SEMANTIC MEMBERSHIP INFERENCE ATTACK AGAINST LARGE LANGUAGE MODELS

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Abstract

Membership Inference Attacks (MIAs) determine whether a specific data point was included in the training set of a target model. In this paper, we introduce the Semantic Membership Inference Attack (SMIA), a novel approach that enhances MIA performance by leveraging the semantic content of inputs and their perturbations. SMIA trains a neural network to analyze the target model's behavior on perturbed inputs, effectively capturing variations in output probability distributions between members and non-members. We conduct comprehensive evaluations on the Pythia and GPT-Neo model families using the Wikipedia and MIMIR datasets. Our results show that SMIA significantly outperforms existing MIAs; for instance, for Wikipedia, SMIA achieves an AUC-ROC of 67.39% on Pythia-12B, compared to 58.90% by the second-best attack.

1 INTRODUCTION

Large Language Models (LLMs) appear to be effective learners of natural language structure and 026 patterns of its usage. However, a key contributing factor to their success is their ability to memorize 027 their training data, often in a verbatim fashion. This memorized data can be reproduced verbatim 028 at inference time, which effectively serves the purpose of information retrieval. However, this re-029 gurgitation of training data is also at the heart of privacy concerns in LLMs. Previous works have shown that LLMs leak some of their training data at inference time (Carlini et al., 2022b;a; Jagan-031 natha et al., 2021; Lehman et al., 2021; Mattern et al., 2023; Mireshghallah et al., 2022; Nasr et al., 2023) Membership Inference Attacks (MIAs) (Shokri et al., 2017; Carlini et al., 2022b;a; Zhang 033 et al., 2023; Ippolito et al., 2022) aim to determine whether a specific data sample (e.g. sentence, 034 paragraph, document) was part of the training set of a target machine learning model. MIAs serve as efficient tools to measure memorization in LLMs. 035

Existing approaches to measure memorization in LLMs have predominantly focused on verbatim memorization, which involves identifying exact sequences reproduced from the training data. However, given the complexity and richness of natural language, we believe this method falls short. Natural language can represent the same ideas or sensitive data in numerous forms, through different levels of indirection and associations. This power of natural language makes verbatim memorization metrics inadequate to address the more nuanced problem of measuring semantic memorization, where LLMs internalize and reproduce the essence or meaning of training data sequences, not just their exact wording.

Previous MIAs against LLMs has predominantly focused on classifying members and non-members
by analyzing the probabilities assigned to input texts or their perturbations (Carlini et al., 2021; Mattern et al., 2023; Shi et al., 2023; Zhang et al., 2024). In contrast, we introduce the Semantic Membership Inference Attack (SMIA), the first MIA to leverage the semantic content of input texts to
enhance performance. SMIA involves training a neural network to understand the distinct behaviors
exhibited by the target model when processing members versus non-members.

Our central hypothesis is that perturbing the input of a target model will result in differential changes
 in its output probability distribution for members and non-members, contingent on the extent of
 semantic change distance. Crucially, this behavior is presumed to be learnable. To implement this,
 we train the SMIA model to discern how the target model's behavior varies with different degrees of
 semantic changes for members and non-members. Post-training, the model can classify a given text

054 sequence as a member or non-member by evaluating the semantic distance and the corresponding 055 changes in the target model's behavior for the original input and its perturbations. 056

Figure 1 illustrates the pipeline of our proposed SMIA inference. The SMIA inference pipeline 057 for a given text x and a target model T(.) includes four key steps: (1) Neighbor Generation: The target sequence is perturbed n times by randomly masking different positions and filling them using a masking model, such as T5 (Raffel et al., 2020), to generate a neighbour dataset \tilde{x} (similar 060 to Mattern et al. (2023); Mitchell et al. (2023)). (2) Semantic Embedding Calculation: The 061 semantic embeddings of the input text and its neighbours are computed by using an embedding 062 model, such as Cohere Embedding model (Cohere, 2024). (3) Loss Calculation: The loss values of 063 the target model for the input text and its neighbours are calculated. (4) Membership Probability 064 **Estimation:** The trained SMIA model is then used to estimate the membership probabilities. These scores are averaged and compared against a predefined threshold to classify the input as a member 065 or non-member. 066

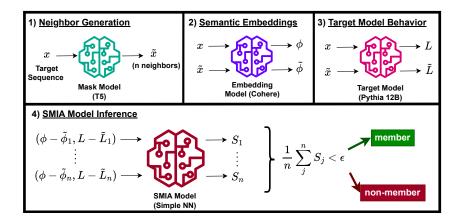


Figure 1: Our Semantic Membership Inference Attack (SMIA) inference pipeline.

Empirical Results: We evaluate the performance of our proposed SMIA across different model 084 families, specifically Pythia and GPT-Neo, using the Wikipedia and MIMIR (Duan et al., 2024) 085 datasets. To underscore the significance of the non-member dataset in evaluating MIAs, we include two distinct non-member datasets in our Wikipedia analysis: one derived from the exact distribution of the member dataset and another comprising Wikipedia pages published after a cutoff date, which 088 exhibit lower n-gram similarity with the members. Additionally, we assess SMIA under two settings: (1) verbatim evaluation, where members exactly match the entries in the target training dataset, and (2) slightly modified members, where one word is either duplicated, added, or deleted from the original member data points. 092

Our results demonstrate that SMIA consistently outperforms all existing MIAs by a substantial margin. For instance, SMIA achieves an AUC-ROC of 67.39% for Pythia-12B on the Wikipedia dataset. 094 In terms of True Positive Rate (TPR) at low False Positive Rate (FPR), SMIA achieves TPRs of 3.8% 095 and 10.4% for 2% and 5% FPR, respectively, on the same model. In comparison, the second-best 096 attack, the Reference attack, achieves an AUC-ROC of 58.90%, with TPRs of 1.1% and 6.7% for 2% and 5% FPR, respectively.

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2 **RELATED WORK**

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Membership inference attacks (MIAs) against large language models (LLMs) aim to determine 103 whether a given data point was part of the training dataset used to train the target model or not. 104 Given a data point x and a trained autoregressive model T(.), which predicts $P(x_t|x_1, x_2, ..., x_{t-1})$ 105 reflecting the likelihood of the sequence under the training data distribution, these attacks compute a membership score A(x,T). By applying a threshold ϵ to this score, we can classify x as a member 106 (part of the training data) or a non-member. In Appendix A, we provide MIA use cases and details 107 about how existing MIA work against LLMs.

108 Difference between our SMIA and Neighborhood Attack (Mattern et al., 2023; Mitchell et al., 109 The Nei attack (Appendix A) perturbs a given text, crafting neighbors by masking words 2023): 110 and replacing them with alternatives generated by a model such as BERT (Devlin et al., 2018) or 111 T5 (Raffel et al., 2020). In this approach, if a model has been trained on a text, it typically exhibits 112 a lower loss value compared to average of its neighbors. In contrast, our SMIA adopts a more complex strategy where it consider the semantic distances of neighbors to train a classifier model. 113 Unlike the straightforward comparison of loss values in the Nei attack, SMIA employs a neural 114 network trained to detect subtle semantic variations between inputs and their perturbations. This 115 not only leverages the semantic content but also the behavioral changes in the model's response, 116 enhancing both the robustness and sensitivity of the attack. In Section 5, we show that SMIA 117 achieves higher performance compared to Nei attack. For example, our results on the Pythia-12B 118 and Wikipedia dataset show that SMIA achieves a significant improvement, recording 67.93% AUC-119 ROC compared to 55.83% by the Nei attack. 120

121 Difference between our SMIA and Nasr et al. (2019): Nasr et al. (2019) introduced a white-box 122 MIA against traditional deep neural network where it involves training a classifier using detailed 123 model data such as weights, activations, and gradients of members and non-members. This method 124 requires comprehensive visibility into the model's internals, making them less adaptable to scenarios 125 where only limited information is available. Our SMIA stands out by functioning effectively under a 126 gray-box scenario, where it requires only access to the loss values of the target model without needing to probe into its internal states. This capability is further enhanced by the novel use of semantic 127 embeddings to discern changes in the model responses caused by semantic variations in inputs. By 128 capturing these subtleties, SMIA not only sets itself apart from traditional white-box attacks but 129 also highlights its applicability in practical environments where attackers have constrained access to 130 model internals. 131

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3 OUR PROPOSED SMIA

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MIAs seek to determine whether a specific data sample was part of the training set of a machine learning model, highlighting potential privacy risks associated with model training. Traditional MIAs typically verify if a text segment, ranging from a sentence to a full document, was used exactly as is in the training data. Such attacks tend to falter when minor modifications are made to the text, such as punctuation adjustments or word substitutions, while the overall meaning remains intact. We hypothesize that a LLM, having encountered specific content during training, will exhibit similar behaviors towards semantically similar text snippets during inference. Consequently, a LLM's response to semantically related inputs should display notable consistency.

142 In this paper, we introduce Semantic Member-143 ship Inference Attack (SMIA) against LLMs. 144 This novel attack method enables an attacker 145 to discern whether a *concept*, defined as a set of semantically akin token sequences, was part 146 of the training data. Examples of such se-147 mantically linked concepts include "John Doe 148 has leukemia" and "John Doe is undergoing 149 chemotherapy." The proposed SMIA aims to 150 capture a broader spectrum of data memoriza-151 tion incidents compared to traditional MIA, by 152 determining whether the LLM was trained on 153 any data encompassing the targeted concept. 154

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- 3.1 SMIA DESIGN

157 For the SMIA, we assume that the adversary 158 has grey-box access to the target LLM, denoted 159 as T(.), which is trained on an unknown dataset 160 D_{train} . The adversary can obtain loss values 161 or log probabilities for any input text from this model, denoted as $\ell(.,T)$, but lacks additional

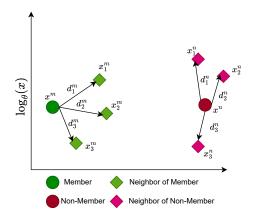


Figure 2: Input features for our SMIA: semantic change and target model behaviour change for inputs and their neighbors.

information such as model weights or gradients. The cornerstone of our SMIA is the distinguish able behavior modification exhibited by the target model when presented with semantic variants of
 member and non-member data points.

165 As illustrated in Figure 2, consider a 2-dimensional semantic space populated by data points. Mem-166 bers and non-members are represented by green circles and red circles, respectively. By generating 167 semantic neighbors for both member and non-member data points (shown as green and red di-168 amonds, respectively), we measure the semantic distance between targeted data points and their 169 neighbors, denoted as d_i^m and d_i^n . Subsequently, we observe the target model's response to these 170 data points by assessing the differences in loss values (y-axis for log probability of that text under 171 the taregt LLM data distribution), thereby training the SMIA to classify data points as members or 172 non-members based on these observed patterns.

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3.2 SMIA PIPELINE

The SMIA consists of two primary components: initially, the adversary trains a neural network model A(.) on a dataset gathered for this purpose, and subsequently uses this trained model for inference. The training and inference processes are detailed in Algorithms 1 and 2, respectively.

During the training phase, the adversary collects two distinct datasets: $D_{\text{tr-m}}$ (member dataset) and $D_{\text{tr-n}}$ (non-member dataset). $D_{\text{tr-m}}$ comprises texts known to be part of the training dataset of the target model T(.), while $D_{\text{tr-n}}$ includes texts confirmed to be unseen by the target model during training. The adversary utilizes these datasets to develop a membership inference model capable of distinguishing between members ($\in D_{\text{tr-m}}$) and non-members ($\in D_{\text{tr-n}}$). For instance, Wikipedia articles or any publicly available data collected before a specified cutoff date are commonly part of many known datasets. Data collected after this cutoff date can be reliably assumed to be absent from the training datasets.

Algorithm 1 Our Proposed Semantic Membership Inference Attack: training

Input: dataset of members for training D_{tr-m} , dataset of non-members for training D_{tr-n} , masking 189 model for neighbor generation N(.), Embedding model E(.), Target model T(.), Number of neigh-190 bors n, Number of perturbations k, number of SMIA training epochs R, SMIA learning rate r, 191 SMIA batch size B, loss function $\ell(.,.)$ 192 **Output:** SMIA Model $A(.,., D_{tr-m}, D_{tr-n})$ 193 $1: \ D_{\text{masked}}^{m}, D_{\text{masked}}^{n} \leftarrow \textit{MASK}(D_{\text{tr-m}}, n, k), \textit{MASK}(D_{\text{tr-n}}, n, k)$ ▷ Masking 194 2: $\tilde{D}^m, \tilde{D}^n \leftarrow N(D^m_{\text{masked}}), N(D^n_{\text{masked}})$ 3: $\Phi^m, \Phi^n, \tilde{\Phi}^m, \tilde{\Phi}^n \leftarrow E(D_{\text{tr-m}}), E(D_{\text{tr-n}}), E(\tilde{D}^m), E(\tilde{D}^n)$ ▷ Neighbor generation 195 ▷ Embedding 196 4: $L^m, L^n, \tilde{L}^m, \tilde{L}^n \leftarrow \ell(D_{\text{tr-m}}, T), \ell(D_{\text{tr-n}}, T), \ell(\tilde{D}^m, T), \ell(\tilde{D}^n, T)$ ▷ Target model loss 197 5: Initialize A(.)6: for e in R do 199 for batch do 7: 200 for i = 1 to B/2 do 8: $B^m \leftarrow (\Phi^m_{batch,i} - \tilde{\Phi}^m_{batch,i}, L^m_{batch,i} - \tilde{L}^m_{batch,i}, 1)$ 201 9: ▷ Member half of the batch 202 10: end for 203 11: for i = 1 to B/2 do 204 $B^n \leftarrow (\Phi_{batch,i}^n - \tilde{\Phi}_{batch,i}^n, L_{batch,i}^n - \tilde{L}_{batch,i}^n, 0) \triangleright$ Non-Member half of the batch 12: 205 end for 13: 206 update $A(\{B^m, B^n\}, r)$ 14: ▷ Update parameters of SMIA network 207 15: end for 208 16: end for 209 17: return $A(.,., D_{tr-m}, D_{tr-n})$ 210

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The SMIA training procedure, shown in Algorithm 1, involves four key stages:

i) Neighbour generation (Algorithm 1 lines 1-2): The initial phase of SMIA involves generating a dataset of neighbours for both the member dataset (D_{tr-m}) and the non-member dataset (D_{tr-n}). The creation of a neighbour entails making changes to a data item that preserve most of its semantics and grammar, thereby ensuring that these neighbours are semantically closed to the original sample and should be assigned a highly similar likelihood under any textual probability distribution, as similar to Mattern et al. (2023); Mitchell et al. (2023). Specifically, Algorithm 1 line 1 describes the creation of masked versions of D^m_{masked} and D^n_{masked} by randomly replacing k words within each text item n times. Following this, in line 2, a Neighbour generator model N(x, L, K)—a masking model—is employed to refill these masked positions, generating datasets \tilde{D}^m and \tilde{D}^n for members and nonmembers, respectively. We utilize the T5 model (Raffel et al., 2020) in our experiments to perform these replacements, aiming to produce n semantically close variants of each data point.

223 ii) Calculate semantic embedding of the data points (Algorithm 1 line 3): The subsequent step 224 involves computing semantic embeddings for both the original data points and their neighbours. As 225 per Algorithm 1 line 3, we obtain the embedding vectors $\Phi^m \leftarrow E(D_{\text{tr-m}})$ and $\Phi^n \leftarrow E(D_{\text{tr-n}})$ for 226 the member and non-member data points, respectively. Additionally, we calculate $\tilde{\Phi}^m \leftarrow E(\tilde{D}^m)$ 227 and $\tilde{\Phi}^n \leftarrow E(\tilde{D}^n)$ for their respective neighbours. These vectors represent each data point's position 228 in a semantic space encompassing all possible inputs. Our experiments leverage the Cohere Embed-229 ding V3 model (Cohere, 2024), which provides embeddings with 1024 dimensions, to capture these 230 semantic features.

iii) Monitor the behaviour of the target model for different inputs (Algorithm 1 line 4): The third stage entails monitoring the target model's response across data items in the four datasets. Here, we calculate the loss values: $L^m \leftarrow \ell(D_{\text{tr-m}}, T)$ for the member samples, $L^n \leftarrow \ell(D_{\text{tr-m}}, T)$ for the non-member samples, and similarly $\tilde{L}^m \leftarrow \ell(\tilde{D}^m, T)$ and $\tilde{L}^n \leftarrow \ell(\tilde{D}^n, T)$ for their respective neighbours. This step is crucial for understanding how the model's behavior varies between members and non-members under semantically equivalent perturbations.

iv) Train an attack model (Algorithm 1 lines 5-16): The final phase of training involves devel-238 oping a binary neural network capable of distinguishing between members and non-members by 239 detecting patterns of semantic and behavioral changes induced by the perturbations. We initiate this 240 by randomly initializing the attack model A(.), then training it to discern differences between the 241 semantic embeddings and loss values for each data point and its neighbours. The input features for 242 A include the differences in semantic vectors $\Phi_i^m - \tilde{\Phi}_i^m$ and the changes in loss values $L_i^m - \tilde{L}_i^m$ 243 for each sample i. Each sample is labeled '1' for members and '0' for non-members, with each 244 training batch consisting of an equal mix of both, as suggested in prior research (Nasr et al., 2019). 245 The model is trained over R epochs using a learning rate r, culminating in a trained binary classifier 246 that effectively distinguishes between members and non-members based on the observed data. We 247 prvoide our SMIA training cost in Appendix B.

249 Algorithm 2 Our Proposed Semantic Membership Inference Attack: inference

Input: Test input x, Trained SMIA Model $A(.,., D_{tr-m}, D_{tr-n})$ on dataset of members for training D_{tr-m} and dataset of non-members for training D_{tr-n} , masking model for neighbor generation N(.), Embedding model E(.), Target model T(.), Number of neighbors in inference n_{inf} , Number of perturbations k, decision threshold ϵ , loss function ℓ

255	1: $x_{\text{masked}} \leftarrow MASK(x, n_{\text{inf}}, k)$	▷ Masking
256	2: $\tilde{x} \leftarrow N(x_{\text{masked}})$	Neighbor generation
257	3: $\phi, \tilde{\phi} \leftarrow E(x), E(\tilde{x})$	▷ Embedding
258	4: $L, \tilde{L} \leftarrow \ell(x, T), \ell(\tilde{x}, T)$	⊳ Target model loss
259	5: $\mu \leftarrow \frac{1}{n} \sum_{i \in [b]} A(\phi - \tilde{\phi}_i, L - \tilde{L}_i)$	▷ Average of SMIA scores
260	6: if $\mu > \epsilon$ then	
261	7: return True	⊳ Member
262	8: else	
263	9: return False	⊳ Non-Member
264	10: end if	

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SMIA Inference: Upon completing the training of the model A(.), it can be employed to assess whether a given input text x was part of the target model T(.)'s training dataset. Algorithm 2 details the inference procedure, which mirrors the training process. Initially, n_{inf} neighbours for x are generated using the mask model (lines 1-2). Subsequently, we compute both the semantic embedding vectors and the loss values for x and its neighbours \tilde{x} (lines 3-4). These computed 270 differences are then fed into the attack model $A(\phi - \dot{\phi}_i, L - \dot{L}_i)$, which evaluates each neighbour 271 j. The final SMIA score for x is determined by averaging the scores from all n_{inf} neighbours 272 (line 5), and this score is compared against a predefined threshold ϵ to ascertain membership or 273 non-membership (line 6).

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EXPERIMENT SETUP 4

278 In this section, we describe the models and datasets used in our experiments. Due to space constraints, we have organized additional information into appendices. We provide the details of the 279 architecture for SMIA model in Appendix D.1, cost estimation of SMIA to Appendix B, privacy 280 metrics used in our analysis in Appendix D.2, the hyperparameters for training the SMIA model in Appendix D.4, the baselines in Appendix A, and the computational resources utilized in Ap-282 pendix D.5. 283

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4.1 MODELS

Target Models: In our experiments, we evaluate our proposed SMIA across a diverse set of lan-287 guage models to assess its effectiveness and robustness. We utilize three categories of target models: 288 (1) Pythia Model Suite: This category includes the largest models with 12B, 6.9B, and 2.7B param-289 eters from the Pythia model suite (Biderman et al., 2023), trained on the Pile dataset (Gao et al., 290 2020). (2) Pythia-Deduped: It consists of models with the same parameterization (12B, 6.9B, and 291 2.7B) but trained on a deduplicated version of the Pile dataset. This variation allows us to analyze 292 the impact of dataset deduplication on the effectiveness of MIAs. (3) GPT-Neo Family: To test the 293 generality of our approach across different architectures, we include models from the GPT-NEO 294 family (Black et al., 2021), specifically the 2.7B and 1.3B parameter models, also trained on the Pile 295 dataset.

296 Models Used in SMIA: The SMIA framework incorporates three critical components: (1) Masking 297 Model: We employ T5 with 3B parameters (Raffel et al., 2020) for generating perturbed versions 298 of the texts, where random words are replaced to maintain semantic consistency. (2) Semantic 299 Embedding Model: The Cohere Embedding V3 model (Cohere, 2024) is utilized to produce a 1024-300 dimensional semantic embedding vector for each text, enabling us to capture nuanced semantic 301 variations. (3) Binary Neural Network Classifier: For the SMIA model, we utilize a relatively simple 302 neural network (details shown in Table 11) with 1.2M parameters, which is trained to distinguish 303 between member and non-member data points. In Appendix D.4, we discuss the hyperparameters that we use in our experiments for this model. 304

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4.2 DATASETS

308 To evaluate the effectiveness of the SMIA, we need to collect three datasets: training dataset $D_{tr} =$ 309 $\{D_{\text{tr-m}}, D_{\text{tr-n}}\}$, validation dataset $D_{\text{val}} = \{D_{\text{val-m}}, D_{\text{val-n}}\}$, and test dataset $D_{\text{te}} = \{D_{\text{te-m}}, D_{\text{te-n}}\}$. Each dataset comprises a member and a non-member split. We employ the training dataset for model 310 training, the validation dataset for tuning the hyperparameters, and the test dataset for evaluating the 311 model performance based on various metrics. 312

313 4.2.1 WIKIPEDIA DATASET 314

315 **Wikipedia Training and Validation:** We selected a total of 14,000 samples from Wikipedia, 316 verified as parts of the training or test split of the Pile dataset (Gao et al., 2020). This includes 7,000 317 member samples from the training split of the Wikipedia portion of Pile and 7,000 non-member 318 samples from the test split. Samples were selected to have a minimum of 130 words and were 319 truncated to a maximum of 150 words. Consistent with prior studies (Gao et al., 2020; Duan et al., 320 2024), we prepended article titles to the text of each article, separated by a " $\ln \ln$ ". The split for 321 these samples assigns 6,000 from each category to the training dataset $(D_{\rm tr})$ and 1,000 from each to the validation dataset (D_{val}) . In Appendix B, we provide the cost estimation for preparing this 322 dataset for our training. For example for Wikipedia training part, calculating the embedding vectors 323 from Cohere model costs around \$32.

324 **Wikipedia Test:** For the test member dataset $(D_{\text{te-m}})$, we similarly sourced 1,000 samples from the 325 training portion of Pile. Selecting an appropriate non-member dataset (D_{te-n}) for testing is crucial, as 326 differences in data distribution between member and non-member samples can falsely influence the 327 perceived success of membership inference. Prior research (Duan et al., 2024) indicates that non-328 member samples drawn from post-training publications or different sections of the Pile test dataset show varied overlap in linguistic features such as n-grams, which can affect inference results. To address this, we established two non-member test datasets: the first, referred to as Wikipedia Test 330 $(WT = \{D_{\text{te-m}}, D_{\text{te-n}}^{\text{PileTest}}\})$, includes samples from Wikipedia pages before March 2020 that are part 331 of the Pile test dataset. The second, called Wikipedia Cutoff ($WC = \{D_{\text{te-m}}, D_{\text{te-n}}^{\text{CutOff}}\}$), consists of 332 1,000 samples from Wikipedia pages published after August 2023, ensuring they were not part of 333 the Pile training dataset. 334

335 4.2.2 MIMIR DATASET

The MIMIR dataset (Duan et al., 2024), a derivative of the Pile dataset (Gao et al., 2020), is designed 337 to simulate real-world challenges in membership inference of LLMs. Members and non-members 338 are drawn from the train and test splits of the Pile dataset respectively, with non-member samples 339 designed to exhibit different n-gram overlaps. We specifically engaged with the most challenging 340 MIMIR sub-split, where members and non-members share up to 80% overlap in 13-grams—a setting 341 designed to rigorously test the discriminative power of our SMIA approach. We select Wikipedia_en, 342 GitHub, PubMed Central, and ArXiv splits in our experiments. Samples were selected to have a 343 minimum of 130 words. Each member and non-member dataset was then divided into 70% for 344 training (D_{tr}) , 10% for validation (D_{val}), and 20% for the test dataset (D_{te}). We benchmark the 345 performance of SMIA against other baselines on the test datasets.

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5 EXPERIMENTS

In this section, we present the experimental results of our SMIA and compare its performance to other MIAs in both verbatim and modified settings. Due to space constraints, we defer the TPR of attacks at low FPR to Appendix C.1, the effect of deduplication in the Pythia model family to Appendix C.2, analysis of SMIA's performance with varying numbers of neighbors during inference to Appendix C.3, the effect of training size on SMIA's performance to Appendix C.4, and, the histogram of similarities between generated neighbors and their original texts in both member and non-member training datasets to Appendix C.5.

356 5.1 EVALUATION IN VERBATIM SETTING
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358 Our initial set of experiments aims to classify members and non-members without any modifications to the data, meaning that the members $(D_{\text{te-m}})$ in the test dataset are verbatim entries from the 359 training dataset of the models. This evaluation setting is consistent with prior works (Yeom et al., 360 2018; Carlini et al., 2021; Shi et al., 2023; Mattern et al., 2023; Zhang et al., 2024). Table 1 and Ta-361 ble 2 present the AUC-ROC metric for various baseline methods and our proposed SMIA approach 362 across different trained models for Wikipedia (with two distinct test datasets) and MIMIR dataset repectively (Refer to Appendix C.2 for evaluation results on deduplicated models). Additionally, 364 Table 4 and Table 5 in Appendix C.1 provide the True Positive Rate (TPR) at low False Positive 365 Rates (FPR) for these methods and datasets. For MIMIR experiments, the tables include the best 366 AUC-ROC values for Min-K and Min-K++ across different values of K and Pyhtia-1.4B as the ref-367 erence model. The results demonstrate that SMIA significantly outperforms existing methods. For instance, on Pythia-12B and $WT = \{D_{\text{te-m}}, D_{\text{te-n}}^{\text{PileTest}}\}$ test dataset (i.e., when non-members are sam-368 pled from the same data distribution as members), SMIA achieves an AUC-ROC of 67.39% with 369 TPRs of 3.8% and 10.4% at 2% and 5% FPR, respectively. In contrast, the LOSS method (Yeom 370 et al., 2018) yields an AUC-ROC of 54.94% and TPRs of 2.1% and 5.8% at the same FPR thresh-371 olds. The Ref attack (Carlini et al., 2021), which utilizes Pythia 1.4B to determine the complexity 372 of test data points on a reference model trained on the same data distribution (a challenging assump-373 tion in real-world scenarios), achieves an AUC-ROC of 58.90% with TPRs of 2% and 8.2% at 2% 374 and 5% FPR. Furthermore, Min-K (Shi et al., 2023) and Min-K++ (Zhang et al., 2024) show better 375 AUC-ROC compared to the LOSS attack, achieving 56.66% and 57.67% for K = 20%. 376

377 On MIMIR dataset, SMIA demonstrates superior performance across multiple splits. For example, in the PubMed Central split, on Pythia-12B, it achieves an AUC-ROC of 68.39% with TPRs of

378 8.50%, 11.50%, and 30.50% at FPRs of 2%, 5% and 10%, respectively. The second-best attack, 379 the Nei attack (Mattern et al., 2023; Mitchell et al., 2023), achieves a lower AUC-ROC of 57.77% 380 with corresponding TPRs of 1.0%, 6.0%, and 12.50% at these FPR thresholds. Similar to previous 381 work (Duan et al., 2024), we find Github split as a less challenging domain emphasizing that LLMs 382 tend to memorize the code snippets with higher probability. These evaluations were conducted under the constraint of dataset sizes, with each split containing at most 1000 examples for members and 1000 examples for non-members (before splitting them into $\{D_{tr}, D_{val}, D_{te}\}$). It is important to note 384 that these results are achieved with the constraint of a limited size for our training, validation, and 385 test datasets. we postulate that with an expansion in the size of these datasets, SMIA would likely 386 achieve even higher performance metrics. 387

388 Why SMIA Outperforms Other MIAs: SMIA delivers superior performance for two key reasons: Firstly, it incorporates the semantics of the input text into the analysis, unlike the baseline methods 389 that solely rely on the target model's behavior (e.g., log probability) for their membership score 390 calculations. Secondly, SMIA utilizes a neural network trained specifically to distinguish between 391 members and non-members, offering a more dynamic and effective approach compared to the static 392 statistical methods used by previous MIAs. 393

Table 1: AUC-ROC performance metrics for various MIAs, including our SMIA, evaluated on different trained models (Pythia and GPT-Neo) using the Wikipedia. The table compares results for verbatim member data $D_{\text{te-m}}$ entries against non-member datasets $D_{\text{te-n}}^{\text{PileTest}}$ and $D_{\text{te-n}}^{\text{CutOff}}$.

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398		Pythia	a-12B	Pythia	a-6.9B	Pythia	a-2.7B	GPT-N	eo2.7B	GPT-N	eo1.3B
399	Method	WT	WC	WT	WC	WT	WC	WT	WC	WT	WC
400	LOSS	54.94	67.56	54.23	65.95	53.14	63.99	53.32	63.34	52.98	62.10
401	Ref	52.73	58.29	51.71	56.42	49.92	53.74	50.07	53.86	49.70	52.91
402	(Pythia 70M)										
403	Ref	58.90	67.44	57.01	63.79	51.39	56.06	52.27	56.80	50.03	50.98
	(Pythia 1.4B)										
404	Zlib	54.33	66.56	53.61	64.98	52.54	63.01	52.70	62.59	52.42	61.38
405	Nei	55.83	72.06	55.17	70.78	53.87	69.13	53.51	68.34	53.08	67.36
406	Min-K	56.96	76.05	56.00	73.96	54.05	71.21	53.72	70.53	53.32	68.40
407	(K = 10%)										
	Min-K	56.66	73.90	55.65	71.95	53.86	69.26	53.66	68.68	53.36	66.82
408	(K = 20%)										
409	Min-K	56.17	72.18	55.23	70.32	53.67	67.84	53.59	67.26	53.33	65.54
410	(K = 30%)										
411	Min-K++	56.83	78.47	54.77	76.17	52.37	72.38	51.73	72.93	51.57	69.87
412	(K = 10%)										
413	Min-K++	57.67	79.34	55.62	76.77	53.28	72.82	52.82	73.07	52.02	70.13
	(K = 20%)	+									
414	Min-K++	57.76	78.96	55.81	76.21	53.62	72.27	53.21	72.46	52.41	69.52
415	(K = 30%)						~ ~ ~ -		~~ ~~		
416	Our SMIA	67.39	93.35	64.63	92.11	60.65	89.97	59.71	89.59	58.92	87.43

Table 2: AUC-ROC results for different MIAs on datasets in MIMIR dataset (Duan et al., 2024) where members and non-members share less than 80% overlap in 13-gram.

	del Deteret				Method			
Target Mo	del Dataset	LOSS	Ref	Zlib	Nei	Mink	Mink++	Our SMIA
	Wikipedia	55.33	58.87	55.04	55.74	58.60	60.77	64.85
Duthia 12	Github	76.45	47.25	76.60	73.03	76.90	77.54	99.71
Pythia-12B	ArXiv	48.66	57.63	47.14	51.83	49.91	53.12	54.45
	PubMed	53.20	56.73	51.86	57.77	53.28	55.66	68.39
	Wikipedia	54.20	57.15	54.14	54.39	57.89	57.36	62.86
Duthia 6 (Github	75.72	47.52	75.87	73.08	76.17	77.31	99.64
Pythia-6.9	ArXiv	48.28	55.96	46.79	51.79	48.87	51.34	54.01
	PubMed	52.18	52.02	51.05	56.71	52.10	53.82	61.90

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Importance of non-member dataset: In the other Wikipedia test dataset (WC $\{D_{te-m}, D_{te-m}^{CutOff}\}$), where non-members are derived from Wikipedia pages published after August

432 2023, we observe a substantial improvement in SMIA performance, consistent with findings from 433 other studies (Duan et al., 2024). For example, SMIA achieves an AUC-ROC of 67.39% and 93.35% 434 for Pythia-12B on WT and WC, respectively. In terms of TPR at low FPR for the same model, 435 SMIA achieves 3.8% and 10.4% for 2% and 5% FPR with the WT dataset, while achieving 46.2% 436 and 66.0% for 2% and 5% FPR with the WC dataset. This increase is also observed in other attack methods. For instance, Min-K++ (with K = 10%) attains 54.77% AUC-ROC for the WT dataset 437 and 76.17% for the WC dataset. The underlying reason for this is that the member dataset ($D_{\text{te-m}}$) 438 has a higher n-gram overlap with the WT non-member dataset compared to the WC non-member 439 dataset. A high n-gram overlap between members and non-members implies that substrings of non-440 members may have been seen during training, complicating the distinction between members and 441 non-members (Duan et al., 2024). 442

Larger models memorize more: Another observation from Table 1, Table 2, Table 4, and Table 5 is that larger models exhibit greater memorization, consistent with findings from previous studies (Duan et al., 2024; Carlini et al., 2022a; Nasr et al., 2023). For instance, for the WT (WC) test datasets, SMIA achieves AUC-ROC scores of 67.39% (93.35%), 64.63% (92.11%), and 60.65% (89.97%) for Pythia 12B, 6.9B, and 2.7B, respectively. Similarly, SMIA achieves 59.71% (89.59%) and 58.92% (87.43%) on GPT-Neo 2.7B and 1.3B, respectively, for the WT (WC) test datasets.

- 449 5.2 EVALUATION IN MODIFIED SETTINGS
- 450

Existing MIAs against LLMs typically assess the membership status of texts that exist verbatim in the training data. However, in practical scenarios, member data might undergo slight modifications. An important application of MIAs is identifying the use of copyrighted content in training datasets. For instance, in the legal case involving the New York Times and OpenAI, the outputs of ChatGPT were found to be very similar to NYTimes articles, with only minor changes such as the addition or deletion of a single word (Grynbaum & Mac, 2023). This section explores the capability of SMIA to detect memberships even after such slight modifications.

457 To evaluate SMIA and other MIAs under these conditions, we generated three new test member 458 datasets from our existing Wikipedia test member dataset ($D_{\text{te-m}}$) as follows (Figure 5 provides 459 examples for each modification): Duplication: A random word in each member data point is du-460 plicated. Deletion: A random word in each member data point is deleted. Addition: A mask 461 placement is randomly added in each member data point, and the T5 model is used to fill the mask 462 position, with only the first word of the T5 replacement being used. We just consider one word 463 modification beacuse more than one word modification reuslts in a drastic drop of performance for 464 all attacks.

465 Table 3 presents the AUC-ROC performance results of different MIAs and our SMIA under these 466 slightly modified test member datasets. The table includes the best AUC-ROC values for Min-K and 467 Min-K++ across different values of K. The results indicate that for the WT non-member dataset, 468 when a word is duplicated or added from the T5 output, the Ref attack outperforms SMIA. For 469 instance, with Pythia-12B, the Ref attack achieves AUC-ROC scores of 57.88% and 57.95% after 470 word duplication and addition from the T5 output, respectively, whereas SMIA achieves scores of 55.13% and 54.19% for the same settings. It is important to note that the Reference model is Pythia-471 1.4B, which shares the same architecture and training dataset (Pile) but with fewer parameters, a 472 scenario that is less feasible in real-world applications. However, when a word is deleted, SMIA 473 retains much of its efficacy, achieving an AUC-ROC of 62.47% compared to 58.25% for the Ref 474 attack on the WT non-member dataset. This indicates that SMIA is more sensitive to additions than 475 deletions. 476

In scenarios involving the WC non-member dataset, where non-members exhibit lower n-gram
overlap with members, SMIA consistently outperforms other MIAs. For example, SMIA achieves
AUC-ROC scores of 89.36% and 92.67% for word addition and deletion, respectively, while the Ref
attack scores 66.50% and 66.84% for these modified member datasets.

Another key observation is that Min-K++ exhibits a greater decline in AUC-ROC than Min-K following modifications. For instance, on Pythia-12B with the WC non-member dataset, Min-K++
AUC-ROC drops from 76.05% (no modification) to 69.07% (duplication), 70.81% (addition), and
69.87% (deletion). Conversely, Min-K AUC-ROC decreases from 76.05% (no modification) to
69.46% (duplication), 71.10% (addition), and 70.48% (deletion). This increased sensitivity of Min-K++ to modifications is due to its reliance on the average and variance of all vocabulary probabilities

486 to normalize its scores, making it more susceptible to changes in these probabilities, thereby degrad-487 ing performance. 488

Table 3: Performance of various MIAs including our SMIA under different modification scenarios 489 of the test member dataset (D_{te}^m) . The table compares AUC-ROC scores when test members undergo 490 word duplication, deletion, or addition using the T5 model. The results highlight the robustness of 491 SMIA, especially against deletions and when non-members have lower n-gram overlap with mem-492 bers. 493

494			Pythi	a-12B	Pythia	a-6.9B
495	Method	Modification	WT	WC	WT	WC
496		Loss	52.07	64.60	51.41	63.04
497		Ref	57.88	66.48	55.94	62.72
498		Zlib	51.87	63.99	51.23	62.44
499	Duplication	Nei	51.71	68.13	51.09	66.87
		Mink	51.93	69.46	51.10	67.45
500		Mink++	46.37	69.07	44.94	66.46
501		Our SMIA	55.13	90.53	52.68	88.80
502		Loss	52.36	64.90	51.70	63.33
503		Ref	57.95	66.55	56.05	62.84
504		Zlib	52.31	64.47	51.65	62.93
505	Addition	Nei	51.55	67.80	50.94	66.61
		Mink	52.60	71.10	51.75	69.02
506		Mink++	48.23	70.81	46.60	68.15
507		Our SMIA	54.19	89.36	51.97	87.69
508		Loss	51.83	64.28	51.19	62.74
509		Ref	58.25	66.84	56.61	63.40
510		Zlib	50.58	62.44	49.90	60.89
511	Deletion	Nei	54.55	70.65	53.99	69.50
		Mink	52.07	70.48	51.24	68.36
512		Mink++	47.46	69.87	46.04	67.30
513		Our SMIA	62.47	92.67	60.39	91.37

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6 **CONCLUSION AND FUTURE WORKS**

516 In this paper, we introduced the Semantic Membership Inference Attack (SMIA), which leverages 517 the semantics of input texts and their perturbations to train a neural network for distinguishing mem-518 bers from non-members. We evaluated SMIA in two primary settings: (1) where the test member 519 dataset exists verbatim in the training dataset of the target model, and (2) where the test member 520 dataset is slightly modified through the addition, duplication, or deletion of a single word. 521

For future work, we plan to evaluate SMIA in settings where the test member dataset consists of 522 paraphrases of the original member data points, with minimal semantic distance between them. 523 This will help us demonstrate that more advanced models tend to memorize the semantics of their 524 training data rather than their exact wording. 525

Additionally, we aim to apply SMIA to measure unintended multi-hop reasoning. In multi-hop 526 reasoning (Yang et al., 2024), a model could connect two parts of the training data through indirect 527 inferences, potentially disclosing private information. We intend to assess how much the target 528 model reveals about its training data through multi-hop reasoning using our SMIA approach. 529

530 Another direction is to utilize SMIA to show that anonymization is insufficient. SMIA can reveal 531 the limitations of traditional data redaction techniques, illustrating how anonymization falls short when an adversary can cross-reference (i.e., use supplementary information from another source) to 532 deduce sensitive information, such as a person's medical condition. 533

534 Finally, we plan to use SMIA to measure hallucination (Ji et al., 2023; Zheng et al., 2023; Agrawal et al., 2023) in LLMs. We hypothesize that the issues of hallucination and memorization may be 536 interconnected in interesting ways. Intuitively, the more an LLM memorizes its training data, the 537 less likely it is to hallucinate text that contradicts the memorized data. SMIA can provide a metric for assessing the likelihood of text output being a result of the model's accurate memorization (direct 538 or multi-hop) versus hallucination. This metric is particularly valuable as it measures the extent to which an output is derived from the model's intrinsic semantic beliefs, shaped by its training data.

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EXISTING MIAS AGAINST LLMS А

664 MIAs use cases: MIAs provide essential assessments in various domains. They are cornerstone for privacy auditing (Mireshghallah et al., 2022; Mattern et al., 2023), where they test whether LLMs 665 leak sensitive information, thereby ensuring models do not memorize data beyond their learning 666 scope. In the realm of machine unlearning Eldan & Russinovich (2023), MIAs are instrumental in 667 verifying the efficacy of algorithms to comply with the right to be forgotten, as provided by pri-668 vacy laws like the General Data Protection Regulation (GDPR) (Voigt & Von dem Bussche, 2017) 669 and the California Consumer Privacy Act (CCPA) (Pardau, 2018). These attacks are also pivotal 670 in copyright detection, pinpointing the unauthorized inclusion of copyrighted material in training 671 datasets(Shi et al., 2023; Grynbaum & Mac, 2023). Furthermore, they aid in detecting data contami-672 nation – where specific task data might leak into a model's general training dataset (Wei et al., 2021; 673 Chowdhery et al., 2023). Lastly, in the tuning the hyperparameters of differential privacy, MIAs 674 provide insights for setting the ϵ parameter (i.e., the privacy budget), which dictates the trade-off be-675 tween a model's performance and user privacy (Lowy et al., 2024; Bernau et al., 2019; Mireshghallah et al., 2022). 676

677 As mentioned in Section 2, MIAs assign a membership score A(x,T) to a given text input x and 678 a trained model T(.). This score represents the likelihood that the text was part of the dataset on 679 which T(.) was trained. A threshold ϵ is then applied to this score to classify the text as a member 680 if it is higher than ϵ , and a non-member if it is lower. In this section, we provide the description of 681 existing MIAs against LLMS.

- 682 **LOSS** (Yeom et al., 2018): The LOSS method utilizes the loss value of model T(.) for the given 683 text x as the membership score; a lower loss suggests that the text was seen during training, so 684 $A(x,T) = \ell(T,x).$ 685
- **Ref (Carlini et al., 2021):** Calculating membership scores based solely on loss values often results 686 in high false negative rates. To improve this, a difficulty calibration method can be employed to 687 account for the intrinsic complexity of x. For example, repetitive or common phrases typically 688 yield low loss values. One method of calibrating this input complexity is by using another LLM, 689 Ref(.), assumed to be trained on a similar data distribution. The membership score is then defined 690 as the difference in loss values between the target and reference models, $A(x,T) = \ell(x,T) - \ell(x,T)$ 691 $\ell(x, Ref)$. Following recent works (Shi et al., 2023; Zhang et al., 2024), we use smaller reference 692 models, Pythia 1.4B and Pythia 70M, which are trained on the same dataset (Pile) and share a similar 693 architecture with the Pythia target models.
- 694 Zlib (Carlini et al., 2021): Another method to calibrate the difficulty of a sample is by using its zlib 695 compression size, where more complex sentences have higher compression sizes. The membership 696 score is then calculated by normalizing the loss value by the zlib compression size, A(x,T) =697 $\frac{\ell(x,T)}{zlib(x)}$ 698
- 699 Nei (Mattern et al., 2023; Mitchell et al., 2023): This method perturbs the given text to calibrate its difficulty without the need for a reference model. Neighbors are created by masking random 700 words and replacing them using a masking model like BERT (Devlin et al., 2018) or T5 (Raffel 701 et al., 2020). If a model has seen a text during training, its loss value will generally be lower than

the average of its neighbors. The membership score is the difference between the loss value of the original text and the average loss of its neighbors, $A(x,T) = \ell(x,T) - \frac{1}{n} \sum_{i \in [n]} \ell(\hat{x}_i,T)$, where in our experiments for each sample n = 25 neighbors are generated using a T5 model with 3B parameters.

712 **Min-K++ (Zhang et al., 2024):** This method improves on Min-K by utilizing the insight that 713 maximum likelihood training optimizes the Hessian trace of likelihood over the training data. It 714 calculates a normalized score for each token x_t given the prefix $x_{< t}$ as Min-K%++_{token} $(x_t) =$ 715 $\frac{\log p(x_t|x_{< t}) - \mu_{x_{< t}}}{\sigma_{x_{< t}}}$, where $\mu_{x_{< t}}$ is the mean log probability of the next token across the vocabulary, 716 and $\sigma_{x_{< t}}$ is the standard deviation. The membership score is then aggregated by averaging the scores 717 of the lowest K% tokens, $A(x, T) = \frac{1}{|\min k\% + t|} \sum_{x_i \in min - k\%} Min-K\% + t_{token}(x_t)$.

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B SMIA COST ESTIMATION

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The cost estimation for deploying the SMIA involves several computational and resource considerations. Primarily, the cost is associated with generating neighbours, calculating embeddings, and evaluating loss values for the target model T(.).

725 For each of the datasets, $D_{\text{tr-m}}$ (members) and $D_{\text{tr-n}}$ (non-members), consisting of β data samples 726 each, we generate n neighbours per data item. Consequently, this results in a total of $2 \times n \times \beta$ 727 neighbour generations. Assuming each operation has a fixed cost, with c_N for generating a neigh-728 bour, c_T for computing a loss value, and c_E for calculating an embedding, the total cost for the 729 feature collection phase can be approximated as: $2 \times (n \times \beta + 1) \times (c_N + c_E + c_T)$. In this esti-730 mation, the training of the neural network model A(.) is considered negligible due to its relatively 731 small size (few million parameters) and its architecture, which primarily consists of fully connected layers. Additionally, the costs associated with c_T and c_N are not significant in this context as they 732 are incurred only during the inference phase. Thus, the predominant cost factor is c_E , the cost of 733 embedding calculations. 734

735 In practical terms, for our experimental setup (Section 4.2) using the Wikipedia dataset as an exam-736 ple, we prepared a training set comprising 6,000 members and 6,000 non-members. With each data 737 item generating n = 25 neighbours, the total number of data items requiring embedding calculations 738 becomes: 6,000 + 6,000 + 150,000 + 150,000 = 312,000 Each of these data items, on average, consists of 1052 characters (variable due to replacements made by the neighbour generation model), 739 leading to a total of $312,000 \times 1052 = 328,224,000$ characters processed. These transactions are 740 sent to the Cohere Embedding V3 model (Cohere, 2024) for embedding generation. The cost of 741 processing these embeddings is measured in thousands of units. Hence, the total estimated cost for 742 embedding processing is approximately: $32,822 \times \$0.001 = \32.82 . 743

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- C MISSING RESULTS
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C.1 TPR FOR LOW FPR

Table 4 and Table 5 show the TPR at 2%, 5% and 10% FPR for different baselines and our proposed SMIA by targeting different models using Wikipedia and MIMIR datasets.

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- 753 C.2 EFFECT OF DEDUPLICATION
- 755 Table 6 shows the AUC-ROC metric comparing different MIAs and our SMIA for deduped pythia models using Wikipedia dataset.

756 C.3 EFFECFT OF NUMBER OF NEIGHBOURS

758 Table 7 presents the performance of our SMIA when varying the number of neighbors used dur-759 ing inference. The results indicate that a larger number of neighbors generally improves SMIA's 760 performance. However, we have chosen to use 25 neighbors in our experiments, as increasing this 761 number further leads to additional computational demands without a corresponding improvement in 762 performance.

764 C.4 EFFECT OF SIZE OF TRAINING DATASET

Figure 3 illustrates the effect of using larger training datasets on the validation loss of the SMIA over 20 epochs. This figure displays the performance of the SMIA model on a validation dataset consisting of 1,000 members and 1,000 non-members, which are existing in the original Wikipedia portion of the Pile dataset (train and validation splits). In our experiments, we tested four different training sizes: 1,000 members + 1,000 non-members, 2,000 members + 2,000 non-members, 4,000 members + 4,000 non-members, and 6,000 members + 6,000 non-members. The results indicate that larger training datasets generally yield lower validation losses for the SMIA model. However, larger datasets require more computational effort as each member and non-member sample needs n neighbors generated, followed by the calculation of embedding vectors and loss values for each neighbor. Due to computational resource limitations, we use a training size of 6,000 members + 6,000 non-members for all our experiments.

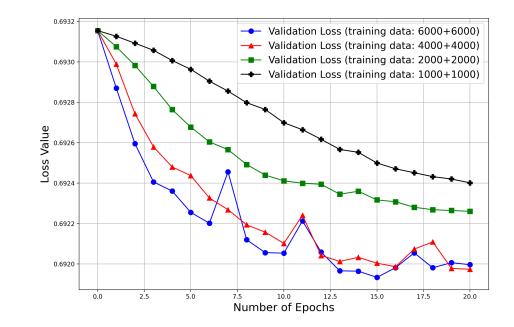


Figure 3: Effect of different training size on the validation loss of SMIA for 20 epochs.

C.5 SIMILARITY SCORES OF NEIGHBOURS

Figure 4 shows histogram of the similarity scores between members, non-members, and their 25 generated neighbors. These similarity scores are calculated using cosine similarity between the embedding vector of the original text and the embedding vectors of the neighbors. The dataset comprises 6,000 members and 6,000 non-members, resulting in 150,000 neighbors for each group. The histogram reveals that while most neighbors exhibit high similarity, there is a range of variability. Notably, even neighbors with lower similarity scores, such as around 70%, provide valuable data for training our SMIA. This diversity enables SMIA to more effectively distinguish membership under varying degrees of textual context changes.

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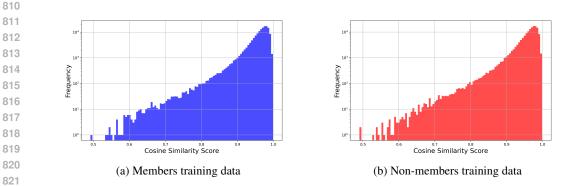


Figure 4: Similarity scores of generated neighbors for our training datasets for member and nonmember

C.6 EVALUATING DATASET COMPLEXITY FOR MIAS: WT VS. WC

Evaluating the effectiveness of MIAs requires careful consideration of the underlying dataset properties. As highlighted by prior work Das et al. (2024), high success rates of MIAs are often attributed to distributional shifts between members and non-members rather than genuine privacy vulnerabilities. The authors demonstrated this through *blind attacks* that distinguish members from non-members without access to the target model, outperforming state-of-the-art MIAs. Their findings underscore the critical need to disentangle dataset characteristics from model behaviors when assessing the privacy risks posed by MIAs.

In this section, we evaluate the complexity of our main dataset, WT, in comparison to WC and the 838 widely-used WikiMIA dataset Shi et al. (2023). WT consists of members and non-members drawn 839 from the Pile training and test splits, respectively, while WC draws non-members from Wikipedia 840 pages published after the training cutoff. This difference makes WC inherently easier for MIAs, 841 as the non-members exhibit a clear distributional shift from members. To demonstrate this, we 842 follow the methodology of Das et al. (2024) and apply two blind attack strategies. Similar to their 843 setting, we first train the blind attack models on 80% of data and then report the MIAs success rate 844 using the rest of 20%. We applied two blind attacks: a) Greedy Rare Word Selection: This attack extracts all n-grams ($n \in [1,5]$) and ranks them by their TPR to FPR ratio on a training part of 845 the data. The n-gram with the highest ratio is selected iteratively. On the test set, membership is 846 predicted for samples containing any of the selected n-grams. b) Bag-of-Words Classifier: We train a 847 simple bag-of-words classifier on 80% of the datasets and evaluate its performance on the remaining 848 20%. Additionally, we evaluate these methods on the WikiMIA dataset Shi et al. (2023), which was 849 constructed similarly to WC and has been widely used in prior MIA studies. We include WikiMIA 850 to validate the accuracy of our implementation. 851

Table 8 summarizes the results of our experiments, reporting AUC-ROC scores and TPRs at low 852 FPR thresholds (1%, 2%, 5%, and 10%). Key insights include: 1) Implementation Validation: 853 Our implementation of greedy rare word selection and the bag-of-words classifier produces results 854 consistent with those reported in [1] on the WikiMIA dataset. Specifically, these methods achieve 855 AUC-ROC scores of 87.8% and 98.53%, respectively, validating our approach (similar to origian) 856 results in Das et al. (2024)). 2) Ease of Distinguishing Members in WC: On WC, blind attacks 857 achieve AUC-ROC scores of 58.30% and 83.6% for the two methods. This demonstrates that WC, 858 like WikiMIA, is relatively easy for MIAs due to the distinct distributional shift between members 859 and non-members (refre to Section 5.1 for more infomration). 3) Challenge of Distinguishing 860 **Members in WT**: In stark contrast, WT proves significantly more challenging for blind attacks. 861 The AUC-ROC scores for greedy rare word selection and the bag-of-words classifier are 52.21% and 51.8%, respectively —equivalent to random guessing. TPRs at low FPRs using the bag-of-862 words classifier further highlight this difficulty, with values of 0.0%, 1.0%, and 2.0% for FPRs of 863 1%, 2%, and 5%, respectively. While the same attack on WC dataset achieved TPRs of 53.85%,

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87.18% and 88.46% and it also got TPRs of 13.0%, 23.0% and 32.0% for the same set of FPRs respectively.

These results emphasize the limitations of datasets like WC and WikiMIA for evaluating MIAs, as their success is largely driven by the distributional differences between members and non-members rather than true privacy leakage. In contrast, the WT dataset presents a realistic and challenging evaluation scenario, where member and non-member distributions are closely aligned. This highlights the robustness of our proposed SMIA method, as its strong performance on WT (as shown in Tables 1 and 4) demonstrates its ability to effectively address privacy risks in settings where blind attacks fail.

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C.7 ABLATION STUDY ON SMIA PERFORMANCE

877 In this ablation study, we extend our experiments to include an additional embedding model, E5mistral-7b-instruct Wang et al. (2023; 2022), which complements the original experiments con-878 ducted using the Cohere v3 model. The E5-mistral model generates embeddings of size 4096, 879 significantly larger than the 1024-dimensional embeddings produced by Cohere v3. To accommo-880 date this increased dimensionality, we modified only the input layer of the SMIA network, keeping 881 the remaining architecture unchanged. Table 9 presents the results of employing various embed-882 ding models, where we evaluate both AUC-ROC and TPRs at FPRs. The robustness of SMIA is 883 evident across different datasets; for instance, utilizing Pythia 12b and the WT dataset, we observe 884 AUC-ROC scores of 67.39% and 67.45% when embedding features are generated by Cohere v3 and 885 E5-mistral-7b-instruct, respectively.

886 Further, Table 10 details the impact of varying the classifier network size within the SMIA frame-887 work. We report AUC-ROC and TPRs for low FPRs across three network configurations: the origi-888 nal network as detailed in Table 11 with 1.3M parameters, a simplified linear classifier with a single 889 fully connected layer (FC(1024,1)), and an expanded network that incorporates two additional FC 890 layers (2048, 2048), increasing the parameter count to approximately 8.1M. Performance metrics 891 on the Pythia 12b and WT dataset reveal that the simple network achieves an AUC-ROC of 62.09%, 892 the original network registers 67.39%, and the larger network slightly underperforms at 67.29%. 893 The larger network's need for more extensive training data is apparent, given our dataset comprises 894 only 6000 members and an equal number of non-members, underscoring the efficacy of our current configuration with 1.3M parameters in handling classification tasks. 895

D MORE DETAILS ABOUT EXPERIMENT SETUP

D.1 SMIA MODEL ARCHITECTURE

Table 11 shows the SMIA architecture with its layer sizes that we used in our experiments.

D.2 METRICS

In our experiments, we employ following privacy metrics to evaluate the performance of our attacks:

907 (1) Attack ROC curves: The Receiver Operating Characteristic (ROC) curve illustrates the trade908 off between the True Positive Rate (TPR) and the False Positive Rate (FPR) for the attacks. The FPR
909 measures the proportion of non-member samples that are incorrectly classified as members, while
910 the TPR represents the proportion of member samples that are correctly identified as members. We
911 report the Area Under the ROC Curve (AUC-ROC) as an aggregate metric to assess the overall
912 success of the attacks. AU-ROC is a threshold-independent metric, and it shows the probability that
a positive instance (member) has higher score than a negative instance (non-member).

(2) Attack TPR at low FPR: This metric is crucial for determining the effectiveness of an attack at confidently identifying members of the training dataset without falsely classifying non-members as members. We focus on low FPR thresholds, specifically 2%, 5%, and 10%. For instance, the TPR at an FPR of 2% is calculated by setting the detection threshold so that only 2% of non-member samples are predicted as members.

918 D.3 EXAMPLE OF MODIFIED TEXT

In Section 5, we introduce a modified evaluation setting where the member dataset undergoes various alterations. Figure 5 illustrates an example of a Wikipedia member sample undergoing different modifications: (a) shows the original sample, (b) shows a neighbor of the original created by replacing some words with outputs from a masking model, (c) shows modified sample by deleting a random word, (d) shows the modified sample by duplicating one word, and (e) shows the modified sample after adding one word using a T5 model.

926 Luis Manuel Blanco (born 13 December 1953) is an Argentine football coach, who curre 927 ntly manages Mons Calpe in the Gibraltar Premier Division. He was formerly the head coach of the Indonesia national team. His stay in Indonesia was brief, as he was rep 928 laced by Rahmad Darmawan after less than a month and no matches. .. 929 (a) Input sample (Original - with no modifiation) 930 931 Luis Manuel Blanco (born 13 December 1953) is an Argentine football manager , who cu 932 rrently manages Mons Calpe in the Gibraltar Premier League. Blanco was formerly the 933 head coach of the Indonesia national team. Blanco's stay in Indonesia was brief. as 934 he was dismissed at Indonesia by the Football Association of Indonesia after less th an a month and a half, having failed to win any Indonesia national matches. \ldots 935 (b) Neighbor sample by substituting random words with a masking model 936 output 937 938 Luis Manuel Blanco (born 13 December 1953) is an Argentine football coach, who curre 939 ntly manages Mons Calpe in the Gibraltar Premier Division. He was formerly the head 940 coach of the Indonesia national team. His stay in Indonesia was brief, as he was rep laced by Rahmad Darmawan after less than a month and no matches. 941 (c) Deletion modification by removing a random word 942 943 Luis Manuel Blanco (born 13 December 1953) is an Argentine football coach, who curre 944 ntly manages Mons Calpe in the Gibraltar Premier Premier Division. He was formerly t 945 he head coach of the Indonesia national team. His stay in Indonesia was brief, as he 946 was replaced by Rahmad Darmawan after less than a month and no matches. (d) Duplication modification by duplicating a random word 947 948 Luis Manuel Blanco (born 13 December 1953) is an Argentine football coach, who curre 949 ntly manages Mons Calpe in the Gibraltar Premier Division. He was formerly the head 950 coach of the Indonesia national team. His stay in Indonesia where was brief, as he w 951 as replaced by Rahmad Darmawan after less than a month and no matches. (e) Addition modification by adding the first word of a masking model for a 952 random mask token 953 954 Figure 5: An example for input sample and different modifications. 955

957 D.4 SMIA HYPERPARAMETERS

To construct our neighbor datasets, we generate n = 25 neighbors for each data point. Table 11 959 details the architecture of the SMIA model used across all experiments. We employ the Adam 960 optimizer to train the network on our training data over 20 epochs. The batch size is set to 4, 961 meaning each batch contains neighbors of 2 members and 2 non-members, totaling 50 neighbors for 962 members and 50 neighbors for non-members, thus including 100 neighbors per batch. For regular 963 experiments, we use a learning rate of 5×10^{-6} . However, for modified evaluations, which include 964 duplication, addition, and deletion scenarios, we adjust the learning rate to 1×10^{-6} . In all of our 965 experiments, we report the AUC-ROC or TPR of the epoch that results in lowest loss on validation 966 dataset.

968 D.5 COMPUTE RESOURCES

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For the majority of our experiments, we utilize a single H100 GPU with one core. It is important to note that we do not train or fine-tune any LLMs during our experiments; we operate in inference mode using pre-trained models such as the T5 masking model and various models from the Pythia

family. Generating n = 25 neighbors for a dataset of 1,000 texts required approximately 16 hours of compute time. For the task of calculating embedding vectors, we employed the Cohere Embedding V3 model, which is provided as a cloud service. The computation of loss values for the target model was also minimal, taking only a few minutes for the a dataset of 1,000 examples. Finally, training the SMIA model was notably rapid, owing to its relatively small size of only a few million parameters. The entire training process, after having all the input features for training data, was completed in less than 10 minutes over 20 epochs.

Table 4: True Positive Rate (TPR) at 2%, 5% and 10% False Positive Rate (FPR) for various MIAs, including our SMIA, across different trained models (Pythia and GPT-Neo) using the Wikipedia dataset.

			a-12B		a-6.9B		a-2.7B		leo2.7B	GPT-N	
Method	FPR	WT	WC	WT	WC	WT	WC	WT	WC	WT	W
	1%	1.0	6.9	0.7	5.5	0.6	4.9	0.7	6.8	0.4	5.
LOSS	2%	2.1	12.2	2.6	11.9	3.1	9.4	2.8	9.2	2.2	8.
2000	5%	5.8	22.8	5.5	20.3	5.6	19.9	5.6	19.8	5.6	19
	10%	11.1	32.1	10.9	29.8	10.2	28.6	10.0	27.6	9.8	25
Ref (Pythia 70M)	1%	0.5	3.3	0.4	3.2	0.4	2.5	0.7	2.3	0.6	1.
(-))	2%	1.1	5.3	1.7	4.7	2.1	4.3	1.7	4.4	1.6	3.
	5%	6.7	8.7	6.2	9.1	6.4	8.4	5.8	9.0	5.6	7
	10%	11.7	18.3	12.0	16.1	11.1	14.8	11.9	13.9	12.3	13
Ref (Pythia 1.4B)	1%	0.6	4.0	0.8	3.7	0.8	3.2	0.9	1.2	0.5	0
(i junu 1.12)	2%	2.0	5.7	2.1	6.3	2.8	4.1	2.3	2.7	1.7	0
	5%	8.2	13.1	7.3	10.2	5.9	7.2	5.3	5.3	4.2	2
	10%	16.5	21.3	14.7	17.7	10.7	13.7	11.4	10.8	10.0	8
Zlib	1%	0.7	9.1	0.6	8.3	0.4	7.9	0.4	7.5	0.4	7
2110	2%	2.2	12.0	2.1	10.5	1.9	9.2	2.1	10.0	2.0	9
	5%	5.5	22.7	5.9	22.4	5.2	9.2 19.8	6.0	18.7	5.9	16
	10%	3.5 10.4	33.5	10.2	30.5	9.1	29.2	10.0	28.1	9.9	28
Nei	10%	0.7	5.8	0.8	6.0	0.8	5.7	0.8	6.2	0.9	6
1.01	2%	1.3	11.2	1.4	9.3	1.7	9.0	1.5	10.1	2.1	8
	5%	4.3	19.2	4.5	18.9	5.2	18.8	5.3	18.2	5.5	16
	10%	10.4	32.0	10.5	29.9	10.0	27.6	10.4	27.4	11.0	28
Min-K	1%	1.0		0.8		0.7	8.6	0.8			9
(K = 10%)	1% 2%	1.0 1.8	11.3 17.9	1.9	9.2 18.3	0.7 1.9	8.0 14.1	1.6	11.8 15.9	0.6 1.4	9 14
	2% 5%	1.8 5.6	28.9	6.0	26.0	6.7	23.9	5.7	22.4	6.5	20
	10%	13.3	28.9 41.7	13.7	20.0 36.7	12.3	33.8	13.2	22.4 31.7	11.6	20
Min-K	10%	15.5 1.1	9.8	0.8	8.4	0.8	8.1	0.8	9.2	0.5	- 20
(K = 20%)	2%	1.8	14.7	2.1	16.7	2.7	14.1	2.4	14.3	2.0	13
	5%	5.6	27.0	5.4	25.9	5.8	23.6	5.7	23.3	5.9	2
	10%	12.7	38.3	12.6	36.5	12.0	31.9	12.4	32.2	11.4	28
Min-K	10%	12.7		12.0	50.5	12.0	51.9		32.2		Z
(K = 30%)	1%	1.0	8.9	0.7	7.0	0.6	6.8	0.8	7.6	0.5	7
	2%	2.1	14.2	2.5	14.6	2.8	12.1	2.5	12.1	2.1	1
	5%	5.8	28.4	5.5	25.3	5.5	22.2	5.5	22.3	5.4	19
Martes	10%	12.6	37.7	12.5	33.2	12.4	32.9	12.1	30.6	11.3	28
Min-K++ $(K = 10\%)$	1%	1.1	14.8	1.1	8.5	1.1	9.0	1.1	8.6	0.8	7
	2%	3.0	19.4	2.2	13.6	2.5	12.4	2.8	12.3	2.2	10
	5%	6.1	29.6	6.8	26.0	6.6	23.9	5.3	22.0	5.2	19
	10%	12.6	40.6	12.6	40.4	11.9	32.2	10.2	33.2	11.4	28
Min-K++ (K = 20%)	1%	1.0	17.9	1.1	12.0	1.2	11.5	1.1	10.2	0.9	9
	2%	2.8	21.2	2.0	17.4	2.3	16.7	2.7	12.9	2.0	11
	5%	5.5	30.5	6.0	27.7	5.6	23.7	6.5	24.1	5.3	20
	10%	12.2	43.7	12.0	38.7	12.2	34.8	10.2	34.0	10.9	29
Min-K++ $(K = 30\%)$	1%	1.0	18.0	1.1	12.9	1.1	11.5	1.1	10.2	0.9	8
(11 - 0070)	2%	2.7	20.9	2.0	17.7	2.2	16.9	2.7	12.8	2.1	1
	5%	5.4	31.4	5.8	27.5	5.7	24.8	6.4	24.6	4.7	20
	10%	12.2	43.9	12.5	38.3	11.5	35.4	10.5	24.0 34.4	11.3	30
Our SMIA	1%	1.0	36.4	0.8	34.4	0.7	26.7	10.5	24.8	1.2	1
	2%	3.8	46.2	3.1	41.6	2.4	35.1	1.8	32.9	2.8	25
	5%	10.4	66.0	8.3	60.2	6.8	52.5	6.3	49.8	7.2	45
	10%	20.6	79.3	18.1	75.4	15.0	67.6	14.4	67.9	14.9	60

1081 Table 5: True Positive Rate (TPR) at 2%, 5% and 10% False Positive Rate (FPR) for various MIAs, 1082 on datasets in MIMIR dataset (Duan et al., 2024) where members and non-members share less than 1083 80% overlap in 13-gram.

Target Model	Dataset	FPR				Method			
Target Woder	Dataset		LOSS	Ref	Zlib	Nei	Mink	Mink++	Our SML
		2%	4.04	1.01	5.78	2.89	4.62	2.89	10.4
	Wikipedia	5%	10.98	5.78	9.24	5.78	10.40	7.51	16.1
		10%	16.18	10.40	13.29	12.13	19.07	17.34	23.6
		2%	40.46	6.35	39.30	15.60	40.46	38.72	95.9
	Github	5%	46.24	13.87	46.24	27.74	45.66	43.93	98. 8
Pythia-12B		10%	50.28	19.65	54.91	39.88	50.28	51.44	10
i yuna-12D		2%	1.50	1.00	1.00	0.00	1.00	2.51	1.0
	Arxiv	5%	4.52	6.53	5.02	2.51	6.53	7.53	3.5
		10%	8.54	16.58	6.53	4.52	8.54	11.05	12.6
		2%	0.00	7.00	0.00	1.00	0.00	1.00	8.5
	PubMed	5%	9.50	9.50	6.00	6.00	8.00	6.00	11.
		10%	13.50	18.50	15.00	14.50	14.5	12.50	30.5
		2%	5.20	0.00	7.51	3.46	6.35	5.78	8.6
	Wikipedia	5%	12.13	3.46	9.82	5.20	10.98	10.40	14.4
		10%	15.60	6.35	14.45	12.13	19.65	17.91	19.6
		2%	34.68	7.51	37.52	19.07	36.41	32.36	97. 1
	Github	5%	41.61	9.24	42.77	28.32	41.04	43.35	98.8
Pythia-6.9B		10%	47.97	19.65	51.44	44.50	45.08	49.71	10
r yulla-0.9D		2%	1.50	3.01	1.00	0.00	1.50	2.51	1.0
	Arxiv	5%	5.52	6.53	4.52	3.01	6.03	4.52	3.0
		10%	9.04	10.55	7.53	8.54	9.54	12.06	8.5
		2%	0.00	6.00	0.00	1.00	0.00	0.00	5.5
	PubMed	5%	8.00	10.50	4.50	4.50	7.00	6.50	14.0
		10%	13.50	16.50	15.00	13.00	16.00	12.50	23.5

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Table 6: AUC-ROC performance metrics for various MIAs, including our SMIA, across different 1110 trained deduped Pythia models using the Wikipedia dataset. 1111

	Pythia-121	3-Deduped	Pythia-6.9	B-Deduped	Pythia-2.7B-Deduped		
Method	WT	WC	WT	WC	WT	WC	
LOSS	53.39	65.19	53.08	61.58	52.78	62.93	
Ref (Pythia 70M)	50.24	54.85	49.71	53.89	48.92	51.90	
Ref (Pythia 1.4B)	51.62	56.99	50.32	54.70	47.40	47.09	
Zlib	52.81	64.31	52.55	63.64	52.23	62.08	
Nei	53.93	69.93	53.63	69.17	53.07	68.16	
$\begin{array}{c} \text{Min-K} \\ (K = 10\%) \end{array}$	54.40	72.91	53.71	71.40	53.62	69.39	
$\begin{array}{c} \text{Min-K} \\ (K = 20\%) \end{array}$	54.25	70.83	53.77	69.80	53.41	67.95	
$\begin{array}{c} \text{Min-K} \\ (K = 30\%) \end{array}$	54.0	69.30	53.59	68.24	53.17	66.50	
Min-K++ (K = 10%)	52.84	74.48	52.13	72.92	51.32	69.90	
Min-K++ (K = 20%)	53.66	75.19	53.01	73.28	51.95	70.19	
Min-K++ (K = 30%)	54.00	74.62	53.28	72.74	52.11	69.49	
Our SMIA	61.15	90.72	60.01	88.43	58.49	84.39	

Table 7: AUC-ROC performance metrics of SMIA when different number of neighbors used in inference.

		Pythi	Pythia-12B		Pythia-6.9B		GPT-Neo-2.7B	
Method	n_{inf}	WT	WC	WT	WC	WT	W	
	1	55.26	61.01	53.84	60.23	51.92	58.5	
	2	58.48	70.60	56.41	68.82	53.26	66.	
SMIA	5	61.27	78.06	59.08	76.48	57.17	74.0	
	15	65.63	87.15	62.62	85.46	58.86	82.0	
	25	67.39	93.35	63.64	92.11	59.71	89.	

Table 8: Performance of blind attacks Das et al. (2024) on WT, WC, and WikiMIA datasets. Results
show that WC and WikiMIA are relatively easy for blind attacks due to distributional shift between
members and non-members, achieving high AUC-ROCs and TPRs. In contrast, WT presents a challenging scenario, with blind attacks yielding near-random performance, highlighting its suitability
for robust MIA evaluation.

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		Wikil	MIA	W	<u>C</u>	WT		
1159	Mathad	Greedy	Bag-of-	Greedy	Bag-of-	Greedy	Bag-of-	
1160	Method	rare words	words	rare words	words	rare words	words	
1161	AUC-ROC	87.8	98.53	58.30	83.67	52.21	51.8	
1162	TPR@1%FPR	0.0	53.85	0.0	13.0	0.0	0.0	
1163	TPR@2%FPR	0.0	87.18	0.0	23.0	0.0	1.0	
1164	TPR@5%FPR	0.0	88.46	0.0	32.0	0.0	2.0	
1165	TPR@10%FPR	0.0	97.44	0.0	54.0	0.0	9.0	

Table 9: Comparison of SMIA performance using different embedding models.

			Pythia	a-12B	Pythia	1-6.9B
Method	Embedding	Metric	WT	WC	WT	WC
		AUC-ROC	67.39	93.35	64.63	92.11
		TPR@1%FPR	1.0	36.4	0.8	34.4
	Cohere v3	TPR@2%FPR	3.8	46.2	3.1	41.6
	TPR@10	TPR@5%FPR	10.4	66.0	8.3	60.2
SMIA		TPR@10%FPR	20.6	79.3	18.1	75.4
SIMIA		AUC-ROC	67.45	94.27	64.48	92.84
		TPR@1%FPR	1.0	33.2	0.6	31.0
	E5-mistral-7b-instruct	TPR@2%FPR	1.7	50.6	1.7	46.2
		TPR@5%FPR	7.5	70.1	6.9	63.8
		TPR@10%FPR	23.0	81.5	20.1	76.7

