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# WALL-E: <u>W</u>ORLD <u>A</u>LIGNMENT BY RULE <u>LE</u>ARNING IMPROVES WORLD MODEL-BASED LLM AGENTS



Figure 1: **Illustration of WALL-E mining a diamond in Minecraft.** Step 1-2: the agent makes a plan via MPC with the initial unaligned world model, resulting in a failed action for mining iron ore. Step 3: by comparing real trajectories with the world model predictions, WALL-E learns a critical rule that if the tool is not proper to the material being mined, the action will fail. Step 4-5: the learned rule helps the world model make accurate predictions for transitions that were predicted mistakenly in MPC. Step 6: the agent accordingly modifies its plan and replaces *stone pickaxe* with an *iron pickaxe* toward completing the task.

#### ABSTRACT

Can large language models (LLMs) directly serve as powerful world models for modelbased agents? While the gaps between the prior knowledge of LLMs and the specified environment's dynamics do exist, our study reveals that the gaps can be bridged by aligning an LLM with its deployed environment and such "world alignment" can be efficiently achieved by rule learning on LLMs. Given the rich prior knowledge of LLMs, only a few additional rules suffice to align LLM predictions with the specified environment dynamics. To this end, we propose a neurosymbolic approach to learn these rules gradient-free through LLMs, by inducing, updating, and pruning rules based on comparisons of agentexplored trajectories and world model predictions. The resulting world model is composed of the LLM and the learned rules. Our embodied LLM agent "WALL-E" is built upon model-predictive control (MPC). By optimizing look-ahead actions based on the precise world model, MPC significantly improves exploration and learning efficiency. Compared to existing LLM agents, WALL-E's reasoning only requires a few principal rules rather than verbose buffered trajectories being included in the LLM input. On open-world challenges in Minecraft and ALFWorld, WALL-E achieves higher success rates than existing methods, with lower costs on replanning time and the number of tokens used for reasoning. In Minecraft, WALL-E exceeds baselines by 15-30% in success rate while costing 8-20 fewer replanning rounds and only 60-80% of tokens. In ALFWorld, its success rate surges to a new record high of 95% only after 6 iterations. Code is available here.

# 047 1 INTRODUCTION

While large language models (LLMs) have been successfully 049 applied to complex reasoning, generation, and planning tasks, 050 they are not sufficiently reliable to be deployed as an agent in 051 specific open-world environments, e.g., games, VR/AR sys-052 tems, medical care, education, autonomous driving, etc (Ope-053 nAI, 2023; Wei et al., 2022; Liu et al., 2024). A primary 054 reason for the failures is the gap between the commonsense reasoning with prior knowledge of pretrained LLMs and the specified, hard-coded environment's dynamics, which leads 057 to incorrect predictions of the future states, hallucinations, or violation of basic laws in LLM agents' decision-making pro-059 cess (Mu et al., 2023b; Yang et al., 2024; Das et al., 2018; Wu et al., 2024). Although the alignment of LLMs with human 060 preferences has been widely studied as a major objective of 061 LLM post-training, "world alignment" with an environment's 062 dynamics has not been adequately investigated in building 063 LLM agents (Hao et al., 2023; Rafailov et al., 2024; Ge et al., 064 2024). Moreover, many existing LLM agents are model-free 065 and their actions are directly executed in real environments 066 without being verified or optimized in advance within a world 067 model or simulator (Mu et al., 2023b; Yao et al., 2023; Shinn 068 et al., 2024; Zitkovich et al., 2023; Wu et al., 2023; Micheli & 069 Fleuret, 2021; Brohan et al., 2022). This leads to safety risks 070 and suboptimality of generated trajectories.



Figure 2: **Overview of WALL-E**. The agent's action per step is controlled by MPC, where the agent model plans actions in a look-ahead window based on the LLM+rules world model's predictions.

In this paper, we show that aligning an LLM with environment dynamics is both necessary and crucial to make it a promis-

073 ing world model, which enables us to build more powerful embodied agents. In particular, we introduce a 074 neurosymbolic world model that composites a pretrained LLM with a set of newly learned rules from the 075 interaction trajectories with the environment. This specific form of world model combines the strengths of both in modeling the environment dynamics, i.e., (1) the rich prior knowledge, probabilistic, and deduc-076 tive reasoning capability of LLMs (Hu & Shu, 2023); and (2) the hard constraints and rigorous guarantees 077 enforced by rules (Li et al., 2024a). While creating a rule-only world model for a complex environment is 078 challenging due to the massive amount of rules and uncertainty (Xiao et al., 2021), in our method, only a few 079 complementary rules suffice to align a pretrained LLM to specific environment dynamics. This is achieved 080 by simply including these rules in the LLM's prompt without tedious training or inference. In contrast, 081 existing LLM agents usually require expensive finetuning of LLMs via RL/imitation learning on trajectory 082 data, or memory-heavy inference with a long input context of buffered trajectories (Mu et al., 2023b; Gao 083 et al., 2023a; Yang et al., 2024; Shinn et al., 2024). 084

To this end, we propose "World Alignment by ruLe LEarning (WALL-E)", which builds the neurosymbolic world model by learning complementary rules with LLMs' inductive reasoning and code generation capability. Specifically, in each iteration, WALL-E interacts with the environment to collect a real trajectory and compare it with the world model predictions. The comparison results are then analyzed by an LLM, which extracts new rules or modifies existing ones to improve the consistency between the predicted and real trajectories. To keep the rule set minimal necessarily, at the end of each iteration, we prune the rules by solving a maximum coverage problem, which aims to select a subset of rules with the maximal coverage of the transitions failed being predicted by the LLM in the world model (without applying any rules). Hence, the selected rules are complementary to the LLM predictions. The above rule learning procedure repeats for multiple iterations until the LLM+rules performs as an accurate world model. 094 The precise world model achieved by WALL-E enables us to create better model-based LLM agents for 095 challenging open-world tasks. However, model-based reinforcement learning (RL) of LLM agents in com-096 plex environments is still hindered by the expensive exploration and finetuning of LLMs. In this paper, 097 we revisit the classical idea of model-predictive control (MPC) (Qin & Badgwell, 2003; Hafner et al., 2019; 098 2020; 2023), compared to RL, which does not require training a policy network but needs to optimize actions for a look-ahead time window in every step. To reduce the optimization cost per step, we instead apply the LLM agent as an optimizer searching for the optimal look-ahead actions by interacting with the WALL-E's 100 world model. With an aligned world model and an efficient LLM-based optimizer, MPC leads to a more 101 promising and efficient framework of LLM agents in open-world environments. 102

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We evaluate WALL-E on challenging tasks in open-world environments such as Minecraft and ALFWorld 104 where the agents can explore freely and target complicated tasks. Our main contributions are threefold.

- We investigate the underexplored "world alignment" challenge for LLM agents. 106
  - We propose a novel class of neurosymbolic world models based on rule learning on LLMs.
  - We develop LLM agents based on model-predictive control (MPC) with the neurosymbolic world model.

#### 2 **RELATED WORK**

Recent studies have integrated LLMs with rule learning to improve reasoning and generalization capabilities 113 across various tasks, including numerical reasoning, knowledge graph exploration, and adherence to prede-114 fined rules (Yang et al., 2023a; Zhu et al., 2023c; Mu et al., 2023a; Yang et al., 2023b; Luo et al., 2023). 115 However, prior work has not focused on aligning LLM-based world models with dynamic environments. 116 Our research addresses this gap by applying rule learning to enhance model-based agent performance in 117 such contexts. Several works have also used LLMs to construct world models for task planning by translat-118 ing natural language into representations or combining LLMs with task-specific modules (Wong et al., 2023; 119 Guan et al., 2023; Tang et al., 2024). Unlike these approaches, we directly employ LLMs as world models, 120 leveraging their inherent knowledge for greater flexibility and efficiency. While some works use LLMs as 121 world models, typically relying on fine-tuning or human defined prompts for alignment with environment dynamics (Xiang et al., 2024; Xie et al., 2024; Zhao et al., 2024; Hao et al., 2023; Liu et al., 2023). Our 122 method advances this by automatically learning rules through exploration, reducing human intervention and 123 improving performance. For a more comprehensive discussion of related work, please refer to Appendix A. 124

#### METHOD 3

#### MODEL-PREDICTIVE CONTROL (MPC) OF WORLD MODEL-BASED LLM AGENTS 3.1

We consider a scenario where a LLM, denoted as f, is deployed in a dynamic environment for agent inter-130 action over discrete time steps. At each time step t, the agent observes the current state  $s_t$ , selects an action 131  $a_t$ , and transitions to the next state  $s_{t+1}$ . This transition is represented as  $\delta_t = (s_t, a_t, s_{t+1})$ . A trajectory 132  $\tau = (\delta_0, \delta_1, \dots, \delta_{T-1})$  comprises a sequence of such transitions, capturing the agent's behavior from the 133 initial to the terminal state within an episode. 134

135 The LLM-based world model  $f_{\rm wm}$  predicts the subsequent state  $\hat{s}_{t+1}$  based on the current state and action:

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$$\hat{s}_{t+1} = f_{\rm wm}(s_t, a_t),\tag{1}$$

138 Model Predictive Control (MPC) is a widely recognized framework for model-based control. In this context, we integrate MPC with the LLM-based world model  $f_{\rm wm}$  to enhance agent planning and decision-making, 139 the whole framework is illustrated in Figure 2. The objective is to determine an optimal sequence of actions 140



Figure 3: **Rule Learning details**. The rule learning module iteratively refines the rules by comparing the world model predicted trajectories with the agent's actual trajectories in the environment. The rule learning takes five steps: (1) comparing predicted and actual trajectories; (2) learning new rules from real trajectories; (3) refining learned rules; (4) translating natural language rules to code; and (5) rule set pruning via solving a maximum coverage problem. (2)-(4) are handled by LLMs, while (1) and (5) are executed by programs.

 $a_{t:t+H}$  over a finite horizon *H* that maximizes the expected cumulative reward. At each time step *t*, the optimization problem is formulated as:

$$a_{t:t+H}^* = \arg \max_{a_{t:t+H}} \mathbb{E}\left[\sum_{i=0}^{H} \gamma^i \mathcal{F}(\hat{s}_{t+i+1})\right],\tag{2}$$

where  $\gamma$  is the discount factor, and  $\mathcal{F}(\hat{s}_{t+i+1})$  denotes the reward function.

However, if the LLM-based world model is misaligned with the actual environment dynamics, the predicted state  $\hat{s}_{t+1}$  may not match the true state  $s_{t+1}$ . This misalignment leads to incorrect reward evaluations, resulting in inaccurate cumulative reward estimates. Consequently, the derived action sequence  $a_{t:t+H}^*$  may be suboptimal or erroneous, leading to ineffective control decisions by the agent. Therefore, addressing the misalignment between the LLM world model and the environment's true dynamics is crucial for ensuring optimal performance within the MPC framework.

#### 178 3.2 WORLD ALIGNMENT BY RULE LEARNING (WALL-E)

In complex environments, direct state prediction is challenging due to complexity and randomness. To address this, our world model uses a two-stage approach: first, it assesses action\_result (e.g., success or failure), then generates the subsequent state\_info (provides state details) based on the action success:

$$\hat{s}_{t+1} = (\operatorname{action\_result}_{t+1}, \operatorname{state\_info}_{t+1}) = f_{\operatorname{wm}}(s_t, a_t), \tag{3}$$

To address potential misalignment between the  $f_{wm}$  and the real environment, we introduce a rule learning framework, illustrated in Figure 3 and detailed in the following sections. The learned rules align the  $f_{wm}$ with the environment, enhancing state prediction accuracy and improving agent performance within the MPC framework. 188 Comparing Predicted and Real Trajectories. To find misalignments between the LLM world model and 189 the real environment, we compare action outcomes in predicted and actual next state, focusing on the binary 190 action\_result rather than detailed state\_info. This focus provides a reliable basis for identifying discrepancies. Let the predicted trajectories be  $\tau^{\text{predicted}} = \{\delta = (s_t, a_t, \hat{s}_{t+1})\}_{t=0}^T$ . Then, we may divide  $\tau^{\text{predicted}}$  into 191 192 correct and incorrect transition set, and correct the wrong  $\hat{s}_{t+1}$  (see Step 1 of rule learning in Figure 3):

$$\mathcal{D}^{\text{correct}} = \left\{ \delta_t^{\text{correct}} = (s_t, a_t, \hat{s}_{t+1}) \mid \hat{s}_{t+1} = s_{t+1} \right\}, \\ \mathcal{D}^{\text{incorrect}} = \left\{ \delta_t^{\text{incorrect}} = (s_t, a_t, s_{t+1}) \mid \hat{s}_{t+1} \neq s_{t+1} \right\},$$
(4)

196 where  $s_{t+1}$  is the true state given by environment. Then  $\tau^{\text{predicted}} = \mathcal{D}^{\text{correct}} \cup \mathcal{D}^{\text{incorrect}}$ . By analyzing 197  $\mathcal{D}^{\text{incorrect}}$ , we pinpoint where the model's predictions diverge from reality, highlighting areas needing correc-198 tion through additional rules. 199

Learning New Rules from Real Trajectories. Before address these misalignments, we prompt the LLM  $f_{gen}$  to generate new natural language rules from real trajectories  $\tau^{real}$  (see Appendix B.1 for detailed prompt). The LLM is given the task setup and state-action structures to infer new natural language rules  $R_{\text{new}}^{\text{NL}}$  that explain the observed dynamics, ensuring they are distinct from previous rules  $R_{\text{previous}}^{\text{NL}}$ :

$$R_{\rm new}^{\rm NL} = f_{\rm gen}(\tau^{\rm real}, R_{\rm previous}^{\rm NL}),\tag{5}$$

**Refining Learned Rules.** Then, we prompt the LLM to update existing rules based on the real trajectories  $\tau^{\text{real}}$  (see Appendix B.2 for detailed prompt). Early-stage rules could be inaccurate due to data drift caused by the limited data, so the LLM identifies conflicting rules and modifies or discards them as needed. The set of all existing rules up to the current point is  $R_{\text{existing}}^{\text{NL}} = R_{\text{previous}}^{\text{NL}} \cup R_{\text{new}}^{\text{NL}}$ , where the LLM  $f_{\text{refine}}$  refines these rules with the real trajectories:

$$R^{\rm NL} = f_{\rm refine}(\tau^{\rm real}, R^{\rm NL}_{\rm existing}).$$
(6)

213 Translating Natural Language Rules to Code. The next step is translating the refined natural language 214 rules  $R^{\text{NL}}$  into executable code. We prompt the LLM  $f_{\text{code.gen}}$  to produce the code-based rule set  $R^{\text{code}}$  (see 215 Appendix B.3 for detailed prompt): 216

$$R^{\text{code}} = f_{\text{code},\text{gen}}(R^{\text{NL}}),\tag{7}$$

218 Rule Set Pruning via Maximum Coverage. In the final step, to address the inherent uncertainty and 219 variability in the LLM-driven rule-learning process, we programmatically verify and refine the rule set to reduce dependence on the LLM. The code-based rules  $R^{code}$  are executed and validated against the labeled 220 predicted transitions  $\tau^{\text{predicted}}$ . Any rule that fails to predict a transition correctly is discarded, ensuring that 221 only accurate and effective rules are retained. 222

223 We further optimize the rule set by selecting rules that maximize coverage of the incorrectly predicted 224 transitions  $\delta_t^{\text{incorrect}}$ , where the LLM world model's failed. This approach focuses our efforts on correcting 225 the most significant misalignments between the LLM and the environment. We formulate this optimization as a maximum set cover problem.  $\mathcal{D}^{\text{incorrect}} = \{\delta_1^{\text{incorrect}}, \delta_2^{\text{incorrect}}, \dots, \delta_n^{\text{incorrect}}\}$  is the set of incorrectly predicted transitions, and  $R^{\text{code}} = \{R_1^{\text{code}}, R_2^{\text{code}}, \dots, R_m^{\text{code}}\}$  is the set of code-based rules. Our goal is to 226 227 select a minimal subset of rules that maximizes coverage of  $\mathcal{D}^{\text{incorrect}}$ : 228

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$$\max_{\boldsymbol{x} \in \{0,1\}^m, \ \boldsymbol{y} \in \{0,1\}^n} \left\{ \sum_{j=1}^n y_j - \lambda \sum_{i=1}^m x_i \ \middle| \ y_j \le \sum_{i=1}^m x_i a_{ij}, \ \forall j = 1, \dots, n \right\},\tag{8}$$

where  $x_i$  indicates whether rule  $R_i^{\text{code}}$  is selected ( $x_i = 1$ ) or not ( $x_i = 0$ ),  $y_j$  indicates whether transition 233  $\delta_i^{\text{incorrect}}$  is covered  $(y_j = 1)$  or not  $(y_j = 0)$ , and  $a_{ij} = 1$  if transition  $\delta_i^{\text{incorrect}}$  is covered by rule  $R_i^{\text{code}}$ , 234

 $a_{ij} = 0 \text{ otherwise. The constraint ensures that a transition } \delta_j^{\text{incorrect}} \text{ is considered covered if it is included in} \\ a_{ij} = 0 \text{ otherwise. The constraint ensures that a transition } \delta_j^{\text{incorrect}} \text{ is considered covered if it is included in} \\ a_{ij} = 0 \text{ otherwise. The constraint ensures that a transition } \delta_j^{\text{incorrect}} \text{ is considered covered if it is included in} \\ a_{ij} = 0 \text{ otherwise. The constraint ensures that a transition } \delta_j^{\text{incorrect}} \text{ is considered covered if it is included in} \\ a_{ij} = 0 \text{ otherwise. The constraint ensures that a transition } \delta_j^{\text{incorrect}} \text{ is considered covered if it is included in} \\ a_{ij} = 0 \text{ otherwise. The parameter } \lambda > 0 \text{ balances minimizing the number of rules and maximizing} \\ transition coverage; we set \lambda to be very small to prioritize coverage maximum. We solve this optimization \\ problem using a greedy algorithm (see Appendix F).$ 

Through this process, we eliminate **rules covering only correct transitions**, as they do not address misalignments, and **redundant rules** fully covered by more comprehensive ones (see Step 5 of rule learning in Figure 3). This pruning process results in a pruned rule set that is both efficient and effective in correcting the LLM's misalignments. Additionally, any code-based rules removed from  $R^{\text{code}}$  are also excluded from the set of natural language rules  $R^{\text{NL}}$ .

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#### 3.3 INFERENCE ON LLM AGENTS WITH LEARNED RULES

After completing the rule learning process, we obtain rules in two distinct forms: natural language rules  $R^{NL}$  and code-based rules  $R^{code}$ . Both types of rules enhance the LLM world model's ability to predict the next state  $\hat{s}_{t+1}$  within the planning framework: For **natural language rules**, these can be embedded directly into the LLM's input prompt to guide the model's predictions, e.g.,  $\hat{s}_{t+1} = f_{wm}(s_t, a_t, R^{NL})$ . For **codebased rules**, these are applied programmatically after the LLM generates its initial prediction, e.g.,  $\hat{s}_{t+1} =$ ApplyRules $(f_{wm}(s_t, a_t), R^{code})$ . Here, the function ApplyRules serves as a verification layer, overriding the LLM's prediction if an active rule contradicts the generated outcome. For further details on rule activation, refer to Appendix G.

By integrating learned rules, the aligned LLM world model enhances the agent's planning process significantly. This alignment allows the agent to more effectively obtain optimal action sequences  $a_{t:t+H}$  through two key improvements: First, the alignment leads to more **accurate reward evaluations**  $\mathcal{F}(\hat{s}_{t+1})$ , increasing the likelihood of selecting optimal action sequences  $a_{t:t+H}$  within the MPC framework. Second, the aligned world model, equipped with learned rules, provides **high-quality feedback** that helps the agent refine  $a_{t:t+H}$  effectively. Along with predicting action results and state information, it offers auxiliary information when an action is predicted to fail, including:

- Feedback: A textual explanation of the failure based on violated rules.
- Suggestion: Recommendations for corrective actions or improvements based on the current state, action taken, and violated rules.

This information is crucial when an action fails, guiding the agent in revising its strategy by exploring alternatives or adjusting its approach(see Appendix D.2 for examples).

In conclusion, integrating learned rules improves the LLM world model's prediction accuracy and provides
 actionable feedback, enabling more efficient and adaptive planning.

### 271 4 EXPERIMENTS

272 We evaluate the environment modeling and task-solving capabilities of WALL-E on open-world environ-273 ments using the Minecraft (Fan et al., 2022) and ALFWorld (Shridhar et al., 2020b) benchmarks. Compared 274 to state-of-the-art (SOTA) LLM/VLM agents, WALL-E achieves higher success rates with lower costs in 275 terms of replanning time and token usage for reasoning. Notably, in Minecraft, WALL-E surpasses base-276 lines by 15–30% in success rate while costing 8–20 fewer replanning rounds and only 60–80% of tokens. 277 In ALFWorld, it achieves a record of 95% success rate only after 6 iterations, significantly exceeding other 278 planning-based methods such as RAFA (Liu et al., 2023). Moreover, integrated with our proposed rule 279 learning method, WALL-E achieves a 15% higher success rate than methods relying on a long input context 280 of buffered trajectories. These highlights demonstrate WALL-E's superior efficiency and effectiveness in complex and open-world environments. 281

Table 1: Comparison of WALL-E and baselines on Minecraft tasks for success rate (%) and replanning
 rounds. \*-reported in previous work. VLMs = vision-language models, LLMs = large language models. The
 best score for each task is highlighted in **bold**. WALL-E substantially exceeds other SOTA LLM/VLM
 agents and is the only method that performs better than human players in the Minedojo benchmark.

Me	thod	Success Rate (%) $\uparrow$ (Replanning Rounds $\downarrow$ )									
		Avg.	Avg. Wooden		Iron	Golden	Diamond	Redstone			
Is	GPT-4V* (Li et al., 2024b)	10(-)	41(-)	21(-)	0(-)	0(-)	0(-)	0(-)			
2	Jarvis-1* (Wang et al., 2023b)	42(-)	94(-)	89(-)	36(-)	7(-)	9(-)	16(-)			
$\geq$	Optimus-1* (Li et al., 2024b)	<b>47</b> (-)	<b>99</b> (-)	<b>92</b> (-)	47(-)	9(-)	12(-)	25(-)			
	GPT-3.5* (Li et al., 2024b)	10(-)	40(-)	20(-)	0(-)	0(-)	0(-)	0(-)			
Is	DEPS (Wang et al., 2023a)	37(35.36)	83(10.67)	41(33.26)	33(35.27)	22(45.29)	24(42.46)	17(45.22)			
2	GITM (Zhu et al., 2023b)	54(25.49)	96(3.42)	<b>92</b> (6.01)	57(23.93)	29(37.17)	30(39.80)	22(42.63)			
Ц	WALL-E w/o WM	61(23.13)	94(5.04)	89(9.58)	67(18.56)	33(39.67)	41(32.73)	43(33.21)			
	WALL-E (ours)	<b>69</b> ( <b>15.77</b> )	<b>98</b> (1.64)	91( <b>4.58</b> )	63(19.38)	<b>69</b> ( <b>15.61</b> )	46(27.08)	48(26.33)			
Hu	man Performance* (Li et al., 2024b)	59(-)	100(-)	100(-)	86(-)	17(-)	17(-)	33(-)			

Table 2: Comparison of WALL-E and baselines on Minecraft tasks for average token usage and API costs (in USD). The number of tokens is calculated as the sum of prompt tokens and generation tokens. The average API cost is derived by separately calculating the costs of prompt and generation tokens and then summing both. The lowest cost for each task is highlighted in **bold**.

Method	Inference Tokens $\downarrow$ (Cost in USD $\downarrow$ )										
	Avg.	Wooden	Stone	Iron	Golden	Diamond	Redstone				
DEPS	93560.95(0.65)	28223.33(0.20)	87999.46(0.61)	93313.38(0.65)	119827.88(0.84)	112346.49(0.79)	119655.16(0.84)				
GITM	74638.54(0.51)	10027.71(0.07)	17566.79(0.12)	70071.99(0.48)	108816.53(0.74)	116526.40(0.80)	124821.83(0.85)				
WALL-E w/o WM	72390.16(0.52)	15759.72(0.11)	29976.28(0.21)	58074.70(0.41)	124147.71(0.89)	102447.94(0.73)	103934.58(0.74)				
WALL-E (ours)	60348.71(0.41)	23179.52(0.15)	36595.33(0.24)	57106.20(0.39)	84776.25(0.58)	59261.31(0.40)	101173.64(0.68)				

#### 4.1 EXPERIMENTAL SETUP

Benchmarks. Minecraft is a popular open-world environ-ment. We employ the standard evaluation pipeline provided by MineDojo's TechTree tasks (Fan et al., 2022). These tasks can be categorized into six levels of increasing difficulty: Wood, Stone, Iron, Gold, Diamond, and Redstone (see Appendix E.1 for details). ALFWorld is a virtual environment designed as a text-based simulation where agents perform tasks by interacting with a simulated household environment (Shridhar et al., 2020b). This benchmark includes six distinct task types, each requiring the agent to accomplish a high-level objective, such as placing a cooled lettuce on a countertop (see Appendix E.2 for details). 

Metrics. (1) Success rate (higher is better): the percentage of tasks the agent completes successfully. (2) Replanning rounds (lower is better): the number of times the agent revisits the same task to revise its plan for recovering from the following tasks to revise its plan for the percentage of tasks to revise its plan for the percentage of the percentage of the percentage of tasks the percentage of tasks to revise its plan for the percentage of the percentage of the percentage of tasks the percentage of tasks the percentage of tasks to revise its plan for the percentage of tasks the percentage of tasks the percentage of tasks tasks to revise its plan for the percentage of tasks tasks to revise its plan for the percentage of tasks tasks tasks to revise its plan for the percentage of tasks t



Figure 4: Comparison of WALL-E and baselines on 134 testing tasks from the ALFWorld benchmark.

failed task planning. (3) **Token cost** (lower is better): the number of tokens consumed by LLM agent/world

329 models during task completion. For Minecraft, we select four tasks from each level to serve as the testing 330 set and the remaining tasks to construct the training set. All these three metrics are employed in our experi-331 ment. The task will be marked incomplete if the agent either dies in the environment (such as being killed by 332 hostile mobs or falling into lava) or reaches one of the following maximal budgets: 10-minute time limit and 333 maximum replanning rounds. In these cases, the replanning rounds and token cost will be set to the maximal 334 value. For ALFWorld, we train WALL-E on the designated training set and evaluate its performance on a set of 134 predefined testing tasks. The averaged success rate over several trials is used as the evaluation metric 335 to measure the performance of all baselines. 336

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4.2 MAIN RESULTS

We conduct a detailed comparison of WALL-E and existing baseline methods in Tables 1, 2 and 3, to
 demonstrate its superior performance in terms of success rate, planning efficiency, and token cost consumed
 by LLMs across diverse tasks.

WALL-E demonstrates superior planning and task-solving abilities. Tables 1 and 3 show that our method achieves the highest success rates across different environments. Specifically, in the Minecraft environment, WALL-E outperforms other baselines by an impressive margin of 15–30%. Figure 4 shows that WALL-E achieves the highest success rate after only 6 iterations, significantly surpassing other SOTA planning-based baselines such as RAFA (Hao et al., 2023) and AdaPlanner (Sun et al., 2024).

Aligned world model leads to higher sample efficiency. While the integration of the LLM world model leads to additional token costs compared to model-free methods, WALL-E demonstrates remarkably high sample efficiency, which is sufficient to offset the additional consumption caused by the world modeling.
 Specifically, our method requires 8–20 fewer replanning rounds than other baselines (see Table 1), resulting in overall token usage that is only 60–80% of that observed in other methods (see Table 2). It is worth noting that the advantage of WALL-E becomes more apparent in harder environments. In turn, model-free methods can only achieve comparatively high sample efficiency on those easy tasks such as *Wood* and *Stone*.

WALL-E is a general and environment-agnostic method. Unlike methods tailored to specific environments, e.g., GITM (Zhu et al., 2023b) for open-world exploration in Minecraft and BUTLER (Micheli & Fleuret, 2021) for long-horizon planning in ALFWorld, WALL-E can excel at both, underscoring its generalizability and effectiveness in enhancing agent's capabilities of exploration, planning, and reflection in general, complex scenarios.

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### 4.3 EFFECTIVENESS OF RULE LEARNING

In order to demonstrate the effectiveness of our proposed rule learning method, we conduct a comparative study against GITM (Zhu et al., 2023b) - a method employing buffered trajectories as in-context examples to align LLM agents with the environment dynamics. By jointly examining the rule learning process (Figure 5) and the agent's training progress (Figure 6), we observe an interesting phenomenon that WALL-E's success rate hits the upper bound after 4 iterations, while the rule learning process also finds a compact set of rules for the LLM world model and keeps this set fixed after 4 iterations, reflecting that WALL-E's improvement mainly benefits from the learning of new rules.

Rule learning achieves efficient "world alignment". To verify whether the learned rules enable a more accurate world model, we first collect a dataset of transitions that cannot be predicted by the LLM world model
correctly and evaluate each rule on this dataset by calculating the cover rate - the probability that the LLM's
failed predictions are addressed by the rules obtained during the rule learning process. According to Figure
ti is evident that the rules learned by our proposed framework consistently improve cover rates across
different types of actions in the Minedojo benchmark. In specific, actions such as gather and fight reach

Table 3: Comparison of WALL-E and baselines on 134 testing tasks from the ALFWorld benchmark. \*-reported in previous work. VLMs = vision-language models, LLMs = large language models. The success rate (%) is the percentage of tasks completed successfully. The best score for each task is highlighted in **bold**.

Method		Success Rate (%) ↑									
		Avg.	Pick	Clean	Heat	Cool	Examine	Picktwo			
	MiniGPT-4* (Zhu et al., 2023a)	16	4	0	19	17	67	6			
$\mathbf{Is}$	BLIP-2* (Li et al., 2023)	4	0	6	4	11	6	0			
5	LLaMA-Adapter* (Gao et al., 2023b)	13	17	10	27	22	0	0			
5	InstructBLIP* (Dai et al., 2023)	22	50	26	23	6	17	0			
	EMMA* (Yang et al., 2024)	82	71	94	85	83	88	67			
	BUTLER* (Micheli & Fleuret, 2021)	26	31	41	60	27	12	29			
	GPT-BUTLER* (Micheli & Fleuret, 2021)	69	62	81	85	78	50	47			
	DEPS (Wang et al., 2023a)	76	93	50	80	100	100	0			
Is	AutoGen* (Wu et al., 2023)	77	92	74	78	86	83	41			
2	ReAct (Yao et al., 2023)	74	79	54	96	85	83	51			
I	AdaPlanner (Sun et al., 2024)	91	100	100	89	100	97	47			
	Reflexion (Shinn et al., 2024)	86	92	94	70	81	90	88			
	RAFA (Liu et al., 2023)	95	100	97	91	95	100	82			
	WALL-E (ours)	95	100	97	100	86	85	100			
Hu	man Performance* (Shridhar et al., 2020a)	91	-	-	-	-	-	-			



Figure 5: Cover rate of LLM failed predictions across different actions over iteration times during training. The cover rate represents the probability that the LLM's failed predictions are addressed by the rules obtained during the rule learning process. The predictions and rules are categorized by action type: craft, mine, gather and fight. The learnt rules at each iteration are displayed in black under each node, labeled with their respective rule IDs. 

100% and 91% coverage after the first iteration, while craft and mine actions demonstrate improvements over multiple iterations, with final coverage rates of 87% and 96%, respectively.

#### 4.4 ABLATION STUDY

We conduct a comprehensive ablation study to evaluate the importance of various components in WALL-E. Specifically, we separately remove the learned rules and the world model and check their effects on WALL-E's final performance. According to the results in Table 4, we give the following conclusions. (1) Regardless of whether the learned rules are applied within the agent or the world model, adding them significantly enhances the total performance. The success rate increases by 20% to 30% approximately. This observation underscores the crucial role that rules play in improving the effectiveness of WALL-E. (2) When the learned rules are utilized within the world model, they contribute to nearly a 30% improvement in success rate, whereas using rules within the agent result in about a 20% improvement. This disparity may be primarily due to the fact that the learned rules are highly related to the state information (See Appendix



Figure 6: Learning curve comparison between rule learning (e.g., WALL-E) and buffered trajectory (e.g., GITM) over 10 iterations on Minecraft tasks during training. The left plot shows the average success rate (%) across all tasks, where a higher value indicates more tasks successfully completed. The right plot illustrates the average number of replanning rounds, with fewer rounds indicating higher efficiency in task completion.

Table 4: Ablation study of WALL-E with different configurations on Minecraft tasks, in the format of "success rate (replanning rounds)". The success rate (%) refers to the percentage of tasks completed successfully (higher the better). Replanning rounds (lower the better) measure the inference efficiency and represent the number of revisions needed for the agent to complete a task. The row highlighted in grey represents the configuration and performance of WALL-E.

WALL-E		Success Rate (%) $\uparrow$ (Replanning Rounds $\downarrow$ )						
Agent	World Model	Avg.	Wooden	Stone	Iron	Golden	Diamond	Redstone
LLM	-	37(35.36)	83(10.67)	41(33.26)	33(35.27)	22(45.29)	24(42.46)	17(45.22)
LLM	LLM	38(33.53)	86(10.35)	44(30.79)	35(34.08)	19(43.99)	26(39.51)	19(42.46)
LLM+rules	-	61(23.13)	94(5.04)	89(9.58)	67(18.56)	33(39.67)	41(32.73)	43(33.21)
LLM	LLM+rules	69(15.77)	98(1.64)	91(4.58)	63(19.38)	69(15.61)	46(27.08)	48(26.33)
LLM+rules	LLM+rules	67(16.59)	95(2.88)	93(3.75)	58(21.42)	62(19.34)	53(23.75)	43(28.41)

D for more details). (3) MPC using a world model without applying any rules cannot significantly improve WALL-E's performance in terms of the success rate and the number of replanning times. This finding suggests that the alignment between the world model and the environment dynamics by rule learning is crucial to our appealing results.

#### 5 CONCLUSION

We have shown that LLMs can effectively serve as world models for agents when aligned with environment dynamics through rule learning. Our neurosymbolic approach bridges the gap between LLMs' prior knowl-edge and specific environments without gradient updates. By integrating a rule-enhanced LLM-based world model with MPC, our agent WALL-E demonstrates superior planning and task-solving abilities. Experi-ments indicate that WALL-E outperforms baselines in Minecraft and ALFWorld, achieving higher success rates with fewer replanning rounds and reduced token usage. Specifically, WALL-E attains a 15–30% higher success rate in Minecraft, requires 8–20 fewer replanning rounds, and uses only 60–80% of the tokens com-pared to baselines. In ALFWorld, it rapidly reaches a 95% success rate from the 6th iteration onward. The rule learning converges swiftly by the 4th iteration, outperforming buffered trajectory methods in both effi-ciency and effectiveness. These results suggest that minimal additional rules suffice to align LLM predictions with environment dynamics, enhancing model-based agents in complex environments. 

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# 611 A DETAILED RELATED WORK

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613 LLMs with Rule Learning. Recent studies have explored integrating LLMs with rule learning to enhance 614 reasoning and model behavior. For instance, Yang et al. (2023a) introduced rule distillation, enabling LLMs 615 to learn from predefined rules, which improved generalization with limited training data. Similarly, Zhu et al. 616 (2023c) proposed the Hypotheses-to-Theories (HtT) framework, which enhanced numerical and relational 617 reasoning by generating and validating rules from training data. In the same vein, Mu et al. (2023a) devel-618 oped the RuLES framework to evaluate LLM adherence to developer-specified rules, addressing challenges 619 like rule evasion through adversarial inputs. Furthermore, Yang et al. (2023b) presented the Tuning-free Rule 620 Accumulation (TRAN) framework, allowing LLMs to accumulate rules from incorrect cases to avoid repeat-621 ing mistakes without additional tuning. Lastly, in knowledge graph reasoning, Luo et al. (2023) introduced 622 ChatRule, a framework that mines logical rules over knowledge graphs using LLMs.

These studies show the potential of combining LLMs with rule learning to improve reasoning and general ization. However, none have integrated rule learning with LLM-based world models, which is the focus of our work. We explore how rule learning can align LLM world models with specific environment dynamics, thereby improving the performance of model-based agents in dynamic environments.

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Using LLMs to Build World Models. Many studies have leveraged LLMs to construct world models for
planning. For example, Wong et al. (2023) proposed translating natural language instructions into adaptable
planning representations via LLMs, enabling flexible and context-aware world modeling. Similarly, Guan
et al. (2023) showed that combining pre-trained LLMs with task-specific planning modules improves task
success rates by providing a more detailed understanding of the environment. Another approach, World-Coder Tang et al. (2024), exemplified an LLM agent that constructs world models by generating and executing code to simulate various states and actions, refining its understanding iteratively.

These studies demonstrate the utility of LLMs in building world models to improve planning and reasoning
in complex environments. However, unlike these works, our approach directly employs the LLM as the
world model, utilizing its inherent knowledge and reasoning abilities without an explicit model-building
phase. This direct use of LLMs enhances adaptability and computational efficiency.

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Using LLMs as World Models. Several studies have explored using LLMs directly as world models by
leveraging their implicit knowledge. Some methods rely on fine-tuning to align the LLM world model
with the environment. For example, Xiang et al. (2024) fine-tuned LLMs with embodied experiences in
a simulated world to enhance reasoning and planning abilities in embodied environments. Similarly, Xie
et al. (2024) transformed LLMs into world models by incorporating knowledge of action preconditions and
effects, fine-tuning the models to reason about actions and predict their outcomes accurately.

Other approaches align LLMs as world models through prompting. For instance, Zhao et al. (2024) introduced the LLM-MCTS algorithm, prompting LLMs to serve as both the policy and world model for largescale task planning, integrating commonsense priors with guided search. In another approach, Hao et al. (2023) introduced Reasoning via Planning (RAP), where LLMs are prompted to act as reasoning agents and world models by generating reasoning trees to explore solutions. Finally, (Liu et al., 2023) used a Bayesian adaptive Markov Decision Process to guide LLMs in planning future trajectories, prompting them to predict future states.

While these approaches demonstrate the potential of using LLMs as world models, they often require extensive fine-tuning or rely heavily on human-crafted prompts, making them labor-intensive and inflexible. Our
work overcomes these limitations by automatically extracting rules from exploration experiences, reducing
human effort and enhancing adaptability across different environments.

## **B** DETAILED PROMPT

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#### B.1 LEARN NEW RULES FROM REAL TRAJECTORIES

**Prompt for Learning New Rules from Real Trajectories** 

```
664
       You are responsible for mining new rules from the given transitions, ensuring
665
          that these rules differ from the ones already provided.
       Focus on generating general and universal rules that are not tied to any
666
          specific item or tool.
667
       Your goal is to generalize across different objects, creating flexible rules
668
          that can be applied broadly to diverse contexts and situations.
669
       I will give you an array of transitions:
670
       ſ
671
           {
672
               'state_0': {
673
                    "state feature 1": {"feature name": value, ...},
674
                    . . .
               },
675
               'action': {
676
                   "name": "action name",
677
                    "action feature 1": {"feature name": value, ...},
678
                    . . .
679
               },
               'action_result': {
680
               "feedback": "the environment feedback",
681
               "success": "Whether the action is executed successfully, give 'True' or
682
                    'False' only",
683
               "suggestion": "If the 'action' fails, 'suggestion' would be given based
684
                    on 'state 0' and 'action'"
685
           }
           },
686
687
               'state_0': {
688
                    "state feature 1": {"feature name": value, ...},
689
                    . . .
               },
690
               'action': {
691
                   "name": "action name",
692
                   "action feature 1": {"feature name": value, ...},
693
                    . . .
694
               },
               'action_result': {
695
               "feedback": "the environment feedback",
696
               "success": "Whether the action is executed successfully, give 'True' or
697
                    'False' only",
698
               "suggestion": "If the 'action' fails, 'suggestion' would be given based
699
                    on 'state 0' and 'action'"
           }
700
           },
701
           . . .
702
       1
703
      and an array of rules:
704
```

```
705
           "Rule 1: For action ..., if..., the action will fail; Checking Method:
706
             · · · ",
707
           "Rule 2: For action ..., if..., the action will fail; Checking Method:
708
              · · · ",
709
           . . .
      1
710
711
      You should only respond in the format as described below:
712
      RESPONSE FORMAT:
713
      {
           "new_rules":[
714
               "Rule ...: For action ..., ...; Checking Method: ...",
715
               "Rule ...: For action ..., Checking Method: ...",
716
               . . .
717
          ]
718
      }
719
      Instructions:
720
      - Ensure the response can be parsed by Python 'json.loads', e.g.: no trailing
721
          commas, **no single quotes**, etc.
722
       - Please use you knowledge in <ENV>, do inductive reasoning. You need to dig up
723
           as many rules as possible that satisfy all transitions.
      - Extract and utilize only the features that influence the outcome of the
724
          action.
725
      - Please generate general and universal rules; the rules should not reference
726
          any specific item or tool! You need to generalize across various items or
727
          tools.
728
       - Generate only the rules under what conditions the action will fail.
       - While generating a rule, you also need to state how to check if a transition
729
          satisfies this rule. Please be specific as to which and how 'features' need
730
           to be checked
731
732
```

#### **B.2** REFINE LEARNED RULES

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#### **Prompt for Refining Learned Rules**

```
You are responsible for improving the existing rules by verifying that they
   hold true for all transitions.
This involves identifying any conflicting rules, diagnosing potential issues,
   and making necessary modifications.
Ensure that the refined rules are consistent and correctly align with the
   transitions provided, avoiding any contradictions or overlaps.
I will give you an array of transitions:
ſ
    {
        'state_0': {
            "state feature 1": {"feature name": value, ...},
            . . .
        },
        'action': {
            "name": "action name",
            "action feature 1": {"feature name": value, ...},
            . . .
```

```
752
               },
753
               'action_result': {
754
               "feedback": "the environment feedback",
               "success": "Whether the action is executed successfully, give 'True' or
755
                    'False' only",
756
               "suggestion": "If the 'action' fails, 'suggestion' would be given based
757
                    on 'state 0' and 'action'"
758
           }
759
           },
760
           {
               'state_0': {
761
                   "state feature 1": {"feature name": value, ...},
762
                   . . .
763
               },
764
               'action': {
                   "name": "action name",
765
                   "action feature 1": {"feature name": value, ...},
766
                   . . .
767
               },
768
               'action_result': {
769
               "feedback": "the environment feedback",
770
               "success": "Whether the action is executed successfully, give 'True' or
                    'False' only",
771
               "suggestion": "If the 'action' fails, 'suggestion' would be given based
772
                    on 'state 0' and 'action'"
773
           }
774
           },
775
           . . .
776
       and an array of rules:
777
       ſ
778
           "Rule 1: For action ..., if..., the action will fail; Checking Method:
779
              ...",
780
           "Rule 2: For action ..., if..., the action will fail; Checking Method:
              ...",
781
           . . .
782
       ]
783
784
       You should only respond in the format as described below:
785
       RESPONSE FORMAT:
       {
786
           "verified_rules":[
787
               "Rule ...: For action ..., ...; Checking Method: ...",
788
               "Rule ...: For action ..., Checking Method: ...",
789
               . . .
790
           ],
           "conflicting_rules":[
791
               "Rule ...: For action ..., Checking Method: ...",
792
               "Rule ...: For action ..., ...; Checking Method: ...",
793
               . . .
794
           ],
795
           "improved_rules":[
               "Rule ...: For action ..., Checking Method: ...",
796
               "Rule ...: For action ..., Checking Method: ...",
797
               . . .
798
```

```
799
           ],
800
           "final_rules":[
801
               "Rule ...: For action ..., Checking Method: ...",
802
               "Rule ...: For action ..., ...; Checking Method: ...",
               . . .
803
           ]
804
      }
805
806
      where
807
      verified_rules: list rules that satisfy all the provided transitions.
      conflicting_rules: list rules that contradict any of the transitions. Modify
808
          these rules if they can be modified correctly and put them in '
809
          improved rules'.
810
      improved_rules: show modified 'conflicting_rules'.
811
      final_rules: combine all the rules from 'verified_rules', 'new_rules'.
812
813
      Instructions:
814
      - Ensure the response can be parsed by Python 'json.loads', e.g.: no trailing
815
          commas, **no single quotes**, etc.
816
       - Please use you knowledge in <ENV>, do inductive reasoning. You need to dig up
           as many rules as possible that satisfy all transitions.
817
      - Extract and utilize only the features that influence the outcome of the
818
          action.
819
      - Please generate general and universal rules; the rules should not reference
820
          any specific item or tool! You need to generalize across various items or
821
          tools.
822
       - Generate only the rules under what conditions the action will fail.
       - While generating a rule, you also need to state how to check if a transition
823
          satisfies this rule. Please be specific as to which and how 'features' need
824
           to be checked
825
826
827
828
      B.3 TRANSLATE NATURAL LANGUAGE RULES TO CODE
829
                         Prompt for Translating Natural Language Rules to Code
830
831
832
      You are responsible for generating code rules by implementing the learned rules
833
           in Python.
834
      Your task is to write a function that takes the current state and an action as
835
          inputs, evaluates these conditions, and returns a Boolean value based on
          the specified rule.
836
      This function should effectively mirror the logic of the rules, enabling
837
          precise predictions for various state-action pairs.
838
839
      The function should be defined as follows:
840
       '''python
841
      def expected_rule_code(state, action):
842
           # Your code here
843
           return feedback, success, suggestion
844
      where
845
      feedback: a string, give the action feedback based on success or not.
```

846	
847	success: a bool, whether the action is executed successfully, give 'True' or ' False'. If the action type is not the action type in the rule, count as
848	success (e.g., success = True).
849	suggestion: a string, if the 'action' fails, 'suggestion' would be given based
850	on 'rule', 'state' and 'action'.
851	The second
852	Here is several examples of the input format:
853	
854	The function should return a Boolean (True or False) based on an internal rule
855	which you must implement.
856	
857	Ensure that the function handles the input and outputs the expected result based on <fnux's action<="" and="" mechanics="" provided="" state="" td="" the=""></fnux's>
858	based on KENV/ 3 mechanics and the provided state and action.
859	If the rule involves the need to use your knowledge to make a judgement about
860	an item or action then write the function, LLM_request("question"+"response
861	format").
862	LLM_request would send the "question" to gpt4, and return the gpt4's response.
863	LLM request ("question"+"response format") has already been predefined, you can
864	just use it dirtectly. Do not need to define it again in your response. But
865	you need to define the "question" and "response format" carefully.
866	
867	example: i want to know if the item can be destroyed
868	"only reply True or False")
869	
870	You should only respond in the format as described below, and do not give
871	example usage or anything else:
872	RESPONSE FORMAT:
873	<pre># Your code here</pre>
07/	

where "input format" please refer to Appendix C.

## C ENVIRONMENTS' STATE SPACE AND ACTION SPACE

The format of state and action information is crucial for understanding the rules we have extracted. In this section, we provide an description of the state and action space used in different environments.

C.1 MINECRAFT

**State Space.** We collect state information directly from the observation space provided by MineDojo (Fan et al., 2022), which includes: (1) equipment status, (2) inventory details, (3) life statistics, and (4) location statistics. The specific structure is illustrated in the following example.

#### **Examples for Minecraft's State Space**

```
state = {
    "equipment": {
        "dirt": 60.0,
        "
```

```
893
                "diamond boots": 1.0,
894
                "diamond leggings": 1.0,
895
                "diamond chestplate": 1.0,
                "diamond helmet": 1.0,
896
                "air": 0.0
897
           898
899
                "dirt": 60.0,
900
                "crafting table": 1.0,
                "planks": 2.0,
901
                "stick": 4.0,
902
                "air": 0.0,
903
                "log": 1.0
904
           },
905
            "life_stats": {
                "life": 20.0,
906
                "oxygen": 300.0,
907
                "armor": 20.0,
908
                "food": 20.0,
909
                "saturation": 5.0,
910
                "is_sleeping": False
           },
"location_stats": {
    " "plain
911
912
                "biome": "plains",
913
                "rainfall": 0.4,
914
                "temperature": 0.8,
915
                "is_raining": False,
                "sky_light_level": 0.2,
916
                "sun_brightness": 0.0
917
           }
918
919
920
```

922

923 924

932 933 934

Action Space. The action space is defined based on the action API provided by MineDojo (Fan et al., 2022), with additional modifications inspired by the action space used in GITM (Zhu et al., 2023b). The detailed action definitions are presented below.

#### **Minecraft's Action Space**

craft(obj, materials, platform): craft the object with the materials and
platform; used to craft new object that is not in the inventory or is not
enough.
- obj: a dict, whose key is the name of the object and value is the object
quantity, like {"crafting table": 1} and {"stone pickaxe": 1}.
- materials: a dict, whose keys are the names of the materials and values are
the quantities, like {"planks": 4} and {"cobblestone": 3, "stick": 2}.
- platform: a string, the platform used for crafting the current 'object', like
"furnace" and "crafting table". Set to null if without any platform.
mine(obj, tool, y_level): dig down to the y-level and mine the specified object
with the tool. This action will go underground and continuously mine the
object until the desired quantity is obtained.
- obj: a dict, whose key is the name of the object and value is the object
quantity, like {"stone": 5} and {"iron ore": 1}.
- tool (string): the tool used for mining, like "wooden pickaxe". Set to null
if without any tool.

940	
941	- y_level: a number, the y-level to dig down to. Different ores have different
942	probabilities of distribution in different levels.
943	fight(obj, target, tool): find, track, and fight the target until you collect
944	the desired number (goal_num) of object by using the chosen tool.
945	- obj: a dict, whose key is the name of the object and value is the object
946	quantity, like {"leather": 5} and {"porkchop": 3}.
947	"sheep").
948	- tool: a string, the tool or weapon you will use in the fight, like "iron
949	sword" or "wooden sword". Set to null if without any tool.
950	
951	equip(obj): equip the object from the inventory.
952	obj. a string, the object to equip, like wooden pickake .
953	apply(obj, target, tool): automates the process of using a tool on target until
954	you collect a specific number of object.
955	- obj: a dict, whose key is the name of the object and value is the object
956	quantity, like {"wool": 5}.
957	water", "sheep").
958	- tool: a string, the specific tool you will use for the action. (e.g., "bucket
959	", "shears")
960	
961	jather(obj, tool): collect resources (obj) directly from the environment. Inis
962	- obj: a dict, whose key is the name of the object and value is the object
963	quantity, like {"log": 10}.
964	- tool: a string, the tool you will use in the gathering. Set to null if
965	without any tool.
966	change time(target time): adjust to the specified time of day: this function
967	enables you to wait until a predefined time, such as morning, night, or
968	midnight, depending on the specified target_time.
969	- target_time: a string, specifying the desired time to change to. Valid
970	options include "morning", "night", and "midnight", each corresponding to
971	features" like:
972	<pre> "morning": 'sky_light_level': array([1.]), 'sun_brightness': array([1.])</pre>
973	"night": 'sky_light_level': array([0.25]), 'sun_brightness': array([0.36])
974	"midnight": 'sky_light_level': array([0.2]), 'sun_brightness': array([0.])
975	

#### C.2 ALFWORLD

**State Space.** In the original ALFWorld setup, state information is represented as natural language dialogue history. To facilitate the rule learning process, we developed scripts to transform this dialogue history into a structured JSON format, as shown in the following example.

#### **Examples for ALFWorld's State Space**

```
state = {
    "reachable_locations": [
    "cabinet 5",
    "cabinet 4",
```

```
987
                "cabinet 3",
988
                "cabinet 2",
989
                "cabinet 1",
                "coffeemachine 1",
990
                "countertop 2",
991
                "countertop 1"
992
                "diningtable 1",
993
                "drawer 2",
994
                "drawer 1",
                "fridge 1",
995
                "garbagecan 1",
996
                "microwave 1",
997
                "shelf 3",
998
                "shelf 2",
999
                "shelf 1",
                "sinkbasin 1",
1000
                "stoveburner 4",
1001
                "stoveburner 3",
1002
                "stoveburner 2",
1003
                "stoveburner 1",
1004
                "toaster 1"
1005
            ],
            "items_in_locations": {
1006
                "fridge 1": [
1007
                     "lettuce 2",
1008
                     "mug 2",
1009
                     "potato 3"
1010
                ],
                "microwave 1": []
1011
            },
1012
            "item_in_hand": {
1013
                "item_name": "cup 1",
1014
                "status": "normal"
1015
            },
            "current_position": {
1016
                "location_name": "microwave 1",
1017
                "status": "open"
1018
            }
1019
```

1022

1023

Action Space. We utilize the action space provided by the ALFWorld directly, as demonstrated below.

#### **Action Space for Minecraft**

```
1024
      go to [location/object]: Move to a specified location or object.
1025
      open [object]: Open a specified object like a cabinet or drawer.
1026
      close [object]: Close an opened object.
1027
      take [object] from [location]: Pick up an item from a specified location.
1028
      put [object] in/on [location]: Place an item in or on a specified location.
      clean [object] with [location/tool]: Clean an object using a specific location
1029
          or tool, like cleaning lettuce at the sink basin.
1030
      heat [object] with [tool]: Use an appliance, such as a microwave, to heat an
1031
          item.
1032
      cool [object] with [tool]: Use a cooling tool or appliance, such as a fridge,
1033
          to cool an item.
```

1035 <sup>u</sup>	ise [	tool]:	Activate	or	use	а	tool,	such	as	а	desklamp.
-------------------	-------	--------	----------	----	-----	---	-------	------	----	---	-----------

#### D LEARNED RULES

There are two points to note about the numbering of the rules:

- The reason for duplicates is that the numbering is based on actions, and different actions have their own separate sequences. For example: Rules for Craft: [Rule 1, Rule 2, Rule 3, Rule 4, Rule 5...]; Rules for Mine: [Rule 1, Rule 2, Rule 3, Rule 4, Rule 5...].
- The reason the sequence may appear unordered is that some rules have been pruned (Section 3.2 Rule Set Pruning via Maximum Coverage). For instance, Rules for Craft where [Rule 1, Rule 2, Rule 4, Rule 5] has been removed, Rules for Mine where [Rule 1, Rule 3, Rule 4, Rule 5, Rule 6] has been removed, and the final rule set is Rules for Craft: [Rule 3, Rule 6] and Rules for Mine: [Rule 2, Rule 7].
- D.1 NATURAL LANGUAGE RULES

#### Natural Language Rules for Minecraft

"Rule 3: For	action 'craft', if the specified platform is incorrect or not
specified	when required, the action will fail; Checking Method: Check if
the 'plati	form' specified in the 'action' matches the required platform for
the 'obj'	being crafted.",
"Rule 6: For	action 'craft', if the player does not have enough materials to
craft the	specified object, the action will fail; Checking Method: Check if
the 'mate	erials' specified in the 'action' are present in the 'inventory'
with the r	required quantities. If not, the action will fail.",
"Rule 2: For	action 'mine', if the 'tool' is not appropriate for the object
being mine	ed, the action will fail; Checking Method: Check if 'action.args.
tool' is r	not suitable for 'action.args.obj'.",
"Rule 7: For	action 'mine', if the 'tool' is not in the inventory, the action
will fail;	; Checking Method: Check if 'action.args.tool' is not present in '
state_0.ir	nventory'.",
"Rule 2: For	action 'gather', if the 'sky_light_level' in 'location_stats' is
less than	1.0, the action will fail; Checking Method: Check if $^\prime$
sky_light_	_level' in 'location_stats' is less than 1.0.",
"Rule 1: For	action 'fight', if the 'tool' is not present in the 'inventory' or
'equipmer	nt', the action will fail; Checking Method: Check if the 'tool'
specified	in the action is present in either 'inventory' or 'equipment'.",
	Natural Language Rules for ALFWorld
	Matul al Language Rules for ALF Wolfu

Rule 1: For action 'clean', if the object to be cleaned is not in hand, the action will fail; Checking Method: Check if 'item\_in\_hand.item\_name' in 'inital\_state' matches 'action.args.obj'.Rule 3: For action clean, if the tool is not reachable, the action will fail; Checking Method: Check if the tool specified in the action is in the list of reachable locations in the initial state.Rule 5: For action 'clean', if the current position is not at the tool location

<sup>,</sup> the action will fail; Checking Method: Check if 'current\_position. location\_name' in 'inital\_state' matches 'action.args.tool'.

1081	
1082	Rule 2: For action 'take', if the agent is already holding an item, the action will fail: Checking Method: Check if 'item in hand item name' in '
1083	inital_state' is not None.
1084	Rule 4: For action 'take', if the agent is not at the location of the item, the
1085	action will fail; Checking Method: Check if the 'current_position.
1086	location_name' in 'inital_state' is not the same as the 'source' in the '
1087	action.
1088	action will fail; Checking Method: Check if the 'current_position' is the
1089	target.
1090	Rule 2: For action 'put', if the item to be put is not in hand, the action will
1091	fail; Checking Method: Check if 'item_in_hand.item_name' is not equal to '
1092	action.args.obj'.
1093	position, the action will fail: Checking Method: Check if the object
1094	specified in the action is listed under the 'items_in_locations' of the '
1095	current_position' in the 'inital_state'.
1096	Rule 1: For action 'heat', if the tool (microwave) is not at the current
1097	position, the action will fail; Checking Method: Check if 'current_position location name' is equal to the tool in the action arguments
1098	Rule 5: For action 'heat', if the item in hand is not the item to be heated,
1099	the action will fail; Checking Method: Check if 'item_in_hand' in '
1100	inital_state' is equal to 'action.args.obj'.
1101	Rule 1: For action 'go to', if the target location is the same as the current
1102	location, the action will fail; Checking Method: Check if 'current_position
1103	.location_name' is equal to 'action.args.target'.
110/	
1104	

#### D.2 CODE-BASED RULES

1107 When a rule requires the LLM's domain knowledge to make judgments, we instruct the LLM to use the func-1108 tion LLM\_request ('question', 'response format') directly within the generated code. The 1109 LLM should generate the "question" and "response format" according to the function to be implemented. 1110 The predefined LLM\_request function sends the message to the LLM and returns its response, enabling 1111 the code to dynamically leverage the LLM's knowledge.

Additionally, the feedback and suggestions returned by each code-based rule are automatically generated by prompting the LLM with the corresponding rule. The detailed prompts used to generate these code-based rules can be found in Appendix B.3. These feedback and suggestions play a crucial role in helping the agent refine and improve its planning process (Section 3.3).

1116 1117

1105

1106

#### **Code-based Rules for Minecraft**

1118	
1119	<pre>def Rule_3_craft(state, action):</pre>
1120	<pre>if action['name'] == 'craft':</pre>
1120	<pre>obj = list(action['args']['obj'].keys())[0]</pre>
1121	<pre>platform = action['args']['platform']</pre>
1122	
1123	# Ask the LLM if the specified platform is required for the object
1124	being crafted
1105	question = f"Is a specific platform required to craft {obj} in
1120	Minecraft? If yes, what is the platform?"
1126	response_format = "only reply with the platform name (e.g., 'crafting
1127	table', 'furnace') or 'None' if no specific platform is required"

```
1128
               required_platform = LLM_request (question + response_format)
1129
1130
               if required_platform != 'None' and platform != required_platform.lower
1131
                   ():
                   feedback = f"Crafting {obj} requires a {required_platform}, but {
1132
                       platform} was provided."
1133
                   success = False
1134
                   suggestion = f"Use a {required_platform} to craft {obj}."
1135
                   return feedback, success, suggestion
1136
               else:
                   feedback = f"Crafting {obj} was successful."
1137
                   success = True
1138
                   suggestion = ""
1139
                   return feedback, success, suggestion
1140
           else:
               feedback = "Action type is not 'craft', so it is considered successful
1141
                  . "
1142
               success = True
1143
               suggestion = ""
1144
               return feedback, success, suggestion
1145
1146
       def Rule_6_craft(state, action):
           feedback = ""
1147
           success = True
1148
           suggestion = ""
1149
1150
           if action["name"] == "craft":
               materials_needed = action["args"]["materials"]
1151
               inventory = state["inventory"]
1152
1153
               for material, quantity in materials_needed.items():
1154
                   if inventory.get(material, 0) < quantity:
1155
                       feedback = f"Failed to craft {list(action['args']['obj'].keys()
                           )[0]} due to insufficient {material}."
1156
                       success = False
1157
                       suggestion = f"Gather more {material} to craft {list(action['
1158
                           args']['obj'].keys())[0]}."
1159
                       break
1160
               else:
1161
                   feedback = f"Successfully crafted {list(action['args']['obj'].keys
                       ())[0]}."
1162
1163
           return feedback, success, suggestion
1164
1165
       def Rule_2_mine(state, action):
           feedback = ""
1166
           success = True
1167
           suggestion = ""
1168
1169
           if action["name"] == "mine":
1170
               obj = list(action["args"]["obj"].keys())[0]
1171
               tool = action["args"]["tool"]
1172
               # Check if the tool is appropriate for the object being mined
1173
1174
```

```
1175
               question = f"Is the tool '{tool}' appropriate for mining '{obj}' in
1176
                   Minecraft? Only reply True or False."
1177
               is_tool_appropriate = LLM_request(question)
1178
               if is_tool_appropriate == "False":
1179
                   feedback = f"The tool '{tool}' is not appropriate for mining '{obj
1180
                       }′."
1181
                   print(feedback)
1182
                   success = False
                   suggestion = f"Use an appropriate tool for mining '{obj}'."
1183
               else:
1184
                   feedback = f"The tool '{tool}' is appropriate for mining '{obj}'."
1185
                   print(feedback)
1186
                   success = True
1187
1188
           return feedback, success, suggestion
1189
       def Rule_7_mine(state, action):
1190
           feedback = ""
1191
           success = True
1192
           suggestion = ""
1193
           if action["name"] == "mine":
1194
               tool = action["args"]["tool"]
1195
               if tool and tool not in state["inventory"]:
1196
                   feedback = f"Action failed: Tool '{tool}' is not in the inventory."
1197
                   success = False
                   suggestion = f"Please ensure you have the '{tool}' in your
1198
                       inventory before mining."
1199
               else:
1200
                   feedback = "Action succeeded: Tool is present in the inventory."
1201
                   success = True
1202
                   suggestion = ""
1203
           return feedback, success, suggestion
1204
1205
       def Rule_2_gather(state, action):
1206
           feedback = ""
1207
           success = True
1208
           suggestion = ""
           if action["name"] == "gather":
1209
               sky_light_level = state["location_stats"]["sky_light_level"][0]
1210
               if sky_light_level < 1.0:
1211
                   feedback = "Action failed: sky light level is less than 1.0."
1212
                   success = False
                   suggestion = "Wait until the sky light level is higher."
1213
               else:
1214
                   feedback = "Action succeeded."
1215
                   success = True
1216
                   suggestion = ""
1217
           else:
               feedback = "Action succeeded."
1218
               success = True
1219
               suggestion = ""
1220
           return feedback, success, suggestion
1221
```

```
1222
1223
      def Rule_1_fight(state, action):
1224
           # Extract action name and arguments
1225
           action_name = action.get("name")
           action_args = action.get("args", {})
1226
1227
           # Initialize feedback, success, and suggestion
1228
           feedback = ""
1229
           success = True
1230
           suggestion = ""
1231
           # Rule 1: For action 'fight', check if the 'tool' is present in 'inventory'
1232
               or 'equipment'
1233
           if action_name == "fight":
1234
               tool = action_args.get("tool")
1235
               if tool:
                   inventory = state.get("inventory", {})
1236
                   equipment = state.get("equipment", {})
1237
                   if tool not in inventory and tool not in equipment:
1238
                       feedback = f"Action '{action_name}' failed: Tool '{tool}' is
1239
                           not present in inventory or equipment."
1240
                       success = False
                       suggestion = f"Ensure the tool '{tool}' is available in either
1241
                           inventory or equipment before attempting to fight."
1242
                   else:
1243
                       feedback = f"Action '{action_name}' succeeded: Tool '{tool}' is
1244
                            available."
1245
               else:
                   feedback = f"Action '{action_name}' failed: No tool specified."
1246
                   success = False
1247
                   suggestion = "Specify a tool to use for the fight action."
1248
           else:
1249
               feedback = f"Action '{action_name}' is not restricted by the rule."
1250
           return feedback, success, suggestion
1251
1252
                                   Code-based Rules for ALFWorld
1253
1254
```

```
def Rule_1_clean(state, action):
1255
          if action['name'] == 'clean':
1256
               obj_to_clean = action['args']['obj']
1257
               item_in_hand = state['item_in_hand']['item_name']
1258
               if obj_to_clean != item_in_hand:
                   feedback = f"Action failed: {obj_to_clean} is not in hand."
1259
                   success = False
1260
                   suggestion = f"Please take {obj_to_clean} in hand before cleaning."
1261
                   return feedback, success, suggestion
1262
           feedback = "Action executed successfully."
1263
           success = True
          suggestion = ""
1264
          return feedback, success, suggestion
1265
1266
      def Rule_3_clean(state, action):
1267
          if action["name"] == "clean":
1268
               tool = action["args"]["tool"]
```

```
1269
               if tool not in state["reachable_locations"]:
1270
                   feedback = f"Action failed: The tool '{tool}' is not reachable."
1271
                   success = False
1272
                   suggestion = f"Make sure the tool '{tool}' is in the list of
                       reachable locations."
1273
                   return feedback, success, suggestion
1274
               else:
1275
                   feedback = "Action succeeded: The tool is reachable."
1276
                   success = True
                   suggestion = ""
1277
                   return feedback, success, suggestion
1278
          else:
1279
               feedback = "Action succeeded: The action type is not 'clean'."
1280
               success = True
1281
               suggestion = ""
1282
               return feedback, success, suggestion
1283
       def Rule_5_clean(state, action):
1284
           if action['name'] == 'clean':
1285
               current_position = state['current_position']['location_name']
1286
               tool_location = action['args']['tool']
               if current_position != tool_location:
1287
                   feedback = f"Action 'clean' failed: You are not at the tool
1288
                       location ({tool_location})."
1289
                   success = False
1290
                   suggestion = f"Move to the tool location ({tool_location}) before
1291
                       cleaning."
1292
                   return feedback, success, suggestion
           # If the action is not 'clean' or the rule conditions are met
1293
           feedback = "Action executed successfully."
1294
           success = True
1295
           suggestion = ""
1296
           return feedback, success, suggestion
1297
       def Rule_2_take(state, action):
1298
           feedback = ""
1299
           success = True
1300
           suggestion = ""
1301
           if action["name"] == "take":
1302
               if state["item_in_hand"]["item_name"] is not None:
                   feedback = "Action failed: Agent is already holding an item."
1303
                   success = False
1304
                   suggestion = "You may heat, put, cool the item in hand directly
1305
                       without removing the other items in target location/container."
1306
               else:
1307
                   feedback = "Action succeeded: Agent is not holding any item."
                   success = True
1308
                   suggestion = ""
1309
           else:
1310
               feedback = "Action succeeded: Action type is not 'take'."
1311
               success = True
1312
               suggestion = ""
           return feedback, success, suggestion
1313
1314
       def Rule_4_take(state, action):
1315
```

```
1316
           if action['name'] == 'take':
1317
               current_location = state['current_position']['location_name']
1318
               source_location = action['args']['source']
1319
               if current_location != source_location:
                   feedback = "Action failed: Agent is not at the location of the item
1320
                       . "
1321
                   success = False
1322
                   suggestion = f"Move to {source_location} before taking the item."
1323
                   return feedback, success, suggestion
1324
           # If the action is not 'take', it is considered successful
           feedback = "Action executed successfully."
1325
          success = True
1326
           suggestion = ""
1327
           return feedback, success, suggestion
1328
1329
      def Rule_3_open(state, action):
          if action['name'] == 'open':
1330
               target = action['args']['target']
1331
               current_position = state['current_position']['location_name']
1332
1333
               if current_position != target:
1334
                   feedback = f"Action 'open' failed: You are not at the target
                       location '{target}'."
1335
                   success = False
1336
                   suggestion = f"Move to '{target}' before trying to open it."
1337
                   return feedback, success, suggestion
1338
               else:
                   feedback = f"Action 'open' succeeded: You are at the target
1339
                       location '{target}'."
1340
                   success = True
1341
                   suggestion = ""
1342
                   return feedback, success, suggestion
1343
          else:
               feedback = "Action succeeded: The action type is not 'open'."
1344
               success = True
1345
               suggestion = ""
1346
               return feedback, success, suggestion
1347
1348
      def Rule_2_put(state, action):
1349
           if action['name'] == 'put':
               item_in_hand = state['item_in_hand']['item_name']
1350
               item_to_put = action['args']['obj']
1351
               if item_in_hand != item_to_put:
1352
                   feedback = f"Action failed: The item '{item_to_put}' is not in hand
1353
                       . "
1354
                   success = False
                   suggestion = f"Please ensure you have '{item_to_put}' in hand
1355
                       before attempting to put it."
1356
                   return feedback, success, suggestion
1357
           # If the action is not 'put', it is considered successful
1358
           feedback = "Action executed successfully."
          success = True
1359
          suggestion = ""
1360
          return feedback, success, suggestion
1361
1362
```

```
1363
      def Rule_1_use(state, action):
1364
          if action['name'] == 'use':
1365
               obj = action['args']['obj']
1366
               current_location = state['current_position']['location_name']
               # Check if the object is in the current location
1367
               if obj not in state['items_in_locations'].get(current_location, []):
1368
                   feedback = f"Action failed: {obj} is not at the current position {
1369
                       current_location}."
1370
                   success = False
1371
                   suggestion = f"Move to the location where {obj} is present or bring
                        {obj} to the current location."
1372
                   return feedback, success, suggestion
1373
           # If the action is not 'use', it is considered successful
1374
           feedback = "Action executed successfully."
1375
           success = True
           suggestion = ""
1376
          return feedback, success, suggestion
1377
1378
      def Rule_1_heat(state, action):
1379
           feedback = ""
1380
          success = True
1381
          suggestion = ""
           if action["name"] == "heat":
1382
               tool = action["args"]["tool"]
1383
               current_position = state["current_position"]["location_name"]
1384
               if current_position != tool:
1385
                   feedback = f"Action failed: The tool '{tool}' is not at the current
1386
                        position '{current_position}'."
                   success = False
1387
                   suggestion = f"Move to the location of the tool '{tool}' before
1388
                       attempting to heat."
1389
               else:
1390
                   feedback = "Action succeeded: The tool is at the current position."
                   success = True
1391
                   suggestion = ""
1392
          else:
1393
               feedback = "Action succeeded: The action type is not 'heat'."
1394
               success = True
1395
               suggestion = ""
1396
           return feedback, success, suggestion
1397
      def Rule_5_heat(state, action):
1398
          if action["name"] == "heat":
1399
               item_in_hand = state["item_in_hand"]["item_name"]
1400
               item_to_heat = action["args"]["obj"]
1401
               if item_in_hand != item_to_heat:
1402
                   feedback = f"Action failed: You are trying to heat {item_to_heat}
1403
                       but you are holding {item_in_hand}."
1404
                   success = False
1405
                   suggestion = f"Hold {item_to_heat} before trying to heat it."
1406
                   return feedback, success, suggestion
1407
           feedback = "Action executed successfully."
1408
           success = True
1409
```

Table 5: Techtree Task Details						
Task Level	Level Tasks					
Wooden	wooden sword, wooden pickaxe, wooden axe, wooden hoe, wooden shovel					
Stone	stone sword, stone pickaxe, stone axe, stone hoe, stone shovel					
Iron	iron sword, iron pickaxe, iron axe, iron hoe iron shovel, iron boots, iron chestplate, iron helmet, iron leggings					
Golden	golden sword, golden pickaxe, golden axe, golden hoe golden shovel, golden boots, golden chestplate, golden helmet, golden leggings					
Diamond	diamond sword, diamond pickaxe, diamond axe, diamond hoe diamond shovel, diamond boots, diamond chestplate, diamond helmet, diamond leggings					
Redstone	redstone block, redstone clock, redstone compass, redstone dispenser, redstone dropper redstone piston, redstone torch, redstone repeater.redstone detector rail, redstone activator rail					
sugges	stion = ""					
return	feedback, success, suggestion					
def Rule_1	_go_to(state, action):					
if act	<pre>ion['name'] == 'go_to':</pre>					
cu t a	<pre>arrent_location = state['current_position']['location_name'] arget_location = action['args']['target']</pre>					
La						
if	current_location == target_location:					
	<pre>feedback = f"Action failed: You are already at {target_location}." success = False</pre>					
	suggestion = "Try moving to a different location."					
	return Ieeaback, success, suggestion					
# If t	the action is not 'go to' or the target location is different from th					
C	urrent location					
feedba	ack = "Action executed successfully."					
succes	ss = True					
sugges	stion = ""					
recurn	i reeuback, success, suggestion					

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#### E EXPERIMENT DETAILS

#### E.1 MINECRAFT

1447 Task Details. We used the 'Tech Tree' series tasks in MineDojo. Minecraft presents a structured progression 1448 system involving various levels of tools and armor, each with unique properties and increasing difficulty to 1449 unlock. To advance through these levels, the agent must develop and apply systematic, compositional skills to navigate the technology tree. Tasks are structured into six technology tiers: wood, stone, iron, gold, 1450 diamond, and redstone with each level presenting a higher degree of difficulty. And each level contains a 1451 certain number of tasks, which is shown in Table 5. Additionally, we only do tasks in overworld, so tasks 1452 that require materials from Nether and End to complete are disregarded (e.g. redstone observer, redstone 1453 lamp, redstone comparator). 1454

**Method Setup.** We utilize GPT-40 as the backend for our method. To rigorously assess the agent's performance, we initialize it in the "open-ended" mode—the most challenging and interactive environment available, analogous to survival mode. In this setting, the agent starts with an empty inventory and randomized seeds for both the environment and its starting position, requiring it to strategize effectively. Unlike
creative or adventure modes, the agent must contend with the dynamic generation of hostile mobs, introducing additional complexity and difficulty. Starting without any resources, the agent is forced to actively mine
materials and craft essential items to progress, testing its planning, adaptability, and problem-solving skills.

We select four tasks from each task level to serve as the testing set and the remaining tasks to construct the training set. For the level with a limited number of tasks, such as *Wood* and *Stone*, we add additional tasks from Optimus-1 (Li et al., 2024b) to ensure sufficient diversity for rule learning in the training process. Finally, we have a total of 30 training tasks and 24 testing tasks.

Within MPC framework, reward is assigned as follows: a reward of +1 if the world model  $f_{wm}$  predicts the transition will be successful (action\_result = True), and 0 if it predicts failure (action\_result = False). The world model provides feedback to the agent, enabling the agent to refine its plan based on the state prior to the failed action and the received feedback. This iterative process continues until the task is successfully completed within the planning phase.

For buffered trajectories (e.g., GITM (Zhu et al., 2023b)), we adopted the original settings by storing successful task trajectories. During planning, we search this buffer for the trajectory most similar to the current task and include it in the prompt as a reference.

1475 1476 E.2 ALFWORLD

1477 Task Details. ALFWorld is a virtual environment designed as a text-based simulation where agents perform 1478 tasks by interacting with a simulated household. The environment includes six distinct task types, each 1479 requiring the agent to accomplish a high-level objective, such as placing a cooled lettuce on a countertop. 1480 Agents use text commands to navigate and manipulate objects in the virtual space, for example, issuing instructions like "go to countertop 1," "take lettuce 1 from countertop 1," or "cool lettuce 1 with fridge 1481 1." The visual observations from the agent's point of view are converted into natural language descriptions 1482 before being delivered to the agent. The agent's state is represented by the cumulative history of these 1483 observations. Success is measured by the completion the specified task goal. 1484

Method Setup. We conducted rule learning on the training set, with the resulting rules presented in Appendix D. Since tasks in ALFWorld require agents to continuously gather information from the environment, and our learned rules focus on capturing the dynamic of the environment, we adopted a one-step MPC. This method evaluates whether the agent's current action aligns with the environment's dynamic patterns based on its state information. Additionally, to enhance rule discovery, we developed scripts to convert the natural language dialogue history and action information into a structured JSON format, as illustrated in Appendix C.2. We utilize GPT-3.5-Instruct as our backbone model.

- 1492
- 1493 E.3 EXPERIMENT DESIGN FOR EFFECTIVENESS OF RULE LEARNING

We conduct 3 training tasks per iteration over a total of 10 iterations during training. After each iteration, the model, equipped with latest learned rules or buffered trajectories, is tested on the testing set.

The cover rate quantifies the extent to which the rules derived from the rule learning process address the LLM's failed predictions. Specifically, it represents the probability that mispredicted transitions by the LLM are correctly handled by the learned rules.

To assess the alignment between the LLM-based world model and the actual environment, we first identify transitions where the LLM fails to make accurate predictions. This is achieved by utilizing an unaligned LLM world model—one without any rules—to generate predictions for trajectories obtained from the test set. The discrepancies between the predicted states  $\hat{s}_{t+1}$  and the actual states  $s_{t+1}$  are compiled into a dataset of mispredicted transitions. These mispredictions highlight areas where the LLM world model does not align
 with the environment's dynamics.

Subsequently, the learned rules at each iteration are evaluated against the mispredicted transitions dataset to determine their effectiveness in correcting these mispredictions. If a rule successfully predicts the outcome of a previously mispredicted transition, it demonstrates that the rule effectively addresses the LLM's failure in that instance. The cover rate is then calculated as the ratio of correctly addressed mispredictions to the total number of mispredicted transitions:

$$Cover Rate = \frac{Number of Mispredictions Addressed by Rules}{Total Number of Mispredicted Transitions}$$
(9)

Furthermore, as depicted in Figure 5, predictions and rules are categorized by action types—*craft, mine, gather*, and *fight*—allowing the cover rate to be calculated for each action category individually. A higher cover rate indicates that the rule learning process effectively enhances the alignment of the LLM world model with the environment, thereby improving the overall accuracy and reliability of the agent's planning.

#### F GREEDY ALGORITHM

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We implement the following Algorithm 1 to solve the maximum set cover problem 8.

1524 Algorithm 1 Greedy Algorithm for Maximum Set Cover Problem 1525 1: Input: 1526 2:  $\mathcal{D}^{\text{incorrect}} = \{\delta_1^{\text{incorrect}}, \delta_2^{\text{incorrect}}, \dots, \delta_n^{\text{incorrect}}\}$ Set of incorrect transitions to cover 2:  $\mathcal{D}^{\text{nconcer}} = \{o_1^{\text{nconcer}}, o_2^{\text{nconcer}}, \dots, o_m^{\text{nconcer}}\}$ 3:  $R^{\text{code}} = \{R_1^{\text{code}}, R_2^{\text{code}}, \dots, R_m^{\text{code}}\}$ 1527 > Set of rules covering subsets of transitions 1528 4:  $a_{ij}$ : Indicator matrix where  $a_{ij} = 1$  if  $\delta_j^{\text{incorrect}} \in R_i^{\text{code}}$ , otherwise  $a_{ij} = 0$ 1529 5: **Output:** Set of selected rules  $R_{\text{selected}}$ 1530 6: Initialize  $R_{\text{selected}} \leftarrow \emptyset$ 1531 7: Initialize  $\mathcal{D}_{covered} \leftarrow \emptyset$ ▷ Set of covered transitions 1532 8: Initialize  $x_i \leftarrow 0$  for all  $i \in \{1, \ldots, m\}$ ▷ Rule selection indicators 1533 9: Initialize  $y_j \leftarrow 0$  for all  $j \in \{1, \ldots, n\}$ ▷ Transition coverage indicators 10: while  $\mathcal{D}_{covered} \neq \mathcal{D}^{incorrect} \mathbf{do}$ 1534 For each rule  $R_i^{\text{code}} \in R^{\text{code}}$ , compute: 1535 11: 1536  $\operatorname{gain}(R_i) = \left| \left( \{ \delta_j^{\text{incorrect}} \mid a_{ij} = 1 \} \setminus \mathcal{D}_{\operatorname{covered}} \right) \right|$ 1537 1538 Select the rule  $R_i^{\text{code}}$  with the largest gain, i.e., 12: 1539  $i^* = \arg\max_i \operatorname{gain}(R_i)$ 1540 1541 13: if max gain $(R_i) = 0$  then 1542 14: Break > Terminate if no rule can cover any additional transitions 1543 15: end if 1544 Add  $R_{i^*}^{\text{code}}$  to  $R_{\text{selected}}$ 16: 1545 Update  $\mathcal{D}_{\text{covered}} \leftarrow \mathcal{D}_{\text{covered}} \cup \{\delta_i^{\text{incorrect}} \mid a_{i^*j} = 1\}$ 17: 1546  $\triangleright$  Mark rule  $R_{i^*}^{\text{code}}$  as selected 18: Set  $x_{i^*} \leftarrow 1$ 1547 For each  $\delta_i^{\text{incorrect}}$  covered by  $R_{i^*}^{\text{code}}$ , set  $y_i \leftarrow 1$ 19: 1548 20: end while 1549 21: Return R<sub>selected</sub> 1550

# 1551 G CODE-BASED RULES VERIFICATION LOGIC

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- Rules Designed to Identify Successes: A rule intended to detect successes is considered active when it evaluates the current transition and returns True.
- Rules Designed to Identify Failures: A rule intended to detect failures is considered active when it evaluates the current transition and returns False.

In essence, a rule is active when its outcome aligns with the type of outcome it is meant to assess (either success or failure). This ensures that rules are applied appropriately and only influence the LLM world model's predictions when relevant to the specific circumstances of the transition.

Determining Whether a Rule is Correct or Incorrect When a rule is active, if it makes an incorrect judgment—predicting success when the transition actually fails or vice versa—the rule is considered invalid and is removed from the rule set. Transitions where the rule is not applicable—referred to as "inactive" or "dormant"—are excluded from the evaluation process.

### H LIMITATION AND FUTURE WORK

Currently, our rule learning framework generates simple rules that primarily assess whether actions align with environment dynamics (i.e., rules for transitions). Future research should explore advanced reasoning methods that enable LLMs to derive more abstract rules, such as those governing entire planning processes. Furthermore, many embodied environments exhibit stochastic dynamics, where actions have probabilistic outcomes. For example, resource gathering at night in Minecraft often fails due to hostile creatures but can sometimes succeed. Our current rule learning process cannot handle such randomness, typically classifying these scenarios as failures. Addressing this limitation by enabling rules to account for stochastic dynamics is a promising research direction, potentially leading to more accurate and reliable world models.