# Self-evolving Agents with reflective and memory-augmented abilities

Anonymous ACL submission

#### **<sup>001</sup>** Abstract

 Large language models (LLMs) have made significant advances in the field of natural language processing, but they still face chal- lenges such as continuous decision-making, lack of long-term memory, and limited context windows in dynamic environments. To address these issues, this paper proposes an innovative framework—Self-evolving Agents with Reflec- tive and Memory-augmented Abilities (SAGE). **The SAGE framework comprises three agents:**  the User, the Assistant, and the Checker. By inte- grating iterative feedback, reflective mechanisms, and a memory optimization mechanism based on the Ebbinghaus forgetting curve, it significantly **enhances the agents' capabilities in handling**  multi-tasking and long-span information. The agents, through self-evolution, can adaptively adjust strategies, optimize information storage and transmission, and effectively reduce cognitive load. We evaluate the performance of the SAGE framework on AgentBench[\(Liu et al.,](#page-9-0) [2023b\)](#page-9-0) and long text tasks. Experimental results 024 show that SAGE significantly improves model performance, achieving a 2.26X improvement on closed-source models and an improvement 027 ranging from 57.7% to 100% on open-source models, with particularly notable effects on smaller models.Our datasets are available **at https://anonymous.4open.science/r/SAGE-031** FD7D/

## **032** 1 Introduction

 In recent years, large language models (LLMs) have made significant progress in the field of natural lan- guage processing, demonstrating powerful perfor- mance in tasks such as dialogue and text genera- tion[\(Brown et al.,](#page-8-0) [2020\)](#page-8-0). However, these models still face several challenges: (1) Agents need to continu-ously make decisions in changing environments and

adapt to new situations and tasks. (2) Agents lack **040** long-term memory mechanisms, which is increas- **041** ingly evident in situations requiring sustained interac- **042** tion with the environment[\(Graves et al.,](#page-9-1) [2016\)](#page-9-1). The **043** limited context window also hinders the model's abil- **044** [i](#page-9-2)ty to handle information over long time spans[\(Rae](#page-9-2) **045** [et al.,](#page-9-2) [2019\)](#page-9-2). **046**

To address these challenges, researchers have pro- **047** posed methods such as meta-learning and multi-task **048** learning to enhance the transferability and adapt- **049** ability of LLM agents. Regarding the issue of **050** limited memory storage, previous research such as **051** MemGPT[\(Packer et al.,](#page-9-3) [2024\)](#page-9-3) adopts a first-in, first- **052** out (FIFO) queue to forget content, while Memo- **053** ryBank[\(Zhong et al.,](#page-10-0) [2023\)](#page-10-0) establishes a forgetting **054** curve based on the insertion time of each item. How- **055** ever, these methods are typically tailored to specific **056** tasks or scenarios, lacking a general framework to **057** systematically improve the performance of LLM **058** agents in complex real-world settings. **059**

Recently, some innovative projects like AutoGPT<sup>[1](#page-0-0)</sup> and BabyAGI[2](#page-0-1) have started leveraging LLMs as core **<sup>061</sup>** controllers, driving the development of agents capa- **062** ble of tackling complex real-world challenges. Nev- **063** ertheless, existing multi-agent frameworks also face **064** certain drawbacks when handling complex tasks, **065** such as frequent communication and information **066** overload issues. Communication between agents **067** heavily relies on memory to maintain context, and **068** as the interaction history accumulates, the computa- **069** tional resource demands and inference latency signif- **070** icantly increase. These challenges severely impede **071** the efficient deployment and application of agents in **072** real-world scenarios. **073**

<span id="page-0-0"></span><sup>1</sup> https://github.com/Significant-Gravitas/AutoGPT

<span id="page-0-1"></span><sup>&</sup>lt;sup>2</sup>https://github.com/yoheinakajima/babyagi



Figure 1: An illustration of the SAGE

 In this paper, we propose an innovative framework, Self-evolving Agents with reflective and memory- augmented abilities (SAGE). By enhancing agents' self-adjustment capabilities through reflection, they can more effectively utilize historical information and make efficient decisions when faced with com- plex and dynamic tasks. From the perspective of self-evolution, we introduce a memory optimiza- tion mechanism based on the Ebbinghaus forget- ting curv[\(Ebbinghaus,](#page-9-4) [1885\)](#page-9-4). This mechanism helps agents selectively retain key information, optimize information storage and transmission, reduce unnec- essary cognitive load, and enhance agents' capabil- ities in interaction tasks with the environment. Ex- perimental results show that our approach achieves significant improvements across various benchmarks, particularly excelling in smaller models. Specifi- cally, on AgentBench, the performance of powerful LLMs like GPT-3.5 and GPT-4 is enhanced by up to 2.26X. For open-source models, performance im- provements range from 57.7% to 100%. In tasks such as multi-source question answering and code generation, our approach achieves state-of-the-art results[\(Etezadi and Shamsfard,](#page-9-5) [2023\)](#page-9-5).

**098** The main contributions of our work are as follows:

**• We propose a novel framework, SAGE, which 100** introduces a reflection mechanism to enhance the self-adjustment capabilities of agents. With- **101** out any additional training, this enables agents **102** to more effectively utilize historical information **103** and make efficient decisions when faced with **104** complex and dynamic tasks. **105**

- We introduce a memory optimization mecha- **106** nism based on the Ebbinghaus forgetting curve. **107** This helps agents selectively retain key informa- **108** tion, reducing the issue of information overload **109** in multi-agent systems. **110**
- SAGE achieves improvements over strong base- **111** lines in multiple challenging real-world tasks **112** and achieves state-of-the-art results on bench- **113** marks. This framework can be extended to other **114** LLMs, with particularly notable improvements **115** in smaller models.

## 2 Related work **<sup>117</sup>**

## 2.1 Self-Improvement of Reasoning and **118** Decision-Making **119**

A lot of research is focused on making large lan- **120** guage models (LLMs) better at improving them- **121** selves. Some researchers are working on using **122** carefully crafted prompts to help models learn how **123** to get better, although this usually only works for **124** one-off tasks. Others are tweaking how models get **125**



Figure 2: The illustration of an example HotpotQA with SAGE. Please refer to the appendix [B](#page-12-0) for more examples.

 feedback during tasks, which helps them get bet- ter at thinking things through[\(Huang et al.,](#page-9-6) [2022\)](#page-9-6). There's also work on using strategies like random beam searches[\(Meister et al.,](#page-9-7) [2022\)](#page-9-7) to help models make smarter decisions and assess their own work. Most current methods rely on quick, one-off tweaks and learning strategies that need lots of resources and hands-on tech help[\(Tian et al.,](#page-10-1) [2024\)](#page-10-1). This paper introduces a self-reflection mechanism, showing that LLMs can keep getting better and produce higher quality work across different tasks, all without need- ing extra training[\(Zhang et al.,](#page-10-2) [2024a;](#page-10-2) [Cheng et al.,](#page-9-8) [2024;](#page-9-8) [Jeong et al.,](#page-9-9) [2024\)](#page-9-9).

#### **139 2.2 Memory Mechanism for LLM-based Agents**

 In LLM-based agents, the memory module is a crit- ical component responsible for storing, processing, and retrieving task-related information. This mem- ory plays a pivotal role in how the agent accumulates knowledge, handles historical experiences, and sup- ports its decision-making processes. To enhance the self-evolution capabilities of these agents, re- searchers are focused on designing and optimizing these memory modules[\(Raffel et al.,](#page-9-10) [2020\)](#page-9-10). Past re- search has covered various designs and implementa- tions of memory modules. This includes integrating information from different trials to boost reasoning abilities or storing information in natural language to enhance the module's interpretability and user-friendliness[\(Wada et al.,](#page-10-3) [2019\)](#page-10-3). While there has been

progress, further improvements in self-adjustment **155** capabilities and memory management efficiency are **156** still needed to better address complex problems in **157** real-world applications. Our proposed memory opti- **158** mization mechanism is designed to help agents better **159** manage and adapt to dynamic and complex task en- **160** vironments[\(Kynoch et al.,](#page-9-11) [2023;](#page-9-11) [Singh et al.,](#page-10-4) [2024;](#page-10-4) **161 [Zhang et al.,](#page-10-5) [2024b\)](#page-10-5).** 162

# 3 Method **<sup>163</sup>**

In this section, we detail the proposed Self-Adjusting **164** Generative Environment (SAGE) framework. The **165** SAGE framework aims to enhance the iterative im- **166** provement and memory management capabilities **167** of agents through three main components: iterative **168** feedback, reflection, and MemorySyntax. First, in **169** the iterative feedback process, the assistant  $(A)$  continuously optimizes its output based on feedback **171** from a checker (C). Second, the reflection mech- **172** anism enables the assistant to analyze experiences **173** of task success and failure and store these experi- **174** ences in memory to make better decisions in future **175** tasks. Finally, the MemorySyntax method combines **176** Ebbinghaus's forgetting curve and linguistic knowl- **177** edge to optimize the assistant's memory and external **178** storage management, allowing it to effectively pro- **179** cess and retain important information. Next, we will **180** detail the specific implementation and workflow of **181** these components. **182**

# **183** 3.1 Iterative Feedback

 During the iterative feedback and improvement phase, the assistant (A) in the SAGE framework it- eratively receives feedback from the checker (C) to refine its output. This process continues until the checker deems the assistant's output correct or the iteration limit is reached.

# **190** 3.1.1 Initialization Phase

 Role Assignment: The SAGE framework consists 192 of three agents: the user (U), the assistant (A), and the checker  $(C)$ . The user  $(U)$  represents the agent that initiates the task and, upon receiving prompt 195 PU, assumes the role of task proposer. The assis- tant (A) represents the agent that generates text and actions based on observations from the environment **and, upon receiving prompt PA, generates text and**  actions based on these observations. The checker (C) is responsible for evaluating the assistant's out- put and providing feedback, assuming this role upon receiving prompt PC.

**203** Task Assignment: The user (U) assigns a task **204** description and a successful instance to the assistant **205** (A) as initial input to start the interaction.

# **206** 3.1.2 Actual Interaction Phase

 In the actual interaction phase, the assistant generates the appropriate output at each time point t based on the task description and instance provided by the user. Specifically, the assistant generates text and actions ot based on the current instruction st and necessary information:

$$
ot \sim \pi \theta (ot | st, rt, ft^i)
$$
 (1)

 where  $\pi\theta$  denotes the assistant's policy, rt represents **the reward score for task performance, and**  $ft^i$  **rep-** resents the feedback provided by the checker at the *i*-th iteration.

 The environment provides feedback rt, including possible changes or new information. Subsequently, the checker evaluates the assistant's output ot and **provides feedback**  $ft^i$ . If the assistant's output for- mat is incorrect, the BLEU metric is used to com- pare all possible action choices, selecting the closest match as the assistant's action for that step. The assistant iteratively adjusts its output based on the 226 checker's feedback  $ft^i$  until the maximum trial number N is reached or the checker deems the output **227** correct. **228**

# 3.1.3 Evolutionary Goals and Directions **229**

Based on the current iteration feedback, the assistant **230** generates new evolutionary goals: **231**

$$
\mathcal{E}^{t+1} = (\mathcal{A}^{t+1}, \mathcal{D}^{t+1})
$$
 (2)

where the evolutionary capability  $A^{t+1}$  refers to 233 memory optimization mechanisms and the evolu- **234** tionary direction  $\mathcal{D}^{t+1}$  refers to self-adjustment capabilities. The assistant adjusts its policy according **236** to the new evolutionary goals and directions: **237**

$$
\pi \theta^{t+1} = \psi(\pi \theta^t, \mathcal{E}^{t+1}) \tag{3}
$$

# 3.2 Memory Management **239**

In the SAGE framework, memory is divided into two **240** types: Short-Term Memory (STM) and Long-Term **241 Memory (LTM).** 242

Short-Term Memory is used to store information **243** that is immediately relevant to the current task. It **244** is highly volatile and has limited capacity. As the **245** agent processes new information and makes deci- **246** sions, short-term memory is frequently updated. Tra- **247** jectory history is used as short-term memory to help **248** the assistant recall and process information in the **249** short term[\(Mnih et al.,](#page-9-12) [2015\)](#page-9-12). **250**

Long-Term Memory is used to store informa- **251** tion deemed important and useful for future tasks. **252** Compared to short-term memory, long-term mem- **253** ory has a larger capacity and can retain informa- **254** tion for longer periods. The assistant's generated **255** self-reflections  $ft$  are stored in long-term memory[\(Graves et al.,](#page-9-1) [2016\)](#page-9-1). **257**

## 3.2.1 Reflection **258**

The reflection module provides the assistant with a **259** sparse reward signal, such as a binary success state **260** (success/failure), the current trajectory, and its persis- **261** tent memory. The assistant analyzes these inputs and **262** stores the learned lessons in memory to make better **263** decisions in future attempts. The assistant gener- **264** ates self-reflection ft to provide feedback for future 265 attempts, which is more informative than scalar re- **266** wards and is stored in the agent's memory M. This **267** process can be represented by the following equa- **268** tions: **269**

270 
$$
ft = ref(o1 : t, r1 : t)
$$
 (4)

$$
M \leftarrow M \cup \{ft\} \tag{5}
$$

**272** where ref denotes the reflection function.

## **273** 3.2.2 MemorySyntax

 The MemorySyntax method combines the Ebbing- haus forgetting curve and linguistic knowledge to simulate the memory and forgetting mechanisms in the human brain, applying them to the agent's memory and external storage management. Let It represent the information received at time t, and  $R(It, \tau)$  represent the retention rate of information 281 It after time  $\tau$ . According to the Ebbinghaus forget-ting curve, we have:

283 
$$
R(It, \tau) = e^{-\frac{\tau}{S}}
$$
 (6)

**284** where S represents the strength of the information, **285** which is related to the importance and complexity of **286** the information.

**287** MemorySyntax optimizes the forgetting mecha-**288** nism in the agent's memory by adjusting sentence **289** structure in the order of part-of-speech priority. Let  $It<sup>*</sup>$  represent the optimized information, then we **291** have:

$$
R(It^*, \tau) = \begin{cases} e^{-\frac{\tau}{S^*}}, & \text{if } It^* \text{ is stored in } Ms \\ e^{-\frac{\tau}{S}}, & \text{if } It^* \text{ is stored in } MI \end{cases} \tag{7}
$$

293 • where  $S^* > S$  indicates that the optimized in-**294** formation has a longer retention time in working **295** memory (Ms).

 When the importance of information decreases to a certain threshold, it transfers from working memory (*Ms*) to long-term memory (*Ml*) or is completely forgotten. We need to update the agent's memory during this process. Let Mt represent the agent's memory state at time t and  $It^*$  represent the opti- mized information, then the memory update process can be expressed as:

$$
Mt + 1 = \begin{cases} Mt \cup \{It^*\}, & \text{if } R(It^*, \tau) \ge \theta 1 \\ Mt \setminus \{It^*\}, & \text{if } R(It^*, \tau) < \theta 2 \\ Mt, & \text{otherwise} \end{cases}
$$
(8)

where  $\theta$ 1 and  $\theta$ 2 represent the thresholds for re-  $305$ taining information in working memory  $(Ms)$  and completely forgetting it, respectively, with  $\theta$ 1 >  $\theta$ 2.

When  $R(It^*, \tau) \geq \theta$ 1, it indicates that the information's importance is high enough to be retained in working memory (*Ms*). When  $R(It^*, \tau) < \theta$ 2, 310 it indicates that the information's importance is very low and can be completely forgotten. When  $\theta_2 \leq R(It^*, \tau) < \theta_1$ , it indicates that the informa- 313 tion's importance is between the two thresholds and **314** should be transferred to long-term memory  $(Ml)$ .

By this means, we can simulate the memory and forgetting mechanisms in the human brain, enabling the agent to manage its memory and external storage resources more effectively. Working memory  $(Ms)$  retains the most important and recent information, long-term memory  $(Ml)$  stores some important but infrequently used information, and unimportant **322** information is completely forgotten. This mechanism helps alleviate the problem of memory capacity **324** limitations and improves the agent's performance in tasks that require long-term memory. **326**

## 4 Experiment

To demonstrate the capabilities and performance of **328** SAGE in coordinating autonomous agent groups to **329** collaboratively complete tasks, we conduct extensive quantitative experiments on benchmark tasks. We use a public benchmark: AgentBench, a multidimensional evolutionary benchmark, from which **333** we select six tasks. These tasks evaluate the reasoning and decision-making abilities of LLMs acting as **335** agents in multi-turn open-ended generation settings. **336** To comprehensively assess the agents' long-context **337** understanding capabilities, we select four widely **338** adopted tasks in the domain of long text. These tasks [r](#page-9-13)eflect the agents' programming abilities(LCC[\(Guo](#page-9-13) **340** [et al.,](#page-9-13) [2023\)](#page-9-13), RepoBench-P[\(Liu et al.,](#page-9-14) [2023a\)](#page-9-14)) and **341** reasoning abilities(HotpotQA<sup>[3](#page-4-0)</sup>, TriviaQA<sup>[4](#page-4-1)</sup> ). **342**

## 4.1 Evaluation on AgentBench **343**

Task Description AgentBench includes scenarios **344** based on CODE (Knowledge Graph, OS, DB), GAME (ALFWorld)[\(Shridhar et al.,](#page-10-6) [2021\)](#page-10-6), and [W](#page-9-15)EB (WebShop[\(Yao et al.,](#page-10-7) [2023\)](#page-10-7), Mind2Web[\(Deng](#page-9-15) **347**

<span id="page-4-0"></span><sup>3</sup> [https://hotpotqa.github.io/](#page-9-15)

<span id="page-4-1"></span><sup>4</sup> [https://github.com/mandarjoshi90/triviaqa](#page-9-15)

LLM Type	Models	VER	OS	DB	KG	ALF	WS	M <sub>2</sub> W
API	$GPT-4$ (OpenAI, 2023)	0613	42.4	32.0	57.4	78.0	67.1	27.0
	GPT-3.5(Ouyang et al., 2022)	0613	31.6	15.7	25.9	17.0	64.1	16.0
<b>OSS</b>	Llama2-7b(Touvron et al., 2023)	chat	0.0	0.0	0.0	0.0	4.4	0.0
	Codellama-7b(Rozière et al., 2024)	instruct	5.7	2.6	0.0	0.0	16.3	0.0
	Qwen1.8b(Bai et al., 2023)	chat	2.7	1.4	6.8	0.0	6.6	0.6
	Qwen-7b(Bai et al., 2023)	chat	5.6	4.8	0.0	34.0	0.0	0.0
	Chatglm2-6b(Du et al., $2022$ )	v1.1	0.0	0.0	0.0	0.0	0.3	4.9

[Table 1: aseline Performance on AgentBench without SAGE Framework](#page-9-15)

<span id="page-5-0"></span>

LLM Type	Models	VER	OS	DB	KG	ALF	WS	M2W
API	$Gpt-4$	0613	49.7	39.8	63.1	82.0	67.8	32.0
	Gpt- $3.5$	0613	38.3	35.6	37.6	23.0	72.1	28.0
	$Llama2-7b$	chat	8.4	10.2	25.0	5.0	10.4	15.0
	Codellama-7b	instruct	18.4	19.2	27.0	12.5	40.2	15.0
<b>OSS</b>	Qwen1.8b	chat	18.7	15.1	45.3	10.5	11.4	13.6
	Owen-7b	chat	22.2	18.0	48.0	38.5	13.6	15.0
	Chatglm2-6b	v1.1	15.2	16.3	17.0	5.0	10.3	14.9

[Table 2: Performance on AgentBench with SAGE Framework](#page-9-15)

**348** [et al.,](#page-9-15) [2023\)](#page-9-15)). Due to page limitations, please refer **349** to the appendix [Af](#page-10-9)or detailed information.

 Baselines We evaluate API-based commercial mod- els GPT-3.5 and GPT-4. For open-source models, we evaluate Llama2, Codellama, Qwen, and ChatGLM2. We truncate dialogue history that exceeds the model length limit and typically use greedy decoding.

 Results As shown in Table [2,](#page-5-0) in the AgentBench test, our method significantly improves the perfor- mance of various models, especially smaller ones. Although GPT-3.5 and GPT-4 have already achieved high scores in the benchmark tests, their perfor- mance has also improved notably with the adoption of SAGE, with improvements reaching up to 2.26 times in the Database task. Llama2-7b has been enhanced to a state with certain capabilities, demon- strating the significant effect of this method on rela-tively weaker models.

 Additionally, CodeLlama-7b and Qwen-1.8B also show substantial improvements. Notably, Qwen- 1.8B, after using our method, performs close to GPT- 3.5, highlighting its potential as a general agent. The originally error-prone Llama2, through feedback mechanisms and memory optimization, exhibits a

significant reduction in basic errors, proving that our **372** method not only activates the agent capabilities of **373** the model but also effectively reduces fundamental **374** errors and logical mistakes in complex tasks. **375**

#### 4.2 Evaluation of Long-Context Tasks **376**

Task Description We evaluate the agent's code gen- **377** eration and reasoning abilities on the following four **378** long-text tasks: **379**

- i. LCC Dataset [\(Guo et al.,](#page-9-13) [2023\)](#page-9-13) The LCC **380** dataset is derived from the original long code **381** completion dataset. This dataset includes the **382** first few lines of long code as context and the **383** next line of code as the answer. We use Preci- **384** sion, Recall, and F1 as evaluation metrics. **385**
- ii. RepoBench-P [\(Liu et al.,](#page-9-14) [2023a\)](#page-9-14) measures the **386** system's ability to retrieve the most relevant **387** code snippets from other files as cross-file con- **388** text, use both cross-file and within-file context **389** to predict the next line of code, and handle com- **390** plex tasks that combine retrieval and next-line **391** prediction. We also use Precision, Recall, and **392** F1 as evaluation metrics. **393**

	<b>LCC</b>				<b>RepoBench-P</b>	<b>HotpotQA</b>	<b>TriviaOA</b>	
	<b>Precision</b>	Recall	F1	<b>Precision</b>	Recall	F1	F1	F1
Reflexion	77.72	81.00	79.28	78.73	81.86	80.25	11.26	11.23
Beam search	78.98	79.32	79.12	78.75	81.02	79.87	10.26	12.13
<b>SAGE</b>	78.76	79.88	79.29	79.27	83.28	81 22	22.06	22.76

Table 3: Comparison of Performance Across Different Methods

<span id="page-6-0"></span>

	OS.			DB KG ALF	WS -	$_{\rm M2W}$
$Owen-1.8B (w/o memo)$		10.4 22.6	6.8	$0.0^-$	-26.6	5.0
<b>Owen-1.8B</b> (w memo)				18.7 28.3 45.3 10.5 31.4		25.1
Codellama-7B (w/o memo)		9.7 2.7	$0.0^{\circ}$	$0.0^{\circ}$	14.3	5.0
<b>Codellama-7B</b> (w memo) $23.4$ 41.3			48.0	12.5	58.7	15.0

Table 4: Ablation study for memory optimization

- **394** iii. **HotPotQA[\(Yang et al.,](#page-10-10) [2018\)](#page-10-10)** is a dataset based **395** on Wikipedia, containing 113k question-answer **396** pairs. It challenges the agent to parse content **397** and reason over several supporting documents. **398** We use answer F1 as the evaluation metric.
- **399 iv. TriviaQA [\(Joshi et al.,](#page-9-20) [2017\)](#page-9-20)** is a reading com-**400** prehension dataset containing question-answer **401** pairs with evidence paragraphs. We filter out **402** paragraphs with fewer than 1,000 words as po-**403** tential examples from TriviaQA. We use answer **404** F1 as the evaluation metric.

 Comparison Methods: We compared two methods that use the Self-refine mechanism: Beam Search and Reflexion. Beam Search is a decoding algorithm that integrates self-assessment guidance through stochas- tic beam search. Reflexion gains experience from past trials in a verbal form.

#### **411** Evaluation Results:

 Code Completion Task: In the LCC dataset, the SAGE agent performs excellently on Precision, Re- call, and F1 metrics, showing significant improve- ment compared to Beam Search. Specifically, the SAGE agent effectively reviews previous predictions, uses memory mechanisms to identify and correct er- rors, thereby improving the accuracy and consistency of code completion, and reducing repetitive and erro- neous information. In contrast, while Beam Search also employs some self-optimization strategies, it often fails to maintain the same level of precision and consistency when dealing with complex code

structures and cross-file context, leading to slightly **424** inferior performance. **425**

Reasoning Tasks: In the HotPotQA and TriviaQA **426** datasets, the SAGE agent significantly outperforms **427** Reflexion in F1 scores. The SAGE agent can more **428** effectively integrate and utilize multi-document in- **429** formation when handling complex reasoning tasks **430** and can review and optimize its answers after each **431** reasoning session through the reflection mechanism, **432** ensuring progressive improvement during the an- **433** swering process. Memory optimization enables the **434** agent to maintain mastery of important information **435** over a long period, thus maintaining efficiency and **436** accuracy in complex question-answering tasks. In **437** contrast, although Reflexion[\(Shinn et al.,](#page-10-11) [2023\)](#page-10-11) re- **438** lies on past trial experience, its self-optimization **439** ability is insufficient when faced with the complex- **440** ity of multi-document reasoning and long contexts, **441** making it difficult to achieve the same performance **442** improvement. **443**

Overall, the SAGE agent outperforms Beam **444** Search and Reflexion in various tasks, demonstrating **445** its strong capabilities in complex code generation **446** and reasoning tasks. **447** 

# 4.3 Error analysis **448**

As shown in Figure [3,](#page-7-0) the SAGE framework signifi- **449** cantly improves agent performance across multiple **450** tasks, particularly excelling in the WS task. This **451** is mainly attributed to the iterative feedback mecha- **452** nism, which gradually optimizes the assistant's out- **453**

<span id="page-7-0"></span>

Figure 3: Distribution of various execution results across six tasks. (CLE: Exceeded Context Limit, TLE: Surpassed Task Limit). Task limits exceeded are the main reason for incomplete tasks, pointing to limitations in LLM agents' reasoning and decision-making within constrained timeframes.

 put through continuous interaction between the as- sistant and the checker. Moreover, in the OS and DB tasks, CLE and invalid format errors are almost completely eliminated, while invalid action errors are significantly reduced. This is largely due to the reflection mechanism, which helps the assistant learn from each task, reducing logical and invalid format errors. For detailed data, please refer in the appendix.

#### **462** 4.4 Ablation Study

 We conduct ablation experiments on the Qwen-1.8B and CodeLlama-7B models in AgentBench, with re- sults shown in Table [4,](#page-6-0) testing the effectiveness of memory optimization methods. The results show that without memory optimization, the Qwen-1.8B model performs relatively weakly across various datasets. However, once memory optimization methods are introduced, the agent's performance significantly im- proves, especially in the KG task, increasing from 6.8 to 48.0, and in the ALF task, rising from 0.0 to 10.5. This indicates that the memory optimiza- tion mechanism plays an important role in enhancing the capability of smaller parameter models in han- dling complex tasks.Similarly, for the CodeLlama- 7B model, performance on some datasets is also relatively average without the memory optimization mechanism. After optimization, the performance significantly improves, particularly in the DB task, increasing from 2.7 to 41.3, and in the WS task, ris- ing from 14.3 to 58.7. Overall, the CodeLlama-7B model performs better than the Qwen-1.8B model on most tasks. Specifically, in the DB and WS tasks, CodeLlama-7B shows a greater improvement

after memory optimization, increasing from 2.7 to **486** 41.3 and from 14.3 to 58.7, respectively, which is **487** far higher than the corresponding improvements of **488** Qwen-1.8B. This indicates that models with a larger **489** number of parameters exhibit stronger adaptability **490** and processing capabilities when dealing with cer- **491** tain types of data[\(Liu et al.,](#page-9-21) [2023c;](#page-9-21) [Shulman,](#page-10-12) [2023;](#page-10-12) **492** [Gambella et al.,](#page-9-22) [2021\)](#page-9-22). **493**

# 5 Conclusion **<sup>494</sup>**

In this paper, we propose the SAGE framework, **495** which significantly enhances agents' self-adjustment **496** and memory management abilities in complex and **497** dynamic tasks by introducing reflective mechanisms **498** and memory optimization. Experimental results **499** show that the SAGE framework achieves significant **500** performance improvements across various bench- **501** marks, especially in smaller models. In the Agent- **502** Bench test, the SAGE framework not only enhances **503** the performance of strong baseline models like GPT- **504** 3.5 and GPT-4 but also significantly improves the per- **505** formance of open-source models. Through feedback **506** mechanisms and memory optimization, the SAGE 507 framework effectively reduces basic errors and logi- **508** cal mistakes in complex tasks. Particularly in smaller **509** models, it enables them to handle complex tasks that **510** they previously could not manage. **511**

## Limitations **<sup>512</sup>**

Despite the significant improvements achieved by the **513** SAGE framework, several limitations remain. The **514** iterative feedback process can become computation- **515** ally intensive, leading to increased latency and higher **516**  resource consumption, which may not be feasible for real-time applications or systems with limited com- putational power. Additionally, managing short-term and long-term memory introduces complexity and overhead, requiring further optimization. The frame- work's performance heavily relies on the quality and accuracy of the checker; incorrect feedback can lead to suboptimal solutions. While the SAGE framework has shown effectiveness on specific benchmark tasks, its generalization across a broader range of tasks needs validation. It may struggle with tasks involv- ing high levels of ambiguity or requiring deep con- textual understanding. The MemorySyntax method's thresholds for retaining or forgetting information are critical and can affect performance, necessitating careful tuning. The use of sparse reward signals may not provide sufficient granularity for learning, requir- ing more nuanced feedback mechanisms. Finally, the evaluation of the SAGE framework has primarily been conducted on specific datasets, introducing a risk of evaluation bias. Broader, more diverse evalu- ations are needed to ensure robustness and general applicability. Addressing these limitations will re- quire further research and development to refine the framework, optimize its components, and validate its performance across diverse and complex tasks.

## **<sup>543</sup>** Ethics Statement

 The development and application of the Self- evolving Agents with Reflective and Memory- augmented Abilities (SAGE) framework presented in this paper adhere to the principles of ethical research and innovation. We acknowledge the broader impact of deploying autonomous agents in complex and dy- namic environments and have taken the following measures to ensure ethical compliance:

 1. Data Privacy and Security: Our framework does not involve the collection or processing of personal data. However, we emphasize the importance of data privacy and security in the application of similar technologies and recommend the implementation of robust data protection measures.

 2. Transparency: We provide a comprehensive description of the SAGE framework, its mechanisms, and its decision-making processes to ensure trans-parency in its functioning.

**562** 3. Bias and Fairness: We are aware of the potential

for algorithmic bias in AI systems and have taken **563** steps to minimize such biases in the design of our **564** framework. We encourage further research into the **565** ethical implications of AI decision-making. **566**

4. Accountability: We maintain that the develop- **567** ers and deployers of AI systems should be account- **568** able for their systems' actions and outcomes. SAGE **569** is designed to provide clear audit trails for its deci- **570** sions. **571**

5. Informed Consent: In cases where human inter- **572** action is involved, we advocate for the principle of **573** informed consent, ensuring that all participants are **574** aware of the AI's role and its implications. **575**

6. Limitations: We acknowledge the limitations of **576** our work, including the potential for the framework **577** to be misused or to fail under certain conditions. We **578** call for ongoing research to address these concerns. **579**

7. Future Research: We recommend that future **580** work in this area should continue to consider ethical **581** implications, including the long-term societal effects **582** of self-evolving AI agents. **583**

We believe that by addressing these ethical con- **584** siderations, we can contribute to the responsible de- **585** velopment and deployment of AI technologies. **586**

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## <span id="page-10-9"></span>A Detailed Dataset Information **<sup>790</sup>**

- (1) Operating systems Integrating LLMs into op- **791** erating systems has immense potential for au- **792** tomating and optimizing tasks. This integra- **793** tion requires a secure, user-friendly interface to **794** ensure effective LLM-OS interaction. LLMs **795** must accurately understand the OS context for **796** informed operations, prioritizing safety to pre- **797** vent misuse. Additionally, the system should **798** effectively handle errors and provide clear feed- **799** back to users, enhancing overall interaction and **800** control. Addressing these aspects can transform **801** computer interaction and efficiency across vari- **802** ous industries. **803**
- (2) Database The ability of LLMs to operate on **804** real databases via SQL is critical due to the im- **805** portance and complexity of database analysis **806** in everyday activities. Previous research has **807** highlighted the effectiveness of LLMs in au- **808** tomating database access, such as with T5QL, a **809** new SQL generation method. Furthermore, fine- **810** tuned LLMs (like GPT-3.5) have demonstrated **811** the ability to extract and link complex scientific **812** information from texts, obtaining structured **813**

**knowledge from unstructured text and building** extensive databases.

- **(3) WebShop** WebShop is an innovative simulation of an e-commerce website environment, featur- ing 1.18 million real-world products and 12,087 crowd-sourced text instructions. It challenges agents to navigate various types of webpages and perform diverse actions to find, customize, and purchase products based on given instruc- tions. WebShop's challenges include under- standing compositional instructions, query (re- )formulation, dealing with noisy text on web-pages, and strategic exploration.
- (4) Knowledge Graphs LLMs' utilization in con- structing and interacting with knowledge graphs (KG) presents a promising opportunity to en- hance semantic understanding and information retrieval. This involves assessing the models' ability to generate and interpret complex inter- relations within data, facilitating more intuitive and context-aware responses. Effective LLM performance in this domain could significantly improve AI's reasoning and decision-making **capabilities based on structured knowledge.**
- **(5) Mind2Web** Mind2Web (M2W) is a dataset de- signed for developing web agents capable of performing complex tasks on real websites via language instructions. It features over 2,000 tasks across 137 sites from 31 domains. M2W's real web environments and diverse user interac- tions make it a crucial platform for advancing AI navigation capabilities.
- (6) ALFWorld ALFWorld bridges interactive **TextWorld environments with embodied tasks**  from the ALFRED dataset, enabling agents to learn abstract strategies and apply them to real-world tasks. It supports abstract reason- ing and concrete execution, allowing agents to plan actions in a text-based simulator and exe- cute these tasks in a visual environment. This approach enhances agent generalization and problem-solving skills across various domains, such as language understanding and visual nav- igation, by leveraging a modular design that simplifies research improvements.

# **<sup>859</sup>** B Examples

# <span id="page-12-0"></span>Cases study on TriviaQA in using GPT with SAGE.

## User:

Answer the question based on the given passages. Only give me the answer and do not output any other words.The following are given passages:

History of canals in Great Britain - London Canal Museum History of canals in Great Britain Home > History > Canals in Britain In the history of canals, Britain was not a pioneer. The Chinese can claim that the Grand Canal of China was one of the first, in the tenth century,a. . . . . .

Answer the question based on the given passages. Only give me the answer and do not output any other words.

Question: Which metal is produced by the Bessemer Process? Answer:

# Assistant:

The content of the file seems to be an HTML document related to the administrative division of Turkey, including population statistics, charts, and maps. This file contains scripts, metadata, and structured data typical for a web page.

## Checker:

Clear Objectives: When answering user questions, the focus should be on directly addressing the question without introducing irrelevant information. Document Handling: Before confirming whether the document content is relevant to the question, detailed aspects of the document should not be directly addressed.

#### Reflection:

Issue Description: The user wants to understand why the system outputs irrelevant HTML document content based on user input and seeks detailed feedback and reflection. Reasons for the Error: Misinterpretation of Input: The system mistakenly assumed that the user uploaded a file and attempted to read its content, whereas the user only provided text input. Processing Logic Error: Without clearly determining the user's intent, the system directly parsed and outputted file content. User Experience Problems: Irrelevant Output: The user expects concise, relevant answers, but the system provided lengthy and irrelevant HTML content, affecting the user experience. Poor Communication: Failure to accurately understand and respond to the user's real needs resulted in off-topic responses In-depth Reflection and Improvement Suggestions: Clarify User Intent: Accurate Parsing of User Input: Enhance the system's natural language processing capabilities to accurately distinguish whether the user uploaded a file or simply provided text input. User Feedback Mechanism: Implement a user feedback mechanism to promptly adjust the understanding of user intent. For example, confirm the issue to verify if the user uploaded a file. Optimize Processing Logic: Layered Processing Flow: In system design, separate file processing logic from text response logic to avoid misjudgments. For instance, when a user uploads a file, process the file content separately; when a user inputs text, provide relevant answers directly. Pre-processing Check: Prior to handling files, perform pre-processing checks to confirm if the file content meets expectations. . . . . . . LLMs with SAGE response: Steel.

Ground truth Steel.

# Cases study on LCC in using GPT with SAGE.

## User:

import networkx as nx import pickle from Queue import PriorityQueue import copy import random import string import sys class MultiDiGraphEdgeKey(nx.MultiDiGraph): ......

#### Assistant:

You will be given the history of a past experience where you were given a task and failed to complete it. Reflect on the strategy and actions taken. Devise a concise, new plan of action that accounts for your previous mistakes. Here are two examples: Example 1: If you failed to remember to lock the door, plan to double-check the door each time. Example2: If you missed a deadline, plan to set reminders earlier......

# Checker:

The given code is a part of the Camerini algorithm, which is used for ranking edges in a directed graph. This algorithm is particularly useful for problems involving the identification of optimal or near-optimal substructures, like arborescences or spanning trees, in complex networks. The algorithm has two main phases:

Collapsing Phase: This phase identifies and collapses cycles in the graph, creating supernodes. This simplification helps in managing cycles more efficiently and prepares the graph for the expansion phase. Expanding Phase: After collapsing cycles into supernodes, this phase expands the supernodes back into their original structure, resulting in a ranked arborescence, where the edges are sorted or prioritized based on certain criteria. . . . . . .

## LLMs with SAGE response:

Z.addedge(eu, ev, \*\*data.copy()) self. init(graph, Y, Z) A = best(root) self.init(graph) e, d = self.next(A, Y, Z) w = self.getgraphscore(A) - d if d != float('inf') else float('inf') P.put( $(-w, e, A, Y, Z)$ ) solutions.append(A) return solutions

def bestbranching(self, root): return self.best(root)

def bestarborescencenorooted(self, root): return self.best(root)

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def getgraphscore(self, graph): return sum(data[self.attr] for u, v, data in graph.edges(data=True))

def findroots(self, graph): roots = [node for node, degree in graph.indegree() if degree  $== 0$ ] return roots . . . . . .

# Cases study on Repobench-P in using GPT with SAGE.

#### User :

Please complete the code given below. {src//kademlia//operation//KadRefreshOperation.java public class KadRefreshOperation implements Operation private final KadServer server; private final KademliaNode localNode; private final KademliaDHT dht; private final KadConfiguration config; public KadRefreshOperation......

## Assistant:

To devise a new plan of action, let's analyze the previous mistakes and come up with a solution: Mistake 1: In the KadRefreshOperation class, the execute() method calls the BucketRefreshOperation and ContentRefreshOperation without any error handling or exception handling. This can lead to unexpected errors and failures. Solution: Add proper error handling and exception handling in the execute() method of the KadRefreshOperation class. This will ensure that any errors or exceptions are properly handled and the execution can continue smoothly. . . . . .

# Checker:

In the 'KadRefreshOperation' class, there is no error handling when the 'execute()' method calls 'BucketRefreshOperation' and 'ContentRefreshOperation'. Analysis: If 'BucketRefreshOperation' or 'ContentRefreshOperation' throws an exception, the entire 'execute()' method will fail, potentially causing the interruption of the entire system's refresh operation. In the 'StoreOperation' class, the 'execute()' method does not handle the case of existing content in the DHT. Analysis: The 'execute()' method always returns 1 as the number of storage nodes, which is clearly incorrect. The correct number of nodes should be returned based on whether the content already exists in the DHT. Solution: Before storing the content, check if it already exists. If it does, return 0; otherwise, store the content and return the actual number of storage nodes.

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## LLMs with SAGE response:

private final transient KademliaRoutingTable routingTable; private final transient KadConfiguration config; private final transient MessageFactory messageFactory;

/\* Scheduled refresh operation \*/ private final Timer refreshTimer;

/\*\* \* Initialize the Kademlia system \* \* @param ownerId The ID for this owner \* @param nodeId The ID for this node \* @param inetAddress The address for this node \* @param port The port for this node \* @param config Configuration for the Kad system \* \* @throws java.io.IOException \*/ public JKademliaNode(String ownerId, KademliaId nodeId, InetAddress inetAddress, int port, KadConfiguration config) throws IOException { this.ownerId = ownerId; this.config = config;

/\* Initialize the Node  $*$ / this.localNode = new Node(nodeId, inetAddress, port);

/\* Initialize the Server \*/ this.server = new KadServer(localNode.getNodeId(), config);

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