# ALIGNING BRAIN FUNCTIONS BOOSTS THE DECODING OF VIDEOS IN NOVEL SUBJECTS

#### **Anonymous authors**

Paper under double-blind review

#### Abstract

Deep learning is leading to major advances in the realm of brain decoding from 1 functional Magnetic Resonance Imaging (fMRI). However, the large inter-subject 2 variability in brain characteristics has limited most studies to train models on one 3 subject at a time. Consequently, this approach hampers the training of deep learn-4 ing models, which typically requires very large datasets. Here, we propose to 5 boost brain decoding by aligning brain responses to videos across subjects. Com-6 pared to the anatomically-aligned baseline, our method improves out-of-subject 7 decoding performance by up to 75%. Moreover, it also outperforms classical 8 single-subject approaches when fewer than 100 minutes of data is available for the 9 tested subject. Furthermore, we propose a new multi-subject alignment method, 10 which obtains comparable results to that of classical single-subject approaches 11 while easing out-of-subject generalization. Finally, we show that this method 12 aligns neural representations in accordance with brain anatomy. Overall, this study 13 lays foundations to leverage extensive neuroimaging datasets and enhance the de-14 coding of individuals with a limited amount of brain recordings. 15



# Figure 1: General outline of video decoding from BOLD fMRI signal in left-out subjects

**A.** For every image associated with a brain volume, one computes its low-level and high-level latent representations using pre-trained models. Subsequently, regression models can be fitted to map brain features onto each of these latent representations. **B.** BOLD signal acquired in two subjects watching the same movie can be used to derive an alignment model which associates voxels of the two subjects based on functional similarity. **C.** Once this alignment model is trained, it can be used to transform brain features of the left-out subject into brain features that resemble that of the reference subject. In particular, this allows one to use models that have been trained on a lot of data coming from a reference subject data, and apply it on a left-out subject for whom less data was collected.

### 16 1 INTRODUCTION

**Decoding the brain** Deep learning is greatly accelerating the possibility of decoding mental repre-17 sentations from brain activity. Originally restricted to linear models (Mitchell et al., 2004; Harrison 18 & Tong, 2009; Haynes & Rees, 2006), the decoding of brain activity can now be carried out with 19 20 deep learning techniques. In particular, using functional Magnetic Resonance Imaging (fMRI) signals, significant progress has been made in the decoding of images (Ozcelik & VanRullen, 2023; 21 Chen et al., 2023a; Scotti et al., 2023; Takagi & Nishimoto, 2023; Gu et al., 2023; Ferrante et al., 22 2023; Mai & Zhang, 2023), speech (Tang et al., 2023), and videos (Kupershmidt et al., 2022; Wen 23 et al., 2018; Wang et al., 2022; Chen et al., 2023b; Lahner et al., 2023; Phillips et al., 2022). 24

Challenge However, brain representations are highly variable across subjects, which makes it challenging to train the same model on multiple subjects. Therefore, with few noteworthy exceptions (Haxby et al., 2020; Ho et al., 2023), studies typically train a decoder on a single subject at a time. With this constraint in mind, major effort has been put towards building fMRI datasets collecting a lot of data in a limited number of participants (Allen et al., 2022; Wen et al., 2017; LeBel et al., 2023; Pinho et al., 2018). Nonetheless, the necessity to train and test models on a single subject constitutes a major impediment to using notoriously data-hungry deep learning approaches.

32 **Functional alignment** Several methods can align the functions – as opposed to the anatomy – of 33 multiple brains, and thus offer a potential solution to inter-subject variability: differentiable wrap-34 pings of the cortical surface (Robinson et al., 2014), rotations between brain voxels in the functional space (Haxby et al., 2011), shared response models (Chen et al., 2015; Richard et al., 2020), per-35 mutations of voxels minimizing an optimal transport cost (Bazeille et al., 2019), or combinations 36 37 of these approaches (Feilong et al., 2022). However, it is not clear which of these methods offers 38 the best performance and generalization capabilities (Bazeille et al., 2021). Besides, several studies 39 rely on deep learning models trained in a self-supervised fashion to obtain a useful embedding of brain activity, in hope that this embedding could be meaningful across subjects (Thomas et al., 2022; 40 Chen et al., 2023a). However, it is currently unknown whether any of these methods improve the 41 decoding of naturalistic stimuli such as videos, and how such hypothetical gain would vary with the 42 amount of fMRI recording available in a given a subject. 43

Approach To address this issue, we leverage fMRI recordings of multiple subjects to boost the decoding of videos in a single left-out subject. This requires fitting two models: an alignment model and a decoder. The alignment aims at making brain responses of a left-out subject most similar to those of a reference subject. Here, we leverage optimal transport to compute this transformation using functional and anatomical data from both subjects. The decoder consists of a linear regression trained to predict the latent representations of movie frames from the corresponding BOLD signals.

We evaluate video decoding in different setups. In particular, we assess (1) whether training a decoder with several subjects improves performance, (2) whether decoders generalize to subjects on which they were not trained and (3) the extent to which functional alignment improves aforementioned setups.

Contributions We first confirm the feasibility of decoding, from 3T fMRI, the semantics of videos
 watched by the subjects. Our study further makes three novel contributions:

- functional alignment across subjects boosts video decoding performance when left-out subjects have a limited amount of data
- training a decoder on multiple aligned subjects reaches the same performance as training a
   single model per subject
- 3. the resulting alignments, computed from movie watching data, yield anatomically-coherent
   maps.

From a representation learning perspective, this is one more piece of evidence that representations learnt by deep learning models can help model and decode brain signal, even with stimuli as complex as naturalistic videos. Our results also show that, in high-data regimes, naturalistic movie-watching yields functional features which can help discriminate between parts of the cortex much beyond the visual system.

2

# 67 2 METHODS

<sup>68</sup> Our goal is to decode visual stimuli seen by subjects from their brain activity. To this end, we train

<sup>69</sup> a linear model to predict latent representations – shortened as *latents* – of these visual stimuli from

70 BOLD fMRI signals recorded in subjects watching naturalistic videos.

In the considered data, brains are typically imaged at a rate of one scan every 2 seconds. During this period, a subject sees 60 video frames on average. For simplicity, we consider the restricted issue of decoding only the first video frame seen by subjects at each brain scan. Formally, for a given subject, let  $X \in \mathbb{R}^{n,v}$  be the BOLD response collected in v voxels over n brain scans and  $Y \in \mathbb{R}^{n,m}$  the *m*-dimensional latent representation of each selected video frame for all n brain scans.

#### 76 2.1 BRAIN ALIGNMENT

77 Anatomical alignment As a baseline, we consider the alignment method implemented in 78 Freesurfer (Fischl, 2012), which relies on anatomical information to project each subject onto a 79 surface template of the cortex (in our case *fsaverage5*). Consequently, brain data from all subjects 80 lie on a mesh of size v = 10242 vertices per hemisphere.

Functional alignment On top of the aforementioned anatomical alignment, we apply a recent method from Thual et al. (2022) denoted as Fused Unbalanced Gromov-Wasserstein (FUGW)<sup>1</sup>. As illustrated in Figure 1.B, this method consists in using functional data to train an alignment that transforms brain responses of a given left-out subject into the brain responses of a reference subject. This approach can be seen as a soft permutation of voxels <sup>2</sup> of the left-out subject which maximizes the functional similarity to voxels of the reference subject. Formally, for a left-out subject, let  $D^{out} \in \mathbb{R}^{v,v}$  be the matrix of anatomical distances between

vertices on the cortex, and  $w^{\text{out}} \in \mathbb{R}^{v}$  a probability distribution on vertices.  $w^{\text{out}}$  can be interpreted as the relative importance of vertices; without prior knowledge, we use the uniform distribution. Reciprocally, we define  $D^{\text{ref}}$  and  $w^{\text{ref}}$  for a reference subject. Note that, in the general case, v can be different from one subject to the other, although we simplify notations here.

We derive a transport plan  $P \in \mathbb{R}^{v,v}$  to match the vertices of the two subjects based on functional similarity, while preserving anatomical organisation. For this, we simultaneously optimize multiple constraints, formulated in the loss function  $\mathcal{L}(P)$  described in Equation 1:

$$\mathcal{L}(\mathbf{P}) \triangleq (1-\alpha) \underbrace{\sum_{0 \leq i,j < n} ||\mathbf{X}_{i}^{\text{out}} - \mathbf{X}_{j}^{\text{ref}}||_{2}^{2} \mathbf{P}_{i,j}}_{\text{Marginal constraints}} + \alpha \underbrace{\sum_{0 \leq i,k,j,l < n} |\mathbf{D}_{i,k}^{\text{out}} - \mathbf{D}_{j,l}^{\text{ref}}|^{2} \mathbf{P}_{i,j} \mathbf{P}_{k,l}}_{\text{Entropy}} + \rho \left( \frac{\text{KL}(\mathbf{P}_{\#1} \otimes \mathbf{P}_{\#1} | \mathbf{w}^{\text{out}} \otimes \mathbf{w}^{\text{out}}) + \text{KL}(\mathbf{P}_{\#2} \otimes \mathbf{P}_{\#2} | \mathbf{w}^{\text{ref}} \otimes \mathbf{w}^{\text{ref}})}{\text{Entropy}} \right) + \varepsilon \operatorname{H}(\mathbf{P})$$

95

with  $P_{\#1} \triangleq (\sum_j P_{i,j})_{0 \le i < v}$  and  $P_{\#2} \triangleq (\sum_i P_{i,j})_{0 \le j < v}$  the first and second marginal distributions of P,  $\otimes$  the Kronecker product between two matrices, and KL( $\cdot, \cdot$ ) the Kullback-Leibler divergence.  $\alpha, \rho$  and  $\varepsilon$  are hyper-parameters setting the relative importance of each constraint.

Following Thual et al. (2022), we minimize  $\mathcal{L}(\mathbf{P})$  with 10 iterations of a block coordinate descent algorithm (Séjourné et al., 2021), each running 1 000 Sinkhorn iterations (Cuturi, 2013). Subsequently, we define  $\phi_{\text{out}\to\text{ref}}$ :  $\mathbf{X} \mapsto (\mathbf{P}^T \mathbf{X}^T) \oslash \mathbf{P}_{\#2} \in \mathbb{R}^{n,v}$  where  $\oslash$  is the element-wise division, a function which transports any matrix of brain features from the left-out subject to the reference subject. To simplify notations, for any  $\mathbf{X}$  defined on the left-out subject, we define  $\mathbf{X}^{\text{out}\to\text{ref}} \triangleq \phi_{\text{out}\to\text{ref}}(\mathbf{X})$ .

<sup>&</sup>lt;sup>1</sup>https://alexisthual.github.io/fugw

<sup>&</sup>lt;sup>2</sup>We use the words *voxel* (volumetric pixel) or *vertex* (point on a mesh) indifferently.

#### 105 2.2 DECODING

**Brain input** There is a time *lag* between the moment a stimulus is played and the moment it elicits a maximal BOLD response in the brain (Glover, 1999). Moreover, since the effect induced by this stimulus might span over multiple consecutive brain volumes, we set a *window size* describing the number of brain volumes to aggregate together. To account for these effects, we use a standard Finite Impulse Response (FIR) approach. FIR consists in fitting the decoder on a time-lagged, multi-volume version of the BOLD response. Different *aggregation functions* can be used, such as stacking or averaging. Figure S2 describes these concepts visually.

**Video output** The matrix of latent features Y is obtained by using a pre-trained image encoder on each video frame and concatenating all obtained vectors in Y. Similarly to Ozcelik & VanRullen (2023), and as illustrated in Figure 1.A, we seek to predict CLIP 257  $\times$  768 (high-level) and VD-VAE (low-level) latent representations. We use visual – as opposed to textual – CLIP representations (Radford et al., 2021). For comparison, we also reproduce our approach on latent representations from CLIP CLS (high-level) and AutoKL (low-level), which happen to be much smaller <sup>3</sup> and might be computationally easier to fit.

Model Fitting the decoder consists in deriving  $W \in \mathbb{R}^{v,m}$ ,  $b \in \mathbb{R}^m$  the solution of a Ridge regression problem – i.e. a linear regression with L2 regularization – predicting Y from X.

Evaluation We evaluate the performance of the decoder with retrieval metrics. Let us denote Xand Y the brain and latent features used to train the decoder,  $X_{\text{test}}$  and  $Y_{\text{test}}$  those to test the decoder, and  $\hat{Y} \triangleq WX_{\text{test}} + b$  the predicted latents. We ensure that the train and test data are disjoint.

We randomly draw a retrieval set *K* of 499 frames without replacement from the test data. For each pair  $\hat{y}, y$  of predicted and ground truth latents, one derives their cosine similarity score  $s(\hat{y}, y)$ , as well as similarity scores to all latents  $y_{neg}$  of the retrieval set  $s(\hat{y}, y_{neg})$ . Let us denote  $r(\hat{y}, y)$  the rank of y, which we define as the number of elements of K whose similarity score to  $\hat{y}$  is larger than  $s(\hat{y}, y)$ . In order for the rank to not depend on the size of K, we define the *relative rank* as  $\frac{r(\hat{y}, y)}{|K|}$ . Eventually, one derives the median relative rank MR( $\hat{Y}, K$ ):

$$\begin{split} r(\hat{\boldsymbol{y}}, \boldsymbol{y}) &\triangleq \left| \left\{ \boldsymbol{y}_{\text{neg}} \in K \mid s(\hat{\boldsymbol{y}}, \boldsymbol{y}_{\text{neg}}) > s(\hat{\boldsymbol{y}}, \boldsymbol{y}) \right\} \right| \\ \text{MR}(\hat{\boldsymbol{Y}}, K) &\triangleq \text{median} \left( \left\{ \frac{r(\hat{\boldsymbol{y}}, \boldsymbol{y})}{|K|}, \forall (\hat{\boldsymbol{y}}, \boldsymbol{y}) \right\} \right) \end{split}$$

#### 131 2.3 DECODING AND ALIGNMENT SETUPS

Within- vs out-of-subject The within-subject setup consists in training a decoder with data  $X_{\text{train}}^{S_1}$ ,  $Y_{\text{train}}^{S_1}$  from a given subject, and testing it on left-out data  $X_{\text{test}}^{S_1}$ ,  $Y_{\text{test}}^{S_1}$  acquired in the same subject. The out-of-subject setup consists in training a decoder with data from a given subject, and testing it on data  $X_{\text{test}}^{S_2}$ ,  $Y_{\text{test}}^{S_2}$  acquired in a left-out subject.

Single- vs multi-subject The single-subject setup consists in training a decoder predicting Y from X for each subject. The multi-subject setup consists in training a single decoder using data from multiple subjects. In this case, data from several subjects is stacked together, resulting in a matrix

139  $X_{\text{multi}} \in \mathbb{R}^{n_1 + \dots + n_p, v}$  and  $Y_{\text{multi}} \in \mathbb{R}^{n_1 + \dots + n_p, m}$ , where p is the number of subjects.

140 **Un-aligned** *vs* **aligned** In multi-subject and out-of-subject setups, data coming from different sub-141 jects can be *aligned* to a *reference* subject. Let us assume that  $S_1$  is the reference subject. In the 142 case of multi-subject, all subjects are aligned to  $S_1$  and the decoder is trained on a concatenation of 143  $X^{S_1}, X^{S_2 \to S_1}, ..., X^{S_p \to S_1}$  (see notations introduced at the end of section 2.1) and  $Y^{S_1}, ..., Y^{S_p}$ , 144 where *p* is the number of subjects. In the case of out-of-subject, it corresponds to aligning  $S_2$  onto 145  $S_1$ , such that a decoder trained on  $S_1$  will be tested on  $X_{\text{test}}^{S_2 \to S_1}, Y_{\text{test}}^{S_2}$ .

<sup>&</sup>lt;sup>3</sup>Dimensions for CLIP CLS: 768 ; CLIP 257 × 768 :  $257 \times 768 = 197376$  ; AutoKL:  $4 \times 32 \times 32 = 4096$  ; VD-VAE:  $2 \times 2^4 + 4 \times 2^8 + 8 \times 2^{10} + 16 \times 2^{12} + 2^{14} = 91168$ 

<sup>146</sup> The aforementioned setups are described visually in Figure 3.A.

**Evaluation under different data regimes** Note that alignment and decoding models need not be fitted using the same amount of data. In particular, we are interested in evaluating out-of-subject performance in setups where a lot of data is available for a *reference* subject, and little data is available for a *left-out* subject: this would typically be the case in clinical setups where little data is available in patients. In this case, we evaluate whether it is possible to use this small amount of data to align the left-out subject onto the reference subject, and have the left-out subject benefit from a decoder previously trained on a lot of data.

#### 154 2.4 DATASET

We analyze the dataset from Wen et al. (2017). This dataset comprises 3 human subjects who each watched 688 minutes of video in an MRI scanner. The videos consists of 18 train segments of 8 minutes each and 5 test segments of 8 minutes each. Each train segment was presented twice. Each test segment was presented 10 times. Each segment consists of a sequence of roughly 10-second video clips.

The fMRI data was acquired at 3 Tesla (3T), 3.5mm isotropic spatial resolution and 2-second temporal resolution. It was minimally pre-processed with the same pre-processing pipeline than that of the Human Connectome Project (Glasser et al., 2013). In particular, data from each subject are projected onto a common volumetric anatomical template.

Comparably to prior work on this dataset (Wen et al., 2018; Kupershmidt et al., 2022; Wang et al., 2022), we use runs related to the first 18 video segments - 288 minutes - as training data, and runs related to the last 5 video segments as test data.

#### 167 2.5 PREPROCESSING

We implement minimal additional preprocessing steps for each subject separately. For this, we (1) project all volumetric data onto the FreeSurfer average surface template *fsaverage5* (Fischl, 2012), then (2) regress out cosine drifts in each vertex and each run and finally (3) center and scale each vertex time-course in each run. Figure S1 gives a visual explanation as to why the last two steps are needed. The first two steps are implemented with nilearn (Abraham et al., 2014) <sup>4</sup> and the last one with scikit-learn (Pedregosa et al., 2011).

Additionally, for a given subject, we try out two different setups: a first one where runs showing the same video are averaged, and a second one where they are stacked.

#### 176 2.6 Hyper-parameters selection

To train decoders, we use the same regularization coefficient  $\alpha_{\text{ridge}}$  across latent types and choose it by running a cross-validated grid search on folds of the training data. We find that results are robust to using different values and stick to  $\alpha_{\text{ridge}} = 50\,000$ . Similarly, values for lag, window size and aggregation function are determined through a cross-validated grid search.

Finally, for functional alignment, we stick to default parameters shipped with version 0.1.0 of 181 FUGW. Namely,  $\alpha$ , which balances between Wasserstein and Gromov-Wasserstein losses – i.e. how 182 important functional data is compared to anatomical data – is set to 0.5. Empirically, we see that this 183 value yields values for the Wasserstein loss which are bigger than that of the Gromov-Wasserstein 184 loss, meaning that functional data drives these alignments.  $\varepsilon$ , which controls for entropic regulariza-185 tion – i.e. how blurry computed alignments will be – is set to  $10^{-4}$ . Empirically, this value yields 186 very anatomically sharp alignments.  $\rho$ , which sets the importance of marginal constraints – i.e. to 187 what extent more or less mass can be transported to / from each voxel – is set to 1. Empirically, this 188 value leads to all voxels being transported / matched. 189

<sup>&</sup>lt;sup>4</sup>https://nilearn.github.io

# 190 3 RESULTS

# 3.1 WITHIN-SUBJECT PREDICTION OF VISUAL REPRESENTATIONS FROM BOLD SIGNAL AND RETRIEVAL OF VISUAL INPUTS

We report the retrieval predictions of video decoding results in Table 1. For all three subjects of the Wen et al. (2017) dataset, and for all four types of latent representations considered, a Ridge regression fitted within-subject achieves significantly above-chance performance. Besides, performance varies across subjects, although well-performing subjects reach good performance on all types of latents.

Results reported in Table 1 were obtained for a lag of 2 brain volumes (i.e. 4 seconds since TR =198 199 2 seconds) and a window size of 2 brain volumes which were averaged together (see definitions in section 2.5). These parameters were chosen after running a grid search for lag values ranging from 200 1 to 5, a window size ranging from 1 to 3, and 2 possible aggregation functions for brain volumes 201 belonging to the same window (namely averaging and stacking). Figure S4 shows results using 202 the averaging aggregation function for different values of lag and window size, averaged across 203 subjects. Eventually, these results were obtained by stacking all runs of the training dataset, as 204 opposed to averaging repetitions of the same video clip. The two approaches yielded very similar 205 metrics. We expand on this matter in section 3.3. 206

Finally, Figure 2 shows retrieved images for Subject 2. Qualitatively, we observe that retrieved images often fit the theme of images shown to subjects (with categories like indoor sports, human faces, animals, etc.), but also regularly exhibit failure cases. It is also possible to use predicted latents to reconstruct seen video clips at a low frame-per-second rate (see Figure S3), which we do

211 not attempt in this study.

Table 1: Within-subject metrics for all subjects and all latent types on the test set Reported metrics are relative median rank  $\downarrow$  (MR) of retrieval on a set of 500 samples, top-5 accuracy  $\% \uparrow$  (Acc) of retrieval on a set of 500 samples. These results were averaged across 50 retrieval sets, hence results are reported with a standard error of the mean (SEM) smaller than 0.01. The *Dummy* model systematically predicts the mean latent representation of the training set.

	CLIP 257 × 768		VD-VAE		CLIP CLS		AutoKL	
	MR	Acc	MR	Acc	MR	Acc	MR	Acc
Dummy	50.0	1.0	50.0	1.0	50.0	1.0	50.0	1.0
S1	9.4	13.8	29.9	3.0	15.1	8.4	24.9	3.9
S2	6.8	16.4	30.2	3.5	10.6	10.5	21.8	3.8
<b>S</b> 3	7.8	13.6	28.5	3.1	11.0	9.9	26.0	3.3





#### 212 3.2 Out-of-subject decoding and multi-subject training

As illustrated in Figure 3, models trained on one subject do not generalise well to other subjects. However, we demonstrate that functional alignment can successfully be used as a transfer learning

strategy to generalize a pre-trained model to left-out subjects. In particular, we show that left-out

subjects need not have the same amount of available data than training subjects to benefit from their model: with just 30 minutes of data, left-out subjects can reach performance which would have needed roughly 100 minutes of data in a within-subject setting. Besides, compared to the out-ofsubject baseline, we obtain 25 to 75 percents improvement in relative median rank across latent types. Note that, in this study, we chose the best performing subject (S2) as the reference subject.

Finally, we show that a single model trained on all functionally aligned subjects can reach slightly better results than models trained on all un-aligned subjects. In every subject, this multi-subject aligned model performs comparably to their associated within-subject model. Supplementary Figures S5 and S6 show that these results hold for all types for latents.

Other interesting setups are reported in Figures S8, S9, S10, S11. In particular, they show that a multi-subject aligned model (e.g. trained on S1 and S2) has better performance on aligned left-out subjects (e.g. S3) than a single-subject model (e.g. trained on S2 only).



Figure 3: Effects of functional alignment on multi-subject and out-of-subject setups We report relative median rank  $\downarrow$  in all setups described in section 2.3 for CLIP 257 × 768. In all *aligned* cases, S1 and S3 were aligned onto S2. In all *out-of-subject* cases, we test S1 and S3 onto a decoder trained on S2. In all *multi-subject* cases, the decoder was trained on all data from all 3 subjects. A. In this panel, all models (alignment and decoding) were trained on all available training data. Results for other latent types are available in Figure S5. B. In left-out S1 and S3, decoding performance is much better when using functional alignment to S2 (solid dark purple) than when using anatomical alignment only (solid pale purple). Performance increases slightly as the amount of data used to align subjects grows, but does not always reach levels which can be achieved with a single-subject model fitted in left-out subjects (solid pale gray dots) when a lot of training data is available. Training a model on multiple subjects yields good performance in all 3 subjects (dashed pale teal) which can be further improved by using functional alignment (dashed dark teal). Results for other latent types are available in Figure S6.

To better understand how brain features are transformed by functional alignment, we show in Figure 228 4 how vertices from S1 are permuted to fit those of S2. Note that both subjects' data lie on fsav-229 erage5. To this end, we colorize vertices in S1 using the MMP 1.0 atlas (Glasser et al., 2016) and 230 use  $\phi_{S1\to S2}$  to transport each of the three RGB channels of this colorization. We see that, even in 231 low data regimes, FUGW scrambles most of the brain but can leverage signal to recover the cortical 232 organization of the occipital lobe. Higher regimes yield anatomically-consistent matches in a much 233 higher number of cortical areas such as the temporal and parietal lobes, and more surprisingly in the 234 primary motor cortex as well, while the prefrontal cortex and temporo-parietal junction (TPJ) still 235 seem challenging to map. 236



Figure 4: **Visualizing functional alignments in the left hemisphere** Vertices of the source subject (left) are permuted by FUGW. The result of this permutation is visualized on the target subject (columns 2, 3, and 4). Fitting FUGW with different increasing amounts of data gradually unfolds the cortical organisation of multiple areas, even non-visual ones. Note that all 3 models have been fitted using the same number of iterations.

#### 237 3.3 INFLUENCE OF TRAINING SET SIZE AND TEST SET REPETITIONS

Recent publications in brain-decoding using non-invasive brain imagery show impressive results. However, we stress that these results are obtained in setups which are very advantageous when it comes to both dataset size and signal-to-noise ratio. To better assess the importance of these two factors, we report in Figure 5 performance metrics for subject models trained and tested with various amounts of data and various amounts of noise.



Figure 5: Effect of training set size and test set noise on retrieval metrics Relative median rank  $\downarrow$  on a fixed test set gets better as more training data is used to fit the model (left). Interestingly, averaging brain volumes of 2 similar runs does not bring improvements compared to using just 1 run. Instead, stacking runs does yield significant improvements. Note that training sets using 2 runs have twice as much data as those using 1 run. Finally, these metrics are highly affected by the noise level of the test set (right): averaging more runs in the test set yields better metrics despite using the same decoder.

Firstly, using a fixed test set in which brain features were averaged across runs, we find that exponentially more training data is required per subject to achieve better performance. This finding is similar to that of systematic scaling studies on similar topics (Tang et al., 2023). More interestingly, in this given signal-to-noise ratio setup, it seems that more diverse training data should bring comparable or better performance than repeating already seen content, while potentially covering more semantic domains.
Secondly, reported performance metrics only hold in favorable signal-to-noise setups. Indeed, the test set associated with the Wen 2017 dataset comes with 10 runs for each video segment, which

test set associated with the Wen 2017 dataset comes with 10 runs for each video segment, which,
when averaged together, greatly reduce the noise level. However, as reported here, when tested
in real-life signal-to-noise conditions (i.e. only one run per video clip), our models' performance

degrades: it is approximately twice as bad for each subject when using CLIP latents.

### 254 4 DISCUSSION

**Impact** The present work confirms the feasibility of using BOLD fMRI signal acquired in a naturalistic setup to decode high level visual features (Nishimoto et al., 2011). It further demonstrates that it is possible to leverage fMRI signal from naturalistic movie watching to derive meaningful functional alignments between subjects, which in turn can be used to transfer decoding models to novel subjects.

In particular, our study shows that decoding brain data from a left-out subject can be substantially improved by aligning this left-out subject to a large reference dataset on which a decoder was trained. Out method thus paves the way towards using models used on large amounts of individual data to decode signal acquired in smaller neuro-imaging studies, which typically record one hour of fMRI for each subject (Madan, 2022).

Besides, this study reports decoding accuracy in setups where subjects are showed test stimuli for the first time only, hence yielding insights on how these models would perform in real-time decoding. While performance improves with the number of repetitions at test time, reasonable decoding performance of semantics can be achieved in two out of three subjects with just one repetition.

Lastly, by systematically quantifying decoding accuracy as a function of the amount of training data, the present work brings insightful recommendations as to what stimuli should be played in future fMRI datasets collecting large amounts of data in a limited number of subjects. In the current setup (naturalistic movie watching at 3T), more diverse semantic content is more valuable than repeated content for fitting decoding models.

**Limitations** This work is a first step towards training accurate semantic decoders which generalize across individuals, but subsequent work remains necessary to ensure the generality of our findings.

Firstly, although reported gains in out-of-subject setups are significant, the small number of participants present in the dataset under study calls for replications on other – and potentially larger –
cohorts. However, to our knowledge, no other dataset presented similar features to that of Wen et al.
(2017) – i.e. high quantity of data per subject and large variety of video stimuli. The recent Courtois
Neuromod dataset <sup>5</sup> might be useful in this regard.

Secondly, our approach currently requires left-out subjects to watch the same videos as reference subjects. It is yet unclear whether functional alignment could bring improvements without this constraint. However, multi-subject decoding can probably help partially address this issue: since it is possible to train a decoder on multiple subjects and because not all of them have to watch the same movies, it is possible that a lot of different movies could be used as "anchor" for left-out individuals.

Thirdly, unlike other approaches (Défossez et al., 2022), our approach relies on pre-trained encoders, and cannot align all subjects at once. Consequently, overall performance highly depends on the quality of other models and of data acquired in reference individuals.

Finally, while restricting this study to linear models makes sense to establish baselines and ensure reproducibility, non-linear models have proved to be very efficient. A natural improvement on this work could include these architectures.

**Ethical implications** Out-of-subject generalization is an important test for decoding models, but it 292 raises legitimate concerns. In this regard, this study highlights that signal-to-noise ratio still currently 293 makes it challenging to very accurately decode semantics in a real-time setup, and that a non-trivial 294 amount of data is needed per individual for these models to work. Moreover, we stress that, while 295 decoding perceived stimuli is making great progress, imagined stimuli are still very challenging 296 (Horikawa & Kamitani, 2017). Nonetheless, it is important for advances in this domain to be pub-297 licly documented. We thus advocate that open and peer-reviewed research is the best way forward 298 299 to safely explore the implications of inter-subject modeling, and more generally brain decoding.

**Conclusion** Overall, these results provide a significant step towards real-time, subject-agnostic visual decoding of semantics using fMRI.

<sup>&</sup>lt;sup>5</sup>https://www.cneuromod.ca

#### 302 REFERENCES

Alexandre Abraham, Fabian Pedregosa, Michael Eickenberg, Philippe Gervais, Andreas Mueller,
 Jean Kossaifi, Alexandre Gramfort, Bertrand Thirion, and Gael Varoquaux. Machine learning for
 neuroimaging with scikit-learn. *Frontiers in Neuroinformatics*, 8, 2014. ISSN 1662-5196. URL
 https://www.frontiersin.org/article/10.3389/fninf.2014.00014.

Emily J. Allen, Ghislain St-Yves, Yihan Wu, Jesse L. Breedlove, Jacob S. Prince, Logan T. Dowdle, Matthias Nau, Brad Caron, Franco Pestilli, Ian Charest, J. Benjamin Hutchinson, Thomas
Naselaris, and Kendrick Kay. A massive 7T fMRI dataset to bridge cognitive neuroscience
and artificial intelligence. *Nature Neuroscience*, 25(1):116–126, January 2022. ISSN 15461726. doi: 10.1038/s41593-021-00962-x. URL https://www.nature.com/articles/
s41593-021-00962-x. Number: 1 Publisher: Nature Publishing Group.

T. Bazeille, H. Richard, H. Janati, and B. Thirion. Local Optimal Transport for Functional Brain
Template Estimation. In Albert C. S. Chung, James C. Gee, Paul A. Yushkevich, and Siqi Bao
(eds.), *Information Processing in Medical Imaging*, Lecture Notes in Computer Science, pp. 237–
248, Cham, 2019. Springer International Publishing. ISBN 978-3-030-20351-1. doi: 10.1007/
978-3-030-20351-1\_18.

Thomas Bazeille, Elizabeth DuPre, Hugo Richard, Jean-Baptiste Poline, and Bertrand Thirion.
 An empirical evaluation of functional alignment using inter-subject decoding. *NeuroImage*, 245:118683, December 2021. ISSN 1053-8119. doi: 10.1016/j.neuroimage.
 2021.118683. URL https://www.sciencedirect.com/science/article/pii/
 \$1053811921009563.

Po-Hsuan (Cameron) Chen, Janice Chen, Yaara Yeshurun, Uri Hasson, James Haxby,
 and Peter J Ramadge. A Reduced-Dimension fMRI Shared Response Model. In
 Advances in Neural Information Processing Systems, volume 28. Curran Associates,
 Inc., 2015. URL https://papers.nips.cc/paper\_files/paper/2015/hash/
 b3967a0e938dc2a6340e258630febd5a-Abstract.html.

Zijiao Chen, Jiaxin Qing, Tiange Xiang, Wan Lin Yue, and Juan Helen Zhou. Seeing Beyond the
 Brain: Conditional Diffusion Model with Sparse Masked Modeling for Vision Decoding, March
 2023a. URL http://arxiv.org/abs/2211.06956. arXiv:2211.06956 [cs].

Zijiao Chen, Jiaxin Qing, and Juan Helen Zhou. Cinematic Mindscapes: High-quality Video Reconstruction from Brain Activity, May 2023b. URL http://arxiv.org/abs/2305.11675.
 arXiv:2305.11675 [cs].

Marco Cuturi. Sinkhorn Distances: Lightspeed Computation of Optimal Transportation Distances.
 *arXiv*, June 2013. doi: 10.48550/arXiv.1306.0895.

Alexandre Défossez, Charlotte Caucheteux, Jérémy Rapin, Ori Kabeli, and Jean-Rémi King. De coding speech from non-invasive brain recordings, August 2022. URL http://arxiv.org/
 abs/2208.12266. arXiv:2208.12266 [cs, eess, q-bio].

Ma Feilong, Samuel A. Nastase, Guo Jiahui, Yaroslav O. Halchenko, M. Ida Gobbini, and James V.
 Haxby. The Individualized Neural Tuning Model: Precise and generalizable cartography of func tional architecture in individual brains, May 2022. URL https://www.biorxiv.org/
 content/10.1101/2022.05.15.492022v1. Pages: 2022.05.15.492022 Section: New
 Results.

Matteo Ferrante, Furkan Ozcelik, Tommaso Boccato, Rufin VanRullen, and Nicola Toschi. Brain
 Captioning: Decoding human brain activity into images and text, May 2023. URL http://
 arxiv.org/abs/2305.11560. arXiv:2305.11560 [cs].

Bruce Fischl. FreeSurfer. *NeuroImage*, 62(2):774–781, August 2012. ISSN 1053-8119. doi: 10.
 1016/j.neuroimage.2012.01.021. URL https://www.sciencedirect.com/science/
 article/pii/S1053811912000389.

Matthew F. Glasser, Stamatios N. Sotiropoulos, J. Anthony Wilson, Timothy S. Coalson, Bruce
Fischl, Jesper L. Andersson, Junqian Xu, Saad Jbabdi, Matthew Webster, Jonathan R. Polimeni,
David C. Van Essen, Mark Jenkinson, and WU-Minn HCP Consortium. The minimal preprocessing pipelines for the Human Connectome Project. *NeuroImage*, 80:105–124, October 2013. ISSN
1095-9572. doi: 10.1016/j.neuroimage.2013.04.127.

Matthew F. Glasser, Timothy S. Coalson, Emma C. Robinson, Carl D. Hacker, John Harwell, Essa
 Yacoub, Kamil Ugurbil, Jesper Andersson, Christian F. Beckmann, Mark Jenkinson, Stephen M.
 Smith, and David C. Van Essen. A multi-modal parcellation of human cerebral cortex. *Na ture*, 536(7615):171–178, August 2016. ISSN 1476-4687. doi: 10.1038/nature18933. URL
 https://www.nature.com/articles/nature18933. Number: 7615 Publisher: Na ture Publishing Group.

G. H. Glover. Deconvolution of impulse response in event-related BOLD fMRI. *NeuroImage*, 9(4): 416–429, April 1999. ISSN 1053-8119. doi: 10.1006/nimg.1998.0419.

Zijin Gu, Keith Jamison, Amy Kuceyeski, and Mert Sabuncu. Decoding natural image stimuli from
 fMRI data with a surface-based convolutional network, March 2023. URL http://arxiv.
 org/abs/2212.02409. arXiv:2212.02409 [cs, q-bio].

Stephenie A Harrison and Frank Tong. Decoding reveals the contents of visual working memory in early visual areas. *Nature*, 458(7238):632–635, 2009.

James V. Haxby, J. Swaroop Guntupalli, Andrew C. Connolly, Yaroslav O. Halchenko, Bryan R.
 Conroy, M. Ida Gobbini, Michael Hanke, and Peter J. Ramadge. A common, high-dimensional
 model of the representational space in human ventral temporal cortex. *Neuron*, 72(2):404–416,
 October 2011. ISSN 1097-4199. doi: 10.1016/j.neuron.2011.08.026.

James V Haxby, J Swaroop Guntupalli, Samuel A Nastase, and Ma Feilong. Hyperalignment:
 Modeling shared information encoded in idiosyncratic cortical topographies. *eLife*, 9:e56601,
 June 2020. ISSN 2050-084X. doi: 10.7554/eLife.56601. URL https://doi.org/10.
 7554/eLife.56601. Publisher: eLife Sciences Publications, Ltd.

John-Dylan Haynes and Geraint Rees. Decoding mental states from brain activity in humans. *Nature reviews neuroscience*, 7(7):523–534, 2006.

Jun Kai Ho, Tomoyasu Horikawa, Kei Majima, Fan Cheng, and Yukiyasu Kamitani. Inter-individual
 deep image reconstruction via hierarchical neural code conversion. *NeuroImage*, 271:120007,
 May 2023. ISSN 1053-8119. doi: 10.1016/j.neuroimage.2023.120007. URL https://www.
 sciencedirect.com/science/article/pii/S1053811923001532.

Tomoyasu Horikawa and Yukiyasu Kamitani. Generic decoding of seen and imagined objects using
 hierarchical visual features. *Nat. Commun.*, 8(15037):1–15, May 2017. ISSN 2041-1723. doi:
 10.1038/ncomms15037.

Ganit Kupershmidt, Roman Beliy, Guy Gaziv, and Michal Irani. A Penny for Your (visual)
 Thoughts: Self-Supervised Reconstruction of Natural Movies from Brain Activity, June 2022.
 URL http://arxiv.org/abs/2206.03544. arXiv:2206.03544 [cs].

Benjamin Lahner, Kshitij Dwivedi, Polina Iamshchinina, Monika Graumann, Alex Lascelles,
Gemma Roig, Alessandro Thomas Gifford, Bowen Pan, SouYoung Jin, N. Apurva Ratan Murty,
Kendrick Kay, Aude Oliva, and Radoslaw Cichy. BOLD Moments: modeling short visual events
through a video fMRI dataset and metadata, March 2023. URL https://www.biorxiv.
org/content/10.1101/2023.03.12.530887v1. Pages: 2023.03.12.530887 Section:
New Results.

Amanda LeBel, Lauren Wagner, Shailee Jain, Aneesh Adhikari-Desai, Bhavin Gupta, Allyson
 Morgenthal, Jerry Tang, Lixiang Xu, and Alexander G. Huth. A natural language fMRI
 dataset for voxelwise encoding models. *Scientific Data*, 10(1):555, August 2023. ISSN 2052 4463. doi: 10.1038/s41597-023-02437-z. URL https://www.nature.com/articles/
 s41597-023-02437-z. Number: 1 Publisher: Nature Publishing Group.

Christopher R. Madan. Scan Once, Analyse Many: Using Large Open-Access Neuroimaging
 Datasets to Understand the Brain. *Neuroinformatics*, 20(1):109–137, January 2022. ISSN 1559 0089. doi: 10.1007/s12021-021-09519-6.

Weijian Mai and Zhijun Zhang. UniBrain: Unify Image Reconstruction and Captioning All in One
 Diffusion Model from Human Brain Activity, August 2023. URL http://arxiv.org/abs/
 2308.07428. arXiv:2308.07428 [cs].

Tom M Mitchell, Rebecca Hutchinson, Radu S Niculescu, Francisco Pereira, Xuerui Wang, Marcel
 Just, and Sharlene Newman. Learning to decode cognitive states from brain images. *Machine learning*, 57:145–175, 2004.

Shinji Nishimoto, An T. Vu, Thomas Naselaris, Yuval Benjamini, Bin Yu, and Jack L. Gallant.
Reconstructing visual experiences from brain activity evoked by natural movies. *Current Biology*, 21(19):1641–1646, October 2011. ISSN 0960-9822. doi: 10.1016/j.cub.2011.08.031. URL
https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3326357/.

Furkan Ozcelik and Rufin VanRullen. Natural scene reconstruction from fMRI signals using
generative latent diffusion, June 2023. URL http://arxiv.org/abs/2303.05334.
arXiv:2303.05334 [cs, q-bio].

Fabian Pedregosa, Gaël Varoquaux, Alexandre Gramfort, Vincent Michel, Bertrand Thirion, Olivier
Grisel, Mathieu Blondel, Peter Prettenhofer, Ron Weiss, Vincent Dubourg, Jake Vanderplas,
Alexandre Passos, David Cournapeau, Matthieu Brucher, Matthieu Perrot, and Édouard Duchesnay. Scikit-learn: Machine Learning in Python. *Journal of Machine Learning Research*,
12(85):2825–2830, 2011. ISSN 1533-7928. URL http://jmlr.org/papers/v12/
pedregosalla.html.

Erin M. Phillips, Kirsten D. Gillette, Daniel D. Dilks, and Gregory S. Berns. Through 421 a Dog's Eyes: fMRI Decoding of Naturalistic Videos from the Dog Cortex. JoVE 422 (Journal of Visualized Experiments), (187):e64442, September 2022. ISSN 1940-423 10.3791/64442. URL https://www.jove.com/fr/v/64442/ 087X. doi: 424 through-dog-s-eyes-fmri-decoding-naturalistic-videos-from-dog. 425

Ana Luísa Pinho, Alexis Amadon, Torsten Ruest, Murielle Fabre, Elvis Dohmatob, Isabelle 426 Denghien, Chantal Ginisty, Séverine Becuwe-Desmidt, Séverine Roger, Laurence Laurier, 427 Véronique Joly-Testault, Gaëlle Médiouni-Cloarec, Christine Doublé, Bernadette Martins, 428 Philippe Pinel, Evelyn Eger, Gaël Varoquaux, Christophe Pallier, Stanislas Dehaene, Lucie Hertz-429 Pannier, and Bertrand Thirion. Individual Brain Charting, a high-resolution fMRI dataset for cog-430 nitive mapping. Scientific Data, 5(1):180105, June 2018. ISSN 2052-4463. doi: 10.1038/sdata. 431 432 2018.105. URL https://www.nature.com/articles/sdata2018105. Number: 1 Publisher: Nature Publishing Group. 433

Alec Radford, Jong Wook Kim, Chris Hallacy, Aditya Ramesh, Gabriel Goh, Sandhini Agarwal, Girish Sastry, Amanda Askell, Pamela Mishkin, Jack Clark, Gretchen Krueger, and Ilya
 Sutskever. Learning Transferable Visual Models From Natural Language Supervision, February
 2021. URL http://arxiv.org/abs/2103.00020. arXiv:2103.00020 [cs].

Hugo Richard, Luigi Gresele, Aapo Hyvärinen, Bertrand Thirion, Alexandre Gramfort, and Pierre
 Ablin. Modeling Shared Responses in Neuroimaging Studies through MultiView ICA, December
 2020. URL http://arxiv.org/abs/2006.06635. arXiv:2006.06635 [cs, stat].

Emma C. Robinson, Saad Jbabdi, Matthew F. Glasser, Jesper Andersson, Gregory C. Burgess,
Michael P. Harms, Stephen M. Smith, David C. Van Essen, and Mark Jenkinson. MSM: a new
flexible framework for Multimodal Surface Matching. *NeuroImage*, 100:414–426, October 2014.
ISSN 1095-9572. doi: 10.1016/j.neuroimage.2014.05.069.

Paul S. Scotti, Atmadeep Banerjee, Jimmie Goode, Stepan Shabalin, Alex Nguyen, Ethan Cohen,
Aidan J. Dempster, Nathalie Verlinde, Elad Yundler, David Weisberg, Kenneth A. Norman, and
Tanishq Mathew Abraham. Reconstructing the Mind's Eye: fMRI-to-Image with Contrastive
Learning and Diffusion Priors, May 2023. URL http://arxiv.org/abs/2305.18274.
arXiv:2305.18274 [cs, q-bio].

Thibault Séjourné, François-Xavier Vialard, and Gabriel Peyré. The Unbalanced Gromov Wasserstein Distance: Conic Formulation and Relaxation. *arXiv:2009.04266 [math, stat]*, June 2021.
URL http://arxiv.org/abs/2009.04266. arXiv: 2009.04266.

Yu Takagi and Shinji Nishimoto. High-resolution image reconstruction with latent diffusion models
 from human brain activity, March 2023. URL https://www.biorxiv.org/content/
 10.1101/2022.11.18.517004v3. Pages: 2022.11.18.517004 Section: New Results.

 Jerry Tang, Amanda LeBel, Shailee Jain, and Alexander G. Huth. Semantic reconstruction of continuous language from non-invasive brain recordings. *Nature Neuroscience*, 26(5):858–866, May
 2023. ISSN 1546-1726. doi: 10.1038/s41593-023-01304-9. URL https://www.nature. com/articles/s41593-023-01304-9. Number: 5 Publisher: Nature Publishing Group.

Armin Thomas, Christopher Ré, and Russell Poldrack. Self-Supervised Learn ing of Brain Dynamics from Broad Neuroimaging Data. Advances in Neu *ral Information Processing Systems*, 35:21255–21269, December 2022. URL
 https://proceedings.neurips.cc/paper\_files/paper/2022/hash/
 8600a9dfla087a9a66900cc8c948c3f0-Abstract-Conference.html.

Alexis Thual, Quang Huy Tran, Tatiana Zemskova, Nicolas Courty, Rémi Flamary, Stanislas
 Dehaene, and Bertrand Thirion. Aligning individual brains with fused unbalanced Gromov
 Wasserstein. Advances in Neural Information Processing Systems, 35:21792–21804, December 2022. URL https://proceedings.neurips.cc/paper\_files/paper/2022/
 hash/8906cac4ca58dcaf17e97a0486ad57ca-Abstract-Conference.html.

Chong Wang, Hongmei Yan, Wei Huang, Jiyi Li, Yuting Wang, Yun-Shuang Fan, Wei Sheng,
Tao Liu, Rong Li, and Huafu Chen. Reconstructing rapid natural vision with fMRI-conditional
video generative adversarial network. *Cerebral Cortex*, 32(20):4502–4511, October 2022. ISSN
1047-3211. doi: 10.1093/cercor/bhab498. URL https://doi.org/10.1093/cercor/
bhab498.

Haiguang Wen, Junxing Shi, Yizhen Zhang, Kun-Han Lu, Jiayue Cao, and Zhongming Liu. Data for
 Neural Encoding and Decoding with Deep Learning for Dynamic Natural Vision Tests, September
 2017. URL https://purr.purdue.edu/publications/2809/1.

478 Haiguang Wen, Junxing Shi, Yizhen Zhang, Kun-Han Lu, Jiayue Cao, and Zhongming Liu. Neural

479 Encoding and Decoding with Deep Learning for Dynamic Natural Vision. *Cerebral Cortex (New* 

480 *York*, *N.Y.: 1991*), 28(12):4136–4160, December 2018. ISSN 1460-2199. doi: 10.1093/cercor/ 481 bhx268.