110

111

112

113

114

115

116

59

# RDLNet: A Novel and Accurate Real-world Document Localization Method

Anonymous Authors

## ABSTRACT

1

2

3

5

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

54

The increasing use of smartphones for capturing documents in various real-world conditions has underscored the need for robust document localization technologies. Current challenges in this domain include handling diverse document types, complex backgrounds, and varying photographic conditions such as low contrast and occlusion. However, there currently are no publicly available datasets containing these complex scenarios and few methods demonstrate their capabilities on these complex scenes. To address these issues, we create a new comprehensive real-world document localization benchmark dataset which contains the complex scenarios mentioned above and propose a novel Real-world Document Localization Network (RDLNet) for locating targeted documents in the wild. The RDLNet consists of an innovative light-SAM encoder and a masked attention decoder. Utilizing light-SAM encoder, the RDLNet transfers the mighty generalization capability of SAM to the document localization task. In the decoding stage, the RDLNet exploits the masked attention and object query method to efficiently output the triple-branch predictions consisting of corner point coordinates, instance-level segmentation area and categories of different documents without extra post-processing. We compare the performance of RDLNet with other state-of-the-art approaches for real-world document localization on multiple benchmarks, the results of which reveal that the RDLNet remarkably outperforms contemporary methods, demonstrating its superiority in terms of both accuracy and practicability.

## CCS CONCEPTS

• Applied computing → Document scanning.

## KEYWORDS

Document Localization, Novel Benchmark Dataset, Encoder and Decoder Based Network, Distillation, Triple Branch Prediction

## **1 INTRODUCTION**

In recent decades, there has been an increasing number of users starting to use mobile devices to take photos or videos of the paper documents. Document digitization has become a trend in our society [40]. Once documents are digitized, they will be easier to store, retrieve, and read. To achieve this, the document must first be accurately located in the image. Therefore, document localization

51 for profit or commercial advantage and that copies bear this notice and the full citation 52 on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, or 53 which the permitted is presented by the last of the second second

57 https://doi.org/10.1145/nnnnnnnnn

58

plays a crucial role in the document digitization process. However, localizing documents in the natural scene images still faces many challenges in practical applications. Firstly, images can be taken from different distance and viewpoints, the documents in the image will have various sizes, positions, and perspective distortions. Additionally, in some cases, images are usually taken in a casual way. For instance, some captured images are often blurry, possessing different lighting conditions, low contrast, some occlusion in the document area and even similar overlapping background documents. Therefore, document localization in real world images remains a challenging problem.

Although many advanced methods have achieved good results in the field of document localization, experimental verifications of most methods are based on existing opensource document detection datasets, such as SmartDoc dataset [4] and CDPhotoDataset [11]. But these datasets usually contains limited document types. Moreover, the pictures in these datasets are taken in the scenes which are relatively simple and do not contain comprehensive real-world situations. These complex situations are common in practical deployments and inevitable. However, to the best of our latest knowledge, there is not a comprehensive dataset that fully reflects complex scenarios of document images in the wild. Therefore, many methods have reached near-saturation localization accuracy on current datasets, but this does not fully prove that the challenges existing in the real-world have been well solved. That is why a more extensive and comprehensive real-world document dataset is needed to provide a more valuable and research-space benchmark for future research.

As mentioned above, many previous advanced studies have achieved excellent results in the field of document detection, but they all focused on a single semantic-level document type and simple photography scenarios. And these methods do not fully demonstrate their generalization performance. In recent years, the emergence of large models has revolutionized the applications in many fields. In the application of image segmentation, the emergence of SAM [18] unifies the tasks of instance segmentation and semantic segmentation model for general image scenes and can be used for document edge detection rely on its prompt design. Moreover, due to the large scale of the SAM model, it is not suitable for running on mobile devices such as personal computers or smartphones.

To solve the above problems, we propose a novel Real-world Document Localization Network (RDLNet) and create a new complex and comprehensive benchmark dataset which is Real world Mobile Document dataset (RWMD) captured by mobile phones in the wild. First, in order to better transfer the powerful generalization ability of SAM in segmentation tasks to document localization tasks, we design a lightweight SAM encoder module. Second, after the document image is encoded by the light-SAM, we propose an advanced decoder module based on masked attention and object query. Third,

Unpublished working draft. Not for distribution.

and/or a fee Dequest permissions from permissions@acm arg

<sup>55</sup> ACM MM, 2024, Melbourne, Australia

<sup>© 2024</sup> Convright held by the owner/author(s)

<sup>56</sup> ACM ISBN 978-x-xxxx-x/YY/MM

#### ACM MM, 2024, Melbourne, Australia

175

176

177

178

179

180

181

182

183

184

185

186

187

188

189

190

191

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

225

226

227

228

229

230

231

232



Figure 1: Document localization samples of different methods.

we design three output branches based on the features from both the encoder and decoder modules. The three branches contain the classification branch, mask branch and corner points branch. Different from the previous methods of corner prediction based directly on decoded semantic features, we design an innovative branch based on the hybrid information of semantic features, mask features and object query vectors. Hence, the accurate document localization results can be directly obtained by the corner prediction branch. Document localization samples of different methods are presented in Figure 1. Specially, our method can simultaneously output corner point predictions of foreground document and region prediction results that distinguish among different documents in one image. Finally, through the above proposed modules, our model's able to generate accurate document localization results with high efficiency, especially on the new comprehensive benchmark dataset we contributed with more distinct effect.

In summary, the main contributions of this paper includes:

(1). **Light-SAM encoder**: We introduce the light-SAM encoding module into the field of document localization, and exploit distillation method to constrain and reduce the parameters of the designed encoding module to improve model performance.

(2). Masked attention and object query based decoder: We design an advanced decoding module based upon masked attention and object query to allow the model to form the global and local relations between document objects and image context to directly and separately output the predictions of different documents in one image or frame.

(3). Triple-branch: We propose a novel triple-branch that integrates hybrid features to predict corner points, area masks and categories at the same time. By jointly optimizing the losses of the three branches, we can get more accurate prediction results. In addition, the number of corner points of the document can be modified as a hyper-parameter in our method.

(4). **New benchmark dataset**: We create a new benchmark dataset which is called Real world Mobile Document(RWMD) dataset for document localization. This dataset provides rich document types and diverse shooting scenarios in the wild. RWMD fills the current lack of comprehensive challenging document localization datasets. And the RWMD dataset will be soon publicly available.

(5). SOTA results: In the experiments, we compare the performance of our method and other current state-of-art methods
in document localization on multiple datasets contain our new

dataset RWMD. The results proved that the accuracy of our proposed method exceeded other methods with low inference time.

## 2 RELATED WORK

#### 2.1 Document Localization Datasets

There are several public datasets used to measure the capabilities of document localization methods: SmartDoc dataset [4], Extended SmartDoc dataset [10], CDPhotoDataset [11], MIDV dataset family (MIDV-500 dataset [1], MIDV-2019 dataset [2], MIDV-2020 [3]). SmartDoc dataset was created for ICDAR 2015 SmartDoc competition. Each of these documents are printed using a color laserjet and captured by a Google Nexus 7 tablet [4]. The database consists of 150 video clips comprising 25,000 frames. Many research works have been carried out based on this dataset [4, 8, 15, 34]. However, SmartDoc dataset only contains smooth A4 paper format, and most backgrounds are in close similarity and are lack of diversity. Ricardo, et al.[10] created an extension of the original Smartdoc Dataset known as the Extended SmartDoc dataset. The authors inserted extra backgrounds into the original images with backgrounds acquired from various smartphone cameras with richer scenes than the original datasets. This dataset has a total of 29,522 images with a fixed size of 1728 × 2304 pixels. However, the data synthesis method of this dataset doesn't sufficiently increase the richness of the original dataset. The CDPhotoDataset [11] is composed of images of Brazilian identification documents, in different backgrounds of realworld environments, with non-uniform standards. It has a total of 20,000 images of documents with the respective document mask as ground truth. Since the document images in this dataset are mannualy merged into background images, this dataset cannot fully reflect the real-world document photo scene. The first dataset of the MIDV family is MIDV-500 [1] which contains 500 video clips of 50 identity documents, 10 clips per document type. The conditions represented in MIDV-500 thus have some diversity regarding the background and the positioning of the document, however, they don't include variation in lighting conditions, or significant projective distortions. To address the issues the dataset MIDV-2019 [2] was later published as an extension of MIDV-500, which featured video clips captured with very low lighting conditions and with higher projective distortions. The main disadvantage of the datasets of the MIDV-2019 is the scarcity of different document samples. All images in this dataset are made using the same 50 physical document samples. In order to make up for this shortcoming, MIDV2020 [3] photo dataset consists of 1000 different physical documents, all with unique artificially generated faces, signatures, and text fields data. Although this dataset contains some complex scenes, it only contains hard cards and therefore does not include some shape changes and flexible transformations.

#### 2.2 Document Localization Methods

Research has been conducted on document localization since the start of camera-based document processing development [17]. The earliest methods were primarily designed to solve scanned documents. These documents are required to be placed flatly in a prescribed area, so the background is simple. Then the traditional segmentation methods[20, 27, 30] can locate the document easily. In the recent literature addressing document localization problems,

154

155

156

157

158

159

160

161

162

163

164

165

166

167

168

169

these methods can be broadly divided into four categories: docu ment boundary-detection-based methods, segmentation methods,
 keypoints detection methods, document content-based methods.

Document boundary-detection-based methods: These meth-236 237 ods continue the idea of traditional image processing methods and locates documents by detecting the edges of the document. 238 Document edge detection methods usually include the following 239 methods: edge extraction methods (such as Canny detector, Sobel 240 241 operator, or Holistically-Nested Edge Detector [36]), line detectors 242 (like Line Segment detectors [13], Hough transform). This type of methods is robust to corner occlusion, but requires a clean back-243 ground and clear document edges. Document detection accuracy 244 heavily depends on environmental conditions and shooting situa-245 tions. Once the conditions change, such as low contrast and light 246 intensity, the accuracy of document localization results will be 247 248 significantly affected.

Segmentation methods: These methods follow the flow of ob-249 ject segmentation methods [14, 21, 28, 32, 35, 39], which treat the 250 document as an object. This type of methods [7, 8, 37] uses dense 251 prediction to output a classification result for each pixel to distin-252 guish whether the pixel belongs to the document or the background. 253 254 Then by grouping the pixels with the same label, the document is 255 segmented. This type of method is robust to irregular documents. But these methods require further post-processing to get the orien-256 tation of the document. Moreover, these methods usually have large 257 model sizes and require more inference time, making it difficult to 258 meet the efficiency requirements for processing on mobile devices. 259

Keypoints detection methods: This type of methods [15, 26, 260 261 40] usually assumes that the document has four corner points, and then converts the document localization problem into a corner po-262 sitioning problem. It can be solved using corner detectors and key 263 point detectors. If the used dataset has corner annotations, this 264 type of methods can directly obtain the orientation of the docu-265 ment based on the predicted corner points coordinates. However, 266 267 these methods will be ineffective for documents whose corners are 268 occluded or have irregular shapes.

Document content-based methods: This type of methods 269 [23, 24, 31] transforms the document localization problem into the 270 positioning problem of the text content area. These methods use the 271 layout and pattern characteristics within the document to locate document areas, such as text line information, image or table edge 273 information, and the layout of different text blocks. The advantages 274 of this type of methods are that it can judge the orientation of 275 the document based on the information of the text content. And 276 277 it is conducive to extracting useful content information for subse-278 quent recognition steps. However, these methods usually assume 279 the background to be simple and flat in contrast to the document. 280 Therefore, these methods often fail when the background is complex 281 or confusing.

There are also some literature that use hybrid methods, such as [29] which uses both corner prediction and edge prediction to locate documents. And [34] combines corner points prediction, line boarders prediction and document classification in a single lightweight network. Our method belongs to this kind of category combining the corner points prediction, instance-level segmentation, and classification.

289

290

## 2.3 SAM

SAM [18] is a powerful model with strong generalization ability for segmentation tasks based upon user prompts. It's pre-trained on a broad dataset SA-1B consisting of 11 million images and 1 billion masks. Given a prompt like a single ambiguous point prompt on the document in an image, it's capable of generating the document's area mask, and with post-processing, corner points of that document can be obtained. Nonetheless, it's computationally costly since it contains at least 91 million parameters which is too large for mobile devices.

#### 3 METHOD

The pipeline of the proposed RDLNet is depicted in Figure 2. In this section we elucidate distillation process we applied for the backbone, following the encoder-decoder structure we adopted and the loss functions for RDLNet's training supervision.

## 3.1 Light-SAM Backbone Multiplex Distillation

SAM [18] is a powerful model for generic and referring segmentation. It is based on the Vision Transformer(ViT) [12]. The ViT is a stack of Transformer blocks, which is used to extract features from the RGB input image  $I \in \mathbb{R}^{H \times W \times 3}$  of size  $H \times W$  with three channels.

Concretely, the input image *I* is divided and flattened into a sequence of non-overlapping patches  $I' \in \mathbb{R}^{N \times C}$ , where  $C = P^2 \times 3$  and  $N = \frac{HW}{P^2}$ , assuming the patch size is  $P \times P$ . They are then linearly projected to obtain a sequence of *C'*-dimension feature vectors, which are fed into the Transformer encoder with each block (*l*) consisting of multi-head self-attention (MSA) and feed-foward network(FFN) conducting operations below:

$$\mathbf{z}^{(l)} = \text{MSA}\left(\mathbf{x}^{(l)}\right) + \mathbf{x}^{(l)},$$
  
$$\mathbf{x}^{(l+1)} = \text{FFN}\left(\mathbf{z}^{(l)}\right) + \mathbf{z}^{(l)},$$
  
(1)

where MSA is used to capture the global dependencies among the patches, while the FFN is used to model the local dependencies

within each patch. For enabling knowledge transfer from computationally expensive and large models to smaller ones, we propose a multiplex distillation method for acquiring a light-SAM image backbone. We first obtain a large SAM model pre-trained on a large-scale dataset, e.g., SA-1B[18], then we distill the knowledge from the large model to a smaller one. The multiplex distillation process is conducted by diminishing the multiplex relation map gap  $\mathcal{L}_{md}$  among teacher and student models' intermediate layers' features denoted as  $F_T \in \mathbb{R}^{N \times D_T}$  and  $F_S \in \mathbb{R}^{N \times D_S}$  respectively and minimizing the KL divergence  $\mathcal{L}_{kl}$  between the output of the teacher model  $p_s(\mathbf{x})$  and the output of student model  $p_t(\mathbf{x})$ . The distillation loss is defined as follows:

$$\mathcal{L}_{kl} = \tau^2 \mathcal{F}_{KL} \left( p_s(\mathbf{x}) / \tau, p_t(\mathbf{x}) / \tau \right), \tag{2}$$

$$\mathcal{L}_{md} = \left\| F_S F_S^\top - F_T F_T^\top \right\|_F^2, \tag{2}$$

where  $\mathcal{F}_{KL}$  denote the KL divergence loss, with  $\tau$  as the temperature parameter. Hence, the overall loss function for distillation is:

$$\mathcal{L} = \mathcal{L}_{kl} + \sum_{l} \mathcal{L}_{md}.$$
 (3)

347



Figure 2: The pipeline of RDLNet(normalization are omitted for simplicity). RDLNet adopts an encoder-decoder structure with a light-SAM ViT backbone distilled from a larger model. The input RGB image  $I \in \mathbb{R}^{H \times W \times 3}$  is patched into image block sequence  $I' \in \mathbb{R}^{\frac{H}{16} \times \frac{W}{16} \times C}$  utilizing convolutional operation with both the kernel size and the stride of 16. Attached with positional embedding, the patched feature sequence feeds into the transformer encoder for spatial dependencies capturing. In decoding object queries[6], we introduce masked attention based upon prior predicted mask for faster training convergence rate and better performance and output final predictions exploiting point, mask and class embedding.

#### 3.2 Cross-Level Encoder

To fully harness information from the backbone, we design a crosslevel encoder that utilizes the low-level to high-level features from backbone intermediate layers. They are projected into a new feature space and concatenated to form the feature map  $I'_{\rm proj}$ 

$$I'_{\text{proj}} = \text{Concat}(\text{Conv}(I'_1), \text{Conv}(I'_{1/2}), \text{Conv}(I'_1)), \qquad (4)$$

where Conv denotes the convolutional operation,  $I'_1$ ,  $I'_{l/2}$  and  $I'_l$  are the feature maps of the first, middle and last layer of the backbone output respectively. For spatial dependencies capturing, we take advantage of deformable attention[41] to guide the encoding process with important regions from the image and bridge visual tokens' relations more effectively.

Enriched with positional information via positional embedding  $I'_{\text{proj}} \leftarrow I'_{\text{proj}} + P_e, P_e \in \mathbb{R}^{\frac{H}{16} \times \frac{W}{16} \times C}$ , the input for each encoder layer conduct:

$$\begin{split} \tilde{I}'_{\text{proj}} &= \text{DMSA}(I'_{\text{proj}}) + I'_{\text{proj}}, \\ I'_{\text{proj}} &= \text{FFN}(\tilde{I}'_{\text{proj}}) + \tilde{I}'_{\text{proj}}, \end{split}$$
(5)

where DMSA denotes the deformable multi-head self-attention. To prepare input mask for decoder attention mechanism and filter out background objects, we also project the last layer output from the backbone with convolutional operation and obtain prior mask  $M_p \in \mathbb{R}^{N_o \times \frac{HW}{16}}$  with mask embedding  $\psi$  and object queries  $Q \in \mathbb{R}^{N_o \times C'}$ :

$$M_p = \text{Reshape}\left(\text{Flatten}\left(\text{Conv}(I_l') + \phi(I_l')\right) \cdot \psi\left(Q^{\top}\right)\right), \quad (6)$$

where  $\phi$  and  $N_o$  denote the encoding operation and the number of object queries. Reshape and Flatten are reshaping ( $HW/16 \rightarrow$   $H/16\times W/16)$  and flattening ( $H/16\times W/16\rightarrow HW/16)$  operations respectively.

## 3.3 Masked Decoder

Recent studies[9, 33] suggest global context features eventuate in the slow convergence of Transformer-based models, as it takes numerous training epochs for attention mechanism to learn and attend to local foreground regions. Thus, we propose a masked decoder and mask some of the background areas based upon prior mask prediction with Eq.6 to filter global context features for attention operations as shown in Figure 3. With masked cross attention, we compute the result matrix via:

$$\mathbf{x}^{(l)} = \operatorname{softmax} \left( M_p^{\prime (l-1)} + \mathbf{Q}^{(l)} \mathbf{K}^{(l) \top} \right) \mathbf{V}^{(l)} + \mathbf{x}^{(l-1)}.$$
(7)

Here,  $M'_p^{(l-1)}$  is the previous reshaped and repeated prior mask  $M_p$  for decoder layer l, each decoder layer exploits mask prediction from the last layer while the first decoder layer utilizes mask acquired from Eq.6 to initialize  $M'_p^{(0)}$ .  $\mathbf{Q}^{(l)}$ ,  $\mathbf{K}^{(l)}$  and  $\mathbf{V}^{(l)}$  are the query, key and value matrices respectively.  $\mathbf{x}^{(l-1)}$  is the output of the previous decoder layer. The object queries after decoding are then fed into the triple embedding branches of point, mask and class for prediction. In particular, class embedding branch endues our model with the ability to distinguish among different types of documents on the instance level, e.g., top document and overlapped document or document with different categories such as paper and magazine etc. Contrasting to conventional methods like [8, 14, 16] that demand heavy post-processing, e.g., polygon approximation, to attain final document border or corner points predictions, RDLNet directly output polygon corner points with its point embedding. In the case

RDLNet: A Novel and Accurate Real-world Document Localization Method



Figure 3: Masked Decoder overview(positional embedding and predictions from intermediate decoder layers are omitted in this figure for simplicity). After acquiring prior predicted mask  $M_p$ , we fuse it with encoded backbone features from the encoder via masking the background area and repeat it to  $M'_p \in \mathbb{R}^{N_h \times N_0 \times \frac{HW}{16}}$  where  $N_h$  is the number of heads for multi-head masked attention operation. Note that we switch the order of self-attention and cross-attention so that local areas can be attended solely in both attention operations for faster training convergence rate and performance.

of documents with irregular shapes or complex document targets, we also make use of the mask embedding branch as an auxiliary approach for a precise approximation on their edges.

#### 3.4 Loss Functions

. .

To supervise the training of RDLNet,  $N_o$  decoded object queries are used to generate a set of  $N_o$  predictions  $\{\hat{y}_i\}_{i=1}^{N_o}$  via point, mask and class embedding consisting of point coordinates  $\hat{q}$ , raw mask logits  $\hat{m}$  and class probabilities  $\hat{p}_i(c)$ . Following [6], the optimal permutation  $\{\hat{y}_{\sigma i}\}_{i=1}^N$  is determined by bipartite matching between the ground truth and the predictions.

In lieu of using focal loss[22], we use the binary cross-entropy loss:

$$\mathcal{L}_{\text{mask}} = -\frac{1}{N_o} \sum_{i=1}^{N} \left( m_i \log\left(\hat{m}_{\sigma(i)}\right) + (1 - m_i) \log\left(1 - \hat{m}_{\sigma(i)}\right) \right),\tag{8}$$

and dice loss [25]:

$$\mathcal{L}_{\text{dice}} = 1 - \frac{2 \times \sum_{i=1}^{N_o} \left( m_i \times \hat{m}_{\sigma(i)} \right)}{\sum_{i=1}^{N_o} m_i + \sum_{i=1}^{N_o} \hat{m}_{\sigma(i)}},\tag{9}$$

for our mask loss. Besides, we propose a distance loss for point branch prediction supervision,

$$\mathcal{L}_{\text{distance}} = \frac{1}{N_o} \sum_{i=1}^{N_o} \left\| \hat{q}_{\sigma(i)} - q \right\|, \tag{10}$$

ACM MM, 2024, Melbourne, Australia

while foreground document class predictions are supervised via standard cross entropy:

$$\mathcal{L}_{\text{cls}} = \sum_{i=1}^{N_o} \text{CrossEntropy}\left(c_i, \hat{p}_{\sigma(i)}(c)\right).$$
(11)

Ultimately, the total loss is composed of the weighted sum of the above losses:

$$\mathcal{L}_{\text{total}} = \lambda_1 \mathcal{L}_{\text{cls}} + \lambda_2 \mathcal{L}_{\text{distance}} + \lambda_3 \mathcal{L}_{\text{dice}} + \lambda_4 \mathcal{L}_{\text{mask}}, \qquad (12)$$

with  $\lambda_i$  as adjustable hyper-parameters.

#### 3.5 Implementation Details

We train RDLNet with AdamW optimizer with weight decay set to  $10^{-4}$  under the initial learning rate of  $10^{-4}$  with a learning rate drop by a factor of  $10^{-1}$  every 40000 iterations. Experiments are carried out on 1 NVIDIA A800 GPUs with the mini-batch size of 8 for 160000 training iterations in total. Loss weights are empirically set to  $\lambda_1 = 2$ ,  $\lambda_2 = 2$ ,  $\lambda_3 = 5$  and  $\lambda_4 = 5$ . The input images are resized to 1024 pixels for both width and height.

#### 4 RWMD DATASET

Table 1: Document categories and corresponding image quantity of RWMD dataset

Category	Quantity
printed paper document	355
book	360
test paper	172
students' workbook	204
receipt	246
card	223
exercises book	164
certificate	141
other multi-categories	144
total	2009

To the best of our knowledge, there is no existing publicly available dataset comprising of documents captured by smartphones in real conditions with various types of document and complex scenarios. We create such a comprehensive benchmark dataset RWMD for document localization. The dataset will soon be made public, and we hope that it will serve the scientific community for more document localization methods to boom. The images in this dataset are captured by eight different mobile phones including iPhone13, Samsung Galaxy S21 Ultra, HUAWEI nova 7 SE, HUAWEI P30, HUAWEI P50 Pro, VIVO S17e, VIVO X21A, and Xiaomi 8. The RWMD dataset contains a total of 2009 images which are divided into nine categories. Moreover, each category contains documents in different styles with different real background, distortion, rotation, perspective transformation, contrast, light intensity, occlusion and other real situations. The samples of different types of documents with various scenarios are shown in Figure 4. These document pictures taken in the real world contain a variety of real scenes, which pose great challenges to the document localization algorithm. In order to meet the needs of different methods, the RWMD annotation

documents contain a variety of information, including masks of different document areas, class labels of different document instances corresponding to the masks, and corner points coordinates of the document. If the image contains multiple different documents, in order to be closer to the actual usage scenarios of the document localization algorithms on the devices, we distinguish the main document and the background document based on the area size and position of different documents in the image. As presented in Figure 5, different document areas are marked separately, the main document is given the maximum class label value 2 and the corner points coordinates are also marked.



Figure 4: The sample images of RWMD dataset.



Figure 5: Annotation example of RWMD.

## **5 EXPERIMENT**

In this section, we evaluate the performance of our proposed method on five datasets: SmartDoc dataset [4], Extended smartdoc dataset [10], CDPhotoDataset [11], MIDV2020 dataset [3], and RWMD dataset. The existing four datasets (SmartDoc dataset, Extended smartdoc dataset, CDPhotoDataset, and MIDV2020 dataset) have already been described in the introduction section and RWMD dataset is introduced in the previous section. They are all divided into 75% for training and 25% for testing, separately. To illustrate the effectiveness of our method, we compare it with state-of-the-art document localization approaches from several aspects. Furthermore, we evaluate our proposed design modules through ablations on the RWMD dataset.

### 5.1 Evaluation Metric

To quantitative evaluate the accuracy of the proposed model, we compute the Jaccard index (JI), which is described in the SmartDoc competition report [4] and adopted in other works [8] [34]. Firstly, we remove the perspective transformation of the ground-truth area and the predicted area to get their correct situations  $D_{gt}$  and  $D_p$ . Then for each frame or image, the Jaccard index (JI) is calculated as follows:

$$JI(f) = \frac{area(D_{gt}) \cap area(D_p)}{area(D_{at}) \cup area(D_p)}.$$
(13)

#### 5.2 Comparison with SOTA

5.2.1 Experiment 1: Evaluation performance on SmartDoc dataset. The first experiment compares the proposed method with other state-of-art (SOTA) algorithms in the SmartDoc dataset. The images in the dataset can be divided into five categories(BG01-BG05) according to different backgrounds. Only BG05 is complex, with similar overlapping document and strong occlusions from other objects. As shown in Table 2, our RDLNet outperforms the previous works on all sub-test scenarios from BG1 to BG5. The overall JI of RDLNet achieves 99.53% and exceeds other methods including HU-PageScan in [8], which had achieved the highest overall JI 99.23% before. At the same time, we can observe that our method can achieve almost the same accuracy as BG1-BG4 on BG5, which proves the robustness of our method in more complex scenarios.

5.2.2 Experiment 2: Evaluation performance on CDPhotoDataset and Extended SmartDoc dataset. In the second experiment, we evaluate our model and the SOTA methods performance on CDPhoto-Dataset and Extended SmartDoc dataset. The experimental results of the two datasets are presented in Table 3, which shows that our method still obtains the best accuracy performance when comparing with the other previous methods. In particular, although LDRNet achieves good results on the SmartDoc dataset, it is not suitable for the CDPhotoDataset. Because CDPhotoDataset contains multiple documents in one image that need to be detected, however, LDRNet can only detect a single quadrilateral document. Additionally, in order to compare the efficiency of different methods, we also compared the inference speed of our method with others. As Table 3 shows, our method exhibits an average speed of 0.0385s and 0.0408s per image on the CDPhotoDataset and Extended SmartDoc datasets, respectively, which is comparable to other fastest methods. All the experiment results demonstrate that our proposed RDLNet has great capabilities for locating documents in natural scene images accurately and efficiently.

5.2.3 Experiment 3: Evaluation performance on RWMD dataset. Although many preceding methods has obtained outstanding detection metric results with high JI on publicly available document datasets mentioned above, they are not capable of coping with problems of the real-world document detection and generalizing well, since the scenarios in the datasets above are relatively deprived in the diversity of background and don't contain sufficient complex document photography scenes existing in the real situations. In the third experiment, we evaluate our method and other SOTA algorithms on our new dataset RWMD. RWMD contains a rich 

598							
99	Method	Background(BG)					Average
'00	_	BG01	BG02	BG03	BG04	BG05	
01	HU-PageScan[8]	/	/	/	/	/	0.9923
02	LDRNet[34]	0.9877	0.9838	0.9862	0.9802	0.9858	0.9849
03	SEECS-NUST-2[16]	0.9832	0.9724	0.983	0.9695	0.9478	0.9743
04	LRDE[5]	0.9869	0.9775	0.9889	0.9837	0.8613	0.9716
05	SmartEngines[5]	0.9885	0.9833	0.9897	0.9785	0.6884	0.9548
06	OctHU-PageScan[8]	/	/	/	/	/	0.9237
07	Mask R-CNN[14]	/	/	/	/	/	0.9063
08	NetEase[5]	0.9624	0.9552	0.9621	0.9511	0.2218	0.8820
09	RPPDI-UPE[5]	0.8274	0.9104	0.9697	0.3649	0.2163	0.7408
10	SEECS-NUST[5]	0.8875	0.8264	0.7832	0.7811	0.0113	0.7393
11	RDLNet(Ours)	0.9962	0.9952	0.9954	0.9946	0.9946	0.9953

#### Table 2: JI Results on SmartDoc Dataset

#### Table 3: JI Results on CDPhotoDataset(CDP) and Extended SmartDoc Dataset(ESD)

Method	Cl	OP	ES	SD
	JI	time	JI	time
HU-PageScan[9]	0.9896	0.0422	0.9908	0.1067
U-net[28]	0.9916	0.0799	0.9904	0.2551
LDRNet[34]	/	/	0.9315	0.0171
Mask R-CNN[14]	0.9498	0.1176	0.924	0.3688
OctHU-PageScan[8]	0.9456	0.0232	0.7684	0.0231
GOP[19]	0.6868	0.0597	0.7913	0.1394
RDLNet(ours)	0.9905	0.0385	0.9945	0.0408

#### Table 4: JI Results on our new dataset RWMD

JI
0.8622
0.7219
0.6821
0.6726
0.6988
0.6380
0.5261
0.3751
0.9123

variety of common document types and real-world scenes, which is much more complex and better to reflect the real document scenarios. As described in Table 4, our proposed method achieves the highest JI value and outperforms other methods. Notably, we also directly apply pre-trained Efficient-SAM[38] and SAM[18], which are prompt-based segmentation methods, on the RWMD test dataset for document localization with a single center point prompt as prompt input and post-processed output masks as final predictions. It turns out SAM-series models don't support generic document segmentation and localization well due to its specific characteristics of prompt design. As a result, we acquired relatively low JI from SAM models. Figure 6 shows the examples of the com-parison results between our method, maskrcnn, LDRnet and SAM on the RWMD test dataset. These results present that our proposed



Figure 6: Results comparison of different methods on RWMD test dataset



## Figure 7: Failed result samples of our method on RWMD test dataset

method can handle the more complex document localization tasks and it exhibits better robustness in real complex scenarios.But at the ACM MM, 2024, Melbourne, Australia

826

827

828

829

830

831

832

833

834

835

836

837

838

839

840

841

842

843

844

845

846

847

848

849

850

851

852

853

854

855

850

85

858

859

860

86

863

864

865

866

867

868

869

870



Figure 8: Comparison of inference accuracy and speed

same time, we can also see that although our method has obtained a relatively high JI value, there is still a certain space for further improvement. This also shows that many existing document localization problems have not been well solved. Figure 7 shows some document localization results those with still problems. The area within the green line is the detected document area. The results also verify the significance of the RWMD dataset we construct in this paper for document localization methods measurement, and provide a more realistic and challenging benchmark dataset for future research.

5.2.4 Experiment 4: Evaluation of inference speed. Furthermore, in order to verify the efficiency of algorithms on mobile devices, we compare the test duration of different methods on mobile phone HUAWEI Mate 40. In Figure 8, the vertical axis is the JI of the model while the horizontal axis is the inference time. Figure 8 illustrates that our proposed model RDLNet obtains the highest accuracy with low inference time. As can be seen from Figure 8 the inference speed of our model is second only to LDRNet, but the accuracy is much higher than LDRNet. Mask R-CNN obtains the accuracy second to our model, but the inference efficiency is far lower than our method.

#### Table 5: JI Results on MIDV2020 dataset

Method	Fine-tune	JI
Mask R-CNN[14]	×	0.860
HU-PageScan[9]	×	0.673
OctHU-PageScan[8]	×	0.715
LDRNet[34]	×	0.422
U-net[28]	×	0.320
SEECS-NUST-2[16]	×	0.369
RDLNet(ours)	×	0.926

5.2.5 Experiment 5: Generalization on unseen documents and background. To verify our model's generalization ability to new documents on unseen background, we cross-validate our method on the dataset which is not used for training. Specifically, we use the RWMD training set to train models and MIDV2020 test set to verify the detection effects. As demonstrated in Table 5, our method is

significantly better than other methods on unseen dataset. Especially, the accuracy of our method outperforms the second-place method Mask R-CNN by 15.04%. The results prove that our method has good generalization capability on unseen scenarios.

#### 5.3 Ablation Study

Table 6: JI Results With Different Modules on RWMD dataset

Baseline	Cross Level	Distillation	Point Branch	JI
~				0.8031
~	~			0.8683
~	~	~		0.9014
1	~		~	0.8713
~		~		0.8813
~	1	1	<b>v</b>	0.9123

In our model, we propose several novel modules, such as crosslevel encoder, multiplex distillation<sup>1</sup>, and point-mask joint supervision. To verify the effectiveness of these modules, we carry out corresponding ablation experiments. Table 6 shows the verification results, from which we can observe that the proposed modules are essential for accuracy.

### **6** CONCLUSION

In this paper, we introduced RDLNet, a novel model designed for robust document localization in real-world images. Leveraging a light-SAM backbone, RDLNet efficiently extracts hierarchical visual features and employs a decoder that harnesses mask attention and object queries to accurately predict document corner points, segmentation masks, and categories. The RDLNet exploits the light-SAM backbone to extract the cross-level vision features of the document images and jointly predicts the corner points coordinates, area mask and class of the documents following decoder based on masked attention and object query. At the same time, we create a new document localization dataset RWMD to fill the poverty of dataset for multiple document types and complex scenarios in the wild. Extensive experimental results have demonstrated that our model achieved the state of the art accuracy, while using low inference time. Additionally, the experiments on unseen documents and backgrounds highlight RDLNet's strong generalization capability, underscoring its practical applicability in diverse settings. We hope this research not only advances the state-of-the-art in document localization but also establishes a challenging benchmark that will likely drive future progress in the field.

### REFERENCES

- Vladimir Viktorovich Arlazarov, Konstantin Bulatovich Bulatov, Timofey Sergee-[1] vich Chernov, and Vladimir Lvovich Arlazarov, 2019. MIDV-500: a dataset for identity document analysis and recognition on mobile devices in video stream. 43, 5 (2019), 818-824.
- [2] Konstantin Bulatov, Daniil Matalov, and Vladimir V Arlazarov. 2020. MIDV-2019: challenges of the modern mobile-based document OCR. In Twelfth International Conference on Machine Vision (ICMV 2019), Vol. 11433. SPIE, 717-722.

<sup>1</sup>When distillation is removed, we train the proposed RDLNet from scratch without loading any pre-trained weights or parameters.

930

931

932

933

934

935

936

937

938

939

940

941

942

943

944

945

946

947

948

949

950

951

952

953

954

955

956

957

958

959

960

961

962

963

964

965

966

967

968

969

970

971

972

973

974

975

976

977

978

979

980

981

982

983

984

985

986

ACM MM, 2024, Melbourne, Australia

- [3] Bulatov Konstantin Bulatovich, Emelianova Ekaterina Vladimirovna, Tropin Daniil Vyacheslavovich, Skoryukina Natalya Sergeevna, Chernyshova Yulia Sergeevna, Ming Zuheng, Burie Jean-Christophe, and Luqman Muhammad Muzzamil. 2022. MIDV-2020: a comprehensive benchmark dataset for identity document analysis. 46, 2 (2022), 252–270.
  - [4] JC. Burie, J. Chazalon, M. Coustaty, S. Eskenazi, M.M. Luqman, M. Mehri, N. Nayef, JM. Ogier, S. Prum, and M. Rusiñol. 2015. ICDAR2015 competition on smartphone document capture and OCR (SmartDoc). In 2015 13th International Conference on Document Analysis and Recognition (ICDAR). IEEE, 1161–1165.
- [5] Jean-Christophe Burie, Joseph Chazalon, Mickaël Coustaty, Sébastien Eskenazi, Muhammad Muzzamil Luqman, Maroua Mehri, Nibal Nayef, Jean-Marc Ogier, Sophea Prum, and Marçal Rusiñol. 2015. ICDAR2015 competition on smartphone document capture and OCR (SmartDoc). In 2015 13th International Conference on Document Analysis and Recognition (ICDAR). IEEE, 1161–1165.
  - [6] Nicolas Carion, Francisco Massa, Gabriel Synnaeve, Nicolas Usunier, Alexander Kirillov, and Sergey Zagoruyko. 2020. End-to-end object detection with transformers. In European conference on computer vision. Springer, 213-229.
- [7] Alejandra Castelblanco, Jesus Solano, Christian Lopez, Esteban Rivera, and Martín Ochoa. 2020. Machine Learning Techniques for Identity Document Verification in Uncontrolled Environments: A Case Study. (2020).
- [8] Ricardo Batista das Neves, Estanislau Lima, Byron LD Bezerra, Cleber Zanchettin, and Alejandro H Toselli. 2020. HU-PageScan: a fully convolutional neural network for document page crop. *IET Image Processing* 14, 15 (2020), 3890–3898.
- [9] Ricardo Batista das Neves, Luiz Felipe Verçosa, David Macêdo, Byron Leite Dantas Bezerra, and Cleber Zanchettin. 2020. A fast fully octave convolutional neural network for document image segmentation. In 2020 International Joint Conference on Neural Networks (IJCNN). IEEE, 1–6.
- [10] Ricardo Batista das Neves Junior. 2020. HU-PageScan: a fully convolutional neural network for document page crop. *IET Image Processing* (December 2020). https://digital-library.theiet.org/content/journals/10.1049/iet-ipr.2020.0532
- [11] Ricardo Batista das Neves Junior, Luiz Felipe Verccosa, David Macêdo, Byron Leite Dantas Bezerra, and C. Zanchettin. 2020. A Fast Fully Octave Convolutional Neural Network for Document Image Segmentation. 2020 International Joint Conference on Neural Networks (IJCNN) (2020), 1–6. https://api.semanticscholar. org/CorpusID:214795417
- [12] Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, et al. 2020. An image is worth 16x16 words: Transformers for image recognition at scale. arXiv preprint arXiv:2010.11929 (2020).
- [13] Rafael Grompone von Gioi, Jeremie Jakubowicz, Jean-Michel Morel, and Gregory Randall. 2010. LSD: A Fast Line Segment Detector with a False Detection Control. *IEEE Transactions on Pattern Analysis and Machine Intelligence* 32, 4 (2010), 722– 732. https://doi.org/10.1109/TPAMI.2008.300
- [14] Kaiming He, Georgia Gkioxari, Piotr Dollár, and Ross Girshick. 2017. Mask r-cnn. In Proceedings of the IEEE international conference on computer vision. 2961–2969.
- [15] Khurram Javed and Faisal Shafait. 2017. Real-Time Document Localization in Natural Images by Recursive Application of a CNN. In 2017 14th IAPR International Conference on Document Analysis and Recognition (ICDAR), Vol. 01. 105–110. https://doi.org/10.1109/ICDAR.2017.26
- [16] Khurram Javed and Faisal Shafait. 2017. Real-time document localization in natural images by recursive application of a cnn. In 2017 14th IAPR International Conference on Document Analysis and Recognition (ICDAR), Vol. 1. IEEE, 105–110.
- [17] Anastasiia Kabeshova, Guillaume Betmont, Julien Lerouge, Evgeny Stepankevich, and Alexis Bergès. 2023. Data Efficient Training of a U-Net Based Architecture for Structured Documents Localization. arXiv preprint arXiv:2310.00937 (2023).
- [18] Alexander Kirillov, Eric Mintun, Nikhila Ravi, Hanzi Mao, Chloe Rolland, Laura Gustafson, Tete Xiao, Spencer Whitehead, Alexander C Berg, Wan-Yen Lo, et al. 2023. Segment anything. In Proceedings of the IEEE/CVF International Conference on Computer Vision. 4015–4026.
- [19] Philipp Krähenbühl and Vladlen Koltun. 2014. Geodesic object proposals. In Computer Vision–ECCV 2014: 13th European Conference, Zurich, Switzerland, September 6-12, 2014, Proceedings, Part V 13. Springer, 725–739.
- [20] Christoph H Lampert, Tim Braun, Adrian Ulges, Daniel Keysers, and Thomas M Breuel. 2005. Oblivious Document Capture and Real-Time Retrieval. In International Workshop on Camera Based Document Analysis and Recognition (CBDAR).
- [21] Feng Li, Hao Zhang, Huaizhe xu, Shilong Liu, Lei Zhang, Lionel M. Ni, and Heung-Yeung Shum. 2022. Mask DINO: Towards A Unified Transformer-based Framework for Object Detection and Segmentation. arXiv:2206.02777 [cs.CV]
- [22] Tsung-Yi Lin, Priya Goyal, Ross Girshick, Kaiming He, and Piotr Dollár. 2017. Focal loss for dense object detection. In *Proceedings of the IEEE international* conference on computer vision. 2980–2988.
- [23] Shijian Lu and Chew Lim Tan. 2006. The Restoration of Camera Documents Through Image Segmentation. In Document Analysis Systems VII, 7th International Workshop, DAS 2006, Nelson, New Zealand, February 13-15, 2006, Proceedings.
- [24] Ligang Miao and Silong Peng. 2006. Perspective Rectification of Document Images Based on Morphology. In 2006 International Conference on Computational Intelligence and Security, Vol. 2. 1805–1808. https://doi.org/10.1109/ICCIAS.2006. 295374

- [25] Fausto Milletari, Nassir Navab, and Seyed-Ahmad Ahmadi. 2016. V-net: Fully convolutional neural networks for volumetric medical image segmentation. In 2016 fourth international conference on 3D vision (3DV). Ieee, 565–571.
- [26] George Papandreou, Tyler Zhu, Nori Kanazawa, Alexander Toshev, Jonathan Tompson, Chris Bregler, and Kevin Murphy. 2017. Towards accurate multi-person pose estimation in the wild. In *Proceedings of the IEEE conference on computer vision and pattern recognition*. 4903–4911.
- [27] Y Qiao, Q. M. Hu, G. Y. Qian, S. H. Luo, and W. L. Nowinski. 2007. Thresholding based on variance and intensity contrast. *Pattern Recognition: The Journal of the Pattern Recognition Society* 2 (2007), 40.
- [28] Olaf Ronneberger, Philipp Fischer, and Thomas Brox. 2015. U-net: Convolutional networks for biomedical image segmentation. In Medical image computing and computer-assisted intervention–MICCAI 2015: 18th international conference, Munich, Germany, October 5-9, 2015, proceedings, part III 18. Springer, 234–241.
- [29] Natalya Skoryukina, Julia Shemiakina, Vladimir L. Arlazarov, and Igor Faradjev. 2018. Document Localization Algorithms Based on Feature Points and Straight Lines. In International Conference on Machine Vision.
- [30] N. Stamatopoulos, B. Gatos, and A. Kesidis. 2007. Automatic borders detection of camera document images. *psychopharmacology* (2007).
- [31] N Stamatopoulos, B Gatos, and A Kesidis. 2007. Automatic borders detection of camera document images. In 2nd International Workshop on Camera-Based Document Analysis and Recognition, Curitiba, Brazil. 71–78.
- [32] Yi Sun, Xiaogang Wang, and Xiaoou Tang. 2013. Deep Convolutional Network Cascade for Facial Point Detection. In Computer Vision and Pattern Recognition (CVPR), 2013 IEEE Conference on.
- [33] Zhiqing Sun, Shengcao Cao, Yiming Yang, and Kris M Kitani. 2021. Rethinking transformer-based set prediction for object detection. In Proceedings of the IEEE/CVF international conference on computer vision. 3611–3620.
- [34] Han Wu, Holland Qian, Huaming Wu, and Aad van Moorsel. 2022. LDRNet: Enabling Real-time Document Localization on Mobile Devices. In *Joint European Conference on Machine Learning and Knowledge Discovery in Databases*. Springer, 618–629.
- [35] Jianzong Wu, Xiangtai Li, Xia Li, Henghui Ding, Yunhai Tong, and Dacheng Tao. 2024. Towards robust referring image segmentation. *IEEE Transactions on Image Processing* (2024).
- [36] Saining Xie and Zhuowen Tu. 2015. Holistically-Nested Edge Detection. In 2015 IEEE International Conference on Computer Vision (ICCV). 1395–1403. https: //doi.org/10.1109/ICCV.2015.164
- [37] Yongchao Xu, Edwin Carlinet, Thierry Géraud, and Laurent Najman. 2017. Hierarchical Segmentation Using Tree-Based Shape Spaces. *IEEE Transactions on Pattern Analysis and Machine Intelligence* 39, 3 (2017), 1–1.
- [38] Lemeng Wu Xiaoyu Xiang Fanyi Xiao Chenchen Zhu Xiaoliang Dai Dilin Wang Fei Sun Forrest Iandola Raghuraman Krishnamoorthi Vikas Chandra Yunyang Xiong, Bala Varadarajan. 2023. EfficientSAM: Leveraged Masked Image Pretraining for Efficient Segment Anything. arXiv:2312.00863 (2023).
- [39] Hao Zhang, Feng Li, Huaizhe Xu, Shijia Huang, Shilong Liu, Lionel M Ni, and Lei Zhang. 2023. Mp-former: Mask-piloted transformer for image segmentation. In Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition. 18074–18083.
- [40] Anna Zhu, Chen Zhang, Zhi Li, and Shengwu Xiong. 2019. Coarse-to-fine document localization in natural scene image with regional attention and recursive corner refinement. *International Journal on Document Analysis and Recognition* (*IJDAR*) 22 (2019), 351–360. https://api.semanticscholar.org/CorpusID:201905512
- [41] Xizhou Zhu, Weijie Su, Lewei Lu, Bin Li, Xiaogang Wang, and Jifeng Dai. 2020. Deformable detr: Deformable transformers for end-to-end object detection. arXiv preprint arXiv:2010.04159 (2020).

- 1039 1040
- 1041 1042
  - 1043
  - 1044