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# Reproducibility study of “Robust Counterfactual Explanations on Graph Neural Networks”

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## Reproducibility Summary

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### 2 **Scope of Reproducibility**

3 The aim of this paper is to reproduce the claims made in the paper *Robust Counterfactual Explanations on Graph*  
4 *Neural Networks* [2]. The authors claim to have developed a novel method for explaining Graph Neural Networks  
5 (GNNs) which outperforms the existing explainer methods in three different ways, by being (1) more *counterfactual*,  
6 (2) more robust to noise and (3) efficient in terms of time.

### 7 **Methodology**

8 The original author’s code contained the code necessary to train both GNNs and explainer models from scratch.  
9 However, some alterations made by us were necessary to be able to use it. To validate the authors’ claims, the trained  
10 RCE explainer model is compared with other explainer models in terms of fidelity, robustness and efficiency. We extended  
11 the work by investigating the generalisation to the image domain and verified the authors’ implementation.

### 12 **Results**

13 For the validation of the original paper, we compare the pre-trained model and the retrained model to the results  
14 reported in the original paper. The retrained RCE explainer outperformed the other methods on fidelity and robustness,  
15 which corresponds with the results of the original authors. The measured efficiency of the method also corresponds to  
16 the original result. To extend the paper, this comparison is also performed using a train-test split, which showed no  
17 significant difference. The implementation of the metric is investigated and concerns are raised. Finally, the method  
18 generalises well to MNIST Superpixels in terms of fidelity, but lacks in robustness.

### 19 **What was easy**

20 The original paper described their metrics for comparing multiple explainer models clearly, which made it easier to  
21 reproduce. Moreover, a codebase was available which included a pre-trained explainer model and files for training the  
22 other models. Because of this, we could easily find the reason for differences between our results and those of the paper.

### 23 **What was difficult**

24 The most difficult part of the reproduction study was determining the functionality of the provided codebase. The  
25 original authors did provide a general README file that included instructions for all code parts. However, using these  
26 provided instructions, we were not able to run this code without changes. As the provided codebase was very extensive,  
27 it was difficult to understand and determine how the different modules worked together.

### 28 **Communication with original authors**

29 We found it not necessary to contact the original authors for this reproduction study.

## 30 1 Introduction

31 Graph Neural Networks (GNNs) [5] are a recent development in the field of deep learning, aiming to exploit structural  
32 information by representing the input data as graphs. By passing messages along the nodes of the input graphs, these  
33 networks can use the structured nature of these graphs to reason on them. This allows GNNs to achieve groundbreaking  
34 results in a variety of fields such as the modelling of physics systems or molecular analysis [15].

35 However, GNNs are similar to conventional neural networks (NNs) and can therefore similarly be considered a black  
36 box. Hence, they do not always provide a sufficient *explanation* for their outcome. Nevertheless, such an explanation  
37 might be useful in some applications. An explanation, as presented in [2], is simply a subset of edges of the input graph.  
38 The authors of [2], to whom we will refer as *the original authors* from this point on, consider an explanation to be  
39 *counterfactual* if the prediction on the input graph changes significantly when the edges in the explanation are removed  
40 from the input graph.

41 Several methods to explain the reasoning of GNNs have already been proposed [12, 14, 10, 7]. However, these models  
42 fall short in that their generated explanations are neither *counterfactual* nor robust to noise. These features are important  
43 for a model because they make the explanations concise, easy to understand for humans and more trustworthy [2]. The  
44 original authors propose the RCEExplainer model [2], which meets both criteria, and claim it is capable of outperforming  
45 existing explainer models, on the task of graph classification, while also being at least as time-efficient.

## 46 2 Scope of reproducibility

47 With this paper, we aim to validate the original authors' claims, their experimental setup, and investigate the application  
48 of their method to another domain. Our code<sup>1</sup> is publicly available and builds upon the code<sup>2</sup> of [2].

49 The original authors tested the RCEExplainer model on three different datasets, however, due to long training times, we  
50 employed only one of these three. This reproduction paper aims to validate the following claims as made by the original  
51 authors:

- 52 • The RCEExplainer model produces superior counterfactual explanations in comparison to previous methods  
53 based on fidelity scores for all levels of sparsity.
- 54 • The RCEExplainer model is more robust to noise than competitive methods based on ROC AUC score.
- 55 • The RCEExplainer model is at least as efficient in terms of inference time as existing explainer models.

56 Moreover, we conduct a set of additional experiments to inspect the following extensions to the original paper:

- 57 • Split the dataset into a proper train test split, that is no overlap between those sets, for training the explainer  
58 model and validating the effect on its performance in terms of fidelity and ROC AUC scores.
- 59 • Apply the RCEExplainer method to the task of image classification using the MNISTSuperpixels dataset.
- 60 • Calculate the ROC AUC scores in two additional ways.

61 The next section will discuss the method of [2] in more detail and introduce our additional experiments. Section 4  
62 reports the results to validate the original authors' claims as well as the results of our extensions. Finally, Section 5  
63 reflects on our work and concludes that we were able to partly reproduce the original paper.

## 64 3 Methodology

### 65 3.1 Model description

66 The original authors propose a method consisting of two steps. First, the common decision logic of a GNN is extracted  
67 based on a set of linear decision boundaries (LDBs). This set comes from a GNN that is trained for graph classification.  
68 Second, the explainer model, based on the set of LDBs, which is a simple neural network, is trained to generate  
69 counterfactual explanations.

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<sup>1</sup>Our source code is located at <https://anonymous.4open.science/r/FACTAI-467E/>.

<sup>2</sup>The original authors' code is available at <https://marketplace.huaweicloud.com/markets/aihub/notebook/detail/?id=e41f63d3-e346-4891-bf6a-40e64b4a3278>.

70 **Graph neural network** The graph neural network, denoted by  $\phi$ , is trained to classify input graphs. This model  
 71 consists of an arbitrary number of graph convolutional layers, which produce an embedding vector, and a fully connected  
 72 head. This head predicts the class probabilities from the embeddings.

73 **Explanation network** The explanation model, denoted by  $\phi_\theta$ , is trained using the embedding vectors as produced by  
 74 the GNN. The network consists of two linear layers with ReLU activations.

### 75 3.1.1 Linear Decision Boundaries

76 The architecture of the classification GNN,  $\phi$ , can be divided into two distinct parts: the graph convolutional layers,  
 77 denoted by  $\phi_{gc}$ , and the fully connected layers, denoted by  $\phi_{fc}$ . The RCEExplainer model proposed by the original  
 78 authors works by partitioning the output space of the graph convolutional layers into a set of decision regions, one for  
 79 each class of the dataset. Given that the GNN uses piecewise linear activations on the neurons [1], its decision regions  
 80 can be modelled by a set of *linear decision boundaries* (LDBs), the combination of which forms a convex polytope. As  
 81 the total number of LDBs of a GNN grows exponentially with respect to the number of neurons [9], it is intractable  
 82 to compute all the LDBs of a model. However, an LDB can be written as a linear equation of the form  $\mathbf{w}^T \mathbf{x} + b = 0$ ,  
 83 where the basis  $\mathbf{w}$  and the bias  $b$  can be computed with the following equations:

$$\mathbf{w} = \frac{\partial (\max_1(\phi_{fc}(\boldsymbol{\alpha})) - \max_2(\phi_{fc}(\boldsymbol{\alpha})))}{\partial \boldsymbol{\alpha}}, \quad (1)$$

$$b = \max_1(\phi_{fc}(\boldsymbol{\alpha})) - \max_2(\phi_{fc}(\boldsymbol{\alpha})) - \mathbf{w}^T \boldsymbol{\alpha}, \quad (2)$$

84 where  $\boldsymbol{\alpha} = \phi_{gc}(G)$ , so the embedding of the graph  $G$  in the output space of the graph convolutional layers, and the  
 85  $\max_1$  and  $\max_2$  operations take the highest and second-highest value of the input respectively. The original authors,  
 86 therefore, propose to uniformly sample a random subset of input graphs and extract their respective LDB, in order to  
 87 circumvent the complexity of computing all LDBs, giving a subset of decision boundaries  $\tilde{\mathcal{H}} \subset \mathcal{H}$ .

88 The set of LDBs forming a decision region for a specific class is then chosen to cover the maximum amount of graphs  
 89 belonging to that class while ensuring that this region covers as few graphs of other classes as possible. The set of  
 90 LDBs  $\tilde{\mathcal{H}}_c$  that forms the decision regions of a class  $c$  is determined by iteratively applying the following rule:

$$h = \min_{h \in \mathcal{H} \setminus \tilde{\mathcal{H}}_c} \frac{g(\tilde{\mathcal{H}}_c, c) - g(\tilde{\mathcal{H}}_c \cup \{h\}, c) + \varepsilon}{k(\tilde{\mathcal{H}}_c, c) - k(\tilde{\mathcal{H}}_c \cup \{h\}, c)}, \quad (3)$$

91 where  $g(\tilde{\mathcal{H}}_c, c)$  is the total number of graphs belonging to class  $c$  that are covered by the LDBs in  $\tilde{\mathcal{H}}_c$ ,  $k(\tilde{\mathcal{H}}_c, c)$  is the  
 92 total number of graphs *not* belonging to class  $c$  that are covered by  $\tilde{\mathcal{H}}_c$ , and  $\varepsilon$  is a small noise term that ensures the best  
 93 LDB is chosen, even when the numerator equals zero. This rule is applied until  $\tilde{\mathcal{H}}_c$  covers all graphs of class  $c$ , and  
 94 then repeat this process for every class.

### 95 3.1.2 Explanations

96 Having extracted a decision region for each class, the original authors use this to generate an explanation  $S$  for each  
 97 graph  $G$ , where  $S$  consists of a subset of the edges in  $G$ . This explanation is generated through the fully connected  
 98 neural network  $\phi_\theta$ , parameterized by  $\theta$ . This model takes the node embeddings of nodes  $i$  and  $j$  generated by  $\phi_{gc}$ , and  
 99 returns the probability that an edge between these two nodes is part of  $G$ 's explanation. Over all node pairs, this forms  
 100 the matrix  $\mathbf{M}$ , where each entry is the probability of the corresponding edge in the adjacency matrix belonging to  $S$ ,  
 101 which is then chosen to be the set of all edges with a value greater than 0.5 in  $\mathbf{M}$ .

102 The goal during training is to train a model such that the prediction of the GNN on the explanation is consistent with  
 103 the prediction on the original graph, such that  $\phi(S) = \phi(G)$ . Furthermore, the original authors want to ensure that  
 104 removing the edges in  $S$  from  $G$  changes the prediction on  $G$  significantly, such that  $\phi(G \setminus S) \neq \phi(G)$ .

105 In order to satisfy these goals, the original authors define the following loss function:

$$\mathcal{L}(\theta) = \sum_{G \in D} (\lambda \mathcal{L}_{same}(\theta, G) + (1 - \lambda) \mathcal{L}_{opp}(\theta, G) + \beta \mathcal{R}_{sparse}(\theta, G) + \mu \mathcal{R}_{discrete}(\theta, G)) \quad (4)$$

106 where  $\mathcal{L}_{same}$  is a term ensuring that the explanation of  $G$  has the same classification as  $G$  itself,  $\mathcal{L}_{opp}$  ensures that  
 107 removing  $S$  from  $G$  changes  $G$ 's classification, the combination of these terms ensuring that the explanations are  
 108 counterfactual. Furthermore,  $\mathcal{R}_{sparse}$  is a simple  $L1$ -regularization over  $\mathbf{M}$ , ensuring only a small amount of edges  
 109 is selected to be part of the explanation by minimizing this term, and  $\mathcal{R}_{discrete}$  is a term that pushed the values in  $\mathbf{M}$   
 110 closer to either 0 or 1 to more closely resemble an actual adjacency matrix.

## 111 3.2 Datasets

112 The original paper evaluates the model on three different datasets: Mutagenicity [4], BA-2motifs [7], and NCI1 [13].  
 113 Due to time constraints, our reproducibility paper only attempts to reproduce the results on the Mutagenicity dataset.  
 114 The Mutagenicity dataset is a binary dataset containing over 4000 molecules of different sizes represented as graphs  
 115 (see Table 1), with a target stating whether these molecules are mutagenic or not. Besides the Mutagenicity dataset,  
 116 we also employed the MNISTSuperpixels dataset [8], containing 60,000 graphs, in order to evaluate the RCExplainer  
 117 model on a task in a different field. These graphs are obtained from the MNISTSuperpixels dataset [6], which contains  
 118 images of handwritten digits, and are based on the images that are segmented using a superpixel segmentation [11].  
 119 This decreases the size of the graphs, by reducing the image from  $28 \times 28$  pixels to 75 superpixels. Furthermore, where  
 120 the graph representation of a standard image would be a regular grid, where each pixel is only connected to its direct  
 121 neighbours, which is identical for each image, the superpixel representation introduces irregularity between the different  
 122 images, as the segmentation of each image is different ensuring each image has a different graph.

Table 1: Dataset information

Dataset	# Samples	Avg. # Nodes	Avg. # Edges	# Labels
Mutagenicity	4337	30	31	2
MNISTSuperpixels	60000	75	1393	10

## 123 3.3 Experimental setup and code

124 This section is split into two parts: the experiments concerning the validation of the claims made by the original paper's  
 125 authors, and the experiments which validate our aforementioned extensions.

### 126 3.3.1 Reproducibility

127 First, the original authors train a GNN from scratch on the classification task. This GNN is then used to obtain  
 128 the predictions and node embeddings of the input graphs. These embeddings and predictions are used to train the  
 129 RCExplainer model as described in Section 3.1. Subsequently, the trained RCExplainer model is compared with other  
 130 explainer models in terms of fidelity, robustness and efficiency (see Section 4.1). Due to long training times, we chose  
 131 to compare the RCExplainer only to the RCExp-NoLDB [2] and PGExplainer models [7], all trained from scratch on  
 132 10 different seeds using the hyperparameters mentioned in the original paper. The GNN used as the prediction model is  
 133 the pre-trained GNN provided alongside the codebase, with 3 graph convolutional layers.

134 Moreover, the original paper uses the entirety of the Mutagenicity dataset for training the GNN, but for training the  
 135 explainer network only 1742 samples are used. We follow this same setup in our experiments. However, the original  
 136 authors only mention an 80/10/10% train-val-test split for training the GNN, but no specific split for training the  
 137 explanation networks. After inspecting the codebase, we observed that the training set is always a subset of the test set  
 138 and, therefore, it appears that the data used for the evaluation of the RCExplainer is not entirely unseen by the model.  
 139 Consequently, we decided to also evaluate all models using a train-test split of 80/20%, which is a more common split  
 140 used in artificial intelligence. The results of the comparison between both splits are discussed in Section 4.2.

141 Furthermore, for evaluating the model based on robustness, the area under the curve (AUC) of a computed receiver  
 142 operating characteristic curve (ROC) is calculated. In the provided codebase there were some unclear aspects of the  
 143 AUC computation, which are addressed in Section 4.3.2.

### 144 3.3.2 Extension

145 In addition to reproducing the results of the original codebase and the original datasets, we applied the method in a  
146 different domain to evaluate the method’s ability to generalise to a new domain. Where the original authors employed  
147 the Mutagenicity dataset, which requires a certain level of chemical knowledge in order to interpret the qualitative  
148 results. Therefore, we applied the RCExplainer model on the image domain as we expect these qualitative results to be  
149 easier to interpret intuitively (see 5). For this purpose, the MNISTSuperpixels dataset [8] is used. This dataset was  
150 chosen because of its relative simplicity compared to other vision datasets.

151 In order to apply the RCExplainer model to the MNISTSuperpixels dataset, a GNN was trained from scratch, using 4  
152 graph convolutional layers, with 100 hidden units, followed by an embedding layer consisting of 30 units. This increase  
153 in model size is necessary to obtain results comparable to state-of-the-art [3]. More details are presented in Appendix C.  
154 We used the hyperparameters as specified in the original paper and trained the model for 600 epochs.

155 For comparison, both an RCExplainer and PGExplainer model have been trained to explain this GNN. The training  
156 uses the default hyperparameters for both models, similar to the comparison in the original paper. Again, following the  
157 original paper, we do not make use of a test train split, and evaluation is performed on part of the training set.

### 158 3.4 Computational requirements

159 To run all experiments, that is to say, both the reproduction study and the extension, we made use of 6 computers with  
160 varying specifications, but that contain at least one NVIDIA 2080TI GPU. The exact specifications can be found in  
161 Appendix B. Table 2 states the training time in GPU hours per model. The total training time for all models adds up to  
162  $\pm 454$  hours of GPU runtime.

Table 2: GPU computing time in hours per model. All models without superscript are trained on the Mutagenicity dataset. The <sup>†</sup> superscript denotes models trained on the MNISTSuperpixels dataset.

Model	RCExplainer	PGExplainer	RCExp-NoLDB	GNN <sup>†</sup>	PGExplainer <sup>†</sup>	Total
Time (h)	8	6	6	20	16	<b>454</b>

## 163 4 Results

### 164 4.1 Results reproducing original paper

165 The RCExplainer is evaluated on three metrics: fidelity, robustness, and time efficiency. We compare the pre- and  
166 re-trained RCExplainer to the results reported in the original paper. For each of the metrics, the results are averaged  
167 over 10 different seeds and the standard deviations are mentioned. Note that for the pre-trained model we only have  
168 access to a single pre-trained model, so the metrics for this model are reported for only a single seed.

169 As mentioned in Section 3.3.1, we compare the models using two different train-test splits. In this section, we only  
170 focus on the split as the original authors did. The findings of the adjusted train-test split are discussed in Section 4.2.

171 **Fidelity** The original authors use *fidelity* to compare which model produces explanations with the strongest counter-  
172 factual characteristics. Fidelity is the amount the prediction confidence decreases when the explanation is removed  
173 from the input graph. A higher value indicates stronger counterfactual characteristics. This metric can be sensitive  
174 to the sparsity of explanations, which is the percentage of the remaining edges of the input graph after deleting the  
175 explanation.

176 The results for this metric can be seen on the right-hand side in Figure 1. Note that the sparsity values are shown from  
177 50% instead of 75%, because of a lack of datapoints for the PGExplainer on the 75-80% interval. Figure 1 shows  
178 that the RCExplainer has the highest performance of the models, corresponding to the findings of the original authors.  
179 However, the performance of the RCExp-NoLDB and PGExplainer in Figure 1 is significantly lower than in the original  
180 authors’ paper.

181 As mentioned in Section 3.3.1, we use the hyperparameters as specified in the original paper. For comparison, the model  
182 was also evaluated using the hyperparameters mentioned in the README file of the codebase, changing the parameters

183  $\mu$ ,  $\lambda$  and  $\beta$  in the loss function. The corresponding results are reported in Appendix E and show that changing the  
 184 hyperparameters significantly affects performance. Therefore, we hypothesise that the hyperparameters are the reason  
 185 for the performance discrepancies as seen in Figure 1.

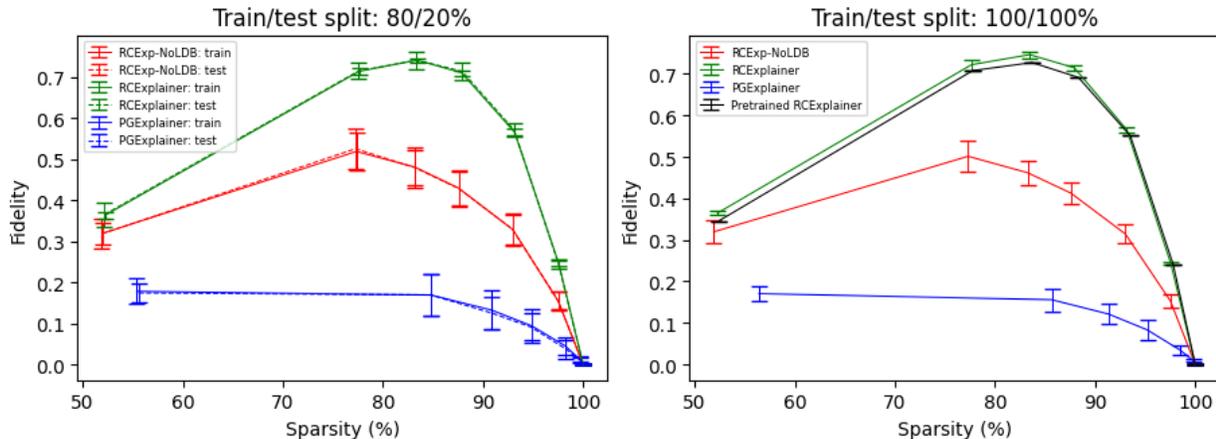


Figure 1: A comparison between different explainer models on the metric *fidelity* for two different train-set splits.

186 **Robustness** The *robustness* of a model is measured by how much an explanation changes after noise is added to the  
 187 input graphs. The graphs are modified by adding random noise to the node features and randomly adding or deleting  
 188 edges. The produced explanation of each noisy input graph is compared to the ground truth, the  $k$  best (*top-k*) edges of  
 189 the explanation of the unmodified graph, by computing a ROC curve and computing the AUC of this ROC curve. The  
 190 higher the AUC score of the model, the more robust it is.

191 Each model is evaluated for different levels of noise, measured in the percentage of nodes and edges that are modified,  
 192 ranging from 0% to 30%. The results are shown on the right-hand side of Figure 2. It shows that the re-trained  
 193 RCExp-Explainer performs the best for almost all noise values. This corresponds with the findings in the original paper.  
 194 However, similar to the fidelity results, the results of the RCExp-NoLDB and PGExplainer are much lower than shown  
 195 in the original author’s paper. We again hypothesise that this is explained by the hyperparameter tuning, following the  
 196 same reasoning as in the previous paragraph.

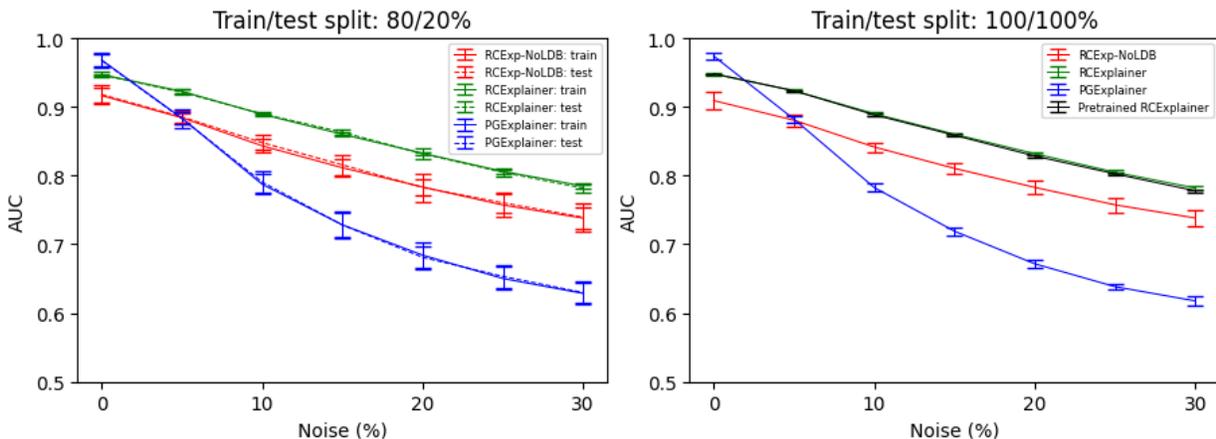


Figure 2: A comparison between different explainer models on the metric *robustness* for two different train-set splits.

197 **Efficiency** The original authors claim their method is at least as efficient as previous methods, and report a  $0.01s \pm 0.02$   
 198 execution time to produce a single explanation. Our experiments show a  $0.007s \pm 0.0006$  execution time. This slight

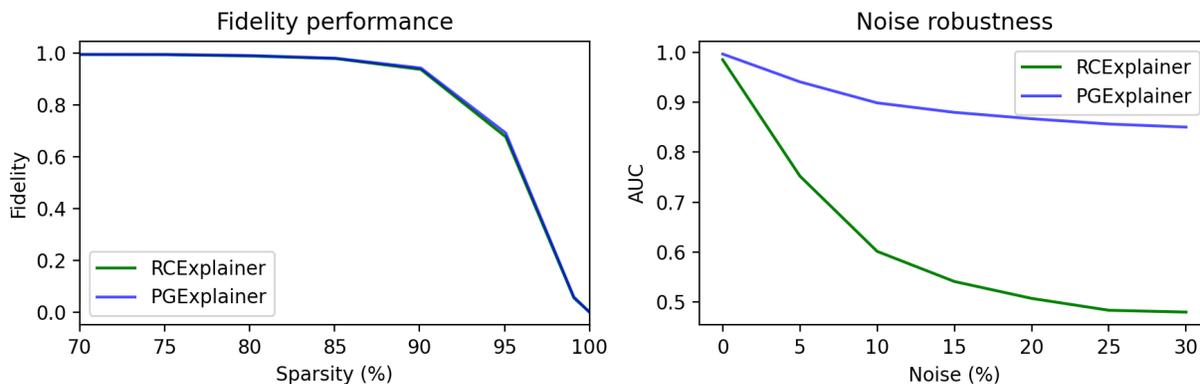


Figure 3: RCEExplainer vs PGExplainer on the MNISTSuperpixels dataset. Fidelity performance shows the task is too simple, and noise robustness shows RCEExplainer is outperformed.

199 difference is likely due to differences in hardware platform and library versions. So, while unable to compare the  
 200 performance of the RCEExplainer model to other explainers, regarding their time efficiency, we were able to achieve  
 201 results in line with the findings of the original authors on the run time of the RCEExplainer model.

## 202 4.2 Results beyond original paper

203 As mentioned in section 3.3.1, the RCEExplainer is evaluated using data that has already been encountered during  
 204 training. Therefore, all models have also been evaluated on fidelity and robustness with a train-test split to see the effect  
 205 of this experimental setup. Figure 1 and 2 show the results of these evaluations, where the 80/20% split is shown on the  
 206 left side and the 100/100% on the right side. For both metrics, the figures show no significant differences. This lack of  
 207 difference is likely because the explainer model is trained to explain the GNN, not the data, and therefore a train-test  
 208 split does not seem to have a significant influence on the performance for training the explainer models.

## 209 4.3 Extension

210 This section discusses the results of our extensions to the original method. First, the results of the extension to a new  
 211 domain are presented in Section 4.3.1. Then, the results of two additional AUC computations are reported in Section 3.

### 212 4.3.1 MNISTSuperpixels

213 In order to determine whether the claims of the original authors also extend to other domains, we measured the fidelity  
 214 performance and noise robustness of the RCEExplainer on the MNISTSuperpixels dataset (see Figure 3). To compare  
 215 these curves, the same evaluation is also performed using the PGExplainer.

216 **Fidelity** Figure 3 show that both models achieve high fidelity, especially for sparsity lower than 90%, indicating that  
 217 both methods saturate the task, achieving near-optimal performance.

218 The explainers have been trained using a 100/100% train-test split following the original paper. While this makes it  
 219 significantly easier to saturate performance on the test set, as the samples are seen during training, results on other  
 220 datasets in Section 4.1 show no clear difference between a more conventional train-test split and evaluating on the full  
 221 set. Therefore, we hypothesise that the explainers still generalise well to this domain. Performing this evaluation with a  
 222 split of 80/20% is still preferred, but not feasible in this reproduction study due to the long training time of the models.

223 We speculate that the decrease in fidelity for higher sparsity levels is likely not due to the model’s ability to select  
 224 explanations, but rather because the explanations are smaller as the sparsity level increases. As they become smaller,  
 225 the counterfactual graph is more similar to the original graph retaining the same prediction. While unable to verify the  
 226 performance advantage of the RCEExplainer over the PGExplainer in this domain, we can verify its ability to generalise  
 227 to new domains.

228 **Robustness** In contrast to the fidelity performance, the noise robustness shows a clear difference according to Figure  
229 3. This difference could be caused due to an inherent difference in robustness threshold in the MNISTSuperpixel  
230 dataset compared to Mutagenicity. As not every pixel in an image is essential, and even with large parts missing, it is  
231 still possible to correctly classify an image. The PGExplainer is more robust to noise, remaining close to the original  
232 explanation, even with noisy input graphs. However, the performance of the RCExplainer falls short, and the method  
233 appears to be less robust to noise in this domain.

#### 234 4.3.2 AUC computation

235 When examining the implementation of the AUC computation we found this was adjusted when compared to the  
236 standard definition of the AUC-score, without motivation, leaving us unsure of these adjustments. The AUC-score is  
237 used to compare the accuracy of  $S'$  to  $S$ , where  $S'$  is produced from noisy input graphs to evaluate robustness to this  
238 noise. The explanation problem is formulated as a binary classification problem. For this classification, the original  
239 authors only consider *true positives* and *false positives* when measuring the AUC, discarding the *false negatives* and  
240 giving the metric a positive bias.

241 A false negative could occur when an edge in  $S$  is no longer in  $S'$ , for example, when  $S'$  covers a different part of the  
242 original graph. If the explainer producing  $S'$  is not robust to noise, its AUC score could be incorrectly high if it only  
243 produces a subset of the ground truth explanation  $S$ . This means, under noisy circumstances, an explainer only has  
244 to predict a single correct edge to attain a perfect AUC score, instead of predicting the full ground truth. Therefore,  
245 false negatives appear to provide important information. *True negatives* are also discarded, but while their inclusion is  
246 standard practice, they only add information about the size of the graph compared to the explanation. When evaluating  
247 robustness, this is not as relevant and mostly reduces the difference between the scores.

248 Hence, we compared the original method and the inclusion of the false negatives, shown in Appendix A. For the highest  
249 noise percentage, this yields an 0.895% AUC score decrease. While this means the original method includes a slight  
250 positive bias, a bias is also present in the other explanation methods as the same evaluation code is used. Our foremost  
251 concern would be the comparison to other papers, where the metric might be implemented differently. We, therefore,  
252 chose to retain the original AUC computation method, as the bias is small and we prefer to retain the ability to compare  
253 our results to the original paper.

## 254 5 Discussion

255 This paper is a reproduction study of *Robust Counterfactual Explanations on Graph Neural Networks* [2]. We were  
256 partly able to reproduce the original authors' claims that their model produces more counterfactual explanations, is  
257 more robust to noise and is at least as time-efficient. The RCExplainer showed equal results, while the RCExp-NoLDB  
258 and PGExplainer differed, which we hypothesise is because of the hyperparameters.

259 For our reproduction paper, we only employed the experiments on the Mutagenicity dataset, and compared it solely to  
260 the RCExp-NoLDB and the PGExplainer, due to time constraints. Moreover, the results of the experiments have been  
261 obtained for 10 different seeds. Additionally, multiple extensions were performed to validate the experimental setup of  
262 the original paper and apply the model to the image domain.

### 263 What was easy and what was difficult

264 The original authors provided a codebase that included all code to reproduce the experiments. However, the instructions  
265 within this extensive codebase did not perfectly align with the method as proposed in the original paper. Therefore,  
266 we had to make some alterations to the code to be able to fully use it and hence mentioning all hyperparameters in  
267 the original paper would improve reproducibility. Moreover, a pre-trained explainer model was provided, but this  
268 only included a model for one seed, instead of 10 seeds. Furthermore, other explainer methods, to which the original  
269 authors compare their method were already implemented as well. Finally, the original paper described their metrics for  
270 comparing multiple explainer models clearly, which made it easier to reproduce.

### 271 Communication with original authors

272 There was no communication with the original authors, as we did not find it necessary in order to reproduce the paper.

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## 310 Appendix

### 311 A AUC comparison

Table 3: AUC scores under different noise levels for RCExplainer

Noise level	0	0.05	0.1	0.15	0.2	0.25	0.3
All (FN+FP+TN+TP)	1.0000	0.9994	0.9981	0.9968	0.9960	0.9951	0.9945
Original (TP+FP)	0.9909	0.9512	0.8969	0.8475	0.8051	0.7622	0.7368
False negatives (TP+FP+FN)	0.9909	0.9503	0.8941	0.8429	0.7998	0.7560	0.7302
Original and false negatives difference	0.000%	0.091%	0.309%	0.546%	0.659%	0.811%	0.895%

Table 4: AUC scores under different noise levels for PGExplainer

Noise level	0	0.05	0.1	0.15	0.2	0.25	0.3
All (FN+FP+TN+TP)	0.9996	0.9988	0.9974	0.9964	0.9945	0.9933	0.9926
Original (TP+FP)	0.9279	0.8810	0.8293	0.7846	0.7487	0.7179	0.6941
False negatives (TP+FP+FN)	0.9279	0.8800	0.8265	0.7809	0.7425	0.7105	0.6863
Original and false negatives difference	0.000%	0.112%	0.339%	0.479%	0.822%	1.022%	1.127%

312 As concerns were raised about the specifics of the AUC computation and its effect, the AUC of different approaches  
313 are shown in Table 3 for RCExplainer and Table 4 for PGExplainer. These scores are computed on the Mutagenicity  
314 dataset using the provided pre-trained model for RCExplainer and PGExplainer, trained using the provided script and  
315 parameters. The effect is most notable under the highest noise levels, which causes  $S'$  to differ the most from  $S$ . The  
316 original approach is positively biased for all explainers, but not equally and, therefore, affects the comparison. The  
317 effect is small enough that we chose to ignore it to retain the ability to compare to the original paper.

### 318 B Hardware

Table 5: Hardware specifications of the machines used for training.

CPU	Intel i9-9900 @ 3.10 GHz
GPU	NVIDIA GeForce RTX 2080 Ti
Memory	64 GB

### 319 C MNISTSuperpixels GNN Training

320 For the MNISTSuperpixels dataset, we deviated from the GNN architecture used by the original authors, as it had low  
321 performance. A high accuracy of the prediction model is important because it validates the counterfactuals produced by  
322 the explanation model. A poorly trained prediction model may have arbitrary explanations, even if the explanation  
323 model is correctly trained, and therefore does not have meaningful counterfactuals. A properly trained explanation  
324 model should allow for qualitative evaluation of the method.

325 By increasing the number of layers and hidden dimensions of the model, the larger GNN achieves a test-set score of  
326 85% accuracy, just short of the test-set score reached in [3]. This is shown in Figure 4. Training for the baseline model  
327 was stopped early due to low performance.

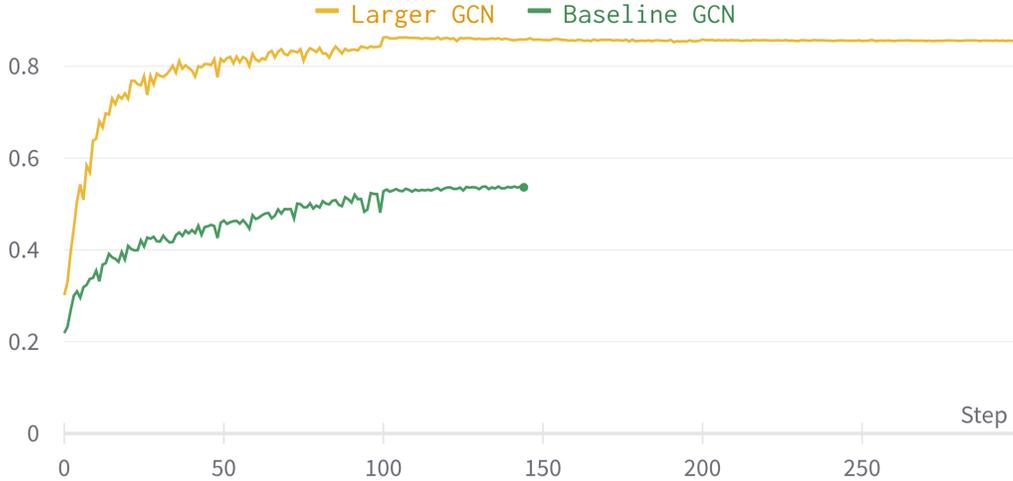


Figure 4: Validation accuracy of GNN on MNISTSuperpixels dataset

328 **D MNISTSuperpixels Qualitative Results**

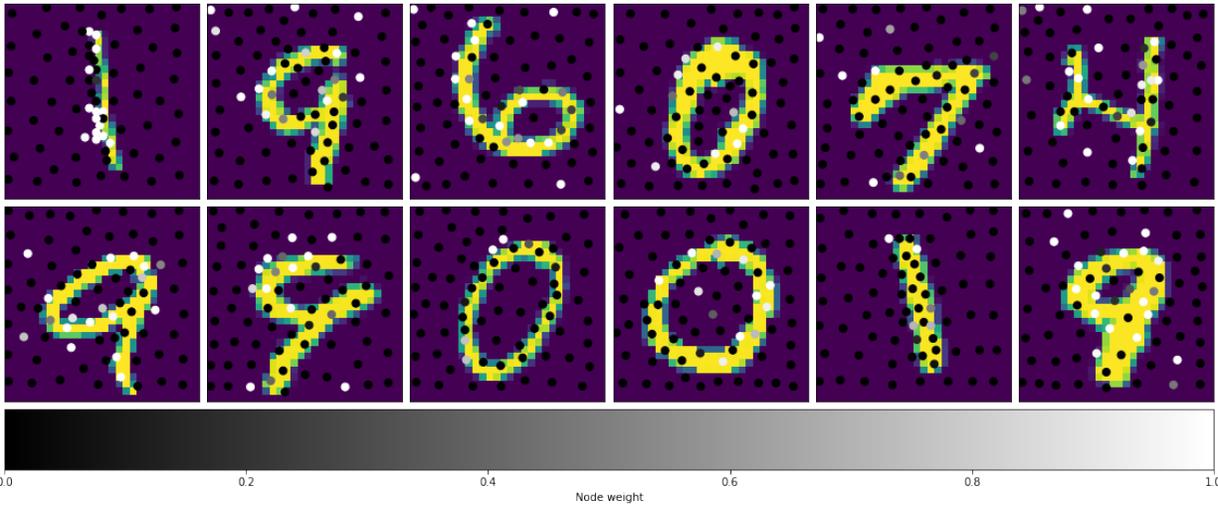


Figure 5: Node Explanations on MNISTSuperpixels dataset

329 Figure 5 shows the qualitative results of the RCExplainer model on the MNISTSuperpixels dataset, using twelve  
 330 randomly sampled graphs. The nodes overlaid on the images are the centroids of the superpixels of the input images,  
 331 and the brighter their colour, the higher their probability of being included in the explanation of the model.

332 While the original authors mainly define the explanation to be a set of edges they also provide a definition for an  
 333 explanation consisting of nodes, which we employed for this visualization. There, a node  $n \in N$  has a weight  $a_n$ ,  
 334 defined as follows:

$$a_n = \max_{i \in N} (\mathbf{M}_{ni}), \quad (5)$$

335 where  $\mathbf{M}$  is the matrix generated by the explanation network  $f_\theta$ . This means that the weight of a node corresponds  
 336 to the probability of the edge with the highest probability of belonging to the explanation. Every node with a weight  
 337 higher than 0.5 is then considered to be part of the explanation of that graph.

338 **E Hyperparameter comparison**

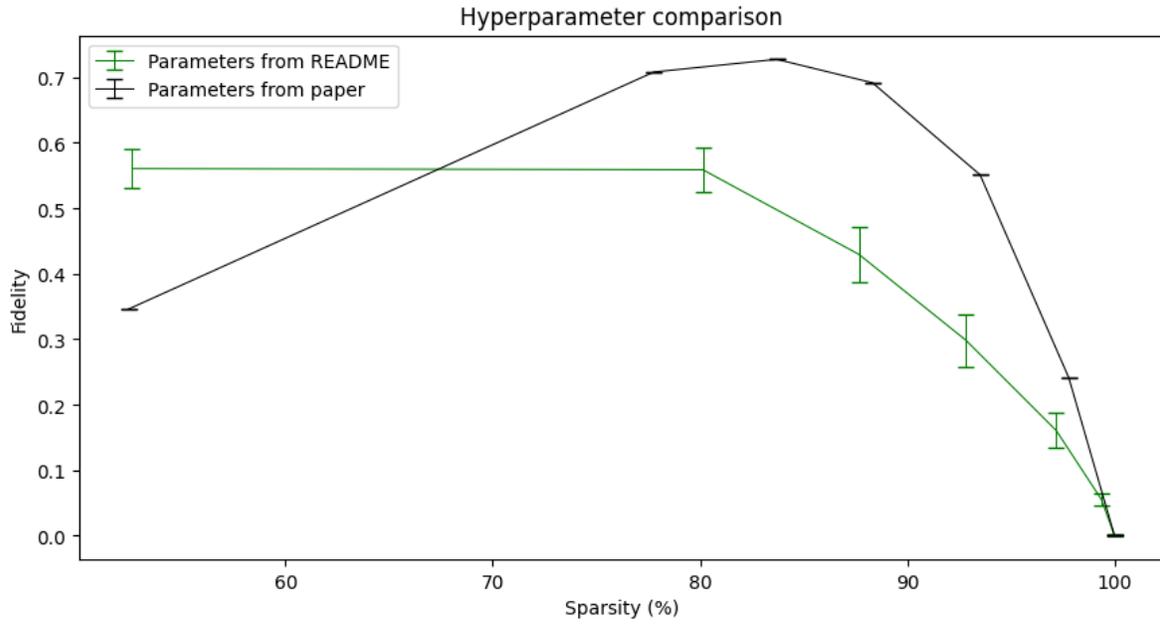


Figure 6: Comparison between two explainer models on the metric *fidelity* using a 100/100% train-test split.

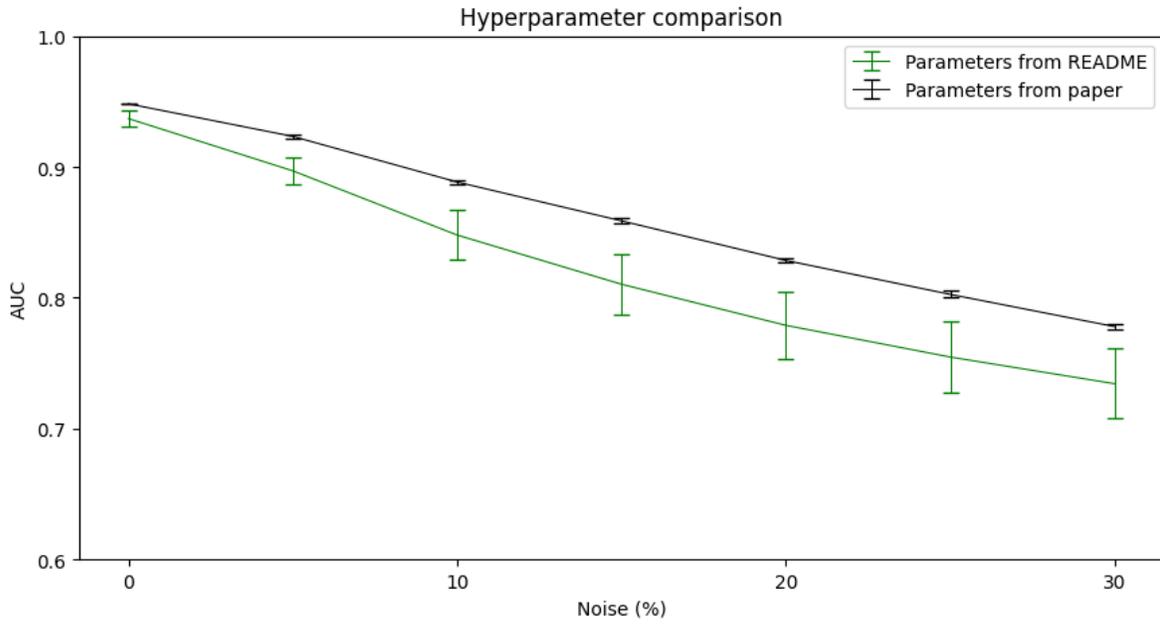


Figure 7: Comparison between two explainer models on the metric *robustness* using a 100/100% train-test split.