Transition-based Opinion Generation for Aspect-based Sentiment Analysis

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Abstract

 Recently, the use of pre-trained generation models for extracting sentiment elements has resulted in significant advancements in aspect- based sentiment analysis benchmarks. How- ever, these approaches often overlook the importance of explicitly modeling structure among sentiment elements. To address this limitation, we present a study that aims to integrate general pre-trained sequence-to- sequence language models with a structure- aware transition-based approach. Therefore, we depart from a transition system for opin- ion tree generation, designed to better ex-**ploit pre-trained language models for struc-** tured fine-tuning. Extensive experiments show **that our model significantly advances the state-** of-the-art performance on several benchmark datasets. In addition, the empirical studies also indicate that the proposed opinion tree gener- ation with transition system is more effective in capturing the sentiment structure than other generation models.

⁰²³ 1 Introduction

 Aspect-based sentiment analysis (ABSA) has been 025 garnering increasing interest within the commu- nity. This area encompasses four key subtasks: as- pect term extraction, opinion term extraction, as- pect term category classification, and aspect-level sentiment classification. The initial two subtasks focus on extracting aspect terms and opinion terms from within a given sentence. The subsequent two subtasks aim to identify the category of the extracted aspect term and determine its sentiment polarity. Through these subtasks, ABSA offers a comprehensive approach to analyzing sentiment at a more granular, aspect-based level.

 Previously, most ABSA tasks were formulated [a](#page-8-1)s either sequence-level [\(Qiu et al.,](#page-8-0) [2011;](#page-8-0) [Peng](#page-8-1) [et al.,](#page-8-1) [2020;](#page-8-1) [Cai et al.,](#page-8-2) [2021\)](#page-8-2) or token-level clas- sification problems [\(Tang et al.,](#page-8-3) [2016b\)](#page-8-3). How-ever, these approaches often encountered signif-

Figure 1: An example of transition-based opinion tree generation.

icant challenges due to error propagation, as the **042** overall prediction performance was heavily depen- **043** [d](#page-8-1)ent on the accuracy of each individual step [\(Peng](#page-8-1) **044** [et al.,](#page-8-1) [2020\)](#page-8-1). As a result, recent studies have **045** shifted towards tackling the ABSA problem with a 046⁰⁴⁶ [u](#page-8-4)nified generative approach [\(Yan et al.,](#page-9-0) [2021;](#page-9-0) [Mao](#page-8-4) **047** [et al.,](#page-8-4) [2022;](#page-8-4) [Hu et al.,](#page-8-5) [2022b;](#page-8-5) [Bao et al.,](#page-8-6) [2023;](#page-8-6) **048** [Zhou et al.,](#page-9-1) [2023\)](#page-9-1). This new approach offers a **049** promising direction for ABSA research, as it aims **050** to mitigate the issues caused by error propagation **051** in traditional methods. **052**

Despite their promise, these unified generative **053** approaches for ABSA suffer from certain limita- **054** tions. A notable limitation is lack of a structural **055** guarantee for sentiment elements. This absence **056** means that the model may generate string out- **057** puts that do not conform to a valid opinion tree **058** structure, necessitating additional post-processing **059** steps to establish the necessary relationships be- **060** tween sentiment elements. **061**

In this study, we introduce a novel approach **062** named the *transition-based opinion generation* **063** *model* to address above challenges. This model **064**

 is designed to fully harness the generative power of pre-trained language models while simultane- ously capturing the explicit structure of sentiment elements. As shown in Figure [1,](#page-0-0) we design a tran- sition system composed of a concise set of funda- mental actions. This transition system serves as the backbone of our model, enabling it to generate structurally sound outputs. Utilizing this transi- tion system, we develop a neural transition-based opinion tree generation model. This model takes a review sentence as input and is tasked with gener- ating an action sequence that adheres to the prede- fined transition system. Once this action sequence is generated, it can be seamlessly utilized to recon- struct the opinion tree and sentiment elements, en- suring structural integrity and alignment with the original sentiment structure.

 The detailed evaluation shows that our model significantly advances the state-of-the-art perfor- mance on several benchmark datasets. In addi- tion, the empirical studies also indicate that the proposed transition-based opinion tree generation is more effective in capturing the sentiment struc-ture than generative models.

⁰⁸⁹ 2 Related Work

 Aspect-based sentiment analysis (ABSA) has drawn wide attention during the last decade. Early studies focus on the prediction of a single el- [e](#page-8-0)ment, such as extracting the aspect term [\(Qiu](#page-8-0) [et al.,](#page-8-0) [2011\)](#page-8-0), detecting the mentioned aspect cate- gory [\(Bu et al.,](#page-8-7) [2021\)](#page-8-7), and predicting the sentiment polarity for a given aspect [\(Tang et al.,](#page-8-8) [2016a;](#page-8-8) **[Chen et al.,](#page-8-9) [2022;](#page-8-9) [Cao et al.,](#page-8-10) [2022\)](#page-8-10).**

 Some works further consider the joint detec- tion of two sentiment elements, including the pair- [w](#page-9-2)ise extraction of aspect and opinion term [\(Xu](#page-9-2) [et al.,](#page-9-2) [2020;](#page-9-2) [Li et al.,](#page-8-11) [2022\)](#page-8-11); the prediction of as- pect term and its corresponding sentiment polar- ity [\(Zhang and Qian,](#page-9-3) [2020\)](#page-9-3); and the co-extraction [o](#page-8-12)f aspect category and sentiment polarity [\(Cai](#page-8-12) [et al.,](#page-8-12) [2020\)](#page-8-12). Recently, aspect sentiment triplet and quadruple prediction tasks are proposed in ABSA, they employ end-to-end models to predict the sentiment elements in triplet or quadruple for- mat [\(Peng et al.,](#page-8-1) [2020;](#page-8-1) [Wan et al.,](#page-9-4) [2020;](#page-9-4) [Cai et al.,](#page-8-2) [2021;](#page-8-2) [Zhang et al.,](#page-9-5) [2021a;](#page-9-5) [Bao et al.,](#page-8-13) [2022;](#page-8-13) [Zhou](#page-9-1) [et al.,](#page-9-1) [2023;](#page-9-1) [Bao et al.,](#page-8-6) [2023\)](#page-8-6).

112 More recently, there are some attempts on tack-**113** ling ABSA problem in a sequence-to-sequence **114** manner [\(Zhang et al.,](#page-9-5) [2021a\)](#page-9-5), either treating the class index [\(Yan et al.,](#page-9-0) [2021\)](#page-9-0) or the desired sen- **115** timent element sequence [\(Zhang et al.,](#page-9-6) [2021b\)](#page-9-6) 116 as the target of the generation model. For ex- **117** ample, [Yan et al.](#page-9-0) [\(2021\)](#page-9-0) treated the ABSA as a **118** text generation problem, and employ a sequence- **119** to-sequence pre-trained model to generate the se- **120** quence of aspect terms and opinion words di- **121** rectly. [Zhang et al.](#page-9-5) [\(2021a\)](#page-9-5) proposed a para- **122** phrase model that utilized the knowledge of the **123** pre-trained model via casting the original task to **124** a paraphrase generation process. They employed **125** the paraphrase to represent aspect-based quads. **126** [Bao et al.](#page-8-13) [\(2022\)](#page-8-13) employed a generation model to **127** generate all the sentiment elements as a tree struc- **128** ture. [Zhou et al.](#page-9-1) [\(2023\)](#page-9-1) simultaneously detected **129** aspect categories and co-extract aspect-opinion- **130** sentiment triplets, can absorb deeper interactions **131** between sentiment elements without error propa- **132 gation.** 133

Our study differs from previous research in that **134** we integrate pre-trained sequence-to-sequence **135** language models with a transition-based approach **136** for opinion tree parsing. This integration allows us **137** to explore the complementarity between these two **138** powerful techniques and assess their combined po- **139** tential for enhancing sentiment analysis. **140**

3 Preliminaries **¹⁴¹**

As shown in Figure [2,](#page-2-0) our proposed approach involves several key steps. Firstly, we introduce a **143** transition system that serves to normalize senti- **144** ment elements into an opinion tree structure. Next, **145** we employ a neural transition-based opinion tree 146 generation model to generate an action sequence **147** from a given review text. Following the generation **148** of the action sequence, we construct the opinion **149** tree based on this sequence and the transition sys- **150** tem. Finally, since all sentiment elements are nor- **151** malized into the opinion tree, it becomes straight- **152** forward to recover them from the tree. **153**

In this section, we give the definition of the **154** aspect-based sentiment analysis task and the con- **155** struction process of opinion tree. The transition **156** system and the transition-based generation model **157** will be discussed in the next two sections.

3.1 Task Definition 159

Given a review sentence $x = \{x_1, x_2, ..., x_n\}$, the 160 ABSA task aims to predict all aspect-level senti- **161** ment quadruples (a, c, o, s) , which corresponds to **162** the aspect term, aspect category, opinion term, and **163**

Figure 2: An overview of the proposed model.

Type	Name	Description
	ROOT	root of opinion tree.
	OUAD	sentiment quadruple.
	AP	virtual node of aspect.
Non	OΡ	virtual node of opinion.
-terminal	AT	aspect term.
	CA.	category of aspect.
	OТ	opinion term.
	SP	sentiment polarity.
	Aspect	e.g., wine list, snacks
Terminal	Category	e.g., food quality
	Opinion	e.g., good, delicious
	Polarity	e.g., positive, negative

Table 1: The notation of all symbols.

 sentiment polarity, respectively. The *aspect cate- gory* c belongs to a category set C; the *aspect term* a and the *opinion term* o are typically text spans in 167 x while they can be null if the target is not explic- itly mentioned. The *sentiment polarity* s is one of 169 the sentiment classes S, which corresponds to the positive, neutral, and negative sentiment, respec-**171** tively.

172 3.2 Opinion Tree Structure

 As shown in Figure [2,](#page-2-0) we convert all aspect-level [s](#page-8-6)entiment quadruples into an opinion tree [\(Bao](#page-8-6) [et al.,](#page-8-6) [2023\)](#page-8-6). The opinion tree explicitly delineates intricate connections among vital sentiment com-**ponents** (i.e., aspect term and aspect category). This deliberate structuring aims to unveil a more comprehensive and intricate aspect-level semantic framework, enhancing the efficiency of sentiment element extraction.

182 To standardize the structure of the opinion tree, 183 we introduce a formal representation (N, Σ, P) , **184** comprising finite, disjoint sets of non-terminal 185 symboles N, terminal symbols Σ , and a set of conditional rules denoted as P. The notation for **186** all symbols is detailed in Table [1,](#page-2-1) where each re- **187** current non-terminal symbol is accompanied by a **188** numerical label indicating its current occurrence 189 count. Notably, we position the category and po- **190** larity elements to the right of the aspect and opin- **191** ion terms, respectively. This structured organiza- **192** tion facilitates the generation of category and po- **193** larity nodes. Additionally, we introduce a virtual **194** node, labeled NULL representing implicit aspect **195** or opinion items. Unrelated nodes are systemati- **196** cally omitted, enhancing the conciseness and intu- **197** itiveness of the opinion tree. Each rule in P fol- **198** lows the form $A \to \alpha$, where $A \in N$, $\alpha \in N \cup \Sigma$. **199** For example, $OP \rightarrow OT|SP$ signifies that arcs 200 originating from virtual node OP exclusively con- **201** nect to either opinion term OT or sentiment polar- **202** ity SP in the opinion tree. **203**

4 Transition System **²⁰⁴**

In this section, we present a transition system **205** specifically designed for opinion tree generation. **206** Figure [3](#page-3-0) shows a parsed example of a transition **207** action sequence and the graph structure from opin- **208** ion tree. **209**

Diverging from previous transition-based ap- **210** proaches, our transition system operates without **211** employing traditional data structures like stacks **212** or buffers. In particular, receiving a source sen- **213** tence $x = \{x_1, x_2, ..., x_n\}$, our transition system 214 undertakes a left-to-right scan of the sentence us- **215** ing a cursor c_t , where $t \in \{1, 2, ..., n\}$. Transi- 216 tion actions involve either shifting the cursor by **217** one token forward or generating multiple nodes **218** and edges while the cursor points to the same to- **219** ken. The transition process concludes when the **220**

223 tions for our transition system are as follows:

- **224** ROOT creates the root node of opinion tree.
- 225 \leq **non-terminal>-** \leq i> creates a non-terminal
- 226 **home of name <non-terminal> labeled i. (i.e.**
- **227** QUAD-0). A non-terminal node is one of the **228** high-level notation set.
- **229** <string> creates a terminal or a non-terminal
- **230** node of name <string>. A terminal node 231 could be the word under current cursor c_t as a
- **232** part of aspect or opinion term, an aspect cat-
- **233** egory c or a sentiment polarity s.
- 234 **ARC**<i>-<i> creates an arc from last gener-
- **235** ated node to the corresponding non-terminal **236** node in layer i labeled j. Note that we can
- **237** only point to past node generating actions in
- **238** the action history.

239 Within a transition system, nodes are ex-

241 <string> actions. This offers an opportunity to **242** leverage the pre-trained vocabulary on the target

240 clusively generated through <non-terminal> and

249 same token, even constructing the entire opinion

254 In summary, our proposed transition system en-

- **243** side of the generation model, thereby maximizing
- **244** the utilization of linguistic knowledge acquired **245** during pre-training. Furthermore, the use of a cur-
- **246** sor variable in the transition system disentangles **247** node referencing from source tokens, enabling the
- **248** generation of multiple nodes and edges under the
- **250** tree structure if necessary. This imparts more ex-

251 pressiveness and flexibility to opinion tree genera-

252 tion, particularly when aspect term or opinion term **253** is implicit.

255 sures the structural integrity of the generated opin-**256** ion tree. By leveraging pre-trained generation

257 models and simplifying the transition set, we are

258 able to maximize the efficiency and accuracy of

- **259** opinion tree generation. This innovative approach **260** paves the way for more effective sentiment analy-
- **261** sis.

²⁶² 5 Transition-based Opinion Tree

²⁶³ Generation

 In this section, we employ a sequence-to-sequence model to generate the *action sequence* of the tran- sition system via a transformer-based encoder-decoder architecture. As discussed in the above

Action	Opinion Tree	
	$QUAD-0$	
OP ₁	QUAD-0 $OP-1$	
ARC $Quad0$	$QUAD-0$ \rightarrow OP-1	
OT ₁	OT-1 QUAD-0 \rightarrow $OP-1$	
ARC OP_1	$QUAD-0$ \rightarrow OP-1 \rightarrow OT-1	
expensive	expensive QUAD-0 \rightarrow OP-1 \rightarrow $OT-1$	
ARC OT_1	QUAD-0 \rightarrow OP-1 \rightarrow expensive OT-1 \rightarrow	
PS1	$QUAD-0$ \rightarrow $OT-1$ expensive $PS-1$ $OP-1$ \rightarrow	
ARC OP_1	QUAD-0 \rightarrow OP-1 \rightarrow $PS-1$ OT-1 expensive	
negative	QUAD-0 \rightarrow $PS-1$ $OP-1$ $OT-1$ expensive negative ⇥	
ARC PS ₁	QUAD-0 \rightarrow OP-1 \rightarrow OT-1 \rightarrow expensive $PS-1$ negative	

Figure 3: An example of generating the *opinion pair* (OP) sub-tree with proposed transition system.

sections, the opinion tree can be generated based 268 on the action sequence and the transition system. **269**

5.1 Encoder 270

Given the token sequence $x = \{x_1, x_2, ..., x_n\}$ 271 as input, the sequence-to-sequence model outputs **272** the target action sequence $y = \{y_1, y_2, \ldots, y_m\}.$ 273 To this end, the sequence-to-sequence model first **274** computes the hidden vector representation $H = 275$ $\{h_1, h_2, \ldots, h_n\}$ of the input via a multi-layer trans- **276** former encoder: **277**

$$
H = \text{Encoder}(\{x_1, \dots, x_n\}) \tag{1}
$$

where each layer of Encoder is a transformer block **279** with the multi-head attention mechanism.

5.2 Decoder **281**

After the input token sequence is encoded, the de- **282** coder predicts the output action sequence token- **283** by-token with the sequential input tokens' hidden **284** vectors. At the i-th step of generation, the self- **285** attention decoder predicts the *i*-th token y_i in the 286 linearized form, and the decoder state h_i^d as: 287

$$
t_i, \hat{z}_i^l = \text{Decoder}([Z; \hat{z}_1^l, ..., \hat{z}_{i-1}^l], t_{i-1}) \quad (2)
$$

where each layer of Decoder is a transformer **289** block that contains self-attention with decoder **290** state h_i^d and cross-attention with encoder state H . 291 The generated output structured sequence starts **292** from the start token "〈bos〉" and ends with the **293** end token "〈eos〉". The conditional probability **294** of the whole output sequence $p(T|X)$ is progres- 295 sively combined by the probability of each step 296

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297 $p(t_i | t_{:$

298
$$
p(T|X) = \prod_{i}^{m} p(t_i|t_{< i}, X) \tag{3}
$$

299 where $t_{\leq i} = \{t_1...t_{i-1}\}$, and $p(t_i|t_{\leq i}, X)$ is the **300** probability over target vocabulary V normalized **301** by softmax.

302 5.3 Constrained Decoding

303 In this study, we leverage a constrained decoding **304** method [\(Chen et al.,](#page-8-14) [2020;](#page-8-14) [Cao et al.,](#page-8-15) [2021\)](#page-8-15) to **305** guide the generation of action sequences.

 Specifically, instead of exhaustively searching the entire vocabulary, our constrained decoding method dynamically selects and prunes a candi-309 date vocabulary $V_t \in V$ based on the current gen- erated state, where V represents the set of all pos- sible actions in our transition system. The valid actions at each generated step are defined by the following rules: 1) Generating a token aligned with the cursor's word to serve as the node in the opinion tree, and 2) Generating a valid arc imme-diately after generating a node.

 As a result, the constrained rules of the transi- tion system are injected as prompts into the de- coder, ensuring the generation of a valid action se-quence during decoding.

321 5.4 Objective Functions and Training

322 In this subsection, we show the objective function **323** and training process of the proposed model.

 The goal is to maximize the target action sequence T probability given the review text X. Therefore, we optimize the negative log-likelihood loss function:

$$
\mathcal{L} = -\frac{1}{|\tau|} \sum_{(X,T)\in\tau} \log p(T|X;\theta) \tag{4}
$$

329 where θ is the model parameters, and (X, T) is a **330** (*input, output*) pair in training set τ , then

$$
\log p(T|X; \theta) =
$$

=
$$
\sum_{i=1}^{m} \log p(t_i|t_1, t_2, ... t_{i-1}, X; \theta)
$$
 (5)

332 where $p(t_i | t_1, t_2, ..., t_{i-1}, X; \theta)$ is calculated by **333** the decoder.

Domain	Train	Dev.	Test
Restaurant	1,529	171	582
Laptop	2,929	326	816
Phone	4.986	1,068	1.061

Table 2: Distribution of three domains.

6 Experiments **³³⁴**

In this section, we introduce the datasets used for **335** evaluation and the baseline methods employed for **336** comparison. We then report the experimental re- **337** sults conducted from different perspectives. **338**

6.1 Setting **339**

In this study, we use restaurant and laptop domains **340** in ACOS dataset [\(Cai et al.,](#page-8-2) [2021\)](#page-8-2) and phone do- **341** main in [Zhou et al.](#page-9-1) [\(2023\)](#page-9-1)'s dataset for our experi- **342** ments. There are 2,286 sentences in the restaurant **343** domain, 4,076 sentences in the laptop domain and **344** 7,115 sentences in the phone domain. The distri- **345** bution of these three domains can be found in Ta- **346 ble [2.](#page-4-0)** 347

We tune the parameters of our models by grid **348** searching on the validation dataset. We employ **349** T5-large^{[1](#page-4-1)} [\(Raffel et al.,](#page-8-16) [2020\)](#page-8-16) and fine-tune its pa- 350 rameters for our proposed model, and the parame- **351** ters are optimized by AdamW with a learning rate **352** of 5e-5. The batch size is 16 with a maximum **353** 256 token length. Our experiments are carried out **354** with a Nvidia RTX 3090 GPU. The experimen- **355** tal results are obtained by averaging five runs with **356** different random seeds. **357**

In evaluation, a quadruple is viewed as correct **358** if and only if the four elements, as well as their **359** combination, are exactly the same as those in the **360** gold quadruple. On this basis, we calculate the **361** Precision and Recall, and use F1 score as the final **362** evaluation metric for aspect sentiment quadruple **363** extraction [\(Cai et al.,](#page-8-2) [2021;](#page-8-2) [Zhang et al.,](#page-9-5) [2021a\)](#page-9-5). **364**

6.2 Main Results **365**

As shown in Table [3,](#page-5-0) We compare the proposed 366 model with various strong baselines, where, 367

• JET [\(Xu et al.,](#page-9-2) [2020\)](#page-9-2) is an end-to-end frame- **368** work which combines the identification of as- **369** pects, their corresponding opinions, and their **370** sentiment polarities with a position-aware **371** tagging scheme. **372**

¹<https://huggingface.co/t5-large>

Method	Restaurant		Laptop		Phone				
	P.	R.	F1.	P.	R.	F1.	P.	R.	F1.
JET	0.5981	0.2894	0.3901	0.4452	0.1625	0.2381	0.3845	0.2213	0.2809
TasBERT	0.2629	0.4629	0.3353	0.4715	0.1922	0.2731	0.3453	0.2207	0.2693
EClassify	0.3854	0.5296	0.4461	0.4556	0.2948	0.3580	0.3128	0.3323	0.3223
GAS	0.6127	0.5860	0.5959	0.4089	0.4219	0.4153	0.5072	0.4815	0.4940
DLO	0.5904	0.6029	0.5966	0.4359	0.4367	0.4363	0.5451	0.5173	0.5308
ILO	0.6071	0.6128	0.6099	0.4359	0.4297	0.4319	0.5307	0.5185	0.5245
Seq2Path	0.6029	0.5961	0.5995	0.4251	0.4317	0.4284	0.5263	0.4994	0.5125
OneASOP	0.6591	0.5624	0.6069	0.4380	0.3954	0.4156	0.5742	0.5096	0.5400
Ours	0.6432	0.6248	0.6338	0.4532	0.4457	0.4494	0.5441	0.5607	0.5523

Table 3: Comparison with baselines.

- **373** TasBERT [\(Wan et al.,](#page-9-4) [2020\)](#page-9-4) integrates as-**374** pect category-based sentiment classification **375** and aspect extraction in a unified framework **376** by attaching the aspect category and the sen-**377** timent polarity to the review sentence and us-**378** ing it as the input of BERT.
- **379** EClassify [\(Cai et al.,](#page-8-2) [2021\)](#page-8-2) firstly performs **380** aspect-opinion co-extraction, and then pre-**381** dicts category-sentiment given the extracted **382** aspect-opinion pairs.
- **383** GAS [\(Zhang et al.,](#page-9-6) [2021b\)](#page-9-6) tackles all ABSA **384** tasks in a unified generative framework, and **385** formulates ABSA task as a sentiment ele-**386** ment sequence generation problem.
- **387** DLO and ILO [\(Hu et al.,](#page-8-5) [2022b\)](#page-8-5) first uses **388** the pre-trained language model to select the **389** template orders with minimal entropy, then **390** fine-tunes generation model with these tem-**391** plate orders to generate aspect-level senti-**392** ment quadruples.
- **393** Seq2Path [\(Mao et al.,](#page-8-4) [2022\)](#page-8-4) generates sen-**394** timent tuples as paths of a tree, and calculate **395** the average loss of over paths for training and **396** inference.
- **397** OneASQP [\(Zhou et al.,](#page-9-1) [2023\)](#page-9-1) simultane-**398** ously detect aspect categories and co-extract **399** aspect-opinion-sentiment triplets, can absorb **400** deeper interactions between sentiment ele-**401** ments without error propagation.

402 The results clearly demonstrate that pre-trained **403** generation models, such as GAS, DLO, and One-**404** ASQP, consistently outperform pipeline-based

Method	Restaurant	Laptop	Phone
Sequence	0.5991	0.4153	0.4940
Paraphrase	0.6042	0.4197	0.4823
Tree	0.6122	0.4288	0.5307
Actions (Ours)	0.6338	0.4494	0.5523

Table 4: Results of different sentiment elements generation paradigms.

methods used in previous research. This dispar- **405** ity highlights the inherent issues with pipeline- **406** based approaches, which are prone to error prop- **407** agation. Conversely, it underscores the effective- **408** ness of a unified generation architecture in captur- **409** ing the rich semantics of natural language labels **410** by directly encoding them into the target output. **411**

In comparison to earlier research, our proposed **412** model demonstrates significant enhancement $(p < 413$ 0.05) in all experimental settings, surpassing all **414** preceding studies. This notable superiority under- **415** scores the preeminence of the opinion tree struc- 416 ture in comparison to other generation-based tech- **417** niques. Additionally, the findings indicate that **418** our transition system adeptly parses the opin- **419** ion tree from the input sentence, while maintain- **420** ing the integrity of sentiment structural informa- **421** tion. These compelling results establish that our **422** proposed model can ensure the structural well- **423** formedness of the opinion tree. **424**

6.3 Impact of Generation Paradigms **425**

We analyze the impact of different sentiment el- **426** ement generation paradigms in Table [4,](#page-5-1) where **427** *Sequence* [\(Zhang et al.,](#page-9-6) [2021b\)](#page-9-6) directly treat the **428** quadruple sequence as the target for learning **429** the generation model; *Paraphrase* [\(Zhang et al.,](#page-9-5) **430**

Method	Restaurant	Laptop	Phone
GAS	0.5959	0.4217	0.4940
Chart	0.6271	0.4120	0.5347
Stack	0.5841	0.3745	0.4856
Seq2Seq	0.6014	0.3981	0.4931
Ours	0.6338	0.4532	0.5523

Table 5: Results of different opinion tree parsers.

 [2021a\)](#page-9-5) proposes a paraphrase modeling paradigm to cast the ABSA task to a paraphrase generation process, and joint extract all the sentiment ele- ments; *Tree* [\(Bao et al.,](#page-8-13) [2022\)](#page-8-13) directly generates linearized opinion tree structures using generative **436** models.

 The results reveal that more complex structures yield better performance. For instance, *Para- phrase* outperforms *Sequence*, and *Tree* surpasses the other two baselines. Furthermore, our pro- posed action sequence generation paradigm (i.e., *Actions*) with transition system exhibits signifi- cant improvement (p < 0.05) compared to all baselines. This suggests that the action sequence with transition system is highly effective in cap- turing the explicit structure among sentiment ele- ments. The results also indicate that the proposed transition-based opinion tree generation model provides a more nuanced understanding of senti-ment elements and their relationship.

451 6.4 Impact of Opinion Tree Parsers

 We then employ three representative mainstream parsers to evaluate the effective of them on opin- ion tree parsing and aspect-based sentiment anal- [y](#page-8-6)sis. Among them, the *Chart*-based parser [\(Bao](#page-8-6) [et al.,](#page-8-6) [2023\)](#page-8-6) independently scores each span and conducts a global search across all possible trees to find the highest-scoring opinion tree; the *Stack*- based parser [\(Zhang et al.,](#page-9-7) [2019\)](#page-9-7) constructs a complex output structure holistically through a state-transition process with incremental output- building actions, relying on the implementation of a data structure stack; the *Seq2Seq* [\(Yang and Tu,](#page-9-8) [2022\)](#page-9-8) parser employs a pointing mechanism for bottom-up parsing and use sequence-to-sequence backbone. The latter two parsers are designed for syntax parsing or information extraction tasks, we adopt them for aspect-based sentiment analysis.

469 As shown in Table [5,](#page-6-0) both the chat-based parser **470** and the seq2seq parser surpass the basic GAS **471** model in performance. This suggests that these

	OTG	OTP	Ours
AC	0.7680	0.7711	0.7763
AS	0.7677	0.7643	0.7874
OS	0.7663	0.7608	0.7721
ACS	0.6642	0.6752	0.6945
AOS	0.6605	0.6713	0.6836
ACOS	0.6164	0.6271	0.6338

Table 6: Results of sentiment elements combinations on *Restaurant* domain.

opinion tree parsers are indeed effective in cap- **472** turing the interdependencies among sentiment el- **473** ements. However, the stack-based parser fails to **474** yield satisfactory results. This could be attributed **475** to the inherent complexity of traditional transition **476** systems, which might hinder their ability to ac- 477 curately model the opinion tree structure. Fur- **478** thermore, our proposed model consistently outper- **479** forms all other baselines. This underscores the ef- **480** ficacy of both our proposed transition system and **481** transition-based opinion tree generation model in **482** capturing the intricate relationships among senti- **483** ment elements. **484**

6.5 Influence of Sentiment Elements **485** Combinations **486**

We further investigate the capabilities of our **487** proposed transition-based opinion tree generation **488** model when dealing with different combinations **489** of sentiment elements. In this context, *A* repre- **490** sents the aspect term, *C* denotes the category of the **491** aspect term, *O* stands for the opinion term, and *S* **492** signifies the sentiment polarity towards the aspect 493 term. Each row corresponds to a specific combi- **494** nation. For instance, *ACS* indicates that the model **495** should jointly generate the aspect term, aspect cat- **496** egory, and sentiment polarity. **497**

From the results on Table [6,](#page-6-1) we observe that as **498** the complexity of the sentiment element combina- **499** tions increases, the performance of the proposed **500** model tends to decrease. However, it is note-
501 worthy that our proposed transition-based opinion **502** tree generation model consistently outperforms **503** OTG [\(Bao et al.,](#page-8-13) [2022\)](#page-8-13) and OTP [\(Bao et al.,](#page-8-6) [2023\)](#page-8-6) **504** in all combinations. This underscores the versatil- **505** ity and generality of our proposed model, indicat- **506** ing its applicability to various sentiment analysis **507** tasks. **508**

We then analyze the completeness of the tree 509 structure generated by OTG, OTP and the pro- **510**

Figure 4: Tree structure completeness of different methods.

Models	Restaurant	Laptop	Phone
GAS	0.5959	0.4217	0.4940
BART-base	0.6097	0.4285	0.5310
BART-large	0.6241	0.4337	0.5455
T5-base	0.6217	0.4352	0.5439
T5-large	0.6338	0.4532	0.5523
LLaMA-7b	0.5827	0.3917	0.5214

Table 7: Results of different pre-trained language models.

 posed model with different element combination settings. The completeness is calculated through the valid rate of a tree structure [\(Bao et al.,](#page-8-6) [2023\)](#page-8-6). As shown in Figure [4,](#page-7-0) the completeness of the proposed model is higher than OTG in all the schemas. This findings demonstrate that our model ensures structural well-formedness across all scenarios, regardless of the specific sentiment element combinations. Interestingly, our model's completeness is on par with that of OTP, which relies on an original chart-based parser. This comparison further emphasizes the robustness and adaptability of our approach, indicating its effec- tiveness in tackling a wide range of sentiment analysis challenges.

526 6.6 Influence of Pre-trained Language **527** Models

 We conducted an analysis to assess the impact of different pre-trained language models on the per- formance of our proposed transition-based opinion tree generation model. Specifically, we utilized [t](#page-8-17)he encoder-decoder style models BART [\(Lewis](#page-8-17) [et al.,](#page-8-17) [2020\)](#page-8-17) and T5 [\(Raffel et al.,](#page-8-16) [2020\)](#page-8-16), as well as the decoder-only style large language model LLaMA-7b [\(Touvron et al.,](#page-9-9) [2023\)](#page-9-9), which was fine- tuned using Lora approach [\(Hu et al.,](#page-8-18) [2022a\)](#page-8-18). All these models were fine-tuned in the same GPU en-vironment to ensure a consistent evaluation.

539 Upon analysis, we observed a general trend that

models with more parameters tend to achieve bet- **540** ter performance. In addition, most of them out- **541** perform the basic GAS model with T5-base pre- **542** trained model, underscoring the robustness and **543** effectiveness of our proposed transition-based ar- **544** chitecture. These findings suggest that the inte- **545** gration of pre-trained language models with our **546** transition-based approach not only enhances per- **547** formance but also demonstrates adaptability and **548** versatility, regardless of the specific pre-trained **549** model used. **550**

However, an interesting deviation was noted **551** with LLaMA, which performed relatively poorer 552 compared to other models. We attribute this dis- **553** parity to the inherent challenges large language **554** models face when generating action sequences **555** that diverge from natural language expressions. **556** This suggests that while larger models may offer **557** improved language understanding and generation **558** capabilities, they may not always be optimal for **559** tasks requiring a high degree of precision and con- **560** trol over output sequences. **561**

7 Conclusion **⁵⁶²**

In this study, we introduce a novel approach **563** named transition-based opinion tree generation, **564** which seeks to bridge the gap between general 565 pre-trained sequence-to-sequence language mod- **566** els and a structure-aware transition-based method- **567** ology. Our approach diverges from traditional **568** methods by incorporating a transition system **569** specifically tailored for opinion tree generation, **570** designed to leverage the power of pre-trained **571** language models through structured fine-tuning. **572** Comprehensive experiments demonstrate that our **573** model achieves substantial improvements over the **574** state-of-the-art performance on multiple bench- **575** mark datasets. Furthermore, empirical studies re- **576** veal that our proposed transition-based opinion **577** tree generation not only outperforms generative **578** models but also excels in capturing the intricate **579** sentiment structure within text. 580

Limitations **⁵⁸¹**

The limitations of our work can be stated from two **582** perspectives. Firstly, it is necessary to evaluate **583** our proposed transition-based opinion generation **584** model to cross-domain settings and diverse lan- **585** guages. Furthermore, we also need to explore al- **586** ternative parsing schemes for opinion generation, **587**

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588 aiming to identify approaches that may further en-**589** hance the model's performance and versatility.

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