213		[0, 3, 5, 9]
1214	15	$\left[0,4,7,10\right]$
1215	32	[0, 5, 7, 12]
1216	52	[0, 5, 6, 7, 10, 13]
1217	100 200	[0, 8, 10, 15]
1218		[0, 9, 10, 12]

**1219**

**1208**

**1188**

**1220 1221 1222** to the  $n<sup>th</sup>$  node (or component). In the reverse process, we mask certain parameters of the reverse distribution so that we will always sample a permutation with  $\sigma_t(1) = 1$ .

**1223 1224 1225 1226 1227 1228** The architecture details are slightly different for TSP, since we need to input both node and edge features into our network. Denote by  $X_t$  the ordered list of nodes at time t. We obtain  $Y_t \in \mathbb{R}^{n \times d_{\text{model}}}$ as in Eq. [\(15\)](#page-13-1), where encoder<sub> $\theta$ </sub> is now a sinusoidal embedding of the 2D coordinates. Let  $D_t \in \mathbb{R}^{n \times n}$  be the matrix representing the pairwise distances of points in  $X_t$ , respecting the order in  $X_t$ . Let  $E_t \in \mathbb{R}^{\binom{n}{2}}$  be the flattened vector of the upper triangular part of  $D_t$ . We also apply sinusoidal embedding to  $E_t$  and add time\_embd(t) to it. We call the result  $F_t \in \mathbb{R}^{\binom{n}{2} \times d_{\text{model}}}.$ 

**1229 1230 1231 1232 1233 1234 1235 1236 1237** Now, instead of applying the usual transformer encoder with self-attentions, we alternate between cross-attentions and self-attentions. For cross-attention layers, we use the node representations from the previous layer as the query, and we always use  $K = V = F_t$ . We also apply an attention mask to the cross-attention, so that each node will only attend to edges that it is incident with. For selfattention layers, we always use the node representations from the previous layer as input. We always use an even number of layers, with the first layer being a cross-attention layer, and the last layer being a self-attention layer structured to produce the required parameters for the reverse distribution as illustrated in Appendix [B.](#page-13-0) For hyperparameters, we use 16 alternating layers, 8 attention heads,  $d_{\text{model}} = 256$ , feed-forward hidden dimension 1024, and dropout rate 0.1.

**1238 1239 1240 1241** For training details on the TSP-20 task, we use the AdamW optimizer [\(Loshchilov & Hutter, 2019\)](#page-11-22) with weight decay 1e-4,  $\varepsilon = 1e-8$ , and  $\beta = (0.9, 0.999)$ . We use the cosine annealing learning rate scheduler starting from 2e-4 and ending at 0. We train for 50 epochs with a batch size of 512. When computing the loss [\(12\)](#page-6-3), we use Monte-Carlo estimation for the expectation and sample 1 trajectory. We use a denoising schedule of  $[0, 4, 5, 7]$ , with riffle shuffles as the forward process and GPL as the

**1242 1243 1244** reverse process. Finally, we use beam search for decoding, and we use a beam size of 256 both when decoding from GPL and decoding along the denoising schedule.

#### **1245 1246** G.5 BASELINES IMPLEMENTATION DETAILS

**1247 1248 1249 1250** Gumbel-Sinkhorn Network. We have re-implemented the Gumbel-Sinkhorn Network [\(Mena](#page-11-10) [et al., 2018\)](#page-11-10) for application on jigsaw puzzles, following the implementations provided in the official repository[2](#page-23-0) . To ensure a fair comparison, we conducted a thorough grid search of the model's hyper-parameters. The parameters included in our search space are as follows,





**1261 1262**

**1263 1264 1265 1266 1267 Diffsort & Error-free Diffsort** We have implemented two differentiable sorting networks from the official repository<sup>[3](#page-23-1)</sup> specific to error-free diffsort. For sorting 4-digit MNIST images, error-free diffsort employs TransformerL as its backbone, with detailed hyperparameters listed in Table [12.](#page-23-2) Conversely, Diffsort uses a CNN as its backbone, with a learning rate set to  $10^{-3.5}$ ; the relevant hyperparameters are outlined in Table [13.](#page-24-0)

**1268 1269 1270 1271** For jigsaw puzzle tasks, error-free diffsort continues to utilize a transformer, whereas Diffsort employs a CNN. For other configurations, we align the settings with those of tasks having similar sequence lengths in the 4-digit MNIST sorting task. For instance, for  $3 \times 3$  puzzles, we apply the same configuration as used for sorting tasks with a sequence length of 9.

<span id="page-23-2"></span>Table 12: Hyperparameters for Error-Free Diffsort on Sorting 4-Digit MNIST Numbers

<b>Sequence Length</b>	<b>Steepness</b>	<b>Sorting Network</b> Loss Weight		<b>Learning Rate</b>
3	10	odd even	1.00	$10^{-4}$
	26	odd even	1.00	$10^{-4}$
	31	odd even	1.00	$10^{-4}$
9	34	odd even	1.00	$10^{-4}$
15	25	odd even	0.10	$10^{-4}$
32	124	odd even	0.10	$10^{-4}$
52	130	bitonic	0.10	$10^{-3.5}$
100	140	bitonic	0.10	$10^{-3.5}$
<b>200</b>	<b>200</b>	bitonic	0.10	$10^{-4}$

**1286 1287 1288 1289 1290** TSP. For the baselines for TSP, we first have 4 traditional operations research solvers. Gurobi [\(Gurobi Optimization, LLC, 2023\)](#page-10-10) and Concorde [\(Applegate et al., 2006\)](#page-10-11) are known as exact solvers, while LKH-3 [\(Helsgaun, 2017\)](#page-10-12) is a strong heuristic and 2-Opt [\(Lin & Kernighan, 1973\)](#page-11-14) is a weak heuristic. For LKH-3, we used 500 trials, and for 2-Opt, we used 5 random initial guesses with seed 42.

For the GCN model[\(Joshi et al., 2019\)](#page-10-13), we utilized the official repository<sup>[4](#page-23-3)</sup> and adhered closely to its default configuration for the TSP-20 dataset. For DIFUSCO[\(Sun & Yang, 2023\)](#page-12-8), we sourced it from

<span id="page-23-1"></span><span id="page-23-0"></span><sup>2</sup>[https://github.com/google/gumbel\\_sinkhorn](https://github.com/google/gumbel_sinkhorn)

<span id="page-23-3"></span><sup>3</sup><https://github.com/jungtaekkim/error-free-differentiable-swap-functions> <sup>4</sup><https://github.com/chaitjo/graph-convnet-tsp>



## Table 13: Hyperparameters for Diffsort on Sorting 4-Digit MNIST Numbers

 its official repository<sup>[5](#page-24-1)</sup> and followed the recommended configuration of TSP-50 dataset, with a minor adjustment in the batch size. We increased the batch size to 512 to accelerate the training process. For fair comparison, we also remove the post-processing heuristics in both models during the evaluation.

#### H LIMITATIONS

 Despite the success of this method on various tasks, the model presented in this paper still requires a time-space complexity of  $\mathcal{O}(n^2)$  due to its reliance on the parametric representation of GPL and the backbone of transformer attention layers. This complexity poses a significant challenge in scaling up to applications involving larger symmetric groups or Lie groups.

 

<span id="page-24-0"></span>

 

 

 

 

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<span id="page-24-1"></span><https://github.com/Edward-Sun/DIFUSCO>