Arc Representation for Graph-based Dependency Parsing

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Abstract

 In this paper, we address the explicit represen- tation of arcs in graph-based syntactic depen- dency parsing, departing from conventional approaches where parsing algorithms compute dependency arc scores directly from input to- ken representations. We propose to augment the parser with an intermediate arc representa- tion, arguing for two main advantages. Firstly, arc vectors encapsulate richer information, im-**proving the capabilities of scoring functions. Secondly, by introducing refinement layers,** we allow interactions between arc represen- tations, facilitating interactions between arcs. We demonstrate the efficacy of this approach through evaluations on PTB and UD tree- banks. Our approach achieves an LAS error 017 rate reduction of 1.0% on the PTB test set, and 018 1.7% on UD, over the best SOTA model.

⁰¹⁹ 1 Introduction

 Recent graph-based dependency model with pow- [e](#page-5-0)rful neural extractors pioneered in [\(Kiperwasser](#page-5-0) [and Goldberg,](#page-5-0) [2016;](#page-5-0) [Dozat and Manning,](#page-4-0) [2017\)](#page-4-0) and extended in [\(Zhang et al.,](#page-7-0) [2020\)](#page-7-0) make the as- sumption that the plausibility of a lexical arc or its labelling, as expressed by a score, can be com- puted directly from the vector representation of the two words linked by this arc. This approach has led to tremendous improvements in parsing accu- racy, and consequently this assumption has rarely been questioned with the exception of [\(Ji et al.,](#page-5-1) [2019\)](#page-5-1) where the structure of the parse forest is ex- ploited to rescore arcs, similarly to forest rerankers for statistical parsers [\(Huang,](#page-4-1) [2008\)](#page-4-1).

 We challenge this assumption through the lens of deep learning. We propose to learn how to *represent* lexical arcs by vectors, and derive scores from these vectors. This method allows to manipulate these vectors through deep archi- tectures without building the parse forest, and we test this hypothesis with transformers. Moreover,

while the previous approach is implemented as 041 two pipelines, one for arc scoring and one for arc **042** labelling, sharing word embeddings only, our ap- **043** proach is built around a unique pipeline from word **044** embedding to arc embedding: only the last steps, **045** arc scoring and arc labelling, are specialized. This **046** enforces the sharing of parameters between the **047** two tasks. **048**

2 Model ⁰⁴⁹

We review the standard biaffine parser and then **050** highlight the key differences of our arc-centric ap- **051** proach. Prior to parsing, in all systems, from an in- **052** put sentence $x_0x_1 \ldots x_n$, where x_0 is the dummy 053 root and $\forall 1 \leq i \leq n$, x_i corresponds to the ith token of the sentence, models start by computing **055** contextual embeddings e_0, e_1, \ldots, e_n . This can be 056 implemented in various ways, *e.g*. with pretrained **057** static word embeddings followed by LSTMs, or **058** averaged layers from pretrained dynamic word em- **059** beddings. These contextual embeddings are fur- **060** ther specialized for head and modifier roles. This **061** is implemented as two feed-forward transforma- **062** tions, resulting in two sets of word representations, **063** h_0, h_1, \ldots, h_n for heads and m_0, m_1, \ldots, m_n for $\qquad \qquad 064$ modifiers. In the remainder, given a vector v of $\qquad \qquad 065$ size d we note v' the vector of size $d + 1$ where 066 $v[i] = v'[i], \forall 1 \le i \le d$ and $v'[d+1]$ is set to 1. 067

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2.1 Biaffine Model 068

We present the local and first-order models as introduced in [\(Dozat and Manning,](#page-4-0) [2017\)](#page-4-0) and re- **070** fer readers to [\(Zhang et al.,](#page-7-0) [2020\)](#page-7-0) for higher-order **071** extensions. The first-order scoring function de- **072** composes the score of a parse tree as the sum **073** of the scores of its arcs, if they form a valid **074** tree (*i.e.* rooted in x_0 , connected and acyclic) 075 and can be implemented as a CRF where arc **076** variables are independently scored but connected **077** to a global factor asserting well-formedness con- **078**

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 straints. This CRF can be trained efficiently and inference is performed with well-known al- gorithms. Still, learning imposes to compute for each sentence *its partition*, the sum of the (expo- nentiated) scores of all parse candidates. While being tractable, this is an overhead compared to computing arc scores independently without tree- shape constraints. Hence, several recent parsers, *e.g*. [\(Dozat and Manning,](#page-4-0) [2017\)](#page-4-0) which called this model *local*, simplify learning by casting it as a head-selection task for each word, *i.e*. arc score predictors are trained without tree constraints. In all cases, tree-constrained CRF or head selection, evaluation is performed by computing the highest- scoring parse [\(Eisner,](#page-4-2) [1997;](#page-4-2) [Tarjan,](#page-6-0) [1977\)](#page-6-0), where arc scores may be replaced by marginal log-probabilities [\(Goel and Byrne,](#page-4-3) [2000\)](#page-4-3).

096 **Arc Scores** are computed by a biaffine^{[1](#page-1-0)} func-097 tion: for arc $x_i \rightarrow x_j$, [Dozat and Manning](#page-4-0) [\(2017\)](#page-4-0) 098 define arc score as $s_{ij} = h_i^{\top} M m'_j$ with trainable 099 matrix M. For embeddings of size d, M has dimensions $d \times (d+1)^2$ $d \times (d+1)^2$

 Arc Labelling is considered a distinct task: at training time arc labelling has its own loss and at prediction time most systems use a pipeline ap- proach where first a tree is predicted, and second 105 each predicted arc is labelled.^{[3](#page-1-2)} Scoring is also im-**plemented with a biaffine model: for arc** $x_i \rightarrow x_j$, **the label logit vector is** $l_{ij} = h_i'^T L m_j'$ **, with train-able L.** For word vectors of size d and for a sys- tem with k possible arc labels, L has dimension $(d+1) \times k \times (d+1)$. While we used h and m notations, these specialized word embeddings are given by feed-forward networks different from the ones used for arc scores.

 This model relies on two biaffine functions, the first for arc scores returning a scalar per arc, and a second for arc labels scores returning for each arc a vector of label scores. Parameter sharing between arc score and arc labelling computations is limited to contextual word embeddings e.

120 2.2 Arc Models

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121 Our models differ architecturally in two ways: *(i)* **122** an intermediate vector representation is computed for each arc and *(ii)* both arc and labelling scores **123** are derived from this single arc representation. **124**

For arc $x_i \rightarrow x_j$ we compute vector representation v_{ij} . Again, we use a biaffine function outputting a vector similarly to arc labelling in stan- **127** dard models: $v_{ij} = h_i^{\top} R m'_j$ for a trainable ten-
128 sor R with dimensions $d \times r \times d$, where r is the **129** size of the arc vector representation v_{ij} , and is a **130** hyperparameter to be fixed, as is the word em- **131** bedding size. We recover arc score s_{ij} and arc **132** labelling l_{ij} from v_{ij} by feed-forward transforma- 133 tions: $s_{ij} = F_s(v_{ij})$ and $l_{ij} = F_l(v_{ij})$. Note that 134 there is only one biaffine transformation, and one **135** specialization for head and modifier roles. Finally, **136** remark that this change does not impact the learn- **137** ing objective: parsers are trained the same way. **138**

2.3 Refining with Attention 139

Arc vectors obtained as above can read informa- **140** tion from sentence tokens via contextual embed- **141** dings. But we can go further and use Transform- **142** ers [\(Vaswani et al.,](#page-6-1) [2017\)](#page-6-1) to leverage attention in **143** order to make arc representations aware of other **144** arc candidates in the parse forest and adjust ac- **145** cordingly, effectively refining representations and **146** realizing a sort of forest reranking. We call v_i^0 the vector computed by the biaffine function over **148** word embeddings described above. Then we suc- **149** cessively feed vectors of the form v_{ij}^{p-1} to Trans- **150** former encoder layer T^p in order to obtain v_{ij}^p and 151 eventually get the final representation v_{ij}^P . This 152 representation is the one used to compute scores **153** with F_s and F_l . Remark again that this change in 154 the vector representation is compatible with any **155** previously used learning objectives. **156**

The main issue with this model is the space 157 complexity. The softmax operation in Transform- **158** ers requires multiplying all query/key pairs, the re- **159** sult being stored as a $t \times t$ matrix, where t is the 160 number of elements to consider. In our context, the **161** number of arc candidates is quadratic in the number of tokens in the sentence, so we conclude that **163** memory complexity is $O(n^4)$ where *n* is the number of tokens. To tackle this issue, we could take **165** advantage of efficient architectures proposed re- **166** cently *e.g*. Linear Transformers [\(Qin et al.,](#page-6-2) [2022\)](#page-6-2). **167** Preliminary experiments showed training to be unstable so we resort to a simpler mechanism.

Filtered Attention One way to tackle the soft- **170** max memory consumption is to filter input ele- **171** ments. If the number of queries and keys fed to the **172**

¹We ignore bias terms for simplicity.

 2 This additional 1 on the modifier side intuitively makes the expression for s_{ij} mimic the conditional probability of the presence of arc $i \rightarrow j$ *given* i is classified as a head word, see [\(Dozat and Manning,](#page-4-0) [2017\)](#page-4-0) for a detailed discussion.

³We remark that [Zhang et al.](#page-7-1) (2021) learn the two separately and merge them at prediction time.

 transformer is linear, we recover a quadratic space complexity. To this end we implement a simple **filter** F_f to retrieve the best k head candidates per word, reminiscent of some higher-order models prior to deep learning, *e.g*. [Koo and Collins](#page-5-2) [\(2010\)](#page-5-2) which used arc marginal probabilities to perform **filtering.** We keep the k highest-scoring $F_f(v_{ij}^0)$ for each position j, where k typically equals 10. **181 Kept vectors** v_{ij}^0 are passed through the trans- former as described above, while unkept vectors are considered final. This means that the trans- former only processes arcs whose filter scores are among the highest-scoring ones, the intuition be- ing that transformers are only used on ambiguous cases where more context is required to further re- fine arc or label scores. Details on the filter's im-plementation can be found in Appendix [D.](#page-8-0)

¹⁹⁰ 3 Experiments

 Data We conduct experiments on the English Penn Treebank (PTB) with Stanford dependen- cies [\(de Marneffe and Manning,](#page-4-4) [2008\)](#page-4-4), as well as Universal Dependencies 2.2 Treebanks (UD; [Nivre et al.](#page-5-3) [2018\)](#page-5-3), from which we select 12 [l](#page-6-3)anguages, pseudo-projectivized following [\(Nivre](#page-6-3) [and Nilsson,](#page-6-3) [2005\)](#page-6-3). We use the standard split on all datasets. Contextual word embeddings are ob-199 tained from RoBERTa_{large} [\(Liu et al.,](#page-5-4) [2019\)](#page-5-4) for 200 the PTB and XLM-RoBERTa_{large} [\(Conneau et al.,](#page-4-5) [2020\)](#page-4-5) for UD.

 Evaluation Metrics We use unlabeled and la- beled attachment scores (UAS/LAS), with the lat- ter to select best models on development sets. Re- sults are averaged over 8 runs initialized with ran- dom seeds. Following [Zhang et al.](#page-7-0) [\(2020\)](#page-7-0) and others, we omit punctuations during evaluation on PTB but keep them on UD. Finally, we use gold POS tags on UD but omit them for PTB.

 [M](#page-7-0)odels LOC is the local model from [\(Zhang](#page-7-0) [et al.,](#page-7-0) [2020\)](#page-7-0) trained with arc cross-entropy while CRF2O is their second-order CRF. ARCLOC is our model with arc vectors trained with arc cross- entropy. All models are evaluated with the Eisner algorithm [\(Eisner,](#page-4-2) [1997\)](#page-4-2) extended to higher-order for CRF2O. We tested 3 parameter regimes: small, standard and large. For LOC, we set standard as the number of parameters used in [\(Zhang et al.,](#page-7-0) [2020\)](#page-7-0), about 2 million parameters. In the small regime, we halve the size of word vectors and in the large regime we double it. For ARCLOC without trans-

	# Param (10^6)	UAS	LAS
Wang and Tu $(2020)\star$		96.94	95.37
Gan et al. (2022) Proj \star		97.24	95.49
Yang and Tu $(2022) \star \star$		97.4	95.8
Amini et al. (2023) w/o POS $\star\star$		97.4	95.8
LOC.	3.8	97.34	95.88
CRF ₂₀	3.5	97.30	95.86
ARCLOC no transf.	3.3	97.38	95.91
ARCLOC 1 transf. layer	3.5	97.40	95.93

Table 1: Results on PTB test with RoBERTa, except for $\star\star$. For last four, average of 8 runs. \star : from [\(Gan et al.,](#page-4-6) [2022\)](#page-4-6). $\star\star$: from [\(Amini et al.,](#page-4-7) [2023\)](#page-4-7), using XLNet.

formers, we set word vectors and arc vectors to the **222** same size, that we adjust to reach the same number **223** as for LOC. More details on hyperparameters and **224** the precise definition of the number of parameters **225** are given in Appendix [A.](#page-7-2) **226**

3.1 Main Results 227

We first evaluate our model on PTB and compare **228** it with other systems trained with RoBERTa. Re- **229** sults in Table [1](#page-2-0) show that our approach with arcs **230** represented by their own vector gives a slight per- **231** formance improvement on both evaluation metrics **232** over LOC a very strong baseline compared to other **233** state-of-the-art parsers. We remark that on PTB **234** higher-order does not help with performance and **235** that the transformer that we hypothesize to encour- **236** age arc interactions has a modest beneficial im- **237** pact. **238**

For the 1[2](#page-3-0) tested languages from UD Table 2 239 reports results from our parsers and others using **240** XLM-RoBERTa for word embeddings. On aver- **241** age, our model with arc representations achieves **242** state-of-the-art results. On eight languages out **243** of twelve our parser achieves better performance **244** than LOC, or any parser other than the higher- **245** order CRF2O, while keeping a comparable param- **246** eter count. We notice that on UD, both explicit **247** higher-order parsing and the use of transformers **248** allow for better results. By increasing the num- **249** ber of parameters in ARCLOC we manage to catch **250** up to CRF2O and achieve state of the art perfor- **251** mances in 6 of the 12 languages, at little cost in **252** parsing speed (see Appendix [B\)](#page-7-3). Detailed results **253** are given in Appendix [E](#page-8-1) and an error analysis can **254** be found in Appendix [F.](#page-8-2) **255**

3.2 Ablation study 256

Arc representation As we see in Table [3,](#page-3-1) arc **257** representations can achieve better performance **258** than the base model, and we notice an increase in **259** the UAS/LAS correlated with an increase in arc **260**

	# Param $(10^{\circ}$	bg	ca	_{cs}	de	en	es	fr	it	nl	no	ro	ru	Avg
(Wang and Tu, 2020)		91.42	93.75	92.15	82.20	90.91	92.60	89.51	93.79	91.45	91.95	86.50	92.81	90.75
(Gan et al., 2022) Proj		93.61	94.04	93.10	84.97	91.92	92.32	91.69	94.86	92.51	94.07	88.76	94.66	92.21
(Gan et al., 2022) NProj		93.76	94.38	93.72	85.23	91.95	92.62	91.76	94.79	92.97	94.50	88.67	95.00	92.45
LOC	3.8	94.56	94.52	94.14	84.25	92.31	93.88	91.66	94.99	94.11	95.08	90.27	95.81	92.96
CRF ₂₀	3.5	94.61	94.72	94.17	84.53	92.33	94.03	91.78	95.06	94.16	95.40	90.22	95.91	93.07
ARCLOC O TRANSE, LAYER	3.3	94.28	94.45	94.28	84.17	92.32	93.92	91.65	94.89	94.06	95.11	90.37	95.85	92.94
ARCLOC O TRANSE, LAYER	50	94.42	94.53	94.32	84.35	92.32	93.96	91.78	94.96	94.09	95.12	90.35	95.88	93.00
ARCLOC 1 TRANSF. LAYER	3.5	94.32	94.58	94.34	84.43	92.32	93.95	91.64	94.93	94.13	95.45	90.33	95.89	93.03
ARCLOC 2 TRANSF. LAYER	50	94.40	94.62	94.34	84.54	92.39	94.00	91.75	95.08	94.18	95.52	90.30	95.93	93.09

Table 2: Test LAS for 12 languages in UD2.2. We use ISO 639-1 codes to represent languages.

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size up to a plateau.

Size of Arc Vector $\#$ Param (10 ⁶)		UAS	LAS
N/A (Loc)	$\mathcal{D}_{\mathcal{A}}$	96.79	95.10
32	8	96.85	95.20
64	16	96.89	95.24
128	32	96.90	95.26
256	64	96.89	95.24
512	128	96.92	95.25

Table 3: PTB dev scores w.r.t. arc vector sizes, word vector size set to $500⁴(8 \text{ run average}, \text{no transformer}).$

Role of Attention We run an ablation experiment to measure the impact of the Transformer module in our architecture. Table 4 shows that our arc representation is the main factor of improvement of the baseline LOC for PTB.

	# Param (10^6) UAS LAS		
LOC.		3.8 96.83 95.11	
CRF2O		3.5 96.85 95.15	
ARCLOC 0 Transf. layer		3.3 96.86 95.21	
ARCLOC 1 Transf. layer		3.5 96.89 95.24	

Table 4: Impact of arc vectors and Transformers on PTB dev data.

\blacktriangle **Related Work**

In addition to the encoder, attention is widely utilized in syntactic analysis (Mrini et al., 2020; Tian et al., 2020). For instance, Kitaev and Klein (2018) examine the correlation between attention on lexical and positional contents, while Le Roux et al. (2019) employ specialized cross-attention for transition-based parsing. Representing spans has been shown to be beneficial for NLP (Li et al., 2021; Yan et al., 2023; Yang and Tu, 2022) as well as using transformers to enhance them (Zaratiana et al., 2022). Our method is closely related to the use of global attention in Edge Transformers (Bergen et al., 2021). Besides the difference in formalisms of analysis, we do not use any particular attention mask while they use a *triangular* attention. Our use of a filter to select arcs which are allowed to interact, is more flexible. Other novel forms of graph attention have been proposed, e.g. in NodeFormer (Wu et al., 2022). Our use of transformers over arcs is a part of a growing literature on generalizing transformers to relational graph-structured data, as called for by Battaglia et al. (2018). This includes approaches that encode graphs as sets and input them to standard transformers similar to TokenGT (Kim et al., 2022) and Graphormer (Ying et al., 2021). However, we restrict the transformer input to arc vectors excluding node. We differ from approaches that modify standard self-attention either to model structural dependencies (Kim et al., 2017) or implement relative positional encodings (Cai and Lam, 2019; Hellendoorn et al., 2020), which do not maintain arc vectors but instead use them to improve node vectors. Lastly, we note that our model bears resemblances to earlier work on reranking for parsing (Collins and Koo, 2005; Le and Zuidema, 2014), as we use transformers to promote or demote arcs before scoring and parsing.

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$\overline{5}$ Conclusion

We presented a change in the main graph-based dependency parsing architecture where lexical arcs have their own representation in a highdimensional vector space, from which their lexical scores are computed. This model demonstrates a clear improvement on parsing metrics over a strong baseline and achieves state-of-the-art performance on PTB and 12 UD corpora. Moreover we show that this architecture is amenable to further processing where arc vectors are refined through transformers and allowing interaction between arcs similar to higher-order features. This method could be extended to other tasks, such as constituent parsing or relation extraction.

 4 ArcLoc's param # is higher due to the word vector size of 500 which we generally do not use elsewhere (see A).

³²¹ 6 Limitations

 Our system with Transformers relies on the atten- tion mechanism which is quadratic in space and time in the number of elements to consider. Since the number of elements (arcs in our context) is it- self quadratic in the number of word tokens, this means that naively the proposed transformer ex- tension is of quadratic complexity. In practice we showed that adding a filtering mechanism is suffi-330 cient to revert complexity back to $O(n^2)$, but we leave using efficient transformers, with linear at-tention mechanism, to future work.

³³³ 7 Ethical Considerations

 We do not believe the work presented here further amplifies biases already present in the datasets. Therefore, we foresee no ethical concerns in this **337** work.

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A Hyperparameters

 We mostly use the same hyperparameter settings as [Zhang et al.](#page-7-0) [\(2020\)](#page-7-0) which are found in their re- leased code. Specifically we adopt the approach they use when training models using BERT, us- ing the average of the 4 last layers to compute our word embeddings. The batch size is 5000, the di- mension of the arc MLP is 96, 120, 144 for AR-684 CLOC with 1×10^6 , 2×10^6 and 4×10^6 parameters respectively and for LOC it's 256, 500, 900 and the label MLP dimension is 64, 100, 140 for the 687 LOC 1×10^6 , 2×10^6 , and 3 or 4×10^6 models, also 688 respectively, for the model with 50×10^6 parame- ters we use an arc MLP dimension of 500 and an arc size of 192. Our transformer uses a number of attention heads always equal to one sixteenth of the arc size, except when we have an arc size of 120 as it is not a multiple of 16, there we use 8 attention heads. The dropout rate for the MLPs with LOC is 0.33 and for ARCLOC it's 0.1 except for the 50×10^6 parameters model where we use a dropout of 0.33 for the arc MLPs and 0.1 for all other MLPs, we train our model for 10 epochs and save the one with the best LAS score on the dev data. The learning rates are 8.3e-6 and 3.7e-5 for LOC and ARCLOC respectively before the stochas- tic weight averaging (SWA) and 5e-6 and 3.7e- 6 also respectively from the fifth epoch onward when we use SWA. The transformer in ARCLOC benefits from its own hyperparameters, while the model warms up for one epoch, the transformer does so for three and has a base learning rate of 3e-3, which becomes 6e-5 when using SWA. For CRF2O we use the exact same hyperparameters as [Zhang et al.](#page-7-0) [\(2020\)](#page-7-0) except for the learning rates which are the same as LOC. We use the following formula to determine the parameter count for LOC with 2 arc MLPs, 2 label MLPs, and 2 biaffine

Figure 1: Parsing speed on PTB in sent/s.

modules, one for the arcs and one for the labels: **714**

 $2 * 1024X + 2 * 1024Y + X^2 + Y^2L$ L (1) **⁷¹⁵**

Where 1024 is the size of RoBERTa's and XLM- **716** RoBERTa's output, X and Y are the arc and label **717** MLP dimensions respectively and L is the num- **718** ber of labels in the dataset. For ARCLOC which **719** uses no label MLPs or biaffine but 2 scoring MLPs **720** with hidden sizes of $d/2$ for the arc scoring and $2L$ 721 for the label scoring and an arc size of d we have **722** the following: **723**

$$
2 * 1024X + X^2d + \frac{d^2 + d}{2} + 2dL + 2L^2
$$
 (2)

Additionally, with N as the number of transformer **725** layers used, the transformer adds a total parameter **726** count of: **727**

$$
N * 10d^2 \tag{3}
$$

(2) **724**

(3) **728**

B Efficiency ⁷²⁹

We trained the bulk of our models on Nvidia a100 730 GPUs with 80GB of memory or v100 GPUs with **731** 32GB of memory. Our models' memory footprint **732** and speed directly depend on the size of the arcs, **733** whether we use a transformer, and whether we filter the arcs. As we can see in figure [1,](#page-7-4) our model **735** achieves speeds comparable to LOC, and is still **736** faster than CRF20 even with 50×10^6 parameters 737 with a speed of 413 sents/sec, furthermore with the use of our filter, we reduce the memory con- **739** sumption to manageable levels allowing us to use a softmax transformer. **741**

760

⁷⁴² C Stochastic weight averaging

 We implement stochastic weight averaging (SWA) introduced in [Izmailov et al.](#page-4-13) [\(2018\)](#page-4-13) after 4 epochs, which we found lead to consistent improvements in all models (LOC, ARCLOC, CRF2O) after fine-**747** tuning.

⁷⁴⁸ D Filtering Arcs

749 The filtering step keeps k arcs per modifier. It is **750** [i](#page-4-14)nspired from the straight-through estimator [\(Ben-](#page-4-14)**751** [gio et al.,](#page-4-14) [2013\)](#page-4-14) and is implemented as follows.

 For each token m we compute the scores of all **arcs** $h \rightarrow m$, from their vector representations v_{hm} . Then we add some Gumbel noise (at training time) and normalize scores via softmax: we obtain **probabilities** $p(h \to m)$ that we use to sort arcs from most to least probable: $h_1 \rightarrow m \dots h_n \rightarrow m$.

 758 Finally the kth arc vector returned by the filter **759** for modifier m is computed as:

$$
v_k(m) = \operatorname{argsort}(v_{h_1m} \dots v_{h_nm})[k] -
$$

762
$$
\operatorname{detach}(\mathbb{E}[v_{hm}]) + \mathbb{E}[v_{hm}] \quad (4)
$$

 During the forward pass the two last terms can- cel each other out and $v_k(m)$ is the vector of the k^{th} most probable arc for $m, h_k \to m$. During the backward pass, the first two terms have zero gra- dient, and the third one amounts to a weighted av- erage of the vectors of arcs $h_1 \rightarrow m \dots h_n \rightarrow m$, with weights given by their probabilities.

 Table [5](#page-8-3) compares parsing UAS and the filter's oracle UAS (percentage of correct heads in the set returned by the filter). We keep 10 potential heads per word to get the highest oracle score with a rea-sonably small sequence of arcs.^{[5](#page-8-4)}

Table 5: PTB Dev UAS scores for ARCLOC and its filter's Oracle with different filter sizes (number of kept heads per word).

⁷⁷⁵ E Detailed Results

776 Table [6](#page-8-5) gives a detailed account of the results our **777** different on PTB development set. We see that our model ARCLOC with the same number of parame- **778** ters as LOC gives an absolute 0.1%. We stress that **779** in the case of PTB our approach leads to a better **780** improvement than what the second-order scoring **781** function can bring. We can also remark that one **782** layer of transformers can in some case (large set- **783** ting) bring a minor improvement. **784**

Model	# Param (10^6)	Dev	
		UAS	LAS
LOC	0.9	96.80	95.10
Loc	1.9	96.79	95.10
LOC	3.8	96.83	95.11
CRF20	3.5	96.85	95.15
ARCLOC 0 TRANSF. LAYERS	1.1	96.83	95.17
ARCLOC 0 TRANSF. LAYERS	2	96.86	95.20
ARCLOC 0 TRANSF. LAYERS	3.3	96.86	95.21
ARCLOC 1 TRANSF, LAYER	1.2	96.86	95.20
ARCLOC 1 TRANSF. LAYER	2.1	96.87	95.21
ARCLOC 1 TRANSF. LAYER	3.5	96.89	95.24
ARCLOC 2 TRANSE, LAYERS	1.3	96.85	95.18
ARCLOC 2 TRANSF. LAYERS	2.3	96.87	95.21
ARCLOC 2 TRANSE, LAYERS	3.7	96.84	95.19
ARCLOC 4 TRANSF. LAYERS	1.5	96.83	95.17
ARCLOC 4 TRANSF. LAYERS	2.6	96.87	95.19
ARCLOC 4 TRANSF. LAYERS	4.1	96.86	95.21

Table 6: Dev scores for the PTB for different numbers of parameters per model (in millions) and different numbers of layers for ARCLOC

For UD, we report development results in Ta- **785** ble [7.](#page-9-0) Here the picture is a bit different since 786 we can see that second-order score improves over **787** LOC. Please also notice that on UD, gold POS tags **788** are provided. In this case, we see that ARCLOC **789** struggles to improve over LOC. We conclude that **790** the arc representation on its own cannot replace **791** second-order features, and that our experimental **792** setup may be too restrictive for ARCLOC: to get 793 numbers of parameters comparable with LOC we 794 use smaller word embeddings. **795**

However, we remark that adding transformer **796** layers allows our approach to recover the perfor- **797** mance offered by CRF2O. Finally we test a setup **798** where use the same word embedding size that **799** CRF2O one transformer layer of size 192. In this **800** case, we see that our model performs on a par with **801** CRF2O. **802**

F Error Analysis ⁸⁰³

We restrict our error analysis to English but ap-
804 ply it to both UD and PTB. We conclude from **805** our analysis that the ArcLoc systems improve over **806** the Loc baseline across the majority of error cate- **807** gories we have examined. **808**

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⁵Note that there is no discrepancy in the first or second column, we can have a UAS score higher than filter's oracle, as an arc can be filtered out and still end up in the parse, our filter only chooses arcs to be processed by the transformer.

Table 7: Dev LAS for 12 languages in UD2.2 for different numbers of parameters per model and different numbers of layers for ARCLOC

Figure 3: UD: List of the words with an attachment error rate at least 4 times lower for one of the ArcLoc parsers compared to the Loc parser.

frequency groups. Word frequencies were categorized into four groups: '1-5', '6-10', '11-15', and '>15'. Each category represents the range of times words appear in PTB and UD dev corpora.

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We employed the Kruskal-Wallis test to assess statistical differences across all systems within

Figure 2: PTB: List of the words with an attachment error rate at least 4 times lower for one of the ArcLoc parsers compared to the Loc parser.

 $F₀$ **Error Rate per Word Type** 809

In this section we focus on evaluating the perfor-810 811 mance of various systems across different word

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 each frequency group, followed by pairwise com- parisons using the Mann-Whitney U test to evalu-ate differences between each pair of systems.

 Results For UD The Kruskal-Wallis test showed a significant difference between systems in the group '1-5' (Statistic=15.858, p<0.001), indicat- ing varying performances among the systems for rare words. Pairwise comparisons revealed sig- nificant improvement from Loc and both ArcLoc (p=0.001) and ArcLoc+Trans (p<0.001). How- ever, no significant difference was found between 829 the two ArcLoc parsers (p=0.709), suggesting sim- ilar capabilities in handling rare words. No sig- nificant differences were observed across systems in the other categories (p=0.070) for the group '6- 10', (p=0.167) for the group '11-15' and (p=0.367) for the group '>15'. For PTB, we do not observe significant differences for these categories.

 To get a sense of the improvements obtained by the ArcLoc parsers, Figures [2](#page-9-1) and [3](#page-9-2) show the lists of words with an attachment error rate at least 4 times lower for one of the ArcLoc parser compared to the Loc parser.

F.2 Error Rate by Attachment Distance

 In this section we evaluate the error rates associ- ated with different attachment distances across the multiple parsing systems. Attachment distance, defined as the number of words between a depen- dent and its head, helps understand performance on long-range dependencies that are typically hard to model correctly. Figure [4](#page-10-0) shows both raw and normalized errors by distance for all parsers on UD dev set.

 For small distances, in the range '1-3', all systems perform similarily with statistical differ- ence in error rates. For longer distances in the 854 range '>3', both ArcLoc and ArcLoc+Trans out-**perform Loc significantly (p=0.01 and p=0.02 re-** spectively). However, we found no significant dif-ference between the two ArcLoc systems.

 While similar figures are obtained for PTB and are omitted here, the differences are not statisti-cally significant according to our tests.

F.3 Error Rate by POS

 In this section we compare error rates by part-of- speech (POS) tag. Figure [6](#page-11-0) displays the result of the comparison across three parsing systems on UD and PTB dev sets using gold POS tags. The error rates are normalized to show the percentage

Figure 4: Error counts and rates by attachment distance from a dependent to its head in UD dev test for three systems. All systems are using the Eisner parsing algorithm.

of errors within each POS category.

Figure 5: UD: Error rates by gold POS tag for Loc, ArcLoc and ArcLoc+Trans.

For UD, we note that the category 'X' (rep- 868 resenting a diverse group of tokens) showed the **869** highest error rates across all systems with Loc ex- **870** hibiting the highest error rate of 26.45%. Con- 871 versely, determiners ('DET') displayed the lowest **872** error rates. ArcLoc consistently showed lower er- **873** ror rates across most POS tags compared to Loc **874** and +Trans, particularly in 'ADJ' and 'NUM' cat- **875** egories. However, while there are variations in er- **876** ror rates across different POS tags, there is no sta- **877** tistically significant difference in the overall error **878** rates between the systems. **879**

Figure 6: PTB: Error rates by gold POS tag for Loc, ArcLoc and ArcLoc+Trans.

Figure 7: UD: Error counts per dependency type for all systems.

880 F.4 Error Analysis by DepRel Type

881 We examine error distributions among the three **882** parsing systems and find discrepancies in handling **883** specific dependency relations.

 Figure [7](#page-11-1) show the raw counts for errors for each dependency relation types for all parsers. We note a reduction in errors for the majority of types for the ArcLoc systems compared to the Loc baseline.

 Finally, Figures [8,](#page-11-2) [9](#page-11-3) and [10](#page-12-0) show the confusion matrices between gold and predicted dependency types for types that appear at least 10 times in the UD dev set. We note that the greatest confusion is related to flat:foreign which amounts to peculiar-ities in the gold annotation.

894 F.5 Error Rates by Depth in Gold Tree

 Figure [11](#page-12-1) illustrates the error rates for three parsers across various depths of dependents in the gold tree. Each depth from 0 to 9 is analyzed, with the frequency of each depth's occurrence provided

Figure 8: UD: Confusion matrix for Loc.

Figure 9: UD: Confusion matrix for ArcLoc.

in parentheses. **899**

We observe that depth 0 has the lowest error **900** rates across all three systems, indicating a higher **901** accuracy in identifying root elements or top-level **902** dependencies. As depth increases to 1 and 2, er- **903** ror rates slightly rise, but the differences between **904** the three systems become more apparent. Depths **905** 5 and 6 also show an increase in error rates espe- **906** cially for the Loc system while both ArcLoc sys- **907** tems suffer less. **908**

The highest error rates are observed at depth 7, 909 especially notable in the Loc system which peaks **910** at 10.55%. However, depth 8 shows a reduction **911** in errors, and surprisingly, depth 9 records a zero **912** error rate for Loc which may be due to the very **913** low frequency of samples at that depth (only 26 914 instances). **915**

Overall, the ArcLoc systems improve over the **916** Loc baseline across most depths. **917**

Similar patterns can be observed for PTB the **918** Figure [12](#page-12-2) but with lower overall error rates which **919** peak at depth 2 for the all systems. In con- **920** trast to UD, the error rates on PTB are more **921** uniform across depths and parsing systems, with **922**

Figure 10: UD: Confusion matrix for ArcLoc+Trans.

Figure 11: UD: Error Rates by Depth in Gold Tree.

Figure 12: PTB: Error Rates by Depth in Gold Tree.