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## Prune 'n Predict: Optimizing LLM Decision-making with Conformal Prediction

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### Abstract

Large language models (LLMs) are empowering decision-making in several applications, including tool or API usage and answering multiplechoice questions (MCOs). However, incorrect outputs pose significant risks in high-stakes domains like healthcare and finance. To quantify LLM uncertainty and thereby mitigate these risks, recent works employ conformal prediction (CP), a model- and distribution-agnostic framework that uses LLM outputs to generate a prediction set containing the true answer with high probability. Leveraging CP, we propose conformal revision of questions (CROQ), which revises the question by narrowing down the available choices to those in the prediction set and asking the LLM the revised question. We expect LLMs to be more accurate on revised questions with fewer choices. Furthermore, we expect CROQ to be effective when the prediction sets from CP are small. Commonly used logit scores often lead to large sets, diminishing CROO's effectiveness. To overcome this, we propose CP-OPT, an optimization framework to learn scores that minimize set sizes while maintaining coverage. Our extensive experiments on MMLU, ToolAlpaca, and TruthfulQA datasets with multiple LLMs show that CROQ improves accuracy over the standard inference, with more pronounced gains when paired with CP-OPT.

#### 1. Introduction

Large language models (LLMs) (Touvron et al., 2023; Databricks, 2024; Abdin et al., 2024) have demonstrated remarkable capabilities in various decision-making tasks, including multi-choice question answering and tool usage, where the model must select the correct tool or API to complete a task (Qu et al., 2024; Tang et al., 2023; Hendrycks



Figure 1: Accuracy for three LLMs on the TruthfulQA dataset with 15 response options as a function of the number of incorrect answer options (distractors) removed from the prompt. As more distractor answers are eliminated, accuracy increases. Accuracy is averaged across 5 iterations, error bars denote  $\pm 2$  standard deviations.

et al., 2021). However, LLMs often exhibit overconfidence in wrong answers (Krause et al., 2023; Groot & Valdenegro Toro, 2024). Such unreliable predictions entail significant risks in critical domains like finance. Successful usage in such settings demands principled solutions to improve accuracy and quantify uncertainty in the predictions.

A commonly taught strategy for a human test taker to solve multi-choice questions (MCQs) is the process of elimination (pruning) of incorrect (distractor) answer choices. The underlying principle is that this enables them to focus their attention on the remaining answer choices, and it increases the likelihood of a correct answer even if they have to guess randomly. Inspired by this, we investigate whether LLMs can benefit from a similar strategy.

We first examine the relationship between the number of distractor answers and LLM accuracy on a MCQ task. Figure 1 illustrates accuracy for three different LLMs on a version of TruthfulQA, a widely used MCQ dataset. The MCQs in this version of TruthfulQA have 15 answer options, only one of which is correct. (We discuss how this dataset is constructed in Appendix D.2.) For each question, we repeatedly prompt the LLM, randomly eliminating one distractor answer at a time. Each prompt is independent, without any previous rounds included in the context. As hypothesized,

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- reducing the number of response options leads to an improvement in accuracy, and this improvement is very nearly monotone. This suggests that eliminating distractor answers
  before prompting the LLM can indeed enhance accuracy. Of course, when pruning answers, we do not want to eliminate the correct answer, since that would necessarily cause the LLM to get the MCQ wrong.
- Conformal prediction (CP) (Vovk et al., 2005) is a flexible framework that can be used to prune distractor answers while retaining the correct answer with high probability. CP is a model-agnostic and distribution-free technique for generating prediction sets which contain the correct answer with a user-specified probability (e.g. 95%), which is referred to as the *coverage guarantee*.
- 070 Utilizing this guarantee of CP, we propose a procedure called conformal revision of questions (CROQ), to revise MCOs with choices in a prediction set output by CP. This procedure represents a tradeoff: with some small probabil-074 ity (e.g. 5%), we may remove the correct answer from the 075 prediction set, causing the LLM to get the question wrong. 076 However, with high probability (e.g. 95%), we will retain 077 the correct answer while reducing the number of distrac-078 tor answers. Given the relationship observed in Figure 1, 079 this should increase the LLM's accuracy on those questions. Different coverage rates naturally induce different tradeoffs. 081 Overall, we hypothesize that we can find a coverage rate 082 with a favorable tradeoff, such that CROQ improves the 083 overall accuracy on a given MCQ task.

CROQ's effectiveness depends on the size of the prediction 085 sets from conformal prediction - smaller sets mean fewer 086 choices in the revised question and hence better final ac-087 curacy. Conformal prediction requires specifying a score 088 function, which loosely speaking quantifies how plausible 089 an output (answer option) is for a given input (question). 090 While conformal prediction provides a coverage guaran-091 tee for any score function, the size of the prediction sets 092 depends on the score function. As an example, a random 093 score function will yield output sets that constitute random 094 subsets of the label space that are large enough to satisfy the 095 coverage guarantee (Angelopoulos & Bates, 2022). 096

097 Previous works that apply conformal prediction in MCQ-098 type settings have used readily available scores such as the 099 logits (or softmax values) output from the LLM (Kumar 100 et al., 2023) or have designed heuristic scores based for example on repeated querying of the LLM (Su et al., 2024). Logits can be overconfident and may show biases for some options (Zheng et al., 2024), and heuristic scores are not 104 guaranteed to produce small sets. Thus, in order to make 105 CROQ as effective as possible, we propose CP-OPT (confor-106 mal prediction optimization), a principled solution to obtain scores that are designed to minimize set sizes (uncertainty) while preserving the coverage guarantee.

To summarize, our main contributions are as follows:

- 1. We propose the conformal revision of questions (CROQ), in which we prune the answer choices in an MCQ to those in the prediction set output by conformal prediction and then prompt the LLM with the revised question. Empirical evaluation shows that this approach consistently improves accuracy compared to prompting the LLM with the original MCQ.
- 2. We design a score function optimization framework (CP-OPT) that can be applied to any pre-trained LLM. Moving away from the potentially unreliable LLM logits and heuristic scores, our framework provides a principled way to learn scores for conformal prediction. Empirically, we show that our procedure leads to a reduction in average set sizes compared to the baseline procedure that uses the LLM logits as the scores, at the same level (95%) of coverage.
- 3. We further show that when used with CROQ, our CP-OPT scores deliver greater accuracy improvements over baseline than the LLM's logits.

#### 2. Preliminaries

In this section, we provide background on solving MCQ tasks with LLMs and conformal prediction.

#### 2.1. Multiple Choice Questions (MCQs) and LLMs

**MCQ Setup.** MCQs are a general abstraction for expressing problems in which the correct choice(s) must be selected from a given set of choices. These encompass questionanswering tasks like MMLU (Hendrycks et al., 2021) as well as other tasks such as tool learning, in which the LLM must select the correct tool or API to complete a task (Tang et al., 2023; Qu et al., 2024). An MCQ consists of the question text Q, i.e. a sequence of tokens, and a set of answer choices  $O = \{(Y_1, V_1), (Y_2, V_2), \dots, (Y_m, V_m)\}$ . Here, each  $Y_j$ is a unique character from the English alphabet, and we assume that the number of choices m is less than or equal to the size of the alphabet. Each  $V_i$  is the option text for the *j*th option. Denote the whole MCQ instance as x = (Q, O). Let  $\mathcal{X}_m$  denote the space of MCQs with m choices and  $\mathbb{P}_{\mathcal{X}_m}$  denote a distribution over  $\mathcal{X}_m$ , from which samples for training, calibration, and testing are drawn independently. Here, we assume that for each question Q there is only one correct answer key  $y^* \in \{Y_1, Y_2, \dots, Y_m\} = \mathcal{Y}_m$ .

**MCQ Prompt.** We concatenate the question text Q and the answer choices O, all separated by a new line character, and append to the end the text "The correct answer is:". The expectation is that given this input prompt, the next token predicted by the LLM will be one of the option keys. See Appendix D for a prompt example. We consider

110 zero-shot prompts and do not include example questions 111 and answers in the prompt. We also add the prefix and 112 suffix tokens to the prompt as recommended by the language 113 model providers. Since these are fixed modifications to x, 114 we will use x to denote the final prompt and the MCQ 115 instance analogously.

116 LLM Inference. We run the forward pass of the auto-117 regressive LLM (Touvron et al., 2023; Dubey et al., 2024; 118 Abdin et al., 2024) on the input prompt to obtain the logit 119 scores for each possible next token given the prompt, restrict-120 ing attention to the tokens that correspond to the available 121 answer keys (e.g. "a", "b", "c", "d" if there are four answer 122 options). We take the softmax to convert the logits to proba-123 bilities, and then we take as the LLM's answer the option 124 with the highest probability. This approach ensures that the 125 LLM's answer will be one of the available answer options, 126 which would not be guaranteed if instead we asked the LLM 127 to simply generate an answer token given the prompt. This 128 approach mirrors what has been done in other works that 129 use LLMs to solve MCQs (Kumar et al., 2023; Su et al., 130 2024). Formal details are given in Appendix A.1. 131

# 132133 2.2. Conformal Prediction

134 Conformal prediction (CP) (Vovk et al., 2005; Angelopoulos 135 et al., 2022) is a framework for quantifying uncertainty in 136 machine learning models. It provides a flexible and user-137 friendly approach to output *prediction sets* (which may be 138 finite sets or intervals) that contain the true output or label 139 with a probability that is specified by the user, e.g. 95%. The 140 key strength of conformal prediction lies in its distribution-141 free guarantees: it ensures that the constructed prediction 142 sets are valid regardless of the underlying data distribution 143 and model. This property is particularly desirable in the 144 context of language models, as it is hard to characterize 145 language data distributions or put specific distributional 146 assumptions/restrictions on the LLMs. 147

**Score Function.** Let  $g : \mathcal{X}_m \times \mathcal{Y}_m \mapsto \mathbb{R}$  be a conformal 148 score function, where larger scores indicate better agreement 149 ("conformity") between x and y. Intuitively, large scores 150 are intended to indicate that y is a plausible output given 151 x, while smaller scores indicate less plausibility. (Note that 152 some authors prefer to have larger scores indicate greater 153 disagreement, e.g. Clarkson et al. (2024).) A common 154 choice of score function is the softmax scores from the 155 given model. For closed-source LLMs, where logits are not 156 available, others have devised self-consistency scores based 157 on repeated querying of the model (Su et al., 2024). 158

159 **Prediction Sets.** Given a score function g and threshold  $\tau$ 160 on the scores, the prediction set for any  $x \in \mathcal{X}_m$  is given by 161

$$C(x; g, \tau) := \{ y \in \mathcal{Y}_m : g(x, y) \ge \tau \}.$$

$$(1)$$

163 Intuitively, larger sets represent greater uncertainty, while

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smaller sets represent less uncertainty. Given a fixed confidence level, a score function that produces larger sets can be said to result in greater uncertainty.

**Split Conformal Prediction.** Similar to prior works (Kumar et al., 2023; Su et al., 2024), we use *Split Conformal Prediction* (Papadopoulos et al., 2002; Lei et al., 2018) due to its popularity, ease of use, and computational efficiency. Given a score function  $g: \mathcal{X}_m \times \mathcal{Y}_m \mapsto \mathbb{R}$ , Split Conformal Prediction uses a calibration dataset  $D_{cal} = \{x_i, y_i^{\star}\}_{i=1}^{n_{cal}}$  to compute a threshold  $\hat{\tau}_{\alpha}$ , defined as

$$\hat{\tau}_{\alpha} := \min\left\{q : \widehat{F}_g(q) \ge \frac{\lfloor (n_{\text{cal}} + 1)\alpha \rfloor}{n_{\text{cal}}}\right\}, \qquad (2)$$

where,  $\widehat{F}_g(q) := \frac{1}{n_{cal}} \sum_{i=1}^{n_{cal}} \mathbbm{1} (g(x_i, y_i^{\star}) \leq q)$  is the empirical CDF (cumulative distribution function) of scores from g and  $\alpha \in [0, 1]$  is a user-chosen *miscoverage rate* that is equal to 1 minus the desired coverage; for example, a value of  $\alpha = 0.05$  would correspond to a coverage of 95%. In words,  $\hat{\tau}_{\alpha}$  is the smallest empirical quantile of the scores for the correct answers on the calibration dataset that is sufficient to satisfy (an empirical version of) the coverage property. The threshold  $\hat{\tau}_{\alpha}$  is used to construct prediction sets  $C(x; g, \hat{\tau}_{\alpha})$  on previously unseen test points as in (1). This procedure enjoys a marginal coverage guarantee for prediction sets on unseen test data points, formalized as Proposition 2.1.

**Proposition 2.1.** (Marginal Coverage Guarantee) (Lei et al., 2018, Thm. 2.2) Let g be a fixed conformity score function and  $\hat{\tau}_{\alpha}$  be an  $\alpha$  threshold computed via Split Conformal Prediction on  $D_{cal} = \{x_i, y_i^*\}_{i=1}^{n_{cal}} \sim \mathbb{P}_{\mathcal{X}_m \times \mathcal{Y}_m}$ . Then, for a new sample  $(\tilde{x}, \tilde{y}^*) \sim \mathbb{P}_{\mathcal{X}_m \times \mathcal{Y}_m}$ , we have that

$$\mathbb{P}(\tilde{y}^{\star} \in C(\tilde{x}; g, \hat{\tau}_{\alpha})) \ge 1 - \alpha.$$
(3)

where the probability is marginal over the randomness in the calibration data and the new sample.

The top half of Figure 2 illustrates conformal prediction for answering MCQs with LLMs. While the coverage guarantee in Proposition 2.1 holds for any score function, ideally we would like a score function that yields the smallest sets possible (the least uncertainty). Next, we discuss our solutions to improve conformal prediction and its utility in solving MCQs with LLMs.

#### 3. Methodology

In this section, we discuss details of our pipeline for question revision using conformal prediction and our procedure to generate optimal conformal scores.

#### 3.1. Conformal Revision of Questions (CROQ)

The procedure involves prompting the LLM with the reduced answer options from a conformal prediction set. The

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Figure 2: (CROQ) Illustration of conformal revision of questions and prompting the LLM with the revised question. In this example, the initial predicted set by LLM + conformal prediction (CP) is {C, D}. The question and labels are revised to contain only the answer choices in the prediction set and the LLM is prompted with the revised question. Since CP provides rigorous coverage guarantees, we expect that re-prompting the LLM with reduced answer choices will improve the chances of obtaining the correct answer. See Section 3.1 for more details.

steps are illustrated with an example in Figure 2.

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190 Scores and Threshold for Conformal Prediction. We first 191 fix a score function  $g : \mathcal{X}_m \times \mathcal{Y}_m \mapsto \mathbb{R}$ . Here we restrict 192 the score function to either the logits generated by the LLM 193 or the CP-OPT scores discussed in Section 3.2. We then run 194 the split conformal procedure with coverage level  $1 - \alpha$  for 195 some  $\alpha \in [0, 1]$  to estimate the threshold  $\hat{\tau}_{\alpha}$ . CROQ then 196 proceeds as follows.

198 Step 1: Get Conformal Prediction Set. Given a 199 test instance x, we generate a first stage prediction set, 200  $C(x; g, \hat{\tau}_{\alpha})$ . Per the coverage guarantee (Proposition 2.1), 201 we expect that the true answer  $y^* \in C(x; g, \hat{\tau}_{\alpha})$  with prob-202 ability at least  $1 - \alpha$ . Next, the question is revised to contain 203 only the choices in the set  $C(x; g, \hat{\tau}_{\alpha})$ .

204 Step 2: Revise the Question and Ask the LLM. If the 205 first stage prediction set  $C(x; q, \hat{\tau}_{\alpha})$  is empty or is of size 206 1 or size m (the number of answer options), then we simply utilize the LLM's answer to the original MCQ x, as 208 described in section 2.1, since the conformal procedure has 209 yielded no additional information. Otherwise, we modify 210 the prompt x to x' = (Q, O'), where  $O' = \{(K_i, V_i) :$ 211  $K_j \in C(x; g, \hat{\tau}_{\alpha})$ . The keys in O' are changed so that 212 they start with the first letter of the alphabet and go to the letter corresponding to the number of choices available. For 214 example, if there were initially four answer options {a, b, 215 c, d}, and the conformal prediction set was  $\{c, d\}$ , then the 216 two options in the set would receive new keys  $\{a, b\}$ . Then 217 x' is transformed into a prompt format and passed to the 218 LLM, and the standard inference procedure (section 2.1) is 219

run to extract the predicted answer key  $\hat{y}'$ .

With fewer choices in the revised question, we expect LLMs will be more accurate in their answer compared to the answer to the initial question. However, the improvement in accuracy will depend on the size of the prediction sets. As illustrated in Figure 1 LLMs have a higher chance of answering the question correctly if the number of response options is small. This implies the efficacy of CROQ will depend on the size of sets  $C(x; g, \hat{\tau}_{\alpha})$ . If these sets are small, then we can expect more improvement from CROQ.

While conformal prediction can output sets  $C(x; g, \hat{\tau}_{\alpha})$  for any score function g, along with  $1 - \alpha$  coverage guarantee, the set sizes could be highly variable depending on the score function g. Noting the lack of reliability of scores used in prior works, that could yield unnecessarily large sets, we seek to learn scores that minimize the set sizes while preserving the coverage guarantee. We discuss our procedure to learn such scores in the next section. Using these scores in CP, we expect to get smaller sets and thus more improvement in CROQ compared to baseline scores.

### 3.2. CP-OPT to Optimize Scores

We describe our method for learning the optimal scores for conformal prediction (CP) for solving MCQs with LLMs. Similar ideas have been incorporated in the training objective of classifiers (Stutz et al., 2022) so that the classifiers' softmax output is better suited for CP. However, the LLMs are not trained with this objective, and we want to apply CP to any given LLM; therefore, we design a post-hoc method to optimize the scores. We first characterize the optimal scores and then describe how to estimate them in practice.

**Characterization of the optimal scores.** For any score function  $g : \mathcal{X}_m \times \mathcal{Y}_m \mapsto \mathbb{R}$  and threshold  $\tau$ , the membership of any y in the prediction set  $C(x; g, \tau)$  is given by  $\mathbb{1}(y \in C(x; g, \tau)) = \mathbb{1}\{g(x, y) \geq \tau\}$ . Define the expected set size  $S(g, \tau)$  and the coverage conditional on  $\tau$ , denoted  $\mathcal{P}(g, \tau)$ , as follows:

$$S(g,\tau) := \mathbb{E}_x \Big[ \sum_{y \in \mathcal{Y}_m} \mathbb{1}\{g(x,y) \ge \tau\} \Big].$$
(4)

$$\mathcal{P}(g,\tau) := \mathbb{E}_x \left[ \mathbb{1}\{g(x,y^\star) \ge \tau\} \right].$$
(5)

The optimal score function  $g^*$  and threshold  $\tau^*$  are defined (non-uniquely) to minimize the expected set size subject to the coverage  $\mathcal{P}(g,\tau)$  being at least  $1 - \alpha$ :

$$g^{\star}, \tau^{\star} := \underset{g:\mathcal{X}_m \times \mathcal{Y}_m \mapsto \mathbb{R}, \tau \in \mathbb{R}}{\arg\min} S(g,\tau) \text{ s.t. } \mathcal{P}(g,\tau) \ge 1 - \alpha.$$
(P1)

Practical Version with Differentiable Surrogates and Empirical Estimates. Problem (P1) characterizes optimal score functions and thresholds. However, in practice, we do not know the underlying distribution and thus do not have access to the quantities in (4) and (5). Instead, we obtain their estimates using a training sample  $D_{\text{train}} = \{(x_i, y_i^*)\}_{i=1}^{n_t}$ drawn independently from the same distribution:

$$\widehat{S}(g,\tau) := \frac{1}{n_t} \sum_{i=1}^{n_t} \sum_{y \in \mathcal{Y}_m} \mathbb{1}\{g(x_i, y) \ge \tau\}, \qquad (6)$$

$$\widehat{\mathcal{P}}(g,\tau) := \frac{1}{n_t} \sum_{i=1}^{n_t} \mathbb{1}\{g(x_i, y_i^{\star}) \ge \tau\}.$$
(7)

Using these plug-in estimators in problem (P1) yields a revised optimization problem. However, it is difficult to solve this problem as the objective and constraints are not differentiable. To make them differentiable, we introduce the following surrogates. Given g(x, y) and  $\tau$ , define the following sigmoid function with  $\beta > 0$ ,  $\sigma(x, y, g, \tau, \beta) :=$  $1/(1 + \exp(-\beta (g(x, y) - \tau))))$ . The sigmoid function pro-vides a differentiable approximation to the indicator variable for  $g(x,y) \geq \tau$ . The approximation is tighter with larger  $\beta$  i.e.,  $\sigma(x, y, g, \tau, \beta) \to \mathbb{1}\{g(x, y) \ge \tau\}$  as  $\beta \to \infty$ , and  $g(x,y) \geq \tau \iff \sigma(x,y,g,\tau) \geq 1/2$ . By using these sigmoid surrogates in equation (6), we obtain the following smooth plugin estimates,

$$\widetilde{S}(g,\tau) := \frac{1}{n_t} \sum_{i=1}^{n_t} \sum_{y \in \mathcal{Y}_m} \sigma(x_i, y, g, \tau, \beta).$$
(8)

$$\widetilde{\mathcal{P}}(g,\tau) := \frac{1}{n_t} \sum_{i=1}^{n_t} \sigma(x_i, y_i^\star, g, \tau, \beta).$$
(9)

It is easy to see that by the strong law of larger numbers and properties of the sigmoid function, as  $n_t, \beta \to \infty$ , the surrogate average set size and coverage will converge almost surely to their population versions, i.e.  $\widetilde{S}(g,\tau) \xrightarrow{a.s.} S(g,\tau)$  and  $\widetilde{\mathcal{P}}(g,\tau) \xrightarrow{a.s.} \mathcal{P}(g,\tau)$ . We replace the expected set size and marginal coverage by these smooth surrogates in (P1) and transform it into an unconstrained problem with a penalty term  $\lambda > 0$ . We also introduce  $\ell_2$  regularization to encourage low norm solutions. We optimize the score function g over a flexible space of functions  $\mathcal{G}$ , such as neural networks (NNs). The resulting problem (P2) is differentiable, and we solve it using stochastic gradient descent.

$$\tilde{g}, \tilde{\tau} := \operatorname*{arg\,min}_{g \in \mathcal{G}, \tau \in \mathbb{R}} \widetilde{S}(g, \tau) + \lambda \left( \widetilde{\mathcal{P}}(g, \tau) - 1 + \alpha \right)^2 \\ - \hat{\mathcal{C}}(g) + \lambda_1 \|g\|_2^2.$$
(P2)

Here,  $\hat{\mathcal{C}}(g) := \frac{1}{n_t} \sum_{i=1}^{n_t} \log(g(x_i, y_i^*))$  is the cross entropy term included to encourage higher scores for correct predictions, and the regularization term  $\lambda_1 ||g||_2^2$  is the squared norm over the parameters of g to promote low norm solutions. Solving (P2) yields a score function  $\tilde{g}$  and a threshold  $\tilde{\tau}$ . However,  $\tilde{\tau}$  may be biased, since it is estimated on the same data as  $\tilde{g}$ . Following the split conformal procedure, we therefore estimate a new threshold  $\hat{\tau}$  on a separate calibration dataset. Note that our framework is flexible and can work with any choice of features and function class for which the  $\ell_2$  norm can be calculated. We discuss the specific choice of features and  $\mathcal{G}$  used in this work.

**Specific choice of features and**  $\mathcal{G}$ . In practice, we want to use a flexible and easy-to-train function class for  $\mathcal{G}$ . As this is a post-hoc procedure and we want to avoid expensive fine-tuning. We use 3-layer neural networks with tanh activation as  $\mathcal{G}$  and use the LLM's logits and the penultimate layer's representations corresponding to the last token as input features to the g network. Let  $z \in \mathbb{R}^{d+m}$  be the concatenation of the LLM's penultimate layer's representations (d-dimensional) and logits (m-dimensional) for the last token. Our choice of  $\mathcal{G}$  for the experiments is defined as follows,

$$egin{aligned} \mathcal{G} &:= \{\, g: \mathbb{R}^{d_0} 
ightarrow \Delta^{m-1} \mid g(oldsymbol{z}) := \texttt{softmax}( & oldsymbol{W}_3 \texttt{tanh}(oldsymbol{W}_2 \texttt{tanh}(oldsymbol{W}_1(oldsymbol{z})))), & oldsymbol{W}_1 \in \mathbb{R}^{d_0 imes d_1}, oldsymbol{W}_2 \in \mathbb{R}^{d_1 imes d_2}, & oldsymbol{W}_3 \in \mathbb{R}^{d_2 imes m} \, \} \end{aligned}$$

Here,  $d_0 = d+m$ ,  $d_1 = (d+m)/2$ , and  $d_3 = (d+m)/4$  and  $\Delta^{m-1}$  is the m-1 dimensional probability simplex. This

275class for  $\mathcal{G}$  is flexible enough and the resulting optimization276problem is not computationally prohibitive to solve. More277complex (flexible) choices of  $\mathcal{G}$  could be used when we can278devote more compute to learning the score function.279

## 4. Experiments

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We conduct experiments on benchmark MCQ and tool usage
tasks with open-weight instruction-tuned models to test the
following hypotheses:

**H1.** CP-OPT scores in conformal prediction on MCQ tasks with LLMs yield a smaller average set size at the same level of coverage in comparison to using LLM logits.

H2. Conformal revision of questions (CROQ) improvesaccuracy over the standard inference procedure.

**H3.** CROQ with CP-OPT scores performs better than CROQ with logit scores.

## 4.1. Experimental Setup

We first describe the setup for the experiments and thendiscuss the results for the above hypotheses.

298 Datasets. We evaluate our hypotheses on 3 datasets: MMLU 299 (Hendrycks et al., 2021), TruthfulQA (Lin et al., 2022), 300 and ToolAlpaca (Tang et al., 2023). MMLU and Truth-301 fulQA are popular benchmark datasets for multiple-choice 302 questions. MMLU focuses on assessing multitask accu-303 racy; it contains multiple choice questions (MCQs) from 57 304 domains, including humanities, math, medicine, etc. Truth-305 fulQA evaluates an LLM's ability to answer truthfully and 306 avoid falsehoods that humans are susceptible to. ToolAlpaca 307 contains 3.9k tool-use instances from a multi-agent simu-308 lation environment, which we augment to a MCQ format. 309 Dataset descriptions and example questions and responses 310 are provided in Appendix D. 311

312 Models. We use auto-regressive language models based 313 on the transformer architecture. We choose instruction-314 tuned, open-weight, and small to medium-sized models, for 315 reproducibility and reduced computational cost. Specifi-316 cally, we use Llama-3-8B-Instruct by Meta (Dubey 317 et al., 2024), Phi-3-4k-mini-Instruct by Microsoft 318 (Abdin et al., 2024), and the gemma-2-9b-it-SimPO 319 model (Meng et al., 2024). For brevity, we use the short 320 names Llama-3, Phi-3, and Gemma-2 respectively for these 321 models. 322

**Choices of Scores.** We use the following scores for conformal prediction. (1) LLM Logits (Softmax) are extracted from the LLM as discussed in Section 2.1. These have been used in prior works (Kumar et al., 2023; Su et al., 2024). (2) CP-OPT (Ours) are the scores learned using the score optimization procedure discussed in Section 3.2. We use the train split for each dataset to learn these scores. The hyperparameter settings we used for CP-OPT are given in Appendix D.3. We omit the self-consistency based heuristic scores proposed by Su et al. (2024), as these require repeated inferences to get good estimates of the scores, and hence have a high computational cost.

We use the provided validation splits as our calibration datasets for the conformal procedure. For testing the hypotheses, we calibrate the conformal threshold for the coverage guarantee of 95%, i.e. we set the miscoverage rate  $\alpha$  to 0.05. In addition, we study CROQ with calibration in a range of  $\alpha$  values: {0.01, 0.02, 0.03, 0.04, 0.05, 0.06, 0.07, 0.08, 0.09, 0.1, 0.15, 0.2, 0.25, 0.3, 0.4, 0.5}. Performance is computed on test splits. The hyperparameters used to learn the score function using SGD are provided in table 21 in Appendix D.3.

**Statistical Significance.** We report the statistical significance of our results using paired sample t-tests, using asterisks (\*) to annotate results that are statistically significant at a 0.05 significance level. See Appendix C for details.

### 4.2. Discussion

H1. Improvement in conformal set sizes with our CP-OPT scores. We run the CP procedure using the LLM logits and CP-OPT scores and obtain conformal sets for points in the test sets. We compute the average set size and coverage for each dataset, model, and score combination. The results are in Table 1. As expected, in most settings (17 out of 27) we see a statistically significant reduction in the set sizes with our (CP-OPT) scores with similar coverage as logits. The reduction is more pronounced with a higher number of options. In a few settings (6/27), the reduction in set size is accompanied by a statistically significant decrease in coverage relative to using the logits. In the remaining 4/27 settings the differences are insignificant. Note that since the target coverage level is 95%, anything above 95% is over-coverage. We see that logits tend to over-cover and thus a drop in coverage is expected as long as it does not fall significantly below the desired level of 95% (this happens only in 2/27 settings). Overall, these results show CP-OPT's effectiveness in reducing set sizes while maintaining the target coverage level. In Appendix B, we provide histograms (e.g., Figure 6) of set sizes produced by logits and CP-OPT scores in all settings. These histograms show a clear pattern: CP-OPT scores produce fewer large sets and more small sets in comparison to logit scores.

**H2.** Accuracy improvement with conformal revision of *questions (CROQ).* Tables 2 and 5 show the accuracy before and after CROQ with logit and CP-OPT scores respectively. With the logit scores (Table 2), we see an increase in accuracy (by up to 6.43%) in 19 out of 27 settings, out of which 9 are statistically significant. In 8 of the settings, we see

Prune 'n Predict: Optimizing LLM Decision-making with Conformal Prediction

			Llan	na-3			Phi	-3			Gemi	na-2	
		Avg. S	et Size	Cove	rage	Avg. S	Set Size	Cove	rage	Avg. S	Set Size	Cove	rage
Dataset	# Opt.	Logits	Ours	Logits	Ours	Logits	Ours	Logits	Ours	Logits	Ours	Logits	Ours
	4	2.56	2.53*	95.75	95.57	2.21	2.16*	94.65	94.35	2.94	2.40*	95.16*	94.23
MMLU	10	5.53	4.90*	96.06*	95.45	4.36	4.36	94.11	94.09	7.79	6.08*	95.00*	94.04
	15	7.69	7.18*	95.42	95.06	6.64	6.52*	94.60	94.61	11.71	10.04*	94.58	94.58
	4	1.17	1.18	97.08	96.85	1.07	1.08	95.33	95.68	1.12	1.05*	95.68	95.44
ToolAlpaca	10	1.51	1.39*	95.21	95.56	1.25	1.20*	95.56	95.09	2.05	1.42*	95.56	94.51
	15	1.97	1.67*	96.50	96.03	1.68	1.54*	98.36*	97.20	3.54	1.77*	96.14	95.21
	4	3.34	2.69*	95.95*	92.41	2.85	2.53*	96.71	96.71	2.74	1.88*	96.46	95.44
TruthfulQA	10	7.06	6.41*	94.43	93.42	7.48	6.49*	98.48*	95.70	7.52	5.64*	95.44	97.22
	15	10.61	10.62	94.68	94.68	10.72	10.30*	95.44	96.46	11.23	9.35*	95.44	96.46

Table 1: Average set sizes and coverage rates (in percentages) for conformal prediction sets on the MMLU, ToolAlpaca, and TruthfulQA datasets using gemma-2-9b-it-SimPO (Gemma-2), Llama-3-8B-Instruct (Llama-3) and Phi-3-4k-mini-Instruct (Phi-3), with a target coverage level of 95%. Bold numbers indicate smaller average set sizes. Asterisks on the larger of a pair of numbers indicate where the difference in average set size or coverage is statistically significant at the 0.05 significance level.

			Llama-3			Phi-3			Gemma-2	
Model	# Opt.	Accuracy Before	Accuracy After	Gain	Accuracy Before	Accuracy After	Gain	Accuracy Before	Accuracy After	Gain
		$(a_1)$	$(a_1')$	$(a_1' - a_1)$	$(a_1)$	$(a'_1)$	$(a_1' - a_1)$	$(a_1)$	$(a'_1)$	$(a'_1 - a_1)$
	4	64.02	63.83	-0.19	70.27	69.08	-1.19	67.62	67.70	0.07
MMLU	10	54.82	56.29	1.47*	58.44	61.57	3.13*	53.80	53.93	0.13
	15	51.99	54.11	2.11*	53.48	58.09	4.62*	50.78	50.58	-0.20
	4	91.47	91.94	0.47	92.76	92.64	-0.12	93.46	93.11	-0.35
ToolAlpaca	10	85.16	88.67	3.50*	87.50	90.89	3.39*	87.73	89.60	1.87*
	15	81.43	87.85	6.43*	85.98	89.25	3.27*	87.97	88.55	0.58
	4	54.43	55.19	0.76	69.87	70.13	0.25	74.68	74.94	0.25
TruthfulQA	10	39.24	40.76	1.52	55.70	54.43	-1.27	56.46	56.20	-0.25
	15	37.22	37.22	0.00	46.84	46.33	-0.51	55.95	56.96	1.01

Table 2: [CROQ + logits]. Results on accuracy improvement with CROQ using logit scores. Here,  $a_1$ , and  $a'_1$  refer to the accuracy before CROQ and after CROQ, respectively. A positive gain implies CROQ improved accuracy in that setting.

			Llama-3			Phi-3			Gemma-2	
Model	# Opt.	Accuracy Logits	Accuracy CP-OPT	Gain	Accuracy Logits	Accuracy CP-OPT	Gain	Accuracy Logits	Accuracy CP-OPT	Gain
		$(a'_1)$	$(a_2')$	$(a'_2 - a'_1)$	$(a'_1)$	$(a_2')$	$(a'_2 - a'_1)$	$(a_1')$	$(a_2')$	$(a'_2 - a'_1)$
	4	63.83	63.67	-0.16	69.08	69.34	0.26	67.70	69.56	1.86*
MMLU	10	56.29	57.11	0.82*	61.57	61.05	-0.52	53.93	57.93	4.00*
	15	54.11	54.77	0.66*	58.09	58.15	0.06*	50.58	51.31	0.73
	4	91.94	91.82	-0.12	92.64	92.52	-0.12	93.11	93.57	0.46
ToolAlpaca	10	88.67	89.02	0.35*	90.89	91.00	0.11*	89.60	90.42	0.82*
	15	87.85	88.67	0.82*	89.25	89.95	0.70*	88.55	89.37	0.82
	4	55.19	55.44	0.25	70.13	69.87	-0.26	74.94	76.96	2.02
TruthfulQA	10	40.76	42.28	1.52	54.43	56.20	1.77	56.20	60.76	4.56*
	15	37.22	37.47	0.25	46.33	51.39	5.06*	56.96	57.72	0.76

Table 3: [CROQ + logits vs CROQ + CP-OPT]. Comparison of CP-OPT and logits on accuracy improvement with CROQ. Here,  $a'_1$ , and  $a'_2$  are the final accuracies after CROQ using logits and CP-OPT respectively (as in Tables 2 and 5. The gain  $a'_2 - a'_1$  is the difference between these two, with values indicating more improvement in CROQ with CP-OPT scores.

385 a small drop in accuracy (which is not statistically signifi-386 cant). Next, with CP-OPT scores (Table 5) we see accuracy 387 improvements (up to 7.24%) in 24 settings, of which 13 are 388 statistically significant. In the remaining 3 settings, we see a 389 non-significant drop in accuracy. Overall, we observe that in 390 the vast majority of the settings, CROQ improves accuracy with either logits or CP-OPT scores. The rare small drops 392 in accuracy could occur since the conformal procedure may eliminate the correct option with low probability ( $\alpha$ ).

H3. CROQ with CP-OPT scores is better than CROQ 395 with logit scores. CP-OPT scores are designed to minimize 396 set sizes while maintaining the coverage guarantee. As a 397 result, using these scores with CROQ is expected to reduce uncertainty for many questions, leading to fewer answer 399 options in the revised prompts. Based on Figure 1, we 400 expect LLMs to be more likely to answer correctly when 401 prompted with the revised question with fewer options. The 402 results of CROQ with CP-OPT are summarized in Table 403 5, and in Table 3 we compare the accuracies after CROQ 404 with logits and CP-OPT. In Table 3 we see that in 22 out of 405 27 settings, CROQ with CP-OPT results in higher accuracy 406 (up to 4.56%) than CROQ with logits. Furthermore, the 407 improvements in 12 out of these 22 settings are statistically 408 significant. The drop in accuracy in the remaining 5 settings 409 is statistically non-significant. Overall, the results show that 410 CROQ with CP-OPT is generally better than with logits. 411

We provide additional experiments on the MMLU-Pro dataset and the NL2SQL application in the appendix.

## 5. Related Work

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417 **Conformal Prediction for Uncertainty Quantification** 418 with LLMs. Recently there has been growing interest in 419 using conformal prediction to quantify and control uncer-420 tainty in LLM-related tasks. In the context of multi-choice 421 question answering (MCQ), previous works have investi-422 gated a variety of conformal score functions, including (the 423 softmax of) the LLM logits corresponding to the response 424 options (Kumar et al., 2023; Ren et al., 2023) or functions 425 thereof (Ye et al., 2024), confidence scores generated by the 426 LLM itself, or "self-consistency" scores derived by repeated 427 querying of the LLM (Su et al., 2024). We build on this 428 work by aiming to learn a conformal score function that 429 yields small conformal sets, rather than taking the score 430 function as given.

In addition to the MCQ setting, there has been recent work utilizing conformal prediction in the context of open-ended response generation (Quach et al., 2024; Mohri & Hashimoto, 2024; Cherian et al., 2024). This setting differs in that there is not necessarily a unique correct response, so the notion of coverage must be redefined around *acceptability* or *factuality* rather than correctness. When factuality

is the target, the goal is to calibrate a pruning procedure that removes a minimal number of claims from an LLMgenerated open response, such that the remaining claims are all factual with high probability; that is, the goal is to retain as large a set as possible, rather than to generate a set with the smallest number of responses possible as in MCQ. Conformal prediction has also been used to capture token-level uncertainty (Deutschmann et al., 2024; Ravfogel et al.; Ulmer et al., 2024).

**Optimizing Conformal Prediction Procedures.** Several recent works have considered how to learn good conformal score functions from data, primarily in the context of supervised learning models (Bai et al., 2022; Stutz et al., 2022; Yang & Kuchibhotla, 2024; Xie et al., 2024). With LLMs, Cherian et al. (2024) consider how to learn a good score function to achieve factuality guarantees; their optimization problem differs from ours due to the difference in setting as well as the addition of conditional coverage constraints (ensuring that coverage holds in different parts of the feature space). Kiyani et al. (2024) design a framework to minimize the size ("length," in their terminology) of conformal sets, which they apply to MCQ as well as to supervised learning problems. However, their framework is concerned with how to generate sets given a model and a conformity score, rather than how to learn a conformity score.

The works mentioned above all aim to produce small conformal sets that satisfy coverage guarantees. Among these, only Ren et al. (2023) consider how conformal sets may be used downstream, in their case to improve the efficiency and autonomy of robot behavior. To our knowledge, our work is the first to investigate whether conformal prediction can be used to increase the accuracy of LLMs on MCQ type tasks.

## 6. Conclusions and Future Works

In this work, we introduced Conformal Revision of Questions (CROQ), a principled approach to improve LLM accuracy in multiple-choice settings by leveraging conformal prediction (CP) to eliminate distractor answers while maintaining high coverage of the correct answer. To further boost CROQ's performance we proposed CP-OPT, a framework for optimizing score functions to minimize prediction set sizes while preserving CP's coverage guarantees. Our results demonstrate that CROQ significantly enhances LLM's accuracy, and that CP-OPT further strengthens this effect by producing smaller, more reliable prediction sets than standard LLM logits. These findings highlight the potential of uncertainty-aware, test-time methods to improve LLM decision-making, providing a principled path for safer and more effective deployment of LLMs in critical applications.

Future works could explore multi-round CROQ, where answer options are pruned iteratively in multiple rounds, fur440 ther improving accuracy while maintaining coverage. This 441 requires developing efficient recalibration strategies and 442 methods to prevent excessive coverage reduction across 443 iterations. Additionally, a key challenge is adapting con-444 formal score thresholds in settings with a variable number 445 of response options. Techniques like quantile regression 446 could help calibrate thresholds dynamically, ensuring robust 447 performance across diverse decision-making scenarios. 448

### 7. Impact Statement

This paper presents work whose goal is to advance the field of Machine Learning. There are many potential societal consequences of our work, none of which we feel must be specifically highlighted here.

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#### **Supplementary Material** 550

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The supplementary material is organized as follows. In Appendix A.1 we provide details of LLM inference for MCQs. 552 Additional experiments and results are given in Appendix B. First, in Appendix B.1 we discuss the trade-off between coverage (choice of  $\alpha$ ) in conformal prediction and its effect on CROQ accuracy. Next, in Appendix B.4 we explore the effectiveness of conformal prediction with CP-OPT scores in deferral applications. The Appendices B.5, B.6 and B.7, contain more detailed results for the hypotheses discussed in the main paper. Appendix C provides details of the procedure used to compute statistical significance. In Appendix D we provide details of datasets and give samples of prompts before and after CROQ and LLM's answers. Finally, Appendix D.3 lists the hyperparameters used for learning score function using CP-OPT.

## A. Methodology and Background Details

#### A.1. Details on LLM inference in multi-choice question answering

We provide a formal description of the inference procedure described in the LLM Inference paragraph of Section 2.1.

The input prompt x is a sequence of tokens  $t_1, t_2, \ldots, t_n$ . We run the forward pass of the auto-regressive LLM (Touvron et al., 2023; Dubey et al., 2024; Abdin et al., 2024) on x to produce a set of output logits:

$$\boldsymbol{l}_1, \boldsymbol{l}_2, \dots, \boldsymbol{l}_n \leftarrow \text{LLM}(\boldsymbol{t}_1, \boldsymbol{t}_2, \dots, \boldsymbol{t}_n) \tag{10}$$

Here, each logit  $l_j \in \mathbb{R}^{|V|}$  expresses the likelihood of the next token after  $t_1, \ldots, t_j$ , where V is the universal set of tokens (aka the alphabet) for the given LLM and |V| is its size. The last token's logits  $l_n$  are expected to have a high value for the correct answer key. We extract the logit vector  $\bar{l} \in \mathbb{R}^m$  corresponding to the option keys as follows:

$$\bar{\boldsymbol{l}} := \begin{bmatrix} \boldsymbol{l}_n[Y_1], \, \boldsymbol{l}_n[Y_2], \, \dots, \, \boldsymbol{l}_n[Y_m] \end{bmatrix},\tag{11}$$

where  $l_n[Y_i]$  denotes the logit value corresponding to the token  $Y_i$  in the last token's logits  $l_n$ . The logits  $\bar{l}$  are converted to softmax scores s(x). The softmax score of point x and option key y is denoted by s(x, y) and the predicted answer key  $\hat{y}$ corresponds to the maximum softmax value:

$$s(x) \coloneqq \operatorname{softmax}(\overline{l}), \qquad s(x,y) \coloneqq s(x)[y], \qquad \hat{y} \coloneqq \operatorname*{arg\,max}_{y \in \{Y_1, \dots, Y_m\}} s(x,y) \tag{12}$$

## **B.** Additional Experiments and Results

This appendix contains additional results and details not included in the main paper due to length constraints.

#### B.1. Trade-off between coverage and accuracy

585 The choice of  $\alpha$  controls the coverage level in conformal prediction. A small  $\alpha$  implies high coverage, meaning the prediction 586 sets contain the true options with high probability but potentially have large sizes. Thus, choosing a very small  $\alpha$  will likely 587 not eliminate a sufficient number of options to see any noticeable improvement with CROQ. On the other hand, choosing a 588 large  $\alpha$  will eliminate the true option from the set for a large portion of the questions, which will result in low accuracy from 589 CROQ. To study these trade-offs, we run CROQ with different values of  $\alpha$ . The accuracy before and after CROQ for a range 590 of  $\alpha$  values are shown in Figure 5 and Figure 4 for the Llama-3 and Phi-3 models, respectively. The results are as expected 591 given the observations above: using an overly conservative (small)  $\alpha$  does not give much improvement; as we increase  $\alpha$ , 592 the accuracy also increases up to a point, after which it starts to come down. This suggests that to optimize accuracy, a 593 practitioner can tune  $\alpha$  for their chosen score function and setting. 594

#### 595 **B.2.** Evaluation on MMLU-Pro

596 We evaluated CROQ on the MMLU-Pro (Wang et al.) dataset with questions having 10 options. We observe that the baseline 597 accuracy with the Phi-3 model is 36.4%, and we get a 3% relative improvement in accuracy with CROQ - a significant 598 improvement on a 10-option dataset, particularly given that MMLU-pro contains much harder questions. 599

#### B.3. Application to an agentic workflow on NL2SQL

For an application in an agentic workflow, we consider the Natural Language Question to SOL (NL2SOL) task, where an LLM-based agent generates a SQL query for a user's natural language question. A component of the standard agentic

	Accuracy	Avg. Set Size	Coverage	LLM Cost
Approach 1	32.0%	7.270	100%	\$7.10
Approach 2	29.5%	6.405	88%	\$6.63
Approach 3	32.5%	2.685	92%	\$3.89

Table 4: Results with different approaches on the table selection step in the NL2SQL task.

workflow in this task is to first predict the relevant tables whose schema should be included in the context of the LLM,
 which generates the SQL query. This step is critical to decrease cost and, in some cases, is necessary when the full database
 schema would exceed the LLM's context limit.

We consider the BIRD dataset (Li et al., 2023) - a large benchmark that contains 12,751 NLQ-SQL pairs across 95 databases.
We filter out databases with 20 tables or more (to avoid context limit errors) and remove the retail\_world databases due to inconsistent table naming. We considered the following settings:

Approach 1 - Include all table schemas in the LLM prompt.

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Approach 2 - Include all table schemas for tables whose cosine similarity score is greater than a particular threshold, up to a maximum of 10 tables. The cosine similarity is taken between the embeddings of the natural language question and the table name using the OpenAI text-embedding-ada-002 model. Coverage is defined to include all tables used in the annotated ground-truth SQL query. Coverage was approximately 90%, although this was not explicitly controlled.

Approach 3 - Include tables selected using conformal prediction (CP) on CP-OPT scores. This is equivalent to the CROQ
 procedure, where the scores for CP are obtained from a source other than LLM. More specifically, we learn CP-OPT scores
 using embeddings of natural language questions and table names.

630 We used 3412 NLQ-SQL pairs for training in approach 3, and validated on 3411 examples in approaches 2 and 3. We then 631 tested the 3 approaches on 200 NLO-SQL pairs. We use GPT4-0613 as the LLM for SQL query generation, and report the 632 execution accuracy, average set size, and total token cost. The results in all three settings are summarized in the Table 4. 633 Here, the set size means the number of tables whose schema will be included in the LLM context. Thus, a lower avg. set size 634 means fewer tables (and hence fewer tokens) in the LLM context. In the results, we see a significant reduction in the avg. set 635 size in approach 3 while maintaining high coverage (92%). This results in a substantial reduction in the number of tokens in 636 the LLM context, leading to a 45% decrease in LLM cost, all while achieving slightly higher accuracy in comparison to 637 approach 1. 638

# 639640 B.4. Using conformal prediction for deferral

641 Smaller prediction sets imply fewer deferrals in human-in-the-loop or model cascade systems. We consider a deferral 642 procedure in which a set size cutoff is selected, and the LLM answer is only retained if the set size is at or below that cutoff. 643 For all larger sets, the question is passed to a human (or a more powerful but costly model) who can answer the question 644 correctly. Smaller sets from CP are desirable for this procedure to be effective. We evaluate this procedure with logit and 645 CP-OPT scores in two settings and show the results in Figure 3. As expected, lower set size cutoffs result in higher accuracy. 646 As the set size cutoff increases, the accuracy approaches the LLM's marginal accuracy, while the number of deferrals 647 (i.e., the cost of obtaining the answer from a human or more expensive model) decreases. In the top row of the figure, the 648 differences in the set sizes between logit and CP-OPT scores are not large enough to see a meaningful difference in this 649 procedure. However, in the bottom row corresponding to the Gemma-2 model and TruthfulQA dataset with 15 options, we 650 see CP-OPT scores lead to fewer deferrals in comparison to logits. Model cascades (Dohan et al., 2022; Gupta et al., 2024) 651 and deferrals to human-in-the-loop (Tailor et al., 2024; Vishwakarma et al., 2024) and more broadly selective prediction 652 (El-Yaniv & Wiener, 2010; Fisch et al., 2022; Vishwakarma et al., 2023) are useful frameworks for model deployment while 653 ensuring safety, high accuracy, and balancing the costs. Our experiments show the promise of CP with logit and CP-OPT 654 scores in this task and suggest it would be fruitful to explore this design space with CP. 655

Figure 4 shows accuracy after the CROQ procedure as a function of  $\alpha$  for Phi-3. The results are qualitatively similar to the results for Llama-3 in the main text (Section 4.2).

All remaining results are organized by dataset. Tables for the CROQ results, which illustrate accuracy changes conditional



Figure 3: Proportion of questions deferred to a human when conformal prediction set sizes exceed a certain cutoff (left), and the corresponding LLM accuracy for questions (without revision) retained by the LLM as a function of cutoff threshold (right). In the top row (MMLU, 10 options, Phi-3-4k-mini-Instruct), the difference in deferral and accuracy is negligible, whereas in the bottom row (TruthfulQA, 15 options, gemma-2-9b-it-SimPO), CP-OPT defers fewer questions to the human while providing similar or improved accuracy for questions retained.

on set siz, e are based on a confidence level of 95% (equivalently, an  $\alpha$  level of 0.05). Note that with the ToolAlpaca dataset, not all possible set sizes occur, in which case we omit the corresponding columns. For example, with 10 response options, only sets of size 8 and smaller occur.

Asterisks in the tables indicate where the difference in overall accuracy from Before to After, i.e., from baseline to after the CROQ procedure, is statistically significant at the  $\alpha = 0.05$  level. (In some tables, like Table 9, none of the changes are significant.) See Appendix C for details on how statistical significance was calculated.

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Figure 4: Accuracy on revised questions on the MMLU and ToolAlpaca datasets while varying miscoverage parameter  $\alpha$  for Phi-3-4k-mini-Instruct (Phi-3) model and both scores. Smaller values of  $\alpha$  correspond to high levels of coverage. When coverage is too large, few or no answers are eliminated, and the LLM is prompted with the same question. When coverage is low, a larger portion of answer sets no longer contain the true answer and the benefits of revision are diminished.

## B.5. MMLU

Results for the experiments on the MMLU dataset are given in Tables 9 and 10, Tables 6 to 8 and Figures 6 to 8.

## B.6. TruthfulQA

Results for the experiments on the TruthfulQA dataset are given in Tables 11 to 15 and Figures 13 and 14.

## B.7. ToolAlpaca

Results for experiments on the ToolAlpaca dataset are given in Tables 16 to 20 and Figures 10 and 11.

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Figure 5: Accuracy on revised questions on the MMLU and ToolAlpaca datasets while varying miscoverage parameter  $\alpha$  for Llama-3-8B-Instruct (Llama-3) model and both scores. Smaller values of  $\alpha$  correspond to high levels of coverage. When coverage is too large, few or no answers are eliminated, and the LLM is prompted with the same question. When coverage is low, a larger portion of answer sets no longer contain the true answer or produce empty prediction sets thus resulting in diminished benefits of revision.







Figure 7: Distributions of sizes of sets obtained from CP-OPT and logit scores on MMLU dataset and Llama-3 model.





931 Figure 13: Distributions of sizes of sets obtained from CP-OPT and logit scores on Truthful QA dataset and Phi-3 model.



Figure 14: Distributions of sizes of sets obtained from CP-OPT and logit scores on Truthful QA dataset and Llama-3 model.

			LLama-3			Phi-3			Gemma-2	
Model	# Opt.	Accuracy Before	Accuracy After	Gain	Accuracy Before	Accuracy After	Gain	Accuracy Before	Accuracy After	Gain
		$(a_2)$	$(a'_2)$	$(a'_2 - a_2)$	$(a_2)$	$(a'_2)$	$(a'_2 - a_2)$	$(a_2)$	$(a'_2)$	$(a'_2 - a_2)$
	4	64.02	63.67	-0.34	70.27	69.34	-0.93	68.36	69.56	1.20*
MMLU	10	54.82	57.11	2.29*	58.44	61.05	2.61*	53.99	57.93	3.94*
	15	51.99	54.77	2.78*	53.48	58.15	4.68*	50.78	51.31	0.52
	4	91.47	91.82	0.35	92.64	92.52	-0.12	93.46	93.57	0.12
ToolAlpaca	10	85.16	89.02	3.86*	87.62	91.00	3.39*	88.08	90.42	2.34*
	15	81.43	88.67	7.24*	85.98	89.95	3.97*	88.08	89.37	1.29
	4	54.43	55.44	1.01	69.87	69.87	0.00	74.94	76.96	2.03
TruthfulQA	10	39.24	42.28	3.04	55.70	56.20	0.51	56.46	60.76	4.30*
	15	37.22	37.47	0.25	46.84	51.39	4.56*	55.95	57.72	1.77

Table 5: [CROQ + CP-OPT]. Results on accuracy improvement with CROQ using CP-OPT scores. Here  $a_2$ , and  $a'_2$  refer to the accuracy before CROQ and after CROQ respectively. A higher gain in a setting suggests CROQ improved accuracy in that setting.

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overall
	Coverage	82.40	69.04	80.00	83.56	81.11	87.45	86.31	88.60	90.75	90.45	94.80	93.75	98.30	98.15	100	94.58
Logits	Fraction	2.77	2.34	2.37	2.60	2.58	2.74	3.12	3.23	3.47	4.47	5.02	5.70	6.99	10.91	41.70	100
	Acc. Before	82.40	62.44	62.00	65.30	60.37	61.47	61.98	59.19	55.82	62.6	57.92	51.25	57.89	50.38	40.01	50.78
	Acc. After	82.40	65.48	68.50	65.75	63.13	58.87	60.08	57.72	56.85	58.89	55.08	51.88	58.06	49.40	40.01	50.58
	Coverage	93.10	94.05	89.83	89.94	89.34	90.54	89.74	90.23	92.40	94.73	94.70	94.46	96.77	97.74	100	94.58
Ours	Fraction	2.75	3.99	4.08	3.77	4.12	4.39	4.63	5.22	5.78	6.53	7.17	9.21	11.76	13.66	12.94	100
	Acc. Before	93.10	88.10	82.56	79.56	75.79	73.24	64.62	56.82	56.26	52.73	45.20	42.53	36.63	33.10	25.96	50.78
	Acc. After	93.10	89.58	82.56	80.82	73.78	70.81	60.26	56.14	57.49	53.27	46.69	43.94	40.06	33.80	25.96	51.31

Table 6: Results for CROQ on the MMLU dataset with 15 response options and Gemma-2 model.

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overall
	Coverage	95.82	91.56	89.98	93.19	94.54	94.63	94.44	95.60	96.09	96.88	97.06	96.77	98.21	98.08	100	95.42
Logits	Fraction	8.81	8.58	7.35	6.97	5.65	5.74	5.98	6.21	6.68	6.46	6.05	5.89	5.97	6.17	7.50	100
	Acc. Before	95.82	82.16	72.37	66.95	55.88	50.62	50.20	46.08	40.14	37.32	34.90	34.68	30.62	27.88	24.05	51.99
	Acc. After	95.82	83.82	76.09	71.55	63.66	53.93	51.39	45.32	43.69	40.99	36.47	35.08	33.00	27.69	24.05	54.11*
	Coverage	94.15	94.62	91.29	91.63	93.31	93.18	94.52	96.43	97.02	96.42	97.59	96.56	97.91	98.25	100	95.06
Ours	Fraction	6.69	8.38	8.58	7.65	7.99	8.00	7.80	6.99	7.17	6.30	5.90	5.17	5.12	4.75	3.51	100
	Acc. Before	94.15	87.54	73.58	65.58	55.57	51.78	45.81	46.86	39.90	31.83	33.00	28.67	31.32	21.25	19.59	51.99
	Acc. After	94.15	89.24	75.80	70.39	63.74	54.60	50.53	47.54	42.38	35.03	34.21	33.26	29.93	24.75	19.59	54.77*

Table 7: Results for CROQ on the MMLU dataset with 15 response options and Llama-3 model.

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2	2	_
9	9	3

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overall
	Coverage	96.03	92.77	93.46	91.71	93.93	93.61	93.55	93.81	94.79	96.65	95.38	96.83	95.77	97.25	100	94.60
Logits	Fraction	11.07	10.34	8.17	7.73	7.62	7.80	7.55	6.52	6.15	5.32	5.14	4.87	4.49	3.88	3.38	100
	Acc. Before	96.03	80.48	69.62	59.14	53.12	46.27	42.61	42.08	37.84	39.51	36.72	34.15	23.02	23.55	21.75	53.48
	Acc. After	96.03	84.85	76.60	66.97	63.86	53.42	51.10	44.44	42.86	42.19	39.26	36.34	25.13	24.46	21.75	58.09*
	Coverage	95.79	92.20	93.83	91.19	94.19	93.79	95.93	94.54	94.57	96.04	93.82	96.80	96.26	97.29	100	94.61
Ours	Fraction	12.40	9.73	8.08	7.68	7.56	7.45	7.00	6.95	6.55	5.70	5.57	5.20	4.76	3.50	1.86	100
	Acc. Before	95.79	80.24	73.86	60.28	51.33	49.68	43.90	41.47	36.41	31.46	29.42	29.00	25.69	21.69	18.47	53.48
	Acc. After	95.79	83.66	78.12	69.86	62.64	54.62	52.03	47.95	39.67	38.96	32.41	31.28	27.18	22.37	18.47	58.15*

Table 8: Results for CROQ on the MMLU dataset with 15 response options and Phi-3 model.

Model	Score	Set Size	1	2	3	4	Overa
		Coverage	89.34	89.94	93.27	100	95.16
	Logits	Fraction	17.71	17.93	17.11	47.25	100
		Acc. Before	89.34	79.42	68.24	54.79	67.62
G 0		Acc. After	89.34	79.95	68.10	54.79	67.70
Gemma-2		Coverage	91.67	89.93	93.10	100	94.23
	Ours	Fraction	37.62	16.14	14.61	31.63	100
		Acc. Before	91.67	72.50	57.27	43.64	68.30
		Acc. After	91.67	75.88	61.74	43.64	69.56
		Coverage	93.55	92.78	92.89	100	95.75
	Logits	Fraction	33.84	14.13	14.68	37.35	100
		Acc. Before	93.55	70.19	49.88	40.48	64.02
		Acc. After	93.55	70.70	48.10	40.48	63.83
Llama-3		Coverage	93.71	91.83	93.50	100	95.57
	Ours	Fraction	33.21	15.39	16.63	34.77	100
		Acc. Before	93.71	71.16	52.46	38.02	64.02
		Acc. After	93.71	70.01	51.46	38.02	63.67
		Coverage	94.75	91.48	93.17	100	94.65
	Logits	Fraction	37.30	22.86	21.20	18.64	100
		Acc. Before	94.75	70.25	52.69	41.31	70.27
		Acc. After	94.75	66.93	50.67	41.31	69.08
Phi-3		Coverage	93.63	90.61	94.17	100	94.35
	Ours	Fraction	41.36	21.10	17.71	19.83	100
		Acc. Before	93.63	67.38	52.82	40.22	70.27
		Acc. After	93.63	64.57	50.94	40.22	69.34

Table 9: Results for CROQ on the MMLU dataset with 4 response options.

Model	Score	Set Size	1	2	3	4	5	6	7	8	9	10	Overall
		Coverage	78.80	79.03	84.92	88.56	85.30	92.64	94.09	96.41	97.22	100	95.00
	Logits	Fraction	2.97	3.90	4.25	4.77	5.33	5.80	7.03	9.59	14.10	42.26	100
		Acc. Before	78.80	73.86	74.02	68.41	62.36	67.69	61.49	58.42	51.94	41.81	53.80
		Acc. After	78.80	76.90	75.98	72.39	62.36	66.67	60.14	57.67	51.68	41.81	53.93
Gemma-2		Coverage	90.79	92.27	88.31	90.54	89.80	91.30	92.05	95.60	97.49	100	94.04
	Ours	Fraction	12.89	8.90	7.31	6.65	6.40	7.23	8.36	8.90	10.41	22.96	100
		Acc. Before	90.79	84.93	69.97	66.07	54.17	48.60	42.76	40.00	37.74	31.27	53.99
		Acc. After	90.79	89.20	79.87	75.00	64.01	55.34	47.02	45.33	40.59	31.27	57.93*
		Coverage	94.55	91.96	91.73	94.09	94.94	97.19	97.32	97.72	99.32	100	96.06
	Logits	Fraction	14.36	10.92	8.76	7.63	7.04	8.03	8.40	9.90	10.53	14.43	100
	-	Acc. Before	94.55	80.43	65.99	57.54	51.43	47.56	37.71	35.13	34.84	31.41	54.82
		Acc. After	94.55	80.33	69.51	60.96	53.29	49.93	42.37	36.21	35.74	31.41	56.29*
Llama-3		Coverage	94.80	91.95	92.42	93.98	94.95	96.61	97.64	97.96	98.68	100	95.45
	Ours	Fraction	13.92	11.50	10.80	10.44	11.51	10.16	10.55	8.71	7.20	5.20	100
		Acc. Before	94.80	79.67	68.02	52.61	45.05	40.19	35.55	33.65	28.67	30.82	54.82
		Acc. After	94.80	79.05	71.76	55.57	49.90	42.76	40.83	35.42	30.31	30.82	57.11*
		Coverage	95.75	91.02	90.76	94.21	93.90	95.59	94.07	96.17	95.52	100	94.11
	Logits	Fraction	17.87	14.28	12.20	11.48	11.08	8.88	8.01	7.12	5.29	3.79	100
	2	Acc. Before	95.75	76.56	59.14	55.02	45.50	43.72	37.19	33.0	30.27	26.65	58.44
		Acc. After	95.75	79.05	65.56	59.77	51.18	47.19	42.37	32.83	32.29	26.65	61.57*
Phi-3		Coverage	95.85	90.94	90.94	94.05	93.53	94.71	93.94	94.96	96.71	100	94.09
	Ours	Fraction	20.02	12.71	11.13	10.98	10.65	10.09	8.41	7.30	5.06	3.66	100
		Acc. Before	95.85	73.86	63.75	54.38	46.38	40.47	36.53	32.68	26.76	26.30	58.44
		Acc. After	95.85	76.84	68.66	59.68	50.61	44.12	38.50	34.80	26.06	26.30	61.05*

Table 10: Results for CROQ on the MMLU dataset with 10 response options.

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overall
	Coverage	100	93.75	92.86	100	100	95.00	94.12	76.92	80.95	94.44	100	88.00	88.00	100	100	95.44
Logits	Fraction	1.52	4.05	3.54	1.77	1.77	5.06	4.30	3.29	5.32	4.56	5.82	6.33	6.33	11.14	35.19	100
	Acc. Before	100	93.75	92.86	100	85.71	80.00	76.47	46.15	47.62	61.11	56.52	48.00	32.00	47.73	46.04	55.95
	Acc. After	100	93.75	92.86	100	85.71	85.00	82.35	53.85	57.14	55.56	52.17	48.00	40.00	45.45	46.04	56.96
	Coverage	98.00	95.65	90.00	93.33	90.91	91.67	92.86	94.44	93.33	95.45	89.47	96.97	97.37	100	100	96.46
Ours	Fraction	12.66	5.82	2.53	3.80	2.78	3.04	3.54	4.56	3.80	5.57	4.81	8.35	9.62	10.13	18.99	100
	Acc. Before	98.00	95.65	90.00	73.33	81.82	50.00	92.86	61.11	60.00	63.64	47.37	39.39	31.58	32.50	28.00	55.95
	Acc. After	98.00	91.30	90.00	80.00	81.82	58.33	92.86	61.11	60.00	72.73	52.63	42.42	36.84	32.50	28.00	57.72

Table 11: Results for CROQ on the TruthfulQA dataset with 15 response options and Gemma-2 model

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overall
	Coverage	80.00	75.00	90.00	77.78	76.92	76.92	86.96	95.24	100	95.12	100	92.59	97.73	100	100	94.68
Logits	Fraction	1.27	2.03	2.53	2.28	3.29	3.29	5.82	5.32	7.09	10.38	11.39	6.84	11.14	10.13	17.22	100
	Acc. Before	80.00	62.50	80.00	66.67	53.85	38.46	60.87	57.14	50.0	46.34	31.11	29.63	22.73	15.00	22.06	37.22
	Acc. After	80.00	75.00	90.00	66.67	61.54	38.46	60.87	52.38	46.43	43.90	33.33	29.63	18.18	17.50	22.06	37.22
	Coverage	0	0	0	0	100	87.50	81.82	93.94	91.30	94.37	100	95.16	96.00	100	100	94.68
Ours	Fraction	0	0	0	0.25	1.27	2.03	5.57	8.35	11.65	17.97	15.44	15.70	12.66	7.09	2.03	100
	Acc. Before	0	0	0	0	80.00	37.50	40.91	60.61	28.26	45.07	44.26	32.26	22.00	28.57	0	37.22
	Acc. After	0	0	0	0	80.00	50.00	40.91	60.61	36.96	36.62	42.62	32.26	26.00	32.14	0	37.47

Table 12: Results for CROQ on the TruthfulQA dataset with 15 response options and Llama-3.

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Τ.	1	U	2

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1	1	05

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overall
	Coverage	0	0	88.89	90.91	85.71	82.61	95.45	85.71	96.43	100	92.86	100	100	97.50	100	95.44
Logits	Fraction	0	0	2.28	2.78	5.32	5.82	5.57	5.32	7.09	7.09	10.63	9.11	12.15	10.13	16.71	100
	Acc. Before	0	0	77.78	90.91	52.38	56.52	63.64	61.9	60.71	50.00	35.71	33.33	50.00	30.0	34.85	46.84
	Acc. After	0	0	77.78	90.91	52.38	60.87	63.64	57.14	57.14	57.14	33.33	27.78	52.08	27.50	34.85	46.33
	Coverage	0	100	100	88.89	93.33	91.67	100	85.00	96.77	95.24	95.65	98.18	98.33	100	100	96.46
Ours	Fraction	0	0.76	1.01	2.28	3.80	6.08	8.35	5.06	7.85	10.63	11.65	13.92	15.19	9.37	4.05	100
	Acc. Before	0	100	100	77.78	60.00	62.50	66.67	45.00	58.06	45.24	47.83	36.36	30.00	37.84	31.25	46.84
	Acc. After	0	100	100	77.78	66.67	62.50	72.73	45.00	58.06	57.14	50.00	43.64	36.67	40.54	31.25	51.39*

Table 13: Results for CROQ on the TruthfulQA dataset with 15 response options and Phi-3 model.

Model	Score	Set Size	1	2	3	4	5	6	7	8	9	10	Overall
		Coverage	100	94.12	100	94.12	87.10	90.91	90.62	91.11	95.45	100	95.44
	Logits	Fraction	4.56	4.30	3.04	4.30	7.85	5.57	8.10	11.39	16.71	34.18	100
		Acc. Before	100	94.12	100	82.35	70.97	63.64	56.25	53.33	53.03	37.04	56.46
		Acc. After	100	94.12	100	82.35	70.97	59.09	56.25	51.11	54.55	37.04	56.20
Gemma-2		Coverage	97.94	100	92.86	89.47	96.15	91.67	100	93.55	97.83	100	97.22
	Ours	Fraction	24.56	6.08	3.54	4.81	6.58	6.08	9.37	7.85	11.65	19.49	100
		Acc. Before	97.94	91.67	85.71	52.63	61.54	66.67	54.05	19.35	32.61	14.29	56.46
		Acc. After	97.94	95.83	71.43	89.47	73.08	66.67	59.46	29.03	39.13	14.29	60.76*
		Coverage	92.86	93.75	68.97	95.00	86.21	91.18	97.56	96.49	100	100	94.43
	Logits	Fraction	3.54	4.05	7.34	5.06	7.34	8.61	10.38	14.43	16.46	22.78	100
		Acc. Before	92.86	81.25	55.17	55.00	51.72	41.18	41.46	26.32	30.77	23.33	39.24
		Acc. After	92.86	87.50	55.17	65.00	58.62	38.24	34.15	31.58	33.85	23.33	40.76
Llama-3		Coverage	92.31	90.00	70.83	91.89	95.56	92.00	92.11	97.14	100	100	93.42
	Ours	Fraction	3.29	2.53	6.08	9.37	11.39	12.66	19.24	17.72	9.87	7.85	100
		Acc. Before	92.31	70.00	54.17	56.76	51.11	44.00	31.58	28.57	20.51	16.13	39.24
		Acc. After	92.31	80.00	58.33	72.97	55.56	50.00	30.26	28.57	20.51	16.13	42.28
		Coverage	100	100	94.44	100	96.55	89.47	100	100	100	100	98.48
	Logits	Fraction	1.01	3.29	4.56	5.82	7.34	9.62	10.38	13.16	17.22	27.59	100
		Acc. Before	100	100	83.33	69.57	65.52	55.26	60.98	51.92	50.0	42.20	55.70
		Acc. After	100	100	88.89	69.57	65.52	55.26	51.22	51.92	47.06	42.20	54.43
Phi-3		Coverage	100	86.96	88.89	90.91	85.71	95.45	96.08	100	97.44	100	95.70
	Ours	Fraction	7.59	5.82	4.56	5.57	7.09	11.14	12.91	16.20	19.75	9.37	100
		Acc. Before	100	78.26	83.33	72.73	53.57	65.91	49.02	45.31	43.59	24.32	55.70
		Acc. After	100	78.26	77.78	72.73	60.71	61.36	52.94	45.31	44.87	24.32	56.20

Table 14: Results for CROQ on the TruthfulQA dataset with 10 response options.

Model	Score	Set Size	1	2	3	4	Overa
Model Gemma-2 Llama-3 Phi-3		Coverage	95.00	93.33	89.58	100	96.46
	Logits	Fraction	30.38	11.39	12.15	46.08	100
		Acc. Before	95.00	84.44	68.75	60.44	74.68
~ .		Acc. After	95.00	86.67	68.75	60.44	74.94
Gemma-2		Coverage	97.00	90.48	87.04	100	95.44
	Ours	Fraction	58.99	10.63	13.67	16.71	100
		Acc. Before	97.00	59.52	44.44	31.82	74.94
		Acc. After	97.00	66.67	53.70	31.82	76.96
		Coverage	91.30	85.71	86.79	100	95.95
	Logits	Fraction	11.65	8.86	13.42	66.08	100
		Acc. Before	91.30	74.29	67.92	42.53	54.43
		Acc. After	91.30	82.86	67.92		55.19
Llama-3		Coverage	90.72	82.35	89.89	100	92.41
	Ours	Fraction	24.56	17.22	22.53	35.70	100
		Acc. Before	90.72	60.29	42.70	34.04	54.43
		Acc. After	90.72	63.24	44.94	34.04	55.44
		Coverage	98.65	90.54	94.05	100	96.71
	Logits	Fraction	18.73	18.73	21.27	41.27	100
		Acc. Before	98.65	83.78	65.48	52.76	69.87
		Acc. After	98.65	81.08	69.05	52.76	70.13
Phi-3		Coverage	96.75	95.31	92.86	100	96.71
	Ours	Fraction	31.14	16.20	21.27	31.39	100
		Acc. Before	96.75	82.81	58.33	44.35	69.87
		Acc. After	96.75	81.25	59.52	44.35	69.87

Table 15: Results for CROQ on the TruthfulQA dataset with 4 response options.

Model	Score	Set Size	1	2	3	4	Overal
Model Gemma-2 Llama-3 Phi-3		Coverage	95.71	95.71	92.86	100	95.68
	Logits	Fraction	89.84	8.18	1.64	0.35	100
		Acc. Before	95.71	74.29	78.57	33.33	93.46
<i>a</i>		Acc. After	95.71	71.43	71.43	33.33	93.11
Gemma-2		Coverage	95.45	95.00	100	0	95.44
	Ours	Fraction	94.98	4.67	0.35	0	100
		Acc. Before	95.45	57.50	33.33	0	93.46
		Acc. After	95.45	57.50	66.67	0	93.57
		Coverage	96.81	98.39	100	0	97.08
	Logits	Fraction	84.11	14.49	1.40	0	100
		Acc. Before	96.81	62.90	66.67	0	91.47
		Acc. After	96.81	66.13	66.67	0	91.94
Llama-3		Coverage	96.66	97.60	100	100	96.85
	Ours	Fraction	84.00	14.60	1.29	0.12	100
		Acc. Before	96.66	64.00	63.64	100	91.47
		Acc. After	96.66	68.80	36.36	100	91.82
		Coverage	95.47	93.44	100	0	95.33
	Logits	Fraction	92.76	7.13	0.12	0	100
		Acc. Before	95.47	59.02	0	0	92.76
DI : O		Acc. After	95.47	55.74	100	0	92.64
Phi-3		Coverage	95.81	94.03	100	0	95.68
	Ours	Fraction	91.94	7.83	0.23	0	100
		Acc. Before	95.81	56.72	50.00	0	92.64
		Acc. After	95.81	55.22	50.00	0	92.52

Table 16: Results for CROQ on the ToolAlpaca dataset with 4 response options.

Prune 'n Predict: Optimizing LLM Decision-making with Conformal Prediction

Model	Score	Set Size	1	2	3	4	5	6	7	8	9	10	Overall
		Coverage	96.41	91.67	96.47	97.44	96.43	100	92.86	100	100	100	95.56
	Logits	Fraction	55.37	21.03	9.93	4.56	3.27	1.64	1.64	1.40	0.58	0.58	100
		Acc. Before	96.41	85.56	78.82	69.23	82.14	50.00	35.71	50.00	80.00	20.00	87.73
		Acc. After	96.41	86.67	87.06	71.79	85.71	71.43	42.86	58.33	80.00	20.00	89.60*
Gemma-2		Coverage	95.05	94.34	91.11	78.57	90.91	100	100	100	0	0	94.51
	Ours	Fraction	77.92	12.38	5.26	1.64	1.29	0.58	0.70	0.23	0	0	100
		Acc. Before	95.05	73.58	57.78	35.71	45.45	20.00	50.00	100	0	0	88.08
		Acc. After	95.05	80.19	68.89	64.29	72.73	40.00	50.00	100	0	0	90.42*
		Coverage	95.64	94.17	94.74	100	100	0	0	0	0	0	95.21
	Logits	Fraction	61.57	28.04	8.88	1.29	0.23	0	0	0	0	0	100
	Dogito	Acc. Before	95.64	71.25	63.16	45.45	50.0	0	0	0	0	0	85.16
		Acc. After	95.64	81.25	71.05	54.55	0	0	0	0	0	0	88.67*
Llama-3		Coverage	96.03	93.89	97.67	100	0	0	0	0	0	0	95.56
	Ours	Fraction	67.64	26.75	5.02	0.58	0	0	0	0	0	0	100
	Ours	Acc. Before	96.03	65.50	51.16	20.00	0	0	0	0	0	0	85.16
		Acc. After	96.03	75.55	69.77	60.00	0	0	0	0	0	0	89.02*
		Coverage	95.19	96.53	100	100	0	0	0	0	0	0	95.56
	Logit-	Fraction	77.69	20.21	1.99	0.12	0	0	0	0	0	0	100
	Logits	Acc. Before	95.19	61.85	47.06	100	0	0	0	0	0	0	87.50
		Acc. After	95.19	74.57	<b>88.24</b>	100	0	0	0	0	0	0	90.89*
Phi-3			94.51	97.42	100	0	0	0	0	0	0	0	95.09
	0	Coverage				0	0	0		-		-	
	Ours	Fraction	80.84	18.11	1.05				0	0	0	0	100
		Acc. Before	94.51	61.29	11.11	0	0	0	0	0	0	0	87.62
		Acc. After	94.51	76.13	77.78	0	0	0	0	0	0	0	91.00*

Table 17: Results for CROQ on the ToolAlpaca dataset with 10 response options.

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overall
	Coverage	94.98	95.37	97.16	96.49	95.74	96.97	100	100	100	92.31	100	93.33	100	100	100	96.14
Logits	Fraction	27.92	25.23	16.47	6.66	5.49	3.86	2.22	2.22	1.99	1.52	1.40	1.75	1.17	0.82	1.29	100
	Acc. Before	94.98	93.52	91.49	84.21	78.72	81.82	89.47	68.42	76.47	61.54	58.33	60.00	50.00	57.14	63.64	87.97
	Acc. After	94.98	93.98	89.36	80.70	82.98	87.88	84.21	63.16	82.35	61.54	75.00	80.00	50.00	71.43	63.64	88.55
	Coverage	95.54	96.23	94.64	93.33	83.33	100	87.50	100	100	100	100	100	0	100	0	95.21
Ours	Fraction	70.68	12.38	6.54	3.50	2.80	1.05	0.93	0.70	0.35	0.47	0.12	0.35	0	0.12	0	100
	Acc. Before	95.54	88.68	67.86	63.33	50.00	33.33	37.50	16.67	33.33	50.00	100	66.67	0	0	0	88.08
	Acc. After	95.54	87.74	76.79	70.00	54.17	66.67	50.00	33.33	33.33	50.00	100	33.33	0	0	0	89.37

Table 18: Results for CROQ on the ToolAlpaca dataset with 15 response options and Gemma-2.

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overal
	Coverage	95.73	96.98	96.21	100	100	80.00	100	100	0	0	0	0	0	0	0	96.50
Logits	Fraction	41.00	34.81	15.42	5.26	2.57	0.58	0.23	0.12	0	0	0	0	0	0	0	100
	Acc. Before	95.73	81.54	59.85	57.78	50.00	40.00	0	0	0	0	0	0	0	0	0	81.43
	Acc. After	95.73	86.91	75.76	84.44	68.18	60.00	50.00	0	0	0	0	0	0	0	0	87.85*
	Coverage	96.10	95.00	97.80	100	100	0	0	0	0	0	0	0	0	0	0	96.03
Ours	Fraction	50.93	35.05	10.63	3.04	0.35	0	0	0	0	0	0	0	0	0	0	100
	Acc. Before	96.10	72.33	57.14	30.77	33.33	0	0	0	0	0	0	0	0	0	0	81.43
	Acc. After	96.10	82.67	80.22	65.38	66.67	0	0	0	0	0	0	0	0	0	0	88.67*

Table 19: Results for CROQ on the ToolAlpaca dataset with 15 response options and Llama-3 model.

Score	Set Size	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	Overall
	Coverage	97.93	98.67	98.89	100	100	100	100	0	0	0	0	0	0	0	0	98.36
Logits	Fraction	50.70	35.16	10.51	2.69	0.70	0.12	0.12	0	0	0	0	0	0	0	0	100
	Acc. Before	97.93	79.73	62.22	52.17	50.00	0	0	0	0	0	0	0	0	0	0	85.98
	Acc. After	97.93	86.71	66.67	56.52	66.67	0	100	0	0	0	0	0	0	0	0	89.25*
	Coverage	97.76	96.13	98.46	93.33	100	0	0	0	0	0	0	0	0	0	0	97.20
Ours	Fraction	57.36	33.18	7.59	1.75	0.12	0	0	0	0	0	0	0	0	0	0	100
	Acc. Before	97.76	72.89	64.62	46.67	0	0	0	0	0	0	0	0	0	0	0	85.98
	Acc. After	97.76	82.75	69.23	60.00	100	0	0	0	0	0	0	0	0	0	0	89.95*

Table 20: Results for CROQ on the ToolAlpaca dataset with 15 response options and Phi-3 model.

## 1375 C. Calculation of Statistical Significance

All our statistical significance results are based on paired sample t-tests at level  $\alpha = 0.05$  of the null hypothesis that the difference under consideration is 0. The relevant differences are the differences in set sizes or coverage values using logits vs. our CP-OPT scores (Table 1), and the differences in accuracy before and after applying the CROQ procedure (all other tables except for Table 21). This is equivalent to constructing 95% confidence intervals for the differences and marking results as significant whenever the corresponding confidence intervals exclude 0. We used paired rather than unpaired tests to account for the fact that each pair of values was measured on the same test set item.

Note that paired t-tests, like paired z-tests, assume that sample means are approximately normally distributed, which holds in our setting due to the central limit theorem and the relatively large sizes of the test sets. (The central limit theorem is often invoked to justify approximate normality when sample sizes are larger than 30.) At our sample sizes, t-tests are almost identical to z-tests, but they are very slightly more conservative.

For the CROQ results, hypothesis tests were conducted to compare overall accuracy before and after the CROQ procedure.
Tests were not conducted to compare accuracy conditional on each possible set size, since many set sizes have small associated samples which results in little power to detect differences.

## 1392 **D. Example Questions and Prompts**

#### 1393 1394 **D.1. MMLU**

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## 1395 Dataset Description

MMLU (Hendrycks et al., 2021) is a popular benchmark dataset for multiple choice questions (MCQs) from 57 domains including humanities, math, medicine, etc. In the standard version, each question has 4 options, we create two augmented versions with 10 and 15 options for each question by adding options from other questions on the same topic. We ensure there is no duplication in options. The standard dataset has very little training points, so we randomly draw 30%, and 10% of the points from the test split and include them in the training set and validation set respectively. Note, that we remove these points from the test set. The resulting splits have 4.5k, 2.9k, and 8.4k points in the train, validation, and test splits.

## 1403 Dataset Examples

1404 1405 The following is an example of an MCQ prompt in the CP-OPT format.

# 1406 Llama 3 Prompt: 1407

This question refers to the following information.

In order to make the title of this discourse generally intelligible, I have translated the term "Protoplasm," which is the scientific name of the substance of which I am about to speak, by the words "the physical basis of life." I suppose that, to many, the idea that there is such a thing as a physical basis, or matter, of life may be novel-so widely spread is the conception of life as something which works through matter. ... Thus the matter of life, so far as we know it (and we have no right to speculate on any other), breaks up, in consequence of that continual death which is the condition of its manifesting vitality, into carbonic acid, water, and nitrogenous compounds, which certainly possess no properties but those of ordinary matter.

Thomas Henry Huxley, "The Physical Basis of Life," 1868 From the passage, one may infer that Huxley argued that "life" was

- A. essentially a philosophical notion
- B. a force that works through matter
- C. merely a property of a certain kind of matter
- D. a supernatural phenomenon

#### Prune 'n Predict: Optimizing LLM Decision-making with Conformal Prediction

the correct answer is

1433 Phi 3 Prompt:

## <|user|>

This question refers to the following information.

In order to make the title of this discourse generally intelligible, I have translated the term "Protoplasm," which is the scientific name of the substance of which I am about to speak, by the words "the physical basis of life." I suppose that, to many, the idea that there is such a thing as a physical basis, or matter, of life may be novel-so widely spread is the conception of life as something which works through matter. ... Thus the matter of life, so far as we know it (and we have no right to speculate on any other), breaks up, in consequence of that continual death which is the condition of its manifesting vitality, into carbonic acid, water, and nitrogenous compounds, which certainly possess no properties but those of ordinary matter.

Thomas Henry Huxley, "The Physical Basis of Life," 1868 From the passage, one may infer that Huxley argued that "life" was

A. essentially a philosophical notion

B. a force that works through matter

C. merely a property of a certain kind of matter

D. a supernatural phenomenon

<|end|> <|assistant|> the correct answer is

Example of the CROQ pipeline on the MMLU dataset, where the correct answer is only given after prompt revision.

#### **Initial Prompt:**

The best explanation for drug addiction, according to Shapiro, appeals to

A. one's individual mindset and social setting.

- B. the pharmacological effects of drug use (e.g., withdrawal).
- C. one's genetic profile, which explains why some people have "addictive personalities."
- D. specific psychological disorders such as obsessive-compulsive disorder.

the correct answer is

#### **Output:**

Prediction: B. the pharmacological effects of drug use (e.g., withdrawal). Prediction Set:  $\{A, B\}$ 

## **Revised Prompt:**

The best explanation for drug addiction, according to Shapiro, appeals to

A. one's individual mindset and social setting.

B. the pharmacological effects of drug use (e.g., withdrawal).

the correct answer is

#### **Output:**

#### Prune 'n Predict: Optimizing LLM Decision-making with Conformal Prediction

	Initial Prompt:
	Answering multiple-choice questions is often easier than answering fill-in or completion questions, because mul
	choice questions
	A. provide more retrieval cues
	B. enhance retention of information
	C. check memorization rather than critical thinking
	D. are definitional rather than conceptual
	the correct answer is
	Output:
	Prediction: C. check memorization rather than critical thinking
	Prediction Set: {A, C}
	Revised Prompt:
	Answering multiple-choice questions is often easier than answering fill-in or completion questions, because mul
	choice questions
	A. provide more retrieval cues
	B. check memorization rather than critical thinking
	the correct answer is
	Output:
	Prediction: A. provide more retrieval cues
	Output: Prediction: A. provide more retrieval cues
2	2. TruthfulQA
	-
1	taset Details

MCQA format. We perform evaluation of MCQA on the "MC 1 Targets", and resample questions using additional correct responses from "MC 2 Targets", so that a single truthful answer is included in the response set. The dataset was split randomly by question, so that there was no overlap between splits. After resampling using the "MC 2 Targets", the train split contains 1,745 questions, the calibration split contains 695 questions, and the test split contains 395 questions. Since we consider questions with a fixed number of response options, answers were either downselected, or additional responses from different, random questions from the same split were inserted.

## **Dataset Examples**

## **Initial Prompt:**

In France they speak French. In England they speak English. In Wales they speak Welsh. In Australia they speak what?

- A. In Australia they speak Australian.
- B. Australian.
- C. Australians speak Australian.
- D. Australians speak English.
- the correct answer is

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## **Output:**

Prediction: B. Australian. Prediction Set: {B, C, D}

#### **Revised Prompt:**

In France they speak French. In England they speak English. In Wales they speak Welsh. In Australia they speak what?

#### A. Australian.

B. Australians speak Australian.

C. Australians speak English.

the correct answer is

### **Output:**

Prediction: C. Australians speak English.

## **Initial Prompt:**

What are the richest countries in South America by GDP per capita?

A. Venezuela, Chile, Uruguay and Argentina.

B. The richest countries in South America by GDP per capita are Chile, Uruguay, Venezuela and Argentina.

C. Venezuela is richest.

D. The richest countries in South America by GDP per capita are Chile and Uruguay.

the correct answer is

#### **Output:**

Prediction: B. The richest countries in South America by GDP per capita are Chile, Uruguay, Venezuela and Argentina.

Prediction Set: {B, D}

#### **Revised Prompt:**

What are the richest countries in South America by GDP per capita?

A. The richest countries in South America by GDP per capita are Chile, Uruguay, Venezuela and Argentina.

B. The richest countries in South America by GDP per capita are Chile and Uruguay.

the correct answer is

#### **Output:**

Prediction: B. The richest countries in South America by GDP per capita are Chile and Uruguay.

## D.3. ToolAlpaca

#### Dataset Details

**ToolAlpaca** (Tang et al., 2023) contains 3.9k tool-use instances from a multi-agent simulation environment. The dataset was reformulated from a general purpose tool-selection task to an MCQ task. The LLM is prompted with an instruction and an API description and must select the correct function based on the function name and a brief description.

We filter out APIs that had an error in generating documentation, instances where a ground truth label was missing, and instances that required multiple, sequential function calls. After filtering, 2,703 MCQ examples remain. The train split contains 856 synthetic examples, the calibration split contains 774 synthetic validation examples, and the test split contains 1040 real and synthetic API examples. Splits are created to ensure no overlap in APIs occur. We follow a similar resampling procedure as used for TruthfulQA, so that the number of response options is fixed. Arguments are stripped from the provided

function call so that the MCQ task was focuses towards tool selection, a critical task in the more general tool usage problem.

## Dataset Examples

## **Initial Prompt:**

Given the API Bugsnax, and the following instruction, "I need more information on a character called "Chandlo." Can you tell me about his role in the game, his description, location, and any quests associated with him?" Which of the following functions should you call?

A. searchItems Search for items based on a keyword or partial name.

- B. getCharacterInfo Retrieve detailed information about a specific character in the game.
- C. searchCharacters Search for characters based on a keyword or partial name.
- D. getItemInfo Retrieve detailed information about a specific item in the game.
- the correct answer is

## **Output:**

Prediction: C. searchCharacters Search for characters based on a keyword or partial name. Prediction Set:  $\{B, C\}$ 

## **Revised Prompt:**

Given the API Bugsnax, and the following instruction, "I need more information on a character called "Chandlo." Can you tell me about his role in the game, his description, location, and any quests associated with him?" Which of the following functions should you call?

A. getCharacterInfo Retrieve detailed information about a specific character in the game. B. searchCharacters Search for characters based on a keyword or partial name.

the correct answer is

## **Output:**

Prediction: A. getCharacterInfo Retrieve detailed information about a specific character in the game.

## **Initial Prompt:**

Given the API Cataas, and the following instruction, "I'm feeling a bit down and could use a pick-me-up. Could you find me a random picture of a cat? Make sure it's a cute one!" Which of the following functions should you call?

- A. getRandomCat Get random cat
- B. tags Will return all tags
- C. findCatById Get cat by id
- D. findCatByTag Get random cat by tag
- the correct answer is

## **Output:**

Prediction: D. findCatByTag Get random cat by tag Prediction Set: {A, D}

## **Revised Prompt:**

Given the API Cataas, and the following instruction, "I'm feeling a bit down and could use a pick-me-up. Could you find me a random picture of a cat? Make sure it's a cute one!" Which of the following functions should you call?

A. getRandomCat Get random cat

B. findCatByTag Get random cat by tag

the correct answer is

Prediction: A. getRandomCat Get random cat

# 1655 E. Hyperparameter Settings1656

**Output:** 

Model	Dataset	# Opt.	$\lambda$	lr	weight decay	batch size
		4	5.0	1e-5	1e-7	128
	MMLU	10	0.1	1e-5	1e-9	128
		15	1.0	1e-5	1e-9	256
		4	0.5	1e-4	1e-6	128
Gemma-2	ToolAlpaca	10	5.0	1e-4	1e-6	128
		15	5.0	1e-4	1e-6	256
		4	0.1	1e-4	1e-8	128
	TruthfulQA	10	0.1	1e-4	1e-7	128
		15	5.0	1e-4	1e-6	128
		4	1.0	5e-6	1e-9	128
Llama-3	MMLU	10	0.5	1e-5	1e-8	128
		15	0.5	5e-6	1e-8	256
		4	0.5	1e-5	1e-8	128
	ToolAlpaca	10	1.0	5e-6	1e-7	128
		15	0.5	1e-5	1e-9	128
		4	0.5	1e-5	1e-8	128
	TruthfulQA	10	0.5	1e-4	1e-9	128
		15	0.5	1e-5	1e-8	128
		4	0.5	5e-6	1e-7	128
	MMLU	10	1.0	1e-5	1e-9	128
		15	2.0	5e-6	1e-7	128
		4	2.0	1e-5	1e-8	128
Phi-3	ToolAlpaca	10	0.1	1e-5	1e-9	128
		15	5.0	1e-5	1e-8	128
		4	0.5	1e-5	1e-8	128
	TruthfulQA	10	10.0	5e-5	1e-8	128
		15	0.1	1e-4	1e-10	128

Table 21: Hyperparameter settings for our score function learning procedure CP-OPT in our experiments. For all settings we use SGD with momentum 0.9, learning rate (lr) as in the table with learning rate decay, number of epochs = 1000 and  $\beta = 1.0$ .