Aligning Large Language Models with Recommendation Knowledge

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Abstract

 Large language models (LLMs) have recently been used as backbones for recommender sys- tems. However, their performance often lags behind conventional methods in standard tasks like retrieval. We attribute this to a mis- match between LLMs' knowledge and the knowledge crucial for effective recommenda- tions. While LLMs excel at natural language reasoning, they cannot model complex user- item interactions inherent in recommendation tasks. We propose bridging the knowledge gap and equipping LLMs with recommendation- specific knowledge to address this. Opera- tions such as Masked Item Modeling (MIM) and Bayesian Personalized Ranking (BPR) have found success in conventional recom- mender systems. Inspired by this, we sim- ulate these operations through natural lan- guage to generate auxiliary-task data samples that encode item correlations and user prefer- ences. Fine-tuning LLMs on such auxiliary- task data samples and incorporating more in- formative recommendation-task data samples facilitates the injection of recommendation-025 specific knowledge into LLMs. Extensive ex- periments across retrieval, ranking, and rat- ing prediction tasks on LLMs such as FLAN-028 T5-Base and FLAN-T5-XL show the effective- ness of our technique in domains such as Ama- zon Toys & Games, Beauty, and Sports & Out- doors. Notably, our method outperforms con- ventional and LLM-based baselines, including the current SOTA, by significant margins in re- trieval, showcasing its potential for enhancing recommendation quality.

036 1 Introduction

 Large language models (LLMs) exhibit strong gen- eralization abilities through zero-shot learning, in- context learning [\(Brown et al.,](#page-8-0) [2020\)](#page-8-0), fine-tuning, and instruction tuning [\(Wei et al.,](#page-9-0) [2022\)](#page-9-0). Encour- aged by this, recent studies explore the use of [L](#page-8-1)LMs as backbones in recommendation [\(Kang](#page-8-1)

[et al.,](#page-8-1) [2023;](#page-8-1) [Geng et al.,](#page-8-2) [2022;](#page-8-2) [Zhang et al.,](#page-9-1) [2023;](#page-9-1) **043** [Bao et al.,](#page-8-3) [2023\)](#page-8-3). Despite their great potential, **044** LLMs are inferior to supervised recommenders **045** [\(He et al.,](#page-8-4) [2017;](#page-8-4) [Rendle et al.,](#page-9-2) [2009\)](#page-9-2) in recom- **046** mendation tasks such as rating-prediction under **047** zero-shot and few-shot in-context learning settings **048** [\(Kang et al.,](#page-8-1) [2023\)](#page-8-1). We hypothesize that this stems **049** from a gap between LLMs' knowledge and rec- **050** ommendation knowledge: LLMs are proficient at **051** natural language reasoning, while recommendation **052** involves modeling complex user-item interactions. **053** In this work, we propose to mitigate this gap by **054** fine-tuning LLMs with data samples that encode **055** recommendation knowledge. **056**

Recent works [\(Geng et al.,](#page-8-2) [2022;](#page-8-2) [Zhang et al.,](#page-9-1) **057** [2023;](#page-9-1) [Bao et al.,](#page-8-3) [2023\)](#page-8-3) show that certain recom- **058** mendation knowledge can be introduced into LLMs **059** through instruction tuning. As shown in Figure **060** [1\(](#page-1-0)a), their training data samples, which we refer **061** to as *recommendation-task data samples*, primar- **062** ily help LLMs understand the recommendation **063** tasks by providing instructions on what to do (*e.g.*, **064** "Pick an item for the user from the following candi- **065** dates."). In terms of modeling the target recommen- **066** dation domain, however, they present raw user and **067** item features for personalization (*e.g.* the user's **068** ID or the IDs of the items they recently interacted **069** with), which are insufficient for LLMs to fully comprehend the target domain. 071

Considering the aforementioned limitations of **072** using LLMs as recommenders, we propose a novel **073** approach to generate additional fine-tuning data **074** samples for LLMs that effectively encode recom- **075** mendation knowledge, particularly focusing on **076** item correlations within the target domain. We **077** refer to these generated data samples as *auxiliary-* **078** *task data samples*, as they are used as auxiliary **079** tasks in addition to the recommendations tasks. **080** While developing the auxiliary tasks, our key inspiration comes from the classical operations that **082** are typically used to train conventional recom- **083**

a) Recommendation-task data samples of the existing studies b) Our recommendation-task and auxiliary-task data samples

Figure 1: Data samples adopted by the existing studies and this work. (a) shows the recommendation-task data samples of the existing studies. Specifically, (a1)-(a3) demonstrate the retrieval, ranking, and rating prediction data samples of P5 [\(Geng et al.,](#page-8-2) [2022\)](#page-8-2); (a4) shows a ranking (type <P1, I0, T3>) data sample of InstructRec [\(Zhang et al.,](#page-9-1) [2023\)](#page-9-1); (a5) is a rating prediction data sample of TALLRec [\(Bao et al.,](#page-8-3) [2023\)](#page-8-3). (b) shows our recommendation-task (blue boxes) and auxiliary-task (purple boxes) data samples (we present more samples in Appendix [C\)](#page-10-0).

 mender systems, namely, masked item modeling (MIM) [\(Sun et al.,](#page-9-3) [2019\)](#page-9-3) and Bayesian Personal- ized Ranking (BPR) [\(Rendle et al.,](#page-9-2) [2009\)](#page-9-2). Our key innovation lies in converting the MIM and BPR tasks into natural language tasks that can be used to train the LLMs. We also incorporate the masked language modeling (MLM) [\(Devlin et al.,](#page-8-5) [2019\)](#page-8-5) task for the user's past interactions to supplement the MIM task with fine-grained item correlations. Our contributions can be summarized as follows:

- **094** We propose a novel method to align LLMs with **095** new recommendation domains, *i.e.*, supplement-**096** ing the fine-tuning of the LLMs with *auxiliary-***097** *task data samples* that mimic the classical opera-**098** tions in training conventional recommender sys-**099** tems with natural language prompts.
- **100** We propose *recommendation-task data samples* **101** that are more informative as compared to the ex-**102** isting work [\(Geng et al.,](#page-8-2) [2022\)](#page-8-2). Specifically, we **103** reduce the complexity of the input/output spaces **104** by eliminating the user IDs. We further enhance **105** the user sequences by providing item titles.
- **106** We fine-tune the open-source 3B FLAN-T5- **107** XL and 223M FLAN-T5-Base with our pro-**108** posed recommendation-task and auxiliary-task **109** data samples in a simple multi-task learning frame-

work. Experiments on various recommendation **110** tasks, *i.e.*, retrieval, ranking, and rating-prediction, **111** across three target domains, *i.e.*, Amazon Toys **112** & Games, Beauty, and Sports & Outdoors, show **113** the effectiveness of our proposed method and its **114** components. For retrieval, our model outperforms **115** both conventional and LLM-based baselines, in- **116** cluding the current SOTA, by large margins. **117**

2 Related Work **¹¹⁸**

Recommender Systems. Recommender systems **119** help users in discovering items of interest. As a 120 practical approach, Collaborative Filtering (CF) **121** [\(Mao et al.,](#page-9-4) [2021\)](#page-9-4) explores historical user-item in- **122** teractions, assuming that users with similar behav- **123** iors have similar preferences for items. Among **124** various CF methods, Matrix Factorization (MF) **125** methods [\(Rendle et al.,](#page-9-2) [2009;](#page-9-2) [Mao et al.,](#page-9-4) [2021\)](#page-9-4) **126** project users and items into a shared vector space **127** and estimate a user's preference for an item through **128** the inner product of their vectors and are widely **129** adopted. Context-aware approaches [\(Cheng et al.,](#page-8-6) **130** [2016\)](#page-8-6) further include additional information, such **131** as user and contextual features, to improve rec- **132** ommendation quality. However, CF fails to cap- **133** ture the sequential patterns in users' behaviors, **134** which leads to the rise of sequential recommenda- 135

 tions. Sequential recommenders based on Convolu- tional Neural Networks (CNNs) [\(Tang and Wang,](#page-9-5) [2018\)](#page-9-5), Gated Recurrent Units (GRUs) [\(Hidasi et al.,](#page-8-7) [2016\)](#page-8-7), and self-attention [\(Sun et al.,](#page-9-3) [2019;](#page-9-3) [Zhang](#page-9-6) [et al.,](#page-9-6) [2019;](#page-9-6) [Kang and McAuley,](#page-8-8) [2018;](#page-8-8) [Zhou et al.,](#page-9-7) [2020;](#page-9-7) [Rajput et al.,](#page-9-8) [2023\)](#page-9-8) have become prevalent in the era of deep learning. Notably, leveraging a T5-like backbone, [Rajput et al.](#page-9-8) [2023](#page-9-8) formal- ize recommendation as generative retrieval, *i.e.*, autoregressively decode the identifiers of the tar- get items, and achieve the current SOTA. While structurally resembling LLMs, it lacks their pre- training knowledge and the accompanying natural language reasoning potential. Our proposed ap- proach adopts self-attention for sequential recom- mendation, specifically harnessing LLMs as back- bones. We compare against various baselines from all the classes discussed above.

 LLMs for Recommendation. LLMs have re- cently been explored for recommendation tasks due to their ability to understand, generate, and reason with natural language. Several studies fo- cus on incorporating LLMs' natural language capa- bilities into existing recommendation techniques. *E.g.*, [Hou et al.](#page-8-9) [2022](#page-8-9) and [Cao et al.](#page-8-10) [2023](#page-8-10) encode item contents (title, description, etc.) with BERT [\(Devlin et al.,](#page-8-5) [2019\)](#page-8-5), which enables learning se- mantically informed embeddings even for zero- shot items. Moreover, pre-trained LLM backbones have also been used for recommendation through zero-shot learning [\(Kang et al.,](#page-8-1) [2023\)](#page-8-1), in-context learning [\(Kang et al.,](#page-8-1) [2023\)](#page-8-1), fine tuning [\(Cui et al.,](#page-8-11) [2022;](#page-8-11) [Kang et al.,](#page-8-1) [2023\)](#page-8-1), and instruction tuning [\(Geng et al.,](#page-8-2) [2022;](#page-8-2) [Zhang et al.,](#page-9-1) [2023;](#page-9-1) [Bao et al.,](#page-8-3) [2023\)](#page-8-3). Besides helping classic recommendation tasks, LLMs also enable novel recommendation use cases. [Geng et al.](#page-8-2) [2022](#page-8-2) leverage LLMs to explain the recommendation results. [Gao et al.](#page-8-12) [2023;](#page-8-12) [Wang and Lim](#page-9-9) [2023](#page-9-9) utilize GPT-3 [\(Brown](#page-8-0) [et al.,](#page-8-0) [2020\)](#page-8-0) for conversational recommendation. [Christakopoulou et al.](#page-8-13) [2023](#page-8-13) extract persistent user interests with LLMs for deeper user understand- ing. [Carranza et al.](#page-8-14) [2023](#page-8-14) generate private synthetic representations of the original data with LLMs for privacy-preserving recommendation.

 Recommendation as Instruction-following. The success of instruction tuning, *i.e.*, fine-tune on data [d](#page-9-0)escribed via instructions [\(Mishra et al.,](#page-9-10) [2022;](#page-9-10) [Wei](#page-9-0) [et al.,](#page-9-0) [2022\)](#page-9-0), has inspired attempts that instruction- tune LLM backbones for recommendation tasks. **[Geng et al.](#page-8-2) [2022](#page-8-2) formalize various recommen-** dation tasks as natural language instructions and **187** [fi](#page-9-11)ne-tune a unified recommender with T5 [\(Raffel](#page-9-11) **188** [et al.,](#page-9-11) [2020\)](#page-9-11) backbone. [Zhang et al.](#page-9-1) [2023](#page-9-1) fur- **189** ther supplement the tuning data with user prefer- **190** ences/intentions deduced by $GPT-3.5¹$ $GPT-3.5¹$ $GPT-3.5¹$ to accommodate instructions of free forms. [Bao et al.](#page-8-3) [2023](#page-8-3) **192** explore instruction tuning LLMs with limited data. **193**

In contrast to the existing studies, our work fo- **194** cuses on introducing new recommendation knowl- **195** edge into LLMs, which we believe is the key for im- **196** proving recommenders with LLM backbones. We **197** create auxiliary tasks that improve the recommen- **198** dation tasks, including retrieval, ranking, and rat- **199** ing prediction. Our proposed recommendation-task **200** and auxiliary-task data samples include raw user **201** purchase sequences in addition to natural language **202** instructions. These data samples supplement each **203** other in encoding the target recommendation do- **204** main knowledge. We experiment under restricted **205** [s](#page-9-1)ettings. Compared to the previous studies [\(Zhang](#page-9-1) **206** [et al.,](#page-9-1) [2023\)](#page-9-1), we consider larger candidate pools **207** (*e.g.*, our retrieval and ranking experiments con- **208** sider the entire dataset and 99 hard negatives, re-spectively). Unlike [Bao et al.](#page-8-3) [2023,](#page-8-3) we fully train **210** all models to maximize their performances. **211**

3 Methodology **²¹²**

We propose designing data samples that encode rec- **213** ommendation knowledge to align LLMs with the **214** target recommendation domain. Sections [3.1](#page-2-1) and **215** [3.2](#page-4-0) discuss our auxiliary-task and recommendation- **216** task data, respectively. Section [3.3](#page-4-1) introduces a **217** simple multi-task learning framework that we use **218** to fine-tune LLMs. **219**

3.1 Auxiliary-task Data Generation **220**

Conventional recommenders acquire recommen- **221** dation knowledge via classic operations such as **222** masked item modeling [\(Sun et al.,](#page-9-3) [2019\)](#page-9-3) and BPR 223 loss reduction [\(Rendle et al.,](#page-9-2) [2009\)](#page-9-2). We mimic **224** these operations with natural language prompts. In **225** addition, we sample sub-sequences of the raw user **226** purchase sequences. The resulting data, which we **227** refer to as auxiliary-task data samples, encode item **228** correlations contained in users' preferences ^{[2](#page-2-2)}.

. **229**

¹ https://platform.openai.com/docs/models/overview

²As a side note, we also explored encoding item correlations contained in item contents (categories, descriptions, etc.). Observing no noticeable performance increase, we present our approach and results in Appendix [D](#page-11-0)

230 3.1.1 Masked Item Modeling (MIM)

 Conventional sequential recommenders [\(Sun et al.,](#page-9-3) [2019\)](#page-9-3) learn item correlations from users' interac- tion sequences. Specifically, they predict randomly masked items in the sequences by jointly condition- ing on the unmasked items. We mimic this process, which we refer to as masked item modeling (MIM), with natural language prompts.

 MIM applies a Cloze objective [\(Sun et al.,](#page-9-3) [2019\)](#page-9-3). At each training step, random items in the input user sequence are replaced with a special token "[mask]", and the model learns to recover the masked items based on its surrounding context. An example of the masking process:

Input:	$[i_1, i_2, i_3, i_4, i_5]$	random masking
$[i_1, [\text{mask}]_1, i_3, [\text{mask}]_2, i_5]$	(1)	
Label:	$[\text{mask}]_1 = i_2, [\text{mask}]_2 = i_4$	

245 The MIM loss is computed as follows in conven-**246** tional sequential recommenders:

$$
247 \qquad \qquad \mathcal{L}_{\text{MIM}} = \frac{1}{|\mathcal{S}_u^m|} \sum_{i_m \in \mathcal{S}_u^m} -\text{log}P(i_m|\mathcal{S}_u'), \quad (2)
$$

248 where S'_u is the masked version of user sequence 249 S_u , S_u^m stands for the masked items in S_u . $P(\cdot)$, 250 the probability of observing i_m given \mathcal{S}'_u , is calcu-**251** [l](#page-8-5)ated from deep bidirectional self-attention [\(Devlin](#page-8-5) **252** [et al.,](#page-8-5) [2019\)](#page-8-5).

 Our natural language imitation of MIM loss (Equation [2\)](#page-3-0) is described in Figure [1\(](#page-1-0)b4). Given **purchase sequence:** $[i_1, i_2, i_3, i_4, i_5]$, we generate prompts, *e.g.*, Input: "A user has purchased the **following products: Item ID:** $[ID]_{i_1}$, Title: $[Title]_{i_1}$; **IEU** [masked item]; Item ID: $[\text{ID}]_{i_3}$, Title: $[\text{Title}]_{i_3}$; **[masked item]; Item ID:** $[ID]_{i_5}$ **, Title:** $[Title]_{i_5}$ **.** What are the masked items, in chronological or-**der?", and Output: "Item ID:** $[\text{ID}]_{i_2}$ **, Title:** $[\text{Title}]_{i_2}$ **; Item ID:** $[\text{ID}]_{i_4}$, Title: $[\text{Title}]_{i_4}$,". To accommodate long sequences, we introduce a sliding window w and each prompt considers one sub-sequence: $[i_k, i_{k+1}..., i_{k+w-1}]$, where $1 \leq k \leq \max(1, (L-1))$ $w+1)$ and L is the total length of the user sequence. The resulting MIM data samples encodes the cor- relations between the masked items and the rest of the sequences.

270 3.1.2 Masked Language Modeling (MLM)

271 In addition to MIM that considers a single item for **272** each mask, we also mask out and recover a con-**273** secutive span of *tokens* to encode fine-grained item

correlations contained in the users' purchase se- **274** quences. This process resembles masked language **275** modeling (MLM) [\(Devlin et al.,](#page-8-5) [2019\)](#page-8-5). **276**

As shown in Figure [1\(](#page-1-0)b5), given a user sequence, **277** we sample a sub-sequence by randomly decid- **278** ing a starting item and a sub-sequence length L_s , 279 where $2 \leq L_s \leq w$ and w is the sliding window for accommodating long sequences. These **281** sub-sequences, referred to as MLM data samples, **282** supplement the MIM data samples: through span **283** corruption [\(Raffel et al.,](#page-9-11) [2020\)](#page-9-11), *i.e.*, masking and re- **284** covering consecutive spans of tokens, LLMs learn **285** to model more fine-grained correlations across mul- **286** tiple continuous items from the MLM data samples. **287**

3.1.3 Bayesian Personalized Ranking (BPR) **288**

Besides correlating similar items, we explore con- **289** trasting dissimilar items. BPR loss [\(Rendle et al.,](#page-9-2) **290** [2009\)](#page-9-2) is adopted by conventional recommenders **291** [\(Rendle and Freudenthaler,](#page-9-12) [2014;](#page-9-12) [Koren et al.,](#page-8-15) **292** [2009;](#page-8-15) [Cheng et al.,](#page-8-6) [2016\)](#page-8-6) for personalized rank- **293** ing, *i.e.*, learning users' preferences for some items **294** over the others. Inspired by this, we imitate BPR **295** loss reduction with natural language prompts for **296** training LLMs. **297**

The objective of BPR loss reduction in conven- **298** tional recommenders is: **299**

$$
\mathcal{L}_{\text{BPR}} = \mathop{\mathbb{E}}_{(u,i^+) \sim p_{\text{pos}}} - \log \sigma(s(u,i^+) - s(u,i^-)),
$$
\n(3)

where (u, i^+) is a pair of a user u and an item 301 i ⁺ sampled from the distribution of positive pairs **³⁰²** p_{pos} , *i.e.*, *u* interacted with i^+ . i^- is a randomly 303 sampled negative item that u has not interacted 304 with. The similarity between u and i^+ , denoted by 305 $s(u, i^+)$, is calculated by taking the dot product of $\qquad \qquad$ 306 their representations. $\sigma(\cdot)$ is the Sigmoid function. **307**

Figure [1\(](#page-1-0)b6) shows our natural language imi- **308** tation. We elicit user preferences by generating **309** prompts with binary choices that contrast a posi- **310** tive item and a negative item. Each prompt takes **311** the form of a binary decision, *e.g.*, Input: "A user **312** has purchased ... Which of the following two prod- **313** ucts would the user buy next? Item ID: $[ID]_{i^-}$, 314 Title: $[\text{Title}]_{i^-}$; Item ID: $[\text{ID}]_{i^+}$, Title: $[\text{Title}]_{i^+}$.", 315 and Output: "Item ID: $[ID]_{i^+}$, Title: $[Title]_{i^+}$ ". Fol-
316 lowing Section [3.1.1,](#page-3-1) we adopt a sliding window 317 w to accommodate long user sequences and the 318 positive item is always the one next to the sliding **319** window. These BPR data samples encode dissimi- **320** larities between the purchased items and the rest of **321** the items in the dataset. **322**

Figure 2: Fine-tuning and evaluation framework.

323 3.2 Recommendation-task Data Generation

 As shown in Figure [1\(](#page-1-0)a), the existing recom- menders with LLM backbones adopt prompts that primarily convey the recommendation tasks by pro- viding directions on how to perform them. Such information is essential, yet insufficient for repre-senting the target recommendation domain.

 We propose prompts that help LLMs compre- hend the target recommendation domain in addi- tion to the recommendation tasks. Specifically, we reduce the complexity of the input/output spaces. In contrast to [Geng et al.](#page-8-2) [2022,](#page-8-2) we eliminate user IDs and represent the users by their historical pur- chases. Consequently, we relieve LLMs from mem- orizing a substantial volume of user IDs (*e.g.*, Ama- zon Sports & Outdoors has 35,598 users). More- over, compared to [Geng et al.](#page-8-2) [2022](#page-8-2) that represent user sequences solely by item IDs, we include both the IDs and the titles of the items, which makes it easier for LLMs to recognize the items. Notably, ranking candidates and items in the out- put are represented solely by their IDs to reduce the length of the prompts and maintain a smaller output space. Figures [1\(](#page-1-0)b1)-(b3) show examples of our retrieval, ranking, and rating prediction recommendation-task data samples. The raw item IDs (*e.g.*, '0000031852') are mapped into shorter 50 **b** ones $(e.g., 'I123')$ ³ to reduce input/output space complexity. To fully present the users' historical purchases to LLMs, we adopt a sliding window w similar to Section [3.1.1.](#page-3-1)

354 3.3 Fine-tuning and Evaluation Framework

355 As shown in Figure [2,](#page-4-3) we adopt a simple framework **356** to fine-tune the LLM backbones and evaluate the resulting model. We first generate recommendation- **357** task and auxiliary-task data samples using the train- **358** ing set. Next, we tune the LLM backbone with **359** these data samples in a multi-task learning man- **360** ner. Finally, we evaluate the recommendation tasks **361** using the recommendation-task data samples gen- **362** erated from the test set. 363

4 Experiments **³⁶⁴**

We evaluate the proposed method and compare it **365** with conventional as well as LLM-based recommenders. We aim to answer the following research 367 questions: RQ1. Can our method introduce knowl- **368** edge into LLMs from new recommendation do- **369** mains? RQ2. How does our model perform com- **370** pared to the conventional as well as LLM-based **371** recommenders in retrieval, ranking, and rating pre- **372** diction? RQ3. How beneficial are the individual **373** proposed tasks? RQ4. What's the effect of varying **374** the size of the backbone LLM? **375**

4.1 Experimental Setting 376 376

Datasets. We experiment on three real-world **377** datasets: Amazon Toys & Games, Beauty, and **378** Sports & Outdoors ^{[4](#page-4-4)}. Following [Zhou et al.](#page-9-7) [2020;](#page-9-7) 379 [Geng et al.](#page-8-2) [2022,](#page-8-2) we keep 5-core data and apply 380 leave-one-out evaluation, *i.e.*, for each user pur- **381** chase sequence (where the interactions are sorted **382** by timestamp in ascending order), the last, the sec- **383** ond to the last, and the prior interactions are used **384** for testing, validation, and training, respectively. **385** We present data statistics in Appendix [B.](#page-10-1) **386**

Recommendation Tasks. We evaluate on three es- **387** tablished recommendation tasks: retrieval, which **388** retrieves the ground truth item that a user inter- **389** acted with from the entire dataset; ranking, which **390** chooses the ground truth item that a user interacted **391** with from a candidate pool of size 100 (1 posi- 392 tive item and 99 negative items sampled based on **393** popularity); rating prediction, which classifies an **394** interaction as either "like" or "dislike" (interactions **395** with ratings > 3 are considered as "like"). We leave 396 the exploration and evaluation of novel recommen- **397** dation tasks (*e.g.*, explanation generation) to the **398** future, due to a lack of ground-truth data. **399**

Evaluation Metrics. For retrieval and ranking, we 400 report top- k Hit Ratio (HR $@k$) and Normalized 401 Discounted Cumulative Gain (NDCG@k), where 402 k is set to 5/10 and 1/5/10, respectively. For rat- 403 ing prediction, we report Area Under the Receiver **404**

³We adopt random mapping, *i.e.*, similar-looking IDs may not imply any connection or semantic similarity. We acknowledge that using semantic-rich IDs [\(Rajput et al.,](#page-9-8) [2023\)](#page-9-8) could enhance performance and leave the exploration to the future.

⁴ https://nijianmo.github.io/amazon/

			Toys & Games				Beauty		Sports & Outdoors			
Methods	NDCG @5	NDCG @10	HR @5	HR @10	NDCG @5	NDCG @10	HR @5	HR @10	NDCG @5	NDCG @10	HR @5	HR @10
Caser^1	0.0107	0.0141	0.0166	0.0270	0.0131	0.0176	0.0205	0.0347	0.0072	0.0097	0.0116	0.0194
HGN ¹	0.0221	0.0277	0.0321	0.0497	0.0206	0.0266	0.0325	0.0512	0.0120	0.0159	0.0189	0.0313
GRU4Rec ¹	0.0059	0.0084	0.0097	0.0176	0.0099	0.0137	0.0164	0.0283	0.0086	0.0110	0.0129	0.0204
BERT4Rec ¹	0.0071	0.0099	0.0116	0.0203	0.0124	0.0170	0.0203	0.0347	0.0075	0.0099	0.0115	0.0191
$FDSA^1$	0.0140	0.0189	0.0228	0.0381	0.0163	0.0208	0.0267	0.0407	0.0122	0.0156	0.0182	0.0288
SASRec ¹	0.0306	0.0374	0.0463	0.0675	0.0249	0.0318	0.0387	0.0605	0.0154	0.0192	0.0233	0.0350
S^3 -Rec 1	0.0294	0.0376	0.0443	0.0700	0.0244	0.0327	0.0387	0.0647	0.0161	0.0204	0.0251	0.0385
TIGER ²	0.0371	0.0432	0.0521	0.0712	0.0321	0.0384	0.0454	0.0648	0.0181	0.0225	0.0264	0.0400
P5 ²	0.0050	0.0066	0.0070	0.0121	0.0107	0.0136	0.0163	0.0254	0.0041	0.0052	0.0061	0.0095
P5-XL	0.0023	0.0031	0.0035	0.0061	0.0036	0.0050	0.0063	0.0104	0.0029	0.0035	0.0040	0.0060
FLAN-T5-Base	0.0000	$2e-5$	0.0000	$5e-5$	0.0000	0.0000	0.0000	0.0000	0.0000	$9e-6$	0.0000	$3e-5$
FLAN-T5-XL	$2e-5$	$2e-5$	$5e-5$	$5e-5$	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
ReAT [Ours]	0.0390	0.0461	0.0558	0.0776	0.0382	0.0442	0.0535	0.0722	0.0188	0.0232	0.0285	0.0422
UT [Ours]	0.0166	0.0202	0.0252	0.0362	0.0188	0.0231	0.0292	0.0425	0.0079	0.0101	0.0118	0.0187
UT+AT [Ours]	0.0392	0.0459	0.0563	0.0772	0.0329	0.0397	0.0482	0.0693	0.0178	0.0219	0.0268	0.0393
Δ (%)	$+5.66$	$+6.71$	$+8.06$	$+8.99$	$+19.00$	$+15.10$	$+17.84$	$+11.42$	$+3.87$	$+3.11$	$+7.95$	$+5.50$

Table 1: Retrieval results. ¹ marks results from [Zhou et al.](#page-9-7) [2020;](#page-9-7) ² marks results from [Rajput et al.](#page-9-8) [2023.](#page-9-8) Δ compares the best [Ours] with the best baseline.

Methods			Toys & Games			Beauty					Sports & Outdoors				
	NDCG @5	NDCG @10	HR @1	HR @5	HR @10	NDCG @5	NDCG @10	HR @1	ΗR @5	HR @10	NDCG @5	NDCG @10	HR @1	HR @5	HR @10
$BPR-MF1$	0.0641	0.0940	0.0233	0.1066	0.2003	0.0857	0.1224	0.0311	0.1426	0.2573	0.0848	220 0 ₁	0.0314	0.1404	0.2563
$BPR-MLP1$ SimpleX ¹	0.0688 0.1244	0.0988 0.1469	0.0252 0.0268	0.1142 0.1958	0.2077 0.2662	0.0848 0.1441	0.1215 0.1711	0.0317 0.0325	0.1392 0.2247	0.2542 0.3090	0.0927 0.1505	0.1296 0.1800	0.0351 0.0331	0.1520 0.2362	0.2671 0.3290
P5-XL	0.0290	0.0444	0.0097	0.0494	0.0977	0.0298	0.0456	0.0110	0.0498	0.0992	0.0286	0.0436	0.0097	0.0486	0.0957
FLAN-T5-Base	0.0107	0.0127	0.0057	0.0156	0.0217	0.0097	0.0113	0.0052	0.0137	0.0189	0.0069	0.0082	0.0035	0.0102	0.0144
FLAN-T5-XL	0.0160	0.0312	0.0026	0.0315	0.0793	0.0152	0.0296	0.0022	0.0301	0.0753	0.0097	0.0193	0.0014	0.0192	0.0491
RaAT [Ours]	0.1714	0.2034	0.0956	0.2464	0.3453	0.1376	0.1691	0.0702	0.2036	0.3013	0.0933	0.1199	0.0424	0.1448	0.2272
UT [Ours]	0.1536	0.1867	0.0831	0.2233	0.3259	0.1236	0.1537	0.0609	0.1863	0.2798	0.0867	0.1137	0.0381	0.1362	0.2202
$UT+AT$ [Ours]	0.1703	0.2064	0.0938	0.2443	0.3562	0.1441	0.1758	0.0742	0.2126	0.3112	0.0997	0.1281	0.0468	0.1526	0.2404
Δ (%)	$+37.78$	$+40.50$	$+256.72$	$+25.84$	$+33.81$	0.00	$+2.75$	$+128.31$	-5.38	$+0.71$	-33.75	-28.83	$+33.33$	-35.39	-26.93

Table 2: Ranking results. ¹ marks results from [Geng et al.](#page-8-2) [2022.](#page-8-2) Δ compares the best [Ours] with the best baseline.

Table 3: Rating prediction AUC-ROC. Δ compares the best [Ours] with the best baseline.

405 Operating Characteristic Curve (AUC-ROC).

 Models. We compare to non LLM-based recom- menders. For retrieval, we consider sequential recommenders including Caser [\(Tang and Wang,](#page-9-5) [2018\)](#page-9-5), which leverages CNNs, HGN [\(Ma et al.,](#page-8-16) [2019\)](#page-8-16), which adopts hierarchical gating networks, GRU4Rec [\(Hidasi et al.,](#page-8-7) [2016\)](#page-8-7), which leverages GRUs [\(Cho et al.,](#page-8-17) [2014\)](#page-8-17), BERT4Rec [\(Sun et al.,](#page-9-3) [2019\)](#page-9-3), FDSA [\(Zhang et al.,](#page-9-6) [2019\)](#page-9-6), SASRec [\(Kang](#page-8-8)

[and McAuley,](#page-8-8) [2018\)](#page-8-8), S 3 -Rec [\(Zhou et al.,](#page-9-7) [2020\)](#page-9-7), **414** and TIGER [\(Rajput et al.,](#page-9-8) [2023\)](#page-9-8), which lever- **415** age self-attention, with TIGER being the current **416** [S](#page-9-2)OTA. For ranking, we consider BPR-MF [\(Ren-](#page-9-2) **417** [dle et al.,](#page-9-2) [2009\)](#page-9-2), BPR-MLP [\(Cheng et al.,](#page-8-6) [2016\)](#page-8-6), **418** and SimpleX [\(Mao et al.,](#page-9-4) [2021\)](#page-9-4), which are col- **419** laborative filtering-based method. For rating pre- **420** diction, we consider History, a naive method **421** that always predicts based on how likely a user **422** likes the training items they purchased, **DMF** 423 [\(Xue et al.,](#page-9-13) [2017\)](#page-9-13), a neural matrix factorization **424** model, and Wide&Deep [\(Cheng et al.,](#page-8-6) [2016\)](#page-8-6), **425** a context-aware method. Beside, we also con- **426** [s](#page-8-2)ider LLM-based methods including **P5** [\(Geng](#page-8-2) 427 [et al.,](#page-8-2) [2022\)](#page-8-2), which fine-tunes T5 [\(Raffel et al.,](#page-9-11) **428** [2020\)](#page-9-11) with multi-task recommendation prompts, **429** P5-XL, which fine-tunes FLAN-T5-XL with P5 **430** prompts, FLAN-T5-Base/XL [\(Wei et al.,](#page-9-0) [2022\)](#page-9-0), **431** which make zero-shot predictions with FLAN-T5- **432** Base or FLAN-T5-XL (we query them with our **433**

 proposed recommendation-task data samples gen- erated from the test set). ReAT/ RaAT/ RpAT, which fine-tune FLAN-T5-XL with our proposed retrieval (Re), ranking (Ra), or rating prediction (Rp) task data samples along with the auxiliary-**task (AT) data samples ^{[5](#page-6-0)}, unified training (UT),** which fine-tunes FLAN-T5-XL with a combination of our proposed Re, Ra, Rp data samples, uni- fied training w/ auxiliary tasks (UT+AT), which fine-tunes FLAN-T5-XL with a combination of our proposed Re, Ra, Rp, MIM, MLM data samples.

 Implementation Details. We adopt the 3B FLAN- T5-XL [\(Wei et al.,](#page-9-0) [2022\)](#page-9-0) as the backbone (note that we use the 223M FLAN-T5-Base for the ab- lation studies in Section [4.3\)](#page-7-0). We set the sliding window size w to 20. For the BPR data samples, we sample the negative items based on popularity. For the ranking and BPR data samples, the posi- tion of the positive item in the candidate pool is always determined randomly. For the MIM and MLM data samples, we adopt a masking ratio of 20%. To fully fine-tune the LLM backbone, we ap- ply dynamic sampling for the BPR and MIM/MLM data samples (we present details about the dynamic sampling and the statistics of our data samples in Appendix [C\)](#page-10-0). To reduce cost, we validate on 3,000 users. Meanwhile, testing is performed on all users. We fine-tune FLAN-T5-XL and FLAN-T5-Base for 70, 000 and 10, 000 steps, with batch sizes 16 and 64, respectively. We set the learning rate to 0.001 and warm-up steps to 1,000. During pre- diction, we set the width of the beam search for retrieval and ranking to 20. For unified models, *i.e.*, UT and UT+AT, model selections are based on retrieval validation performance. We present the de- tailed settings of P5-XL experiments in Appendix [A.](#page-10-2) We cite the results of some baseline models from [Zhou et al.](#page-9-7) [2020;](#page-9-7) [Geng et al.](#page-8-2) [2022,](#page-8-2) and [Rajput et al.](#page-9-8) [2023.](#page-9-8) We implement DMF and Wide&Deep with **RecBole ^{[6](#page-6-1)}. We adopt the default configurations,** except the data split, mapping (ratings to "like"s or "dislike"s), and metric are adjusted to follow our experiment settings as reported earlier.

477 4.2 Overall Performance (RQ1 & RQ2)

478 Tables [1,](#page-5-0) [2,](#page-5-1) and [3](#page-5-2) show the results of retrieval, **479** ranking, and rating prediction, respectively. FLAN-**480** T5-Base/XL exhibit suboptimal performance on

retrieval and ranking. For retrieval, they show near **481** zero NDCGs and HRs. For ranking, they are signif- **482** icantly inferior to the conventional baselines. For **483** rating prediction, they perform much higher than **484** random guessing (50.00), outperforming DMF, but **485** still fall behind History and Wide&Deep. This **486** shows that FLAN-T5 models lack recommenda- **487** tion knowledge. Moreover, we find that our pro- **488** posed method effectively aligns LLMs with new **489** recommendation domains (RQ1). In particular, **490** by fine-tuning FLAN-T5-XL with our proposed **491** data samples, our models significantly outperform **492** FLAN-T5-XL on all three tasks across the datasets. **493**

When compared to the baselines, our models **494** show remarkable performance, especially on re- **495** trieval (RQ2). For retrieval, our ReAT outperforms **496** TIGER, the current SOTA, by large margins across **497** datasets and metrics. Additionally, it is essential **498** to highlight that our method possesses natural lan- **499** guage reasoning potentials of LLMs, which are **500** absent in TIGER. For ranking, our RaAT greatly **501** outperforms SimpleX, the best baseline, on Toys **502** & Games. On Beauty, RaAT performs on par with **503** SimpleX. On Sports & Outdoors, RaAT is infe- **504** rior to the conventional recommenders on metrics **505** such as NDCG/HR@10, yet still greatly outper- 506 forms the LLM-based baselines. Notably, the @1 **507** performance of RaAT is always much higher than **508** the conventional recommenders. For rating predic- **509** tion, our RpAT outperforms Wide&Deep, the best **510** baseline, on Toys & Games and Beauty while lags **511** slightly behind it on Sports & Outdoors. These re- **512** sults verify that our method introduces substantial **513** recommendation domain knowledge into LLMs for **514** outperforming strong baselines. **515**

Moreover, our UT greatly outperforms P5-XL 516 across datasets and metrics. This shows that our **517** proposed recommendation task prompts better pre- **518** serve item correlations as compared to the P5 ones. 519 Specifically, we enhance user sequence modeling **520** by introducing helpful details such as item titles **521** while excluding less informative details such as 522 user IDs and explanation data. **523**

We also compare our UT+AT model with our **524** task-specific models, *i.e.*, ReAT/ RaAT/ RpAT. **525** We show that our method allows fine-tuning a **526** unified model that addresses all recommendation **527** tasks without sacrificing per-task performance by **528** much. For retrieval, UT+AT is slightly worse than **529** ReAT but still outperforms all baselines, except **530** that UT+AT performs comparably with TIGER on **531** Sports & Outdoors. For ranking, UT+AT performs **532**

 5 BPR data samples are used only by RaAT as we observe that they help ranking but not retrieval and rating prediction. MIM/ MLM data samples are used by ReAT, RaAT, and RpAT.

⁶ https://recbole.io

#	Methods	NDCG @5	NDCG @10	HR @5	HR @10
1	TIGER	0.0371	0.0432	0.0521	0.0712
$\mathcal{D}_{\mathcal{A}}$	FLAN-T5-XL	$2e - 5$	$2e - 5$	$5e-5$	$5e-5$
3	2+retrieval	0.0182	0.0219	0.0273	0.0388
4	$3+MI.M$	0.0306	0.0369	0.0443	0.0641
5	$4+MIN$	0.0390	0.0461	0.0558	0.0776
6	FLAN-T5-Base	0.0000	$2e-5$	0.0000	$5e-5$
7	6+retrieval	0.0149	0.0183	0.0219	0.0325
8	$7+MI$ M	0.0219	0.0271	0.0334	0.0495
9	$8+MIM$	0.0242	0.0304	0.0376	0.0566

Table 4: Retrieval ablation study on Toys & Games. Rows 1, 2, 5 (equivalent to ReAT), and 6 are copied from Table [1.](#page-5-0)

#	Methods	NDCG @5	NDCG @10	HR @1	HR @5	HR @10
1	SimpleX	0.1244	0.1469	0.0268	0.1958	0.2662
\overline{c}	FLAN-T5-XL	0.0160	0.0312	0.0026	0.0315	0.0793
3	$2 + ranking$	0.1520	0.1864	0.0807	0.2218	0.3284
4	$3+MI.M$	0.1580	0.1912	0.0854	0.2303	0.3333
5	$4+MIN$	0.1677	0.1976	0.0938	0.2391	0.3317
6	$5+BPR$	0.1714	0.2034	0.0956	0.2464	0.3453
7	FLAN-T5-Base	0.0107	0.0127	0.0057	0.0156	0.0217
8	7+ranking	0.1349	0.1654	0.0720	0.1957	0.2901
9	$8+MI.M$	0.1481	0.1782	0.0820	0.2119	0.3051
10	$9+MIN$	0.1489	0.1811	0.0817	0.2141	0.3136
11	$10+BPR$	0.1534	0.1844	0.0844	0.2196	0.3153

Table 5: Ranking ablation study on Toys & Games. Rows 1, 2, 6 (equivalent to RaAT), and 7 are copied from Table [2.](#page-5-1)

533 on par with or slightly better than our task-specific **534** RaAT model. For rating prediction, UT+AT is **535** slightly worse than RpAT.

536 4.3 Ablation Studies (RQ3 & RQ4)

 Tables [4,](#page-7-1) [5,](#page-7-2) and [6](#page-7-3) show ablation studies on Toys & Games for retrieval, ranking, and rating predic- tion, respectively. We observe that all the proposed tasks are beneficial (RQ3). In Table [4](#page-7-1) rows 2-5, successively adding our proposed retrieval, MLM, and MIM data samples into the fine-tuning data increases the retrieval performance. All three tasks are essential. *E.g.*, row 4, which fine-tunes FLAN- T5-XL using retrieval and MLM data samples per- forms on par with $S³$ -Rec and worse than TIGER (row 1, the current SOTA). Further adding MIM data samples (row 5) surpasses TIGER. This shows

	Methods	AUC-ROC	Methods	AUC-ROC
	Wide&Deep	70.93	FLAN-T5-Base	57.85
	FLAN-T5-XL	55.23 70.38	6+rating-prediction	69.17
3	2+rating-prediction $3+MLM$	71.08	$7+MI.M$	67.31
	$4+MIN$	71.16	$8+MIN$	68.24

Table 6: Rating-prediction ablation study on Toys & Games. Rows 1, 2, 5 (equivalent to RpAT), and 6 are copied from Table [3.](#page-5-2)

that the item-level and token-level item correlations **549** introduced by MIM and MLM are essential and **550** complement each other. Similarly, in Table [5](#page-7-2) rows **551** 2-6, the ranking performance improves as we in- **552** corporate our proposed ranking, MLM, MIM, and **553** BPR data samples into fine tuning. Among these **554** data samples, ranking task data samples are the **555** most helpful. BPR data samples, which contrast the **556** positive items with the negative ones, provide the **557** least assistance. For rating predictions, as shown **558** in Table [6](#page-7-3) rows 2-5, our proposed rating predic- **559** tion data samples greatly increase the performance. **560** MLM and MIM do help, but only marginally. **561**

We also find that our proposed method is effec- **562** tive regardless of the size of the backbone model **563** (RQ4). In Tables [4,](#page-7-1) [5,](#page-7-2) and [6,](#page-7-3) we apply our method **564** on FLAN-T5-Base and observe significant perfor- **565** mance increases on all three recommendation tasks. 566 In terms of overall performance, our best retrieval **567** model with FLAN-T5-Base (Table [4](#page-7-1) row 9) falls 568 behind TIGER but still outperforms all baselines **569** except TIGER, S^3 -Rec, and SASRec. In Table [5,](#page-7-2) $\qquad 570$ our best ranking model with FLAN-T5-Base (row **571** 11) outperforms SimpleX by large margins, though **572** falls behind our best ranking model with FLAN-T5- **573** XL (row 6). In Table [6,](#page-7-3) our best rating prediction **574** model with FLAN-T5-Base (row 7) is slightly in- **575** ferior to the best model with FLAN-T5-XL (row **576** 5) and Wide&Deep. The effectiveness of the indi- **577** vidual tasks remains roughly consistent with the **578** previous results with FLAN-T5-XL (except that **579** MLM does not help rating prediction). *E.g.*, in Ta- **580** ble [5](#page-7-2) rows 7-11, our ranking task, MLM, MIM, and **581** BPR data samples all contribute to the ranking per- **582** formance, with the ranking task data samples being **583** the most beneficial and BPR the least beneficial. **584**

5 Conclusion **⁵⁸⁵**

We propose to align LLMs with the recommen- **586** dation domain by fine-tuning with data samples **587** that encode recommendation knowledge. We pro- **588** pose auxiliary-task data samples that encode item **589** correlations contained in users' preferences. We **590** further design recommendation-task data samples **591** that are more informative than ones in existing stud- **592** ies. Experiments on retrieval, ranking, and rating **593** prediction show that our method effectively intro- **594** duces recommendation knowledge into FLAN-T5- **595** Base/XL from three domains. Our method greatly **596** outperforms both conventional and LLM-based **597** baselines in retrieval, achieving the new SOTA. **598**

⁵⁹⁹ 6 Limitations

 Our proposed method utilizes LLMs as the back- bones. The substantial parameter size of the LLMs results in increased computational resource con- sumption and extended training and inference times compared to conventional recommenders. Never- theless, adopting LLM backbones is beneficial due to their significant potential. In addition to the ex- ceptional performance demonstrated in this study, we anticipate that future research will continue to augment existing recommendation tasks and ad- dress novel recommendation scenarios by leverag-ing the diverse capabilities of LLM backbones.

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Table 7: Statistics of the datasets.

⁷⁸² A P5-XL Experimental Setting and **⁷⁸³** Additional Results

784 A.1 Experimental Setting

 We generate P5 prompts using the source code pro-86 vided by the P5 authors ⁷. However, for a fair com- parison, we update the data pre-processing to be consistent with our method and the other baselines. Specifically, we apply random instead of sequential indexing when mapping the item IDs. As pointed out by [Rajput et al.](#page-9-8) [2023,](#page-9-8) the sequential indexing of items (*e.g.*, the purchase sequence of the first user in Toys & Games is mapped into '1, 2, 3, 4, 5, 6, 7') in the original P5 pre-processing leads to data leak- age (*e.g.*, given the train items, *i.e.*, '1, 2, 3, 4, 5, 6', the LLM can easily infer the test item, *i.e.*, '7'). Therefore, we adopt random mapping (*i.e.*, con- secutive or similar-looking IDs may not imply any connection), which is consistent with our method. In addition, the original P5 pre-processing adopts leave-one-out split for retrieval and ranking, while splitting the dataset by 0.8:0.1:0.1 for the training, validation, and testing of rating prediction. This could result in data leakage, as the test interactions of one task might be included in the training set of another task. We instead adopt leave-one-out data split for all three recommendation tasks, which is consistent with our proposed method as well as the other baselines.

 For a fair comparison, We apply the same back- bone (FLAN-T5-XL), fine-tuning steps (70,000), batch size (16), and learning rate (0.001) as adopted by our proposed method. Following the original P5 code, we fine-tune a unified model with prompts of their proposed five task families (rating, sequential recommendation, explanation, review, and direct recommendation. The sequential recommendation and direct recommendation families are weighted 5 times higher than the rest families). In Tables [1,](#page-5-0) [2,](#page-5-1) and [3,](#page-5-2) we adopt prompt templates 2-1, 2-7, and 1-4 for evaluating the retrieval, ranking, and rating pre- diction performance of the P5-XL model, as these templates better suit the forms of the recommenda- tion tasks (introduced in the second subsection of **Section [4.1\)](#page-4-5) than the other templates.**

A.2 Additional Results **826**

In Table [8,](#page-11-1) we report the ranking results of P5-XL **827** evaluated with prompt template 5-5. We can tell **828** that P5-XL (5-5) slightly fall behind P5-XL. Our **829** proposed UT greatly outperforms both P5-XL and **830** P5-XL (5-5), which again verifies that our proposed **831** recommendation task prompts are more informa- **832** tive than the P5 ones. 833

B Dataset Statistics 834

Table [7](#page-10-4) presents the statistics of the Amazon **835** datasets, *i.e.*, Toys & Games, Beauty, and Sports & **836** Outdoors [8](#page-10-5) that we used to evaluate our proposed **837** method as well as all the baselines. **838**

C Examples and Statistics of the **⁸³⁹ Proposed Data Samples** 840

C.1 Statistics of the Data Samples **841**

Table [10](#page-12-0) presents the statistics of our proposed 842 recommendation-task and auxiliary-task data sam- **843** ples. Consider the recommendation-task data sam- **844** ples, the training data samples are generated by **845** swiping a sliding window of size $w = 20$ over 846 the training split of the user sequence. The vali- **847** dation data samples consider only 3,000 users for **848** each dataset for cost-efficient validation. We test **849** on all users, therefore the counts of the testing data **850** samples equal to the total number of users in the 851 datasets. The auxiliary-task data samples, on the **852** other hand, are generated using only the training **853** splits. Notably, during training, we apply dynamic 854 sampling that decide the negative items in the BPR **855** data samples as well as the masked items/tokens **856** in the MIM/MLM data samples on the fly. Such 857 dynamic sampling helps to fully fine-tune the LLM **858** backbones. **859**

C.2 **Examples of the Data Samples** 860

In Table [11,](#page-12-1) we present examples of our proposed **861** data samples. These data samples are generated **862** with the training data split of an Amazon - Toys 863 & Games user whose ID is 'A12HF3UBDV34RR'. **864** Note that to fully fine-tune the LLM backbone, 865 we apply dynamic sampling for the BPR and **866** MIM/MLM data samples and decide the negative **867** items and masked items/tokens on the fly. Here, **868** we only present the BPR, MIM, and MLM data **869** samples resulted from a single sampling. 870

 7 <https://github.com/jeykigung/P5>

⁸ https://nijianmo.github.io/amazon/

Methods	Toys & Games					Beauty					Sports & Outdoors				
	NDCG @5	NDCG. @10	HR @1	HR @5	HR @10	NDCG @5	NDCG @10	HR $^{\circ}$	HR @5	HR @10	NDCG @5	NDCG @10	HR @	HR @5	HR @10
P5-XL	0.0290).0444	0.0097	0.0494	0.0977	0.0298	0.0456	0.0110	0.0498	0.0992	0.0286	0.0436	0.0097	0.0486	0.0957
$P5-XL(5-5)$	0.0274	0.0428	0.0089	0.0467	0.0948	0.0289	0.0443	0.0093	0.0497	0.0982	0.0275	0.0426	0.0091	0.0470	0.0943
UT [Ours]	0.1536	.1867	0.0831	0.2233	0.3259	0.1236	0.1537	0.0609	0.1863	0.2798	0.0867	0.1137	0.0381	0.1362	0.2202

Table 8: Additional P5-XL Ranking results. Rows 1 and 3 are copied from Table [2.](#page-5-1)

Methods	NDCG.	NDCG	HR	HR
	@5	@10	ω 5	@10
UT [Ours]	0.0079	0.0101	0.0118	0.0187
$UT+IE$ [Ours]	0.0076	0.0097	0.0121	0.0185

Table 9: Retrieval results on Sports & Outdoors with (UT+IE) or without (UT) IE data samples. Row 1 is copied from Table [1.](#page-5-0)

Figure 3: Item embedding (IE) data samples.

871 **D** Mimicking Item Embedding

872 **Our proposed data samples introduced in the main** \overline{a} 875 correlations encompassed in item contents, *i.e.*, cat-873 paper encode item correlations encompassed in 874 users' preferences. We also explore encoding item **876** egories, descriptions, etc.

877 We observe that the conventional context-aware recommenders commonly integrate item contents to help the model better understand the items and achieve enhanced performance. *E.g.*, [Hou et al.](#page-8-9) [2022](#page-8-9) embed the concatenations of item content fields with BERT [\(Devlin et al.,](#page-8-5) [2019\)](#page-8-5). The learned 883 item embeddings, $X \in \mathbb{R}^{N \times d}$, where N is the number of the items and d is the dimension of the vector space, serve as initial representations of the **886** items.

 We mimic this item embedding (IE) process with natural language prompts. As shown in Figure [3,](#page-11-2) by asking questions about the properties of an item in the input and answering them in the output, we can generate item embedding data samples such as 'Input: What's the brand of I1014? Output: Nike'. We repeat such question answering process for var- ious available item content fields, including title, categories, brand, price, attributes, and descriptions. These data samples represent knowledge about the items, but with natural language rather than nu-merical vectors. We expect that tuning LLMs with

IE data samples can help them to comprehend the **899** items in the target recommendation domain and **900** enhance their performance. **901**

To evaluate the IE data samples, we tune a **902** UT+IE model, which augments the fine-tuning **903** data of our UT model with IE data samples (the **904** rest experimental settings of UT+IE and UT remain **905** the same). We present its retrieval performance on **906** Sports & Outdoors in Table [9.](#page-11-3) We observe no no- **907** ticeable performance increase when incorporate **908** IE data samples. The reason might be, the raw **909** item content fields are noisy. *E.g.*, the description **910** field is long and can contain noise such as hash- **911** tags and URLs. It has been shown [\(Cao et al.,](#page-8-10) **912** [2023\)](#page-8-10) pre-processing the raw fields to extract fine- **913** grained features helps to enhance context-aware **914** recommenders. Inspired by this, in the future, we **915** plan to improve the IE data samples by refining the **916** item content fields. **917**

		Toys & Games			Beauty		Sports & Outdoors		
Task	# Train	# Valid	# Test	# Train	# Valid	# Test	# Train	# Valid	# Test
Retrieval	30.761	3.000	19.412	36.582	3.000	22.363	47.320	3.000	35.598
Ranking	30,761	3.000	19.412	36,582	3.000	22.363	47,320	3.000	35,598
Rating prediction	30.761	3.000	19.412	36.582	3.000	22.363	47.320	3.000	35,598
MIM	DS	Ω	0	DS	θ	Ω	DS	0	Ω
MLM	DS	Ω	0	DS	θ	Ω	DS	0	Ω
BPR	DS	Ω	0	DS	Ω	θ	DS	Ω	Ω

Table 10: Statistics of our proposed data samples. DS stands for dynamic sampling.

Table 11: Examples of our proposed data samples.