Do Current Natural Language Inference Models Truly Understand Sentences? Insights from Simple Examples

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Abstract

Natural language inference (NLI) is a task to infer the relationship between a premise and a hypothesis (e.g. entailment, neutral, or contradiction), and transformer-based models perform well on current NLI datasets such as MNLI and SNLI. Nevertheless, given the complex-007 ity of the task, especially the complexity of the sentences used for model evaluations, it remains controversial whether these models can truly infer the meaning of sentences or they simply guess the answer via non-humanlike 011 012 heuristics. Here, we reduce the complexity of the task using two approaches. The first approach simplifies the relationship between the 014 premise and hypothesis by making them unre-015 lated. A test set, referred to as Random Pair, 017 is constructed by randomly pairing premises and hypotheses in MNLI/SNLI. Models finetuned on MNLI/SNLI identify a large proportion (up to 77.6%) of these unrelated statements as being contradictory. Models fine-tuned on SICK, a dataset that included unrelated premise-hypothesis pairs, perform well on Random Pair. The second approach simplifies the 025 task by constraining the premises/hypotheses to be syntactically/semantically simple sentences. A new test set, referred to as Simple Pair, is 027 constructed using simple sentences, such as short SVO sentences, and basic conjunction sentences. We find that models fine-tuned on MNLI/SNLI generally fail to understand these simple sentences, but their performance can be boosted by re-fine-tuning the models using only a few hundreds of samples from SICK. All models tested here, however, fail to understand the fundamental compositional binding relation between a subject and a predicate 037 (up to $\sim 100\%$ error rate) for basic conjunction sentences. Taken together, the results show that models achieving high accuracy on mainstream datasets can still lack basic sentence 042 comprehension capacity, and datasets discouraging non-humanlike heuristics are required to 043 build more robust NLI models.

1 Introduction

Natural language inference (NLI), also known as recognizing textual entailment (RTE), is a basic task to test the semantic inference ability of natural language processing (NLP) models (Cooper et al., 1996; Dagan et al., 2005; Bowman et al., 2015; Poliak, 2020). The NLI task concerns the relationship between a pair of sentences, i.e., a premise and a hypothesis (Naik et al., 2018; Ravichander et al., 2019; Richardson et al., 2020; Jeretic et al., 2020). In recent years, a number of datasets have been developed to train models to perform the NLI task, such as SICK (Marelli et al., 2014), Stanford NLI (SNLI) (Bowman et al., 2015), and Multi-genre NLI (MNLI) (Williams et al., 2018), and transformer-based deep neural network models have achieved high accuracy on these datasets (Nangia and Bowman, 2019; Poliak, 2020). The high accuracy of NLI models seems to suggest that these models already have the ability to interpret the meanings of sentences and generate semantic inference. Nevertheless, recent evidence shows that NLI models may have just guessed the answer based on statistical biases in the datasets (Gururangan et al., 2018; Clark et al., 2019). Furthermore, models can achieve high accuracy even when the words in premise/hypothesis are shuffled (Sinha et al., 2021), casting further doubts on whether the NLI models can truly infer the meaning of a sentence or simply guess the answer via non-humanlike heuristics (Naik et al., 2018).

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The goal of the current paper is two-folded. First, we tackle the issue of potential statistical biases in the current large-scale NLI datasets by designing testing conditions that factor out the effect of statistical biases. To achieve the goal, we extend the current mainstream datasets, such as MNLI and SNLI, to create test conditions in which any heuristics originated in the original datasets (if any) are rendered useless under the new test conditions. This is

done by breaking the original premise-hypothesis pairs and randomly pairing a premises with a hy-086 pothesis. Consider a situation in which an anno-087 tator designs a hypothesis that is expected to stay in a contradiction relation with a premise. The annotator may use words that are highly sugges-090 tive of a contradiction relationship, for example, a higher likelihood of negation in the hypothesis sentence. Instead of truly evaluating the relationship between the premise and the hypothesis, a 094 model may simply exploit the hypothesis-internal regularities to solve the NLI task (Naik et al., 2018; Gururangan et al., 2018; Rudinger et al., 2017). But when the same hypothesis is paired with a random premise, the hypothesis-internal bias remains the same while the relationship between the premise and the hypothesis has been (most likely) changed 101 to a neutral relation. We therefore reason that if a 102 model truly understands the semantic relation be-103 tween a premise-hypothesis pair, it should answer 104 "neutral" for most of our newly constructed test-105 ing conditions; deviations from such a result (i.e. identification of entailment or contradiction to a non-trivial extent) would indicate the model does 108 109 not truly rely on semantic relations to perform the task. 110

Second, to probe deeper into the semantic capa-111 bilities of the current NLI models, we constructed a 112 large number of simple and conjunction sentences 113 following a set of systematic design features (see 114 more details in Method), and tested whether NLI 115 models can make correct inferences on these sen-116 tences. The sentences in the current mainstream 117 datasets are generally highly sophisticated. Train-118 ing and testing models on difficult and challenging 119 material is valuable since this exercise pushes the 120 boundaries of how much NLI models can cope with 121 linguistic complexity (Nie et al., 2020; Ravichan-122 123 der et al., 2019). But the complexity of the datasets could also potentially hinder an explicit description 124 as to what specific features of the linguistic system 125 the models can learn and more importantly what they can not learn. Furthermore, a focus on com-127 plex material implicitly assumes that the current 128 NLP models have the capacity to understand simple 129 sentences and consequently perform the NLI task 130 accurately. The current study, however, shows that 131 models fine-tuned on highly challenging datasets 132 in fact fail on very basic sentences once we sys-133 tematically probe the semantic knowledge of these 134 models. 135



Figure 1: Construction of the Random Pair set.

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To preview, we tested 3 popular transformerbased models, i.e., BERT (Devlin et al., 2019), ALBERT (Lan et al., 2019), and RoBERTa (Liu et al., 2019), which were respectively fine-tuned on 3 widely-used NLI datasets, i.e., the MNLI, SNLI, and SICK datasets. We found that these models were by and large inaccurate in drawing inference relations on our datasets, and their previously reported success might be due to the inherent biases present in the datasets. More importantly, we also identified a key problem with these models: All the models appeared to fail in the basic semantic composition principles.

2 Method

2.1 NLI Dataset and Pre-trained Models

We employed 3 pre-trained language models, i.e., BERT (Devlin et al., 2019), ALBERT (Lan et al., 2019), and RoBERTa (Liu et al., 2019) to perform the NLI task. For all models, we used the base version. We built our models using Huggingface (Wolf et al., 2020). The models were separately finetuned based on 3 datasets, i.e., MNLI (Williams et al., 2018), SNLI (Bowman et al., 2015), and SICK (Marelli et al., 2014). For the 3 datasets we used, the relationship between a premise and a hypothesis could be entailment, contradiction, or neutral. The accuracy was evaluated by the proportion of premise-hypothesis pairs for which the inference relation was correctly identified. The parameters for fine-tuning were adopted from previous studies (shown in Appendix Table 1). For each sentence pair, the input to the models was [CLS, premise, SEP, hypothesis, SEP]. The concatenated sequence was encoded through the models and the output embedding of CLS was fed into a 3-way

Templates for the simple-sentence set						
N-is-A : The N_1 is A_1 . The apple is expensive.	SVO : The $S_1 V_1$ the O_1 . The student saw the dog.					
Templates for the conjunction-sentence set						
P1.P2 : The N_1 is A_1 . The N_2 is A_2 .	P1.P2 : The $S_1 V_1 O_1$. The $S_2 V_2 O_2$.					
The apple is expensive. The banana is sweet.	The student saw the dog. The professor lost the key.					
$\overline{\mathbf{P}}$1.P2 : The N ₁ is not A ₁ . The N ₂ is A ₂ .	$\overline{\mathbf{P1.P2}}$: The S ₁ did not V ₁ O ₁ . The S ₂ V ₂ O ₂ .					
The apple is not expensive. The banana is sweet.	The student did not see the dog. The professor lost the key.					
P1 and P2 : The N_1 is A_1 and the N_2 is A_2 .	P1 and P2 : The $S_1 V_1 O_1$ and the $S_2 V_2 O_2$.					
The apple is expensive and the banana is sweet.	The student did not see the dog and the professor lost the key.					
P1 and $\overline{P2}$: The N ₁ is A ₁ and the N ₂ is not A ₂ .	P1 and $\mathbf{P2}$: The S ₁ V ₁ O ₁ and the S ₂ did not V ₂ O ₂ .					
The apple is expensive and the banana is not sweet.	The student did not see the dog and the professor lost the key.					

Figure 2: Template for syntactically simple sentences

softmax classifier. The classifier calculated a score for each class though a linear transformer matrix and softmax function (Devlin et al., 2019).

2.2 Construction of Test Sets

2.2.1 Random Pair

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We created a Random Pair test set by randomly pairing premises and hypotheses in MNLI or SNLI test set, with the constraint that none of the new premise-hypothesis pairs in our test set overlapped with the original pairs in the original datasets (Figure 1). Specifically, 1000 premises were selected from each of the 2 datasets and each premise was paired with 54 hypotheses (18 from MNLImatched, 18 from MNLI-mismatched, and 18 hypotheses from SNLI). This procedure resulted in 54000 premise-hypothesis pairs (1000 premises × 54 hypotheses) for MNLI and SNLI, respectively. Since the pairing between a premise and a hypothesis is randomized, the relationship between them should generally be neutral. Human annotation was acquired for critical examples to confirm this (see Section 2.3). We did not construct random pairs based on SICK since SICK contained a lot of semantically similar premises/hypotheses (Marelli et al., 2014) and therefore the relationship between random pairs is complex.

2.2.2 Simple Pair

We constructed a *Simple Pair* test set using only syntactically simple sentences. The test set were further divided into a simple-sentence set and a conjunction-sentence set. For the simple-sentence set, the premise was a short sentence constructed using one of two templates (see Figure 2). One template created N-is-A sentences, where N was a noun and A was an adjective. The noun was from 5 categories, i.e., fruits (N = 40), animals (N = 90), human (N = 100), names (N = 100), and objects (N = 90), and each noun was mapped to a compatible adjective (N = 25, 30, 55, 55, and 28 for nouns from the fruit, animal, human, name, and object categories, respectively). The other template created SVO sentences. The subject and object were selected from the same 5 categories of nouns used in N-is-A sentences, and they were randomly paired with a compatible verb (N = 20). As shown in Figure 3, each N-is-A type of premise was paired with 6 hypotheses, and 51000 premise-hypothesis pairs (8500 premises \times 6 hypotheses) were created. Each SVO premise was paired with 4 hypotheses, and 48000 premise-hypothesis pairs (12000 premises \times 4 hypotheses) were created. Premisehypothesis pairs containing antonyms or synonyms were excluded in the simple-sentence set and the relationship between all premise-hypothesis pairs was neutral.

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For conjunction-sentence set, the premise was constructed by conjoining two simple sentences using one of four possible templates (see Figure 2 for the details). Each premise was paired with 4 hypotheses (see Figure 3). In total, 34000 premisehypothesis pairs (8500 premises \times 4 hypotheses) were created for the premise constructed using each template. Similar to the simple-sentence set, the relationship between all premise-hypothesis pairs were controlled as being neutral.

2.3 Human Annotation

A large number of hypotheses in *Random Pair* were identified as entailment or contradiction by the models fine-tuned on MNLI and SNLI (see Section 3.1). To test whether most of these premise-

premise	hypothesis	premise	hypothesis
The N_1 is A_1 .	The N_2 is A_1 . The N_1 is A_2 . The N_2 is A_2 . The N_2 is not A_1 . The N_1 is not A_2 . The N_2 is not A_2 .	The $S_1 V_1$ the O_1 .	The $S_2 V_1$ the O_1 . The $S_1 V_2$ the O_1 . The $S_1 V_1$ the O_2 . The $O_1 V_1$ the S_1 .
The N_1 is A_1 . The N_2 is A_2 . The N_1 is A_1 and the N_2 is A_2 . The N_1 is not A_1 . The N_2 is A_2 . The N_1 is A_1 and the N_2 is not A_2 .	The N_2 is A_1 . The N_1 is A_2 . The N_2 is not A_1 . The N_1 is not A_2 .	The S ₁ V ₁ O ₁ . The S ₂ V ₂ O ₂ . The S ₁ did not V ₁ O ₁ . The S ₂ V ₂ O ₂ . The S ₁ V ₁ O ₁ and the S ₂ V ₂ O ₂ . The S ₁ did not V ₁ O ₁ and the S ₂ V ₂ O ₂ .	The $S_2 V_1$ the O_1 . The $S_1 V_2$ the O_2 . The S_2 did not V_1 the O_1 . The S_1 did not V_2 the O_2 .

Figure 3: Construction of the Simple Pair set. More examples are shown in Appendix Table 2.

241 hypothesis pairs were truly neutral, we collected human annotation for part of the data. For each 242 model, we selected 100 premise-hypothesis pairs 243 that received the highest scores for entailment or 244 contradiction. Within these pairs, 40 was randomly 245 selected for human annotation. These premise-246 hypothesis pairs were listed in Appendix File1. 247 In the annotation process, human annotators (N = 5) were presented with pairs of sentences and 249 asked to label the relationship between the two sentences, i.e., entailment, contradiction, or neutral. The ground truth label was obtained using a majority vote from the 5 annotators. These sentences are largely more frequently classified as neutral by humans. Appendix table 3 shows the summary 255 statistics of ground truth labels. 256

3 Results

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3.1 Model performance on Random Pair

For the *Random Pair* set (see Section 2.2.1), a premise was paired with a set of randomly chosen hypotheses, and we expected the relationship for most of these premise-hypothesis pairs to be neutral. Table 1 showed model performance on *Random Pair*. It appeared that only the models finetuned on SICK identified the majority of premisehypothesis pairs, i.e., more than 95%, as being neutral. The models fine-tuned on MNLI or SNLI, however, identified a large proportion of premisehypothesis pairs, i.e., more than 32.8% and 69.3% respectively, as contradiction. To further evaluate the model performance, we acquired human annotation of the premise-hypothesis pairs that re-

м	odels	(0/)	Random Pair		
IVI	odels	acc (%)	MNLI	SNLI	
	BERT	61.5			
MNLI	ALBERT	56.7			
	RoBERTa	56.0			
SNLI	BERT	22.1			
	ALBERT	27.4			
	RoBERTa	21.2			
	BERT	99.3			
SICK	ALBERT	97.4			
	RoBERTa	99.9			
	🔲 ent	ailment 🔲	neutral 📃	contradictio	

Table 1: Model performance on *Random Pair*. The percent of premise-hypothesis pairs identified as entailment, neutral, and contradiction were shown in blue, red, and yellow, respectively.

ceived the highest scores for contradiction under each model, and more than 90% of these premisehypothesis pairs were manually annotated as neutral (Appendix Table 3). These results suggested that the transformer-based models fine-tuned on MNLI or SNLI were inaccurate when a hypothesis was unrelated to the premise.

3.2 Model performance on Simple Pair

Models fine-tuned on MNLI or SNLI performed poorly on *Random Pair*, and one potential reason was that the sentences in *Random Pair* were selected from MNLI or SNLI, which were complex and therefore challenging to interpret. In the following, we tried to tease apart the ability to infer the relationship between sentences and the ability to interpret complex sentences by testing model performance on syntactically/semantically simple sentences. Model performance on *Simple Pair* was

Prem	Premise: N ₁ is A ₁							Premise: $S_1 V_1 O_1$							
N	Iodels	acc (%)	N_2 is A_1	N_1 is A_2	$N_2 \mbox{ is } A_2$	$N_2 \text{ not } A_1$	$N_1 not A_2$	$N_2 not A_2$	N	[odels	acc (%)	$S_2V_1O_1$	$S_1V_2O_1$	$S_1 V_1 O_2$	$O_1 V_1 S_1$
	BERT	20.4								BERT	11.4				
MNLI	ALBERT	23.7							MNLI	ALBERT	9.8				
	RoBERTa	18.0								RoBERTa	8.9				
	BERT	20.1							_	BERT	9.8				
SNLI	ALBERT	18.8							SNLI	ALBERT	17.2				
	RoBERTa	11.0								RoBERTa	19.5				
	BERT	42.4							-	BERT	13.1				
SICK	ALBERT	94.9							SICK	ALBERT	91.6				
	RoBERTa	96.2								RoBERTa	65.8				
												entailment	neutr	al 🗖	contradiction

Table 2: Performance on the simple-sentence set in *Simple Pair*. In *Simple Pair*, each premise is paired with a few hypotheses and each hypothesis is shown in a column. The relationship between all premise-hypothesis pairs, when correctly identified, is neutral.

shown in Tables 2 and 3, for the simple-sentence set and the conjunction-sentence set, respectively.

For the simple-sentence set, we constructed neutral hypotheses by replacing at least one constituent in the premise (e.g., [S] or [V] in an SVO sentence, [N] or [A] in a N-is-A sentence) with a different word. It was found that models fine-tuned on MNLI or SNLI identified the relationship between a large proportion of premise-hypothesis pairs as contradiction, especially when the subjects were different between the hypothesis and the premise. For example, the models judged that "*The apple is expensive*" contradicts "*The banana is expensive*". Similarly, the model judged that "*The professor saw the dog*" contradicts "*The student saw the dog*".

For the conjunction-sentence set, we constructed neutral hypotheses by breaking the compositional binding relation between a subject and a predicate in the hypothesis (see Figure 3). Model performance was similar for conjunction sentences constructed using SVO or N-is-A sentences. The results showed that all the models tested here failed to understand the fundamental compositional binding relation between a subject and a predicate. The error rate of the models fine-tuned on MNLI or SNLI was near 100%. For example, these models consistently made the incorrect judgment that "The apple is expensive and the orange is sweet" entails "The apple is sweet". This suggests that the models are confused as to which subject should be paired with which predicate (i.e. the compositional binding failure). The models also judged the same premise to contradict "The apple is not sweet", again suggesting a composition problem: after the models have wrongly allowed the composition of "The apple is sweet" based on the premise, this inference will now be in contradiction to the hypothesis "The apple is not sweet", assuming that the models have

the ability to distinguish "*sweet*" and "*not sweet*" as describing two opposite properties.

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We also introduced negation into the premises to test if models could bind "not" with a positive predicate to form a more complex predicate. These conditions again revealed the composition failure problem on the models fine-tuned on MNLI or SNLI. For example, when the premise was "The apple is expensive and the orange is not sweet", the models tended to judge that the premise entailed "The apple is not sweet" but contradicted "The orange is not expensive". This suggests that the models can correctly combine "not" with "sweet" to form a new predicate, but they still freely (and wrongly) paired up the subject nouns and the predicates in the premise. As a result, the models allowed one subject noun in the premise "the apple" to be composed with the predicate "not sweet", and also allowed the other subject noun in the premise "the orange" to be composed with "expensive".

3.3 Why do model fine-tuned on SICK perform better?

Compared with large-scale datasets such as MNLI and SNLI, SICK was a small dataset that was automatically generated. However, models fine-tuned on SICK performed better on our test sets compared with models fine-tuned on MNLI or SNLI. Samples in SICK were constructed using eleven expansion rules (Appendix Table 4), and we hypothesized that only some expansion rules were critical to boost model performance on our test sets. In the following, we analyzed the impact of each expansion rule by removing samples from SICK corresponding to the rule. To simplify this analysis, we only considered the ALBERT model which achieved the best performance on most of our test sets. We first removed the samples con-

Prem	ise: N ₁ is A	A ₁ . N ₂ is	A ₂ .				Prem	ise: N ₁ is A	A ₁ and N	2 is A2.			
M	odels	acc (%)	N_2 is A_1	N_1 is A_2	N2 not A1	$N_1 \text{ not } A_2$	M	[odels	acc (%)	N_2 is A_1	N_1 is A_2	$N_2 \text{ not } A_1$	$N_1 \text{ not } A_2$
	BERT	0.004						BERT	0.05				
MNLI	ALBERT	0.1					MNLI	ALBERT	1.2				
	RoBERTa	0.7						RoBERTa	1.1				
	BERT	0.02						BERT	0.2				
SNLI	ALBERT	0.04					SNLI	ALBERT	0.2				
	RoBERTa	0.4						RoBERTa	1.5				
	BERT	0.01						BERT	0.07				
SICK	ALBERT	53.4					SICK	ALBERT	55.7				
	RoBERTa	15.0						RoBERTa	18.3				
Prem	ise: N ₁ no	t A ₁ . N ₂ i	s A ₂ .				Prem	ise: N ₁ is A	A ₁ and N	2 not A2.			
М	odels	acc (%)	N_2 is A_1	N_1 is A_2	N_2 not A_1	$N_1 not A_2$	M	lodels	acc (%)	N_2 is A_1	N_1 is A_2	$N_2 \operatorname{not} A_1$	$N_1 \text{ not } A_2$
	BERT	0.1						BERT	0.6				
MNLI	ALBERT	0.4					MNLI	ALBERT	0.5				
	RoBERTa	0.6						RoBERTa	0.6				
	BERT	0.4						BERT	0.2				
SNLI	ALBERT	0.1					SNLI	ALBERT	0.2				
	RoBERTa	0.9						RoBERTa	0.6				
	BERT	0.02						BERT	0.02				
SICK	ALBERT	59.2					SICK	ALBERT	66.9				
	RoBERTa	30.2						RoBERTa	28.9				
Prem	ise: S ₁ V ₁	$A_1. S_2 V_2$	A ₂ .				Prem	ise: S ₁ V ₁	A ₁ and S	₂ V ₂ A ₂ .			
M	odels	acc (%)	$S_2V_1O_1$	$S_1V_2O_2$	S_2 not V_1 O	$P_1 S_1 \text{ not } V_2 O_2$	M	lodels	acc (%)	$S_2V_1O_1$	$S_1V_2O_2$	S ₂ not V ₁ O	S ₁ not V ₂ O ₂
	BERT	0.0						BERT	0.0				
MNLI	ALBERT	0.1					MNLI	ALBERT	0.2				
	RoBERTa	1.5						RoBERTa	1.5				
	BERT	0.9						BERT	1.0				
SNLI	ALBERT	0.1					SNLI	ALBERT	0.0				
	RoBERTa	1.2						RoBERTa	0.1				
	BERT	0.0						BERT	0.0				
SICK	ALBERT	57.4					SICK	ALBERT	58.9				
	RoBERTa	57.7						RoBERTa	60.8				
Prem	ise: S ₁ not	V ₁ A ₁ . S	₂ V ₂ A ₂ .					ise: $S_1 V_1$	A ₁ and S	2 not V ₂ A	A ₂ .		
M	odels	acc (%)	$S_2V_1O_1$	$S_1V_2O_2$	S ₂ not V ₁ O	$O_1 S_1 \text{ not } V_2 O_2$	N	lodels	acc (%)	$S_2V_1O_1$	$S_1V_2O_2$	S ₂ not V ₁ O	S ₁ not V ₂ O
	BERT	0.1						BERT	0.2				
NOTT	ALBERT	0.3					MNLI	ALBERT	0.4				
MINLI		0.5											
MINLI	RoBERTa	1.0						RoBERTa	0.3				
	RoBERTa BERT	1.0 0.3						BERT	0.0				
	RoBERTa BERT ALBERT	1.0 0.3 0.0					SNLI	BERT ALBERT	0.0 0.1				
	RoBERTa BERT ALBERT RoBERTa	1.0 0.3 0.0 0.2					SNLI	BERT ALBERT RoBERTa	0.0 0.1 0.0				
SNLI	RoBERTa BERT ALBERT RoBERTa BERT	1.0 0.3 0.0 0.2 0.0						BERT ALBERT RoBERTa BERT	0.0 0.1 0.0 0.0				
SNLI	RoBERTa BERT ALBERT RoBERTa BERT ALBERT	1.0 0.3 0.0 0.2 0.0 76.9						BERT ALBERT RoBERTa BERT ALBERT	0.0 0.1 0.0 0.0 71.0				
SNLI	RoBERTa BERT ALBERT RoBERTa BERT	1.0 0.3 0.0 0.2 0.0						BERT ALBERT RoBERTa BERT	0.0 0.1 0.0 0.0				

Table 3: Performance on the conjunction-sentence set in Simple Pair. Each hypothesis is shown in a column. The relationship between all premise-hypothesis pairs, when correctly identified, is neutral.

sisting of unrelated premise-hypothesis pairs from SICK, since the Random Pair set was constructed using a similar rule. We fine-tuned ALBERT on the remaining samples in SICK, and the model finetuned this way performed worse on both Random Pair and Simple Pair (Table 4, first row). Nevertheless, the performance of this model was still better than models fine-tuned on MNLI or SNLI. We then removed each of the remaining 10 expansion rules at a time and fine-tune models using the rest 9 rules. It was found that the scramble-words rule and the replace-words rule were also important to maintain model performance (Table 4).

We next investigated whether the 3 rules critical

to maintaining model performance, i.e., unrelatedsentences rule, scramble-words rule, and replacewords rule, could be used to improve the performance of models fine-tuned on MNLI or SNLI. We randomly selected samples corresponding to the 3 rules with the constraint that the textual entailment labels of these samples were balanced. In total, 450 premise-hypothesis pairs (150 entailment + 150 neutral + 150 contradiction) were selected, and we used these 450 samples to re-fine-tune the models that were already fine-tuned on MNLI or SNLI. The parameters were shown in Appendix Table 5, and the re-fine-tuning process did not significantly decrease model performance on MNLI/SNLI (com-

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Removed type	Rando	m Pair		Simple Pair				
Kemoved type	MNLI	SNLI	SVO	A-is-B	P1.P2	P1-and-P2	P1.P2	P1-and-P2
Unrelated sentences	76.4(\18.6)	92.4(↓ 7.4)	76.6(↓15.0)	93.5(↓1.4)	26.4(\127.0)	48.0(\17.7)	38.3(\120.9)	45.6(121.3)
Replace words	92.5(\12.5)	97.7↓2.1)	14.9(↓76.7)	38.4(↓ 56.5)	1.3(↓52.1)	1.5(↓54.2)	0.5(↓ 58.7)	0.4(↓66.5)
Scramble words	99.6(†4.6)	99.7(↓0.1)	49.0(\12.6)	90.4(↓4.5)	0.6(↓ 52.8)	0.3(↓55.4)	1.6(↓57.6)	0.6(↓66.3)
Turn adjectives into relative clauses	99.5(†4.5)	99.9(†0.1)	70.6(\121.0)	92.9(\12.0)	5.8(↓47.6)	10.9(\44.8)	11.8(↓47.4)	19.8(↓47.1)
Replace quantifiers	89.8(↓5.2)	97.5(\12.3)	85.4(\$6.2)	84.5(\10.4)	17.9(\35.5)	28.0(\127.7)	18.1(↓41.1)	19.8(↓47.1
Change determiners with opposites	90.9(\4.1)	97.9(1.9)	77.4(\14.2)	99.0(†4.1)	14.2(\J39.2)	26.8(\28.9)	28.2(\J1.0)	45.4(↓21.5
Add modifiers	99.9(†4.9)	100.0(†0.2)	89. 9(↓1.7)	93.9(\1.0)	12.6(\$50.8)	21.5(↓34.2)	26.9(\J2.3)	37.2(\29.7
Turn active sentences into passive	99.9(†4.9)	100.0(†0.2)	84.8(↓6.6)	87.5(↓7.4)	8.2(↓45.2)	45.2(\10.5)	25.7(\133.5)	46.5(\20.4
Turn compounds into relative clauses	100.0(†5.0)	100.0(\0.2)	85.2(\6.4)	99.5(†4.6)	43.2(↓10.2)	56.3(†0.6)	44.2(↓15.0)	25.3(↓44.6
Turn passive sentences into active	99.8(†4.8)	100.0(†0.2)	86.9(\25.0)	98.2(†3.3)	11.9(↓41.5)	20.1(\]35.6)	65.1(†5.9)	86.7(↓10.2
Insert a negation	97.4(†2.4)	99.1(↓0.7)	77.4(\24.2)	99.6(†4.7)	59.1(†5.7)	61.4(†5.7)	85.8(†26.6)	97.8(†30.9

Table 4: Performance on *Simple Pair* for models fine-tuned on part of the samples in SICK. Each row shows the results when samples corresponding to an expansion rule are removed from the fine-tuning process. The numbers in parenthesis show the change in performance compared with the models fine-tuned on the original SICK dataset. For each test set, shown as a column, the largest decrease is shown in blue. The expansion rules are ordered based on the mean decrease averaged over test sets.

Mad	Models		Random Pair			Simple Pair			
Mod	leis	MNLI	SNLI	SVO	A-is-B	P1&P2	P1-and-P2	P1&P2	P1-and P2
	BERT	89.2 (†22.1)	83.4 (†59.1)	16.6(†5.2)	47.0(†26.6)	0.1(†0.1)	0.5(†0.5)	0.9(†0.8)	2.1(†1.5)
MNLI (re-fine-tune)	ALBERT	91.6(†28.3)	82.6(†55.0)	20.8(†11.0)	56.4(†32.7)	1.3(†1.2)	7.4(†6.2)	4.8(†4.4)	6.2(†5.7)
(re-inte-tune)	RoBERTa	89.1(†30.0)	88.9(†66.8)	20.3(†11.4)	57.1(†39.1)	8.8(†8.1)	10.1(†9.0)	8.6(†8.0)	4.8(†4.2)
SNLI (re-fine-tune)	BERT	63.4(†7.5)	60.1(†40.2)	21.8(†12.0)	46.3(†26.2)	0.4(†0.4)	1.0(†0.8)	2.5(†2.1)	1.8(1.6)
	ALBERT	59.1(†9.1)	54.6(†27.5)	26.1(†8.9)	37.3(†18.5)	0.2(†0.2)	0.7(†0.5)	0.7(†0.6)	1.3(†1.1)
	RoBERTa	55.0(†2.1)	50.0(†29.7)	30.7(†11.2)	26.9(†15.9)	3.4(†3.0)	6.5(†5.0)	1.9(†1.0)	5.4(†4.8)

Table 5: Performance of models re-fine-tuned based on 450 samples in SICK. The numbers in parenthesis show the change in performance compared with the models only fine-tuned on MNLI or SNLI.

paring Appendix Tables 1 and 5). Performance of the models receiving a re-fine-tuning process was shown in Table 5. It was found that the small number of samples selected from SICK can indeed significantly improve model performance on *Random Pair* and the simple-sentence set in *Simple Pair*. Performance on the conjunction-sentence set in *Simple Pair* was not significantly improved since models fine-tuned on the original SICK datasets performance also performed poorly on the conjunction sentences.

4 Related work

Transformer-based models have achieved humanlevel performance on many NLI datasets such as MNLI, SNLI, and SICK (Devlin et al., 2019; Lan et al., 2019; Liu et al., 2019; Nangia and Bowman, 2019). The good performance seems to suggest that these models possess the ability to interpret sentences in the current datasets and generate correct inferences. Accordingly, follow-up work aimed at constructing even more challenging datasets to train and test the models (Nie et al., 2020; Liu et al., 2021). There is also a growing body of work that constructs datasets to test more fine-grained linguistically motivated inference patterns such as pragmatic inferences and numerical reasoning (Ravichander et al., 2019; Jeretic et al., 2020) or correlate model errors with well-defined linguistic phenomena (Naik et al., 2018), with the purpose to identify whether models have trouble making certain types of inferences. Compared with these studies, the current work took a different approach: by intentionally reducing the difficulty of the test material, we aim to uncover whether models can truly infer the meaning of simple sentences. The results show models perform poorly inferring the meaning of basic SVO and N-is-A sentences.

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In the meantime, many studies have discussed the potential danger of overfitting on benchmark datasets, and emphasized the need to more accurately evaluate the true language capacity of various models (Smith, 2012; Talman and Chatzikyriakidis, 2019; Sinha et al., 2021; Poliak, 2020). For example, it has been shown that models can guess the relationship between a premise and a hypothesis with the accuracy higher than chance level, even when just considering the hypothesis (Gururangan et al., 2018). A recent study has also shown that the current models fail to generalize across different datasets (Talman and Chatzikyriakidis, 2019). The concern of overfitting also arises for other NLP tasks (Jia and Liang, 2017; Wallace et al., 2019; Sugawara et al., 2020; Lin et al., 2021). For example, it has been found that models can give the correct answer reading comprehension questions even when crucial information is removed so that the questions are no longer answerable (Poliak et al., 2018; Gururangan et al., 2018; Si et al., 2019; Berzak et al., 2020; Kaushik and Lipton, 2018). Here, by randomly shuffling the premises and hypotheses in MNLI and SNLI, we provide additional evidence that existing models are severely over-fitted and tend to judge the relationship between two randomly paired sentences to be contradictory.

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The current work differs from previous studies in two major aspects. First, we propose a new method to extend existing datasets to create new samples that are minimally affected by nonhumanlike heuristics. Relatedly, the study in Wang et al. (2019) switched the premise and hypothesis and used the switched pairs to test NLI models; but by randomly pairing premises and hypotheses, we were able to generate a much larger dataset. Our method can be combined with the method by Wang et al. (2019) to further increase the size of datasets and reduce the inherent statistical biases. Second, we constructed a large set of simple sentences to test models. Most current datasets are composed of syntactically complicated sentences and it is usually difficult to isolate specific linguistic constructs from these sentences (Naik et al., 2018). In our study, the sentences are simple enough so that the mechanisms to understand (or fail to understand) them are relatively transparent.

Related to the *Simple Pair* set, a recent study constructs a test set, i.e., HANS, based on 3 rules so that the hypothesis overlaps with the premise in terms of words, subsequences, or constituents 482 (McCoy et al., 2019). All our test samples belong 483 to the word overlapping case defined by McCoy 484 et al. (2019), but the results clearly differ, e.g., be-485 tween simple and conjunction sentences, suggest-486 ing that word overlap cannot fully explain model 487 performance. Some samples in HANS are simi-488 lar to the samples in Simple Pair, e.g., when the 489 subject and object of a sentence are swapped. Mc-490 Coy et al. (2019) found that BERT fine-tuned on 491 MNLI predicts that the original sentence entails the 492 subject-object swapped sentence, and the current 493 study replicates this phenomenon (Table 2, upper 494 right), but we also show that the results strongly 495 depend on the model and training set. Furthermore, 496 we show that incorporating a small number of sam-497 ples from SICK can improve model performance. 498 Finally, a new and important finding of the current 499 results is that current models have substantial dif-500 ficulty solving the compositional binding problem 501 for conjunction sentences. 502

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5 Conclusion

In summary, since existing models seem to have shown good performance on mainstream NLI datasets such as MNLI and SNLI, the received wisdom is that these models are capable of doing at least some sophisticated inferences, and more progress can be made by evaluating them on even more challenging datasets (Nie et al., 2020; Liu et al., 2021). The current study, however, shows that models achieving good performance on mainstream datasets do not necessarily generalize to simpler datasets. In fact, models fine-tuned on MNLI/SNLI generally have lower than chance level performance when predicting the relationship between simple sentences. The results here show that combining part of the automatically generated samples with large-scale human-created datasets such as MNLI and SNLI can potentially increase model's ability to generalize to simpler test samples while largely maintaining the performance on challenging samples. Nevertheless, even with the current approach to combine datasets, all the models still could not bind the subject noun with the correct predicate in conjunctive sentences. Future studies should develop both the design properties of these models and the properties of the training datasets to achieve more robust NLI performance.

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A Appendices

Train data	MNLI/SNLI/SICK	MNLI/SNLI/SICK	MNLI/SNLI/SICK
Train model	BERT-base	ALBERT-base	RoBERTa-base
Learning rate	2e-5/3e-5/2e-5	2e-5/2e-5/2e-5	2e-5/2e-5/2e-5
Train epochs	3/2/5	3/2/5	3/3/5
Batch size	32/32/32	32/32/32	32/32/32
Weight decay	0.01/0.1/0.1	0/0.01/0.1	0.1/0.01/0.1
Test accuracy	84.04/83.93 (-m/-mm)	85.04/85.12 (-m/-mm)	87.84/87.62 (-m/-mm)
Test accuracy	89.44/86.63	90.05/89.45	91.03/89.35

Appendix Table 1: Hyper-parameters for fine-tuning models. The performance of models on MNLI, SNLI, and SICK test sets is shown in the last row.

Premise		The N_1 is A_1 .	The apple is expensive.					
Hypothesis								
The N_2 is A_1 .	The N_1 is A_2 .	The N_2 is A_2 .	The N_2 is not A_1 .	The N_1 is not A_2	The N_2 is not A_2 .			
The <mark>pear</mark> is expensive. T	The apple is <mark>sweet</mark> .	The pear is sweet.	The pear is not expensive.	The apple is not sw	reet. The pear is not swee			
Premise		The $S_1 V_1$ the O	. The student saw the dog.					
Hypothesis								
The S_2 V ₁ the O ₁ .		The $S_1 V_2$ the O_1 .	The $S_1 V_1$ th	e O ₂ .	The $O_1 V_1$ the S_1 .			
The professor saw the dog.		he student <mark>lost</mark> the dog.	The student lost	the <mark>key</mark> .	The dog saw the student.			
Premise	The N_1 is A_1 .	The N_2 is A_2 .	The apple is expensive	e. The pear is sweet.				
	The N_1 is not.	A_1 . The N_2 is A_2 .	The apple is not expen	sive and the pear is s	weet.			
	The N_1 is A_1 a	and the N_2 is A_2 .	The apple is expensive and the pear is sweet.					
	The N_1 is not.	A_1 and the N_2 is not A_2 .	A ₂ . The apple is expensive and the pear is not sweet.					
Hypothesis								
The N_2 is A_1 .		The N_1 is A_2 .	The N ₂ is no	t A_1 .	The N_1 is not A_2 .			
The pear is expension	ive.	The apple is sweet.	The pear is not e	xpensive.	The apple is not <i>sweet</i> .			
Premise	The $S_1 V_1 O_1$.	The $S_2 V_2 O_2$.	The student saw the dog. The professor lost the key.					
	The S ₁ did not	t $V_1 O_1$. The $S_2 V_2 O_2$.	The student did not see the dog. The professor lost the key.					
	The $S_1 V_1 O_1$	and the $S_2 V_2 O_2$.	The student saw the do	og and the professor lo	ost the key.			
	The $S_1 V_1 O_1$	and the S_2 did not V_2 O	2. The student saw the do	og and the professor d	lid not lose the key.			
Hypothesis								
The $S_2 V_1$ the O_1	1.	The $S_1 V_2$ the O_2 .	The <mark>S</mark> 2 did not V	T_1 the O_1 .	The \mathbf{S}_1 did not V_2 the O_2 .			
The professor saw the	e dog. T	he student lost the key.	The professor did no	t see the dog. The	student did not lose the key.			

Appendix Table 2: Examples of the Simple Pair set.

Samples	Random Pair			
Samples	MNLI	SNLI		
samples receive high contradiction scores				
	7.5 / 90.0 / 2.5	2.5 / 97.5 / 0		
samples receive high				
entailment scores	10.0 / 90.0 / 0	42.5 / 57.5 / 0		
🔲 enta	ilment 💻 neutral	contradiction		

Appendix Table 3: Human classification for premise-hypothesis pairs that received the highest scores for entailment or contradiction under each model. The percent of premise-hypothesis pairs classified as entailment, neutral, and contradiction were shown in blue, red, and yellow, respectively.

Rule	Size	Example		
Unrelated sentences	1197	Premise: <i>The man is dancing</i> . Hypothesis: <i>Three women are standing still</i> .		
Add modifiers	290	Premise: <i>An old man is sitting in a field.</i> Hypothesis: <i>A man is sitting in a field.</i>		
Scramble words	377	Premise: <i>A pan</i> is being dropped over the <i>meat</i> . Hypothesis: <i>The meat</i> is being dropped into a pan.		
Insert a negation	419	Premise: <i>The person is not slicing onions.</i> Hypothesis: <i>The person is slicing onions.</i>		
Replace words	1791	Premise: <i>A man in a cap is playing a harp.</i> Hypothesis: <i>A man in a hat is playing a harp.</i> Premise: <i>A few people are dancing.</i> Hypothesis: <i>A group of people are dancing.</i>		
Replace quantifiers	267			
Change determiners with opposites	608	Premise: <i>There is no group of people dancing</i> . Hypothesis: <i>A group of</i> people are dancing.		
Furn passive sentences into active	34	Premise: A woman is peeling the potato. Hypothesis: The potato is being peeled by a woman.		
Turn active sentences into passive	209	Premise: <i>An interview is being granted by the man.</i> Hypothesis: <i>The man is granting an interview.</i>		
Turn compounds into relative clauses	56	Premise: <i>A woman is using a machine made for sewing</i> Hypothesis: <i>A woman is using a sewing machine</i> .		
Furn adjectives into relative clauses	189	Premise: <i>A girl, who is little, is playing the piano.</i> Hypothesis: <i>A little girl is playing the piano.</i>		

Appendix Table 4: The types of premise-hypothesis pairs in SICK.

Train model	MNLI / SNLI	MNLI / SNLI	MNLI / SNLI
Irain model	BERT-base	ALBERT-base	RoBERTa-base
Learning rate	2e-6/2e-6	2e-6/2e-6	2e-6/2e-6
Train epochs	3/3	3/3	3/3
Batch size	16/16	16/16	16/16
Weight decay	0.01/0.01	0.01/0.01	0.01/0.01
Test accuracy	80.67 /81.02 (-m/-mm)	82.02/82.60 (-m/-mm)	84.65/84.54 (-m/-mm)
rest accuracy	/88.97	/88.63	/89.88

Appendix Table 5: Hyper-parameters for re-fine-tuning models. The performance of models on MNLI, and SNLI test sets is shown in the last row.