# Revisiting the Knowledge Recall and Selection in Chinese Spelling Correction

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#### Abstract

 Chinese Spelling Correction (CSC) task is very challenging in the natural language pro- cessing area. However, the performance im- provement is quite limited, primarily because the infusion of knowledge is limited. Previ- ous work involved confusion sets as additional knowledge, but the size was too small and served only as a role of additional feature. To address this, we propose a knowledge recall and selection network (ReSC). First through four recall methods to achieve an average re- call rate above 93%, with individual character recall of around 150 related characters/words. Subsequently, we proposed a Knowledge Se- lection Algorithm, choosing the appropriate characters or words from numerous recall sets. The knowledge selection network is highly ef-**ficient**, as the F1 score nearly reached 100%. Extensive experiments have proven ReSC is able to inject substantial amount of entities with even a lower False Positive Rate. This novel network acheves the new SOTA results across three domain-specific datasets.

## 024 1 Introduction

 The field of Chinese Spelling Correction (CSC) has always been a crucial foundational task in nat- ural language processing (NLP) with applications across various areas. Such as web search [\(Martins](#page-8-0) [and Silva,](#page-8-0) [2004\)](#page-8-0), speech recognition ([Chen et al.](#page-8-1), [2021\)](#page-8-1), and machine translation [\(Zhou et al.,](#page-8-2) [2019\)](#page-8-2).

 Historically, the SOTA approaches in CSC have favored rephrasing methods over tagging methods [\(Liu et al.,](#page-8-3) [2023a;](#page-8-3) [Wu et al.](#page-8-4), [2023\)](#page-8-4). Research has sufficiently demonstrated the limitations of tagging-based methods, whereas models tend to memorize error correction patterns rather than un- derstanding the sentence intrinsically to perform correction. In rephrasing, however, there is a lim- itation due to the lack of information supplemen- tation, which has led to the restricted expressive-ness of methods like ReLM ([Liu et al.,](#page-8-3) [2023a\)](#page-8-3).

<span id="page-0-0"></span>

Figure 1: Example of human spelling correction. Misspelled characters are indicated in red, and the correct ones are in green. Ambiguous semantics refers to the interpretative process undertaken by humans, who then think of potential candidates before determining the correct term. The right part is an illustration of the human CSC process.

As indicated in Figure [1](#page-0-0), the ReLM model merely **042** simulates the process of human semantic under- **043** standing, but it does not include the capability for **044** knowledge retrieval. Therefore, incorporating a **045** knowledge recall and selection mechanism is cru- **046 cial.** 047

Another focal point is the confusion set [\(Liu](#page-8-5) **[048](#page-8-5)** [and Cao](#page-8-5), [2016\)](#page-8-5), a collection of words or charac- **049** ters that are often mistakenly used interchangeably **050** due to their similar appearances or pronunciations, **051** this set can provide potential candidates for cor- **052** rection. Merely introducing confusion sets does **053** not clarify which candidates are useful and which **054** are not, these candidates could act as noise and **055** have a detrimental effect. In human error correc- **056** tion in Figure [1,](#page-0-0) the process should understand **057** first, search for knowledge and then filter it. **058**

Additionally, since there is no filtering function **059** after the introduction of the confusion set ([Cheng](#page-8-6) **[060](#page-8-6)** [et al.,](#page-8-6) [2020;](#page-8-6) [Guo et al.,](#page-8-7) [2021](#page-8-7)), its size will not be **061**

**062** large, which directly determines the upper limit **063** of the recall rate. In other words, introducing **064** more candidate sets will lead to a greater extent

**065** of knowledge recall. **066** To address the above issues, from a high-level **067** perspective, CSC requires a recall and selection

**068** model. Given input sentence *X*, candidate sets **069** *C*, output sentence *Y* , from the derivation of Ap-**070** pendix [C,](#page-10-0) we have:

$$
P(Y|X) \propto \underbrace{P(C|X)}_{\text{Recall}} \cdot \underbrace{P(Y|X,C)}_{\text{Selection}} \tag{1}
$$

 where the recall model decides the upper bound of knowledge injection, thus we utilize four re- call methods to achieve this, including phonetic (pinyin) matching, four-corner code matching, radical matching, and similar shapes matching. Specifically, we perform a trie tree retrieval on character level one by one, searching for related characters/words.

 Subsequently, the knowledge selection network performs granular filtering of the recall sets on a per-character level. To enhance the language model's ability to discern the relationship between potential candidates and erroneous words, we have developed a confidence mechanism. This ap- proach entails training the network to acknowl- edge a candidate as correct only if its association with the candidate word surpasses its association with the original word. The selection network has demonstrated a significant learning effect, with F1 approaching nearly 100%.

**092** Our contributions can be summarized as fol-**093** lows:

 1. Broad Recall: To our knowledge, this is the first paper to utilize such an extensive recall set for domain-CSC tasks. It achieves a recall rate ex-097 ceeding 93%, with single-character recall exceed-ing 150 characters/words.

 2. Ease of Use: Despite employing a four-way recall, we significantly reduce recall time complex- ity using trie search plus a segmentation-free ap- proach. The selection is lightweight, which facili-tates its application to other networks.

 3. SOTA results: Our model demonstrates impressive performance, achieving SOTA results across three datasets. There was an average im-provement of 3.36% on domain-specific datasets.

# 2 Method **<sup>108</sup>**

# **2.1 Problem Formulation 109**

The Chinese Spelling Correction (CSC) task aims **110** to identify and correct spelling errors in Chinese **111** text. In the context of CSC, character alignment **112** is essential, as it refers to mapping each character **113** in the erroneous input sequence to the corrected **114** character in the output sequence. **115** 

<span id="page-1-1"></span>Formally, the task can be described as fol- **116** lows: Given an erroneous input sequence  $X = 117$  ${x_1, x_2, ..., x_n}$  of *n* Chinese characters, the ob- 118 jective is to generate a corrected output sequence **119**  $Y = \{y_1, y_2, ..., y_n\}$ , ensuring that each charac- **120** ter  $x_i$  from the input is correctly aligned with the 121 corresponding character  $y_i$  in the output. Unlike  $122$ previous work utilizing only character-level candi- **123** dates [\(Guo et al.,](#page-8-7) [2021](#page-8-7); [Cheng et al.](#page-8-6), [2020\)](#page-8-6), we **124** amalgamated character and word information to **125** augment the model's expressive capacity for the **126** CSC task. The character candidates of  $x_i$  are de- 127 fined as  $[char_{i1}, char_{i2}, \ldots]$  and the word candi- 128 dates of  $x_i$  are defined as  $[word_{i1}, word_{i2}, \ldots]$ . 129 Then use *cand<sup>i</sup>* to represent the collection of char- **130** acter and word candidates about *x<sup>i</sup>* . **131**

# 2.2 Framework **132**

To maximize recall, we employed multiple recall **133** techniques. After this, we utilized a Knowledge **134** Selection Network to assess the validity of the can- **135** didate. Furthermore, the training of the Knowl- **136** edge Selection Network is necessary. And employ- **137** ing a cross-entropy to constrain the accuracy of the **138** attention softmax. For a detailed description, refer **139** to the Figure [2](#page-2-0). **140**

# <span id="page-1-0"></span>2.3 Knowledge Recall **141**

This process can be expressed as  $P(C|X)$ , where **142** *C* represents the recall set for the entire sentence. **143** Unlike previous work ([Song et al.,](#page-8-8) [2023\)](#page-8-8), our re- **144** call process excludes word segmentation because **145** if there are errors in the sentence, the segmentation **146** result is very likely to be incorrect as well. **147**

To ensure a higher recall rate, we utilize similar **148** pinyin, similar four-corner codes, similar radicals, **149** and shape-similar for candidates' recall. First, we **150** build a trie search tree based on these four features. **151** When features key match, candidates are recalled. **152** For example, in the case of Figure [2](#page-2-0), based on the 153 radical " 远", we first search the trie tree to find **154** all characters with the radical "  $\angle$  ". Then, if "  $\angle$  155 辶" still exists in the trie tree, we retrieve all words **156**

<span id="page-2-0"></span>

Figure 2: An overview of Knowledge Recall and Selection Network. The left side describes the overall error correction process. In contrast, the right side mainly elaborates on the character " $\vec{w}$ ", which involves knowledge recall, then knowledge representation, followed by knowledge selection.

**157** with the radical " 辶辶", and stop since there are **158** no words with radical"辶辶亻". Detailed recall **159** methods are in Appendix [B.](#page-9-0)

#### **160** 2.4 Knowledge Selection Network

 Knowledge Representation In previous work, character embeddings were often employed to form candidate set vectors, which encapsulate se- mantic information but lack correction-related in-165 sights. For instance, the embedding of " $E$ "(mean-**ing already)** and "巳" (meaning fetus) have en- tirely different meanings, thus it is difficult to view the similarity in correction level for the pre-trained model, as shown in Appendix [D](#page-10-1) Figure [5](#page-10-2). Thus if 170 we want the distance between  $\mathcal{F}$ <sup>n</sup> and  $\mathcal{F}$ <sup>n</sup> to be small, embedding is not a good choice.

 So our candidate representation is directly from the last layer from LM, as it contains more correction-level information compared to the first layer. Another reason is its capability to produce word vectors that project on individual characters, thanks to self-attention. For example, in Figure **177** [2](#page-2-0) Knowledge Representation part, we use the la- **178** tent vector corresponding to "渊" to represent the **179** intrinsic meaning of the word "渊源". The rep- **180** resentation of  $c_{ij}$  are represented as  $h_{c_{ij}}$ . 181

Knowledge Selection Model The selection of **182** knowledge directly determines the model's error **183** correction capability. Our approach employs at- **184** tention mechanisms to facilitate this. However, we **185** must account for scenarios where the model fails **186** to successfully retrieve candidates. To address this, **187** we include the original input  $h_{x_i}$  in the compo- 188 sition of keys and values, allowing the model to **189** learn a stronger correlation with itself in the ab- **190** sence of viable candidates. Conversely, if the re- **191** call set contains appropriate candidates, the model **192** is trained to prioritize the correction of characters, **193** potentially even over the score of the original  $x_i$ .

Formally, we construct the candidate set  $c_{x_i}$ from Section [2.3,](#page-1-0) candidate representations  $h_{x_i}^c$ 

. **194**

**195**

*∈* **196**

) (8) **233**

197 **R**<sup>*N*×*d*</sup> with fixed length *N* using the knowledge **198** representation.

199 
$$
c_{x_i} := \{x_i; cand_{i1}, cand_{i2}, \dots\}.
$$
 (2)

$$
f_{\rm{max}}
$$

$$
\begin{matrix} 2 & 0 \\ 0 & 0 \end{matrix}
$$

$$
20\degree
$$

$$
f_{\rm{max}}
$$

$$
\sim
$$

**211**

200 
$$
h_{x_i}^c := \{h_{x_i}; h_{cand_{i1}}, h_{cand_{i2}}, \dots\}.
$$
 (3)

**201** Then, through an attention network, it is calcu-**202** lated to determine whether one of the current candidates can serve as a correct error correction

204 
$$
a_{i,j} = \frac{\exp(W_Q h_{x_i} \cdot W_K h_{x_i}^{c_j})}{\sum_j \exp(W_Q h_{x_i} \cdot W_K h_{x_i}^{c_j})}
$$
(4)

205 where  $W_K, W_Q \in \mathbb{R}^{d \times d}$  are learnable projec-**206** tion matrics, it is noteworthy that the attention 207 weights  $\{a_{i,j}\}_{j=1}^N$  induces a knowledge selection 208 model  $P_{KS}(c_{x_i}^j | h_{x_i}, h_{x_i}^c)$ . Thus we can learn the **<sup>209</sup>** parameters *W<sup>K</sup>* and *W<sup>Q</sup>* via the following knowl-**210** edge selection loss:

$$
\mathcal{L}_{KS} := \frac{1}{N} \sum_{i} \sum_{j} y_{c_{x_i}} \log P_{KS}(c_{x_i}^j | h_{x_i}, h_{x_i}^c)
$$
\n<sup>(5)</sup>

**note that**  $c_{x_i}^j$  belongs to  $c_{x_i}$ , and  $y_{c_{x_i}}$  is a one-hot label for a true candidate with length N. Besides if the candidate set does not include the ground truth 215 label, we take the original word  $x_i$  as the true label to calculate the cross entropy in ([5](#page-3-0)).

 Spelling Correction Model. Our spelling cor- rection model is on top of the knowledge selec- tion model. Specifically, we construct the fused knowledge representation through a weighted sum between the knowledge representations in [\(3\)](#page-3-1) and attention weights in [\(4\)](#page-3-2)

223 
$$
h_{x_i}^{fk} := \lambda_{fk} \sum_j a_{i,j} W_V h_{x_i}^{c_j} + (1 - \lambda_{fk}) h_{x_i} \quad (6)
$$

*W<sub>V</sub>*  $\in \mathbb{R}^{d \times d}$  is a learnable parameter. And  $\lambda_{fk}$  is the parameter for fusing knowledge. Finally, the spelling correction model  $P_{SC}(y_i|x_i)$  is defined as the following softmax probability:

$$
P_{SC}(y_i|x_i) := \text{softmax}(W_O h_{x_i}^{fk}) \tag{7}
$$

229 **Where**  $W_O \in \mathbb{R}^{|\mathcal{V}| \times d}$  is the output layer, and *V* **230** means vocabulary size. We train the parameters

 $W_V$  and  $W_O$  through the following spelling cor- **231** rection loss: **232**

$$
\mathcal{L}_{SC} := \sum_{i} y_i \log P_{SC}(y_i | h_{x_i}^{fk}) \tag{8}
$$

<span id="page-3-1"></span>In practice, our final loss function is defined as **234**

$$
\mathcal{L} = (1 - \lambda_{KS})\mathcal{L}_{SC} + \lambda_{KS}\mathcal{L}_{KS} \qquad (9) \qquad \qquad ^{235}
$$

<span id="page-3-2"></span>During the inference process, it is possible to **236** apply either the knowledge selection model  $P_{KS}$  237 or the spelling correction model *PSC* to do Chi- **<sup>238</sup>** nese spelling correction. In practice, we observe **239** that *PSC* has better performance. To this end, we **<sup>240</sup>** mainly report our results using *P<sub>SC</sub>* and leave the 241 study of  $P_{KS}$  in Section [4.2](#page-7-0). 242

## 2.5 Special Cases **243**

**Nested character and word For example, if "
We 244** 源"and "渊"are both in the recall set of "远" **245** . During training, we prefer "渊源"better than **246** "渊"since it captures more information. Thus the **247** training objection of this selection should be "渊 **248** 源". **249**

<span id="page-3-0"></span>**Nested words** For instance, say we retrieve "渊 250 源"for the first "远", and "源体"for the sec- **251** ond"远". Despite the apparent overlap, it doesn't **252** affect our knowledge selection since it's based on **253** individual characters. We just need to update the **254** network to correct the first "远"to "渊源"and **255** the second to"源体". Our model is based on the **256** encoder structure, where such overlaps are man- **257** ageable, unlike in the decoder, which would cause **258** series issues. **259**

## 3 Experiment **<sup>260</sup>**

## 3.1 Dataset **261**

ECSPell Introduced by ([Lv et al.](#page-8-9), [2023](#page-8-9)) in 2022, **262** it stands as a domain-specific benchmark for Chi- **263** nese Spelling Correction (CSC), featuring three **264** distinct sectors: legal (LAW); medical (MED); of- **265** ficial document composition (ODW). The statis- **266** tics are in Table [1.](#page-4-0) Each domain is meticu- **267** lously curated to reflect the unique linguistic chal- **268** lenges and terminologies inherent to their respec- **269** tive fields. For a fair comparison, the domain **270** dictionary stays the same as Rspell ([Song et al.,](#page-8-8) **271** [2023\)](#page-8-8). **272**

SIGHAN Follow previous work [\(Guo et al.,](#page-8-7) **273** [2021;](#page-8-7) [Lv et al.](#page-8-9), [2023;](#page-8-9) [Cheng et al.,](#page-8-6) [2020](#page-8-6); [Wu et al.,](#page-8-4) **274**



<span id="page-4-0"></span>

	data	$#$ Train	# Test
<b>SIGHAN</b>	SIGHAN13	350	1000
	SIGHAN14	3437	1062
	SIGHAN15	2338	1100
	Wang27k	271,329	
	LAW	1960	500
ECSpell	<b>MED</b>	2500	500
	<b>ODW</b>	1728	500

Table 1: The statistics of the ECSpell and Sighan dataset, # Train and # Test represent the number of train sentences and test sentences. Wang27k represents a large generated CSC dataset from ([Wang et al.,](#page-8-10) [2018](#page-8-10)).

 [2023\)](#page-8-4), we also compare result on SIGHAN13, SIGHAN14, and SIGHAN 15. The statistics are in Table [1](#page-4-0). For a fair comparison, the confusion set is the same as ([Cheng et al.,](#page-8-6) [2020\)](#page-8-6). Since its set is character level, so we only have character level result ReSC*char*.

## **281** 3.2 Baseline Approaches

 Masked-Fine-Tuning (MFT) It utilizes a simple mask technique for characters during CSC task training, which brought a good result for BERT based model [\(Liu et al.](#page-8-11), [2023b\)](#page-8-11).

**286** BERT We directly fine-tune the BERT model **287** with the MFT trick.

**288** Baichuan2 We finetune Baichuan2, one of the **289** famous Chinese Large Language Model (LLM). **290** We use the MFT technique to get better results.

**291** ChatGPT We implement ChatGPT to do CSC **292** tasks using OpenAI API.

 MDCSpell It is an enhanced BERT-based model proposed by [\(Zhu et al.,](#page-8-12) [2022a](#page-8-12)). Based on a detector-corrector approach, this model tries to retain the crucial visual and phonological cues of misspelled characters.

 ReLM The Rephrasing Language Model (ReLM) [\(Liu et al.,](#page-8-3) [2023a\)](#page-8-3) takes a rephrasing ap- proach to Chinese Spelling Correction by rephras- ing whole sentences for error correction, rather than the basic tagging method. During pre- training, there is another auxiliary task where it randomly substitutes tokens with incorrect charac-ters and then corrects these artificial errors.

 RSpell It is a retrieval-augmented framework for CSC tasks that enhances domain-specific error correction by integrating relevant domain terms through a pinyin fuzzy confusion set. It features an adaptive control mechanism to tailor the influ-ence of this external knowledge and an iterative strategy that boosts correction capabilities ([Song](#page-8-8) [et al.](#page-8-8), [2023\)](#page-8-8).

**ECSpell**<sup>UD</sup> Introduced by (Ly et al., [2023](#page-8-9)), it is an Error-consistent masking strategy for data generation during pretraining. This strategy ensures **316** that the types of errors found in the automatically **317** generated sentences are representative of those en- **318** countered in actual usage.  $\text{ECSpell}^{UD}$  features a User Dictionary guided inference module (UD), **320** which is affixed to a general token classificationbased speller.

**SpellGCN** It is a graph convolutional network designed for CSC that leverages the relational information between Chinese characters to enhance **325** error detection and correction capabilities ([Cheng](#page-8-6) **[326](#page-8-6)** [et al.](#page-8-6), [2020\)](#page-8-6). **327**

**GAD** The Global Attention Decoder, known as GAD, is introduced by [\(Guo et al.,](#page-8-7) [2021](#page-8-7)). This model captures global contextual relationships between characters and candidates to enhance correction accuracy.

### 3.3 Evaluation Metrics **333**

To maintain a focus on the core aspects, consistent **334** with previous work [\(Wu et al.](#page-8-4), [2023](#page-8-4); [Liu et al.,](#page-8-3) [2023a\)](#page-8-3), we concentrate on sentence-level error cor- **336** rection results and employ commonly used classification metrics to evaluate the quality of the model. **339**

### 3.4 Main Results **340**

ECSpell The results of ECSpell are in Table [2.](#page-5-0) In this dataset, we have implemented two approaches: **342** one at both character and word level ReSC*word*, **<sup>343</sup>** the other only at character level ReSC*char*, to high- **<sup>344</sup>** light the fact that our word-level information integration is more substantial. **346**

Compared to Rspell, it is clear that the recall **347** results are significantly better than the retrieval results. This is fundamentally due to the inadequate number of items retrieved, and Rspell's approach of segmenting words before retrieval, which leads **351** to the inability to correctly identify certain words. **352** In the law domain, our method's F1 score is  $11\%$ higher than Rspell's, representing a substantial difference. **355**

When compared to ReLM, our method stands out because it incorporates a greater amount of **357** word and character information. As a result, the **358** performance is more pronounced, with an average **359** improvement of 3.36% across the three domains. **360** Compared to the ECSpell method, even though it **361**

<span id="page-5-0"></span>

Domain	Method	Prec.	Rec.	F1
	<b>ChatGPT</b>	46.7	50.1	48.3
	<b>BERT-MFT</b>	73.2	79.2	76.1
	MDCSpell	77.5	83.9	80.6
<b>LAW</b>	ECSpell <sup>UD</sup>	78.3	74.9	76.6
	Rspell	85.3	81.6	83.4
	Baichuan2	85.1	87.1	86.0
	ReLM	89.9	94.5	92.2
	$ResC_{char}$	92.0	94.5	93.2
	$\text{ReSC}_{word}$	93.1	95.7	94.4
	<b>ChatGPT</b>	21.9	31.9	26.0
	<b>BERT-MFT</b>	74.4	77.0	75.7
	MDCSpell	69.9	69.3	69.6
<b>MED</b>	ECSpell <sup>UD</sup>	75.9	71.2	73.5
	Rspell	86.1	77.0	81.3
	Baichuan2	72.6	73.9	73.2
	ReLM	85.5	85.3	85.4
	$ResC_{char}$	86.7	90.7	88.6
	$\text{ReSC}_{word}$	88.3	91.6	90.0
	<b>ChatGPT</b>	56.5	57.1	56.8
	<b>BERT-MFT</b>	77.5	78.7	78.1
<b>ODW</b>	MDCSpell	65.7	68.2	66.9
	ECSpell <sup>UD</sup>	82.3	74.5	78.2
	<b>Rspell</b>	89.0	79.9	84.2
	Baichuan2	86.1	79.3	82.6
	ReLM	85.7	87.8	86.7
	$\overline{\text{ReSC}}_{char}$	88.9	86.9	87.9
	$\text{ReSC}_{word}$	90.3	89.6	89.9

Table 2: The sentence-level performance on the correction level. For a fair comparison, the results of Rspell and  $\text{ECS}{\text{pell}_{UD}}$  are from ([Song et al.](#page-8-8), [2023\)](#page-8-8), and ReLM are from [\(Liu et al.,](#page-8-3) [2023a](#page-8-3)).

**362** utilizes a vast dictionary, its results are relatively **363** poor due to the inadequate exploitation of the dic-**364** tionary's contents.

 Significantly, it is worth noting that large lan- guage models (LLM), such as ChatGPT and Baichuan2, do not perform well for the CSC task. This underperformance can be attributed to their inability to ensure character alignment. Such as Appendix [D](#page-10-1) Table [8](#page-11-0) case 1. When ChatGPT rewrites an answer, it cannot guarantee that the characters are aligned, writing about "冰冷饮 料"instead of correcting it to "槟榔". When considering CSC tasks with aligned characters, the weakness of LLM becomes evident. Also, we have listed ten candidate prompts in the Appendix [D](#page-10-1) Ta-**377** ble [9](#page-12-0).

**378** SIGHAN The ReSC method does not perform

<span id="page-5-1"></span>

Methods	Pre	Rec	F1
SIGHAN13			
SpellGCN	78.3	72.7	75.4
GAD	84.9	78.7	81.6
<b>BERT</b>	86.3	78.0	81.9
ReLM	84.1	80.4	82.2
$ResC_{char}$	84.6	80.1	82.3
SIGHAN14			
SpellGCN	63.1	67.2	65.3
GAD	65.0	70.1	67.5
<b>BERT</b>	65.5	67.2	66.3
ReLM	64.7	70.5	67.5
$ResC_{char}$	64.8	73.1	68.7
SIGHAN15			
SpellGCN	72.1	77.7	75.9
GAD	73.2	77.8	75.4
<b>BERT</b>	75.5	75.6	75.6
ReLM	73.8	80.7	77.1
$ResC_{char}$	76.0	81.1	78.5

Table 3: The sentence-level performance on the correction level. For a fair comparison, the results of Spell-GCN and GAD ([Guo et al.,](#page-8-7) [2021](#page-8-7)) are directly from the original paper ([Guo et al.,](#page-8-7) [2021](#page-8-7)).

well on this dataset since there is no compre-  $379$ hensive domain dictionary, hence our confusion **380** set is at the character granularity, consistent with **381** [\(Cheng et al.](#page-8-6), [2020\)](#page-8-6). Therefore, the purpose of **382** setting up this experiment is merely to verify the **383** efficiency of the selection network. **384**

Our method shows a significant improvement **385** over SpellGCN, shown in Table [3](#page-5-1), particularly on **386** the SIGHAN13 dataset with an approximate 6% **387** increase in performance. The enhancement is also **388** evident when compared to ReLM, with notable **389** gains on both the SIGHAN14 and SIGHAN15 **390** datasets. The similar results with ReLM on **391** SIGHAN13 can be attributed to its smaller train- **392** ing set, which limits learning and increases the **393** model's susceptibility to overfitting. However, our **394** method's advantages become especially clear in **395** this dataset when compared to both SpellGCN and **396** GAD, illustrating that our use of a confusion set **397** allows our network to more effectively discern **398** which candidates are necessary and which are not. **399** 

#### 3.5 Experimental Details **400**

To ensure the validity of our experimental results, **401** we did not utilize tagging-based models such as **402** BERT for this study. Instead, we opted for ReLM 403 as our language model, given its superior capabil- **404**

<span id="page-6-0"></span>

	LAW		<b>MED</b>		ODW	
	Rec.	#words/char	Rec.	#words/char	Rec.	#words/char
Rspell	45.1	0.3	59.0	0.3	65.8	0.3
ReSC						
with Seg	77.5	67.1	84.9	62.0	80.1	69.4
w/o Seg	93.7	147.6	96.1	139.5	93.8	157.8
$w$ /o Seg & $w$ /o Four-Coner	93.3	144.8	96.1	137.0	93.7	154.7
w/o Seg & w/o Radical	92.3	106	94.1	104.1	92.1	110.3
$w/o$ Seg & $w/o$ ShapeSim	82.1	115.8	90.8	108.5	84.5	127.8
$w/o$ Seg & $w/o$ pinyin	37.6	76.0	38.4	68.8	31.4	80.8

Table 4: The ablation study of the recall of Rspell and ReSC*word*, whereas w/o represents without and Seg represents word segmentation. #words/char represents the total number of words and characters that can be recalled on average for each character.

<span id="page-6-1"></span>

Figure 3: The effects of different recall set sizes on F1 scores for three domain-related datasets. Detailed statistics are in Appendix [D](#page-10-1) Table [7](#page-11-1).

<span id="page-6-2"></span>

Pre		Rec F1 Utilize By LM
	Law 98.2 98.1 98.1 Med 99.1 99.2 99.1	97.8
		97.7
	Odw 98.3 98.4 98.3	97.5

Table 5: The statistics of Knowledge Selection. Utilized by LM indicates the percentage of selected items that have been accepted by the language model.

 ity in capturing semantic information. For this ex- periment, we employed one NVIDIA V100 GPU and trained for 2 hours for ECSpell and half an 408 hour for SIGHAN. Besides the  $\lambda_{fs}$  and  $\lambda_{KS}$  are both 0.2 during training and inference.

 When training on the ECSpell dataset, our pa- rameters were consistent with those of ReLM. We set the batch size to 64 and the learning rate to 2e- 5, with training steps hovering around 5,000. For the SIGHAN dataset, we followed the approach es- tablished by [\(Wu et al.](#page-8-4), [2023;](#page-8-4) [Guo et al.](#page-8-7), [2021](#page-8-7)), ini-tially training the ReLM model on the Wang27K

<span id="page-6-3"></span>

Method	Law	Med	Odw	Avg
<b>BERT-MFT</b>	14.7	11.2	15.5	13.8
MDCSpell	14.3	10.5	16.4	13.7
ReLM	8.4	5.0	6.9	6.8
$\text{ReSC}_{word_{200}}$	4.5	4.6	3.3	4.1

Table 6: Results of False Positive Rate (FPR) on EC-Spell. The lower the score, the better the CSC system. The score of ReLM is directly from ([Liu et al.](#page-8-3), [2023a\)](#page-8-3).

dataset [\(Wang et al.,](#page-8-10) [2018\)](#page-8-10). Subsequently, we **417** conducted separate training and fine-tuning on the **418** SIGHAN13-15. Given the relative simplicity of **419** the SIGHAN, the number of training steps was **420** limited to approximately 500. **421**

## 4 Further Analysis **<sup>422</sup>**

#### 4.1 Knowledge Recall Analysis **423**

Knowledge Recall Ablation Study The result is **424** in table [4.](#page-6-0) Firstly, the number of candidates re- **425** called by our method significantly surpasses that **426** of the Rspell approach, yielding an average re- **427** call rate above 94%. Secondly, after segmenting **428** is eliminated, there is a notable increase in recall. **429** Lastly, In the other four recall streams, the most ap- **430** parent reduction can be attributed to the omission **431** of phonetically similar recall and the discarding of **432** candidates based on character shape similarity. **433**

Number of candidates As shown in Figure [3](#page-6-1), **434** it can be clearly seen that as the number of can- **435** didates increases, the F1 score continues to rise. 436 This graph indicates our recall network has not yet **437** reached an upper bound. **438**

7



25 T

ReLM

### <span id="page-7-0"></span>**439** 4.2 Knowledge Selection Analysis

<span id="page-7-1"></span>25 T

 Classification Statistics To better assess the effi- ciency of our selection network, we analyzed the confusion matrix results in Table [5](#page-6-2). The analy- sis demonstrated a significantly impressive result since F1 scores are near 100%. Notably, the Uti- lized by LM metric has also surpassed 97%, sug- gesting that the majority of the knowledge post- selection is assimilated by the pre-trained model. This serves as a strong testament to the high effi-ciency of the selection network.

 False Positive Rate can measure the overcorrec- tion behavior of CSC models. As shown in Table [6](#page-6-3), although we recall a great number of candidates, including many even for potential correct charac- ters, our network still does not overcorrect. This also indirectly demonstrates the reliability of the knowledge selection network.

 Knowledge Injection As shown in Figure [4](#page-7-1). We conducted this experiment through three do- main test datasets. We compute the cosine sim- ilarity of latent vectors for every entity in a sen- tence and the vector of the sentence itself, then measure the mean distance between the entities and the sentence. The data indicates the average deviation for ReSC is 0.12 for law, 0.14 for med, and 0.10 for odw compared with ReLM. This sug- gests that ReSC better incorporates entity informa- tion to correct errors in characters. This process is similar to human error correction as shown in Figure 1, where our method mimics the steps of understanding, integrating entity information, and then correcting errors.

#### **472** 4.3 Case Study

**473** To better analyze the effectiveness of our model, **474** we utilized the ECSpell dataset. As demonstrated in the Appendix [D](#page-10-1) Table [8,](#page-11-0) our results appear su- **475** perior due to integrating more character and word **476** information and the selective use of knowledge. **477** However, the ReLM model, despite its strength in **478** semantic understanding, falls short due to the lack **479** of knowledge input, as seen in Case 2. The close- **480** ness in meaning between "制约" and "掣肘" sug- 481 gests that ReLM has learned much about semantic **482** information. Rspell, on the other hand, underper- **483** forms mainly because its mechanism of segment- **484** ing first and then retrieving leads to errors, as in **485** Case 2. "制肘"is not recognized as a word, and **486** during segmentation, it is incorrectly split into [融 **487** 资困难, 制, 肘, 发展], which hinders the correct **488** retrieval of candidate words due to the segmenta- **489** tion error. In contrast, for the ReSC*word* model, **<sup>490</sup>** as in Case 3, the recalled terms include " 经济相 **491** 关" (from Pinyin Recall), making it easier to learn **492** information at the word level. **493**

### 5 Conclusion **<sup>494</sup>**

ReLM

25 T

ReLM ReSC

(c) Odw

In this study, we mimic the process of human CSC **495** tasks. Specifically, our network comprises two **496** parts: knowledge recall and knowledge selection. **497** Detailed experiments have demonstrated the reli- **498** ability of our method's recall capability, as well **499** as the accuracy of the selection network. More- **500** over, our approach achieved SOTA results on three **501** datasets from ECSpell. 502

## Limitations **<sup>503</sup>**

The issue of an excessively high number of re- **504** calls is one of the present challenges. Additionally, **505** there is an inability to better integrate lexical infor- **506** mation from perspectives of temporal and syntac- **507** tic ordering. **508**

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## A Related Work **<sup>617</sup>**

## A.1 Chinese Spelling Correction (CSC) **618**

Some early works employ traditional machine **619** learning such as [\(Xiong et al.,](#page-8-13) [2015](#page-8-13)) consisting **620**

 of a pipeline of error detection, candidate genera- tion, and final candidate selection. Recently pro- posed works mainly focus on the deep learning paradigm, especially after the boosting application of BERT([Devlin et al.,](#page-8-14) [2019](#page-8-14)).

 One Stage vs. Two Stage Some works turn the CSC into a one-stage pipeline. Such as SpellGCN [\(Cheng et al.,](#page-8-6) [2020\)](#page-8-6), a specialized graph convo- lutional network designed to incorporate phono- logical and visual similarity knowledge into lan- guage models for CSC. It constructs a graph over Chinese characters, transforming it into inter- dependent character classifiers that enhance lan- guage models' error detection and correction capa- bilities. Some works turn the CSC into a two-stage pipeline: error detection and correction. ([Hong](#page-8-15) [et al.](#page-8-15), [2019;](#page-8-15) [Zhu et al.](#page-8-16), [2022b](#page-8-16)) propose to use a detection module and correction module to train together and use the hidden states output by the detection module in the correction module.

 Tagging vs. Rephrasing Different from the Grammar Error Correction task (GEC), the input and output of CSC have the same length, thus some works regard it as a sequence tagging task [\(Zhu et al.](#page-8-16), [2022b](#page-8-16); [Cheng et al.](#page-8-6), [2020\)](#page-8-6), and oth- ers consider it as rephrasing such as decoder-based text generation model. However, just as [\(Wu et al.](#page-8-4), [2023\)](#page-8-4) pointed out, fine-tuning a tagging-based model tends to over-fit the error pattern while un- derfitting out-of-distribution error patterns. Thus [\(Liu et al.](#page-8-3), [2023a](#page-8-3)) further implements a Rephras- ing Language Model (ReLM). This method bet- ter mimics how humans think about language and leads to improved performance in both standard and unseen situations.

#### **656** A.2 CSC with Knowledge

 In the CSC task, the incorrectly spelled tokens of- ten bear phonetic or visual resemblance to the cor- rect ones, which allows for the incorporation of external knowledge, to boost the correction perfor-**661** mance.

 Word Level The granularity of word-level se- mantic knowledge enables a heightened preci- sion in the rectification of text errors, thereby enhancing the efficacy of automated text correc- tion systems. [\(Lv et al.,](#page-8-9) [2023](#page-8-9)) suggests incor- porating a User Dictionary (UD) into a token classification-based speller significantly improves performance on domain-specific datasets with un-common terms. To precisely match related words,

[\(Song et al.,](#page-8-8) [2023](#page-8-8)) first introduces a retrieval aug- **671** mented framework (Rspell) for CSC that enhances **672** cross-domain error correction by incorporating **673** domain-specific terms via pinyin fuzzy matching **674** and employing an adaptive control mechanism and **675** iterative strategy. **676**

Character Level Most common in character **677** level is confusion set, a collection of characters **678** that are often mistaken for one another due to **679** their similar shape or pronunciation. To help in **680** accurately correcting spelling errors by focusing **681** on characters that are commonly confused, [\(Wang](#page-8-17) **[682](#page-8-17)** [et al.](#page-8-17), [2019](#page-8-17)) designed their model to use a con- **683** fusion set to narrow down the character genera- **684** tion choices. This method improves efficiency **685** and accuracy over traditional models that consider **686** the entire vocabulary. To better capture the rela- **687** tion in confusion sets with potential wrong char- **688** acters, [\(Cheng et al.,](#page-8-6) [2020](#page-8-6)) introduce SpellGCN, **689** a specialized graph convolutional network that in- **690** tegrates phonological and visual similarity knowl- **691** edge directly into language models, outperform- **692** ing previous methods through its ability to create **693** inter-dependent character classifiers that enhance **694** BERT's representations. Furthermore, [\(Guo et al.,](#page-8-7) **695** [2021\)](#page-8-7) propose related techniques primarily rely on **696** local context, disregarding the broader sentence **697** context. To tackle this, they introduce the Global **698** Attention Decoder (GAD) methodology that fo- **699** cuses on the global interplay between potentially **700** correct input and likely erroneous character candi- **701** dates. **702** 

#### <span id="page-9-0"></span>B Recall Methods **<sup>703</sup>**

**Pinyin Recall Pinyin recall is the most important 704** one, as [\(Song et al.](#page-8-8), [2023](#page-8-8); [Lin and Chu,](#page-8-18) [2015\)](#page-8-18) pro- **705** posed, the most common wrong spelling case is **706** from pinyin. Our recall only used the expression **707** form of [*initials, f inals*] and did not use tones, as **708** most of the incorrect characters from the CSC task **709** are wrong in tone. Such as "癫痫"(dian3xian2, **710** meaning neurological disorder) and its wrong ver- **711** sion "点线"(dian3xian4, meaning dot line). **712**

Four Coner Recall To strengthen the recall **713** ability of visual and character structure, we also **714** use Four Coner as a recall method. The four- **715** corner method <sup>[1](#page-9-1)</sup> is a system for encoding Chinese 716 characters. The system breaks down characters **717** into parts and assigns a digit code to each char- **718**

<span id="page-9-1"></span><sup>1</sup> [https://en.wikipedia.org/wiki/Four-Corner\\_](https://en.wikipedia.org/wiki/Four-Corner_Method) [Method](https://en.wikipedia.org/wiki/Four-Corner_Method)

 acter based on its structural components, where each digit represents a specific feature of the char- acter's top-left, top-right, bottom-left, and bottom- right corners respectively. For example, these char- acters share the same four-corner code 27620 but different shapes: 訇匐句旬甸.

 Redical Recall Radicals are essential compo- nents that often hint at a character's meaning or pronunciation. For example, the character "椅" (meaning chair) closely resembles"桌"(meaning table), and both have the radical "木"(meaning wood'). These two characters share a similar struc- ture and the same radical, indicating their relation to furniture.

 Shape Recall Recalling visually similar charac- ters, known as "形似字"(xíng sì zì), is a critical aspect of the recalling system as it leverages the shared structural features of characters to enhance the accuracy of corrections. Such as"句"(means sentence) and"甸"(means a suburb or field). Both 739 have the "<del>寸</del>" component but are used differently.

## <span id="page-10-0"></span>C Derivation of Equation 1

 Given  $X = \{x_1, x_2, ..., x_n\}$  as input sentence and *P*  $Y = \{y_1, y_2, ..., y_n\}$  as output sentence. Also, *C*  represents the whole recall set for this sentence. Then use  $P(C|X)$  and  $P(Y|X, C)$  as knowledge recall and knowledge selection model. We have

$$
\sum_{C} P(C|X) \cdot P(Y|X,C) = \sum_{C} P(Y,C|X)
$$

$$
= P(Y|X)
$$

(10)

which gives [\(1\)](#page-1-1).

### <span id="page-10-1"></span>D Experimental Details

<span id="page-10-2"></span>

Figure 5: Cosine Similarity score from the character confusion set. The embedding vector is from the ReLM embedding layer and the representation vector is from the last layer of ReLM. Get one character, then compute the cosine similarity with its confusion set and take the average, it can be observed that the confusion set of representations is closer, compared to the embeddings. There is a 0.70 average shift between embedding and representation.

<span id="page-11-1"></span>

Method	LAW			<b>MED</b>			ODW		
	<b>Pre</b>	Rec	F1	Pre	Rec	F1	Pre	Rec	F1
$\mathrm{ResC}_{word_{50}}$	91.9	93.7	92.8	86.7	87.9	87.3	89.7	87.3	88.5
$\mathrm{ResC}_{word_{100}}$	91.3	94.9	93.1	88.5	89.3	88.9	88.8	88.8	88.8
${\rm ReSC}_{word_{150}}$	93.0	93.7	93.4	89.7	89.7	89.7	88.9	89.2	89.0
$\mathrm{ResC}_{word_{200}}$	93.1	95.7	94.4	88.3	91.6	90.0	90.3	89.6	90.0

<span id="page-11-0"></span>Table 7: The experiment of different candidate size, whereas  $ResC_{word_{50}}$  represents that the maximum recall set size for a single character is 50.

Case1	
Input	冰蓝容易引起口腔疾病
	Ice blue can easily cause oral diseases.
Target	槟榔容易引起口腔疾病
	Betel nut can easily cause oral diseases.
ReLM	冰榔容易引起口腔疾病
	Ice lang can easily cause oral diseases.
<b>ChatGPT</b>	冰冷饮料容易引起口腔疾病
	Ice beverage can easily bring oral diseases.
Rspell	槟蓝容易引起口腔疾病
	Penta blue can easily cause oral diseases.
$Resc_{word}$	槟榔容易引起口腔疾病
	Betel nut can easily cause oral diseases.
Case2	
Input	融资困难制肘发展
	Financing difficulties create complications for development.
Target	融资困难掣肘发展
	Financial constraints are impeding development.
ReLM	融资困难制肘发展
	Financing difficulties create complications for development.
<b>ChatGPT</b>	融资困难制约发展
	Financing difficulties restrict development.
	融资困难制约发展
Rspell	Financing difficulties restrict development.
	融资困难掣肘发展
$ResC_{word}$	Financial constraints are impeding development.
Case3	
	推进平台进击相关市场
Input	Advancing the platform to penetrate related markets.
	推进平台经济相关市场
Target	Promote platform economy-related markets.
ReLM	推进平台进济相关市场
	Promote platforms to enter relevant markets.
<b>ChatGPT</b>	推进平台进攻相关市场
	Promote platforms to fight relevant markets.
	推进平台进积相关市场
Rspell	Promote the platform to enter relevant markets.
$ResC_{word}$	推进平台经济相关市场
	Promote platform economy-related markets.

Table 8: Case Study of different models, where the red sections indicate the mistakes, and the green sections represent the correct character.

<span id="page-12-0"></span>

Table 9: Different prompts on ChatGPT and Baichaun2. In the end, the results brought by prompt9 were the most ideal one.