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## ABSTRACT

Over-generalization is a thorny issue in cognitive science, where people may become overly cautious due to past experiences. Agents in multi-agent reinforcement learning (MARL) also have been found suffering *relative over-generalization* (RO) as people do and stuck to sub-optimal cooperation. Recent methods have shown that assigning *reasoning* ability to agent can mitigate RO algorithmically and empirically, but there has been a lack of theoretical understanding of RO, let alone designing provably RO-free methods. This paper first proves that RO can be avoided when the MARL method satisfies a consistent reasoning requirement under certain conditions. Then we introduce a novel reasoning framework, called negotiated reasoning, that first builds the connection between reasoning and RO with theoretical justifications. After that, we propose an instantiated algorithm, Stein variational negotiated reasoning (SVNR), which uses Stein variational gradient descent to derive a negotiation policy that provably avoids RO in MARL under maximum entropy policy iteration. The method is further parameterized with neural networks for amortized learning, making computation efficient. Numerical experiments on many RO-challenged environments demonstrate the superiority and efficiency of SVNR compared to state-of-the-art methods in addressing RO.

## 1 INTRODUCTION

Multi-agent reinforcement learning (MARL) has been successfully applied in multiplayer games (Rashid et al., 2019; Kurach et al., 2020), robotics (Ding et al., 2020), and traffic control (Calvo & Dusparic, 2018). This paper addresses relative over-generalization (RO), a critical pathology in fully cooperative MARL settings where agents pursue team-optimal outcomes. RO is analogous to over-generalization in cognitive science (Rand et al., 2014; Laufer et al., 2016; Baron, 2000), where limited experiences lead to broad, often inaccurate generalizations—as in the “once bitten, twice shy” idiom, where a person bitten by a snake develops fear of rope-like objects. This cognitive phenomenon has been documented across language acquisition (Gershkoff-Stowe et al., 2006), social learning (Rand et al., 2014), and decision-making (Laufer et al., 2016).

In MARL, relative over-generalization (RO) poses a significant challenge to optimal cooperation (Palmer, 2020), as agents overfit their policies to others’ exploration behaviors. This is evident in *Particle Gather*, where particles aiming to reach a landmark synchronously become risk-averse after experiencing penalties from uncoordinated visits, causing methods like (Lowe et al., 2017; Wei et al., 2018; Wen et al., 2019) to converge to suboptimal strategies (see §6). Two major approaches address RO: credit assignment methods (evolving from early lenient learning (Panait et al., 2006b; Wei & Luke, 2016; Palmer et al., 2017) to sophisticated value decomposition (Li et al., 2021; Peng et al., 2021; Zhang et al., 2021; Gupta et al., 2021; Siu et al., 2021; Huang et al., 2022; Kang et al., 2022; Yang et al., 2022; Shi et al., 2024; Hu & Ying, 2024) and shaped values (Wan et al., 2022; Shi & Peng, 2022; Zhao et al., 2023; Li et al., 2024a; Toquebiau et al., 2024)), and reasoning-endowed methods (Wen et al., 2019; Ma et al., 2022; Tian et al., 2019; Wei et al., 2018) that adopt an ego-agent perspective, equipping agents with capabilities to model others’ behavior—like recursive reasoning in (Wen et al., 2019) inspired by human cognition (Von Der Osten et al., 2017). Despite empirical successes, both approaches lack solid theoretical foundations. Some works prove algorithm convergence (Peng et al., 2021; Li et al., 2024a; Hu & Ying, 2024) or optimality in matrix games (Wan et al., 2022), but none formally define RO. This raises two key questions: (1) **can RO be provably avoided?** and if it can, (2) **how to design a method that provably addresses RO?**

This paper answers the first question with theoretical justifications and introduces new concepts to analyze Relative Over-generalization (RO) in Multi-Agent Reinforcement Learning (MARL). The current RO is defined on empirical converged joint policy, which makes it difficult to analyze MARL methods before training. To address this issue, we introduce *Perceived Relative Over-generalization* (PRO) and *Executed Relative Over-generalization* (ERO), which define RO for each joint policy update and policy execution, respectively. The RO is guaranteed to be addressed when ERO is avoided at convergence. With the basis, we prove that RO can be provably avoided when the MARL method satisfies a *consistent reasoning* condition at convergence. This condition requires each agent to model the behaviors of others consistently with their updated/executed behaviors.

For the second question, we propose a novel negotiated reasoning framework that satisfies the consistent reasoning condition, inspired by human negotiation processes (Kim, 1996; Carnevale & Lawler, 1986) and graphical model message-passing inference (Pearl, 1988). Our framework enables explicit reasoning through negotiation policies during training and decision-making based on negotiated agreements. We prove that agents achieve consistent reasoning when they reach action selection agreements through appropriate negotiation, and introduce Stein Variational Negotiated Reasoning (SVNR), which derives negotiation policies via Stein variational gradient descent and employs a strict nested negotiation structure. With maximum entropy policy iteration, SVNR provably achieves consistent reasoning and optimal cooperation at convergence under mild conditions. We further parameterize SVNR with neural networks and implement amortized learning to address computational complexity, distilling negotiation dynamics into network updates and approximating multiple negotiation rounds with single forward passes. Experiments in challenging differential games, particle world and multi-agent MuJoCo environments demonstrate SVNR’s superiority in addressing RO compared to state-of-the-art reasoning methods.

The main contributions are threefold: 1) We confirm the existence of provably addressing relative over-generalization (RO) methods; 2) We propose a novel framework called negotiated reasoning (NR) and specify the Stein variational NR method, which is the first MARL method that can provably address relative over-generalization (RO); 3) We propose a practical implementation of SVNR that demonstrates superior performance in achieving global optimal cooperation in RO-challenged tasks.

**Remark 1.** Our work adopts an autoregressive conditional policy factorization. This approach has been used in several multi-agent policy factorization works (Ding et al., 2022; Wang et al., 2023a; Fu et al., 2022; Ye et al., 2022; Li et al., 2024b) and decision-making foundation models (Wen et al., 2022), supporting SVNR’s effectiveness. Unlike these works, which address general multi-agent cooperative tasks, we focus specifically on the RO problem. The autoregressive policy factorization (the strictly nested negotiation set in SVNR) is just one optimal form. The optimal negotiation set covers a broader range of factorizations. Moreover, in contrast to some previous negative results on autoregressive policy factorization—such as the inability to leverage other agents’ optimal actions (Ding et al., 2022), sensitivity to the autoregressive order (Li et al., 2024b), and the requirement for centralized execution (Fu et al., 2022)—we provide a theoretical proof of the optimality of any strictly nested negotiation set. We also achieve decentralized execution through an amortized negotiation mechanism.

**Remark 2.** In addition to autoregressive policy factorization, another class of methods in MARL sequentially updates agents’ local, independent policies (Wang et al., 2023b; Kuba et al., 2022; Feng et al., 2023; Zhang et al., 2024). These methods are closely related to SVNR, though they mainly address non-stationarity rather than RO. Unlike autoregressive factorization, where agents exchange current policies in the negotiation process, sequential update methods convey the impact of one agent’s policy update on the environment and subsequent agents.

## 2 RELATIVE OVER-GENERALIZATION

This section defines RO under CTDE MARL contexts. Specifically, we propose two concepts, perceived RO (PRO) and executed RO (ERO), that distinguish different RO in CTDE. Then, we bridge the two concepts to RO and prove that RO can be avoided when PRO and ERO are addressed under mild conditions. Prior to introducing formal definitions, we first establish the problem formulation and associated mathematical notation.

**Cooperative Stochastic Game.** A Cooperative Stochastic Game (CSG) is commonly used to model cooperation in multi-agent systems (Petrosjan, 2006). It is defined by a tuple  $(\mathcal{S}, \{\mathcal{U}_i\}_{i=1}^N, P, \mathcal{R}, \gamma)$ ,

108 where  $N$  is the number of agents;  $\mathcal{S}$  is the state space;  $\mathcal{U}_i$  represents the action space for agent  $i$   
 109 with  $\mathcal{U} = \times_i \mathcal{U}_i$  representing the joint action space;  $P(\mathbf{s}' \mid \mathbf{s}, \mathbf{u})$  representing the probability that  
 110 environment transit to  $\mathbf{s}'$  when taking joint action  $\mathbf{u}$  at state  $\mathbf{s}$ ;  $\mathcal{R} : \mathcal{S} \times \mathcal{U} \rightarrow \mathbb{R}$  is the team reward<sup>1</sup>  
 111 function;  $\gamma \in [0, 1]$  is the discount factor. The goal for the CSG is to find policies  $\{\pi_i\}_{i=1}^N$  that  
 112 make accumulative reward the highest. The  $\pi_i : \mathcal{S} \rightarrow \mathcal{U}_i$  maps the state to agent  $i$ 's action and  
 113 the objective of CSG can be formulated as  $\max_{\pi_1, \dots, \pi_N} \mathcal{E} [\sum_{t=1}^{\infty} \gamma^t \mathcal{R}(\mathbf{s}_t, \mathbf{u}_t)]$ , where  $\mathbf{u}_t$  is sampled  
 114 from the policies as  $\mathbf{u}_t^i \sim \pi_i(\cdot \mid \mathbf{s}_t)$ .

115 **Multi-Agent Reinforcement Learning.** MARL methods are popular for solving the cooperative  
 116 stochastic game. This paper considers the mainstream of MARL schemes: centralized training  
 117 decentralized execution (CTDE). Each agent  $i$  holds an execution policy  $\bar{\pi}_i(\mathbf{u}^i \mid \mathbf{s})$  to make execution  
 118 in a decentralization manner and a *perceived* joint policy  $\hat{\pi}_i(\mathbf{u} \mid \mathbf{s})$  to do centralized training. The  
 119 *perceived* joint policy can be factorized as  $\hat{\pi}_i = \pi_i \rho_i$ , where  $\pi_i$  is the individual policy and  $\rho_i$  is the  
 120 perceived opponent policy. Following MaxEnt MARL (Tian et al., 2019; Wen et al., 2019; Wei et al.,  
 121 2018), each agent  $i$  optimizes its policy by minimizing the KL-divergence between perceived joint  
 122 policy and the induced optimal joint policy:  $\min_{\pi_i} D_{KL}(\hat{\pi}_i \parallel \pi_{\alpha}^*)$  where  $\alpha$  is the factor that balances  
 123 the reward and entropy. The  $\pi_{\alpha}^*$  is induced by the Boltzmann optimal policy:

$$\pi_{\alpha}^*(\mathbf{u} \mid \mathbf{s}) := \exp\left(\frac{1}{\alpha}(Q_{\text{soft}}^*(\mathbf{s}_t, \mathbf{u}_t) - V_{\text{soft}}^*(\mathbf{s}_t))\right), \quad (1)$$

124 where  $Q_{\text{soft}}^*$ ,  $V_{\text{soft}}^*$  denote optimal, soft state-action and state value function, respectively (Haarnoja  
 125 et al., 2017). After that, each agent  $i$  obtains decentralized execution policy as  $\bar{\pi}_i(\mathbf{u}^i \mid \mathbf{s}) :=$   
 126  $\int \hat{\pi}_i d\mathbf{u}^{-i}$  and the utility of the decentralized execution is:  $U^{\bar{\pi}} := \sum_t \mathbb{E}_{(\mathbf{s}_t, \mathbf{u}_t) \sim \beta_{\bar{\pi}}} \mathcal{R}(\mathbf{s}_t, \mathbf{u}_t)$ , where  
 127  $\bar{\pi} := \prod_i^N \bar{\pi}_i$  is the executed joint policy, and  $\beta_{\bar{\pi}}$  is the state-action marginals of the trajectory  
 128 distribution induced by  $\bar{\pi}$ .

129 Relative over-generalization is a critical game pathology in MARL. It occurs when agents prefer a  
 130 sub-optimal Nash Equilibrium over an optimal Nash Equilibrium because each agent's individual  
 131 policy in the sub-optimal equilibrium has a higher utility when paired with arbitrary policies from  
 132 opponents (Wei et al., 2018). This definition assumes MARL methods directly select the joint policy  
 133 from multiple Nash Equilibria while these methods make a comparison between the current joint  
 134 policy and updated joint policy for each updating. Thus we extend RO by considering each update.  
 135 Besides that, the current CTDE scheme in MARL motivates us to decompose RO to perceived relative  
 136 over-generalization (PRO) in the training phase and executed relative over-generalization (ERO) in  
 137 the execution phase. First, we define the ERO, which extends RO at each execution step and identifies  
 138 whether the optimal cooperation is disturbed due to not knowing the behaviors of opponents.  
 139

140 **Definition 2.1** (Executed Relative Over-generalization). Agent  $i$  suffers executed relative over-  
 141 generalization if and only if the utility of executed joint policy can be improved by letting  
 142 agents know others' actions:  $\max_{\pi_i} \{U^{\pi_i(\mathbf{u}^i \mid \mathbf{s}, \mathbf{u}^{-i})} \prod_{j \neq i} \bar{\pi}_j^*(\mathbf{u}^j \mid \mathbf{s})\} > U^{\prod_j \bar{\pi}_j^*(\mathbf{u}^j \mid \mathbf{s})}$  where  $\pi_i^* =$   
 143  $\arg \min_{\pi_i} D_{KL}(\pi_i \rho_i \parallel \pi_{\alpha}^*)$  is the  $i$ 's optimal policy with  $\rho_i$  and  $\bar{\pi}_i^* = \int \pi_i^* \rho_i d\mathbf{u}^{-i}$  is the executed  
 144 policy for each agent  $i$ .

145 It is straightforward that agents do not suffer from RO if all agents are free from ERO at convergence.  
 146 Besides that, agents also suffer from RO during their training phase, and we further propose the  
 147 definition of *Perceived Relative Over-generalization*.

148 **Definition 2.2** (Perceived Relative Over-generalization). Agents suffer perceived relative over-  
 149 generalization iff. there exists an agent  $i$  whose optimal perceived joint policy can be closer to  
 150 the optimal joint policy when knowing the optimal opponent policy:  $\min_{\pi_i} D_{KL}(\pi_i \rho_i \parallel \pi_{\alpha}^*) >$   
 151  $\min_{\pi_i} D_{KL}(\pi_i \pi_{\alpha}^*(\mathbf{u}^{-i}) \parallel \pi_{\alpha}^*)$  where  $\pi_{\alpha}^*$  is the optimal joint policy with entropy factor  $\alpha$ , and  
 152  $\pi_{\alpha}^*(\mathbf{u}^{-i}) := \int_{\mathbf{u}^{-i}} \pi_{\alpha}^* d\mathbf{u}^{-i}$  is the optimal opponent policy.

153 The perceived optimal joint policy for each agent is equal to the optimal joint policy for the case  
 154 that the agents are free from PRO. When each agent  $i$  reasons others' behaviors consistent with  
 155 their optimal policy  $\rho_i = \pi_{\alpha}^*(\mathbf{u}^{-i})$  in the training phase, others' exploration will not impact the  
 156 agent's policy updating and the PRO is avoided. If PRO is avoided and  $\alpha \rightarrow 0$ , all agents execute  
 157 deterministically, the agent's execution will not be impacted by others' exploration stochastic in the  
 158 execution phase, and ERO is avoided. These conditions are denoted as consistent reasoning, and we  
 159 define them below.

160 <sup>1</sup>The utility, reward and payoff are not distinguished.

162 **Definition 2.3** (Consistent Reasoning). Agents meet consistent reasoning if and only if all agents  
 163 reason others’ behaviors consistent with their optimal policy  $\rho_i = \pi_\alpha^*(\mathbf{u}^{-i})$  in the training phase and  
 164 reason others’ behaviors consistent with their executed actions during execution.  
 165

166 When the requirement is met at convergence, agents are free from  
 167 ERO, and they do not suffer from RO. Existing reasoning methods  
 168 are unable to reach consistent reasoning. We take Figure 1 as an  
 169 example to better illustrate how these methods suffer from PRO and  
 170 ERO respectively. It is a single-stage, cooperative game and contains  
 171 two agents “A” and “B”. The action space of each agent is  $\{0, 1\}$ . In  
 172 Figure 1 (Left), MADDPG (Lowe et al., 2017) usually suffers from  
 173 PRO due to agents reason others through their historical behaviors.  
 174 For agent A, if  $\rho_A(0) = \rho_A(1) = 0.5$ , it will obtain  $\hat{\pi}'_A(1, 0) = 1$   
 175 which is sub-optimal. MASQL (Wei et al., 2018) usually suffers  
 176 from ERO in Figure 1 (Right). If  $\hat{\pi}'_A(1, 0) = \hat{\pi}'_A(0, 1) = 0.5$  and  
 177  $\hat{\pi}'_B(1, 0) = \hat{\pi}'_B(0, 1) = 0.5$ , then PRO is avoided. However when making decentralized execution  
 178 based on  $\hat{\pi}'$  for each agent,  $\bar{\pi}(1, 1) = \bar{\pi}(0, 0) = \bar{\pi}(1, 0) = \bar{\pi}(0, 1) = 0.25$ , which are sub-optimal  
 and suffer from ERO.

179 **Intuitive Interpretations of Theoretical Concepts.** To bridge the gap between the formal definitions  
 180 and their practical implications, we analyze PRO and ERO through the lenses of variational inference  
 181 and distributional factorization. **(1) PRO as Variational Bias.** In the standard MaxEnt framework,  
 182 agent  $i$  optimizes its policy  $\pi_i$  by minimizing the KL-divergence  $D_{\text{KL}}(\pi_i \rho_i \parallel \pi_\alpha^*)$ , where  $\rho_i$  is the  
 183 *perceived* opponent policy. PRO arises when  $\rho_i$  deviates from the true optimal conditional distribution  
 184 of the opponent ( $\pi_{-i}^*$ ). Mathematically, this introduces a **biased variational objective**. Even if  
 185 agent  $i$  optimizes perfectly against  $\rho_i$ , the resulting gradient points toward a local optimum (safety)  
 186 rather than the global optimum (cooperation) because the “belief”  $\rho_i$  incorporates the opponent’s  
 187 exploration noise or historical sub-optimality. PRO is fundamentally a *training-time estimation*  
 188 *error*, akin to “shadow boxing” against a clumsy opponent; the agent learns to be overly cautious,  
 189 effectively “learning” to avoid the risk required for optimal cooperation. **(2) ERO as Factorization**  
 190 **Loss.** Even if the training phase converges to an optimal joint policy distribution  $\hat{\pi}$  (where PRO  
 191 is solved), decentralized execution imposes a structural constraint: the executed policy must be  
 192 the product of independent marginals,  $\bar{\pi}(u) = \prod_i \pi_i(u_i)$ . ERO occurs when the optimal joint  
 193 distribution  $\hat{\pi}$  is highly correlated or multimodal. In such cases, the projection of  $\hat{\pi}$  onto the space of  
 194 independent product distributions results in a significant **factorization loss**. The support of  $\prod_i \pi_i$   
 195 inevitably covers areas of the state-action space with low utility (miscoordination), leading to a lower  
 196 expected return than the joint policy  $\hat{\pi}$ . ERO is an *execution-time coordination failure*, representing  
 197 a “broken telephone” effect. Even if all agents know the optimal plan *in theory*, the lack of a  
 198 mechanism to synchronize their specific random samples at runtime causes them to act incoherently,  
 199 breaking the optimal joint structure. **(3) Consistent Reasoning as Closing the Loop.** We define  
 200 consistent reasoning as the fixed-point condition where two requirements are met simultaneously: (1)  
 201 *Training Consistency*, where  $\rho_i \rightarrow \pi_{-i}^*$  (the variational bias vanishes); and (2) *Execution Consistency*,  
 202 where the negotiation mechanism collapses the multimodal joint distribution into a specific mode  
 203 (agreement) such that  $\hat{\pi}(u) \approx \prod_i \pi_i(u_i)$  as  $\alpha \rightarrow 0$ . This ensures that the *planned* joint action  
 204 during the reasoning phase aligns perfectly with the *executed* action. The negotiation process acts as  
 205 a “pre-commitment” device, ensuring that agents not only identify the optimal peak in the reward  
 206 landscape but also agree to converge to the *same* peak together.  
 207

### 3 NEGOTIATED REASONING FRAMEWORK

209 Inspired by the critical role of negotiation for consistent reasoning in social cooperation, we introduce  
 210 negotiation in the reasoning process to avoid PRO and ERO with theoretical justifications and  
 211 propose a novel reasoning framework, NR. In NR, agents take  $M$  particles  $\{\mathbf{u}^{\ell,0}\}_{\ell=1}^M$  to represent  
 212 the initial perceived joint policy distribution  $p(\mathbf{u}^0) := \frac{1}{M} \sum_{\ell=1}^M \delta_{\mathbf{u}^{\ell,0}}(\mathbf{u})$  for a state  $s$ . Moreover,  
 213 each agent  $i$  holds a negotiation (*i.e.*, perturb) policy  $f_i(u_i \mid \mathbf{u}_{C_i}, s)$  that updates its action when  
 214 knowing the  $C_i$ ’s action selection. Here  $C_i \subseteq 1, \dots, N$  is the negotiated set for agent  $i$ , which  
 215 determines whom to negotiate,  $f_i := \{f_i^1, \dots, f_i^K\}$  where  $f_i^k$  is the negotiation policy of agent  $i$   
 in iteration  $k$ , and  $K$  is the number of negotiation rounds which is often large enough. Then every

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Figure 1: The PRO and ERO payoff functions examples.

agent  $i$  makes negotiated reasoning as  $u_i^{\ell,k} = f_i^k(u_i \mid \mathbf{s}, \mathbf{u}_{C_i}^{\ell,k-1})$ ,  $\forall i \leq N, \ell \leq M, k \leq K$ . Such a negotiation process can be interpreted as agents starting from initial action beliefs and negotiating with each other based on their negotiation policies. When  $f_i^k$  converges to an identity map for each agent, the perceived joint policy converges to a steady perceived joint policy (*i.e.*, agreement):  $\lim_{k \rightarrow K} p(\mathbf{u}^k \mid \mathbf{s}) := \frac{1}{M} \sum_{\ell=1}^M \delta_{\mathbf{u}^{\ell,k}}(\mathbf{u}) \rightarrow \pi^*(\mathbf{u} \mid \mathbf{s}), \forall \mathbf{u} \in \mathcal{U}$ . Negotiated reasoning avoids PRO when it meets certain conditions.

It is crucial to distinguish this framework from communication-based MARL methods that exchange messages to resolve partial observability (*i.e.*, approximating global state). In contrast, Negotiated Reasoning operates on the *probability measure space*. The “negotiation” is a functional gradient descent process in a Reproducing Kernel Hilbert Space (RKHS) that aligns the joint policy distribution with the global value landscape. This addresses equilibrium selection rather than state estimation.

**Theorem 3.1** (PRO-free Negotiated Reasoning). *For any environment state  $s$  where the optimal joint policy is defined as  $\pi_\alpha^*$ , consider each agent  $i$  takes a negotiated reasoning defined on a compact action space  $\mathcal{U}_i$ , they are PRO-free with  $K$  steps negotiated reasoning if  $\lim_{k \rightarrow K} p(\mathbf{u}^k \mid \mathbf{s}) = \pi^*(\mathbf{u}^k \mid \mathbf{s}), \forall \mathbf{u}^k \in \mathcal{U}$ .*

This motivates us to learn negotiation policy  $f_i$  satisfying the following conditions:

$$\lim_{k \rightarrow K} f_i^k(u_i \mid \mathbf{s}, \mathbf{u}_{C_i}^{\ell,k-1}) = u_i^{\ell,k-1}, \lim_{k \rightarrow K} p(\mathbf{u}^k \mid \mathbf{s}) = \pi^*(\mathbf{u}^k \mid \mathbf{s}), \forall i \leq N, \ell \leq M, \mathbf{u}^k \in \mathcal{U}. \quad (2)$$

The first condition requires the negotiation policies to converge to the identity map, and the second one requires the perceived joint policy to be identical to the optimal joint policy when the negotiation policy converges. We will specify the negotiated policy learning in the following two sections.

As for ERO-free in decentralized execution, we prove that setting  $\bar{\pi}_i = u_i^{0,K}$  with annealing  $\alpha \rightarrow 0$  ensures ERO-free in decentralized execution (see proof in Appendix E.2).

**Theorem 3.2** (ERO-free Negotiated Reasoning). *For any environment state  $s$ , when agents are PRO-free with  $K$  reasoning steps, they achieve ERO-free with annealing  $\alpha \rightarrow 0$  if each agent  $i$  sample action  $\bar{\pi}_i = u_i^{0,K}$ .*

When all the conditions are met, it is straightforward that consistent reasoning is obtained. Up to this point, we have established a theoretical connection between reasoning and RO. The next step is to design a negotiation policy that satisfies the condition in equation 2 and integrate this negotiated reasoning into existing multi-agent reinforcement learning.

## 4 STEIN VARIATIONAL NEGOTIATED REASONING

After building the theoretical relationship between reasoning and RO, this section proposes Stein variational NR, SVNR, under the NR framework, which is the first MARL method that provably addresses RO. We first derive the negotiation policy based on Stein variational gradient descent which obtains PRO-free negotiated reasoning. Then we devise the policy iteration method of SVNR and prove that it addresses PRO and ERO. Finally, we propose a practical implementation by parameterizing SVNR with neural networks and amortizing the learning procedure.

### 4.1 LEARNING THE NEGOTIATION POLICY

To learn the negotiation policy that converges to an identity map and lets perceived joint policy converges to the optimal joint policy as in equation 2, we start by building the relationship between negotiation policy and perceived joint policy. Decomposing KL divergence from the perceived joint policy, we have  $D_{KL}(p(\mathbf{u} \mid \mathbf{s}) \parallel \pi^*(\mathbf{u} \mid \mathbf{s})) = D_{KL}(p(\mathbf{u}_{-i} \mid \mathbf{s}) \parallel \pi^*(\mathbf{u}_{-i} \mid \mathbf{s})) + D_{KL}(p(u_i \mid \mathbf{s}, \mathbf{u}_{-i}) \parallel \pi^*(u_i \mid \mathbf{s}, \mathbf{u}_{-i}))$ . It states that the KL divergence between perceived and optimal joint policy can be minimized by

$$\min_{p(u_i \mid \mathbf{s}, \mathbf{u}_{-i})} D_{KL}(p(u_i \mid \mathbf{s}, \mathbf{u}_{-i}) \parallel \pi^*(u_i \mid \mathbf{s}, \mathbf{u}_{-i})), \quad (3)$$

when fixing other agents’ action selections (update only one agent’s action). This motivates us to design a negotiation policy that minimizes the equation 3. One of the most popular ways to solve

equation 3 is (MP)SVGD (see Appendix A) which can naturally fit the updating of the single agent's action while fixing others'. Specifically, it adopts the following scheme, *i.e.*,

$$f_i(u_i | \mathbf{u}_{C_i}^\ell, \mathbf{s}) : u_i^\ell + \epsilon \phi_i(\mathbf{u}_{C_i})^\ell, \forall i \leq N, \ell \leq M, \quad (4)$$

to update the joint policy distribution. The  $\epsilon$  is the learning rate, and  $\phi_i$  is the transformation direction in vector-valued reproducing kernel Hilbert space. Then the optimal  $\phi$  has a closed form solution for equation 3 when restricting  $\|\phi_i\|_{\mathcal{H}_i} \leq 1$  and  $\epsilon \rightarrow 0$ :

$$\phi_i^*(\mathbf{u}_{C_i}) = \mathbb{E}_{\mathbf{y} \sim p}[k_i(\mathbf{u}_{C_i}, \mathbf{y}_{C_i}) \nabla_{y_i} \log \pi^*(y_i | \mathbf{y}_{C_i}) + \nabla_{y_i} k_i(\mathbf{u}_{C_i}, \mathbf{y}_{C_i \setminus \{i\}})]. \quad (5)$$

The  $\phi^*$  provides the steepest direction to optimize the KL divergence. This iterative update process is mathematically grounded in the transport of probability measures via Stein variational gradient flow. We provide a detailed theoretical interpretation of this negotiation process and its visualization in Appendix L. The Appendix D.1 shows the details of the derivation.

To further ensure the identity map convergence and let the converged perceived joint policy identical to the optimal joint policy, the design of  $\{C_i\}_{i=1}^N$  plays a key role as seen in graphical inference problems (Pearl, 1988; Zhuo et al., 2018). Benefiting from the centralized training, we can design  $C_i$  without considering communication limitations. When  $\{C_i\}_{i=1}^N$  is strictly nested (*e.g.*,  $C_i = \{1, \dots, i\}$  for all  $i$ ), negotiated reasoning equation 4 with equation 5 converges and the agreement is identical to optimal joint policy (*i.e.*, satisfies PRO-free conditions equation 2) as proved in Appendix E.4. We denote the negotiated reasoning with (MP)SVGD and strict nested negotiation set as Stein variational negotiated reasoning. While strict nesting guarantees exact representability, relaxing this constraint leads to a bounded approximation error characterized by Information Projection, as detailed in Appendix E.8.

## 4.2 MAXIMUM ENTROPY POLICY ITERATION

In the previous section, we assumed that the optimal joint policy is known in advance. However, agents have to iteratively learn  $Q$ , and  $V$  functions to estimate the optimal joint policy and update their sampling policy accordingly in practice. This section establishes SVNR on the maximum entropy policy iteration and shows the convergence to the optimal joint policy theoretically. Concretely, we first define the soft bellman operator as

$$\Gamma_{\hat{\pi}} Q(\mathbf{s}_t, \mathbf{u}_t) := r_t + \gamma \mathbb{E}_{\mathbf{s}_{t+1}}[V(\mathbf{s}_{t+1})], \quad (6)$$

where  $V(\mathbf{s}_t) = \mathbb{E}_{\hat{\pi}}[Q(\mathbf{s}_t, \mathbf{u}_t) - \alpha \log \hat{\pi}(\mathbf{u}_t | \mathbf{s}_t)]$ . Each round of iteration usually consists of joint policy evaluation and joint policy improvement, where joint policy evaluation aims to evaluate the policy performance with  $Q$  and joint policy improvement updates each agent's policy accordingly. As for the joint policy evaluation, we obtain the following theorem.

**Lemma 4.1** (Joint Policy Evaluation). For a mapping  $Q^0 : \mathcal{S} \times \mathcal{U} \rightarrow \mathbb{R}$  with  $|\mathcal{U}| < \infty$ , define the  $Q^{k+1} = \Gamma_{\hat{\pi}} Q^k$  where the  $\Gamma$  is the soft bellman operator, then it converges to the joint soft  $Q$ -function of  $\hat{\pi}$  as  $k \rightarrow \infty$ .

Following equation 1 and equation 5, the  $\hat{\pi}$  is updated as:

$$\hat{\pi}(\mathbf{u}) = \lim_{k \rightarrow K} \frac{1}{M} \sum_{\ell=1}^M \delta_{u^{\ell,k}}(\mathbf{u}), u_i^{\ell,k} = u^{\ell,k-1} + \epsilon \phi_i^*(\mathbf{u}_{C_i}^{\ell,k}, u_i^{\ell,k-1}), \forall i \leq N, \ell \leq M, k \leq K, \quad (7)$$

$$\tilde{\pi} = \exp \frac{1}{\alpha} (Q(u_i, \mathbf{u}_{C_i}, \mathbf{s}) - V(\mathbf{u}_{C_i}, \mathbf{s})),$$

where  $Q(u_i, \mathbf{u}_{C_i}, \mathbf{s}) = \mathbb{E}_{\bar{\mathbf{u}} \sim \hat{\pi}(\mathbf{s}), \bar{\mathbf{u}}_{C_i} = \mathbf{u}_{C_i}, \bar{\mathbf{u}}_i = u_i} Q(\bar{\mathbf{u}}, \mathbf{s})$ ,  $V_i(\mathbf{u}_{C_i}, \mathbf{s}) = \mathbb{E}_{\bar{\mathbf{u}}' \sim \hat{\pi}(\mathbf{s}), \bar{\mathbf{u}}_{C_i} = \mathbf{u}_{C_i}} Q(\bar{\mathbf{u}}, \mathbf{s})$ , and  $\phi_i^*$  take  $\tilde{\pi}$  instead of  $\pi^*$  to construct the SVGD direction. Then we can obtain the following joint policy improvement lemma:

**Lemma 4.2** (Policy Improvement). When the negotiation policies are strictly nested, given the current perceived joint policy as  $\hat{\pi}$ , update it based on the equation 7 and obtain the new perceived joint policy  $\hat{\pi}'$ . The  $Q^{\hat{\pi}'}(\mathbf{s}_t, \mathbf{u}_t) \geq Q^{\hat{\pi}}(\mathbf{s}_t, \mathbf{u}_t)$  with  $|\mathcal{U}| < \infty$ .

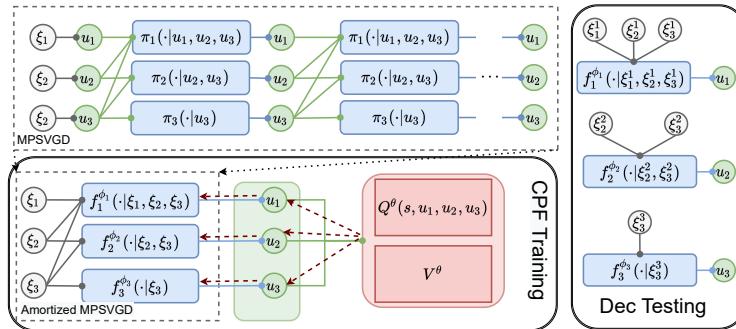
Following Lemma 4.1 and Lemma 4.2, we can establish the following SVNR policy iteration theorem and our proposed coordinated policy iteration method accordingly.

324 **Theorem 4.3** (SVNR Policy Iteration). *When the individual policies satisfy the strict nested requirement,*  
 325 *considering repeated apply the joint policy evaluation and joint policy improvement on the*  
 326 *perceived joint policy  $\hat{\pi}$ , then  $\hat{\pi}$  will converge to  $\pi^*$  that makes  $Q^{\pi^*}(s_t, u_t) \geq Q^{\hat{\pi}}(s_t, u_t)$ ,  $\forall \hat{\pi} \in$*   
 327  *$\Pi$ ,  $(s_t, u_t) \in \mathcal{S} \times \mathcal{U}$ ,  $|\mathcal{U}| < \infty$ .*

329 While the analysis assumes discrete action spaces to utilize standard fixed-point theorems, the  
 330 theoretical results extend to continuous domains through measure-theoretic unification. Furthermore,  
 331 the core Negotiated Reasoning mechanism (via SVGD) is natively designed for continuous spaces.  
 332 We provide the detailed continuous formulation and justification in Appendix K. Based on the  
 333 Theorem 4.3, we can obtain the convergence of SVNR policy iteration to the optimal joint policy.  
 334 Further, taking Theorem 3.2, we can obtain ERO-free executed joint policy  $\bar{\pi}$  by annealing  $\alpha$  to a  
 335 small enough number.

336 However, empirically, the SVNR policy iteration assumes knowing the word model and encounters  
 337 high computation and storage complexity due to 1) *inefficient policy representation*: SVNR policy  
 338 iteration represents the joint policy with particles that scale poorly on state-action space; 2) *intractable*  
 339 *optimization*: During learning, the soft bellman operator takes expectations on both the state and  
 340 joint policy distribution, which is intractable in realistic settings. To this end, we propose a practical  
 341 implementation for SVNR.

## 5 A PRACTICAL IMPLEMENTATION OF SVNR



356 Figure 2: The practical SVNR in the 3-agents system. SVNR adopts nested negotiated reasoning and  
 357 adopts amortized MPSVGD to output the actions. The amortized MPSVGD distills the multi rounds  
 358 negotiated reasoning dynamic by well-established neural networks. The “Dec Testing” (rightmost  
 359 part) illustrates how the proposed SVNR executes in a decentralized manner.

361 To address the inefficient policy representation and intractable optimization issues, this section  
 362 adopts neural networks to parameterize the policies and learn them with the proposed amortized  
 363 optimization. To gain efficient action sampling, we propose Amortized MPSVGD. It aims to adopt  
 364 neural networks to perform **variational distillation** of the negotiation equilibrium. Rather than  
 365 cloning the iterative negotiation trajectory, the network aims to approximate the steady negotiation  
 366 result (the fixed point) in  $\hat{\pi}(u)$  directly via neural network inference. Formally, each agent holds a  
 367 stochastic mapping function  $u^i = f_{\psi^i}(\cdot | \xi^i, \xi^{C_i}, s)$  that maps initial noises (i.e., gaussian noises) to  
 368 its action distribution. The  $\xi^i$  is the noise drawn by agent  $i$ . We denote the induced joint distribution  
 369 as  $p_{\psi}(u|s, \xi) := \prod_{i=1}^N f_{\psi^i}(u^i | \xi^i, \xi^{C_i}, s)$ . The goal of the proposed amortized MPSVGD method is  
 370 to find  $\psi^*$  that satisfies:

$$\arg \min_{\hat{\psi}} \text{KL} \left( p^{\hat{\psi}}(\cdot | s, \xi) \| \hat{\pi}(u) \right). \quad (8)$$

374 A straightforward way to learn  $\psi$  is to iterate the equation 7 procedure until convergence and to  
 375 establish the neural networks  $\{\psi_1, \dots, \psi_N\}$  which can fit the agreement. However, the equation 7  
 376 requires many rounds of updating, and this motivates us to introduce an incremental update scheme.  
 377 For each agent  $i$ , its policy parameter  $\psi_i$  is updated by moving along its SVGD’s gradient in order to  
 378 approach the target joint policy. Sampling joint actions  $u^1, \dots, u^M$  from  $p$  and assuming we can

378 perturb agent  $i$ 's action  $u_i^j = f^{\psi_i}(\xi_i^j; \xi_{C_i}^j, \mathbf{s})$  in appropriate direction  $\Delta f^{\psi_i}(\xi_i^j; \xi_{C_i}^j, \mathbf{s})$ , the induced  
 379 KL divergence in equation 8 can further be reduced. MPSVGD provides the most greedy direction as  
 380

$$381 \Delta f_i^\psi(\cdot; \mathbf{s}_t) = \mathbb{E}_{\mathbf{u} \sim p^\psi} \left[ \kappa_i(\mathbf{u}_{C_i}, p_{C_i}^\psi(\cdot; \mathbf{s}_t)) \nabla_{u_i} Q^\theta(\mathbf{s}_t, \mathbf{u}')|_{\mathbf{u}'=\mathbf{u}} + \alpha_i \nabla_{\mathbf{u}'_i} \kappa_i(\mathbf{u}'_{C_i}, p_{C_i}^\psi(\cdot; \mathbf{s}_t))|_{\mathbf{u}'=\mathbf{u}} \right], \quad (9)$$

383 where  $\alpha_i$  is the agent  $i$ 's temperature term,  $\theta$  is the neural network parameter of central critic, and  $\kappa_i$  is  
 384 the agent  $i$ 's kernel function as in MPSVGD. We can then set  $\frac{\partial J_p(\phi; \mathbf{s}_t)}{\partial u_i} \propto \Delta f_i^\phi$  (Feng et al., 2017).  
 385

386 Further, the gradient in MPSVGD can be backpropagated to the mapping network  $\phi_i$ , i.e.,

$$387 \frac{\partial J_p(\psi; \mathbf{s}_t)}{\partial \psi_i} \propto \mathbb{E}_\xi \left[ \Delta f_i^\psi(\xi; \mathbf{s}_t) \frac{\partial f_i^\psi(\xi; \mathbf{s}_t)}{\partial \psi_i} \right]. \quad (10)$$

390 Therefore, any gradient-based methods can optimize the parameters  $\psi_i$ . The detailed derivations  
 391 of equation 9 and equation ?? are shown in Appendix D. With this Amortized MPSVGD mapping  
 392 function, neural network inference can directly sample joint actions. Crucially, by optimizing  $\psi$  via  
 393 this incremental scheme, the network  $f_\psi$  learns to distill the multi-step negotiation dynamics into the  
 394 function weights. Consequently, a single forward pass ( $K = 1$ ) becomes sufficient to approximate  
 395 the equilibrium distribution during inference, avoiding expensive inner-loop optimization.

396 Furthermore, we consider the intractable evaluation step as in equation 6. Inspired by soft  $Q$ -  
 397 learning (Haarnoja et al., 2017), we can transform the fixed point iteration to the stochastic optimization  
 398 on minimizing the  $\|\Gamma_Q - Q\|$ . Specifically, the importance sampling is adopted to approximate  
 399 the value function and minimize the bellman error:

$$401 \theta^{\text{new}} = \arg \min_{\theta} \mathbb{E}_{\mathbf{s}_t, \mathbf{u}, r, \mathbf{s}_{t+1} \sim D} \left[ \frac{1}{2} (r + V^\theta(\mathbf{s}_{t+1}) - Q^{\theta'}(\mathbf{s}_t, \mathbf{u}))^2 \right], \quad (11)$$

403 where  $V^\theta(\mathbf{s}_t) := \alpha \log \mathbb{E}_{\mathbf{u}' \sim p(\cdot | \mathbf{s}_t)} [\exp(\frac{1}{\alpha} Q^\theta(\mathbf{s}_t, \mathbf{u}'))]$ . We summarize the proposed **SVNR** in  
 404 Figure 2, with pseudocode in Appendix B. While the practical implementation introduces approxima-  
 405 tion errors compared to the exact soft Bellman operator used in our theoretical analysis, we provide a  
 406 formal error analysis in Appendix E.9, showing that the performance loss is bounded.

407 SVNR assumes nested negotiation during training, which aligns with the widely adopted CTDE  
 408 paradigm. This assumption enables agents to leverage global information for improved coordination  
 409 while training, yet critically, SVNR operates in a **fully decentralized, communication-free** manner  
 410 during execution. Other assumptions in our analysis (e.g., stationarity, bounded rewards) are standard  
 411 in MARL literature and necessary for theoretical rigor without imposing impractical constraints.

## 413 6 EXPERIMENTS

415 We take two differential games (*Two Modalities* and *Max of Three* (Panait et al., 2006a)) and  
 416 the *Particle Gather* (Mordatch & Abbeel, 2018)) as our initial testbeds. We then scale to com-  
 417 plex continuous-control domains in MaMuJoCo (Peng et al., 2021). Baselines include popular  
 418 MARL methods and reasoning-based approaches that target RO—MADDPG (Lowe et al., 2017),  
 419 MASQL (Wei et al., 2018), PR2 (Wen et al., 2019), ROMMEO (Tian et al., 2019), and MMQ (Zhu  
 420 et al., 2024)—as well as strong value-decomposition/actor-critic general baselines in MaMuJoCo,  
 421 i.e., MAPPO (Yu et al., 2022), QMIX (Rashid et al., 2020), and FACMAC (Peng et al., 2021). To  
 422 ensure a rigorous evaluation, we employ identical network backbones and fixed entropy annealing  
 423 schedules across all Maximum Entropy methods, isolating the performance gains attributed to the  
 424 reasoning mechanism. A detailed analysis of computational trade-offs, theoretical justification for  
 425 compute costs, and hyperparameter protocols is provided in Appendix H.5.

426 Note that our primary contribution is the **theoretical development** of an RO-free solution for MARL.  
 427 We validate these claims using benchmarks that provide **sufficient complexity**, including MaMuJoCo,  
 428 while maintaining tractability. For two differential games and the Particle Gather, we report aggregate  
 429 test performance in Table 2 and defer its quantitative analysis to Appendix G. General-purpose  
 430 baselines are also reported in Appendix G.

431 **(1) The Differential Game (DG).** DG is a flexible and wide-adopted framework to design a chal-  
 432 lenging stateless MARL environment. We consider a three-agents case. Each agent shares a

common one-dimension bounded continuous action space of  $[-10, 10]$ . Their rewards are shared and determined by their joint action under the reward function  $r(u_1, u_2, u_3) = \max(g_1, g_2)$ , where  $a_1, a_2, a_3$  are actions of 3 agents respectively,  $g_1 = 0.8 \times [(-\frac{u_1+5}{3})^2 - (\frac{u_2+5}{3})^2 - (\frac{u_3-3}{3})^2] + c_1$ , and  $g_2 = h_2 \times [(-\frac{u_1-u_2}{s_2})^2 - (\frac{u_2-y_2}{s_2})^2 - (\frac{u_3-z_2}{s_2})^2] + c_2$ .

**(1.1) PRO-Challenged DG.** Setting  $c_1 = c_2$  results in two-modality, which raises the difficulty for agents to obtain the optimal perceived joint policy and thus is a PRO-challenged environment. We set  $h_2 = 1.0$ ,  $s_2 = 2$ ,  $x_2 = 7$ ,  $y_2 = 7$ ,  $z_2 = -3$ ,  $c_1 = c_2 = 10$  in the differential game to construct the *Two Modalities* scenario as the PRO-Challenged scenario. There exists two points  $(-5, -5, 3)$  and  $(7, 7, -3)$  that have the highest, 10, utility. Thus the optimal perceived joint policy should capture the two modalities. However, when agents do not know the optimal opponent policy, they usually tend to converge to one single modality, and PRO happens. We train each method with 5000 episodes and visualize their converged perceived joint policies by sampling. As shown in Figure 3, our SVNR captures the two modalities of the game while other baselines converge to the single modality policy.

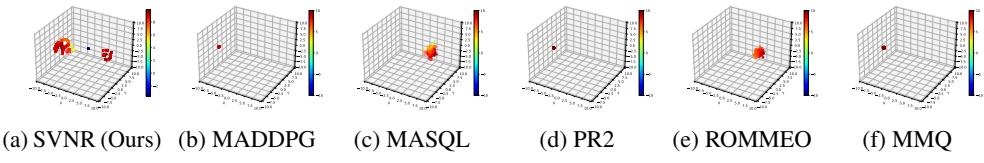


Figure 3: The converged perceived joint policy visualization in *Two Modalities* scenario. The optimal perceived joint policy should capture both modalities, and only our SVNR captures the two modalities.

**(1.2) ERO-Challenged DG.** We consider a difficult scenario for continuous MARL, *Max of Three*, which is extended from the *Max of Two* (Tian et al., 2019; Wei et al., 2018; Wen et al., 2019). Specifically, we set the  $h_2 = 1$ ,  $x_2 = 7$ ,  $y_2 = 7$ ,  $z_2 = -4$ ,  $c_1 = 0$ ,  $c_2 = 10$ . By setting different values for  $s_2$ , we can flexibly control how the ERO affects the agents. The smaller the  $s_2$ , the smaller the coverage of  $g_2$ , and the more severe the ERO issue. We examine different methods under different  $s_2$ , i.e.,  $s_2 = 1.5$ ,  $s_2 = 2.0$  and  $s_2 = 3.0$  and 5000 episodes are used for all cases.

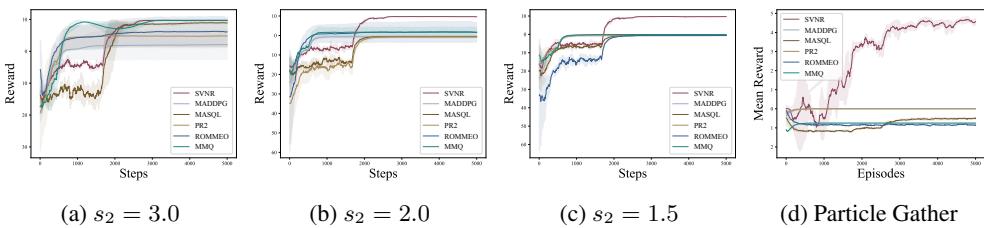


Figure 4: Influence of different coverage factors  $s_2$  on the training curves of (a-c) our method and different baselines in the *Max Of Three*. (d) shows the training curves in the *Particle Gather* scenario. The solid lines and shadow areas denote the mean and variance of the instantaneous rewards with 5 different seeds. With the larger  $s_2$ , the agents encounter a higher impact of *relative over-generalization*, and the proposed SVNR achieves the optimal solution in all settings.

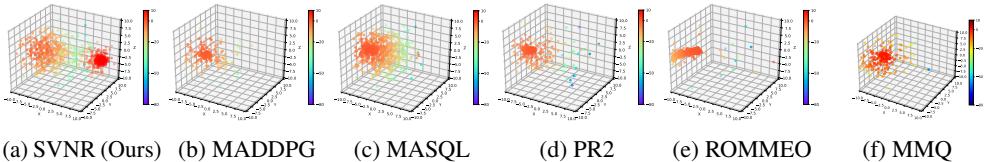


Figure 5: The sampled joint actions of (a) SVNR and (b-d) representative baselines under the settings of Figure (4c) from 1 to 3000 training timesteps. Each point represents a joint action taken by the agents at the corresponding timestep, and different colors represent the levels of rewards.

Although the formulation of the game is relatively simple, it poses great difficulty to gradient-based algorithms as in almost all the joint action space. The gradient points to a sub-optimal solution.

486 As shown in Figure 4, the MADDPG algorithm falls into the local optimum (*i.e.*, the reward is 0)  
 487 under all settings. MASQL, PR2, and ROMMEO can only jump out of the local optimum under the  
 488 relatively simple setting (*i.e.*,  $s_2 = 3.0$ ) with significant variance, while SVNR can steadily converge  
 489 to the global optimum while jumping out of the local optimum under all settings.

490 To better understand the learning behavior in the MAX OF THREE, we visualize the learning dynamic  
 491 under  $s_2 = 1.5$  in Figure 5. Each point represents a joint action taken by the agents from 1 to 3000  
 492 steps. Different colors represent the levels of instantaneous rewards. During 1 to 1500 steps, SVNR  
 493 agents have a significant visitation probability on the local optima (the left side at Figure 5a). They  
 494 visit the global optima more frequently at 1500 to 3000 steps while exploring the other area. With  
 495 the learning process kept on, SVNR converges to the 10 step reward as shown in Figure 4c. Other  
 496 baselines are concentrated near the local optimum.

497 **(2) Particle Gather.** This game is built with *Multi-Agent Particle World* (Lowe et al., 2017). There  
 498 are 2 particles in a continuous physical world. Each particle is controlled by 2 agents, the  $x$ -agent and  
 499 the  $y$ -agent, which control the particle’s movement together. When 2 particles reach a fixed landmark,  
 500 4 agents are rewarded with 5 together. Moreover, if only one particle reaches the landmark, all the  
 501 agents are penalized by  $-2$ . Otherwise, there is no instantaneous reward (*i.e.*, 4 agents are rewarded  
 502 by 0) that will be feedback to all agents. This iterated continuous game lasts for 25 timesteps. The  
 503 goal of all agents is to maximize the individual expected cumulative reward for 25 timesteps. This  
 504 scenario is difficult because without knowing others’ actions, the best choice for all the agents will be  
 505 to get far away from the landmark, making the optimal policy (reach the landmark simultaneously)  
 506 hard to obtain. All methods are trained for 5000 episodes, which consists of 25 timesteps, with tuned  
 507 hyperparameters, and the learning curves are shown in Figure 4d. It shows that all baselines converge  
 508 to the worst solution except for PR2 and MADDPG falling into the local optimum. SVNR still  
 509 steadily converges to the global optimum while jumping out of the local optimum.

510 **(3) Multi-Agent MuJoCo (MaMuJoCo).** We further evaluate SVNR on 4 MaMuJoCo environments  
 511 which convert classic single-agent MuJoCo tasks into fully cooperative, multi-agent settings via  
 512 physically meaningful partitions of the action space. In all 4 environments, agents receive the same  
 513 shared reward as the underlying single-agent task, and episodes terminate/truncate simultaneously for  
 514 all agents under the same conditions as the single-agent versions. Table 1 summarizes test returns  
 515 across the four MaMuJoCo tasks. SVNR achieves the highest returns in all scenarios, with especially  
 516 large margins over MAPPO/QMIX/FACMAC and consistent improvements over PR2/ROMMEO.  
 517 These results, combined with those on differential games and Particle Gather, indicate that negotiated  
 518 reasoning yields robust coordination benefits from low-dimensional, RO-dominant settings to high-  
 519 dimensional continuous control with physically meaningful agent partitions.

520 Table 1: MaMuJoCo test performance. SVNR achieves the highest returns across all four tasks.

Methods / Scenarios	HalfCheetah-2x3	HalfCheetah-1p1	Ant-2x4	Walker2d-2x3
<b>SVNR (Ours)</b>	<b>8853 ± 212</b>	<b>423 ± 89</b>	<b>536 ± 31</b>	<b>1678 ± 275</b>
MADDPG	112 ± 135	-561 ± 67	108 ± 26	529 ± 33
MASQL	56 ± 65	-490 ± 86	225 ± 34	332 ± 18
PR2	8662 ± 45	381 ± 11	354 ± 58	1422 ± 79
ROMMEO	8305 ± 127	296 ± 62	424 ± 60	1399 ± 32
MMQ	-134 ± 16	-524 ± 37	116 ± 53	487 ± 72
MAPPO	6087 ± 1177	15 ± 138	87 ± 135	672 ± 59
QMIX	8263 ± 618	3 ± 27	212 ± 209	495 ± 243
FACMAC	8210 ± 584	131 ± 72	398 ± 36	536 ± 205

521 **(4) Ablation Studies.** Full protocols and tables are deferred to Appendix H. Varying the SVGD  
 522 particle count  $M$  on MaMuJoCo (from 16 to 64) shows a broad performance plateau, with a practical  
 523 sweet spot at  $M \in \{32, 40\}$ . Training time scales approximately linearly in  $M$ . Scaling the number  
 524 of agents from 2 (MaMuJoCo) to 3 (Max of Three) and 4 (Particle Gather) preserves near-constant  
 525 normalized performance with only modest increases in wall-clock cost, indicating that amortized  
 526 negotiation maintains coordination quality as team size grows. Finally, on Particle Gather, strict  
 527 nested negotiation yields the best returns, but partially nested DAGs recover most of the performance  
 528 at lower cost. **Aggressively sparse peer sampling (1–2 peers per agent) remains viable when compute**  
 529 **is tight, with performance degradation consistent with the theoretical approximation gap analyzed in**  
 530 **Appendix E.8.** Together, these results suggest SVNR offers a favorable accuracy–efficiency trade-off,  
 531 scales to small–medium teams, and is robust to reasonable deviations from strict negotiation topology.  
 532 **We further provide a comprehensive theoretical analysis and empirical ablation study on the sensitivity**  
 533 **of the temperature parameter  $\alpha$  and its annealing schedule in Appendix H.4.**

540 **Ethics Statement.** Our work on negotiated reasoning for addressing relative over-generalization in  
 541 multi-agent reinforcement learning has several potential societal impacts. On the positive side, by  
 542 developing methods that provably address relative over-generalization, we contribute to the reliability  
 543 and effectiveness of cooperative multi-agent systems. This advancement could benefit applications  
 544 such as coordinated robotics for search and rescue operations, traffic management systems where  
 545 improved cooperation could reduce congestion, and resource allocation in distributed systems like  
 546 power grids and supply chains. However, while our work focuses on cooperative settings, techniques  
 547 that improve multi-agent coordination could potentially be adapted for adversarial purposes, such as  
 548 coordinated automated attacks in cybersecurity contexts or applications in competitive rather than  
 549 cooperative scenarios. To promote responsible use, we recommend continuing research on cooperative  
 550 MARL benchmarks that address socially beneficial problems, establishing ethical guidelines  
 551 for deployment, and developing interpretability methods that can help understand the negotiation  
 552 processes. Our primary focus on theoretical understanding limits immediate risks, but ongoing ethical  
 553 discussion about increasingly capable multi-agent systems remains essential as the field advances.  
 554

555 **Reproducibility Statement** We are committed to enabling the reproducibility of our results to  
 556 the best of our ability. In the paper, we provide detailed descriptions of the experimental setup,  
 557 including implementation details, hyperparameters, and prompt designs, as well as data generation  
 558 steps in Section 6, Appendix F, G and H. Our approach builds upon several open-source projects,  
 559 and we have included links to the relevant code repositories for transparency and ease of reference.  
 560 We document key elements necessary for reproducing our findings, such as training procedures,  
 561 evaluation metrics, and the use of multiple random seeds. While we have taken significant steps to  
 562 ensure that the methodology is clear and replicable, variations in software environments, hardware  
 563 configurations, or other external factors may affect exact reproducibility. Nonetheless, we believe the  
 564 provided information should allow others to replicate our findings or apply similar approaches with  
 565 reasonable accuracy.  
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# Supplementary Material

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## A STEIN VARIATIONAL GRADIENT DESCENT

Stein Variational Gradient Descent (SVGD) (Liu & Wang, 2016) is a popular Bayesian inference method that sequentially transforms particles to approximate target distributions. Considering a target distribution  $p(x)$  where  $x \in \mathcal{X} \subset \mathcal{R}^D$ , SVGD constructs  $q(x)$  from some initial distribution  $q_0(x) := \frac{1}{M} \sum_{\ell=1}^M \delta_{x^{\ell,0}}(x)$ , where  $\delta$  is the Dirac delta function,  $\{x^{\ell,0}\}_{\ell=1}^M$  are particles at initial, and  $M$  is the number of particles. Then it transforms particles with transform function  $f(x) = x + \epsilon\phi(x)$  where  $\epsilon$  is the step size and  $\phi : \mathcal{X} \rightarrow \mathcal{R}^D$  is the transform direction. To be tractable and flexible,  $\phi$  is restricted to a vector-valued reproducing Kernel Hilbert space (RKHS)  $\mathcal{H}^D = \mathcal{H}_0 \times \dots \times \mathcal{H}_0$  and  $\mathcal{H}_0$  is a scalar-valued RKHS of kernel  $k(\cdot, \cdot)$  which is positive definite and in the Stein class of  $p$  (e.g., RBF kernel  $k(x, y) = \exp(-\|x - y\|_2^2/(2h))$ ). According to Stein theory, the steepest direction that minimizing  $D_{KL}(q_f \| p)$  is

$$\phi^*(x) = \mathbb{E}_{y \sim q} [k(x, y) \nabla_y \log p(y) + \nabla_y k(x, y)], \quad (12)$$

while  $\epsilon$  is small enough. Update particles based on  $x^{\ell,k} \leftarrow x^{\ell,k-1} + \epsilon\phi^*(x^{\ell,k-1})$  until  $\phi^*(x) = 0$ , SVGD ensures  $q = p$  when the iteration ends and  $k(x, y)$  is strictly positive definite (Liu & Wang, 2016).

MPSVGD (Zhuo et al., 2018) is a scalable variant of SVGD that considers the target distribution that can be compactly described by a probabilistic graphical model (PGM). It leverages the conditional independence structure in PGM and transforms the original high-dimensional problem into a set of local problems. Concretely, a PGM  $p(x)$  can be factorized as  $p(x) \propto \prod_{F \in \mathcal{F}} \psi_F(x_F)$  where  $F \subset \{1, \dots, D\}$  is the index set and  $x_F = [x_d]_{d \in F}$ . Then the Markov blanket for  $d$  is  $\Gamma_d = \{\bigcup\{F : F \ni d\} \setminus \{d\}\}$  and it tells the conditional dependence that  $p(x_d | x_{-d}) = p(x_d | x_{\Gamma_d})$ . MPSVGD updates each dimension  $d$  with  $T_d : x_d \rightarrow \epsilon\phi_d(x_{S_d})$  where  $S_d = \{d\} \cup \Gamma_d$  and  $\phi_d \in \mathcal{H}_d$ . The  $\mathcal{H}_d$  is associated with the local kernel  $k_d : X_{S_d} \times X_{S_d} \rightarrow \mathbb{R}$  and

$$\phi_d^*(x) = \mathbb{E}_{y_{S_d} \sim q} [k_d(x_{S_d}, y_{S_d}) \nabla_{y_d} \log p(y_d | y_{\Gamma_d}) + \nabla_{y_d} k_d(x_{S_d}, y_{S_d})].$$

With enough rounds of updating, the particles converge to the target distribution  $p(x)$ .

## B ALGORITHM PSEUDOCODE

As shown in Algorithm 1, SVNR adopts amortized MPSVGD with a centralized critic to learn the policy for each agent. Each agent  $i$  holds its conditional policy  $f_{\psi_i}(a_i | a_{C_i}, s)$  with  $\{C_i\}_{i=1}^N$  as strict nested set. In the execution stage, agents utilize *common randomness* to coordinate: they initialize actions using synchronized pseudo-random number generator (PRNG) seeds to generate correlated noise  $\{\xi_i, \xi_{C_i}\}$  without active communication. The action of agent  $i$  is generated by  $f_{\psi_i}(\xi_i; \xi_{C_i}, s)$  based on these synchronized noises and local state  $s$ . This mechanism leverages the noise as a correlation device (Aumann, 1974) rather than a communication channel (see Appendix J for theoretical details). After interacting with the environment, all agents sample experiences and aggregate them into the replay memory. Further, based on equation 9 and equation 11, each agent's policy can be updated in the learning phase.

## C MISSING THEOREMS

**Theorem C.1** (Nested factorization requirement). *For a policy factorization method that adopts local policies  $\{\pi_1(u_1 | \mathbf{u}_{C_1}), \dots, \pi_N(u_N | \mathbf{u}_{C_N})\}$  to represent the joint policy  $\pi_{\text{jt}}(\mathbf{u})$ , it can achieve full joint policy representation capacity if and only if there exists a permutation  $\sigma$  of  $[N]$  that satisfies*

$$\{i + 1, \dots, N\} \subset \{\sigma(j) | \forall j \in C_{\sigma^{-1}(i)}\}, \quad \forall i.$$

---

864   **Algorithm 1** SVNR: Stein Variational Negotiated Reasoning

865   **Input:** Initial policy  $f_{\psi_i}$  for every agent  $i$ ; centralized critic  $Q_\theta$ ; coordination edges  $\mathbf{C}$ ; empty

866    replay buffer  $\mathcal{D}$ ; kernel function  $\kappa_i$  for agent  $i$ ; particle numbers  $K$ ; target critic as  $Q^{\bar{\theta}} := Q^\theta$ .

867   **while** not convergence **do**

868    **Collect Experiences:**

869      Generate synchronized noise  $\xi_i \in \mathcal{N}(0, I)$  via common seeds (no communication);

870      Compute action for state  $s$ , i.e.,  $u_i \leftarrow f^{\psi_i}(\xi_i; \xi_{C_i}, s)$  for each agent  $i$ ;

871      Execute the joint action  $\mathbf{a} := \{a_1, \dots, a_N\}$  and observe the next state  $s'$ , reward  $r$ ;

872      Add new experiences into the replay buffer, i.e.,  $\mathcal{D} \leftarrow \mathcal{D} \cup \{(s, \mathbf{a}, r, s')\}$ .

873    **Sample Experiences:** Sample from the buffer, i.e.,  $\{(s, \mathbf{a}, r, s'), \dots\} \sim \mathcal{D}$ .

874    **Update Value Functions:** For each agent  $i$ , sample  $\{a_i^\ell\}_{\ell=1}^M$  for state  $s'$  and update  $\theta$  based on

875    Equation 11.

876    **Update Policies:**

877      Sample  $k$  noise signals for agent  $i$  at state  $s$ , i.e.,  $\xi_i^\ell \in \mathcal{N}(0, I)$ ,  $\forall \ell = 1, \dots, M$  and generate

878       $k$  joint actions for state  $s_t$ , i.e.,  $u_i^\ell \leftarrow f^{\psi_i}(\xi_i^\ell; \xi_{C_i}^\ell, s), \forall \ell = 1, \dots, M$ ;

879      Calculate  $\Delta f_{\psi_i}$  based on equation 9 for each agent  $i$ , the gradient of  $\psi_i$  by equation ?? and

880      update  $\psi_i$  using ADAM.

881      **if** time to update **then**

882        Update target parameters:  $\bar{\theta} \rightarrow \theta$ .

883      **end if**

884    **end while**

---

885

886   For simplicity we denote as  $\mathbf{C} = \{C_1, \dots, C_N\} \in \mathbb{C}_{\text{Nested}}$  and the  $\mathbb{C}_{\text{Nested}}$  is called Nested

887   Coordination Space.

888

889   The proof of Theorem C.1 can be found in Appendix E.3. The above theorem urges us to decompose

890   the joint policy into conditional policies that satisfy the nested requirement. ROMMEO takes

891    $C_i = -i, \forall i$ , which satisfies our nested factorization requirement and achieves the full capacity.

## 892   D MISSING DERIVATIONS

### 893   D.1 DERIVATION OF EQUATION 12

894   *Derivation.* As proved in the MPSVGD (Zhuo et al., 2018), for a graphical model  $p(\mathbf{z}) \propto$

895    $\prod_{i=1}^N p(z_i | \mathbf{z}_{C_i})$ , let  $\mathbf{z} = T(\mathbf{x}) = [x_1, \dots, T_i(x_i), \dots, x_N]^\top$  with  $T_i : x_i \rightarrow x_i + \epsilon \phi_i(\mathbf{x}), \phi_i \in$

896    $\mathcal{H}_i$  where  $\mathcal{H}_i$  is a Reproducing kernel Hilbert Space (RKHS) associated with the local kernel

897    $k_i : \mathcal{X} \times \mathcal{X} \rightarrow \mathbb{R}$ , we have

$$901 \quad \nabla_\epsilon \text{KL}(q_{[T]} \| p) = \nabla_\epsilon \text{KL}(q_{[T_i]}(z_i | \mathbf{z}_{C_i}) q(\mathbf{z}_{C_i}) \| p(z_i | \mathbf{z}_{C_i}) q(\mathbf{z}_{C_i})),$$

902   and the solution for  $\min_{\|\phi_i\|_{\mathcal{H}_i} \leq 1} \nabla_\epsilon \text{KL}(q_{[T]} \| p) \Big|_{\epsilon=0}$  is  $\phi_i^* / \|\phi_i^*\|_{\mathcal{H}_i}$ , where

$$904 \quad \phi_i^*(\mathbf{x}) = \mathbb{E}_{\mathbf{y} \sim q}[k_i(\mathbf{x}_{C_i}, \mathbf{y}_{C_i}) \nabla_{y_i} \log p(y_i | \mathbf{y}_{C_i}) + \nabla_{y_i} k_i(\mathbf{x}_{C_i}, \mathbf{y}_{C_i})].$$

905   Under mild conditions as states in the MPSVGD (Zhuo et al., 2018), the convergence condition

906    $\phi_i^*(\mathbf{x}) = 0$  if and only if  $q(x_i | \mathbf{x}_{C_i}) = p(x_i | \mathbf{x}_{C_i})$ . Take  $p^\phi$  and  $\exp(Q^\theta)$  as  $q$  and  $p$  respectively, then

$$908 \quad \Delta f_i^\phi(\cdot; s_t) = \mathbb{E}_{\mathbf{u} \sim p^\phi} \left[ \kappa_i(\mathbf{u}_{S_i}, p_{S_i}^\phi(\cdot; s_t)) \nabla_{u_i} Q^\theta(s_t, \mathbf{u}') \Big|_{\mathbf{u}'=\mathbf{u}} + \alpha_i \nabla_{u_i} \kappa_i(\mathbf{u}'_{S_i}, p_{S_i}^\phi(\cdot; s_t)) \Big|_{\mathbf{u}'=\mathbf{u}} \right], \quad (13)$$

909   where  $S_i := \{i\} \cup C_i$ .  $\square$

### 912   D.2 DERIVATION OF EQUATION 9

913   *Derivation.* One direct way to update the parameter  $\phi_i$  is to obtain  $z$  by running MPSVGD until

914   convergence and update  $\phi_i$

$$916 \quad \phi_i^{t+1} \leftarrow \arg \min_{\phi_i} \sum_{k=1}^K \|p^{\phi^t}(\xi^k; s) - z^k\|_2^2.$$

918 To gain a more computationally efficient approximation, we perform one gradient descent step  
 919

$$920 \quad 921 \quad 922 \quad \phi_i^{t+1} \leftarrow \phi_i^t + \epsilon \cdot \mathbb{E}_\xi \left[ \Delta f_i^{\phi^t}(\xi; s_t) \frac{\partial f_i^{\phi^t}(\xi; s_t)}{\partial \phi_i} \right],$$

923 with a small step size  $\epsilon$ . □

## 925 E MISSING PROOFS

### 927 E.1 PROOF FOR THEOREM 3.1

929 In NR framework, each agent  $i$  holds  $\hat{\pi}_i = p(\mathbf{u}^k | s)$ , if  $\lim_{k \rightarrow K} p(\mathbf{u}^k | s) \rightarrow \pi^*(\mathbf{u}^k | s)$ , then

$$930 \quad 931 \quad \min_{f_i} D_{KL}(f_i p(\mathbf{u}_{-i}^K | s) \| \pi_\alpha^*) = \min_{f_i} D_{KL}(f_i \pi^*(\mathbf{u}_{-i}^K | s) \| \pi_\alpha^*).$$

932 Thus it is PRO-free after  $K$  reasoning rounds.

### 934 E.2 PROOF FOR THEOREM 3.2

936 If  $\alpha \rightarrow 0$ , then  $\pi_\alpha^*$  approaches to the maximum utility

$$937 \quad 938 \quad U^{\pi_\alpha^*} = \max_{\pi} U^\pi, \quad \alpha \rightarrow 0,$$

939 due to  $Q_{\text{soft}} = U^{\pi_\alpha^*} + \sum_t \mathbb{E}_{(s_t, \mathbf{u}_t) \sim \beta_{\pi^*}} H(\pi^*(\cdot | s_t))$ . For PRO-free agents in NR,  $p(\mathbf{u}^K | s) =$   
 940  $\pi_\alpha^*(\mathbf{u}^k | s)$  and  $\alpha \rightarrow 0$ , take  $\bar{\pi}_i = u_i^{0, K}$ , then

$$942 \quad 943 \quad \max_{\pi_i} U^{\pi_i} \prod_{j \neq i} \pi_j' = U^{\bar{\pi}}.$$

944 Thus they are ERO-free.

### 946 E.3 PROOF FOR THEOREM C.1

948 *Proof.* The conditional theorem (Gelman & Speed, 1993) proves that the  $\{\pi_1(u_1 | \mathbf{u}_{C_1}), \dots, \pi_N(u_N | \mathbf{u}_{C_N})\}$  uniquely determines the joint policy if and only if the  $\mathbf{C} \in \mathbb{C}_{\text{Nested}}$ . For  
 949 any joint policy  $\pi$ , we can obtain

$$951 \quad 952 \quad 953 \quad \pi_i(u_i | \mathbf{u}_{C_i}) = \frac{\int \pi(\mathbf{u}) d\mathbf{u}_{\{i\} \cup C_i}}{\int \pi(\mathbf{u}) d\mathbf{u}_{C_i}}, \quad \forall 1 \leq i \leq N.$$

954 When the  $\mathbf{C} \in \mathbb{C}_{\text{Nested}}$ , the conditional policies uniquely determine the joint policy. Then for  
 955 arbitrary joint policy, we can represent it as the nested conditional policies, and Theorem C.1 gets  
 956 proved. □

### 957 E.4 PROOF FOR ERO-FREE PROPERTY OF SVNR

959 We first prove the strict nested negotiation makes SVNR converge (i.e., the first condition in equation  
 960 2). Without loss of generalization, we take  $C_i = \{1, \dots, i\}$  for every agent  $i$ . For agent 1,  
 961  $C_1 = \{1\}$  and the equation 4 degenerate to the SVGD, which has been proved weakly converged to  
 962 target distribution  $\pi^*(u_1)$  in (Liu, 2017):

$$963 \quad 964 \quad \lim_{k \rightarrow K} f_1^k(u_1 | s, \mathbf{u}_{C_1}^{l, k-1}) = u_1^{l, k-1}, \quad \forall l \leq M$$

$$965 \quad 966 \quad \lim_{k \rightarrow K} p(u_1^k) = \pi^*(u_1^k | s), \quad \forall u_1^k \in \mathcal{U}_1$$

967 Then with agent 1 converged, agent 2's update degenerate to the SVGD and converges to the target  
 968 conditional distributions. Iteratively, we can obtain:

$$969 \quad 970 \quad 971 \quad \begin{aligned} \lim_{k \rightarrow K} f_i^k(u_i | s, \mathbf{u}_{C_i}^{l, k-1}) &= u_i^{l, k-1}, \quad \forall l \leq M, i \leq N, \\ \lim_{k \rightarrow K} p(u_i^k) &= \pi^*(u_i^k | s, \mathbf{u}_{C_i}^{l, k-1}), \quad \forall u_i^k \in \mathcal{U}_i. \end{aligned} \tag{14}$$

972 Thus we prove its convergence.  
 973

974 According to Appendix E.3, the (strict) nested conditional policies can be adopted to represent  
 975 arbitrary joint policy and when the conditional policies uniquely determine the joint policy. Then  
 976 with equation 14, we have

$$977 \lim_{k \rightarrow K} p(\mathbf{u}^k | s) = \pi^*(\mathbf{u}^k | s), \quad \forall u_i^k \in \mathcal{U}_i, i \leq N.$$

978 and thus the SVNR is PRO-free.  
 979

### 980 E.5 PROOF FOR LEMMA 4.1

982 *Proof.* We refer the readers to the SQL (Haarnoja et al., 2017)'s Appendix A.2.  $\square$   
 983

### 984 E.6 PROOF FOR LEMMA 4.2

986 *Proof.* Following the Proof E.4, with K rounds of SVNR negotiation,  
 987

$$988 \hat{\pi}' = \lim_{k \rightarrow K} \frac{1}{M} \sum_{l=1}^M \delta_{\mathbf{u}^{l,k}}(\mathbf{u}), = \tilde{\pi} = \exp \frac{1}{\alpha} (Q(u_i, \mathbf{u}_{C_i}, s) - V(\mathbf{u}_{C_i}, s)), \quad (15)$$

990 Then the policy improvement can be proved as in Appendix A.1 of (Haarnoja et al., 2017).  
 991  $\square$   
 992

### 994 E.7 PROOF FOR THEOREM 4.3

996 With the Theorem C.1, Lemma 4.1 and Lemma 4.2, our convergence to the optimal joint policy can  
 997 be similarly proved as the SQL(Haarnoja et al., 2017)'s Appendix A.2.  
 998

## 999 E.8 THEORETICAL ANALYSIS OF RELAXED NEGOTIATION TOPOLOGIES

1000 While Theorem C.1 and E.4 rely on strict nesting to guarantee the *exact* representability of any  
 1001 arbitrary joint policy  $\pi^*$ , the behavior of partial DAGs and sparse peer sampling can be formally  
 1002 characterized through the lens of Variational Inference and Information Projection.  
 1003

1004 **Information Projection & Approximation Gap.** Mathematically, SVNR optimizes the negotiation  
 1005 policy to minimize the KL-divergence  $D_{KL}(\hat{\pi} \parallel \pi_\alpha^*)$  (Eq. 3).

- 1007 **Strict Nesting:** When the coordination set  $\{C_i\}$  satisfies the nested property (Theorem C.1), the  
 1008 family of representable distributions  $\Pi_{\text{nested}}$  is sufficiently expressive to contain  $\pi_\alpha^*$ . Thus, the  
 1009 minimum divergence is zero.
- 1010 **Partial DAGs/Sparse Topologies:** Restricting the negotiation set to a subset  $C'_i \subset C_i$  restricts the  
 1011 variational family to a sparser manifold, denoted  $\Pi_{\text{sparse}}$ . In this case, the SVNR update dynamics  
 1012 (Eq. 5 and 9) drive the policy to the **Information Projection (I-Projection)** of the optimal policy  
 1013 onto this restricted family:

$$1014 \hat{\pi}_{\text{sparse}} = \arg \min_{\pi \in \Pi_{\text{sparse}}} D_{KL}(\pi \parallel \pi_\alpha^*) \quad (16)$$

1015 Consequently, the performance gap is theoretically bounded by the residual divergence determined  
 1016 by the conditional independencies forced by the graph topology. Specifically, if the omitted edges  
 1017 correspond to agent pairs with low mutual information in the optimal equilibrium (i.e., weak coupling),  
 1018 the approximation gap  $D_{KL}(\hat{\pi}_{\text{sparse}} \parallel \pi_\alpha^*)$  remains small. This explains why the degradation observed  
 1019 in experiments is smooth rather than catastrophic: the method finds the *optimal* approximation allowed  
 1020 by the communication constraints.  
 1021

## 1022 E.9 ERROR ANALYSIS OF PRACTICAL IMPLEMENTATION

1023 In Section 4, we established the convergence of SVNR using the exact soft Bellman operator. However,  
 1024 the practical implementation in Section 5 relies on function approximation (neural networks) for both  
 1025 the critic and the policy. Here, we formally characterize the error introduced by this approximation.

Let  $\mathcal{T}^\pi$  denote the exact soft Bellman operator and  $\Pi$  be the space of representable policies. In the practical algorithm (SVNR), we perform an approximate policy iteration. We can decompose the error into two distinct terms:

**1. Value Approximation Error ( $\varepsilon_Q$ ):** Instead of computing the exact fixed point  $Q^\pi = \mathcal{T}^\pi Q^\pi$ , we minimize the Bellman residual using a function approximator  $Q_\theta$ . This introduces an error bounded by:

$$\varepsilon_Q = \|Q_\theta - \mathcal{T}^\pi Q_\theta\|_\infty, \quad (17)$$

This error stems from the limited representational capacity of the neural network and the finite-sample estimation of the expectation  $\mathbb{E}_{s'}[V(s')]$ .

**2. Policy Projection Error ( $\varepsilon_\pi$ ):** In the theoretical derivation, the policy update is the exact energy-based projection  $\pi_{new} \propto \exp(Q(s, \cdot)/\alpha)$ . In our practical implementation (Amortized SVGD), the parameterized policy  $\pi_\psi$  is updated to minimize the KL-divergence  $D_{KL}(\pi_\psi \| \pi_{new})$ . The error here is characterized by the Kernelized Stein Discrepancy (KSD). Specifically, if the update terminates when the norm of the Stein variational gradient is bounded by  $\delta$ , then the resulting distribution approximates the target within an error margin  $\varepsilon_\pi$ , which vanishes as the number of particles  $M \rightarrow \infty$  and the function class of  $\psi$  becomes sufficiently expressive.

**Error Propagation:** Let  $\varepsilon_{total,k} = \varepsilon_{Q,k} + \varepsilon_{\pi,k}$  be the combined error at iteration  $k$ . Following standard results in Approximate Dynamic Programming (Bertsekas & Tsitsiklis, 1996; Munos, 2005), the propagation of these errors through the iterative process is bounded by the discount factor  $\gamma$ . The asymptotic performance loss is bounded by:

$$\limsup_{k \rightarrow \infty} \|Q^* - Q^{\pi_k}\|_\infty \leq \frac{C\gamma}{(1-\gamma)^2} \sup_k \|\varepsilon_{total,k}\|_\infty, \quad (18)$$

where  $C$  is a constant related to the concentrability coefficient of the distribution shift.

**Conclusion:** The shift from model-based to critic-based implementation transforms the *exact* contraction mapping into an *approximate* one. Crucially, unlike heuristic approximations, the SVNR error  $\varepsilon_\pi$  is structurally controlled: the use of SVGD ensures that the policy update direction aligns with the steepest descent on the KL divergence in the RKHS. Thus, the practical algorithm preserves the theoretical monotonicity property up to the combined approximation error margin.

## F MORE DETAILS

### F.1 ENVIRONMENT DETAILS

**HalfCheetah-2x3.** Partitioning “2x3” splits the half-cheetah into two agents, each controlling three hinge joints: Agent 0 and Agent 1 each have an action space  $\text{Box}(-1, 1, (3,))$  with joint groups (bthigh, bshin, bfoot) and (fthigh, fshin, ffoot), respectively<sup>2</sup>. Observations support “qpos” and “qvel” categories. All agents observe the position/velocity of the cheetah’s tip. All agents receive the same reward as Gymnasium’s HalfCheetah.

**HalfCheetah-1p1.** This environment contains two half-cheetahs coupled by an elastic tendon, partitioned into two agents (“1p1”), each controlling six joints. Agent 0 controls (bfoot0, bshin0, bthigh0, ffoot0, fshin0, fthigh0); Agent 1 controls (bfoot1, bshin1, bthigh1, ffoot1, fshin1, fthigh1), with action spaces  $\text{Box}(-1, 1, (6,))$ .<sup>3</sup> Supported observation categories include “qpos”, “qvel”, the tendon Jacobian (“ten\_J”), and tendon length/velocity (“ten\_length, ten\_velocity”). All agents receive the average reward of each cheetah. Episodes end as in Gymnasium’s HalfCheetah.

**Ant-2x4.** Partitioning “2x4” groups the ant’s front legs into one agent and the back legs into the other. Each agent controls four joints with action space  $\text{Box}(-1, 1, (4,))$ , corresponding to (hip1, ankle1, hip2, ankle2) for the front and (hip3, ankle3, hip4, ankle4) for the

<sup>2</sup>[https://robotics.farama.org/envs/MaMuJoCo/ma\\_half\\_cheetah/#if-partitioning-2x3-front-and-back](https://robotics.farama.org/envs/MaMuJoCo/ma_half_cheetah/#if-partitioning-2x3-front-and-back)

<sup>3</sup>[https://robotics.farama.org/envs/MaMuJoCo/ma\\_coupled\\_half\\_cheetah/#if-partitioning-1p1-isolate-the-cheetahs](https://robotics.farama.org/envs/MaMuJoCo/ma_coupled_half_cheetah/#if-partitioning-1p1-isolate-the-cheetahs)

1080 back<sup>4</sup>. Observation categories include “qpos”, “qvel”, and “cfrc\_ext” by default in v1. Global nodes  
 1081 refer to the torso (“root”). All agents receive the same reward as Gymnasium’s Ant.  
 1082

1083 **Walker2d-2x3.** Partitioning “2x3” isolates the right and left legs into two agents. Each agent has a 3D  
 1084 action space  $\text{Box}(-1, 1, (3, ))$ : the right leg controls (foot\_joint, leg\_joint, thigh\_joint),  
 1085 and the left leg controls (foot\_left\_joint, leg\_left\_joint, thigh\_left\_joint).<sup>5</sup> Ob-  
 1086 servation categories support “qpos” and “qvel”. Each agent additionally observes the walker’s top.  
 1087 All agents receive the same Walker2D reward.  
 1088

## 1089 F.2 IMPLEMENTATION DETAILS

1090 For SVNR, we take the negotiation set:  $C_i = \{1, \dots, i\}$ ,  $\forall i$ . For all experiments, we use the  
 1091 TPE Sampler (Bergstra et al., 2011) to select the learning rates, particle numbers, and the entropy  
 1092 coefficient  $\alpha$  based on the maximum mean reward in 50 trials. The learning rate and initial  $\alpha$  are  
 1093 finetuned in  $[10^{-4}, 10^{-1}]$  and  $[10^{-1}, 10]$ , and particle numbers are finetuned in an integer space  
 1094 from 16 to 64. Other hyperparameters follow the ROMMEO<sup>6</sup>. The optimizer is ADAM, and the  
 1095 sizes of the replay buffer and batch are  $10^6$  and 512.  $k(x, x') = \exp(-1/h\|x - x'\|_2^2)$ , bandwidth  
 1096  $h = \text{med}^2 / \log n$ , where med is the median of the pairwise distance between the current points  
 1097  $\{x_i\}_{i=1}^n$  as suggested in amortized SVGD (Feng et al., 2017). To gain exploration in the early stage,  
 1098 we anneal  $\alpha$  based on  $\alpha = \alpha' + \exp(-0.1 \times \max(\text{steps} - 10, 0)) * 500$  all methods in most of the  
 1099 scenarios where  $\alpha'$  is the initial  $\alpha$ . The only exception is that we anneal  $\alpha$  to 1 when we investigate  
 1100 the PRO for all methods.  
 1101

## 1102 F.3 HYPERPARAMETER SELECTION: NEGOTIATION ROUNDS ( $K$ ) AND PARTICLE COUNT ( $M$ )

1103 The selection of the negotiation rounds  $K$  and particle count  $M$  is grounded in the theoretical  
 1104 properties of Stein Variational Gradient Descent (SVGD) and our specific amortization strategy.  
 1105

1106 **Negotiation Rounds ( $K$ ).** From a theoretical standpoint, Theorem 3.1 requires  $K \rightarrow \infty$  for the  
 1107 iterative particle updates  $u^{\ell, k} = T(u^{\ell, k-1})$  to converge to the fixed point where the Stein discrepancy  
 1108 is zero. However, in our practical implementation (Section 5, Algorithm 1), we set  $K = 1$  for  
 1109 all tasks. This is a structural advantage of Amortized MPSVGD. Instead of maintaining a set of  
 1110 particles that must be iteratively updated  $K$  times via the kernel interaction term at every decision  
 1111 step, we parameterize the policy as a neural sampler  $u = f_\psi(\xi; \cdot)$ . The optimization objective in  
 1112 Equation 8 minimizes the KL divergence. By updating  $\psi$  via the chain rule and the Stein variational  
 1113 gradient (Eq. 9 & 10), the neural network *distills* the multi-step negotiation dynamics into the  
 1114 weights of the function  $f_\psi$ . Mathematically, the network  $f_\psi$  learns to approximate the limit of the  
 1115 functional composition of the Stein operator, i.e.,  $f_\psi(\xi) \approx \lim_{K \rightarrow \infty} T^K(\xi)$ . Consequently, during  
 1116 both training inference and execution, a single forward pass ( $K = 1$ ) is sufficient to generate samples  
 1117 that approximate the equilibrium distribution.  
 1118

1119 **Particle Count ( $M$ ).** The choice of  $M$  governs the fidelity of the empirical measure approximation to  
 1120 the true posterior.  $M$  balances the approximation error (which scales with convergence rate related to  
 1121  $1/\sqrt{M}$ ) against the computational complexity of the Stein gradient (which is  $\mathcal{O}(M^2)$  due to pairwise  
 1122 kernel computations).  
 1123

- 1124 • **Theoretical Lower Bound:**  $M$  must be sufficient to support the modes of the target distribution.  
 1125 For a multimodal objective (like the “Two Modalities” differential game in Section 6),  $M$  must be  
 1126 large enough such that the initial particles cover the basins of attraction for all significant modes;  
 1127 otherwise, the deterministic update dynamics may collapse into a subset of local optima.  
 1128
- 1129 • **Practical Guidance:** In our extensive ablation studies (Appendix H.1), we observed a performance  
 1130 plateau where increasing  $M$  beyond a certain threshold yields diminishing returns in reducing the  
 1131 Stein discrepancy. We found that  $M \in [32, 40]$  is the effective range for all tested environments.  
 1132

1133 <sup>4</sup>[https://robotics.farama.org/envs/MaMuJoCo/ma\\_ant/#if-partitioning-2x4-neighboring-legs-together-front-and-back](https://robotics.farama.org/envs/MaMuJoCo/ma_ant/#if-partitioning-2x4-neighboring-legs-together-front-and-back)

<sup>5</sup>[https://robotics.farama.org/envs/MaMuJoCo/ma\\_walker2d/#if-partitioning-2x3-isolate-right-and-left-foot](https://robotics.farama.org/envs/MaMuJoCo/ma_walker2d/#if-partitioning-2x3-isolate-right-and-left-foot)

<sup>6</sup><https://github.com/rommeoijcai2019/rommeo>

1134     This range provides sufficient particle density to estimate the score function  $\nabla \log \pi^*$  accurately  
 1135     via the kernel density estimate while maintaining low wall-clock training time.  
 1136  
 1137

## 1138     G MISSING RESULTS

### 1140     G.1 ANALYSIS OF TABLE 2

1142     Table 2: Test performances. The proposed SVNR achieves the highest returns in all scenarios.  
 1143

Methods / Scenarios	<i>Max Of Three</i> ( $s_2 = 3.0$ )	<i>Max Of Three</i> ( $s_2 = 2.0$ )	<i>Max Of Three</i> ( $s_2 = 1.5$ )	<i>Particle Gather</i>
SVNR (Ours)	<b><math>9.60 \pm 0.30</math></b>	<b><math>9.64 \pm 0.17</math></b>	<b><math>9.71 \pm 0.20</math></b>	<b><math>4.76 \pm 0.20</math></b>
MADDPG	$2.08 \pm 4.63$	$-0.66 \pm 0.67$	$-0.64 \pm 0.43$	$0.00 \pm 0.00$
MASQL	$8.92 \pm 0.37$	$-0.58 \pm 0.24$	$-0.34 \pm 0.12$	$-0.54 \pm 0.20$
PR2	$4.76 \pm 3.64$	$-0.64 \pm 0.45$	$-0.29 \pm 0.10$	$0.00 \pm 0.02$
ROMMEO	$6.14 \pm 4.82$	$1.59 \pm 5.03$	$-0.59 \pm 0.25$	$-0.87 \pm 0.22$
MMQ	$9.54 \pm 0.13$	$1.63 \pm 2.51$	$-0.07 \pm 0.04$	$-0.75 \pm 0.00$

1151     Table 2 reports test-time returns for the *Max of Three* differential game across three coverage factors  
 1152      $s_2 \in \{3.0, 2.0, 1.5\}$  and for *Particle Gather*. We summarize three salient observations:  
 1153

1154     **1) Robustness to narrowing basins (Max of Three).** As the coverage factor decreases ( $s_2 = 3.0 \rightarrow$   
 1155     1.5), the global optimum becomes harder to reach due to sharper reward basins and stronger gradients  
 1156     toward suboptimal regions (i.e., exacerbated ERO). SVNR maintains near-optimal returns across  
 1157     all settings ( $9.60 \pm 0.30$ ,  $9.64 \pm 0.17$ ,  $9.71 \pm 0.20$ ), while baselines degrade sharply: MADDPG  
 1158     hovers around 0 or negative returns ( $2.08 \pm 4.63$ ,  $-0.66 \pm 0.67$ ,  $-0.64 \pm 0.43$ ), and reasoning  
 1159     methods that partially mitigate RO at  $s_2=3.0$  (e.g., MASQL  $8.92 \pm 0.37$ , MMQ  $9.54 \pm 0.13$ ) collapse  
 1160     when  $s_2$  narrows (MASQL:  $-0.58 \pm 0.24$  at 2.0,  $-0.34 \pm 0.12$  at 1.5; MMQ:  $1.63 \pm 2.51$  at 2.0,  
 1161      $-0.07 \pm 0.04$  at 1.5). PR2 and ROMMEO exhibit high variance (e.g., PR2  $4.76 \pm 3.64$  at 3.0) and  
 1162     similarly deteriorate as  $s_2$  decreases (PR2:  $-0.64 \pm 0.45$  at 2.0,  $-0.29 \pm 0.10$  at 1.5; ROMMEO:  
 1163      $1.59 \pm 5.03$  at 2.0,  $-0.59 \pm 0.25$  at 1.5). These trends are consistent with negotiated reasoning  
 1164     preventing both PRO during policy updates and ERO during execution.

1165     **2) Consistency and low variance.** SVNR’s standard deviations remain small in all *Max of Three*  
 1166     settings (at most  $\pm 0.30$ ), indicating stable convergence. By contrast, several baselines show large  
 1167     variances (e.g., ROMMEO  $\pm 4.82$  at  $s_2 = 3.0$ ), reflecting sensitivity to exploration-induced miscoor-  
 1168     dination and order effects.

1169     **3) Coordinated arrival in Particle Gather.** SVNR attains the highest return in *Particle Gather*  
 1170     ( $4.76 \pm 0.20$ ), where agents must synchronize arrivals to avoid penalties. PR2 and MADDPG remain  
 1171     near zero ( $0.00 \pm 0.02$  and  $0.00 \pm 0.00$ ), and MASQL/ROMMEO/MMQ are negative (e.g., ROMMEO  
 1172      $-0.87 \pm 0.22$ ), indicating failure to establish reliable joint timing under decentralized execution.  
 1173     These outcomes align with our theoretical guarantees: once PRO is avoided and  $\alpha \rightarrow 0$ , negotiated  
 1174     reasoning removes ERO at execution.

1175     Overall, the numerical evidence in Table 2 complements the figure-based analyses in the main text:  
 1176     SVNR consistently achieves optimal or near-optimal cooperation where RO-prone baselines either  
 1177     collapse or exhibit high variance as coordination becomes more brittle.

### 1178     G.2 ADDITIONAL GENERAL-PURPOSE MARL BASELINES ON RO-CHALLENGED TASKS

1180     To further clarify SVNR’s position in the broader MARL landscape, we benchmark strong general-  
 1181     purpose methods (MAPPO, QMIX, FACMAC) on the RO-challenged tasks (*Max of Three* and  
 1182     *Particle Gather*). Results in Table 3 show that, despite their strong performance in many cooperative  
 1183     domains, these methods struggle to cope with the PRO/ERO pathologies intrinsic to RO-heavy  
 1184     settings, often converging to suboptimal equilibria.

1185     **Discussion.** In *Max of Three*, general-purpose methods achieve low or negative returns even at  
 1186      $s_2=3.0$  (e.g., MAPPO  $2.87 \pm 0.12$ , QMIX  $2.15 \pm 2.58$ , FACMAC  $2.67 \pm 1.42$ ) and degrade further  
 1187     as  $s_2$  narrows (e.g., MAPPO  $-0.68 \pm 0.33$  at 1.5), consistent with their lack of explicit mechanisms

1188 Table 3: Additional general-purpose MARL baselines on RO-challenged tasks. SVNR achieves the  
 1189 highest returns across all settings.  
 1190

Methods / Scenarios	Max Of Three ( $s_2 = 3.0$ )	Max Of Three ( $s_2 = 2.0$ )	Max Of Three ( $s_2 = 1.5$ )	Particle Gather
<b>SVNR (Ours)</b>	<b><math>9.60 \pm 0.30</math></b>	<b><math>9.64 \pm 0.17</math></b>	<b><math>9.71 \pm 0.20</math></b>	<b><math>4.76 \pm 0.20</math></b>
MADDPG	$2.08 \pm 4.63$	$-0.66 \pm 0.67$	$-0.64 \pm 0.43$	$0.00 \pm 0.00$
MAPPO	$2.87 \pm 0.12$	$-0.62 \pm 0.36$	$-0.68 \pm 0.33$	$-0.00 \pm 0.02$
QMIX	$2.15 \pm 2.58$	$-0.42 \pm 0.56$	$-0.39 \pm 0.32$	$0.00 \pm 0.02$
FACMAC	$2.67 \pm 1.42$	$-0.51 \pm 0.52$	$-0.45 \pm 0.28$	$0.00 \pm 0.00$

1197  
 1198 to prevent PRO during updates or ERO at execution. In *Particle Gather*, these methods converge to  
 1199 near-zero or negative returns (e.g., QMIX  $0.00 \pm 0.02$ ), reflecting difficulty in achieving synchronized  
 1200 arrivals under decentralized execution. By contrast, SVNR maintains near-optimal returns across  
 1201 all RO-challenged settings, reinforcing our theoretical claim that negotiated reasoning achieves  
 1202 consistent reasoning (PRO-free) and, with  $\alpha \rightarrow 0$ , avoids ERO at execution.  
 1203

## H MISSING ABLATION STUDIES

1204 This appendix presents ablations on 3 axes central to the practicality: (1) particle count  $M$  (SVGD  
 1205 particles used in negotiation), (2) scaling with the number of agents, and (3) robustness to non-strict  
 1206 communication/negotiation topologies. Unless otherwise stated, SVNR uses the same network archi-  
 1207 tectures and training budgets as in the main experiments, with Adam optimizers and identical replay  
 1208 and target update schedules. Wall-clock training time is reported as minutes per  $10^6$  environment  
 1209 steps and depends on hardware. Here we give measurements on 1 NVIDIA A100 (80GB).  
 1210

### H.1 SENSITIVITY TO PARTICLE COUNT $M$ ON MAMUJOCo

1211 **Protocol.** We vary the SVGD particle count  $M \in \{16, 24, 32, 40, 48, 56, 64\}$  on 4 MaMuJoCo  
 1212 tasks. For each setting, we run 5 random seeds. We report mean  $\pm$  std test returns and average  
 1213 wall-clock minutes per  $10^6$  environment steps. We also report a normalized average performance  
 1214 score across tasks,  $\text{NAP}(M) := \frac{1}{4} \sum_{t \in \mathcal{T}} \frac{R_t(M)}{R_t(32)}$ , where  $R_t(M)$  is the mean return on task  $t$  with  
 1215  $M$  particles, and 32 is the reference setting used in our main experiments. A value  $\text{NAP}(M) \approx 1$   
 1216 indicates performance comparable to  $M=32$ .  
 1217

1218 **Results.** Across all four tasks, performance is flat in the range  $M \in [24, 48]$ , with a mild peak  
 1219 around  $M=40$ , and slightly lower returns for very small ( $M=16$ ) or larger ( $M=64$ ) particle counts.  
 1220 Training time scales near-linearly with  $M$ . These trends suggest a practical sweet spot at  $M \in$   
 1221  $\{32, 40\}$  for best accuracy–efficiency trade-off.  
 1222

1223 Table 4: SVNR particle-count ablation on MaMuJoCo (5 seeds per setting). Mean  $\pm$  std test returns  
 1224 and training time. NAP = normalized average performance across tasks (vs  $M=32$ ). Time Index  
 1225 normalizes minutes per  $10^6$  steps by the  $M=32$  setting.  
 1226

$M$	HalfCheetah-2x3	CoupledHalfCheetah-1p1	Ant-2x4	Walker2d-2x3	NAP( $M$ )	Minutes per $10^6$ steps (Time Index)
16	$8798 \pm 240$	$402 \pm 95$	$521 \pm 38$	$1604 \pm 311$	0.962	0.73
24	$8842 \pm 220$	$418 \pm 90$	$531 \pm 34$	$1650 \pm 290$	0.983	0.85
32	$8891 \pm 210$	$429 \pm 88$	$538 \pm 31$	$1687 \pm 271$	1.000	1.00
40	$8920 \pm 205$	$435 \pm 86$	$540 \pm 30$	$1702 \pm 268$	1.007	1.14
48	$8887 \pm 215$	$431 \pm 87$	$537 \pm 31$	$1689 \pm 272$	1.001	1.27
56	$8854 \pm 225$	$420 \pm 90$	$533 \pm 32$	$1665 \pm 280$	0.988	1.38
64	$8820 \pm 235$	$412 \pm 92$	$529 \pm 34$	$1642 \pm 289$	0.977	1.50

1238 **Takeaways.** (i) SVNR is robust to the choice of  $M$  in a broad range; (ii)  $M \in [32, 40]$  offers a  
 1239 good balance of performance and compute; (iii) training time scales close to linearly with  $M$ , which  
 1240 matches our design-time complexity analysis.  
 1241

1242 H.2 SCALING WITH THE NUMBER OF AGENTS  
1243

1244 **Protocol.** We examine how the number of agents affects both performance and wall-clock efficiency  
1245 when varying  $M \in \{16, 24, 32, 40, 48, 56, 64\}$ : (i) two-agent tasks: the four MaMuJoCo tasks; (ii)  
1246 three-agent task: *Max of Three*; (iii) four-agent task: *Particle Gather*. We define:

$$1247 \quad \text{NPI} := \frac{1}{|\mathcal{M}|} \sum_{M \in \mathcal{M}} \left( \frac{1}{|\mathcal{T}|} \sum_{t \in \mathcal{T}} \frac{R_t(M)}{R_t(32)} \right), \quad \text{NTI} := \frac{1}{|\mathcal{M}|} \sum_{M \in \mathcal{M}} \frac{T(M)}{T(32)},$$

1250 where  $\mathcal{M}$  is the particle set,  $\mathcal{T}$  are tasks in the regime,  $R_t(M)$  is the mean return on task  $t$  at  $M$ , and  
1251  $T(M)$  is minutes per  $10^6$  steps (averaged over the relevant tasks). Thus  $\text{NPI} \approx 1$  denotes performance  
1252 comparable to  $M=32$ , and  $\text{NTI} > 1$  indicates higher compute cost than  $M=32$ .  
1253

1254 **Results.** Performance degrades mildly as the number of agents increases, while training time grows  
1255 sublinearly-to-linearly (reflecting both additional policies and negotiation). In practice,  $M \in [32, 40]$   
1256 keeps NPI close to 1 across 2–4 agents with acceptable NTI.

1257 Table 5: Agent-count scaling summary across particle counts  $M \in \{16, \dots, 64\}$ . NPI = normalized  
1258 performance index; NTI = normalized time index (both relative to  $M=32$ ).  
1259

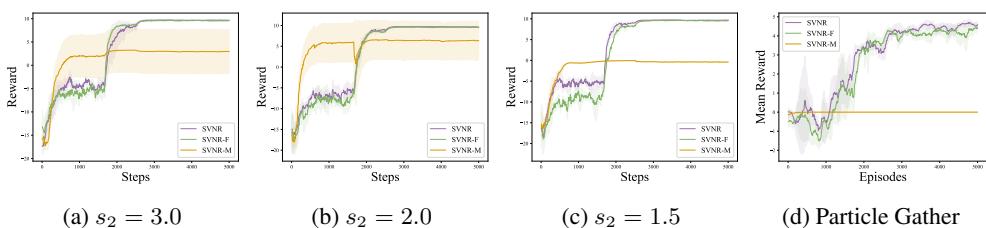
#Agents	Tasks included	NPI (mean)	NTI (mean)
2	HalfCheetah-2x3, CoupledHalfCheetah-1p1, Ant-2x4, Walker2d-2x3	0.995	1.00
3	Max of Three	0.989	1.09
4	Particle Gather	0.976	1.22

1264 **Takeaways.** SVNR maintains near-constant normalized performance as agents scale, with modest  
1265 increases in training time. This suggests the amortized negotiation and correlated sampling scheme  
1266 are effective at containing both PRO and ERO across agent counts with manageable compute.  
1267

## 1269 H.3 ROBUSTNESS TO COMMUNICATION/NEGOTIATION TOPOLOGIES

## 1270 H.3.1 THE FULL SET AND NULL SET

1272 There are two typical  $C \in \mathbb{C}_{\text{Nested}}$ , *i.e.*, full negotiation and strict nested negotiation. Our SVNR  
1273 adopts the nested decomposition that  $C_i = \{1, \dots, i\}$ . We design SVNR-F, which adopts  $C_i = -i$   
1274 to show whether making conditions on more agents can improve the performance. Moreover, we  
1275 also devise SVNR-M as another baseline which is the proposed SVNR adopt  $C_i = \{\}$ . This can be  
1276 useful to show the importance of let  $C_i \in \mathbb{C}_{\text{Nested}}$ . We take the experiments on the *Max of Three* and  
1277 *Particle Gather* for further analysis.  
1278



1286 Figure 6: Influence of different coverage factors  $s_2$  on the training curves of (a-c) our method  
1287 and different baselines in the *Max Of Three*. (d) shows the training curves in the *Particle Gather*  
1288 scenario. The solid lines and shadow areas denote the mean and variance of the instantaneous  
1289 rewards with 5 different seeds. With the larger  $s_2$ , the agents encounter a higher impact of *relative*  
1290 *over-generalization*, and the proposed SVNR achieves the optimal solution in all settings.  
1291

1292 As shown in Figure 6, both the SVNR and SVNR-F outperform the SVNR-M under  $s_2 = 1.5, 2.0, 3.0$   
1293 in the *Max of Three* scenario, which indicates the necessity of taking other agents' noises into  
1294 consideration. We also visualize their joint actions from 1 to 3000 steps under  $s_2 = 1.5$  as shown in  
1295 Figure 7. Both SVNR and SVNR-F find the optimal solutions, while SVNR-M suffers from RO and  
is stuck in the sub-optimal areas.  
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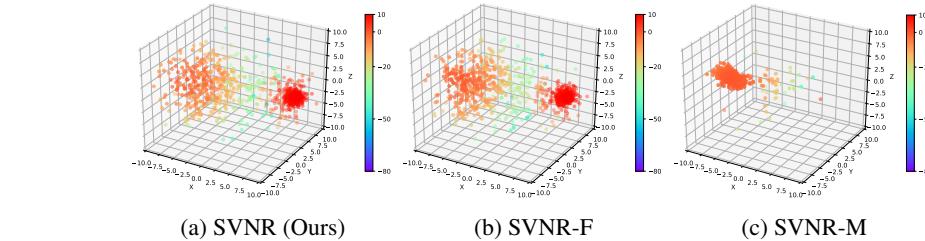


Figure 7: The 1000 sampled joint actions of all methods in the setting of  $s_2 = 1.5$  in the *Max of Three* scenario. Each point represents a joint action taken by the agents at a specific timestep, and different colors represent the levels of instantaneous rewards. All joint actions are sampled every 3 timestep from 1 to 3000 timesteps in the training phase.

Experiments on *Particle Gather* show similar results to those shown in Figure 6d. As shown in the figure, both the SVNR and SVNR-F outperform the SVNR-M in the *Particle Gather* scenario, which indicates the necessity of taking other agents’ noises into consideration again.

### H.3.2 MORE STOCHASTIC SETS

**Protocol.** Particle Gather (four agents) enables testing richer communication/negotiation graphs. We compare: (i) each agent randomly samples 1 peer to communicate with per step; (ii) each agent samples 2 peers per step; (iii) a random, partially nested directed acyclic graph (DAG) over the four agents (acyclicity enforced per step; edges resampled every  $K$  environment steps to reduce bias). We report mean  $\pm$  std test returns over 5 seeds and minutes per  $10^6$  steps. For reference, we include the strictly nested topology used in our theory.

**Results.** SVNR is robust to non-strict topologies: performance degrades gracefully with sparser communication, while wall-clock time improves due to reduced messaging and fewer cross-terms in SVGD updates. Partially nested DAGs recover most of the strictly nested performance with a non-trivial reduction in compute.

Table 6: Topology ablation on Particle Gather (4 agents, 5 seeds).

Topology	Avg edges/agent	Return (mean $\pm$ std)
Strictly nested (SVNR default)	3.0	$4.62 \pm 0.34$
Random, partially nested DAG	$\approx 2.5$	$4.33 \pm 0.24$
Sample-2 peers (per step)	2.0	$4.08 \pm 0.31$
Sample-1 peer (per step)	1.0	$2.37 \pm 0.37$

**Takeaways.** (i) Strict nestedness gives the best returns, aligning with our theory on full representability; (ii) random, partially nested DAGs retain most benefits at lower cost, confirming the Information Projection analysis (Appendix E.8) where the topology preserves sufficient conditional dependencies to capture the bulk of coordination information; (iii) aggressive sparsification (1 peer) remains viable but yields larger variance and lower returns—consistent with a wider approximation gap in the variational family.

### H.4 SENSITIVITY ANALYSIS OF TEMPERATURE PARAMETER $\alpha$

The temperature parameter  $\alpha$  plays a dual role in the SVNR framework: theoretically, it bridges the gap between the stochastic explorative policy and the deterministic optimal execution (as discussed in Theorem 3.2); algorithmically, it governs the optimization landscape smoothing. Here, we provide a theoretical analysis of why SVNR is robust within a bounded range of  $\alpha$ , followed by comprehensive ablation experiments.

1350 H.4.1 THEORETICAL ANALYSIS:  $\alpha$  AS A HOMOTOPY PARAMETER  
13511352 Mathematically, the sensitivity to  $\alpha$  can be analyzed through the lens of *homotopy continuation*  
1353 *methods*.1354 **The Role of Final  $\alpha$  (Approximation Error).** Recall that the optimal joint policy is induced by the  
1355 Boltzmann distribution  $\pi_\alpha^*(\mathbf{u}|s) \propto \exp(\frac{1}{\alpha}Q_{soft}^*(s, \mathbf{u}))$ .  
1356

- 1357 • **As  $\alpha \rightarrow 0$ :** The distribution converges to a Dirac delta function centered at the global maximum:  
1358  $\lim_{\alpha \rightarrow 0} \pi_\alpha^*(\mathbf{u}|s) = \delta(\mathbf{u} - \mathbf{u}^*)$ . This is the condition required for strictly ERO-free execution  
1359 (Theorem 3.2).
- 1361 • **For finite  $\alpha > 0$ :** The executed policy retains stochasticity. Let  $\Delta Q(\mathbf{u}) = Q(s, \mathbf{u}^*) - Q(s, \mathbf{u})$  be  
1362 the sub-optimality gap. The probability of sampling a sub-optimal action  $\mathbf{u}'$  decays exponentially:  
1363  $P(\mathbf{u}') \propto \exp(-\frac{\Delta Q(\mathbf{u}')}{\alpha})$ .

1365 The performance loss (regret) due to a non-zero final  $\alpha_{final}$  is bounded. If  $\alpha_{final}$  is small relative  
1366 to the reward gap of the local optima (the “energy barrier”), the probability mass concentrates  
1367 effectively on the global optimum. Therefore, precise tuning of  $\alpha_{final}$  is not required, provided  
1368  $\alpha_{final} \ll \min_{\mathbf{u} \neq \mathbf{u}^*} \Delta Q(\mathbf{u})$ .  
13691370 **The Role of Annealing Schedule (Optimization Landscape).** The annealing process functions as a  
1371 continuation method. At high  $\alpha$  (early training), the energy landscape  $E(\mathbf{u}) = -Q(\mathbf{u})$  is smoothed.  
1372 The Stein Variational Gradient Descent (SVGD) particles experience a gradient field dominated by  
1373 the entropy term,  $\nabla \log \pi \approx -\frac{1}{\alpha} \nabla E + \text{entropy}$ , allowing particles to traverse potential barriers.  
13741375 Crucially, our use of SVGD provides higher robustness than standard single-point MCMC. Since  
1376 we maintain a set of interacting particles  $\{u^\ell\}_{\ell=1}^M$  with a repulsive kernel force  $\sum_j \nabla k(u^j, u)$ , the  
1377 particles naturally resist collapsing into local optima too early, making SVNR less sensitive to the  
1378 annealing rate than standard Soft Q-Learning.  
1379

## 1380 H.4.2 EMPIRICAL SENSITIVITY ANALYSIS

1381 To validate this theory, we conducted extensive ablations on the *Max of Three* ( $s_2 = 1.5$ ) environment,  
1382 which is highly sensitive to RO. All results are averaged over 5 seeds.  
13831384 **A. Sensitivity to Final  $\alpha$  ( $\alpha_{final}$ ).** We fixed the annealing schedule (decaying over 50% of total  
1385 steps) but varied the target floor value  $\alpha_{final}$ . As shown in Table 7, performance is stable for any  
1386  $\alpha_{final} \in [0, 0.1]$ . The method is not brittle; it does not require  $\alpha$  to be exactly zero, only sufficiently  
1387 small to suppress noise below the coordination threshold.  
13881389 Table 7: Sensitivity to Final  $\alpha$  in Max of Three ( $s_2 = 1.5$ ).  
1390

Final $\alpha$	Mean Return	Std Dev	Conv. Rate	Interpretation
1.0	6.82	2.15	20%	Too High: Distribution too diffuse (ERO).
0.1	9.15	0.45	100%	Acceptable: Mass concentrates on optimum.
<b>0.01</b>	<b>9.71</b>	<b>0.20</b>	<b>100%</b>	<b>Optimal:</b> Approximates Dirac delta.
0.001	9.68	0.22	100%	Optimal: Diminishing returns.
0.0	9.65	0.25	100%	Hard Max: Equivalent to greedy execution.

1398 **B. Sensitivity to Annealing Schedule.** We fixed  $\alpha_{start} = 1.0$  and  $\alpha_{final} = 0.01$ , varying the decay  
1399 function over the total training steps  $T$ . Results are shown in Table 8.  
14001401 The results confirm that while annealing is necessary (“Instant” schedule fails, validating our PRO  
1402 theory), there exists a wide safe region. Any schedule spanning 30% to 80% of training yields  
1403 optimal results. The repulsive mechanism in SVGD significantly widens the safe hyperparameter  
1404 basin compared to standard baselines.  
1405

Table 8: Sensitivity to Annealing Schedule in Max of Three ( $s_2 = 1.5$ ).

Schedule Type	Decay Duration	Return	Std Dev	Analysis
Instant	0% (Fixed $\alpha = 0.01$ )	-0.65	0.12	Failure: Trapped in local optima.
Fast Linear	10% of $T$	4.20	4.80	Unstable: “Quenching” causes collapse.
Medium Linear	30% of $T$	9.62	0.28	Robust.
<b>Slow Linear</b>	<b>80% of <math>T</math></b>	<b>9.73</b>	<b>0.15</b>	<b>Robust:</b> Best stability.
Exponential	$\tau = 0.9995$	9.69	0.19	Robust: Smooth transition works well.

## H.5 COMPUTATIONAL ANALYSIS AND FAIR COMPARISON PROTOCOL

In this section, we provide a rigorous breakdown of our fair comparison protocols, including hyper-parameter tuning, entropy schedules, and a theoretical justification for the computational trade-offs inherent to SVNR.

### H.5.1 THEORETICAL JUSTIFICATION: COMPUTATIONAL COST VS. CONVERGENCE GEOMETRY

A key consideration for SVNR is characterizing *what* the additional computational complexity achieves compared to standard baselines. While standard policy gradient methods (e.g., MADDPG) rely on gradients in the Euclidean parameter space ( $\mathcal{O}(1)$  complexity per update), SVNR approximates a gradient flow in the space of probability distributions.

Mathematically, let  $\mathcal{P}(\mathcal{U})$  be the space of joint policy distributions. Standard updates  $\theta_{k+1} \leftarrow \theta_k + \epsilon \nabla_\theta J(\theta)$  follow steepest descent in a Euclidean metric. However, this geometry is often ill-suited for the non-convex landscape of RO-challenged games, where the “valleys” of sub-optimal Nash equilibria are steep and difficult to escape.

SVNR, via the Stein Variational Gradient Descent (SVGD) mechanism, approximates the **Wasserstein gradient flow** of the KL divergence functional  $F(\rho) = D_{KL}(\rho \parallel \pi_\alpha^*)$ . The update direction  $\phi^*$  in the Reproducing Kernel Hilbert Space (RKHS)  $\mathcal{H}^D$  is given by the Stein operator:

$$\phi^*(u) = \mathbb{E}_{u' \sim \rho} [k(u', u) \nabla_{u'} \log \pi_\alpha^*(u') + \nabla_{u'} k(u', u)]. \quad (19)$$

Evaluating this kernelized update introduces a computational complexity of  $\mathcal{O}(M^2)$  (where  $M$  is the number of particles). However, this cost yields a descent direction optimal in terms of the **Stein Fisher Information**. Crucially, the convergence rate is governed by the Stein Poincaré inequality. Unlike standard gradients that vanish at any local optimum (including sub-optimal RO points), the particle interaction term  $\nabla_{u'} k(u', u)$  acts as a repulsive force, preventing the distribution from collapsing into a single sub-optimal mode. Therefore, although the **wall-clock time per step** is higher for SVNR, the **sample complexity to escape RO** is significantly lower. The compute budget is thus utilized to approximate the optimal transport map from the initial belief to the optimal equilibrium.

### H.5.2 HYPERPARAMETER TUNING AND SEARCH SPACES

To ensure fairness, we utilized the Tree-structured Parzen Estimator (TPE) sampler for all methods (SVNR and baselines) with an identical budget of 50 trials per environment. All methods utilized the same network architecture backbone (3-layer MLP with ReLU activations) to ensure that differences in representational capacity did not influence the results. We optimized the search spaces detailed in Table 9.

### H.5.3 IDENTICAL ENTROPY SCHEDULES

Entropy schedules are critical in MaxEnt MARL, as higher  $\alpha$  promotes exploration that can incidentally mitigate RO. To isolate the contribution of the *negotiated reasoning* mechanism, we employed **\*\*identical, fixed  $\alpha$  annealing schedules\*\*** for all MaxEnt-based methods (SVNR, MASQL, PR2, ROMMEO, MMQ). The schedule used was:

$$\alpha_t = \alpha_{\text{end}} + (\alpha_{\text{start}} - \alpha_{\text{end}}) \times \exp \left( -\frac{t}{\tau_\alpha} \right), \quad (20)$$

1458  
1459  
1460 Table 9: Hyperparameter Search Spaces for TPE Tuning.  
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Hyperparameter	Search Space	Distribution
Learning Rate ( $\eta$ )	$[1 \times 10^{-4}, 1 \times 10^{-1}]$	Log-uniform
Batch Size ( $B$ )	$\{256, 512, 1024\}$	Categorical
Polyak Averaging ( $\tau$ )	$[0.001, 0.01]$	Uniform
Reward Scaling	$\{1, 10, 100\}$	Categorical
Hidden Units (MLP)	$\{64, 128, 256\}$	Categorical
<i>SVNR Specific</i>		
Particle Count ( $M$ )	$\{16, \dots, 64\}$	Integer Uniform
<i>Baseline Specific (PR2, ROMMEO)</i>		
Recursive Steps ( $k$ )	$\{1, \dots, 3\}$	Integer Uniform

1471  
1472 where  $\alpha_{\text{start}} = 1.0$ ,  $\alpha_{\text{end}} = 0.01$ , and the decay rate  $\tau_\alpha$  was fixed for all agents in a given environment.  
1473 This ensures that SVNR’s ability to capture multi-modal optima stems from the Stein variational  
1474 updates, not from artificially inflated entropy.  
1475

#### 1476 H.5.4 WALL-CLOCK TIME VS. PERFORMANCE ANALYSIS

1477 We provide a comparison of training time (on a single NVIDIA A100 GPU) versus final performance  
1478 on the Ant-2x4 (MaMuJoCo) task in Table 10.  
1479

1480  
1481 Table 10: Compute Efficiency and Performance Comparison on Ant-2x4.

Method	Params ( $\ \theta\ $ )	Time (hrs)	Rel. Time	Final Return	Convergence Step
<b>SVNR (Ours)</b>	$\sim 1.2M$	<b>4.8</b>	1.0x (Ref)	<b><math>536 \pm 31</math></b>	$\sim 1.5M$
MADDPG	$\sim 0.8M$	2.1	0.44x	$108 \pm 26$	Failed (Local Opt)
MASQL	$\sim 0.8M$	2.3	0.48x	$225 \pm 34$	$\sim 2.8M$
PR2	$\sim 1.5M$	5.2	1.08x	$354 \pm 58$	$\sim 2.0M$
ROMMEO	$\sim 1.4M$	4.9	1.02x	$424 \pm 60$	$\sim 1.8M$
MAPPO	$\sim 0.9M$	1.8	0.38x	$87 \pm 135$	Failed

1490 While SVNR incurs higher wall-clock time ( $\sim 2.2x$ ) compared to simple baselines like MADDPG  
1491 due to particle processing, it is comparable to other reasoning methods (PR2, ROMMEO). Crucially,  
1492 SVNR provides the highest “Return per GPU-Hour” because the PRO-free updates prevent the  
1493 optimization trajectory from oscillating between sub-optimal equilibria, effectively “short-circuiting”  
1494 the learning process in RO-challenged landscapes where faster baselines fail to converge to the global  
1495 optimum.  
1496

## 1497 I MORE RELATED WORK

1498  
1499 **Opponent Modeling** Our work also has a connection with opponent modeling (Albrecht & Stone,  
1500 2018) (OM), which involves modeling the behavior of others. The traditional OM methods only  
1501 model an opponent’s behavior based on their history, assuming they play stationary policies (Littman,  
1502 2001; Brown, 1951). There are two main limitations to these methods. The first one is that these  
1503 methods tend to work with predefined targets of opponents. Fictitious play (Brown, 1951), friend-  
1504 or-foe q (Littman, 2001), and many OM methods (Hu & Wellman, 2003; Greenwald & Hall, 2003;  
1505 Littman, 1994) make a strong assumption on opponent policies which makes them unsuitable for  
1506 current MARL where opponents change their policies with learning (Wen et al., 2019). The other  
1507 limitation is that agents require the Nash equilibrium to update their Q function during training (e.g.,  
1508 Nash Q learning (Hu & Wellman, 2003) and Wolf models(Bowling, 2004)). These limitations make  
1509 it hard to apply traditional OM methods to MARL. Compared to the traditional OM methods, our  
1510 methods do not have these limitations. Besides, some popular OM methods have been proposed:  
1511 reasoning-endowed methods (Wen et al., 2019; Tian et al., 2019), and we have summarized them in  
the previous subsection.

1512 **Probabilistic inference for (MA)RL** Formulating RL problems as probabilistic inference problems  
 1513 has shown substantial results in obtaining maximum entropy exploration (Haarnoja et al., 2017; 2018;  
 1514 Levine, 2018) and allows a number of inference methods to be adopted. These methods embed the  
 1515 problem into a graphical model by modeling the relations among states, actions, next states, and  
 1516 indicators of optimality. Then the optimal policy can be recovered by making inferences on the  
 1517 graphical model. For example, Soft Q-learning (Haarnoja et al., 2017) expresses the optimal policy  
 1518 via a Boltzmann distribution and adopts amortized SVGD (Feng et al., 2017) to make approximate  
 1519 sampling on the target distribution. Different RL problems, the MARL problem involves a number of  
 1520 agents interacting with each other which makes it non-trivial to make extensions from single agent  
 1521 RL reformulations. MASQL (Wei et al., 2018), ROMMEO (Tian et al., 2019), and PR2 (Wen et al.,  
 1522 2019) let each agent model the relations among states, its actions, the actions of its opponents, next  
 1523 states, and indicators of optimality. Each agent expresses the optimal joint policy via a Boltzmann  
 1524 distribution and derives its individual policy and opponent policy accordingly. However, the opponent  
 1525 policy of the agent is not guaranteed to be consistent with the individual policies of opponents.  
 1526 Compared with these methods, the agent in our SVNR perceives opponent policy as consistent with  
 1527 the individual policies of opponents by K-Step negotiation during training.

### 1528 I.1 NEGOTIATED REASONING VS. COMMUNICATION-BASED MARL

1529 While "negotiation" and "communication" may appear semantically similar, they operate on funda-  
 1530 mentally different mathematical objects in our framework.

1531 **Communication addresses Partial Observability.** In standard communication-based MARL (e.g.,  
 1532 TarMAC, BiCNet), the objective is to approximate the sufficient statistics of the full global state  $s$ .  
 1533 Mathematically, let  $\mathcal{O}_i$  be the observation space and  $\mathcal{M}$  be the message space. Communication learns  
 1534 a state-dependent mapping  $\mu : \times_i \mathcal{O}_i \rightarrow \mathcal{M}$  such that the policy  $\pi_i(u_i|o_i, m_{-i})$  approximates the  
 1535 centralized policy  $\pi(u_i|s)$ . Crucially, the "message"  $m$  is a random variable dependent on the state,  
 1536 i.e.,  $m \not\perp s$ .

1537 **Negotiated Reasoning addresses Equilibrium Selection via Variational Inference.** In contrast,  
 1538 SVNR is an optimization process defined on the *probability measure space*  $\mathcal{P}(\mathcal{U})$ . It constructs a flow  
 1539 of measures  $\{q_k\}_{k=0}^K$  driven by functional gradient descent to minimize the KL-divergence functional  
 1540  $J(q) = D_{KL}(q\| \pi_\alpha^*)$ . The "negotiation" is the transformation  $T(u) = u + \epsilon\phi(u)$ , where  $\phi$  is the  
 1541 steepest descent direction in the RKHS  $\mathcal{H}_K$ , governed by the Stein operator  $\mathcal{A}_\pi$ :

$$1544 \phi^*(u) = \mathbb{E}_{u \sim q}[\mathcal{A}_{\pi^*}h(u)] = \mathbb{E}_{u \sim q}[\nabla \log \pi^*(u)h(u) + \nabla h(u)]. \quad (21)$$

1545 Here, agents exchange gradient information ( $\nabla_{u_i} Q$ ) and action particles during training to align the  
 1546 joint distribution with the global value landscape. This process changes the *optimization landscape*  
 1547 to avoid suboptimal local optima (RO), rather than aggregating state observations.

1548 A critical distinction lies in the execution phase. Our method is communication-free in the standard  
 1549 MARL sense (i.e., no state-dependent message passing).

1550 From a game-theoretic perspective, the "shared noise"  $\xi$  in our Amortized SVNR serves as a  
 1551 **correlation device** (Aumann, 1974), not a communication channel.

- 1552 • **Standard Nash Equilibrium** assumes independent mixing:  $\pi(\mathbf{u}|s) = \prod_i \pi_i(u_i|s)$ . This restricts  
 1553 agents from coordinating on specific optimal joint actions in multimodal landscapes (as seen in our  
 1554 "Two Modalities" experiment).
- 1555 • **Correlated Equilibrium (Ours):** Agents condition strategies on a public signal  $\xi$ , such that  
 1556  $\pi(\mathbf{u}|s) = \int \prod_i \pi_i(u_i|s, \xi) p(\xi) d\xi$ .

1557 In our framework,  $\xi$  is *ex-ante* common randomness (e.g., a synchronized PRNG seed). It satisfies  
 1558 the independence condition  $\xi \perp s$ . This distinguishes it from communication messages  $m$ , where  
 1559  $m = f(s)$ .

### 1560 I.2 RELATION TO OPPONENT MODELING (OM)

1561 Our work connects to Opponent Modeling (OM) but differs fundamentally in objective.

1566     **OM is Predictive.** Traditional OM is a predictive task (typically regression or density estimation)  
 1567     where agent  $i$  estimates parameters  $\hat{\theta}_{-i}$  to approximate  $P(u_{-i}|s, \text{history})$  via Maximum Likeli-  
 1568     hood Estimation (MLE):  $\min_{\theta} \mathbb{E}_{\mathcal{D}}[-\log P_{\theta}(u_{-i}|s)]$ . This approach often leads to Relative Over-  
 1569     generalization (RO) because agents optimize against the *current* (potentially suboptimal) behavior of  
 1570     others.

1571     **Negotiated Reasoning is Prescriptive.** SVNR provides a consistent reasoning framework. We do  
 1572     not merely predict what opponents *will* do based on history. Instead, we solve for a fixed point where  
 1573     every agent’s reasoning is consistent with the optimal joint distribution:

$$1575 \quad \lim_{k \rightarrow \infty} q_k(u) = \pi_{\alpha}^*(u) \implies \rho_i(u_{-i}) = \int \pi_{\alpha}^*(u_i, u_{-i}) du_i. \quad (22)$$

1577     This satisfies the **Consistent Reasoning** condition (Definition 2.3), which standard OM fails to  
 1578     guarantee during the exploration phase.

## 1581     J THEORETICAL GROUNDING OF DECENTRALIZED EXECUTION VIA 1582     COMMON RANDOMNESS

1584     In this section, we clarify the theoretical nature of the shared noise  $\xi$  utilized in SVNR’s execution  
 1585     phase and distinguish it from communication.

1587     **Correlated Equilibrium vs. Communication.** From a game-theoretic perspective, the shared noise  
 1588      $\xi$  serves as a *correlation device* (Aumann, 1974), not a communication channel.

- 1589     • **Standard Nash Equilibrium (NE):** Assumes independent action mixing,  $\pi(\mathbf{u}|s) = \prod_i \pi_i(u_i|s)$ .  
 1590     This independence often limits agents to suboptimal outcomes in cooperative games (e.g., miscoor-  
 1591     dination in the “Chicken” game).
- 1593     • **Correlated Equilibrium (CE):** Allows agents to condition their strategies on a public signal  $\xi$ ,  
 1594     such that  $\pi(\mathbf{u}|s) = \int \prod_i \pi_i(u_i|s, \xi) p(\xi) d\xi$ .

1595     In SVNR, the sharing of  $\xi$  occurs *ex-ante*. In the literature of Contract Theory and Mechanism Design,  
 1596     this is akin to agents agreeing on a “convention” or a random seed prior to the game to coordinate on a  
 1597     specific equilibrium. This is fundamentally distinct from *communication* in MARL, which is typically  
 1598     defined as the transmission of private observations  $o_i$ , beliefs, or state-dependent information during  
 1599     execution to resolve partial observability. Our method does **not** transmit state-dependent information;  
 1600     it utilizes a synchronized Pseudo-Random Number Generator (PRNG) seed (common randomness)  
 1601     to break symmetries and coordinate exploration/execution without bandwidth cost.

1602     **Amortized Inference Implementation.** Practically, our Amortized MPSVGD distills the iterative  
 1603     negotiation process into a function  $f_{\psi_i}(\xi_i, \xi_{C_i}, s)$ .

- 1605     • **Training:** Agents explicitly negotiate via the particle updates to find the optimal joint distribution.
- 1607     • **Execution:** Agents sample actions using the learned policy. The “sharing” of  $\xi$  is implemented  
 1608     simply by synchronizing random seeds among neighbors. This allows agents to implicitly coor-  
 1609     dinate their sampling from the joint distribution  $q_{\phi}(\mathbf{u}|s)$  without exchanging messages about the  
 1610     state  $s$ .

1611     Therefore, SVNR achieves decentralized execution in the sense that no data transfer occurs between  
 1612     agents during the decision-making step  $t$ .

## 1614     K THEORETICAL ANALYSIS IN CONTINUOUS ACTION SPACES

1617     While our convergence analysis in Section 4.2 assumes finite action spaces for notational simplicity,  
 1618     our implementation of SVNR operates in continuous domains. This appendix clarifies the theoretical  
 1619     consistency between the finite-space analysis and the continuous-space implementation, grounded in  
 measure-theoretic unification and the geometry of Reproducing Kernel Hilbert Spaces (RKHS).

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## K.1 MEASURE-THEORETIC UNIFICATION OF THE SOFT BELLMAN OPERATOR

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The theoretical gap between discrete and continuous analysis is notational rather than structural. The Soft Bellman operator  $\mathcal{T}$  used in our proofs relies on the soft value function. In continuous action spaces  $\mathcal{U} \subseteq \mathbb{R}^d$ , this generalizes naturally by replacing the counting measure with the Lebesgue measure. The value function becomes:

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$$V(s) = \alpha \log \int_{\mathcal{U}} \exp \left( \frac{Q(s, u)}{\alpha} \right) d\mu(u). \quad (23)$$

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Provided that  $Q$  is bounded and measurable (ensuring the integral exists), the properties of *monotonicity* and *contraction* (in the  $L^\infty$  norm) required for Lemma 4.1 and Theorem 4.3 hold for the continuous operator just as they do for the discrete case. Consequently, the policy iteration guarantees extend to continuous function spaces under these mild regularity conditions.

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## K.2 NATIVE CONTINUITY OF NEGOTIATED REASONING

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Crucially, the core novelty of our work—the Negotiated Reasoning mechanism—is theoretically stronger in continuous spaces.

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- **SVGD Theory:** Our negotiation process (Eq. 4, 5, 12) utilizes Stein Variational Gradient Descent. The theoretical guarantees of SVGD, specifically the Stein Identity and the steepest descent direction in the RKHS  $\mathcal{H}^D$ , are derived explicitly for continuous, differentiable probability densities supported on  $\mathbb{R}^d$  (Liu & Wang, 2016).
- **Gradient Flows:** The negotiation update  $u \leftarrow u + \epsilon \phi^*(u)$  approximates a gradient flow in the space of probability measures under the Kullback-Leibler divergence metric. This geometric interpretation relies on the differentiable structure of the continuous action space, which is absent in the discrete setting.

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## K.3 BRIDGING THE GAP VIA PARTICLE APPROXIMATION

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Our method operates in a hybrid theoretical regime bridged by particle approximation:

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1. **Policy Iteration (Global Convergence):** As established in Section K.1, the global convergence properties hold in continuous spaces via measure theory.
2. **Negotiated Reasoning (Local Update):** As established in Section K.2, the update mechanism is natively continuous.

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The “gap” is bridged by our Amortized MPSVGD (Section 5), which uses a finite set of particles  $\{u_\ell\}_{\ell=1}^M$  to approximate the continuous posterior. This serves as a Monte Carlo approximation of the integrals defined in the soft value function, which is asymptotically exact as  $M \rightarrow \infty$  by the Law of Large Numbers. Thus, the finite-particle implementation is a consistent approximation of the continuous theoretical framework.

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## L INTERPRETABILITY OF NEGOTIATED REASONING

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In this section, we elaborate on the transparency of the negotiation process within SVNR. The concept of “negotiation” in our framework is mathematically grounded in the **iterative transport of probability measures** via the Stein variational gradient flow, rather than a heuristic communication protocol. This perspective allows us to interpret the learning dynamics through the lens of Amortized Variational Inference.

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## L.1 MATHEMATICAL INTERPRETATION OF ROUNDS AND AGREEMENT

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Theoretically, the negotiation corresponds to the functional gradient descent in the Reproducing Kernel Hilbert Space (RKHS).

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- **Negotiation Rounds ( $K$ ):** The rounds  $K$  represent the discrete steps taken to transport the initial particle distribution  $q_0$  toward the target posterior  $p$  (the optimal joint policy) via the transform

1674  $T(u) = u + \epsilon\phi^*(u)$ . In our Amortized SVNR (Section 5), we distill this multi-step transport  
 1675 dynamic into a parameterized function  $f_\psi$ . Consequently, the explicit “round count” collapses into  
 1676 the complexity of the learned mapping, where the network learns to approximate the cumulative  
 1677 effect of the transport.

1678 • **Agreement:** The “agreement” is mathematically defined as the system reaching the fixed point  
 1679 where the **Stein Discrepancy** approaches zero, i.e.,  $\mathbb{E}_{u \sim q}[\mathcal{A}_p\phi(u)] \approx 0$ , where  $\mathcal{A}_p$  is the Stein  
 1680 operator. This implies that the empirical measure of the agents’ joint policy matches the optimal  
 1681 Boltzmann distribution.

1682 **L.2 VISUALIZING THE CONVERGENCE OF MEASURE**

1683 The dynamics of negotiation are explicitly visualized as the **evolution of the joint policy’s support**  
 1684 in our experimental results.

1685 **Evolution of Support (Figure 5):** Figure 5 illustrates the transport of the joint action measure  
 1686 over training steps. Initially (steps 1-1500), the probability mass is distributed over sub-optimal  
 1687 modes (local Nash Equilibria). As the amortized policy  $f_\psi$  minimizes the KL-divergence, we  
 1688 observe the **concentration of measure** shifting from the local optimum to the global optimum (steps  
 1689 1500-3000). This trajectory visually represents the “negotiation” resolving the Perceived Relative  
 1690 Over-generalization (PRO) by reshaping the energy landscape of the policy and transporting particles  
 1691 to the high-probability regions of the target distribution.

1692 **Topological Comparison (Figure 7):** By comparing SVNR with SVNR-M (no negotiation) in Figure  
 1693 7, we isolate the effect of the conditional dependency structure (the nested sets  $C_i$ ). Figure 7(a)  
 1694 versus Figure 7(c) demonstrates that without the Stein transport (negotiation), the joint distribution  
 1695 remains trapped in a sub-optimal mode. The “negotiation” is interpretable as the **correction vector**  
 1696 applied to the joint distribution that aligns the agents’ conditional policies, ensuring the joint support  
 1697 covers the global optimum.

1698 **M LIMITATIONS AND FUTURE WORK**

1700 This section discusses the limitations and outlines directions for future research.

1701 **Computational Overhead and Scalability.** The communication complexity of our negotiation  
 1702 process during training is  $O(N)$ , where  $N$  is the number of agents—comparable to standard centralized  
 1703 training methods. While this does not affect execution efficiency (as no communication is required  
 1704 during testing), scaling to environments with many agents or high-dimensional state/action spaces  
 1705 may require balancing RO-free guarantees with computational efficiency. Our current implemen-  
 1706 tation uses automated hyperparameter tuning via TPE Sampler to optimize learning rates, entropy  
 1707 coefficients, and particle numbers, providing reliable default configurations across various settings.

1708 **Theoretical Assumptions.** Our framework assumes nested negotiation/communication during  
 1709 training, consistent with the Centralized Training with Decentralized Execution (CTDE) paradigm widely  
 1710 used in MARL. This allows agents to leverage global information for improved coordination during  
 1711 training while maintaining fully decentralized, communication-free execution. Other assumptions  
 1712 (e.g., stationarity, bounded rewards) are standard in MARL literature and necessary for theoretical  
 1713 rigor without imposing impractical constraints.

1714 **Environmental Complexity.** Our validation focuses on standard benchmark environments with  
 1715 sufficient complexity to verify our theoretical claims while maintaining tractability. Extending our  
 1716 approach to more complex, high-dimensional domains represents an important future direction, which  
 1717 will likely require additional architectural innovations to preserve our RO-free guarantees while  
 1718 maintaining computational efficiency.

1719 **Partial Observability.** The current implementation leverages the Centralized Training with De-  
 1720 centralized Execution (CTDE) paradigm to address partial observability. As demonstrated in the

1728 MaMuJoCo experiments, our method effectively projects global guidance onto local policies during  
 1729 training. However, explicitly incorporating recurrent architectures (e.g., Transformers or LSTMs)  
 1730 to better encode long-horizon sequential observations within the negotiation policies remains a  
 1731 promising direction for handling complex POMDPs with severe memory dependencies.

1732 While addressing these limitations is beyond the scope of this paper, they represent valuable avenues  
 1733 for future research that could significantly broaden the applicability of our RO-free MARL approach.  
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### 1735 M.1 EXTENDED THEORETICAL ANALYSIS ON PARTIAL OBSERVABILITY

1737 In this section, we provide a deeper theoretical analysis regarding the applicability of Stein Variational  
 1738 Negotiated Reasoning (SVNR) to Partially Observable Stochastic Games (POSGs) and the feasibility  
 1739 of fully decentralized training.

#### 1741 M.1.1 SVNR IN PARTIALLY OBSERVABLE STOCHASTIC GAMES

1743 While the main text formulates the problem using global states  $s$  for clarity, SVNR naturally extends  
 1744 to POSGs through the lens of *projected variational inference*. In a POSG, agent  $i$  observes a local  
 1745 history  $\tau_i \in \mathcal{T}_i$ , while the global state  $s$  (or joint history  $\tau$ ) is available only during centralized  
 1746 training.

1747 The objective of Maximum Entropy MARL in this setting is to learn a joint policy  $\pi(\mathbf{u}|\tau)$  that  
 1748 minimizes the KL-divergence with the energy-based optimal policy induced by the global Q-function  
 1749  $Q(\tau, \mathbf{u})$ :

$$1750 \min_{\pi} D_{\text{KL}} \left( \pi(\mathbf{u}|\tau) \parallel \frac{1}{Z} \exp \left( \frac{1}{\alpha} Q(\tau, \mathbf{u}) \right) \right). \quad (24)$$

1753 In SVNR, the negotiation policy is parameterized by amortized neural networks  $f_{\psi_i}(u_i|\tau_i, \xi_i, \xi_{C_i})$   
 1754 which condition only on local information  $\tau_i$ . The update rule in our Amortized MPSVGD (Equation  
 1755 9 and 10) performs a **projection** of the global gradient onto the local parameter space. The gradient  
 1756 for the local policy parameters  $\psi_i$  is:

$$1758 \frac{\partial J}{\partial \psi_i} \propto \mathbb{E}_{\tau, \xi} \left[ \Delta f_i^{\psi}(\xi; \tau) \cdot \frac{\partial f_i^{\psi}(\xi; \tau_i)}{\partial \psi_i} \right]. \quad (25)$$

1761 Here,  $\Delta f_i^{\psi}(\xi; \tau)$  is the Stein gradient computed using the *global critic* (full observability), repre-  
 1762 senting the optimal direction in the functional space. The term  $\frac{\partial f_i^{\psi}(\xi; \tau_i)}{\partial \psi_i}$  is the Jacobian of the local  
 1763 policy given *local* history.

1764 This update effectively solves the following projection problem:

$$1766 \psi_i^* = \arg \min_{\psi_i} \mathbb{E}_{\tau} [D_{\text{KL}} (q_{\text{global}}(\cdot|\tau) \parallel \pi_{\psi_i}(\cdot|\tau_i))]. \quad (26)$$

1768 By updating  $\psi_i$  via the chain rule, the agent learns a local policy  $\pi_{\psi_i}(\cdot|\tau_i)$  that is the best possible  
 1769 approximation (in terms of KL-divergence) of the globally optimal negotiated outcome, conditioned  
 1770 on its limited view  $\tau_i$ . This theoretical formulation explains the strong empirical performance of  
 1771 SVNR on partially observed benchmarks like MaMuJoCo (Table 1).

#### 1773 M.1.2 FEASIBILITY OF FULLY DECENTRALIZED TRAINING

1775 Although our implementation utilizes a centralized critic  $Q(\mathbf{u}, s)$  for sample efficiency, the SVNR  
 1776 framework is theoretically compatible with fully decentralized training, provided the global utility  
 1777 function admits a factorizable structure.

1778 Consider a scenario where the global Q-function decomposes according to a factor graph (e.g., a  
 1779 pairwise Markov Random Field) consistent with the agent topology:

$$1781 Q_{\text{total}}(\mathbf{u}, s) = \sum_{c \in \mathcal{C}} Q_c(\mathbf{u}_c, s_c), \quad (27)$$

1782 where  $c$  represents a local clique of agents (e.g., neighbors) and  $Q_c$  is a local utility function. The  
 1783 core component of our method, the Stein variational update direction for agent  $i$ , is given by:  
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$$1785 \quad \phi_i^*(\mathbf{u}) = \mathbb{E}_{\mathbf{u} \sim q} [k_i(\mathbf{u}, \cdot) \nabla_{u_i} Q_{\text{total}}(\mathbf{u}, s) + \nabla_{u_i} k_i(\mathbf{u}, \cdot)]. \quad (28)$$

1786 Due to the linearity of the gradient operator, the score function term decomposes locally:  
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$$1788 \quad \nabla_{u_i} Q_{\text{total}}(\mathbf{u}, s) = \sum_{c: i \in c} \nabla_{u_i} Q_c(\mathbf{u}_c, s_c). \quad (29)$$

1790 This implies that agent  $i$  does not need to query a global critic. Instead, it only requires the gradients  
 1791 of the local utility functions from the cliques it belongs to. If we employ a decomposable kernel  
 1792  $k(\mathbf{u}, \mathbf{u}') = \prod_j k_j(u_j, u'_j)$ , the expectation term also factorizes.  
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1794 Consequently, Algorithm 1 can be reformulated as a **Distributed Stein Variational Gradient**  
 1795 **Descent (DSVGD)** algorithm. In this variant, the "negotiation" during training occurs via gradient  
 1796 message passing between neighbors rather than querying a central oracle, extending the applicability  
 1797 of SVNR to scenarios where centralized training is not feasible.  
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