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# Revisiting Populations in Multi-Agent Communication

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## Abstract

1       Despite evidence from sociolinguistics that larger groups of speakers tend to  
2       develop more structured languages, the use of populations has failed to yield  
3       significant benefits in emergent multi-agent communication. In this paper we  
4       reassess the validity of the standard training protocol and illustrate its limitations.  
5       Specifically, we analyze population-level communication at the equilibrium in  
6       sender-receiver Lewis games. We find that receivers co-adapt to senders they  
7       are interacting with, which limits the effect of the population. Informed by this  
8       analysis, we propose an alternative training protocol based on “partitioning” agents.  
9       Partitioning isolates sender-receiver pairs, limits co-adaptation, and results in a new  
10      global optimization objective where agents maximize (1) their respective “internal”  
11      communication accuracy and (2) their alignment with other agents. In experiments,  
12      we find that agents trained in partitioned populations are able to communicate  
13      successfully with new agents which they have never interacted with and tend to  
14      develop a shared language. Moreover, we observe that larger populations develop  
15      languages that are more compositional. Our findings suggest that scaling up to  
16      populations in multi-agent communication *can be* beneficial, but that it matters  
17      *how* we scale up.

## 18   1 Introduction

19    Uncovering the mechanisms that underlie our ability to communicate using language is an important  
20    stepping stone towards developing machine learning models that are capable of coordinating and  
21    interacting via natural language. Over the last few years, there has been increasing interest in  
22    simulating the emergence of language using artificial agents trained with reinforcement learning to  
23    communicate to achieve a cooperative task [33]. Typically, agents are trained to perform a variant  
24    of the Lewis signaling game [37, 51] wherein a *sender* emits a message describing an object and a  
25    *receiver* attempts to reconstruct the object based on the description. This line of work has applications  
26    to semi-supervised learning applied to concrete tasks such as image captioning or representation  
27    learning [36, 18].

28    Most previous research has focused on communication between a single pair of agents. However,  
29    there is mounting evidence that the communication protocols developed in this restricted setting  
30    become highly specialized and exhibit properties that are at odds with those found in human languages  
31    [4, 8]: for example agents are able to solve the task successfully while using languages that are not  
32    compositional [32, 9]. As a possible solution, a growing body of work is advocating for scaling  
33    up the emergent communication literature to populations of more than two agents communicating  
34    simultaneously [24, 30, 49, 10]. Indeed, there is substantial evidence within the language sciences  
35    that population dynamics shape the language structure [47, 42]. In spite of this fact, several negative  
36    results have been obtained, showing that training agents in population yield marginal benefits without  
37    explicit pressure towards *e.g.* population diversity [49] or emulation mechanisms [10].

38 In this paper, we call into question the way such populations are trained. By studying a simple  
 39 referential game, we evaluate populations on two desirable features observed in natural language:

- 40 • Agents are able to communicate with new partners within the same population [23]
- 41 • Larger populations tend to develop more structured languages [42].

42 We provide evidence that populations of artificial agents do not always possess these features (as  
 43 also attested by previous work, *e.g.* Kim and Oh [30], Chaabouni et al. [10]). To shed light on this  
 44 phenomenon, we analyze the behaviour of agents in a population at the equilibrium. We find that with  
 45 the standard training procedure, the functional form of the objective is the same as that of a single pair  
 46 of agents, due to receivers co-adapting to their training partners. As our main contribution, we propose  
 47 an alternative training procedure which *partitions* sender-receiver pairs and limits co-adaptation of  
 48 receiver agents. We show that this new training paradigm maximizes a different objective at the  
 49 population level. In particular, it explicitly promotes mutual-intelligibility across different agents.

50 In experiments, we find that agents trained in partitioned populations are able to communicate  
 51 successfully with new communication partners with which they have never interacted during training,  
 52 and that languages spoken by various agents tend to be similar to one another. In addition, we observe  
 53 that (1) languages developed in partitioned populations tend to be more compositional and (2) there  
 54 is a population size effect whereby larger populations develop more structured languages. Our results  
 55 show that there are multiple ways to generalize from single agent pairs to larger populations, and that  
 56 these design choices matter when it comes to studying the emergent language.

## 57 2 Communication Game

58 We study communication in referential games, a variant of the Lewis signaling game [37] proposed  
 59 by Lazaridou et al. [34]. The game proceeds as follows: during each round, a sender agent  $\pi$  observes  
 60 an object  $x \in \mathcal{X}$  (*e.g.*, an arbitrary categorical entity, or a natural images) sampled from input  
 61 space  $\mathcal{X}$  according to distribution  $p$  and generates a message  $m \sim \pi(\cdot | x)$ . Messages consist of  
 62 variable length sequences of tokens picked from a discrete vocabulary  $V$ . Note that the tokens  
 63 themselves are arbitrary and meaningless (typically they are represented as numbers from 1 to  $|V|$ ).  
 64 A receiver agent  $\rho$  then observes message  $m$  and must predict the original object from among a set of  
 65 candidates  $\mathcal{C} = \{x, y_1, \dots, y_{|\mathcal{C}|-1}\}$  containing  $x$  and  $|\mathcal{C}| - 1$  distractors, where each distractor  $y$  is  
 66 sampled uniformly without replacement from the input space excluding the original object,  $\mathcal{X} \setminus \{x\}$ .  
 67 Concretely, this is implemented by calculating a score  $f(y, m)$  for each candidate  $y$  and defining  
 68 the probability of a candidate conditioned on the message  $\rho(\cdot | m, \mathcal{C})$  as  $\frac{e^{f(x,m)}}{\sum_{y \in \mathcal{C}} e^{f(y,m)}}$ . Based on the  
 69 receiver’s success, the sender agent receives a reward  $R(x, \rho(\cdot | m, \mathcal{C}))$ .

70 In practice, both senders and receivers are implemented as neural networks  $\pi_\theta$  and  $\rho_\psi$  with parameters  
 71  $\theta$  and  $\psi$  estimated by gradient descent. The sender is trained to maximize its expected reward using  
 72 the REINFORCE algorithm [57], while the receiver maximizes the expected log-likelihood of  
 73 identifying the original object,  $\log \rho_\psi(x | m, \mathcal{C})$  (also known as the InfoNCE objective; Oord et al.  
 74 [45]). Denoting as  $\mathbb{E}_{x \sim p}$  the expectation over  $x$  sampled from  $p$ , the corresponding training objectives  
 75 are:

$$J_s(\theta) = \mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi_\theta(\cdot | x)} \mathbb{E}_{\mathcal{C} \sim p} R(x, \rho_\psi(\cdot | m, \mathcal{C})) \quad (1)$$

$$J_r(\psi) = \mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi_\theta(\cdot | x)} \mathbb{E}_{\mathcal{C} \sim p} \log \rho_\psi(x | m, \mathcal{C}) \quad (2)$$

### 76 2.1 Population Level Training

77 The two-player referential game can be generalized to larger populations of agents [41, 10]. In  
 78 the most general case, we consider a population of  $N_s$  senders and  $N_r$  receivers that are linked by  
 79 a bipartite *communication graph*  $G$  defining connections between senders and receiver ( $\pi_{\theta_i}, \rho_{\psi_j}$ )  
 80 [24, 30]. At training time, sender-receiver pairs are repeatedly sampled and trained to perform a  
 81 round of the game. Importantly, only agent pairs that are connected in the communication graph are  
 82 sampled. Throughout this paper, we will refer to this type of training as **Standard** training.

83 With this training procedure, agents are trained to maximize their communicative success with all  
 84 their neighbors in the communication graph. Let  $\mathcal{N}_G(i)$  refer to the neighbors of the  $i$ -th node in  
 85 the graph, and  $J_{s,i \rightarrow j}$  (respectively  $J_{r,i \rightarrow j}$ ) denote the objective of  $\pi_{\theta_i}$  (respectively  $\rho_{\psi_j}$ ) in the

86 pairwise communication from sender  $i$  to receiver  $j$ . We can write the overall objective for sender  $i$   
 87 (and receiver  $j$ , respectively) as:

$$J_{s,i}(\theta_i) = \frac{1}{|\mathcal{N}_G(i)|} \sum_{j \in \mathcal{N}_G(i)} J_{s,i \rightarrow j}(\theta_i) \quad \text{and} \quad J_{r,j}(\psi_j) = \frac{1}{|\mathcal{N}_G(j)|} \sum_{i \in \mathcal{N}_G(j)} J_{r,i \rightarrow j}(\psi_j). \quad (3)$$

88 At test time, the population is evaluated by averaging the referential accuracy across all possible  
 89 sender-receiver pairings. Following previous work, in this paper we focus on populations with an  
 90 equal number  $N := N_s = N_r$  of senders and receivers, meaning that there are up to  $N^2$  possible  
 91 pairings.

## 92 2.2 What does Population-level Training Optimize?

93 To shed light on the differences between training a single agent pair and training a population of  
 94 agents, we analyze the objective optimized by the population. Inspired by [1]’s analysis in the  
 95 two-player case, we study the behaviour of the population at the optimum, that is when senders and  
 96 receivers have reached a Nash equilibrium [46].

97 In this section, we make the simplifying assumption that  $\mathcal{C} = \mathcal{X}$ . In other words, receiver agents must  
 98 pick the correct candidate out of all possible objects in  $\mathcal{X}$ . This allows us to remove the conditioning  
 99 on  $\mathcal{C}$  and write  $\rho_\psi(x | m, \mathcal{C}) = \rho_\psi(x | m)$ . We make this simplification to reduce clutter in notations.  
 100 Nevertheless, our key observations still hold for  $\mathcal{C} \neq \mathcal{X}$  (see Appendix B for a detailed discussion).

101 At a Nash equilibrium, the optimal receiver parameters  $\psi_j^*$  satisfy

$$\rho_{\psi_j^*} = \arg \max_{\psi_j} J_{r,j}(\psi_j) = \arg \max_{\psi_j} \frac{1}{|\mathcal{N}_G(j)|} \sum_{i \in \mathcal{N}_G(j)} J_{r,i \rightarrow j}(\psi_j). \quad (4)$$

102 Assuming that receiver  $\rho_{\psi_j^*}$  has high enough capacity, and training is able to reach the global optimum,  
 103 the solution of the optimization problem in Equation 4 has an analytical solution  $\rho_{\psi_j^*}$  which can be  
 104 written as a function of  $\pi_{\mathcal{N}_G(j)}^*(m | x) := \frac{1}{|\mathcal{N}_G(j)|} \sum_{i \in \mathcal{N}_G(j)} \pi_{\theta_i^*}(m | x)$ , the mixture of all senders  
 105 communicating with receiver  $j$ :

$$\rho_{\psi_j^*}(x | m) = \pi_{\mathcal{N}_G(j)}^*(x | m) = \frac{\pi_{\mathcal{N}_G(j)}^*(m | x)p(x)}{\mathbb{E}_{y \sim p} \pi_{\mathcal{N}_G(j)}^*(m | y)}.$$

106 In other words,  $\rho_{\psi_j^*}$  is the posterior associated with  $\pi_{\mathcal{N}_G(j)}^*$  (full derivation in appendix A).

107 An important implication of this result is that when the population graph is fully connected (all  
 108 senders are connected to all receivers), each receiver converges to the same optimum  $\pi^*(x | m) =$   
 109  $\frac{\sum_{i=1}^n \pi_{\theta_i}(m|x)p(x)}{\mathbb{E}_{y \sim p} \sum_{i=1}^n \pi_{\theta_i}(m|x)}$ , the posterior of the mixture of all senders in the population. Plugging this back  
 110 into each sender’s objective, we have

$$J_{s,i}(\theta_i^*) = \mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi_{\theta_i^*}(\cdot | x)} R(x, \pi^*(\cdot | m))$$

111 Summing across all senders, we can rewrite the global objective optimized by the senders as

$$\max_{\theta^*} \mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi^*} R(x, \pi^*(\cdot | m)). \quad (5)$$

112 In other words, at the equilibrium, the population maximizes the expected reward of the “sender  
 113 ensemble”  $\pi^*$ , rather than that of individual agents  $\pi_{\theta_i^*}$ : the objective of a population  $N$  agents is  
 114 functionally the same irrespective of  $N$ . We postulate that this indifference to the population size  
 115 may account for the surprising lack of effect of larger populations observed in some previous work  
 116 [49, 10]. Differences in behaviour must be attributed to effects stemming from training dynamics  
 117 (e.g. it becomes more difficult for receivers to learn the posterior  $\pi^*(x | m)$ ), or be imposed through  
 118 extraneous modifications of the population objective (for example explicit imitation components;  
 119 Chaabouni et al. [10]).

120 A second observation is that there is no direct pressure for agents that communicate at training time  
 121 to develop the same language. Indeed, it is entirely possible that all senders develop different but  
 122 non-overlapping languages: it suffices that no two senders communicating with a shared receiver  
 123 use the same message  $m$  to describe a different object. In this case receivers can simply learn their  
 124 neighboring sender’s languages and there is no need for the senders to converge to a unified language.

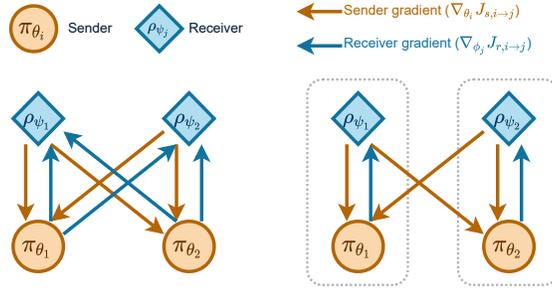


Figure 1: In the **standard** setting (left hand side), both receivers (in blue) are trained by maximizing their discrimination objective with respect to both senders. With **partitioning**, receiver  $\rho_{\psi_1}$  (resp.  $\rho_{\psi_2}$ ) is only trained to maximize its communication objective with sender  $\pi_{\theta_1}$  (resp.  $\pi_{\theta_2}$ )

### 125 3 Partitioning Agents

126 A key difference between the usual population setting and populations of humans in laboratory  
 127 experiments is that agents are not usually split into “senders” and “receivers”. Rather, each participant  
 128 in the experiment assumes both a sender and receiver role [21]. Our hypothesis is that, counter to  
 129 what is customary in the emergent communication literature, tying senders and receivers is key in  
 130 surfacing useful population-level dynamics in multi-agent communication.

131 To operationalize this sender-receiver coupling, we identify an “agent” as a sender-receiver pair.  
 132 During training, we only train receiver  $\rho_{\psi_i}$  with its associated sender  $\pi_{\theta_i}$ . In other words,  $J_{r,i}(\psi_i) :=$   
 133  $J_{r,i \rightarrow i}(\psi_i)$ . In doing so, we “partition” the agents by preventing receiver  $i$  from co-adapting to other  
 134 senders  $j \neq i$ . This procedure is illustrated in Figure 1. Note that senders can nevertheless still  
 135 train with rewards from neighboring receivers, and so communication across agents can still emerge.  
 136 Importantly, partitioning prevents receivers from learning to recognize multiple languages, as they  
 137 are now only trained on messages emitted by a single sender.

138 Following a similar analysis as Section 2.2, we derive that at the optimum, receiver  $\rho_{\psi_i^*}(x | m)$  now  
 139 takes the form of the posterior associated with its respective sender,  $\pi_{\theta_i^*}(x | m) = \frac{\pi_{\theta_i^*}(m|x)p(x)}{\mathbb{E}_{y \sim p} \pi_{m|y}}$   
 140 (derivation in Appendix A). We can thus write the population-level objective at the equilibrium as

$$\frac{1}{N} \sum_{i=1}^N \left[ \underbrace{\mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi_{\theta_i^*}(\cdot|x)} R(x, \pi_{\theta_i^*}(\cdot | m))}_{\text{Internal communication}} + \underbrace{\sum_{j \in \mathcal{N}_G(i)} \mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi_{\theta_j^*}(\cdot|x)} R(x, \pi_{\theta_j^*}(\cdot | m))}_{\text{Mutual intelligibility}} \right]. \quad (6)$$

141 Note that the functional form of the objective can now be decomposed into two parts: an “internal  
 142 communication” objective which takes the same form as that of a single pair of agents, and a “mutual  
 143 intelligibility” objective which enforces that neighboring agents are able to communicate successfully.  
 144 In experiments, we show that this explicit pressure towards mutual intelligibility promotes the  
 145 emergence of a single language within the population, which in turn enables agents to communicate  
 146 with new partners outside of their training neighborhood.

## 147 4 Experimental Setting

### 148 4.1 Datasets

149 We perform experiments on two datasets: a simple, synthetic “attribute/values” dataset and a more  
 150 realistic image dataset.

151 **Attribute/Values** In this dataset, each object is represent by a collection of abstract “attributes”.  
 152 Specifically, each input  $x$  is a vector of 4 attributes, each of which can take 10 total values. This results  
 153 in  $10^4$  total attribute/value combinations [32, 9]. In each setting we hold out 1,000 combinations  
 154 to be used as a validation, and 1,000 more for use as a test set. We can thus ensure that we are  
 155 evaluating the agents’ ability to generalize to unseen combinations of attributes.

156 **ImageNet** In addition to toy objects, we perform experiments with referential games based on more  
 157 realistic objects. Following Chaabouni et al. [10], we use the ImageNet [17] dataset of natural images.  
 158 The dataset consists of about 1.4M training images collected on the internet and annotated for 1,000  
 159 labels from the WordNet database [40]. Images are first encoded as 2048-sized real-valued vectors  
 160 with a (frozen) ResNet pre-trained with BYOL [22] before being passed to sender and receivers.

## 161 4.2 Game Architecture

162 Both sender and receiver agents are based on 1 layer LSTMs [26] with embedding and hidden  
 163 dimensions of size 256. Specifically, the sender first encodes the object  $x$  into a vector of size 256,  
 164 which is concatenated to the input of the LSTM. At each step, the output of the LSTM cell is passed  
 165 through a fully connected layer to produce logits of size  $|V|$ . A softmax function is then applied  
 166 to obtain normalized probabilities over the vocabulary. During training, messages are generated  
 167 by sampling from the distribution whereas at test time we generate messages deterministically via  
 168 greedy decoding. In both cases, generation stops whenever a special “<EOS>” is generated, or when  
 169 the number of tokens reaches a fixed limit  $L$ .

170 The receiver encodes the message with an LSTM encoder, the output of which is the fed into a  
 171 fully connected layer to yield a vector of size 512. The candidate objects  $\mathcal{C}$  are then scored by  
 172 computing the dot product of this vector with a 512-dimensional encoding of each candidate. The  
 173 conditional distribution over candidates is then obtained by taking a softmax. We set the reward  
 174 function for the sender to the log-likelihood assigned by the receiver to the correct candidate,  
 175  $R(x, \rho_\psi(\cdot | m)) = \log \rho_\psi(x | m)$ .

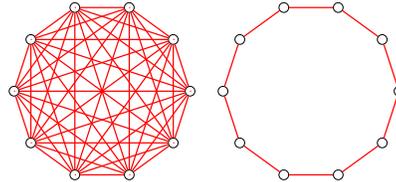
176 Throughout all experiments, we set the vocabulary size  $|V|$  to 20 and the maximum length of the  
 177 messages,  $L$ , to 10. This means that the communication channel used by the agents has a capacity  
 178 of about  $20^{10}$  which ensures that there is no communication bottleneck (the size of the channel is  
 179 several orders of magnitude larger than the size of our datasets). Our implementation, based on the  
 180 EGG toolkit [29], will be open-sourced upon de-anonymization.

## 181 4.3 Population training

182 We train populations following the procedure outlined by  
 183 Chaabouni et al. [10]: for each minibatch of data, we  
 184 sample  $K$  pairs from the population (uniformly among  
 185 the pairs linked in the communication graph). Each pair  
 186 plays an episode of the game, and the agents are updated  
 187 simultaneously following the gradients of their respective  
 188 objectives. We take  $K = \max(10, N)$  to ensure that each  
 189 agent plays the game at least once at every step on aver-  
 190 age. This procedure needs to be modified for partitioned  
 191 populations: since receiver  $j$  is only with its respective  
 192 sender instead of with all of its neighbors, there is now  
 193 only a  $\frac{1}{|N_G(j)|}$  chance that receiver  $j$  will be updated every  
 194 step (the probability that the pair  $(j, j)$  is sampled). For  
 195 larger populations, especially those that are fully-connected, this dramatically slows down training as  
 196 receivers are updated very infrequently. To address this issue, we modify the procedure as follows:  
 197 for every sampled agent pair  $(\pi_{\theta_i}, \rho_{\psi_j})$ , we calculate both  $J_{s,i \rightarrow j}$  and  $J_{r,i \rightarrow i}$  and update both  $\pi_{\theta_i}$  and  
 198  $\rho_{\psi_j}$ . Note that this necessitates calculating both  $\rho_{\psi_j}(x | m, \mathcal{C})$  and  $\rho_{\psi_i}(x | m, \mathcal{C})$  and therefore we  
 199 incur a small computational overhead. However we only observe a  $\sim 5\%$  increase in training time  
 200 due to the fact that we are back-propagating through only one of the two receivers,  $\rho_{\psi_i}(x | m, \mathcal{C})$ .  
 201 With this modification, we recover the property that each agent (sender or receiver) is updated once  
 202 every step on average.

203 In all experiments we train with a batch size of 1024 with the Adam optimizer [31] using a learning  
 204 rate of 0.001 for the attribute/value dataset and 0.0001 for Imagenet. The other parameters are set to  
 205  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$  and  $\varepsilon = 10^{-8}$ . We apply  $\ell_2$  regularization with a coefficient of  $10^{-5}$ .

206 We systematically augment the sender objectives with an entropy maximizing term, which has  
 207 been found to encourage exploration [58]. The coefficient for this entropy term is set to 0.1 in all



(a) Fully-connected (b) Circular

Figure 2: Example of communication graphs used in this paper

Table 1: Accuracies with training partners and new partners on both datasets. Numbers are reported with standard deviation across all pairs for 3 independent experiments

	ImageNet		Attribute/Values	
	Standard	Partitioned	Standard	Partitioned
Training partners	97.09 $\pm$ 1.10	99.75 $\pm$ 0.08	99.88 $\pm$ 0.15	99.81 $\pm$ 0.22
New partners	5.41 $\pm$ 13.57	96.24 $\pm$ 3.25	7.81 $\pm$ 18.28	40.37 $\pm$ 29.44

Table 2: Language similarity between training partners and new partners on both datasets. Numbers are reported with standard deviation across all pairs for 3 independent experiments

	ImageNet		Attribute/Values	
	Standard	Partitioned	Standard	Partitioned
Training partners	0.28 $\pm$ 0.07	0.40 $\pm$ 0.02	0.28 $\pm$ 0.05	0.36 $\pm$ 0.01
New partners	0.22 $\pm$ 0.19	0.37 $\pm$ 0.15	0.23 $\pm$ 0.19	0.31 $\pm$ 0.17

208 experiments. To reduce the variance of the policy gradient in REINFORCE, we subtract a baseline  
 209 computed by taking the average reward within a given mini-batch for each pair [54].

210 We evaluate the population every epoch (every 5 epochs for the Attribute/Value dataset) on the  
 211 validation set. We only evaluate on up to 100 unique pairs sampled uniformly within the population,  
 212 this time without consideration for the communication graph. We train for a fixed number of epochs,  
 213 selecting the best model based on the average validation accuracy across all evaluation pairs.

## 214 5 Communication with New Partners

215 In our first set of experiments, we evaluate the ability of agents trained in populations to communicate  
 216 with partners they haven’t interacted with during training.

### 217 5.1 Circular Populations

218 Specifically, we study “circular” populations of agents arranged on a ring lattice. Each agent (sender-  
 219 receiver pair)  $i$  is only trained with neighboring agents  $i - 1, \dots, i + 1$  and the graph is cyclical (see  
 220 Figure 2b). We choose this type of population because it is an extreme case of a population where  
 221 each agent has the same, minimal amount of neighbors (two), yet there is still a path between any two  
 222 agents. In this context, *training partners* are sender-receiver pairs that are connected in the graph and  
 223 have interacted during the training phase whereas *new partners* refers to pairs that have not interacted  
 224 during training.

225 We report results along two metrics:

- 226 • **Communication Accuracy** of sender/receiver pairs on an evaluation set. This measures how  
 227 successful the pair is in communicating.
- 228 • **Language Similarity** between senders. This metric (also called synchronization in Rita et al. [49])  
 229 is calculated as  $1 - \delta_{i,j}$ , where  $\delta_{i,j}$  is the normalized edit distance between messages output by  
 230 two senders, averaged across all objects in our evaluation set.

231 We report these metrics for both training partners and new partners. Note that high communication  
 232 accuracy does not always entail similar languages: it is possible for the receivers to achieve high  
 233 accuracy despite all senders sending different messages for any given object (it is only necessary for  
 234 a given message to unambiguously refer to one object across senders).

### 235 5.2 Partitioning Enables Successful Zero-Shot Communication

236 In Table 1 and 2, we report accuracies and similarities for circular populations of 20 sender-receiver  
 237 pairs trained on ImageNet and the Attribute/Values dataset. All metrics are calculated on the test set  
 238 and averaged across 3 independent experiments.

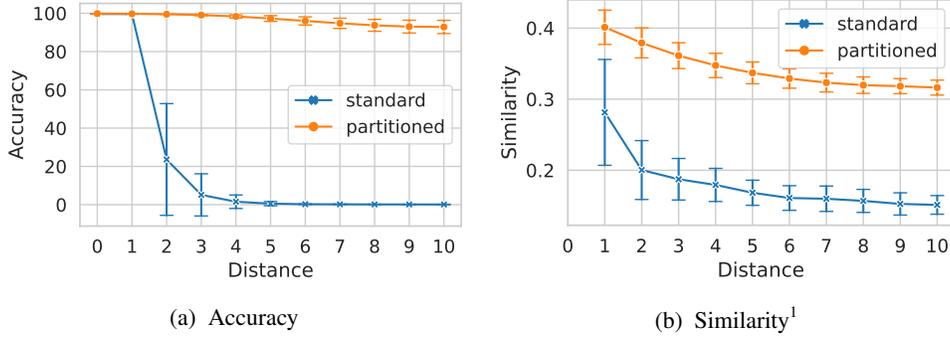


Figure 3: Accuracy and language similarity as a function of the distance between two agents in the communication graph.

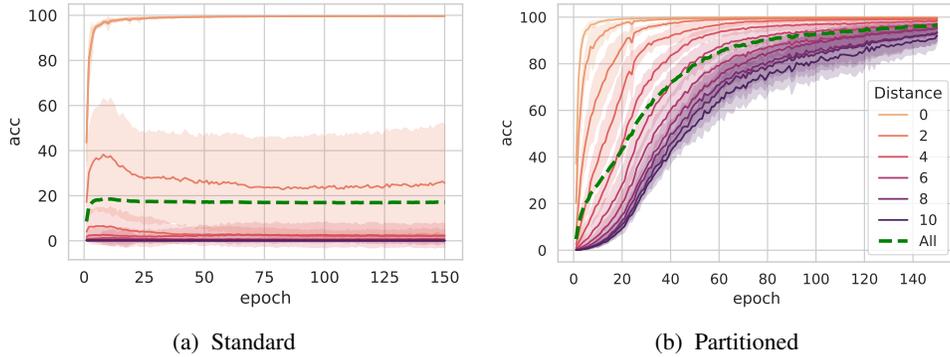


Figure 4: Evolution of validation accuracy during training across agent pairs at various distances in the communication graph. Results are aggregated over all agent pairs and 3 populations.

239 We observe that in populations following the standard training paradigm (**Standard**), there is a stark  
 240 discrepancy between training and new partners. Indeed, on both datasets the accuracy with training  
 241 partners reaches a very high value, above 95%. Yet, the accuracy when agents communicate with  
 242 new partners drops down to less than 10%. On the other hand, in **Partitioned** populations, agents  
 243 reach a much higher accuracy with non-neighbors, up to 96% on ImageNet and 40%. A similar trend  
 244 holds for language similarity.

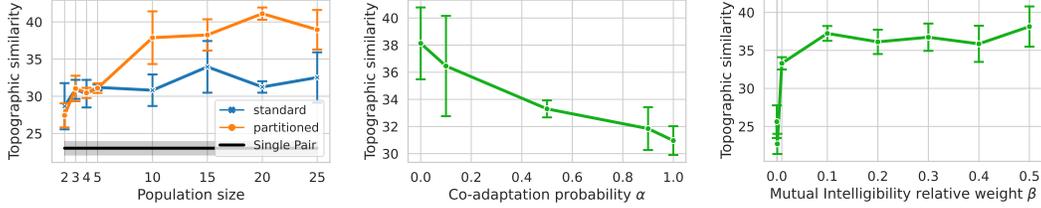
245 Note that all metrics on new partners exhibit high standard deviation. An explanation is that among  
 246 non-neighboring pairs there is a different behaviour depending on how far the two agents are in the  
 247 population. This is verified in Figure 3, which displays a breakdown as a function of the distance  
 248 between two agents in the communication graph (on ImageNet). We find that without partitioning,  
 249 accuracy drops off sharply to close to 0 for agents at a distance  $\geq 2$ , whereas it decreases almost  
 250 linearly with the distance in the partitioned case, down to about 95% for the most distant agents.

### 251 5.3 Training dynamics

252 We further investigate the evolution of accuracies during training. In Figure 4, we plot the evaluation  
 253 accuracies of both standard and partitioned populations broken down by distance between pairs,  
 254 focusing on the ImageNet dataset. Note that there are two training phases in the standard case. Up to  
 255 epoch  $\approx 10$ , the accuracy for all training pairs increases, after which agents *over-fit* to their training  
 256 partners (distances 0 and 1) and the accuracy on other pairs decreases to a plateau.

257 On the other hand, Figure 4b illustrates the pressure for mutual-intelligibility in partitioned popula-  
 258 tions: as accuracy between training pairs reaches close to 99% accuracy (around epoch 20), accuracies  
 259 across distant pairs increases rapidly before plateauing above 90%. In fact, our results show that the  
 260 most distant accuracies are still increasing after 150 epochs, albeit very slowly.

<sup>1</sup>By construction, the similarity of a sender with itself (corresponding to a distance of 0) is always one. We omit this value from the figure to better illustrate the trends for distance  $\geq 1$ .



(a) Topographic similarity as a function of population size on an attribute/value communication game. (b) Topographic similarity with varying degrees of partitioning (populations of size 10). (c) Topographic similarity when ablating the mutual-intelligibility term (populations of size 10).

Figure 5: Influence of partitioning on the topographic similarity of the emergent languages.

## 261 6 Partitioned Population Develop More Compositional Languages

262 In this section, we investigate the effect of partitioning on the structure of the language, with a focus  
 263 on *compositionality*.

### 264 6.1 Measuring Compositionality

265 A language is said to be compositional when the meaning of a whole utterance can be systematically  
 266 deduced from the meaning of its components (*i.e.* words). The notion of compositionality is widely  
 267 construed to underlay the near infinite productivity of human languages [55].

268 A common metric for measuring compositionality in emergent languages is the *topographic similarity*  
 269 [5, 35]. Topographic similarity captures the intuition that a compositional language will map similar  
 270 “meanings” to similar messages: the phrase “a red bird” is more similar to the phrase “a blue bird”  
 271 than to “a powerful computer”. In practice, the topographic similarity is computed by measuring the  
 272 Spearman rank correlation coefficient [52] between (1) the pairwise distances across all objects and  
 273 (2) the pairwise distance across all messages.

### 274 6.2 Effect of Population Size on Compositionality

275 We run experiments on our Attribute/Values dataset, with both standard and partitioned populations  
 276 that are fully-connected (see Figure 2a). Population sizes range from 2 to 25 sender-receiver pairs.  
 277 We compute topographic similarity using the Hamming distance in the object space (*i.e.* the distance  
 278 between two objects is the number of attributes in which they differ) and the normalized edit distance  
 279 between messages.

280 In Figure 5a, we observe that while standard population-level training does increase the topographic  
 281 similarity of the language overall, population size has very little effect: populations of sizes 3 and 20  
 282 both reach about the same value of 30 on average. On the other hand, partitioning greatly increases  
 283 the effect of population size on compositionality: populations of size 20 have a significantly higher  
 284 topographic similarity than populations of size 5, with a  $\approx 10$  points difference.

### 285 6.3 Co-adaptation is Responsible for the Decrease in Compositionality

286 Up until this point, we have described partitioning (or lack thereof) as a binary choice. However, it is  
 287 possible to partition a population only partially, by allowing receiver  $j$  to train with senders  $i \neq j$   
 288 occasionally with probability  $\alpha > 0$ . In doing so, the optimal receiver now becomes the posterior  
 289 associated with a mixture between  $\pi_{\theta_i^*}(m | x)$  and  $\pi^*(m | x)$  (see Appendix A for the derivation). If  
 290  $0 < \alpha < 1$ , receivers are now optimizing for a different objective (as in partitioned populations), but  
 291 some amount of co-adaptation is still allowed.

292 We perform this experiment on the Attribute/Values dataset with a fully connected population of  
 293 size 10, varying the degree of co-adaptation  $\alpha$  ranging in  $\{0, 0.1, 0.5, 0.9, 1\}$ .  $\alpha = 0$  corresponds  
 294 to partitioned training whereas  $\alpha = 1$  is equivalent to standard training. All populations converge  
 295 to  $> 99\%$  accuracy. However, in Figure 5b we find that topographic similarity drops as soon as we  
 296 introduce minimal amounts of co-adaptation ( $\alpha = 0.1$ ) and decreases steadily to the level of standard  
 297 populations as  $\alpha$  grows to 1. This further corroborates our hypothesis that reducing co-adaptation

298 promotes the emergence of a more structured language, and that eliminating it altogether (in a  
299 partitioned population) yields the best results.

## 300 6.4 Importance of Mutual Intelligibility

301 Recall that the objective of a partitioned population at the equilibrium (Equation 6) can be decomposed  
302 in two terms: an “internal communication” corresponding to the single agent pair objective and a  
303 “mutual intelligibility” term which encourages senders to align their languages. Importantly, the latter  
304 is the only element that separates a partitioned population from a collection of isolated agents.

305 To measure its effect on the compositionality of the emergent language, we train fully connected  
306 populations of size 10 and decrease the relative weight of the mutual intelligibility term. This is  
307 implemented by making the pair  $(\pi_{\theta_i}, \rho_{\theta_i})$  more likely to be sampled than other pairs  $(\pi_{\theta_i}, \rho_{\theta_j})$ ,  
308  $j \neq i$  by a factor  $\times \frac{1-\beta}{\beta}$ . We let  $\beta$  range from 0.5 (partitioned population) to 0.0 (collection of  
309 isolated sender-receiver pairs). In Figure 5c, we find that emergent languages retain high topographic  
310 similarity even at small  $\beta$ , and the sharp drop-off occurs only when  $\beta$  is very close to 0. This confirms  
311 that the mutual intelligibility term exerts a strong pressure towards compositionality. We investigate  
312 the evolution of the two terms during training in Appendix C.

## 313 7 Related Work

314 There is a rich history of modeling the emergence of language as the solution to a cooperative game  
315 that can be traced back to functional theories of language [59, 2, 13]. With a regain of interest  
316 for the study of language evolution [15, 12], a rich literature has developed around computational  
317 simulations of the emergence of language based on simple language games [37, 51, 3, 6]. Examples  
318 include studying evolutionary models of the emergence of grammar [44], the influence of cultural  
319 transmission [5], game theoretical considerations [27] or linguistic diversity [39] among others.

320 The recent success of deep learning in natural language processing has spurred interest in studying  
321 signaling games between deep neural network trained with reinforcement learning to solve a signaling  
322 game [34, 20]. Several follow-ups have taken this idea further by extending it to more complex games  
323 or environment [53, 25, 28, 16] or by adding an element of competition [50, 43] or negotiation [7] or  
324 even explicit pressure towards certain desirable properties [32, 11, 38, 48]. In parallel, several efforts  
325 have been made to understand the properties of the emergent languages [4, 8, 9].

326 Within this growing literature, multiple authors have explicitly studied the use of populations of more  
327 than two agents. Various works have argued for augmenting populations with an explicit pressure  
328 towards more structure languages, via *e.g.* generational transmission [14], adversarial regularization  
329 [56], varying learning speeds [49] or imitation learning and voting [10]. Although the focus is often  
330 on fully-connected populations, some authors have also explored more complex communication  
331 graphs, for the purpose of modeling contact linguistics [24] or the effect of social network structure  
332 on the language [19]. Recent work from Kim and Oh [30] is perhaps closest to our own: the authors  
333 study the effect of population size and connectivity in the standard training paradigm. In contrast, the  
334 purpose of this paper is to highlight the impact of the training procedure on these very effects.

## 335 8 Conclusion

336 Empirical findings in socio-linguistics suggest that population dynamics should help in simple  
337 sender-receiver communication games. In this paper, we observed that populations trained by naively  
338 extending the simple 1-1 protocol to  $N \times N$  agent pairs fail to exhibit some of the properties that  
339 are observed in human populations. Motivated by an analysis of populations at the equilibrium, we  
340 described an alternative training paradigm, based on agents *partitioning* to reduce co-adaptation.  
341 Empirically, we find that partitioning enables us to recover some of the aforementioned properties.

342 Our findings call attention to the fact that there is more than one way to generalize two single to many  
343 agents, and simple design choices can have a great impact on the training dynamics and ultimately the  
344 effect of population on the emergent language. Beyond emergent communication, we hope that this  
345 observation can inspire similar work in other cooperative multi-agent problems where co-adaptation  
346 between agents may counteract population effects.

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## 508 Checklist

- 509 1. For all authors...
- 510 (a) Do the main claims made in the abstract and introduction accurately reflect the paper’s  
511 contributions and scope? [Yes]
- 512 (b) Did you describe the limitations of your work? [Yes] The marginal computational  
513 overhead incurred by training partitioned populations is described in details in Section  
514 4.3
- 515 (c) Did you discuss any potential negative societal impacts of your work? [No] As our  
516 work primarily focuses on artificial languages developed by simple agents, we do not  
517 expect any immediate negative societal impact.
- 518 (d) Have you read the ethics review guidelines and ensured that your paper conforms to  
519 them? [Yes]
- 520 2. If you are including theoretical results...
- 521 (a) Did you state the full set of assumptions of all theoretical results? [Yes]
- 522 (b) Did you include complete proofs of all theoretical results? [Yes] Derivations are  
523 provided in appendices
- 524 3. If you ran experiments...
- 525 (a) Did you include the code, data, and instructions needed to reproduce the main ex-  
526 perimental results (either in the supplemental material or as a URL)? [No] Code to  
527 reproduce our experiments will be released upon deanonymization.
- 528 (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they  
529 were chosen)? [Yes]
- 530 (c) Did you report error bars (e.g., with respect to the random seed after running experi-  
531 ments multiple times)? [Yes]
- 532 (d) Did you include the total amount of compute and the type of resources used (e.g., type  
533 of GPUs, internal cluster, or cloud provider)? [No] Our work was carried out on GPUs  
534 located on an institutional cluster. Each experiment runs on a single V100-32G GPU
- 535 4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
- 536 (a) If your work uses existing assets, did you cite the creators? [Yes] The ImageNet paper  
537 was cited
- 538 (b) Did you mention the license of the assets? [No] We were not able to find the license of  
539 ImageNet
- 540 (c) Did you include any new assets either in the supplemental material or as a URL? [N/A]
- 541
- 542 (d) Did you discuss whether and how consent was obtained from people whose data you’re  
543 using/curating? [N/A]
- 544 (e) Did you discuss whether the data you are using/curating contains personally identifiable  
545 information or offensive content? [N/A]
- 546 5. If you used crowdsourcing or conducted research with human subjects...
- 547 (a) Did you include the full text of instructions given to participants and screenshots, if  
548 applicable? [N/A]
- 549 (b) Did you describe any potential participant risks, with links to Institutional Review  
550 Board (IRB) approvals, if applicable? [N/A]
- 551 (c) Did you include the estimated hourly wage paid to participants and the total amount  
552 spent on participant compensation? [N/A]

## 553 A Derivation of the Optimal Receiver

554 We first prove a more general result from which the optimal receiver both in the standard and  
555 partitioned can be derived.

### 556 A.1 General Case

557 Consider a receiver  $j$  trained to maximize

$$J_{r,j}(\psi_j) = \sum_{i \in \text{senders}} \alpha_i J_{r,i \rightarrow j}(\psi_j) \quad (7)$$

558 where  $\alpha_{i=1\dots n}$  are arbitrary weights for the senders (we assume that the  $\alpha_i$  are positive and sum to  
559 one). We can rewrite the objective as:

$$\begin{aligned} J_{r,j}(\psi_j) &= \sum_{i \in \text{senders}} \alpha_i J_{r,i \rightarrow j}(\psi_j) \\ &= \sum_{i \in \text{senders}} \alpha_i \mathbb{E}_{m \sim \pi_{\theta_i}(\cdot | x)} \log \rho_{\psi_j}(x | m) \end{aligned}$$

560 Note that by linearity of expectation we can pass the  $\alpha_i$  weighted average over the senders inside  
561 of the expectation and rewrite the second expectation in terms of the mixture  $\pi_{\alpha}^*(m | x) :=$   
562  $\sum_{i \in \text{senders}} \alpha_i \pi_{\theta_i}^*(m | x)$ :

$$\begin{aligned} J_{r,j}(\psi_j) &= \mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \sum_{i \in \text{senders}} \alpha_i \pi_{\theta_i}^*(m | x)} \log \rho_{\psi_j}(x | m) \\ &= \mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi_{\alpha}^*(\cdot | x)} \log \rho_{\psi_j}(x | m) \end{aligned}$$

563 With slight abuse of notation, let us now denote by  $\pi_{\alpha}^*(m) := \mathbb{E}_{x \sim p} \pi_{\alpha}^*(m | x)$  the marginal  
564 distribution over messages and  $\pi_{\alpha}^*(x | m) := \frac{\pi_{\alpha}^*(m|x)p(x)}{\pi_{\alpha}^*(m)}$  the associated posterior. Notice that  
565 since by definition  $\pi_{\alpha}^*(m | x)p(x) = \pi_{\alpha}^*(x | m)\pi_{\alpha}^*(m)$ , we can rewrite the double expectation  
566  $\mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi_{\alpha}^*(\cdot | x)}$  as  $\mathbb{E}_{m \sim \pi_{\alpha}^*(\cdot)}$   $\mathbb{E}_{x \sim \pi_{\alpha}^*(\cdot | m)}$  by inverting the order of summation. We can therefore  
567 rewrite

$$J_{r,j}(\psi_j) = \mathbb{E}_{m \sim \pi_{\alpha}^*(\cdot)} \mathbb{H}(\pi_{\alpha}^*(\cdot | m), \rho_{\psi_j}(\cdot | m))$$

568 where  $\mathbb{H}(p, q)$  denotes the cross-entropy  $\mathbb{E}_q[-\log p]$  of two distributions  $p$  and  $q$ . Importantly the  
569 cross-entropy is non-negative and  $\mathbb{H}(p, q) = 0$  if and only if  $p = q$ .

570 Consequently, the receiver  $\rho_{\psi}$  will be optimal ( $J_{r,j}(\psi_j) = 0$ ) if and only if for all  $m$ :<sup>2</sup>

$$\rho_{\psi_j}^*(x | m) = \pi_{\alpha}^*(x | m) = \frac{\pi_{\alpha}^*(m | x)p(x)}{\mathbb{E}_{y \sim p} \pi_{\alpha}^*(m | y)}. \quad (8)$$

571  $\square$

### 572 A.2 Optimal Receiver in Standard Populations

573 Recall that in standard populations, the training objective for receiver  $j$  is:

$$J_{r,j}(\psi_j) = \frac{1}{|\mathcal{N}_G(j)|} \sum_{i \in \mathcal{N}_G(j)} J_{r,i \rightarrow j}(\psi_j).$$

574 Note that this is a special case of Equation 7 with

$$\alpha_i = \begin{cases} \frac{1}{|\mathcal{N}_G(j)|} & \text{if } i \in \mathcal{N}_G(j) \\ 0 & \text{otherwise} \end{cases}$$

<sup>2</sup>More accurately, if the message space is not finite then the condition holds not for all  $m$ , but almost surely. However throughout the paper we are experimenting with finite (albeit large) message spaces.

575 Consequently, the derivation in Section A.1 tells us that the optimal receiver is

$$\rho_{\psi_j^*}(x | m) = \pi_{\mathcal{N}_G(j)}^*(x | m) = \frac{\pi_{\mathcal{N}_G(j)}^*(m | x)p(x)}{\mathbb{E}_{y \sim p} \pi_{\mathcal{N}_G(j)}^*(m | y)}. \quad (9)$$

576 Where  $\pi_{\mathcal{N}_G(j)}^*(m | x) := \frac{1}{|\mathcal{N}_G(j)|} \sum_{i \in \mathcal{N}_G(j)} \pi_{\theta_i^*}(m | x)$

### 577 A.3 Optimal Receiver in Partitioned Populations

578 In partitioned populations, the training objective for receiver  $j$  is:

$$J_{r,j}(\psi_j) = J_{r,j \rightarrow j}(\psi_j).$$

579 This is also a special case of Equation 7 with

$$\alpha_i = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$$

580 The derivation in Section A.1 thus yields the optimal receiver

$$\rho_{\psi_j^*}(x | m) = \pi_j^*(x | m) = \frac{\pi_j^*(m | x)p(x)}{\mathbb{E}_{y \sim p} \pi_j^*(m | y)}. \quad (10)$$

### 581 A.4 Optimal Receiver in Partially Partitioned Populations

582 In the partially partitioned populations used in Section 6.3, each receiver's objective is a mixture  
583 between the standard and partitioned objective. This can also be rewritten as a special case of  
584 Equation 7 with

$$\alpha_i = \begin{cases} 1 - \alpha + \frac{\alpha}{|\mathcal{N}_G(j)|} & \text{if } i = j \\ \frac{\alpha}{|\mathcal{N}_G(j)|} & \text{if } i \in \mathcal{N}_G(j) \setminus \{j\} \\ 0 & \text{otherwise} \end{cases}$$

585 The optimal receiver can then be rewritten as the posterior distribution associated with the mixture  
586 sender

$$\alpha \times + (1 - \alpha) \times \pi_j^*(x | m)$$

## 587 B The Case of Referential Games

588 In the analysis from Section 2.2 onward, we assumed  $\mathcal{C} = \mathcal{X}$  to simplify notation. We can relax this  
589 assumption without changing our key observation that all receivers are the same at the optimum.

590 Indeed, in this case the receiver's objective in a standard population is:

$$\begin{aligned} J_{r,j}(\psi_j) &= \frac{1}{|\mathcal{N}_G(j)|} \sum_{i \in \mathcal{N}_G(j)} J_{r,i \rightarrow j}(\psi_j) \\ &= \frac{1}{|\mathcal{N}_G(j)|} \sum_{i \in \mathcal{N}_G(j)} \mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi_{\theta_i}(\cdot | x)} \mathbb{E}_{\mathcal{C} \sim p} \log \rho_{\psi_j}(x | m, \mathcal{C}) \\ &= \mathbb{E}_{x \sim p} \mathbb{E}_{m \sim \pi_{\mathcal{N}_G(j)}^*(\cdot | x)} \mathbb{E}_{\mathcal{C} \sim p} \log \rho_{\psi_j}(x | m, \mathcal{C}) \end{aligned}$$

591 This objective, called InfoNCE [45] also has an analytical solution that can be expressed as a function  
592 of  $\pi_{\mathcal{N}_G(j)}^*$ , of the form:

$$\rho_{\psi_j^*}(x | m, \mathcal{C}) = \frac{\frac{\pi_{\mathcal{N}_G(j)}^*(x | m)}{p(x)}}{\sum_{y \in \mathcal{C}} \frac{\pi_{\mathcal{N}_G(j)}^*(y | m)}{p(y)}} \quad (11)$$

593 Despite the more complicated form of the optimal receiver, the key ingredients to our analysis  
594 in Sections 2.2 and 3 are preserved: at the optimum, each receiver is a function of the posterior  
595  $\pi_{\mathcal{N}_G(j)}^*(x | m)$  associated with the communication partners to which it co-adapts. A similar analysis  
596 in partitioned populations shows that the optimum for receiver  $j$  then only depends on the posterior  
597 associated with its respective sender  $\pi_{\theta_j^*}$  instead.

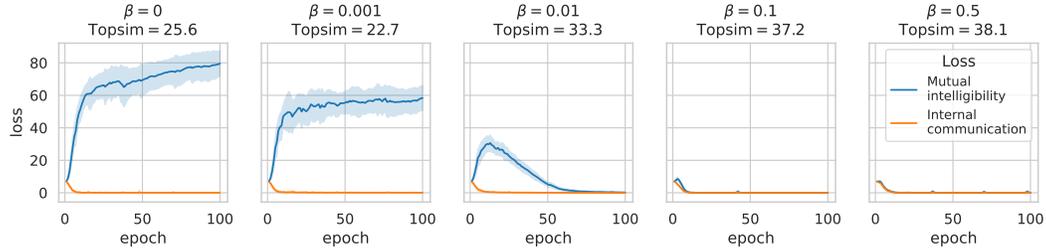


Figure 6: Evolution of internal communication and mutual intelligibility terms with different weightings  $\beta$  (populations of size 10).

## 598 C Further Analysis of the Effect of Mutual Intelligibility

599 In Section 6.4, we find that languages stay highly compositional until the mutual intelligibility weight  
 600  $\beta$  is decreased to almost 0. Our hypothesis is that even with small amounts of mutual intelligibility,  
 601 agents will eventually have to optimize this part of the objective after they have maximized their  
 602 respective internal communication to the point where the main contributor to the training gradient is  
 603 the mutual intelligibility term.

604 To verify this hypothesis, in Figure 6 we report the evolution of both internal communication and  
 605 mutual intelligibility losses during training for various values of the mutual intelligibility weight  
 606  $\beta$ . As expected, we observe that for all but very small values of  $\beta$ , the mutual intelligibility loss  
 607 eventually decreases (although it decreases faster for high  $\beta$ ).