Past as a Guide: Leveraging Retrospective Learning for Python Code Completion

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Abstract

This work presents Past as a Guide (PaG), a simple approach for Large Language 2 Models (LLMs) to improve the coding capabilities by integrating the past history with interactive and iterative code refinements. To be specific, inspired by hu-3 man cognitive processes, the proposed method enables LLMs to utilize previous programming and debugging experiences to enhance the Python code completion tasks. The framework facilitates LLMs to iteratively refine the Python code based on previous execution and debugging results and optimize learning and reasoning capabilities. The proposed methodology achieved a 92% pass@1 on HumanEval, demonstrating the potential to advance the field by leveraging retrospection from 9 past experiences and interactive and iterative refinement processes without external 10 correctness indicators. 11

1 Introduction

Large Language Models (LLMs) play a pivotal role in advancing artificial intelligence research, exhibiting versatility across various applications including coding interfaces and search mechanisms. This versatility is emphasized by seminal works such as ReAct [21], SayCan [1], Toolformer [16], among others. Concurrently, leveraging code is becoming a substantial medium for improving task performance, as illustrated by PAL [7] and Program of Thoughts [5]. Our study, "Past as a Guide(PaG)," aligns with these advancements, aiming to optimize LLM performance through the integration of interactive and iterative code refinement from past retrospective guidance.

This mirrors a fundamental trait of human cognition: the intrinsic ability to harness past experiences. 20 By adeptly employing short-term and long-term memory encoding and retrieval, humans address 21 complex reasoning tasks, especially in areas like programming. Consider, for instance, the task of 22 finding the last 100 digits of the n-th Fibonacci number. Often, when confronted with such challenges, 23 humans draw from their reservoir of past experiences. They recall similar tasks, such as computing 24 the n-th Fibonacci number, and intuitively gauge the similarities and differences between the old 25 and new challenges. This process demonstrates a synergy: humans use long-term memory retrieval 26 to construct retrospections from prior experiences and concurrently engage in short-term iterative 27 refinements. 28

We conduct empirical evaluations to assess the performance of LLMs in harnessing retrospections, leading to a significant achievement of 92% pass@1 at HumanEval. Instead of relying on direct external feedback on correctness, we utilize the MBPP dataset [2] to construct a long-term memory for the LLMs. When faced with a problem from HumanEval [4], the model retrieves and leverages similar problems from its MBPP experiences, effectively boosting its performance. This method, combining interactive and iterative code refinements informed by past experiences, signifies a pivotal advancement in LLM capabilities, indicating a promising trajectory for their future evolution.

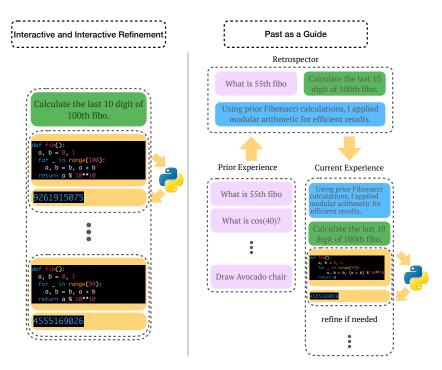


Figure 1: Left: **Interactive and Iterative Code Refinement** showcased through a process of repeated corrections. Right: Retrieval of the most similar question, aligned with the **Current instruction**, sourced from the **Past Experience**. By merging these insights, we form **retrospections**. The subsequent **code generation** follows an interactive and iterative Code refinement process, emphasizing code execution and debugging.

Related work

37 2.1 Code Generation LLMs

There has been a surge of interest in generating code using LLMs. Notable advancements in this domain include the methodologies proposed by Roziere et al. [15], Li et al. [11], Chen et al. [4], 39 and another separate work by Li [11]. These research pieces underscore the capability of LLMs to 40 craft precise and functional code structures. Additionally, innovative techniques have emerged that 41 harness models like ChatGPT or those that deploy self-instruction paradigms [20]. Such models 42 are first primed to generate paired datasets of instructions and code, which are subsequently used to 43 44 fine-tune the LLMs [13, 9]. In a similar vein, Zhou et al. [23] leverage external knowledge through 45 "DocPrompting" by integrating code documentation for code generation. While this approach underscores the value of external references, our approach uniquely focuses on leveraging the past experiences of LLMs to improve problem-solving in programming tasks.

48 2.2 Code Execution LLMs

An alternative but equally compelling line of work exploits code not merely as an output but as a vital operative tool for LLMs. For instance, the PAL [7] utilizes code execution results embedded within prompts to boost the model's proficiency in mathematical and numerical benchmarks. Moreover, the Binder approach [6] demonstrates that LLMs, when equipped with SQL or Python execution capabilities, manifest a superior comprehension of tabular datasets. Exploring the intersection of robotics and LLMs, the Code as Policies [12] postulates that LLMs can produce code, serving as operational policies, to govern robotic actions fulfilling specific instructions. Furthermore, ViperGPT [18], an innovative paradigm that synergizes vision foundation models with Python-executable code generated by GPT to address vision-oriented tasks.

2.3 Self Improvement

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Various studies have highlighted innovative approaches to enhance the programming performance of Language Learning Models (LLMs). For instance, Haluptzok et al. [8] demonstrated that crafting programming puzzles and validating solutions collaboratively can produce verified synthetic data. When LLMs are fine-tuned with this data, there's a marked improvement in their programming 62 capabilities. On a different tangent, "Reflexion," as developed by Shinn et al. [17], grants agents 63 dynamic memory and the ability for self-reflection to improve reasoning abilities. Although Reflexion 64 employs a form of external memory, its application is constrained to scenarios involving identical 65 instruction sets. This methodology, while effective for repeated tasks, may not generalize well to 66 varied problems that share conceptual similarities but differ in specifics, as is common in real-world applications. Consequently, in our comparative analysis, Reflexion is not categorized as using external memory in a broad sense, which we reserve for systems that leverage past insights dynamically across distinct but conceptually related problems. In the realm of mathematics, Zhou et al. [22] 70 emphasize that prompts focused on verification, combined with voting techniques, can notably enhance GPT-4's performance in math-related benchmarks. Another intriguing approach is presented by Madaan et al. [14], wherein the model iteratively refines its outputs leveraging self-generated 73 feedback. "Voyager" by Wang et al. [19] conceptualizes code as a skill. It recalls and incorporates 74 skills acquired from prior tasks, thus sharpening its proficiency in abstractions. In contrast to the 75 above methodologies that often rely on external rewards or feedback, our proposed PaG utilizes 76 77 generated codes to rectify errors discerned from prior retrospections. Notably, our method constructs 78 these retrospections solely based on its own execution results, without the need for any external input or feedback.

Table 1: Comparison of LLM Enhancement Methodologies. *As discussed in [10], we do not classify receiving right or wrong feedback from an oracle evaluator as oracle feedback; instead, we utilize tools to obtain intrinsic feedback.

	Iterative Self Correction	Dynamic External Memory Use*	No Oracle Feedback†
Voyager	Χ	√	√
Self-refine [14]	\checkmark	X	\checkmark
Reflexion [17]	\checkmark	X *	X
PaG (Ours)	\checkmark	\checkmark	\checkmark

^{*}Dynamic External Memory Use is considered here as the ability to apply learned insights across varied but conceptually related problems. Reflexion's memory use is limited to identical instructions. †In the context of oracle feedback, we refer to the use of explicit right/wrong evaluation from an oracle. Our approach utilizes intrinsic feedback mechanisms as delineated in [10].

Method

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3.1 Interactive and Iterative Code Refinements

Overview: Consider an instruction I presented in natural language. To achieve the desired outcome based on this instruction, we utilize a policy, denoted as π . This policy, in the context of our work, is embodied by a Large Language Model (LLM) denoted as LLM. The policy π produces a verbal action A which, in essence, is textual content. When the content of A contains a code segment, it can be systematically parsed and executed. The outcome of this execution is subsequently appended to A. Engaging in this process iteratively allows for the comprehensive completion of the task at hand. To explicate further, the action A is comprised of a set, such that:

$$A = \{(\texttt{text}, \texttt{code}, \texttt{execution_result})\} \times \# \text{ of trials }$$

Here, each element of the set A represents a trial, constituting the text, the parsed code, and the result of executing that code, whose collective enumeration accomplishes the instructed task. 90

Implementations: For our experiment, we employ the GPT4 API. It has been observed that several popular LLM APIs, such as ChatGPT and GPT4, exhibit constraints when tasked with code generation. Primarily, many extant LLM models are trained with safety mechanisms to prevent potential harm, as discussed by Bai et al. (2022) [3]. Consequently, when requests are made for operations like

fetching data from 'yfinance' or image manipulation tasks, these models might assert their inability to execute them. Contrarily, they are indeed capable of producing the correct code snippets necessary for task completion. To circumvent this limitation, we delineated the model's role and its execution capabilities within the SYSTEM_PROMPT section of ChatGPT. A comprehensive version of this prompt can be found in Appendix A. Then, We utilized Python's regex functionality to extract and filter out code blocks. If a code segment is detected, it is executed using a Jupyter Notebook client. Subsequently, the resultant output from the code segment is assimilated into the GPT4 trajectories.

3.2 Exploring stage

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Memory Curation: In the exploration stage, each run of an LLM produces a tuple, denoted by t, and is mathematically represented as:

$$t = \langle \mathtt{USER_INSTRUCTION}, \mathtt{ASSISTANT_ANSWER} \rangle$$

This tuple, t, is then appended to a memory store, symbolized as \mathcal{M} , in accordance with the following relation:

$$\mathcal{M} \leftarrow \mathcal{M} \cup \{t\}$$

Here, \mathcal{M} acts as a repository embodying the aggregate experiences or memories of the LLM. Each element within \mathcal{M} is a unique tuple comprising a user instruction and the corresponding assistant response. The incorporation of new tuples into \mathcal{M} signifies the LLM's process of updating its knowledge base, learning, and adapting through each run. For the purpose of this paper, we leverage the initial subset of MBPP [2], consisting of the first 470 examples, to formulate the \mathcal{M}

112 3.3 Past as a Guide

PaG concentrates on utilizing experienced memories \mathcal{M} to proficiently formulate an action, A, capable of fulfilling a provided instruction, I. This method unfolds systematically through several interconnected steps.

Initially, an instruction, I, instigates a search within our structured memory store \mathcal{M} . To facilitate this, we calculate embeddings for I and each instruction in \mathcal{M} using OpenAI's text-embedding-ada-002 model and employ cosine similarity as the metric to quantify resemblance between the instruction embeddings, thereby extracting the most analogous instruction from \mathcal{M} .

$$I_{\text{similar}} = \underset{I' \in \mathcal{M}}{\operatorname{argmax}} \quad \text{Similarity}(I, I')$$
 (1)

When a similar instruction is identified, we use its corresponding action trajectories, A, together with the original instruction, I, to query the LLM. This query is designed to derive retrospections, denoted as R. It emphasizes the extraction and application of knowledge from past experiences to the present context.

$$R = \text{LLM}$$
 ("How can prior experience " A_{similar} " be applied to solve " I "?") (2)

After the acquisition of retrospections, R, they are seamlessly integrated as a prefix to the initial instruction, I, enriching the instruction base to generate the refined action, A, targeting the accomplishment of the outlined task in the initial instruction.

$$A = LLM(R+I) \tag{3}$$

PaG utilizes knowledge from previous interactions stored in \mathcal{M} . This knowledge helps refine the model's responses to new instructions, placing retrospection of past experiences in the context of new situations. As a result, the model becomes more effective in completing tasks.

For a more detailed, practical illustration of our method refer to Appendix B.

4 Code Completion Experiments

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Task: The task is derived from HumanEval [4], where the objective is to complete a provided Python code skeleton. This task presented a natural language description along with an incomplete Python code snippet. The completed code is then verified for correctness by executing the associated test code, which either yields a pass or fail outcome based on the code's accuracy and efficacy. The primary goal is to successfully fulfill the requirements delineated in the natural language description by augmenting the Python skeleton to create a functional and correct code piece.

Results: In our experiments, we assess the effectiveness of our proposed methods against baseline models. The following table 2. 2 summarizes the performances of the different approaches:

Method	% Pass@1
GPT-4	67.00
GPT-4 + Interactive and Iterative Code Refinement (max 6 tries)	84.15
GPT-4 + Interactive and Iterative Code Refinement (max 12 tries)	90.85
Reflexion [17]	91.00
GPT-4 + Interactive and Iterative Code Refinement (max 12 tries) + PaG	92.07

Table 2: Performance comparison of different methods, with our method highlighted.

PaG achieved state-of-the-art performance, a commendable feat realized without relying on external correctness feedback from the test code. Our approach uniquely combines retrospective guidance from past experiences with an interactive and iterative code refinements loop driven by code execution. This emphasizes the dual strengths of our method: harnessing past experiences for informed guidance and using interactive refinements for precision. Both factors collectively enhance the model's performance, showcasing the potential of integrating experienced knowledge with dynamic refinements without the need for external correctness indicators.

The actions generated by the Large Language Model, denoted as A, include numerous code blocks, 148 each undergoing multiple trials. Every block within A is subjected to a comprehensive examination 149 against corresponding test cases. However, due to the possibility of discrepancies arising during 150 intermediate executions of the solution function, an erroneous 'fail' flag may be prompted even if 151 the final solution is accurate. To counteract this, we employ a refinement procedure, using regular 152 expressions to meticulously filter and eliminate all print and assert statements within the generated 153 code blocks. Any cases initially identified as failures undergo a re-assessment phase, wherein the 154 accurate code block is extracted from the ensemble within \mathcal{A} and subjected to a secondary evaluation. 155

5 Conclusion and Limitation

This work has developed a methodology that, akin to human learning, utilizes prior experiences 157 and retrospection to enhance performance from the initiation phase through the reasoning stages, 158 employing a multi-attempt code interpreter. Our proposed method, PaG, integrates past experiences 159 with current instructions to craft retrospections. Further, it employs interactive and iterative code 160 refinements through execution. By drawing on past lessons, PaG attained a 92% performance on 161 the HumanEval benchmark. However, the current scope of this methodology is largely confined to programming-centric tasks, similar to those in HumanEval, presenting a limitation in its applicability to more language-intensive tasks. As a prospective avenue for future research, the exploration of 164 incorporating online learning to augment retrospections is promising, potentially allowing models to 165 mirror the continual learning and adaptability inherent in human cognition and addressing a broader 166 array of tasks with increased efficacy and precision. 167

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236 A Code Interpreter System Prompt

SYSTEM_PROMPT

As an advanced language model, you can generate code as part of your responses. To make the code more noticeable and easier to read, please encapsulate it within triple backticks. For instance, if you're providing Python code, wrap it as follows:

```
print('hellow world')
```

Wrapped code block will automatically be executed and appended to the prompt.

hellow world

After presenting the results from the code, you will provide a useful explanation or interpretation of the output to further aid your understanding. Additionally, when generating plots or figures.

You'll save them to a specified path, like ./tmp/plot.png so that they can be viewed. After saving the plot, I'll use the following markdown syntax to display the image at the end of the response:

![plot]('./tmp/plot.png')

You are using a Jupyter Notebook currently.

This approach allows me to visually present data and findings.

B Retrospections Example Trajectory

Retrospections

From Previous Similar Questions:

The largest_number function sorts a list of digits in descending order and joins them to form the largest possible number. However, this is not directly applicable to the rolling_max problem.

Application to the Question:

The rolling_max function requires maintaining a rolling maximum value as we traverse through the list. This is different from the largest_number function which sorts the entire list first. For rolling_max, we need to keep track of the maximum value found so far and update it as we encounter larger numbers in the list. The output list should contain the maximum value found at each point in the input list. The largest_number function does not provide a useful trajectory for solving this problem.

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Instruction

Write a Python script to solve the following problem:

```
from typing import List, Tuple

def rolling_max(numbers: List[int]) -> List[int]:
    # From a given list of integers, generate a list
    # of rolling maximum element found until given moment
    # in the sequence.
    >>> rolling_max([1, 2, 3, 2, 3, 4, 2])
    [1, 2, 3, 3, 3, 4, 4]
```

Ensure the solution is verified by printing the expected output.

```
Assistant
  Here is the Python script that solves the problem:
from typing import List
3 def rolling_max(numbers: List[int]) -> List[int]:
       max_so_far = numbers[0]
       result = []
       for num in numbers:
            if num > max_so_far:
                max_so_far = num
           result.append(max_so_far)
10
       return result
12 print(rolling_max([1, 2, 3, 2, 3, 4, 2]))
  RESULT
  [1, 2, 3, 3, 3, 4, 4]
  Additional Test Cases for Verification:
print(rolling_max([1])) # Single element
2 print(rolling_max([5, 5, 5, 5])) # All elements are the same
3 print(rolling_max([9, 8, 7, 6, 5, 4, 3, 2, 1])) # Descending
4 print(rolling_max([])) # Empty list
  RESULT
  [1]
  [5, 5, 5, 5]
  [9, 9, 9, 9, 9, 9, 9, 9]
  Error: IndexError: list index out of range
  Error Resolution and Final Implementation:
  The function fails when the input list is empty, as the code tries to access the first element
  without prior existence verification. A conditional return for empty lists has been added to
  address this.
1 from typing import List
3 def rolling_max(numbers: List[int]) -> List[int]:
       if not numbers:
           return []
       max_so_far = numbers[0]
       result = []
       for num in numbers:
9
            if num > max_so_far:
                max_so_far = num
10
           result.append(max_so_far)
11
       return result
12
13
14 # Re-verification with the adjusted implementation
15 print(rolling_max([])) # Empty list
  RESULT
  The corrected implementation now successfully handles all edge cases, producing accurate
  results consistently.
```

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