CAN LARGE LANGUAGE MODELS REASON? A CHARACTERIZATION VIA 3-SAT

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Abstract

Large Language Models (LLMs) have been touted as AI models possessing advanced reasoning abilities. However, recent works have shown that LLMs often bypass true reasoning using shortcuts, sparking skepticism. To study the reasoning capabilities in a principled fashion, we adopt a computational theory perspective and propose an experimental protocol centered on 3-SAT – the prototypical NPcomplete problem lying at the core of logical reasoning and constraint satisfaction tasks. Specifically, we examine the phase transitions in random 3-SAT and characterize the reasoning abilities of LLMs by varying the inherent hardness of the problem instances. Our experimental evidence shows that LLMs are incapable of performing true reasoning, as required for solving 3-SAT problems. Moreover, we observe significant performance variation based on the inherent hardness of the problems – performing poorly on harder instances and vice versa. Importantly, we show that integrating external reasoners can considerably enhance LLM performance. By following a principled experimental protocol, our study draws concrete conclusions and moves beyond the anecdotal evidence often found in LLM reasoning research.

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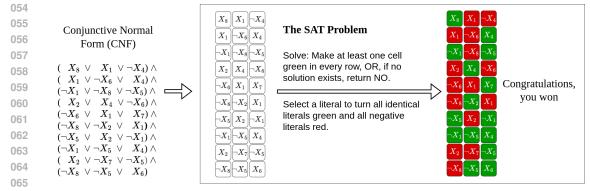
1 INTRODUCTION

The success and versatility of Large Language Models (LLMs) have sparked widespread interest and debate on whether LLMs are capable of reasoning. The answer to this question may depend on the perspective on reasoning one takes, whether it is more oriented toward common sense reasoning (Davis & Marcus, 2015) or towards logical or deductive reasoning (Genesereth & Nilsson, 1987). We will adhere to Leon Bottou's definition, which defines reasoning as "*algebraically manipulating previously acquired knowledge in order to answer a new question*" (Bottou, 2014). This is aligned with Russell and Norvig's description of artificial intelligence as rational thinking (Russell & Norvig, 2010).

037 Recent studies suggest that LLMs are inherently capable of zero-shot reasoning (Kojima et al., 038 2022) (i.e. performing multistep inference processes in previously unseen situations). This ability has been shown to emerge and improve with scale (Wei et al., 2022a; Srivastava et al., 2023), and can be further enhanced by using smart prompting techniques that encourage LLMs to think step-040 by-step (Kojima et al., 2022; Wei et al., 2022b; Zhou et al., 2023; Yao et al., 2023b; Prasad et al., 041 2023). Demonstrations include, inter alia, planning (Huang et al., 2022; Ahn et al., 2022; Singh 042 et al., 2023; Liang et al., 2023; Huang et al., 2023; Hazra et al., 2024b), theorem proving (Jiang 043 et al., 2023; Welleck et al., 2022; Yang et al., 2022), search and optimization (Yang et al., 2024; 044 Romera-Paredes et al., 2024; Hazra et al., 2024a), self-reflection (Yao et al., 2023a; Madaan et al., 045 2023; Shinn et al., 2023), and tool usage (Shen et al., 2023; Schick et al., 2023). 046

Conversely, a growing body of research presents a more critical view of these emergent abilities. For
instance, LLMs may exhibit limitations in consistent logical reasoning (Arkoudas, 2023; Saparov & He, 2023), effective planning (Valmeekam et al., 2022), and accurate self-evaluation of their outputs (Stechly et al., 2023). During training, language models can fit on statistical features (Zhang et al., 2023) or identify reasoning shortcuts, much like the *Clever Hans Cheat* (Bachmann & Nagarajan, 2024), thus bypassing true reasoning. There is also growing concern about dataset contamination¹ from open-source benchmarks (Zhang et al., 2024) that can inflate the reasoning performance

¹Data closely resembling the benchmark leaks into the training data.



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Figure 1: **The 3-SAT problem**, visualized using a variant of the SAT game (Roussel). In SAT, one must return a truth assignment to Boolean variables that satisfy a Boolean formula in conjunctive normal form (CNF) if one exists, and return unSAT otherwise. A row in the visualization represents a clause, which is a disjunction (connected by a logical OR \lor) of literals, wherein a literal can be positive (X_1) or negative ($\neg X_1$). An assignment satisfies a clause if one of its literals is assigned the value true. Since clauses are conjunctively connected (by a logical AND \land), all clauses must be satisfied for the formula to be satisfied. If no satisfying assignment exists, the formula is unsatisfiable.

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076 of LLMs. During inference, the autoregressive nature of LLMs makes them prone to snowballing 077 errors over time (Dziri et al., 2023). Furthermore, these models can often generate unfaithful and biased explanations in their chains of thought (Turpin et al., 2023). Additionally, their greedy approach to reasoning often falls short in contexts with multiple valid reasoning steps (Saparov & He, 079 2023). On the architectural side of LLMs, findings reveal that the transformer layer is incapable of 080 function composition for large domains (Peng et al., 2024; Dziri et al., 2023). From a theoretical 081 standpoint, transformer computations have been shown to lie in the complexity class log-uniform TC^{0} , which is too restrictive even for simple logical reasoning tasks such as 2-SAT². Given these 083 limitations, it has been suggested that the emergent abilities are but a mere *mirage* stemming from 084 the choice of metric (Schaeffer et al., 2023). This inevitably leads to the question: "Can Large Lan-085 guage Models reason?" And if so, to what extent? We answer these questions via the following 086 contributions.

087 (1) Characterizing LLM-reasoning from a computational theory perspective. Adhering to Leon 880 Bottou's definition of reasoning, we propose an experimental framework centered on 3-SAT, to eval-089 uate reasoning. The choice of 3-SAT, introduced in Figure 1, is not arbitrary – being a foundational 090 problem in computational complexity, many problems in AI such as (propositional fragments of) 091 logical reasoning, planning, and constraint satisfaction can be reduced to 3-SAT. Current bench-092 marks (Hendrycks et al., 2021a; Chen et al., 2021; Hendrycks et al., 2021b; Rein et al., 2023) often 093 conflate commonsense reasoning (which involves knowledge retrieval), with logic and deductive reasoning (which requires algebraic manipulation of knowledge as per Bottou's definition). This 094 conflation makes it challenging to isolate the logical reasoning abilities of LLMs. Instead, our ap-095 proach provides a more formal and robust evaluation of reasoning abilities. Additionally, it bridges 096 classical computational theory with modern AI, allowing us to assess if LLMs go beyond pattern 097 recognition and exhibit true reasoning capabilities grounded in complexity theory. 098

(2) Studying Phase Transition Characteristics of LLMs. We investigate the phase transition characteristics of LLMs (Cheeseman et al., 1991) – i.e., how LLMs' performance varies in the easy and hard regions of the problem space. This contrasts with commonly used reasoning benchmarks, which often evaluate LLMs without considering the performance variations based on *inherent hardness* of the problems.

²More specifically, TC^0 is more restrictive than the logarithmic memory class L (Papadimitriou, 1994, Chapter 16), (Merrill & Sabharwal, 2023). Given that the 2-SAT problem is NL-complete (i.e., the class of non-deterministic logarithmic space algorithms), multi-layer transformers cannot solve 2-SAT instances, unless L=NL (Peng et al., 2024).

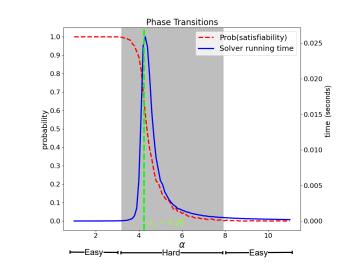


Figure 2: Random 3-SAT Phase Transitions (Cheeseman et al., 1991). Plotted in red is the prob-ability of a randomly sampled 3-SAT formula being satisfied against the hardness α of the formula. We can observe a clear phase transition occurring at $\alpha_c \approx 4.267$ (marked by the green dotted line). We identify two easy regions, one on either side of α_c . The gray area in the middle denotes the hard region. The boundaries of the hard region are defined where the probability of the formula being satisfied ceases to be deterministically one (left) or zero (right). The solid blue line shows the mean time taken by the MiniSAT solver (Eén & Sörensson, 2003) to solve a 3-SAT instance. Notably, there is a spike in the solver's runtime near the critical α_c value. This is attributed to the absence of useful heuristics in this region, forcing the solver to resort to essentially exhaustive searches.

(3) Comprehensive evaluation of state-of-the-art open-source and proprietary LLMs. We conducted extensive experiments across a range of state-of-the-art open-source LLMs, as well as proprietary LLMs. While we find that LLMs generally cannot reason, their performance varies significantly in different regions of problem space (i.e. phase transition characteristics), with GPT-4 significantly outperforming other models. Additionally, we demonstrate how a straightforward integration of LLMs with external verifiers, as demonstrated in (Ye et al., 2024; Liu et al., 2023; Wong et al., 2023), can enhance reasoning capabilities and improve performance on 3-SAT problems.

2 PRELIMINARIES

2.1 PHASE TRANSITIONS IN RANDOM 3-SAT

We study the reasoning capabilities of LLMs on random 3-SAT problems. 3-SAT constitutes one of
the most fundamental problems in computer science as it is the prototypical NP-complete problem,
lying at the foundation of computational complexity theory. Moreover, various prevalent reasoning
problems in artificial intelligence, such as planning and constraint satisfaction, can be reduced to
solving 3-SAT problems (Garey & Johnson, 1990).

By randomly sampling 3-SAT formulas³, we avoid domain-specific biases, and it lets us control the complexity of the generated formulas. In fact, an interesting empirical observation is the presence of a *phase transition* in random 3-SAT problems (Cheeseman et al., 1991). When randomly sampling 3-SAT formulas, one can observe a sharp change in the probability of a 3-SAT formula being satisfiable when plotted against $\alpha = m/n$, where m is the number of clauses and n is the number of variables. For random 3-SAT, this phase transition occurs at $\alpha_c \approx 4.267$ (Mertens et al., 2006; Ding et al., 2015), i.e. the point at which a randomly sampled 3-SAT formula has equal probabil-ity to be satisfiable or unsatisfiable. This naturally divides 3-SAT problems into three regions: the

³We use Selman et al. (1996) random model, where clauses are sampled with replacement.

under-constrained region below the threshold (Easy), the constrained region in the neighborhood of
 the threshold (Hard), and the over-constrained region above the threshold (Easy), cf. Figure 2.

2.2 SAT SOLVERS

167 SAT solvers are tools to automatically verify the satisfiability of propositional logic formulas. The Davis-Putnam-Logemann-Loveland (DPLL) algorithm (Davis et al., 1962) is a key component of 168 modern SAT solvers. It consists of a backtracking-based search algorithm, enriched with deductive rules and heuristics, to efficiently explore the search space and determine whether a formula is 170 satisfiable. The core backtracking algorithm simply selects a literal and assigns a truth value to it. 171 This step produces a simplified formula where all the parts made true by the assignment are removed. 172 This step can be applied recursively until all clauses are removed, meaning that the original formula 173 is satisfiable. Modern SAT solvers are based on Conflict-Driven Clause Learning (CDCL) (Silva & 174 Sakallah, 1996) which enhances DPLL with conflict analysis and clause learning. In Figure 2 we 175 show how the time to determine the satisfiability of a random 3-SAT formula varies in function of 176 α . Most prominently, we see a pronounced peak in time-to-solution around the α_c . 177

Analogously to characterizing SAT solvers by their behavior on solving problems with varying α , we study the reasoning capabilities of LLMs with respect to the phase transition in random 3-SAT problems.⁴. This contrasts with other works that characterize reasoning by evaluating performance on benchmark datasets (Cobbe et al., 2021; Hendrycks et al., 2021a).

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3 RELATED WORK

Existing works have focused on improving the reasoning abilities of LLMs by combining them 185 with verifiers and heuristics (Olausson et al., 2023; Liu et al., 2023; Lightman et al., 2024). In terms of solving SAT problems with LLMs, SATLM (Ye et al., 2024) proposes a satisfiability-aided 187 language modeling using an LLM to parse an NL SAT input to a SAT problem specification which 188 consists of a set of logical formulas, then obtain the solution by invoking a SAT solver. Similarly, 189 AutoSAT (Sun et al., 2024) uses LLMs to optimize heuristics in SAT solvers. Our goal is not 190 to build 3-SAT solvers powered by LLMs. On the contrary, we use the 3-SAT phase transition to 191 assess the reasoning abilities of LLMs using a well-established experimental protocol. Closest to our 192 work is the NPHardEval (Fan et al., 2024), which examines reasoning across various computational 193 complexity classes, but we additionally explore phase transition characteristics and investigate how the performance varies with inherent hardness of problems. 194

195 Our work also aligns with the findings of Dziri et al. (2023) that investigates the performance of 196 LLMs on compositional tasks with varying levels of complexity. Their experiments reveal a signif-197 icant performance decline as task complexity increases, measured by problem size and reasoning 198 depth. The findings indicate that while models can memorize single-step operations, potentially 199 due to their prevalence during training, they fail to compose these steps into correct reasoning paths effectively. Similar observations were made on BERT-based models for a computationally tractable 200 class of problems (not NP-complete) (Zhang et al., 2023). Our work extends these findings by 201 demonstrating that the observed performance decline is better explained by the inherent hardness of 202 problems, as defined by phase transitions, rather than problem size or depth. Large 3-SAT formulas 203 with many variables or clauses may belong to either easy or hard regions. However, in easy regions, 204 LLMs can still exploit statistical patterns, while in hard regions, they struggle due to the absence of 205 effective heuristics. Similarly, statistical features can help reduce search depth in easy regions, but 206 in hard regions, LLMs are forced to perform multi-step reasoning, revealing their limitations. 207

Merrill & Sabharwal (2023) established that multi-layer transformers belong to the complexity class log-uniform TC^0 . Subsequently, Peng et al. (2024) demonstrated that multi-layer transformers cannot solve problems such as Derivability, 2-SAT, Horn SAT, and Circuit Evaluation unless L=NL. While these results provide worst-case performance bounds for transformer architectures, their relevance to average-case complexity is limited (Coarfa et al., 2000), and therefore, they offer only partial insights into the practical reasoning capabilities of LLMs. Notably, recent works have shown that T chain of thought (CoT) steps can extends transformers' abilities beyond TC^0 , up to those solvable by Boolean circuits of size T (Li et al., 2024). Our experiments on 3-SAT complement these

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⁴A thought experiment in the same vein was also proposed by Kambhampati et al. (2024b)

findings, showing that GPT-4 allocates more tokens to hard problem regions, suggesting apparent reasoning despite limited overall performance.

4 Methodology

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4.1 USING LLMS AS 3-SAT SOLVERS

To use LLMs as 3-SAT solvers, we reframe the 3-SAT problem as a natural language menu-selection problem, termed as **SAT-Menu**. As shown in Box 1, the prompt input to the LLM consists of a task outline, along with a specific scenario detailing the dietary preferences of a set of people. The LLM's objective is to identify a combination of orderable (akin to positive literals) and non-orderable (akin to negative literals) food items that meet these preferences; or declare the situation unsatisfiable (unSAT) if no valid combination exists. Note, that the prompt example in Box 1 constitutes a minimal example stripped of all details. The complete system prompt incorporates techniques known to enhance the apparent reasoning capabilities of LLMs, such as chain-of-thought (CoT) (Wei et al., 2022b) and in-context learning (Brown et al., 2020) (see Box 2 in Appendix for the full prompt).

Additionally, we introduce a second problem formulation where the LLM is directly given the underlying 3-SAT formula in Conjunctive Normal Form (CNF). We refer to this scenario as **SAT-CNF**. Specifically, in this setting, the problem is presented as a list of integers to the LLM, similar to the approach outlined in SAT Game (Figure 1). For more details about the prompt, we refer the reader to Box 3 in the Appendix.

Box 1: SAT-Menu Prompt

System Message

Your task is to output two distinct lists of food items, one denoting what can be ordered ('orderable') and the other what cannot ('not_orderable'), to meet the preferences of a group of individuals. Each person must find the selection satisfactory based on their likes and dislikes. The satisfaction criteria are: 1. A person is satisfied if at least one liked item is in 'orderable' list or one disliked item is in 'not_orderable' list. 2. No item can appear on both lists. 3. All participants must be satisfied by the combination of the two lists. 4. If no such combination exists that satisfies all, output empty lists for both. You always think step-by-step and show all your work in the explanation. Output your final solution as a comma-separated list of strings in Python code $\langle orderable = [...], not_orderable = [...]\rangle$.

Input for a new problem

Preferences: Jay: Likes nachos, ratatouille. Dislikes pie. Ada: Likes pie. Dislikes burger, ravioli. Zoe: Likes ravioli. Dislikes pie, burger. Arun: Likes ratatouille. Dislikes pie, nachos. Ula: Likes ratatouille. Dislikes ravioli, nachos. Ying: Likes nachos, ratatouille. Dislikes burger.

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253 To assess the reasoning capabilities of LLMs, we analyze their performance on two variants of the 254 3-SAT problem. The first variant is the 3-SAT Decision problem, where the LLM acts as a solver 255 and must determine whether a given 3-SAT problem is satisfiable. If the problem has a satisfiable 256 assignment, the LLM should respond with "yes" and with "no" if it is unsatisfiable. The second 257 variant is the 3-SAT Search problem, where the LLM's task extends beyond providing a simple "yes" or "no" response. If the formula is satisfiable, the LLM should also return an assignment to 258 the variables that satisfies the formula. However, if the formula is found to be unsatisfiable, the LLM 259 should once again respond with "no". 260

261 Due to the widespread adoption and best-in-class performance⁵ we use GPT-4 Turbo (specifically, 262 GPT-4 1106-preview model) as the main LLM reference to perform our experimental evaluation. 263 However, we also report extended comparisons of GPT-4 to additional state-of-the-art LLMs, including both open-source (Llama 2 70B chat-hf (Touvron et al., 2023), Mixtral $8 \times 7B$ (Jiang et al., 264 2024)) as well as proprietary models (GPT-3.5 Turbo (OpenAI, 2022), Gemini 1.0 Pro (Google, 265 2023), PaLM 2 text-bison (Anil et al., 2023)). The open-source models were run on 4 NVIDIA-266 DGX A-100 GPUs using a distributed (model parallel) framework. The generation configurations 267 are listed in Appendix Table 2. 268

⁵https://huggingface.co/spaces/lmsys/chatbot-arena-leaderboard

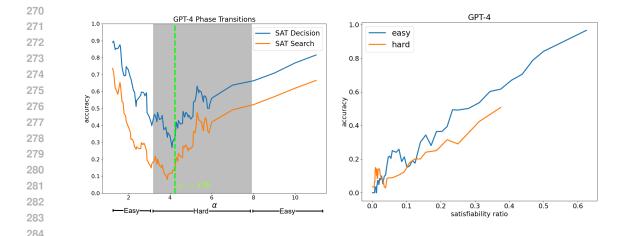


Figure 3: Accuracy of GPT-4 against α and the satisfiability ratio. [Left] Accuracy of GPT-4 286 against α for both SAT Decision and SAT Search. Notably, GPT-4's accuracy for both variants exhibits a significant decline around the critical point ($\alpha_c \approx 4.267$) aligning with the hard region. The dip in the performance mimics the increased solving time of the MiniSAT solver in the hard region. The setting is SAT-CNF. [Right] Accuracy of GPT-4 against the satisfiability ratio. We only include satisfiable instances and analyse problems from the hard and easy regions separately. Note that in our dataset, the 3-SAT problems exhibited maximum satisfiability ratios of approximately 0.4 292 for the hard region and 0.62 for the easy regions. The plots were generated using a size 4 moving 293 window on α values.

To verify the LLM-generated solutions for both SAT-Menu and SAT-CNF, we employed MiniSAT v2.2 (Eén & Sörensson, 2003) solver.

298 4.2 DATASET GENERATION 299

300 To generate 3-SAT data, we varied $\alpha = m/n$ as a parameter to guide the generation process. For 301 each $n \in [1, 10]$, we selected $\alpha \in [1, 11]$ based on feasible values of m. The full range of values is provided in Table 1 in the Appendix. Each instance is labeled either as satisfiable or unsatisfiable 302 using MiniSAT v2.2 (Eén & Sörensson, 2003). Additionally, each instance is annotated with model 303 count (i.e. number of feasible solutions for a formula) using the D4 model counter (Lagniez & 304 Marquis, 2017). 305

306 For SAT-Menu setup, we map each instance (i.e. CNF formula) to a menu selection puzzle. The 307 goal is to select a combination of orderable and non-orderable food items in a way that satisfies everyone's preferences. To this end, a food item is sampled without replacement corresponding to 308 the list of variables in the formula. Then, every clause in the formula is treated as the preferences 309 for an individual, leading to the creation of two distinct lists for each person: "Likes," for food items 310 linked to positive literals, and "Dislikes," for those associated with negated literals. This approach 311 is exemplified in Box 2. 312

313 Our dataset consists of 60,000 formulas, among which 39,909 are satisfiable (SAT) and the re-314 maining 20,091 are unsatisfiable (unSAT) instances. For our experiments, we randomly selected approximately 6,000 samples from this pool. Detailed statistics of our data distribution are provided 315 in Appendix A. 316

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318 5 RESULTS

320 CAN LLMS SOLVE 3-SAT PROBLEMS? 5.1

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We evaluate GPT-4's performance by measuring its accuracy (of solving for SAT Search and predic-322 tion for SAT Decision) across formulas with varying α . We present these results in Figure 3 [Left]. 323 GPT-4 demonstrates an apparent reasoning competence in the easy regions, while its accuracy sig-

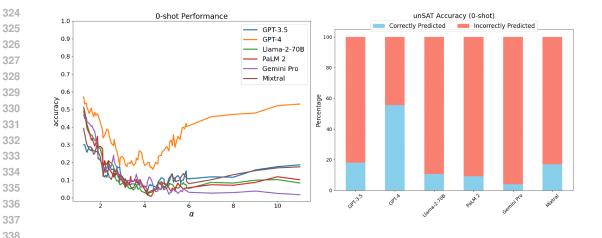


Figure 4: **Comparing GPT-4 with SOTA LLMs.** [Left] Phase transition characteristics for all LLMs. [Right] Comparison of unSAT accuracy (i.e. accuracy of correctly predicting unsatisfiable problems) on 3-SAT decision problem. GPT-4 outperforms all other models in detecting unsatisfiable problems. Both plots are on the search version of SAT-Menu setup with zero-shot prompting. The left plot was generated using a size 4 moving window on α values.

nificantly drops to $\approx 10\%$ in the hard region for SAT Search. We also observe that SAT Search poses a slightly greater challenge for GPT-4 than SAT Decision.

In Figure 3 [Right] we also plot GPT-4's performance against the *satisfiability ratio*, defined as $\frac{\text{model count}}{2^n}$, where model count is the number of satisfying assignments and *n* is the number of variables. This ratio denotes the probability that a randomly selected variable assignment satisfies the given 3-SAT theory⁶. We can observe a clear dependence between the accuracy and the satisfiability ratio: formulas with more satisfying assignments tend to be easier for GPT-4 to solve. This holds across both easy and hard regions. Similar results were observed for other LLMs, as shown in Figure 7 in the Appendix.

In Figure 4 [Left], we can see that GPT-4 is the only model that exhibits solver-like phase transitions in the SAT Search problem. In contrast, other LLMs show a clear decline in performance in the high α region, displaying an Easy-Hard-Hard pattern. This is further supported in Figure 9 in the Appendix where we compare the phase transition characteristics across LLMs on both decision and search variants. While all LLMs perform significantly better on the decision variant, only GPT-4 consistently demonstrates an Easy-Hard-Easy phase transition in both. Moreover, GPT-4 is more accurate in detecting unsatisfiable instances, as shown in Figure 4 [Right] (Figure 11 in Appendix).

Finally, we explored in-context learning using three chain-of-thought input/output (I/O) demonstrations (Brown et al., 2020). These were randomly selected from a set of correctly solved problem instances (by the LLM) and manually checked for consistency between solutions and their explanations. Each I/O example included the input and the output solution, along with its chain-of-thought explanation. From Figure 10 in Appendix, we observe performance gains in the initial Easy-Hard phase but note a decrease in the subsequent Easy phase.

Note, that in all the above experiments, the performance of LLMs remains low in the Hard region.

As an ablation study, we attempted to empirically explore the claims that T chain-of-thought steps can enhance the sequential reasoning abilities of a fixed-size transformer (Li et al., 2024) beyond TC⁰. Based on this theory, one would expect the number of generated tokens (including that of CoT) to increase in the Hard region. As shown in Figure 12 in Appendix, GPT-4 appears to follow this expected pattern by generating more tokens in the Hard region, despite the performance drop. Consistent with our findings, other LLMs do not.

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⁶Note that this is different from the probability that at least one satisfying assignment exists – two formulas can both be satisfiable but have different model counts and therefore different satisfiability ratios.

378 5.2 CAN AN LLM + SOLVER BOOST PERFORMANCE?

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To aid LLMs in reasoning tasks, recent studies have explored pipelined approaches using LLMs to parse inputs into solver-compliant outputs, leveraging off-the-shelf solvers to derive the final answer (Ye et al., 2024; Liu et al., 2023). This approach is aligned with neurosymbolic techniques (De Raedt et al., 2020), which combine the universal function approximation capabilities of neural networks with the precision of symbolic systems.

To explore a similar setting in the context of 3-SAT, we ask whether we can augment LLMs with an external solver wherein the LLM translates (pseudo)-natural language into a format that a symbolic SAT solver, such as MiniSAT, can process. To this end, we prompt the LLM to translate 3-SAT formulas, which we provide in the SAT-Menu input format, into solver-compliant 3-SAT formulas. We then use a 3-SAT solver to solve the translated instance (see Box 4 in Appendix). We dub this approach **SAT-Translate** and plot its performance in Figure 5.

391 We observe that when LLMs have access to an external solver, there is a significant increase in their 392 accuracy, reaching at best, in GPT-4's case, $\approx 100\%$ across the entire range of α . We attribute 393 this to the relatively lower computational complexity of translating 3-SAT formulas compared to 394 solving them (i.e. finding satisfying assignments). Interestingly, we find that varying the input 395 format between SAT-CNF and SAT-Menu does not significantly enhance LLMs inherent reasoning 396 capabilities. The marked improvement in performance is primarily observed when they are equipped 397 with an external solver. While ours is a straightforward approach, one could also explore tighter integrations as proposed in LLM-Modulo frameworks (Kambhampati et al., 2024a) which augments 398 LLMs with critics and verifiers (Lightman et al., 2024; Hazra et al., 2024b), recognizing the ability 399 of LLMs as *approximate* idea-generators for problems as against directly solving them... 400

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6 DISCUSSION

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At first sight, our experimental evaluation can be interpreted as indicating that LLMs, specifically GPT-4, exhibit a certain degree of reasoning capabilities: in the easy regions, GPT-4 solves some 3-SAT problems. One might then argue that GPT-4 does not reach perfect accuracy in these regions because of the scale of the model, and that simply increasing this will resolve the issue. For the hard region, however, it is unlikely that scaling up the model will result in radical improvements.

To explain this, reconsider Figures 2 and the time vs. α plot specifically. The reason why MiniSAT is capable of solving problems in the easy regions faster than problems around α_c is due to the heuristics built into the solver that guide the search for satisfying solutions (e.g. unit propagation and clause learning (Marques-Silva & Sakallah, 1999)). That is, heuristics work well when they can exploit statistical features in the problem instance to be solved. To date, there are no known heuristics that work well around α_c (and they are unlikely to exist due to the NP-hardness of 3-SAT). Solvers therefore have to resort to brute force search around α_c .

417 In this light, we can reinterpret the experimental performance of GPT-4 on 3-SAT problems: GPT-4's 418 apparent reasoning capabilities (in the easy regions) is due to the presence of statistical features that 419 it can leach onto. For instance, GPT-4 may oversimplify and deem a problem unsatisfiable due to 420 the high number of input tokens, which often works for overconstrained formulas (see Appendix B). 421 Conversely, in the hard region, the drop in performance can be attributed to GPT-4's – and by extension current transformer-based LLMs' - inability to reason according to Bottou's definition. 422 423 A similar observation has been made for a computationally tractable class of problems (not NPcomplete) using BERT-style language models (Zhang et al., 2023). 424

Even though the basic random 3-SAT phase transition provides a rigorous framework for studying the reasoning capabilities of LLMs, we need to point out that there exists many more results about 3-SAT that might be considered as well. For instance, it has been found that certain aspects of the hardness of 3-SAT instances cannot be explained using the easy-hard-easy regimes induced by the phase transition (Mu & Hoos, 2015). Nevertheless, the phase transition seems to be adequate in our settings as demonstrated by the drop in performance of LLMs in the vicinity of α_c . It should, however, be noted that 3-SAT instances encountered in practice often fall into the easy regions. Therefore, decision problems of interest do not usually exhibit worst-case complexity.

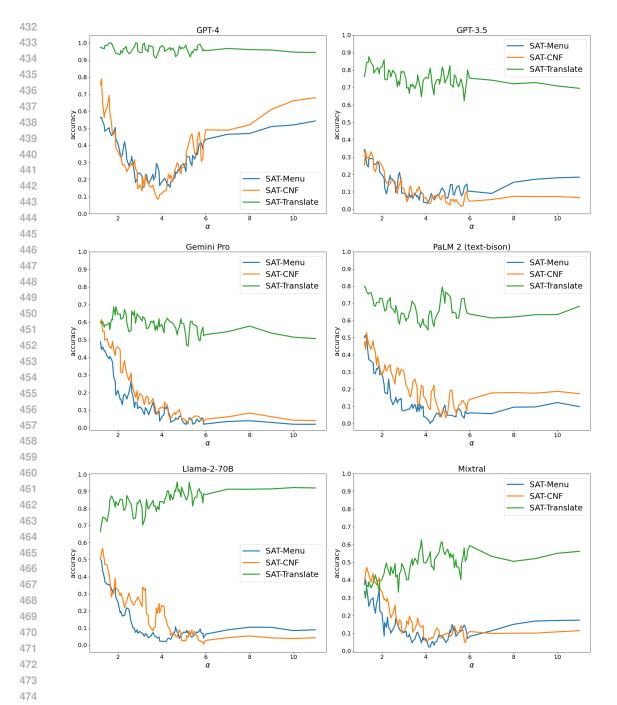


Figure 5: **LLM+Solver Framework comparison.** We compare the SAT Search performance of LLM+Solver frameworks (SAT-Translate) with standalone LLM on SAT-Menu and SAT-CNF variants. Remarkably, the SAT-Translate approach (plotted in green) outperforms the rest, showing the significance of augmenting LLMs with symbolic solvers. The plot was generated using a size 4 moving window on α values.

One could also argue that phase transitions are present in 2-SAT and several subclasses of Horn-SAT⁷ motivating an analogous empirical evaluation. Accordingly, we examined GPT-4's performance on 2-SAT, 1-2-HornSAT, and 1-3-HornSAT problems. However, random 2-SAT, which can

⁷In particular, Demopoulos & Vardi (2006) explored j - k Horn formulas, which consist of clauses that are either of length j or k, and j < k. Here, the length of the formulas are $O(n^k)$.

be solved in polytime, only exhibits a barely detectable phase transition (Chvatal & Reed, 1992; Goerdt, 1996). We observed the same with GPT-4 as shown in Figure 13 where it performed reasonably well for both the Search and Decision variants.

489 In contrast, for Horn-SAT, we observed a notable performance drop for GPT-4 around the satisfiabil-490 ity threshold – the point where the probability of satisfiability transitions from > 0.5 to < 0.5 – high-491 lighted in Figure 14. This suggests that while GPT-4 performs robustly on NL-complete problems 492 like 2-SAT, its effectiveness diminishes for higher complexity classes such as P-complete (Horn-493 SAT) and NP-complete (3-SAT). These observations align with the findings of Peng et al. (2024); 494 Li et al. (2024) which suggest that multi-layer transformers cannot solve problems such as Deriv-495 ability, 2-SAT, Horn SAT, and Circuit Evaluation unless L=NL. However, with T-CoT steps (where 496 T scales polynomially with sequence length), can compute any function solvable by a polynomialsized circuit. That said, each of these SAT fragments presents interesting structural and algorithmic 497 properties. Therefore, they represent distinct avenues of exploration, each requiring comprehensive 498 and focused work due to their unique structural and algorithmic properties. Undertaking such an 499 expansive analysis exceeds the scope of this study. 500

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7 CONCLUSION

504 A superficial analysis of the reasoning capabilities of LLMs suggests they possess strong and complex reasoning capabilities – a common fallacy (Bubeck et al., 2023). However, our detailed experi-505 mental analysis indicates that what appears as reasoning capabilities could be a mirage, with LLMs 506 predominantly exploiting statistical features present in the data and would explain why LLMs have 507 been termed "statistical parrots" (Bender et al., 2021). These findings are supported by our exper-508 iments on lower complexity classes (2-SAT and fragments of Horn-SAT), where GPT-4 performed 509 comparatively well on NL-complete problems but rather poorly on P-hard problem. We believe 510 that the comprehensive experimental protocol we established for 3-SAT can be deployed in future 511 studies to further investigate the reasoning capabilities of LLMs – at a more fine-grained level than 512 worst-case theoretical studies. 513

This is not to say that LLMs lack value – quite the opposite. LLMs are highly effective at translating problems into a formal language and then passing these problems on to solvers. This utility is demonstrated by the relatively superior performance of a straightforward LLM+Solver framework. This suggests that effective reasoning with LLMs should involve decomposing tasks into easy LLM problems and hard symbolic problems (when possible), rather than solely relying on scaling models with more training data and compute for natural language reasoning. In a more general context, it would require that the class of the problem is recognized correctly – here 3-SAT – and that the right solvers are available.

Furthermore, LLMs can serve as valuable knowledge bases, leveraging their extensive common sense and world knowledge through natural language queries to guide search processes. This can be utilized by either solvers guiding LLMs (Hazra et al., 2024b) or vice versa, where LLMs assist solvers (Wong et al., 2023).

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Reproducibility

We provide comprehensive instructions to ensure the reproducibility of the results. The dataset generation process and statistics are outlined in § 4.2 and Appendix A, the LLM configurations are listed in Appendix Table 2, and detailed prompts shown in Appendix C. Moreover, we also discuss limiting/failure cases in Appendix B and how they can be mitigated. We intend to open-source our code and data following publication. The code base will be made available to the reviewers through an anonymous link.

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Appendix

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The appendix is organized as follows. Dataset Statistics § A, Output analysis of LLMs § B, and Full Prompts § C.

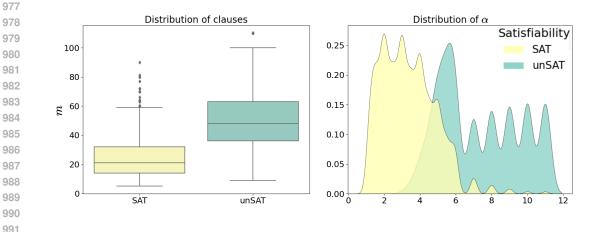


Figure 6: **3-SAT Dataset Statistics**. Figures depict clauses m (left) and α (right) distribution across SAT and unSAT instances, highlighting that unSAT problems typically feature more clauses and higher α values.

A DATASET STATISTICS

A.1 3-SAT

Table 1 lists all values of the range of α corresponding to each n. For α values within the (6, 11] interval, we incremented α by 1. For the [1, 6] interval, which contains the most "interesting problems," we aimed for finer granularity by choosing the smallest possible α increment. This increment ensures that, given the number of variables n, we obtain an integer number of clauses m. For example, with n = 3, the minimum increment is $\alpha_{inc} = 1$, and for n = 4, it is $\alpha_{inc} = 0.25$. For each α_{inc} we generated 300 formulas.

The distribution of formulas according to the number of variables is detailed as follows: 3,000 formulas with 3 variables, 7,500 with 4 variables, 9,000 with 5 variables, 4,500 with 6 variables, 3,000 with 7 variables, 13,500 with 8 variables, 3,000 with 9 variables, and 16,500 with 10 variables.

As shown in Figure 6, the range of clauses (m) varies in [5, 90], and the alpha $(\alpha = m/n)$ ranges in [1.1, 11.0]. For unSAT instances, the clauses range from 9 to 110, with the alpha varying in [2.60, 11.0]. Across the entire dataset, the average number of variables (n) is 7.2, the average number of clauses (m) is 33, and the mean α is 4.7. This diverse dataset provides a broad spectrum for analyzing the impact of variable and clause distribution on formula satisfiability.

1016 A.2 2-SAT

For the experiments on 2-SAT, we followed the same formula generation procedure used for 3-SAT. However, the α range was reduced to [1, 10], as the unsatisfiability transition occurs earlier in 2-SAT problems. This adjustment allowed us to reduce the dataset size while preserving relevant data for analysis. For each α value, detailed in Table 1, we generated 100 formulas. The resulting dataset comprises a total of 29,600 formulas, of which 4,860 are satisfiable.

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- 1023 A.3 HORN-SAT
- 1025 We generated two classes of random Horn SAT formulas, namely 1-2-HornSAT and 1-3-HornSAT, following the methodology outlined by Moore et al. (2007). Intuitively, 1-2-HornSAT formulas

1028dataset. We generate 300 formulas per α value.1029 n Range of α 103031.0, 2.0, 3.0, 4.0, 5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0103141.0, 1.25, 1.5, 1.75, 2.0, 2.25, 2.5, 2.75, 3.0, 3.25, 3.5, 3.75, 4.0, 4.25, 4.5, 4.75, 5.0, 5.25, 5.5, 5.75, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0103251.0, 1.2, 1.4, 1.6, 1.8, 2.0, 2.2, 2.4, 2.6, 2.8, 3.0, 3.2, 3.4, 3.6, 3.8, 4.0, 4.2, 4.4, 4.6, 4.8, 5.0, 5.2, 5.4, 5.6, 5.8, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0103461.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5, 5.0, 5.5, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0103571.0, 2.0, 3.0, 4.0, 5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0103681.0, 1.125, 1.25, 1.375, 1.5, 1.625, 1.75, 1.875, 2.0, 2.125, 2.25, 2.375, 2.5, 2.625, 2.75, 2.875, 3.0, 3.125, 3.25, 3.375, 3.5, 3.625, 3.75, 3.875, 4.0, 4.125, 4.25, 4.375, 4.5, 4.625, 4.75, 4.875, 5.0, 5.125, 5.25, 5.375, 5.5, 5.625, 5.75, 5.875, 6.0, 7.0, 8.0, 9.0, 10.0, 11.010399.0, 10.0, 11.0104091.0, 2.0, 3.0, 4.0, 5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11.01041101.0, 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9, 2.0, 2.1, 2.2, 2.3, 2.4, 2.5, 2.6, 2.7, 2.8, 2.9, 3.0, 3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 3.7, 3.8, 3.9, 4.0, 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 5.0, 5.1, 5.2, 5.3, 5.4, 5.5, 5.6, 5.7, 5.8, 5.9, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0	1021		Tuble shows the funge of uping value for each <i>n</i> (i.e. humber of values) in the generate
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1037 1.0, 1.125, 1.25, 1.375, 1.3, 1.025, 1.13, 1.075, 2.0, 2.125, 2.25, 2.375, 2.57, 2.875, 2.025, 2.75, 2.875, 3.0, 3.125, 3.25, 3.375, 3.5, 3.625, 3.75, 3.875, 4.0, 4.125, 4.25, 4.375, 4.5, 4.625, 4.75, 4.875, 5.0, 5.125, 5.25, 5.375, 5.5, 5.625, 5.75, 5.875, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0 1039 9 1.0, 2.0, 3.0, 4.0, 5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0 1041 10 1.0, 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9, 2.0, 2.1, 2.2, 2.3, 2.4, 2.5, 2.6, 2.7, 2.8, 2.9, 3.0, 3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 3.7, 3.8, 3.9, 4.0, 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 5.0, 5.1, 5.2, 5.3, 5.4, 5.5, 5.6, 5.7, 5.8, 5.9, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0	1035	7	1.0, 2.0, 3.0, 4.0, 5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0
1038 4.5, 4.625, 4.75, 4.875, 5.0, 5.125, 5.25, 5.375, 5.5, 5.625, 5.75, 5.875, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0 1040 9 1.0, 2.0, 3.0, 4.0, 5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0 1041 10 1.0, 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9, 2.0, 2.1, 2.2, 2.3, 2.4, 2.5, 2.6, 2.7, 2.8, 2.9, 3.0, 3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 3.7, 3.8, 3.9, 4.0, 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 5.0, 5.1, 5.2, 5.3, 5.4, 5.5, 5.6, 5.7, 5.8, 5.9, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0	1036	8	1.0, 1.125, 1.25, 1.375, 1.5, 1.625, 1.75, 1.875, 2.0, 2.125, 2.25, 2.375, 2.5, 2.625,
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1040 9 1.0, 2.0, 3.0, 4.0, 5.0, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0 1041 10 1.0, 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9, 2.0, 2.1, 2.2, 2.3, 2.4, 2.5, 2.6, 2.7, 2.8, 2.9, 3.0, 3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 3.7, 3.8, 3.9, 4.0, 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 5.0, 5.1, 5.2, 5.3, 5.4, 5.5, 5.6, 5.7, 5.8, 5.9, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0	1038		4.5, 4.625, 4.75, 4.875, 5.0, 5.125, 5.25, 5.375, 5.5, 5.625, 5.75, 5.875, 6.0, 7.0, 8.0,
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1041 2.9, 3.0, 3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 3.7, 3.8, 3.9, 4.0, 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 1042 4.8, 4.9, 5.0, 5.1, 5.2, 5.3, 5.4, 5.5, 5.6, 5.7, 5.8, 5.9, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0	1040	9	
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48 49 50 51 52 53 54 55 56 57 58 59 60 70 80 90 100 110	1042		2.9, 3.0, 3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 3.7, 3.8, 3.9, 4.0, 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7,
			4.8, 4.9, 5.0, 5.1, 5.2, 5.3, 5.4, 5.5, 5.6, 5.7, 5.8, 5.9, 6.0, 7.0, 8.0, 9.0, 10.0, 11.0

1027 Table 1: Table shows the range of alpha value for each n (i.e. number of variables) in the generated

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contain clauses with only 1 and 2 literals, while 1-3-HornSAT formulas contain clauses with only 1046 1 and 3 literals. These classes correspond to 2-SAT and 3-SAT in the Horn category, which are 1047 P-complete rather than NP-complete. 1048

1049 Specifically, we sampled formulas from H_{n,d_1,d_2}^2 and $H_{n,d_1,0,d_3}^3$ distributions, where d_1 was fixed to 0.5 to simplify the sampling space, and d_2 and d_3 (referred to as α) were varied to analyze formula 1050 1051 behavior. For consistency with 2-SAT and 3-SAT analyses, α was incremented from 0 to 12 in 1052 steps of 1, resulting $m = \alpha n + 0.5n + 1$ clauses for these Horn formulas. Notice that for $\alpha = 0$, the formulas still contain 0.5n + 1 clauses due to the fixed d_1 parameter. The choice of $d_1 = 0.5$ 1053 ensures we can observe a satisfiability threshold across different α values and small n. Lower values 1054 produced trivially satisfiable formulas at small n, for all α values, and were therefore avoided. We 1055 empirically explored d_1 values starting at 0.2 in increments of 0.1 before selecting 0.5. 1056

1057 We generated 300 formulas for each α value and formula type, with n ranging from 3 to 10. This 1058 range aligns with our other experimental settings, as opposed to the significantly larger n = 20,0001059 used by Moore et al. (2007). Each dataset contains 31,200 formulas. Among these, 5,036 formulas are satisfiable in the 1-3-HornSAT class, and 3,898 are satisfiable in the 1-2-HornSAT class. 1060

1061 1062

В LLMS AS SOLVERS: OUTPUT ANALYSIS

1063 1064

1065 We observed the following behaviors in the generated outputs, including chain-of-thought (CoT) reasoning: 1067

Diverse Reasoning Techniques: GPT-4 employs varying reasoning techniques depending on the 1068 prompt type (SAT-CNF vs. SAT-Menu) and even adapts its approach across individual problems 1069 within the same prompt type. 1070

SAT-CNF Reasoning: The dominant strategy involves backtracking, as illustrated in Box 5. Oc-1071 casionally, GPT-4 employs local search, where it assigns items to "orderable" and "not-orderable" 1072 lists and iteratively modifies these based on detected conflicts (e.g., We can create two sets for liked 1073 and disliked items and then compare them to find any conflicts. Let's begin by creating a list of all 1074 the likes and dislikes to identify conflicts.). 1075

¹⁰⁷⁶ SAT-Menu Reasoning: The primary strategy here is trial-and-error. Occasionally, GPT-4 applies heuristics such as the Maximum Occurrence in Minimum-sized clauses (MOM) heuristic to priori-1077 tize variables appearing most frequently in the smallest clauses (e.g., We start by making a tally of 1078 how many people like or dislike each food item... If we put 'macaron' on the 'orderable' list, we will 1079 satisfy many people who like it.").

Table 2: Configuration	Paramete
Parameter	Value
GPT-*	
temperature	1
max_tokens	4096
top_p	1
frequency_penalty	0
presence_penalty	0
Llama 2 70B	
4-bit quantization	True
tokenizer type	Fast
max_new_tokens	2048
batch_size	20
Mixtral $8 \times 7B$	
4-bit quantization	True
attention	Flash
max_new_tokens	4096
batch_size	30

"Lazy" Solutions: As noted in subsequent text, GPT-4 often produces "lazy" solutions in many cases, either providing an outline of how to solve the problem or asking to be delegated to a solver.

We also discuss some interesting failure cases we observed during our experiments. In the SAT-CNF context, it was observed that LLMs, including GPT-4, often opt to pass the task to an external SAT solver rather than solving it themselves. Additionally, when attempting to find a solution, these models tend to provide only a conceptual outline of the solution instead of a concrete answer, a tendency that becomes more pronounced with larger formulas. When prompted explicitly for a solution, GPT-4 might simplistically conclude that the problem is unsatisfiable due to its complexity, as shown in Box 5. Although this reasoning is not entirely sound – as over-constrained formulas can still potentially be solvable – it appears that LLMs might be leveraging this as a statistical feature.

In the SAT-Translate approach, it was observed that LLMs (with the exception of GPT-4) frequently deviated from the specific requirements of the prompt, generating solutions that did not adhere to the syntax expected by the solver. These inconsistencies in translation led to suboptimal accuracy levels within the LLM+Solver frameworks.

It should be noted that the input to the LLM is based on fixed templates, the rules of which can be captured using regular grammar. Thus, one could write a simple parser to map the menu input to a CNF formula. However, mapping from ambiguous natural language to solver-compliant input may be non-trivial for the LLMs. Generally, outputs from LLMs often require additional post-processing to meet specific guidelines.

C FULL PROMPTS

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1136 1137 Here, we provide full prompts used in our experiments for SAT-Menu (Box 2), SAT-CNF (Box 3), 1138 and SAT-Translate (Box 4). 1139 1140 1141 1142 Box 2: SAT-Menu Prompt 1143 1144 System Message 1145 Your task is to output two distinct lists of food items, one denoting what can be ordered ('orderable') 1146 and the other what cannot ('not_orderable'), to meet the preferences of a group of individuals. Each person must find the selection satisfactory based on their likes and dislikes. The satisfaction criteria 1147 are: 1. A person is satisfied if at least one liked item is in 'orderable' list or one disliked item is in 1148 'not_orderable' list. 2. No item can appear on both lists. 3. All participants must be satisfied by the 1149 combination of the two lists. 4. If no such combination exists that satisfies all, output empty lists for 1150 both. You always think step-by-step and show all your work in the explanation. Output your final so-1151 lution as a comma-separated list of strings in Python code $\langle orderable = [...], not_orderable = [...] \rangle$. 1152 # Pairs of Preferences and Solutions for in-context learning 1153 Few-shot Examples ... 1154 1155 # Input for a new problem 1156 Preferences: Jay: Likes nachos, ratatouille. Dislikes pie. Ada: Likes pie. Dislikes burger, ravioli. 1157 Zoe: Likes ravioli. Dislikes pie, burger. Arun: Likes ratatouille. Dislikes pie, nachos. Ula: Likes ratatouille. Dislikes ravioli, nachos. Ying: Likes nachos, ratatouille. Dislikes burger. 1158 1159 # Generated Solution for the given problem 1160 Solution: First, let's list out the likes and dislikes of each person and then categorize the food items 1161 based on their preferences. 1162 Jay: Likes nachos, ratatouille. Dislikes pie. 1163 Ada: Likes pie. Dislikes burger, ravioli. 1164 Zoe: Likes ravioli. Dislikes pie, burger. 1165 Arun: Likes ratatouille. Dislikes pie, nachos. 1166 Ula: Likes ratatouille. Dislikes ravioli, nachos. Ying: Likes nachos, ratatouille. Dislikes burger. 1167 1168 Now, let's categorize the items as 'orderable' and 'not_orderable' based on the given preferences. 1169 1170 From the above preferences, we can create the following lists: 1171 1172 Orderable: pie, ratatouille, nachos Not orderable: burger, ravioli 1173 1174 Let's examine if this combination satisfies all the participants' preferences. 1175 1176 1. Jay: Satisfied by ratatouille in orderable list. 2. Ada: Satisfied by pie in orderable list. 1177 3. Zoe: Satisfied by burger in not_orderable list. 1178 4. Arun: Satisfied by ratatouille in orderable list. 1179 5. Ula: Satisfied by ratatouille in orderable list. 1180 6. Ying: Satisfied by nachos in orderable list. 1181 All participants are satisfied with this combination, and no item appears in both lists. 1182 So the final lists are: 1183 1184 ••• python 1185 orderable=[pie, ratatouille, nachos] 1186 not_orderable=[burger, ravioli] 1187

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1188	
1189	Box 3: SAT-CNF Prompt
1190	# System Message
1191	Let's play the SAT (satisfiability) game. The input is a list of clauses, where each clause is represented
1192	as a disjunction of literals (variables or their negation connected by logical OR). Your task is to find
1193	valuation of Boolean variables such that a Boolean CNF formula evaluates to True. The solution should be in form of a dictionary where keys are variables and values are Boolean (True or False).
1194	The satisfaction criteria are: 1. At least one literal in each clause should be True. 2. A variable can't
1195	be both True and False in the dictionary. 3. If no satisfying assignment exists, you should output an
1196 1197	empty dictionary. You always think step-by-step and show all your work in the explanation. Output the solution in Python code dictionary, enclosed within $\langle output : \rangle$.
1198	
1199	# Input for a new problem
1200	Formula:
1201	[[-3, 1, -4], [-4, -2, 1], [-1, -4, 5], [5, 1, 2], [-5, 4, 2], [-4, 3, 1],
1202	[1, 5, -3], [-2, 1, 3], [1, -5, -4], [4, -3, -1], [-2, 5, -3]]
1203	
1204	
1205	# Generated Solution for the given problem Solution: To find a satisfying assignment for this CNF (Conjunctive Normal Form) formula, we will
1206 1207	use a simple approach and try to construct a solution iteratively while checking at each step if the
1208	clauses are satisfied or not. We will go through the clauses one by one and make arbitrary decisions
1209	on the truth values of the literals. We have to ensure that no variable is assigned both True and False, and each clause must have at least one True literal.
1210	
1211	We start with the first clause [-3, 1, -4]. Let's arbitrarily decide:
1212	3 is False (so -3 is True), 1 is True (so we satisfy the clause [-3, 1, -4] because 1 is True or -3 is True),
1213	4 is True (since we haven't made any decision on 4, we do not yet satisfy the clause with -4, but that
1214	won't be an immediate issue).
1215 1216	Our current assignments are now: 1: True, 3: False, 4: True.
1217	
1218	Next, we consider the second clause [-4, -2, 1]. Since 1 is True, this clause is already satisfied.
1219 1220	Next is [-1, -4, 5]. We need to satisfy this clause without changing the truth value of 1 or 4, so we choose to make 5 True.
1221 1222	Our assignments are now: 1: True, 3: False, 4: True, 5: True.
1223	Next, we look at [5, 1, 2]. Since 5 is True, this clause is already satisfied.
1224	
1225 1226	Next is [-5, 4, 2]. We need to satisfy this without changing 5 or 4, so we set 2 to True.
1227	Our current assignments are: 1: True, 2: True, 3: False, 4: True, 5: True.
1228 1229	Now the next clause is [-4, 3, 1]. Since 4 is True, -4 is False, but 1 is True, so the clause is satisfied.
1230	For the clause [1, 5, -3]. This clause is already satisfied by 1 being True.
1231 1232	For [-2, 1, 3]. This clause is also satisfied because 1 is True.
1233 1234	For [1, -5, -4]. This is again satisfied because 1 is True.
1235	Next clause is [4, -3, -1]. This clause is satisfied with 4 being True.
1236 1237	The last clause is [-2, 5, -3]. This is satisfied because 5 is True.
1238	All clauses are satisfied with our current assignments, so we return:
1239	2.2 nuthon
1240 1241	output: {1: True, 2: True, 3: False, 4: True, 5: True}

1242	Day 4. CAT Translate Descent
1243	Box 4: SAT-Translate Prompt
1244	# System Message
1245	You are provided with a list of preferences from different individuals, each specifying items they like
1246	and dislike. Create a logical expression in Conjunctive Normal Form (CNF) that satisfies a set of
1247	individual preferences regarding likes and dislikes of certain items. The condition for an individual's
1248	satisfaction is that either at least one item they like is included, or at least one item they dislike is excluded in your selection. Format the final CNF expression in LaTeX. Ensure all item names are
1249	retained in the final output. Do not include any explanation.
1250	
1251	# Input for a new problem
1252	Preferences : Om: Likes naan, curry. Dislikes tandoori. Bao: Likes curry. Dislikes naan, tandoori.
1253	Nic: Likes naan. Dislikes curry, tandoori. Pat: Likes curry. Dislikes naan, tandoori. Du: Likes tandoori, naan, curry. Kim: Likes curry. Dislikes tandoori, naan.
1254	tandoon, naan, curry. Kini. Likes curry. Disnkes tandoon, naan.
1255	# Generated Solution for the given problem
1256	Solution:
1257	$(naan \lor curry \lor \neg tandoori) \land (curry \lor \neg naan \lor \neg tandoori) \land (naan \lor \neg curry \lor \neg tandoori) \land$
1258	$(\operatorname{curry} \lor \neg \operatorname{naan} \lor \neg \operatorname{tandoori}) \land (\operatorname{tandoori} \lor \operatorname{naan} \lor \operatorname{curry}) \land (\operatorname{curry} \lor \neg \operatorname{tandoori} \lor \neg \operatorname{naan})$
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1296	Box 5: SAT-CNF (Lazy Solution)
1297	
1298	# System Message
1299	Let's play the SAT (satisfiability) game. The input is a list of clauses, where each clause is represented
1300	as a disjunction of literals (variables or their negation connected by logical OR). Your task is to find valuation of Boolean variables such that a Boolean CNF formula evaluates to True. The solution
1301	should be in form of a dictionary where keys are variables and values are Boolean (True or False).
1302	The satisfaction criteria are: 1. At least one literal in each clause should be True. 2. A variable can't
1303	be both True and False in the dictionary. 3. If no satisfying assignment exists, you should output an
1304	empty dictionary. You always think step-by-step and show all your work in the explanation. Output
1305	the solution in Python code dictionary, enclosed within $\langle output : \rangle$.
1306	# Input for a new problem
1307	Formula:
1308	
1309	[[7,9,-4], [1,-8,-4], [-1,3,-8], [10,3,-8], [9,-1,-8], [-4,10,6], [-7,1,-8], [-3,5,-6], [-7,1,-8], [-3,5,-6], [-7,1,-8], [-3,5,-6], [-7,1,-8], [-3,5,-6], [-7,1,-8], [-3,5,-6], [-7,1,-8]
1310	[-5, 8, 6], [8, -9, 5], [8, -5, -9], [5, -8, -9], [-2, 4, 9], [8, -2, 6], [9, -5, 6], [-10, -4, -2], [-1, 2, -8, -9], [-1,
1311	[-3, -5, -4], [-8, -2, -3], [1, 3, 9], [8, -10, -1], [-8, -2, -10], [4, -10, 3], [-9, 4, -8], [7, 8, -10], [4, -10, 3], [-9, 4, -8],
1312	[-4, 5, -3], [7, 6, 2], [-5, -7, -4], [-4, 7, -9], [-10, 2, 5], [3, -1, 10], [-5, -4, -7], [-3, 8, 4], [-4, -7], [-3, -4], [-4, -7], [-3, -4], [-4, -7], [-3, -4], [-4, -7], [
1313	[-10, -3, -4], [6, 4, -1], [8, 7, 9], [1, 3, 10], [10, 8, 9], [-3, 9, 4], [-5, 9, -1], [-4, 8, 9], [2, -4, -1], [-4, 9], [-4, -1], [-4
1314	[-4, 10, -7], [5, -7, -10], [2, 8, 7], [9, -2, 10], [-7, 2, -4], [5, -3, 4], [1, -5, 4]]
1315	
1316	
1317	# Generated Solution for the given problem
1318	Solution: To solve this satisfiability problem, we need to determine an assignment of truth values to
1319	the variables such that all clauses are satisfied. With such a large and complex problem, an efficient
1320	systematic approach or use of an algorithm like the DPLL (Davis–Putnam–Logemann–Loveland)
1321	algorithm would be ideal. However, since we're expected to think step-by-step, let's attempt a simplified analysis.
1322	simplified analysis.
1323	We start by looking for unit clauses, which contain only one literal, or pure literals, which appear in
1324	one polarity only throughout the formula. Observing the clauses, we can't immediately spot such
1325	helpful shortcuts due to the complexity. Instead, we can iterate through the clauses, and try to assign
1326	truth values to the variables in a way that satisfies as many clauses as possible. We might need to backtrack if we reach a contradiction.
1327	
1328	We must make some initial choices and see if those choices can lead to a consistent solution. Let's
1329	start with the first clause '[7, 9, -4]'. We can begin by setting 7 to True, 9 to True, or the negation of
1330	4 (-4) to True. Let's decide to set 7 to True. This is an arbitrary choice at the beginning, and we may
1331	need to backtrack if this leads to a contradiction later.
1332	Now, we need to check other clauses and see if this choice is consistent with them and try to fill in
1333	further values. We will need to iterate through each clause and see if it's possible to satisfy it given
1334	our previous choices.
1335	Given the complexity of the problem and the large number of variables and clauses involved solving
1336	Given the complexity of the problem and the large number of variables and clauses involved, solving it by hand or by a step-by-step explanation is impractical. In practice, we would use a SAT solver
1337	software to find the satisfying assignment, if one exists. A SAT solver automatically handles the
1338	process of proposing variable assignments, backtracking, and deducing necessary assignments based
1339	on the structure of the formula.
1340	For the purposes of this answer, since I cannot run a SAT solver in real-time, I will have to state
1341	that solving this problem by hand is unfeasible within this format. The output, given the complexity,
1342	cannot be determined without the use of algorithmic assistance. If no satisfying assignment exists
1343	(meaning the formula is unsatisfiable), or we cannot solve it by a simple theoretical process within
1344	this framework, the output would be an empty dictionary.
1345	••• python
1346	output: {}
1347	
1348	
1349	

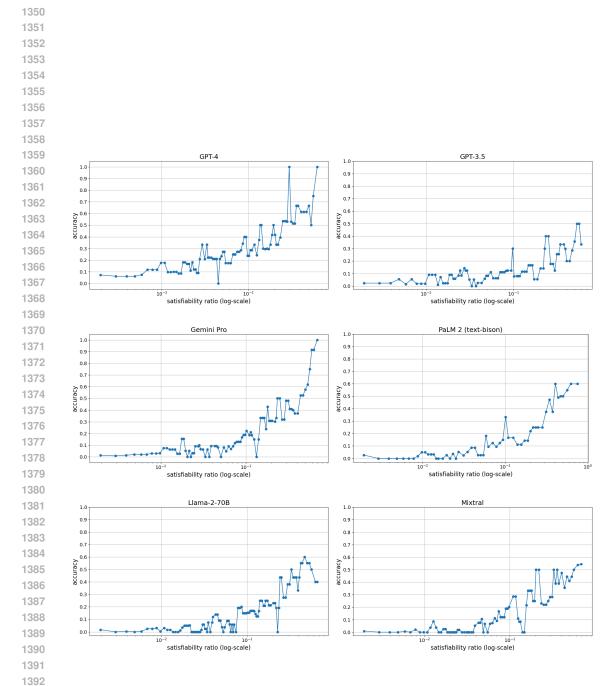


Figure 7: **LLM accuracy vs. satisfiability ratio.** The figure shows how accuracy improves with satisfiability ratio (in log-scale) across all LLMs. The setup used is the search version of SAT-Menu with 0-shot prompting.

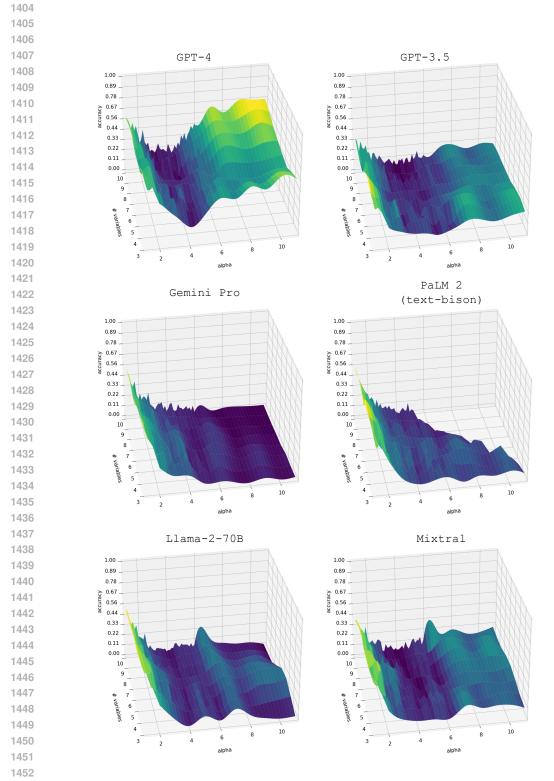
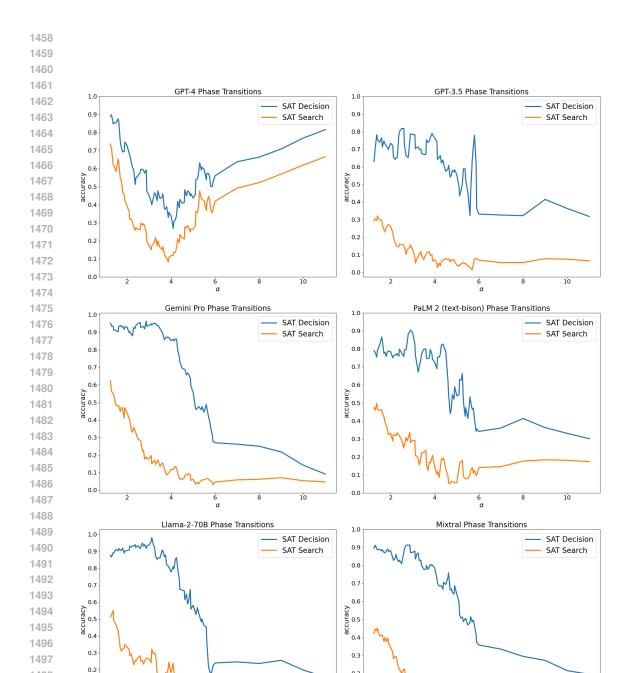


Figure 8: **LLM Phase Transitions.** Surface plots depict phase transition characteristics, comparing the accuracy in the range [0, 1] against # variables and α . The setup is the search version of SAT-Menu with zero-shot prompting. Unlike solvers, the surface plot does not show steepness with an increase in # variables.

1457



0.1

0.0

0.2

0.1

0.0

Figure 9: SAT Decision vs. SAT Search comparison. The figure illustrates the phase transitions

in solving random 3-SAT problems, specifically comparing the decision and search variants. Al-

though both variants fall under the same complexity class, empirical evidence shows that LLMs

more readily solve the decision problem than the search problem. Among these models, only GPT-4

demonstrates the distinct Easy-Hard-Easy phase transition characteristic. The setup is SAT-CNF

with 0-shot prompting. The plot was generated using a size 4 moving window on α values.

α

α

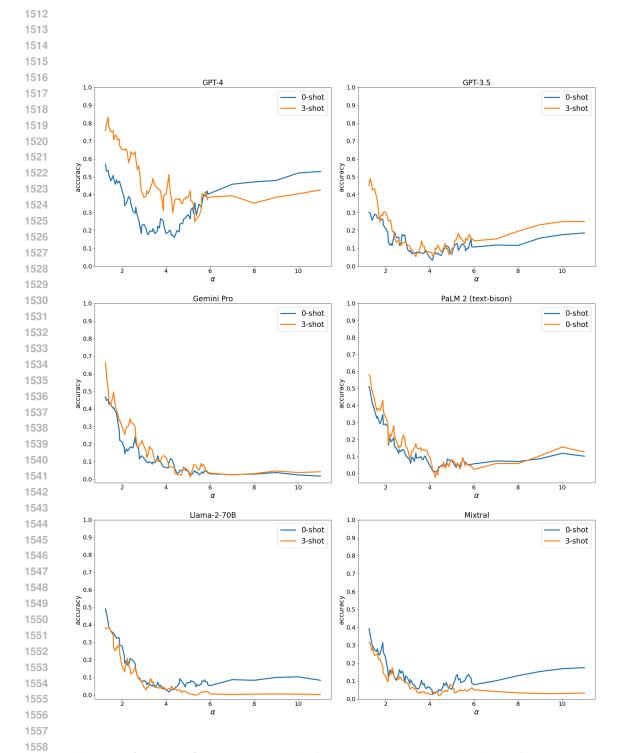


Figure 10: 0-shot vs. 3-shot accuracy. The figure compares 0-shot and 3-shot performance com-paring all LLMs. It can be observed that, except GPT-4, in-context learning does not enhance performance for other LLMs. The setup is the search version SAT-Menu. The plot was generated using a size 4 moving window on α values.

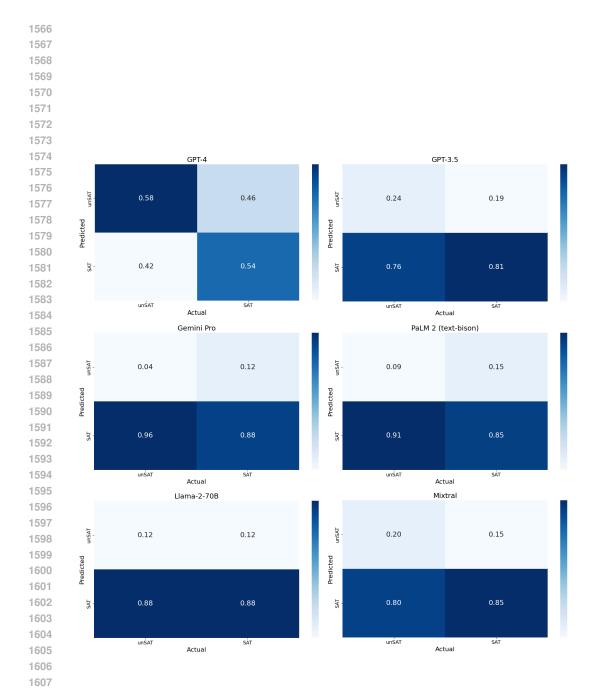


Figure 11: **Confusion matrices for 3-SAT Decision Problem.** The figure compares the accuracy of all LLMs on the 3-SAT decision problem. It can be observed that, except GPT-4, all other LLMs struggle to correctly classify unsatisfiable instances. The cell annotations reflect classification accuracy, normalized over the true counts (column) to account for the imbalance between SAT and unSAT instances. The setup is SAT-Menu with 0-shot prompting.

- 1615
- 1616 1617
- 1618
- 1619

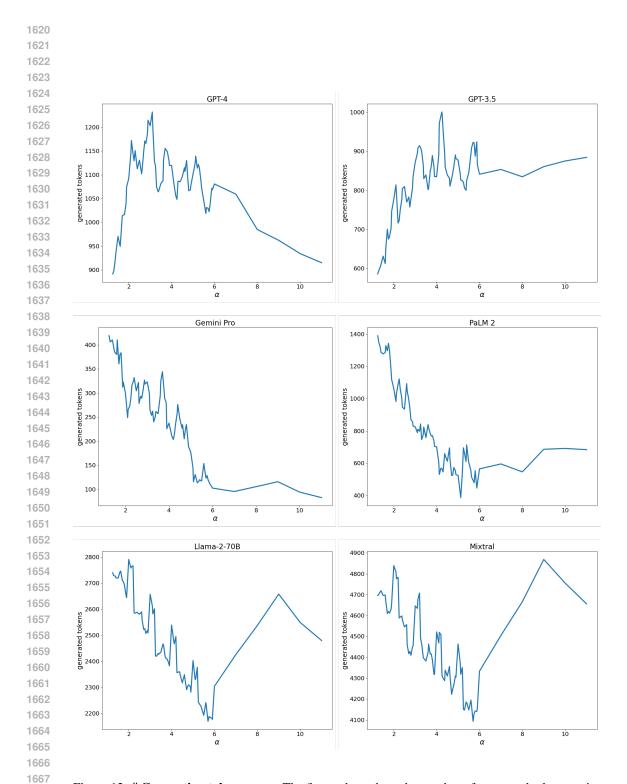


Figure 12: **# Generation tokens vs.** α . The figure shows how the number of generated tokens varies with the hardness of the problem. The setup used is the search version of SAT-Menu with 0-shot prompting. The plot was generated using a size 4 moving window on α values.

